

## MACHINE LEARNING IN NLP

Building Transformer-Based Natural Language Processing Applications (Part 1)



### FULL COURSE AGENDA

#### Part 1: Machine Learning in NLP

Lecture: NLP background and the role of DNNs leading to the

Transformer architecture

Lab: Tutorial-style exploration of a translation task using the

Transformer architecture

#### Part 2: Self-Supervision, BERT, and Beyond

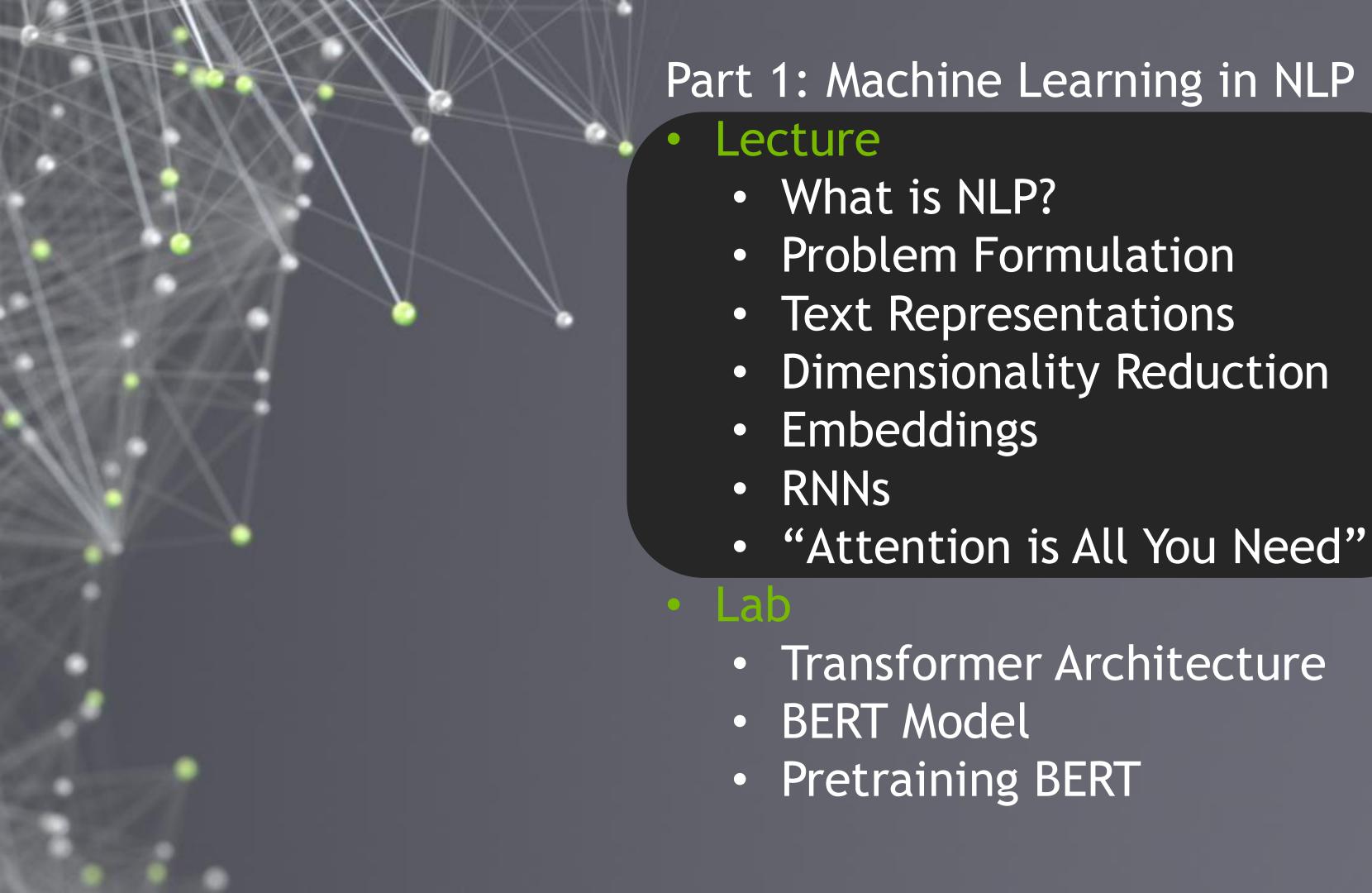
Lecture: Discussion of how language models with selfsupervision have moved beyond the basic Transformer to BERT and ever larger models

Lab: Practical hands-on guide to the NVIDIA NeMo API and exercises to build a *text classification task* and a *named entity recognition task* using BERT-based language models

#### Part 3: Production Deployment

Lecture: Discussion of production deployment considerations and NVIDIA Triton Inference Server

Lab: Hands-on deployment of an example *question answering task* to NVIDIA Triton

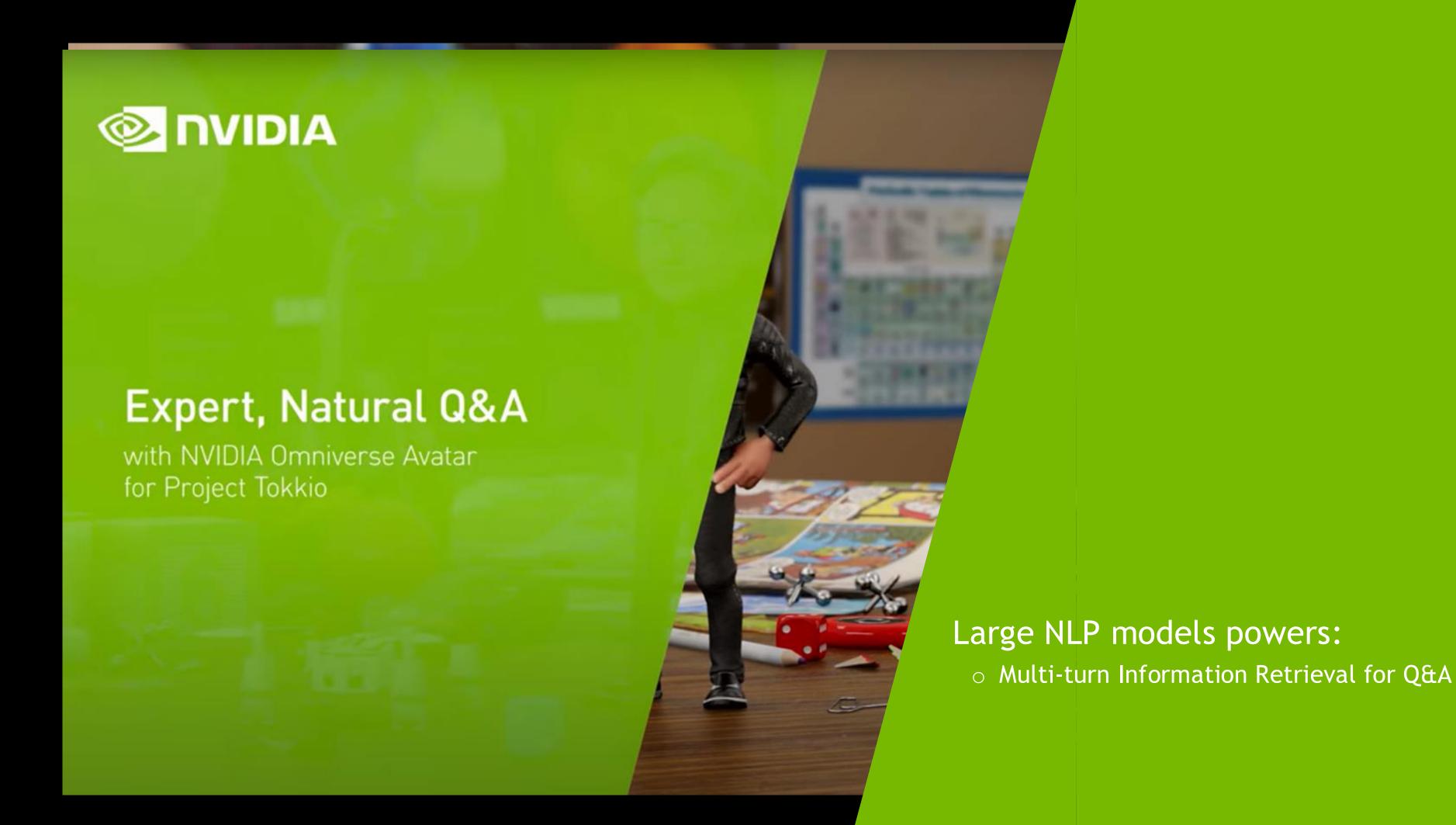


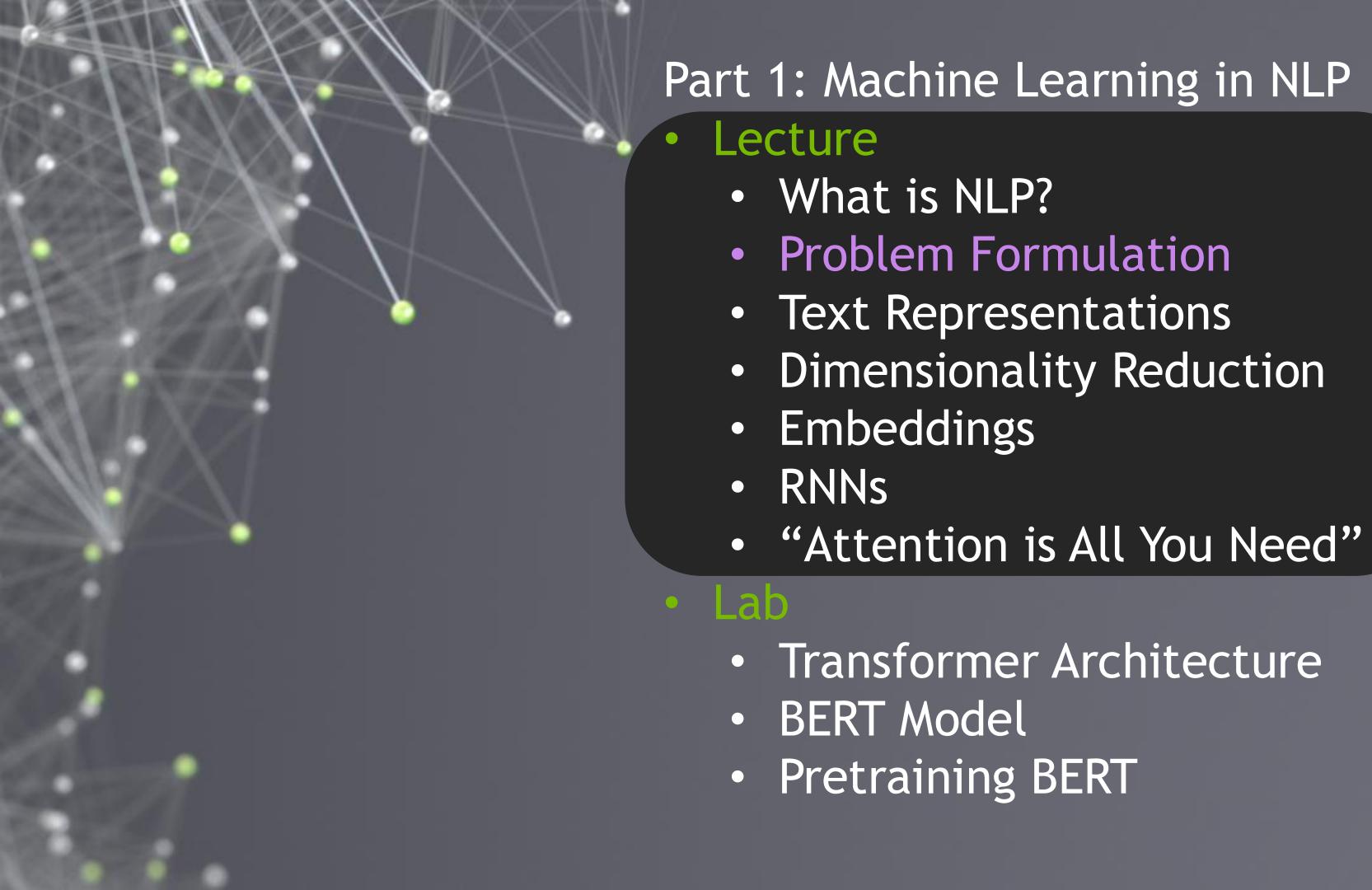






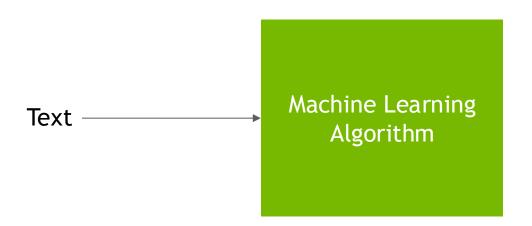




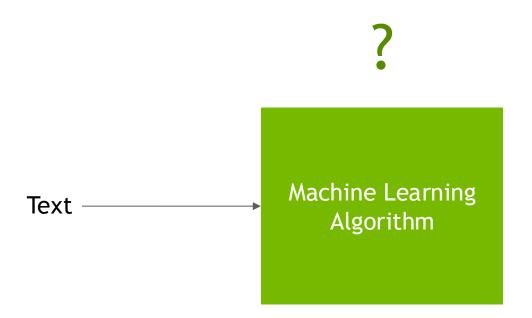




Discovering the discussed structures in text

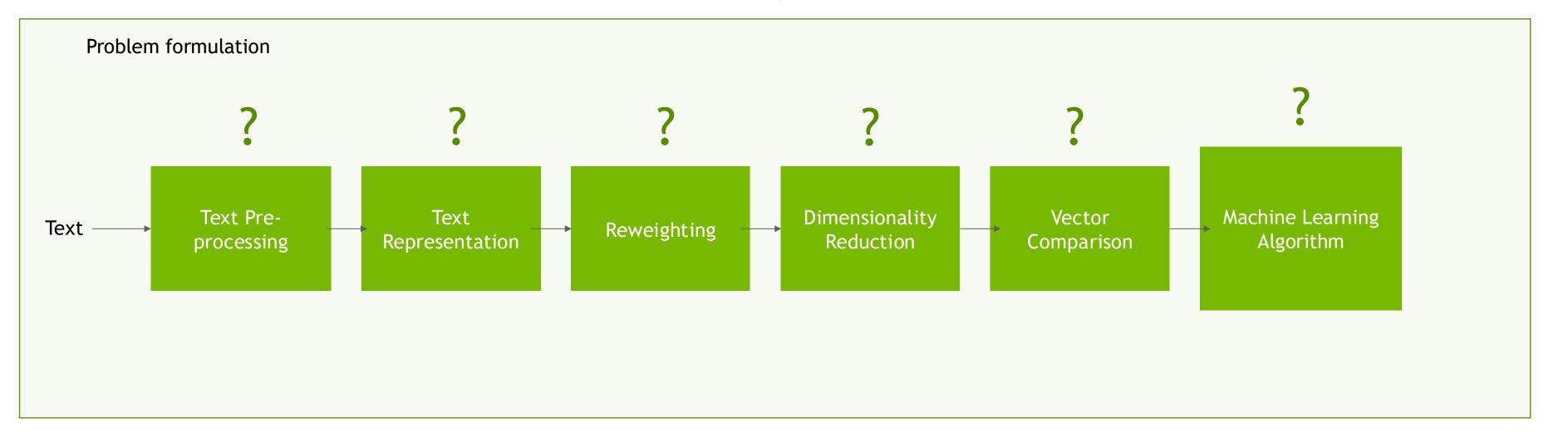


Discovering the discussed structures in text



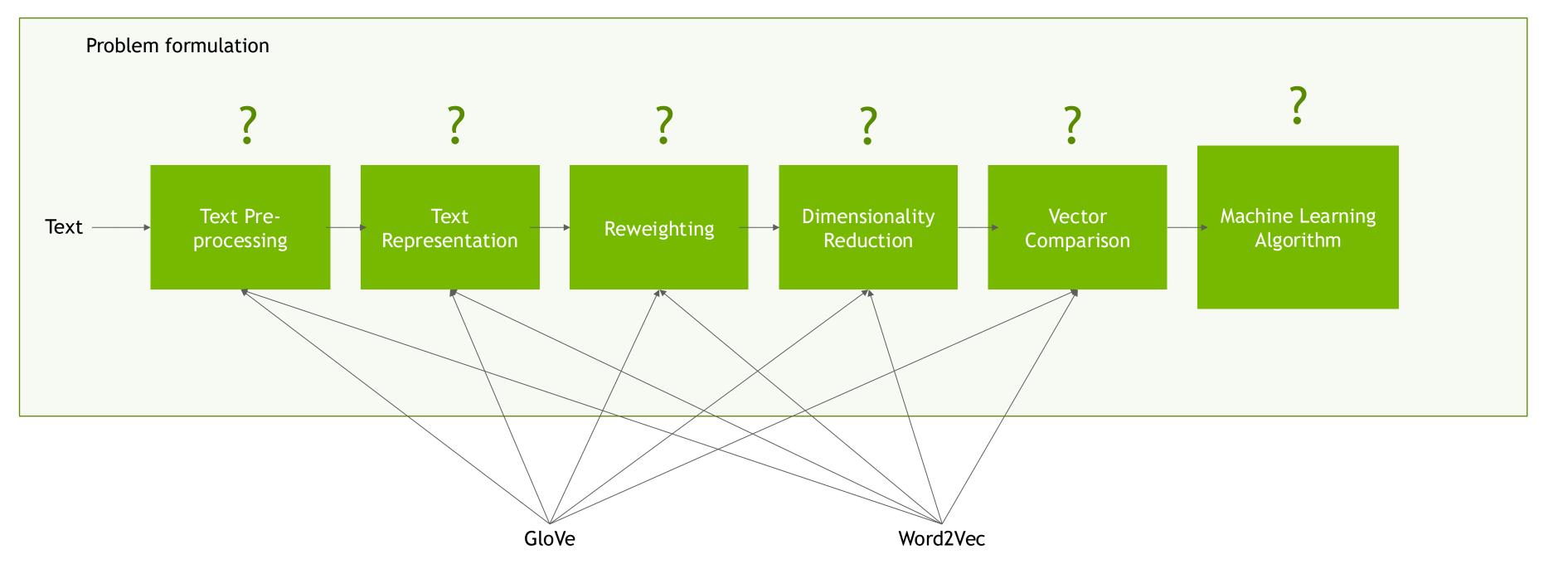
Design decisions

?

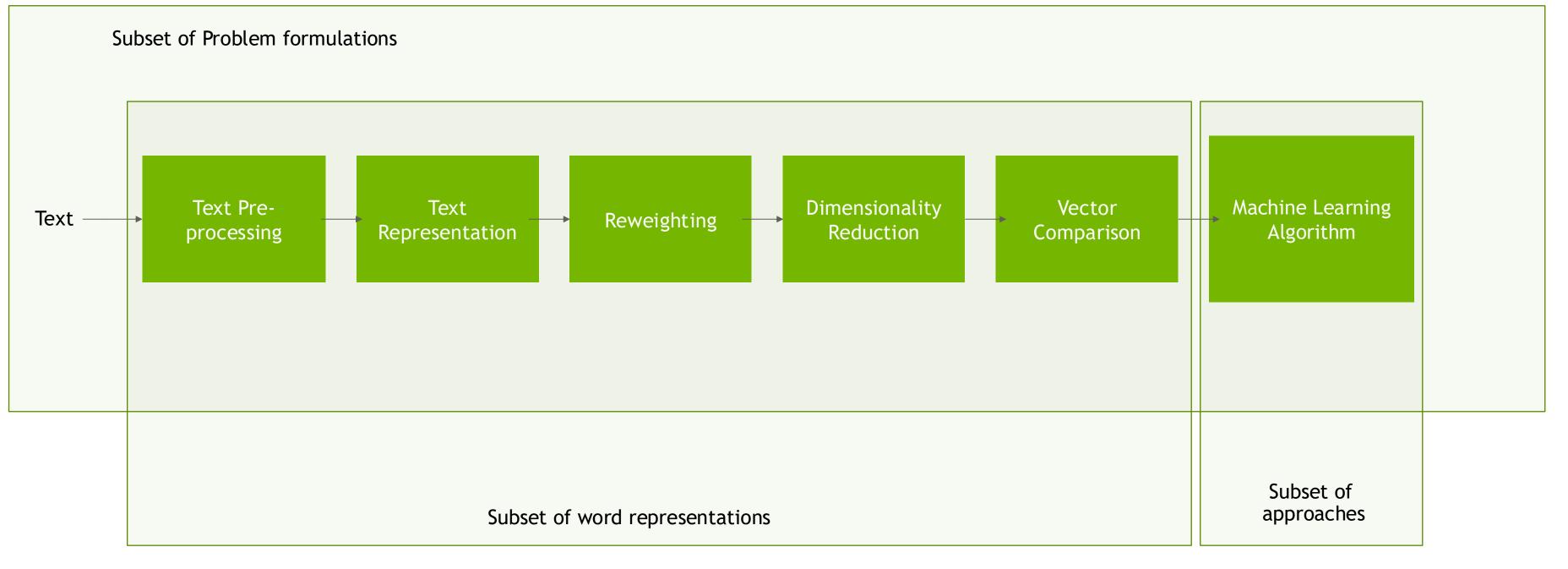


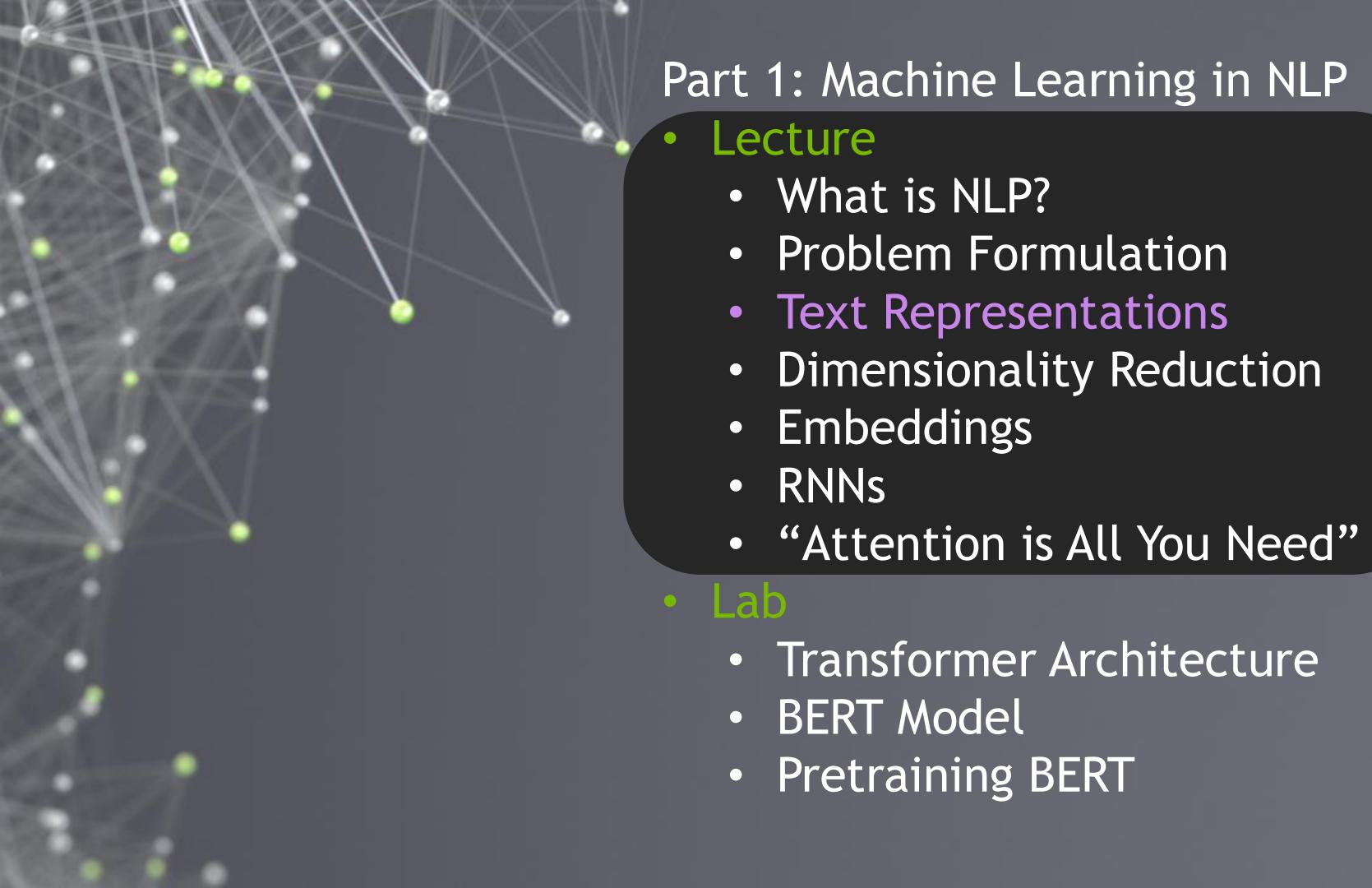
All linear combinations feasible

?



#### In this class





### TEXT REPRESENTATIONS

The bag of words

Bag of words/ngrams - feature per word/ngram

the cat sat on the mat

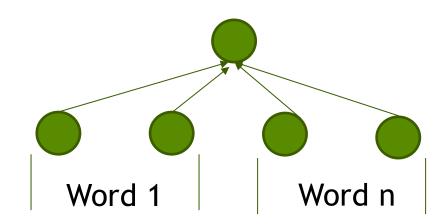
cat	sat	on	the		quic kly
1	1	1	2	1	0

... ¡Vocabulary¡

### THE BAG OF WORDS

### Key challenges

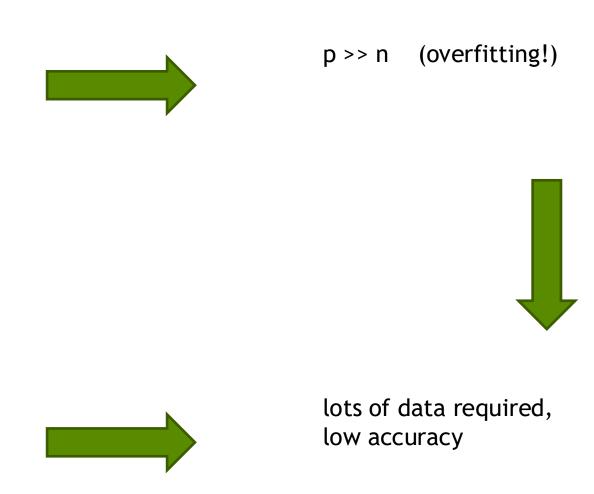
Sparse Input (1-hot)





dog: 10000...0

cat: 00100...0





### DISTRIBUTIONAL HYPOTHESIS

#### The intuition

'You can tell a word by the company it keeps'
Firth 1957

'Distributional statements can cover all of the material of a language without requiring support from other types of information'

Harris 1954

'The meaning of a word is its use in the language'
Wittgenstein 1953

'The complete meaning of a word is always contextual, and no study of meaning apart from context can be taken seriously.'

Firth 1957



## CO-OCCURRENCE PATTERNS

#### The latent information

	a	big	bug	the	little	but	beetle	bit	back
a	0	5	4	2	1	0	0	3	0
big	5	0	10	8	4	0	4	8	4
bug	4	10	0	8	4	0	4	8	5
the	2	8	8	0	8	3	8	10	3
little	1	4	4	13	1	3	10	8	0
but	0	0	0	7	7	0	7	3	0
beetle	0	4	4	11	11	4	1	8	1
bit	3	8	7	12	9	3	8	0	1
back	0	4	5	3	0	0	1	2	0

### **CO-OCCURRENCE PATTERNS**

#### Where to find them?

#### Possible relationships:

- Word to documents (very sparse and very wide)
- Word to word (very dense and compact)
- Word to user / person
- Word to user behaviour
- Word to product
- Word to custom feature (e.g. movie raking)

#### Not only metrices:

Word to user to product



<b>c1</b> :	Human machine	interface for	computer application	ĸ
		June June	companie upp-tune	_

c2: Survey of user opinion of computer system response time c3: The EPS user interface management system

c4: System and human system engineering testing of EPS

c5: User-perceived response time and error measurement

m1: The generation of random, binary, unordered trees

m2: The intersection graph of paths in trees m3: Graph minors: Widths of trees and well-quasi-ordering

m4: Graph minors: A survey

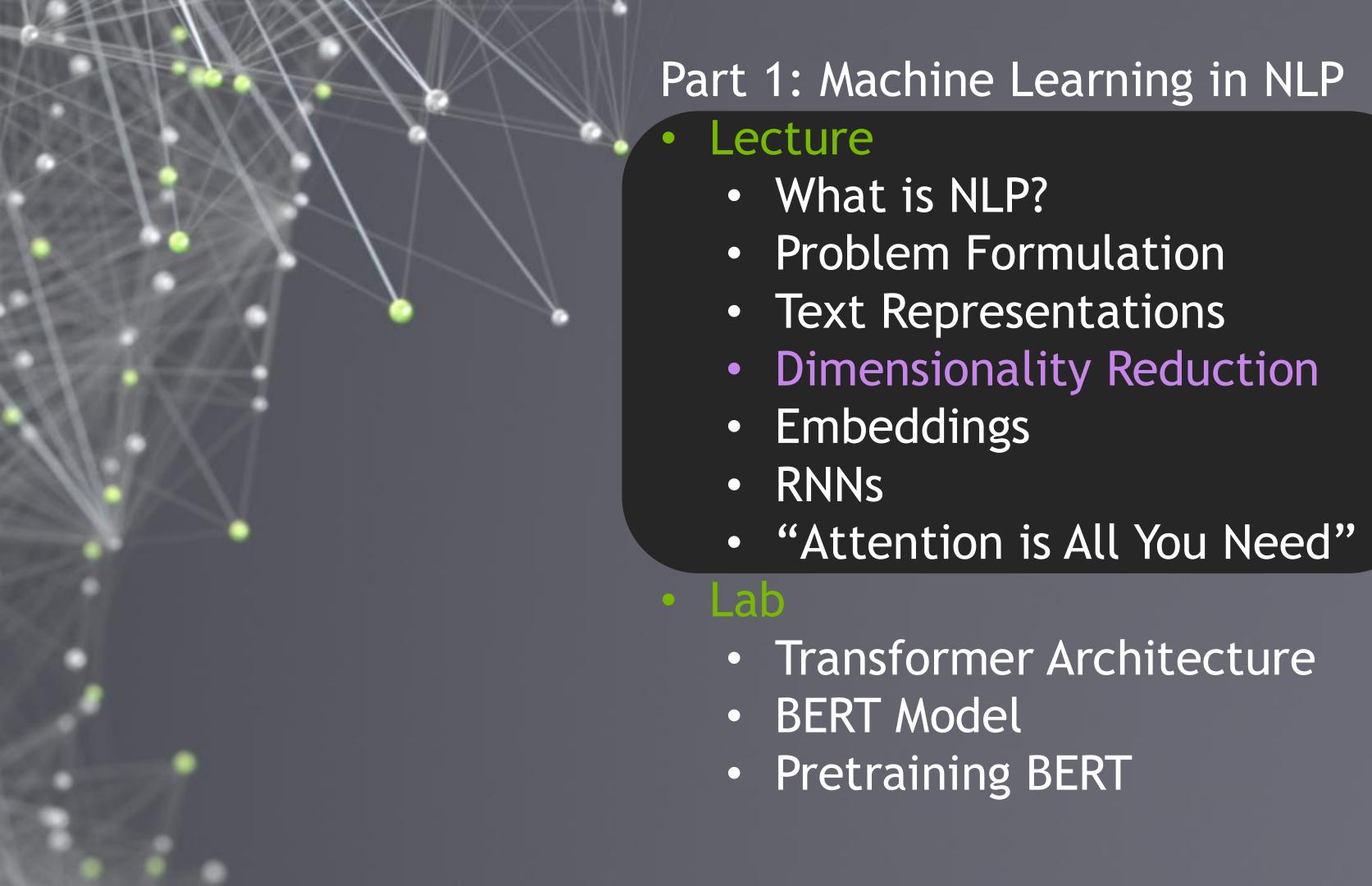
(A) Database of Titles

( <b>B</b> )	Term	by	Title	Matrix

		Titles							
	c1	c2	<b>c</b> 3	c4	<b>c</b> 5	m1	m2	m3	m4
Terms			••						
human	1	0	0	1	0	0	0	0	0
interface	1	0	1	0	0	0	0	0	0
computer	1	1	0	0	0	0	0	0	0
user	0	1	1	0	1	0	0	0	0
system	0	1	1	2	0	0	0	0	0
response	0	1	0	0	1	0	0	0	0
time	0	1	0	0	1	0	0	0	0
EPS	0	0	1	1	0	0	0	0	0
survey	0	1	0	0	0	0	0	0	1
trees	0	0	0	0	0	1	1	1	0
graph	0	0	0	0	0	0	1	1	1
minors	0	0	0	0	0	0	0	1	1

Figure 1. (A) A sample dataset consisting of the titles of nine technical memoranda. Terms occurring in more than one title are italicized. There are two classes of objects - five titles about human-computer interaction (c1-c5) and four about graphs (m1-m4). (B) This dataset can be described by means of a term by title matrix where each cell entry indicates the frequency with which a term occurs in a title. This matrix was used as the data, X, on which SVD was performed.

CHI '88



### DIMENSIONALITY REDUCTION

#### Rationale

The need for compact and computationally efficient representations

More robust notions of distance exposing the information captured by our distributional representation



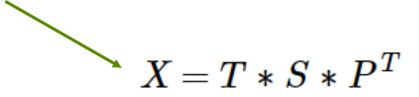
## LSA/LSI

Latent Semantic Analysis / Latent Semantic Indexing



# LLSA/LSI Truncated SVD

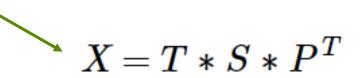
Terms x Documents



### LSA/LSI

#### **Truncated SVD**

#### Terms x Documents

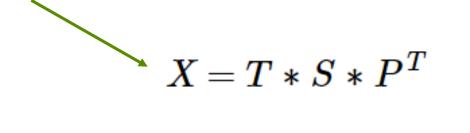


K largest singular values

$$X = T_k * S_k * P_k^T$$

# LSA/LSI Truncated SVD

#### Terms x Documents



K largest singular values

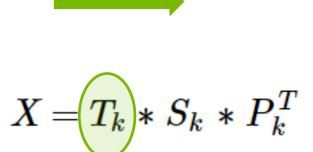
$$X = T_k * S_k * P_k^T$$

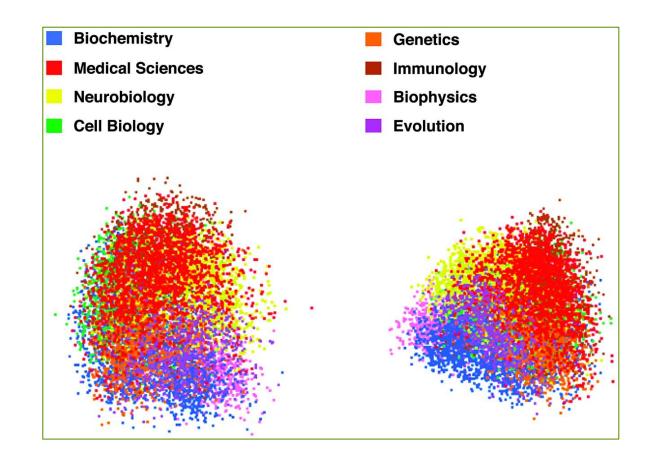
**Latent Semantic Space** 

### LSA/LSI

#### Documents that are similar are closer

-	•				Ti	itles			
	c1	c2	c3	c4	<b>c</b> 5	m1	m2	m3	m4
Terms									
human	1	0	0	1	0	0	0	0	0
interface	1	0	1	0	0	0	0	0	0
computer	1	i	0	0	0	0	0	0	0
user	0	1	1	0	1	0	0	0	0
system	0	1	1	2	0	0	0	0	0
response	0	1	0	0	1	0	0	0	0
time	0	1	0	0	1	0	0	0	0
EPS	0	0	1	1	0	0	0	0	0
survey	0	1	0	0	0	0	0	0	1
trees	0	0	0	0	0	1	1	1	0
graph	0	0	0	0	0	0	1	1	1
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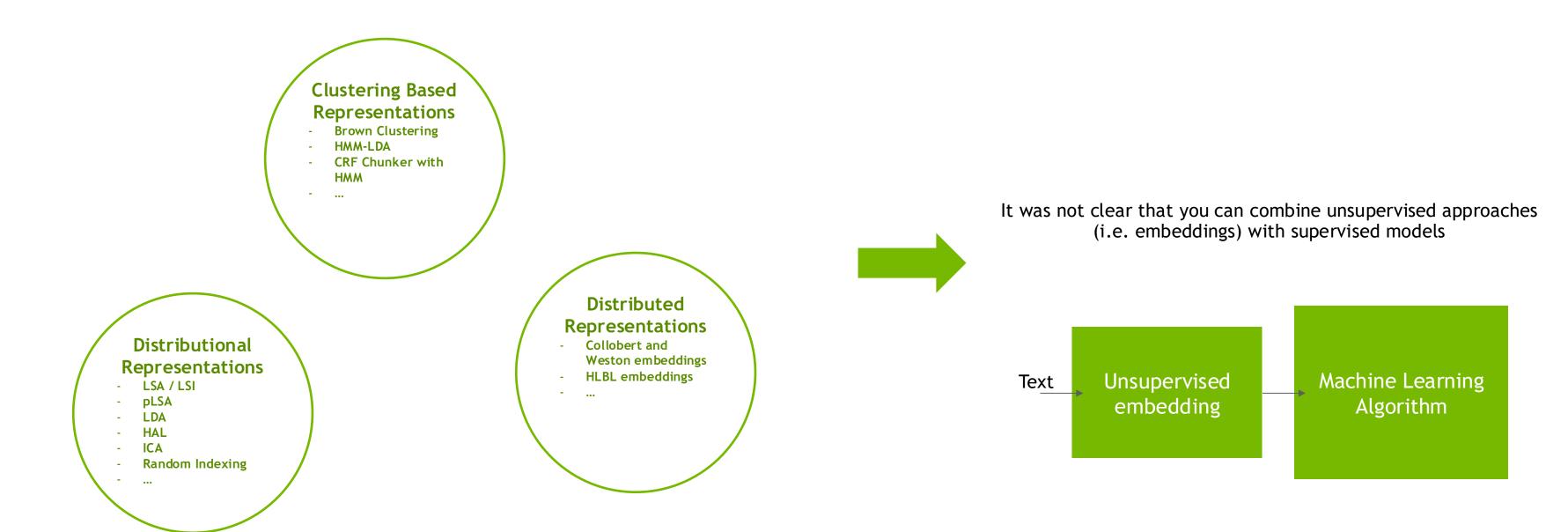
Dumais, Susan T., et al. "Using latent semantic analysis to improve access to textual information." *Proceedings of the SIGCHI conference on Human factors in computing systems*. 1988.

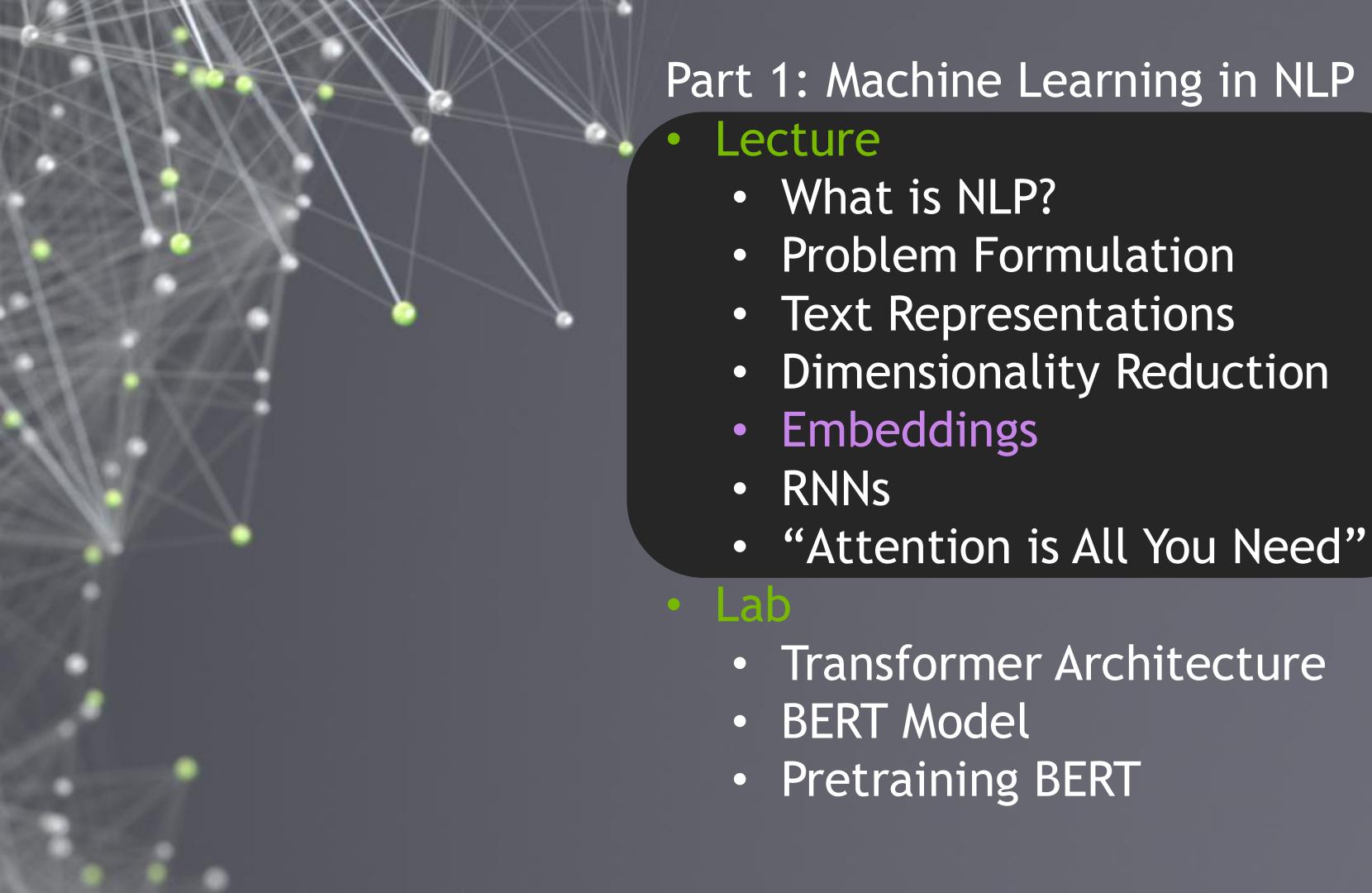


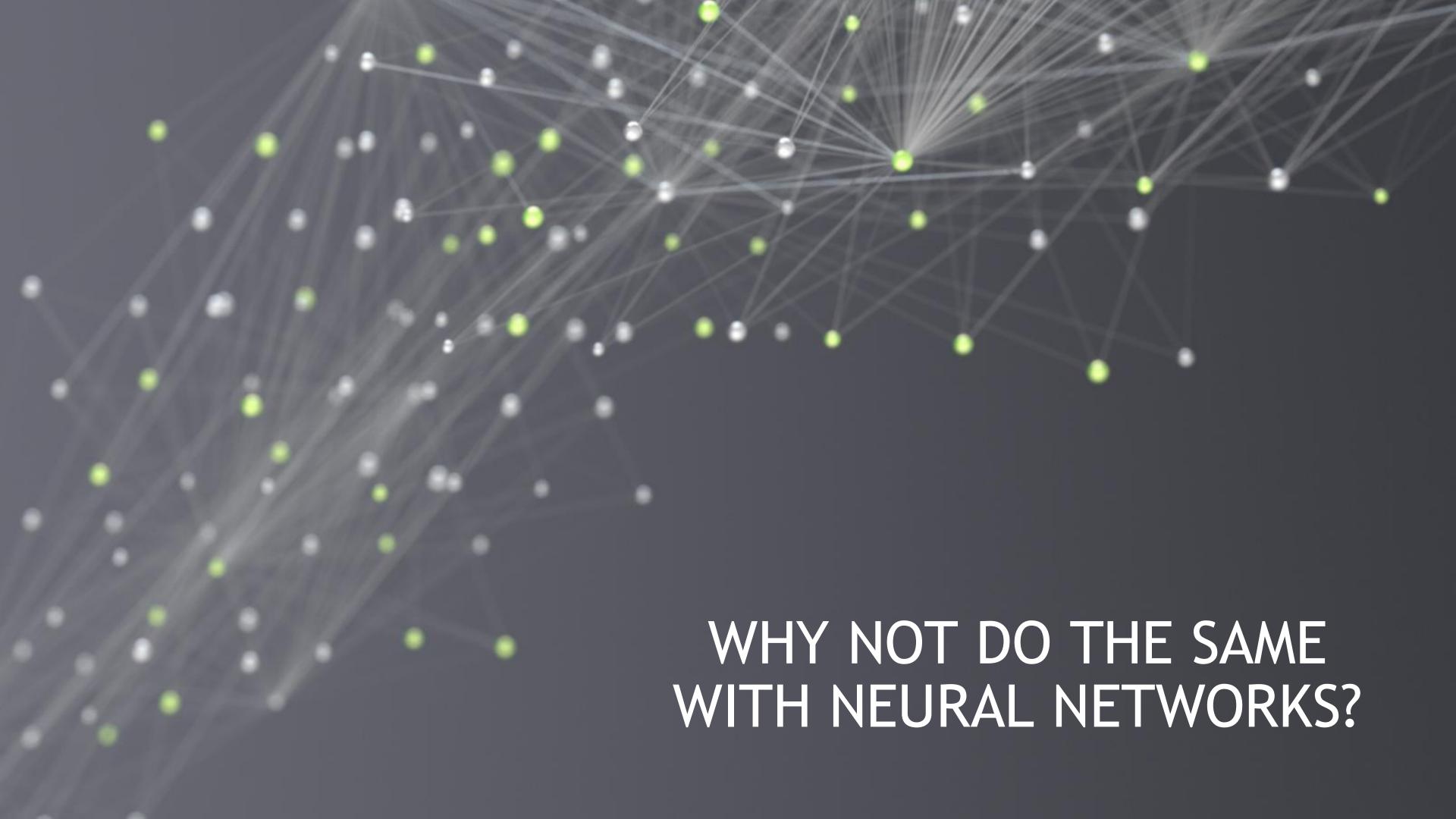


### STATUS AS OF 2010

#### Yes and No







### STATUS AS OF 2010

#### Not enough computational power

Word embeddings are typically induced using *neural language models*, which use neural networks as the underlying predictive model (Bengio, 2008). Historically, training and testing of neural language models has been slow, scaling as the size of the vocabulary for each model computation (Bengio et al., 2001; Bengio et al., 2003). However, many approaches have been proposed in recent years to eliminate that linear dependency on vocabulary size (Morin & Bengio, 2005; Collobert & Weston, 2008; Mnih & Hinton, 2009) and allow scaling to very large training corpora.

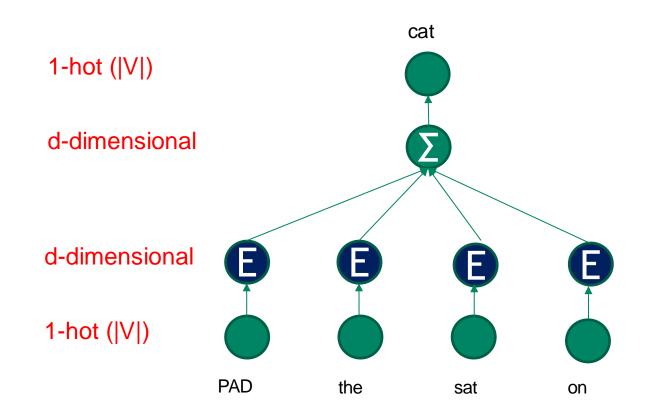




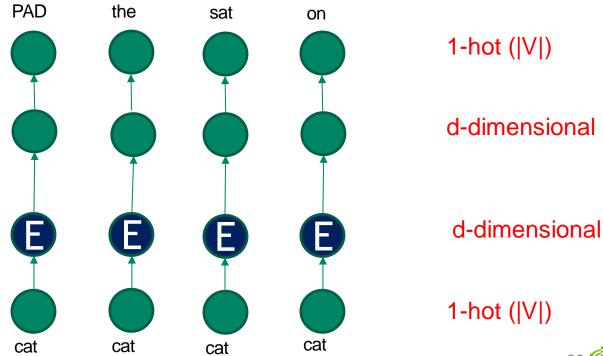
#### **WORD2VEC**

- Mikolov et al., 2013 (while at Google)
- Linear model (trains quickly)
- Two models for training embeddings in an unsupervised manner:

#### Continuous Bag-of-Words (CBOW)



#### Skip-Gram







#### The objective

To learn vectors for words such that their dot product is proportional to their probability of co-occurence

Probability and Ratio	k = solid	k = gas	k = water	k = fashion
		$6.6 \times 10^{-5}$		
P(k steam)	$2.2 \times 10^{-5}$	$7.8 \times 10^{-4}$	$2.2 \times 10^{-3}$	$1.8 \times 10^{-5}$
P(k ice)/P(k steam)	8.9	$8.5 \times 10^{-2}$	1.36	0.96

#### The objective

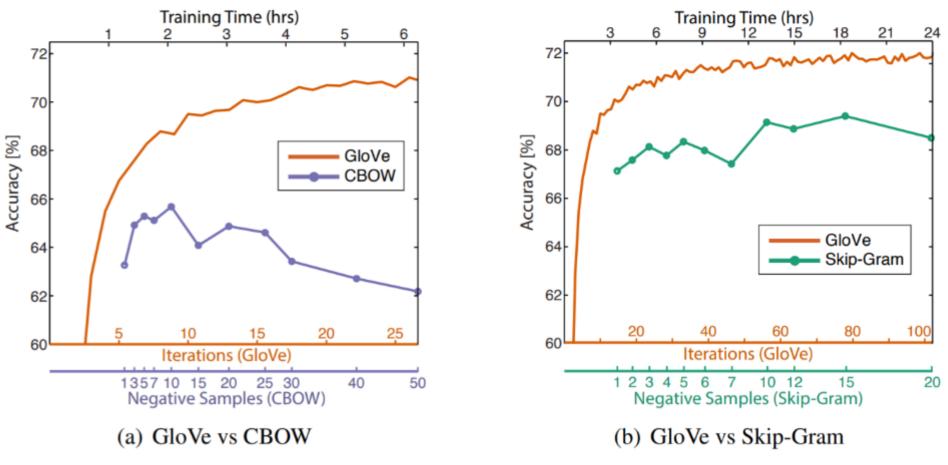
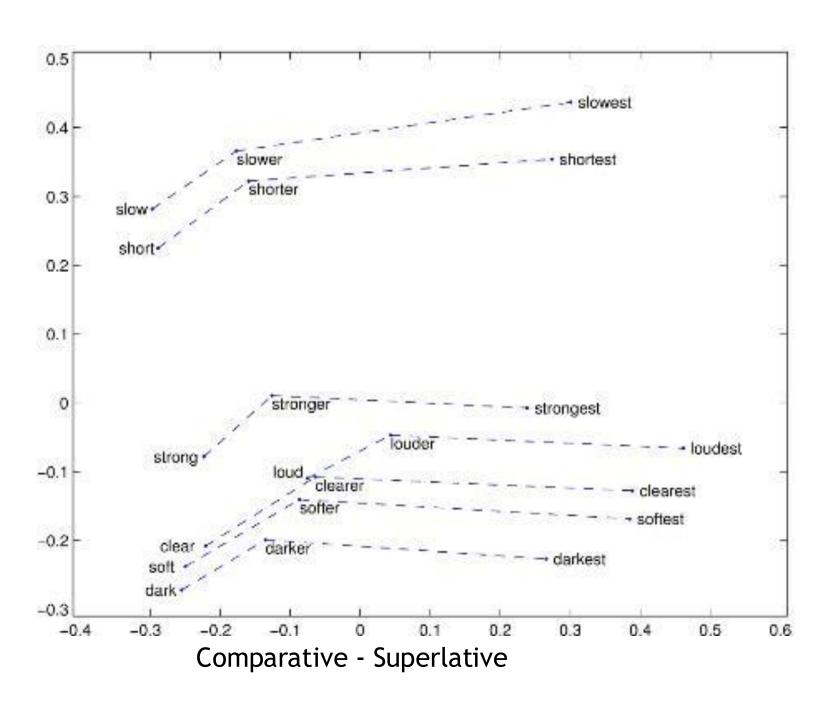
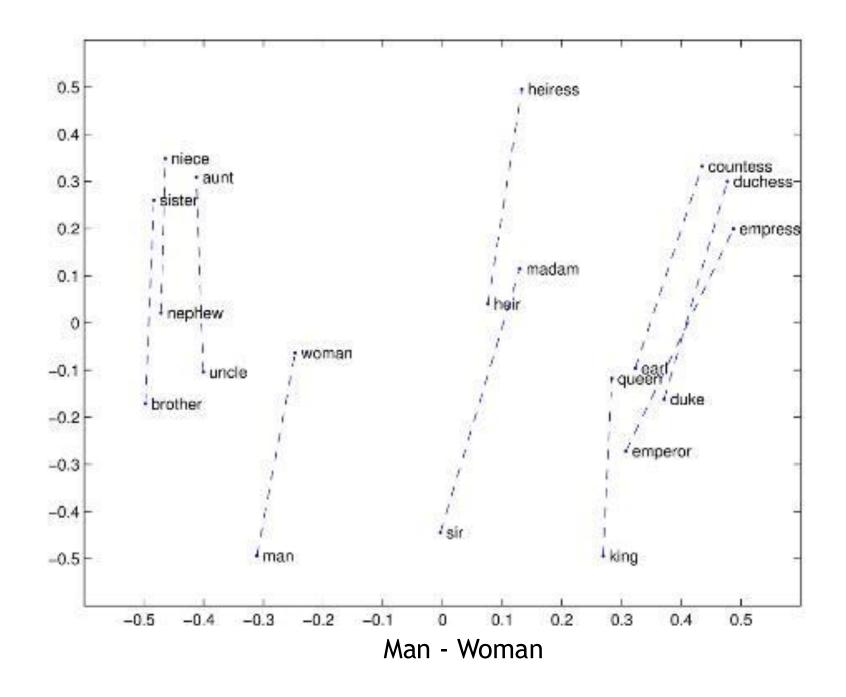


Figure 4: Overall accuracy on the word analogy task as a function of training time, which is governed by the number of iterations for GloVe and by the number of negative samples for CBOW (a) and skip-gram (b). In all cases, we train 300-dimensional vectors on the same 6B token corpus (Wikipedia 2014 + Gigaword 5) with the same 400,000 word vocabulary, and use a symmetric context window of size 10.

#### **Properties**





Pennington, J., Socher, R., & Manning, C. D. (2014, October). Glove: Global vectors for word representation. In *Proceedings of the 2014 conference on empirical methods in natural language processing (EMNLP)* (pp. 1532-1543).



#### Not a distant past

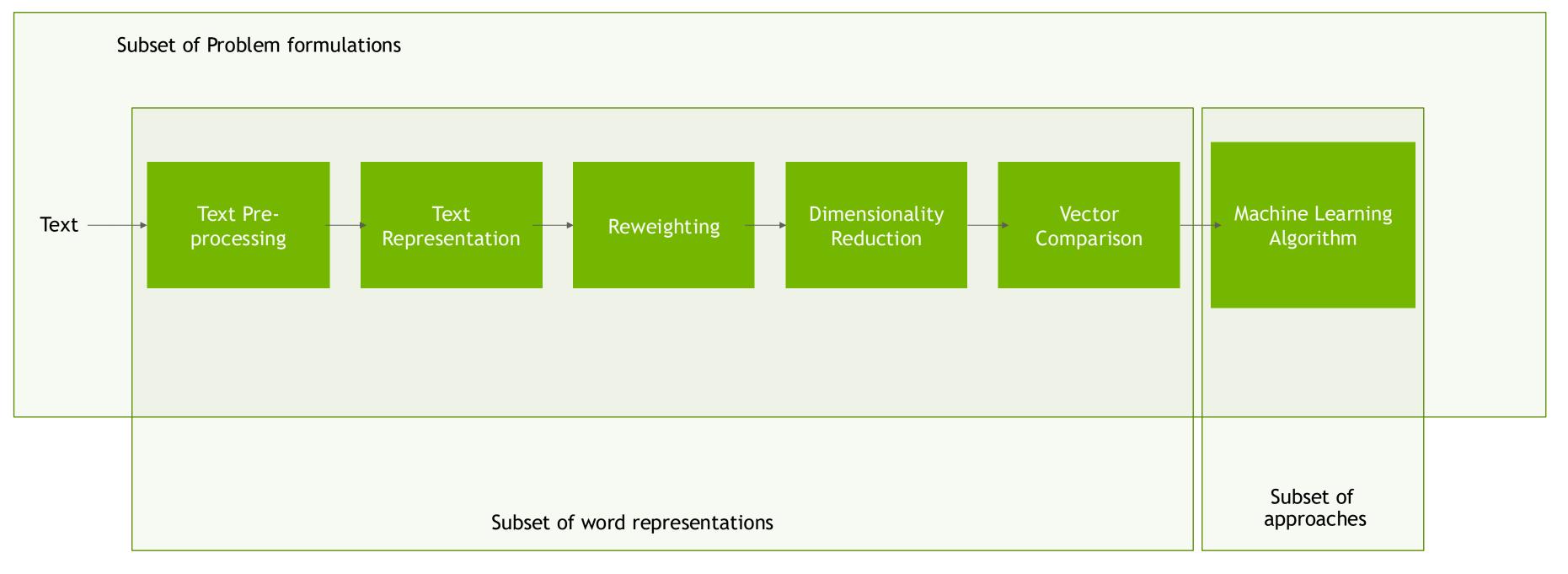


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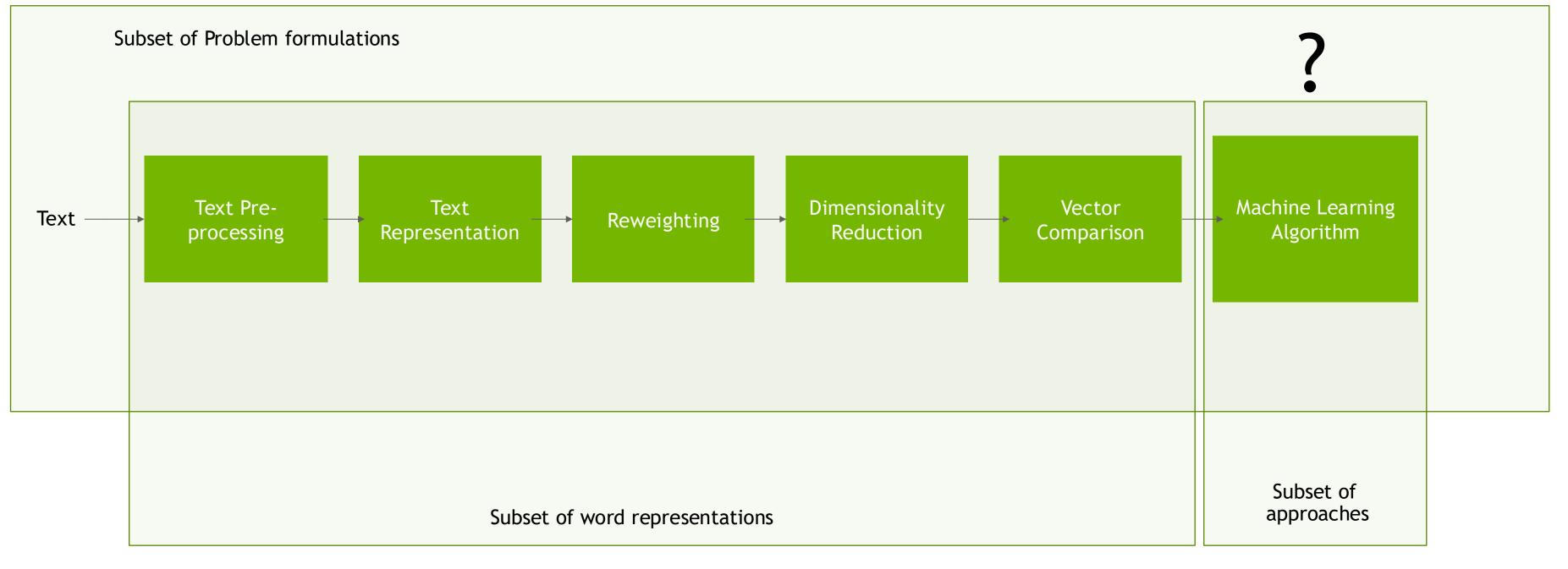
### THE APPROACH TO NLP

Unsupervised feature representation + Machine Learning models



### THE APPROACH TO NLP

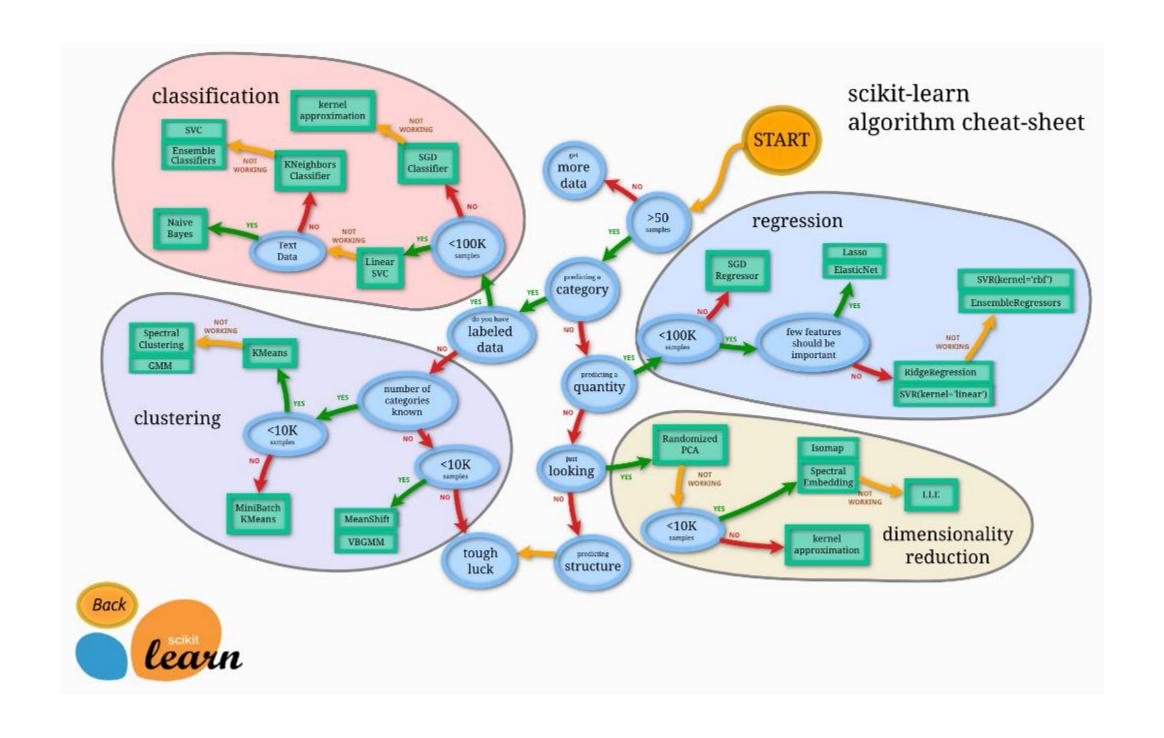
What ML model to choose





### CLASSICAL APPROACHES

#### Very broad selection of tools

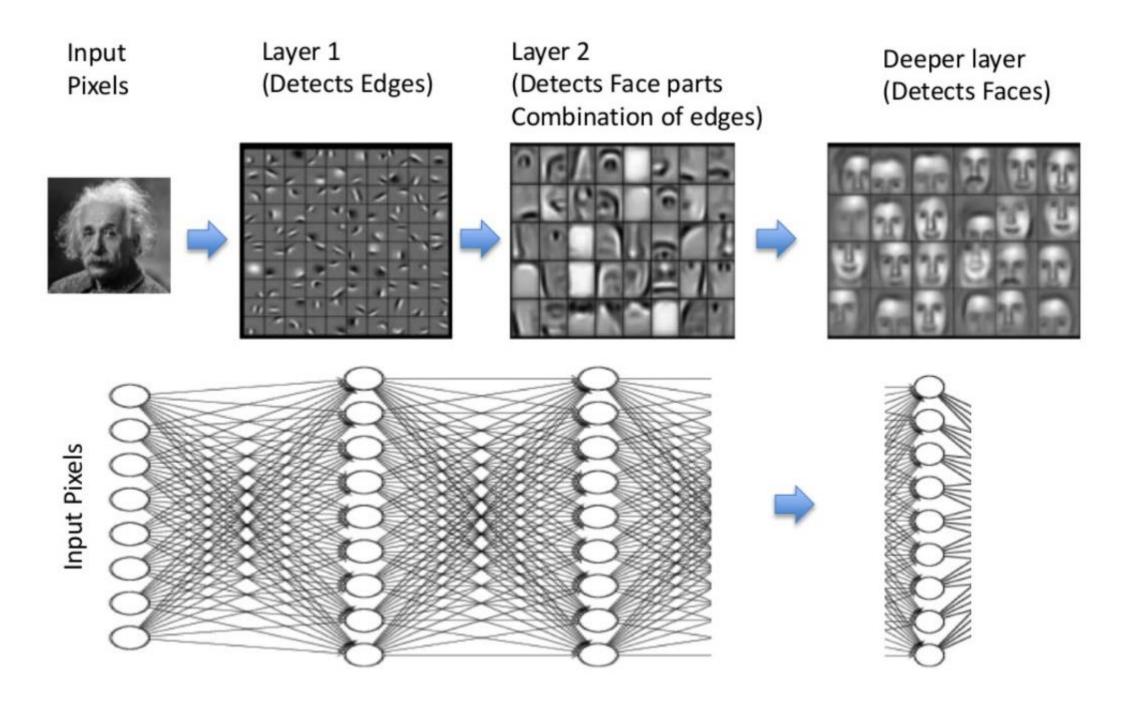


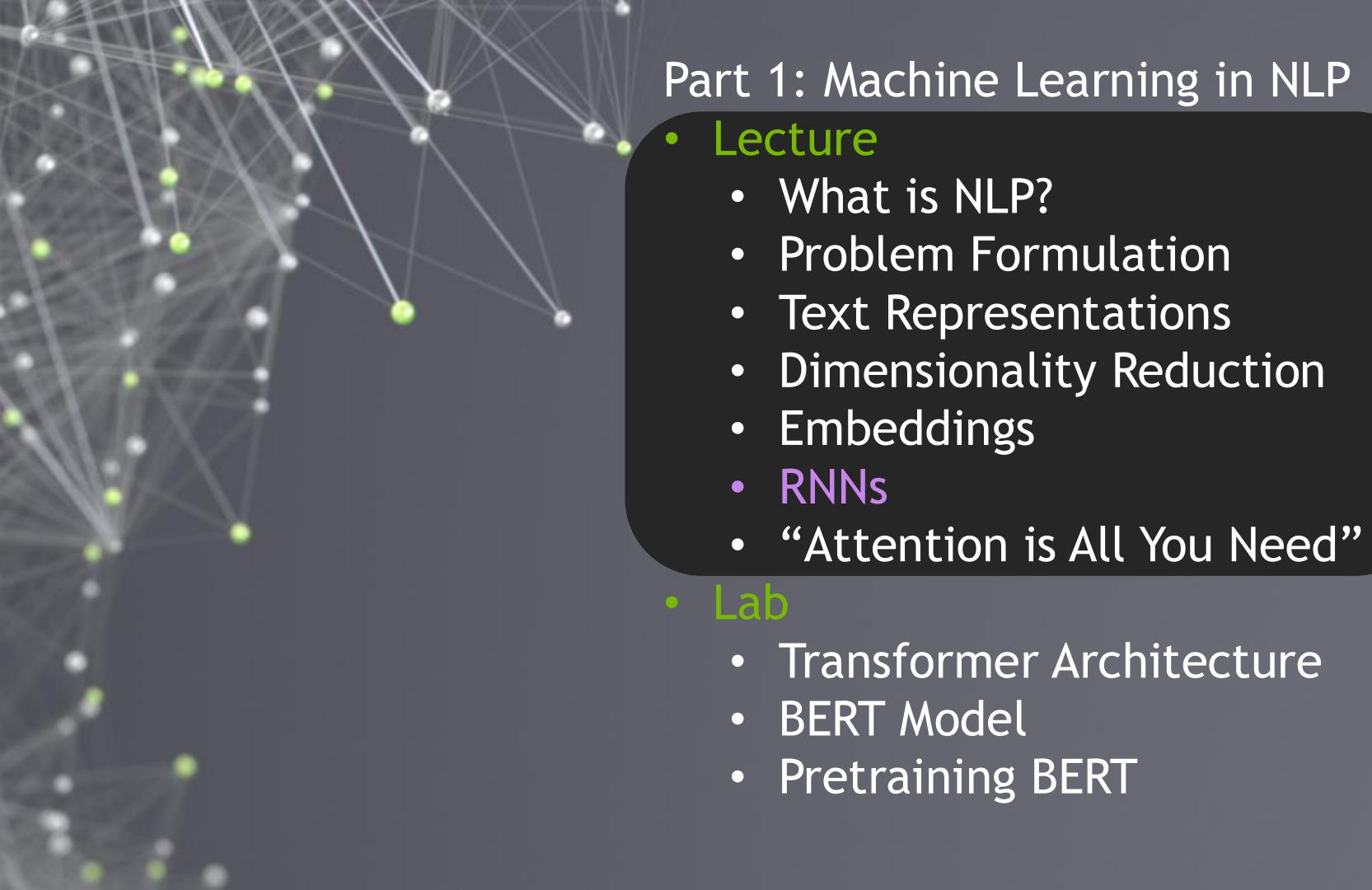




### DEEP REPRESENTATION LEARNING

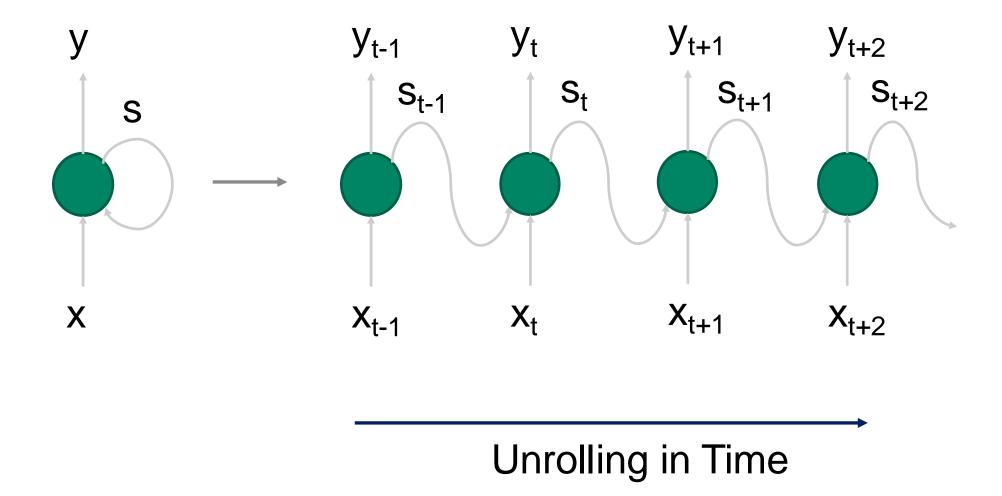
#### Beyond distributional hypothesis





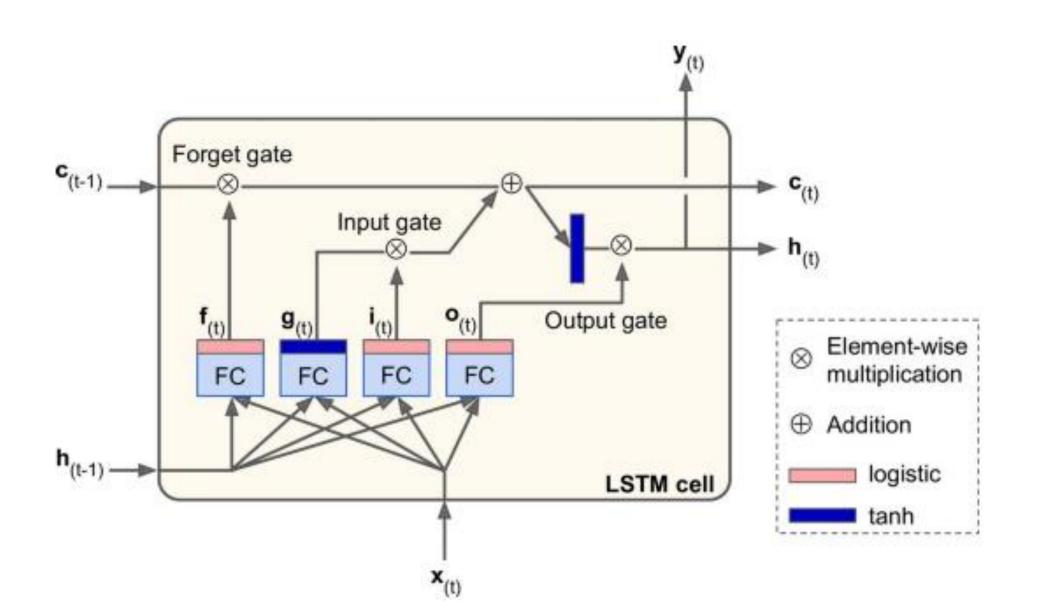
## RECURRENT NEURAL NETWORKS

#### Basic principles



# LONG SHORT TERM (LSTM) CELL

### Addressing problems of stability



$$\mathbf{i}_{(t)} = \sigma(\mathbf{W}_{xi}^{T} \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hi}^{T} \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_{i})$$

$$\mathbf{f}_{(t)} = \sigma(\mathbf{W}_{xf}^{T} \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hf}^{T} \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_{f})$$

$$\mathbf{o}_{(t)} = \sigma(\mathbf{W}_{xo}^{T} \cdot \mathbf{x}_{(t)} + \mathbf{W}_{ho}^{T} \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_{o})$$

$$\mathbf{g}_{(t)} = \tanh(\mathbf{W}_{xg}^{T} \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hg}^{T} \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_{g})$$

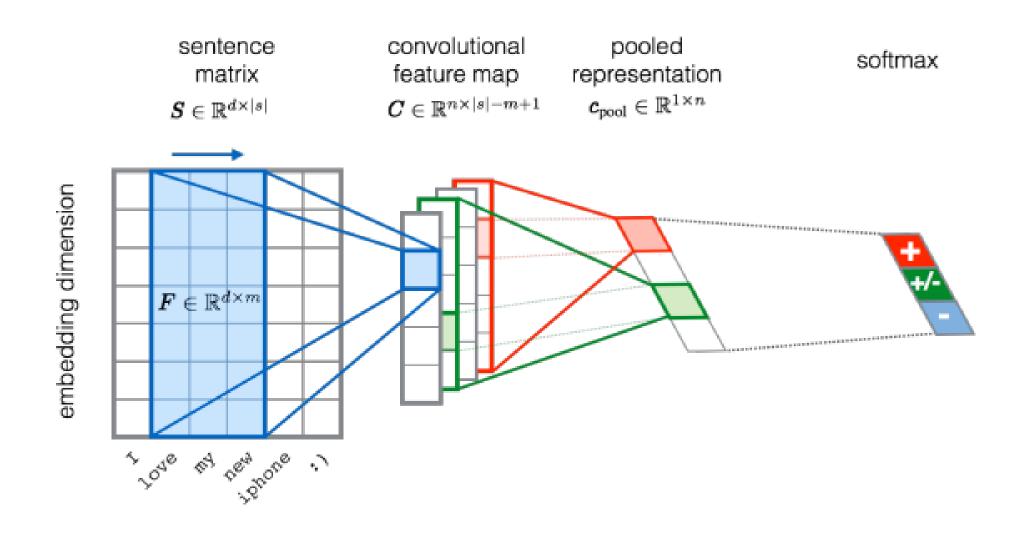
$$\mathbf{c}_{(t)} = \mathbf{f}_{(t)} \otimes \mathbf{c}_{(t-1)} + \mathbf{i}_{(t)} \otimes \mathbf{g}_{(t)}$$

$$\mathbf{y}_{(t)} = \mathbf{h}_{(t)} = \mathbf{o}_{(t)} \otimes \tanh(\mathbf{c}_{(t)})$$



### CONVOLUTIONAL NEURAL NETWORKS

#### Basic principles





## WHAT ABOUT LONG SEQUENCES?

#### The challenge illustrated with SQuAD

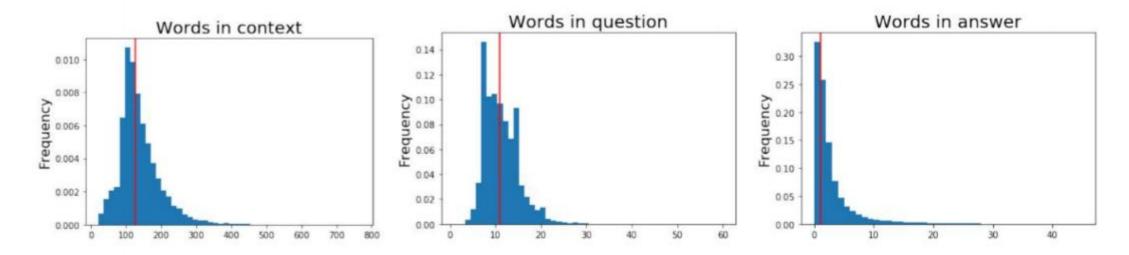


Figure 1: Number of words in contexts, questions, and answers in SQuAD training set.

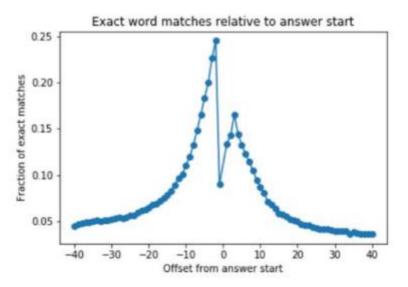
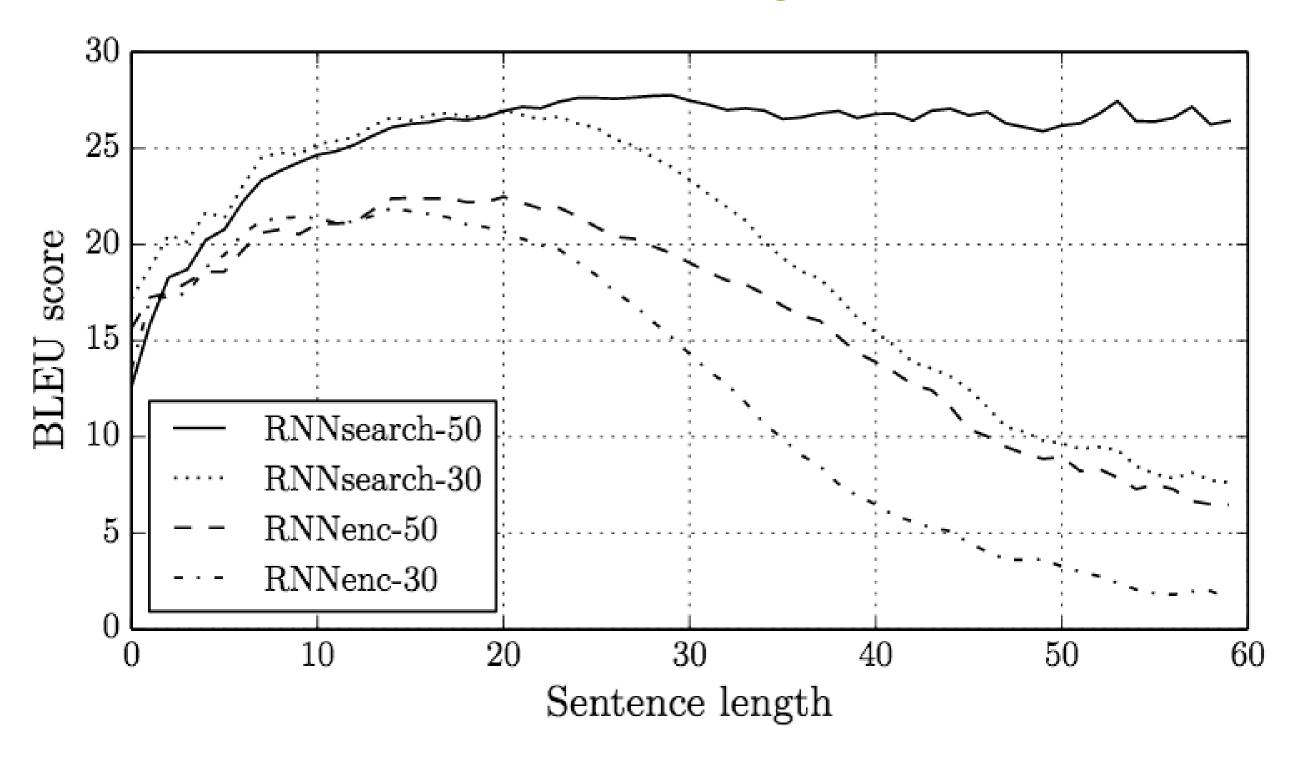


Figure 2: Frequency of exact word matches relative to answer start position

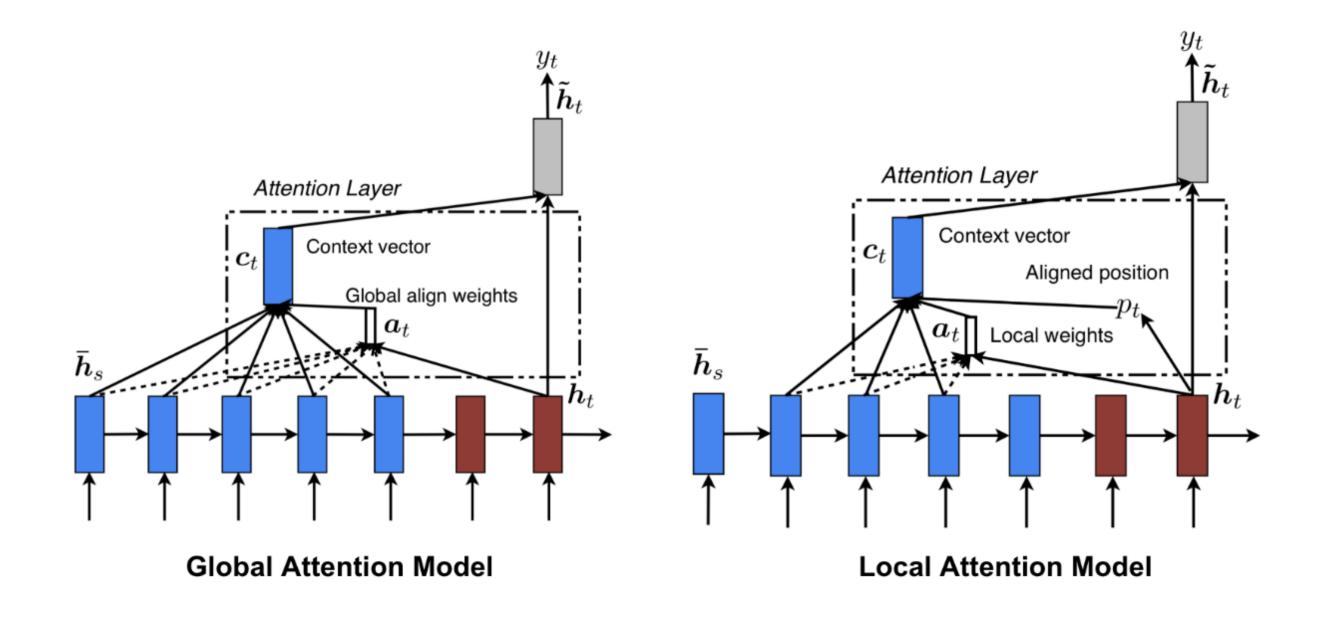


## WHAT ABOUT LONG SEQUENCES?

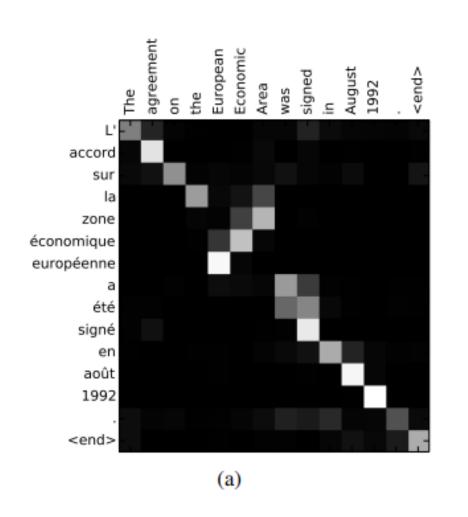
### The challenge

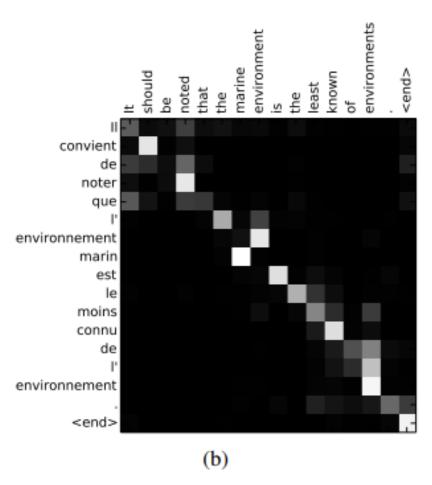


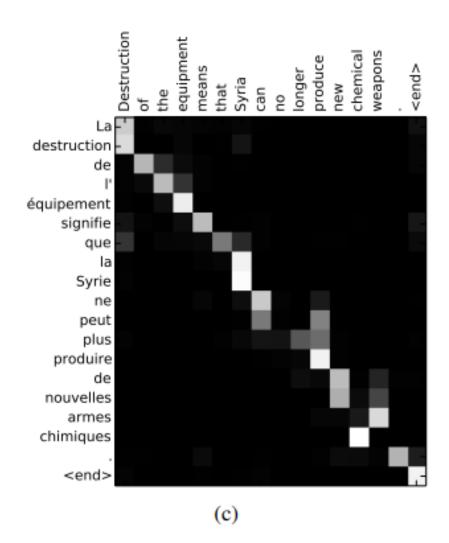
#### The mechanism



#### The mechanism







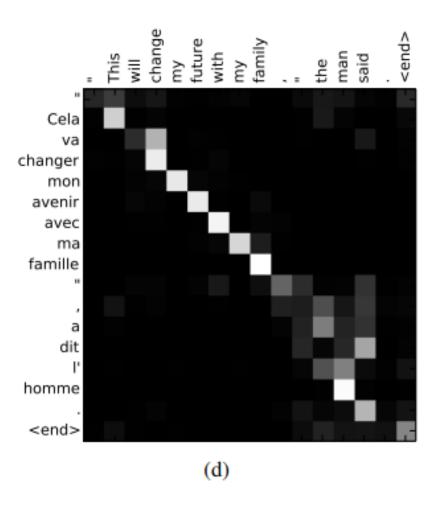
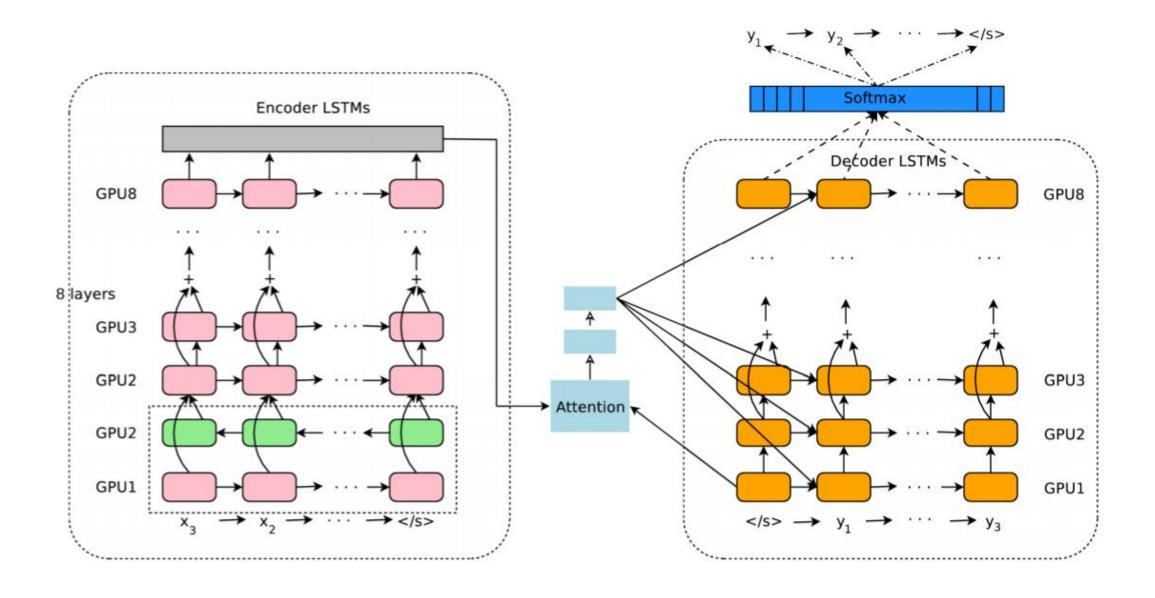
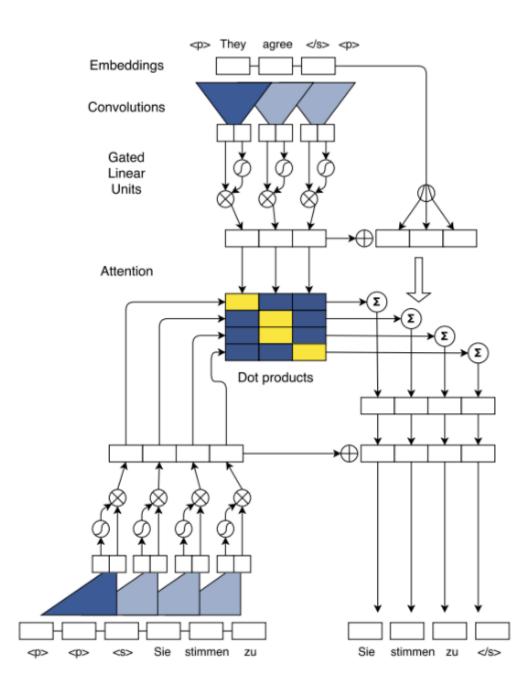


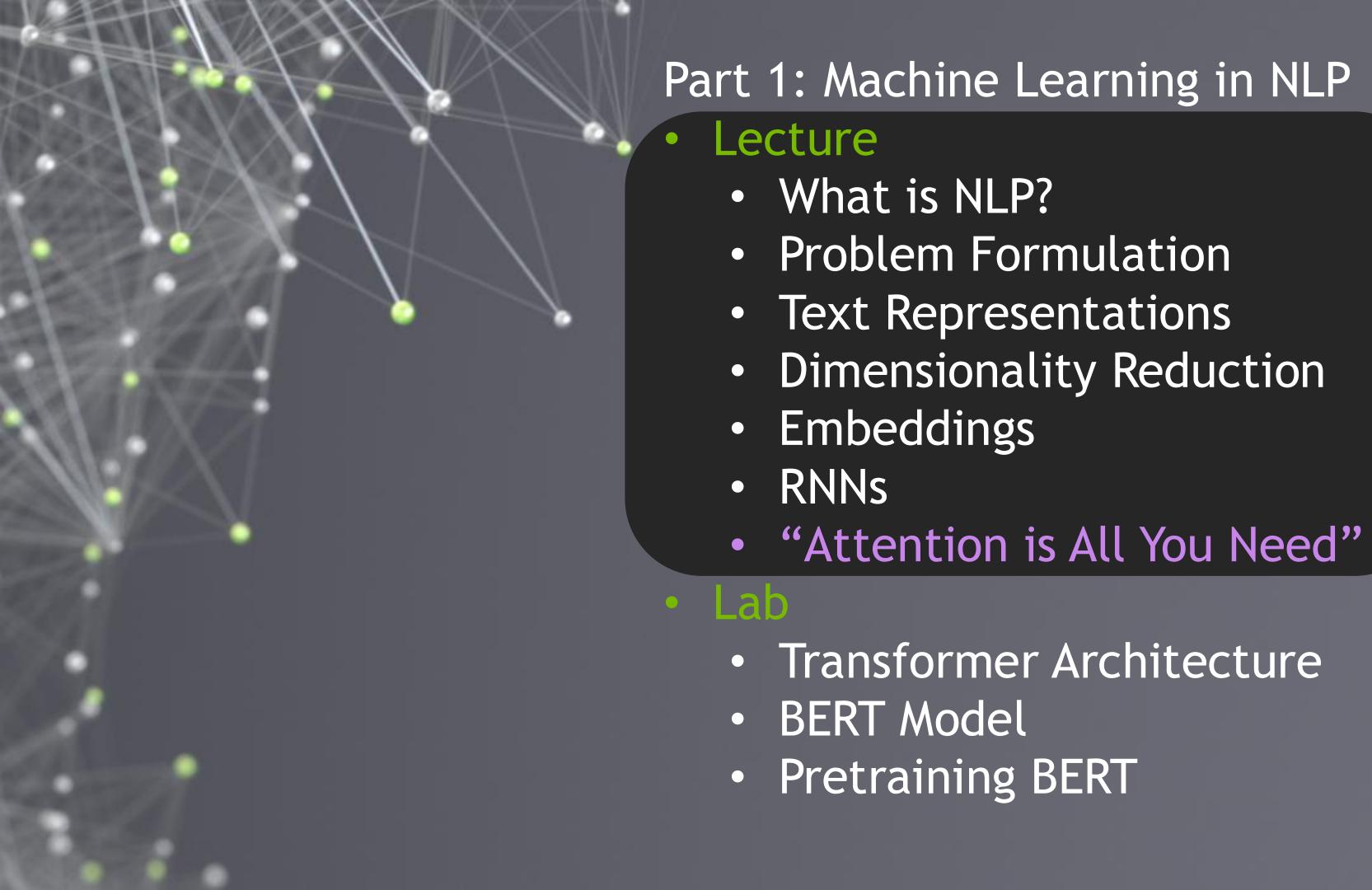
Figure 3: Four sample alignments found by RNNsearch-50. The x-axis and y-axis of each plot correspond to the words in the source sentence (English) and the generated translation (French), respectively. Each pixel shows the weight  $\alpha_{ij}$  of the annotation of the j-th source word for the i-th target word (see Eq. (6)), in grayscale (0: black, 1: white). (a) an arbitrary sentence. (b–d) three randomly selected samples among the sentences without any unknown words and of length between 10 and 20 words from the test set.

### Examples



### Examples





### Design

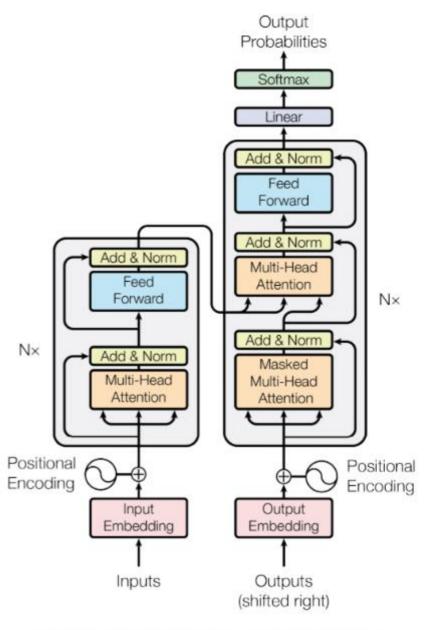
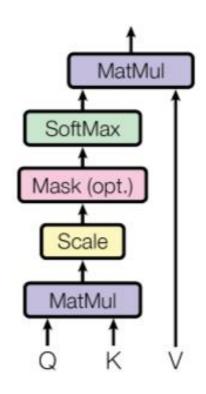


Figure 1: The Transformer - model architecture.

### Design

#### Scaled Dot-Product Attention



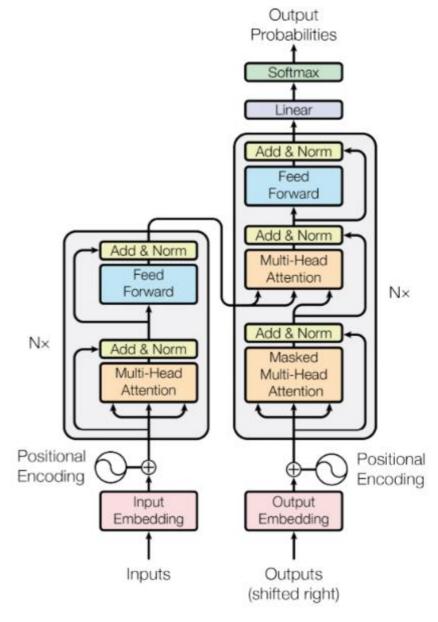
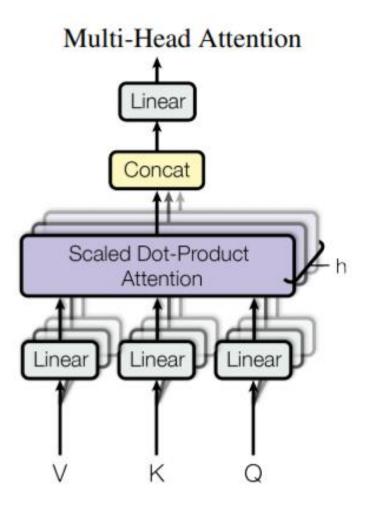


Figure 1: The Transformer - model architecture.







#### Not a breakthrough in itself

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BL	EU	Training Cost (FLOPs)	
Model	EN-DE	EN-FR	EN-DE	EN-FR
ByteNet [15]	23.75			
Deep-Att + PosUnk [32]		39.2		$1.0 \cdot 10^{20}$
GNMT + RL [31]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$
ConvS2S [8]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$
MoE [26]	26.03	40.56	$2.0 \cdot 10^{19}$	$1.2\cdot 10^{20}$
Deep-Att + PosUnk Ensemble [32]		40.4	811 001 1 0010	$8.0 \cdot 10^{20}$
GNMT + RL Ensemble [31]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$
ConvS2S Ensemble [8]	26.36	41.29	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$
Transformer (base model)	27.3	38.1	$3.3\cdot 10^{18}$	
Transformer (big)	28.4	41.0	$2.3 \cdot 10^{19}$	

But ...

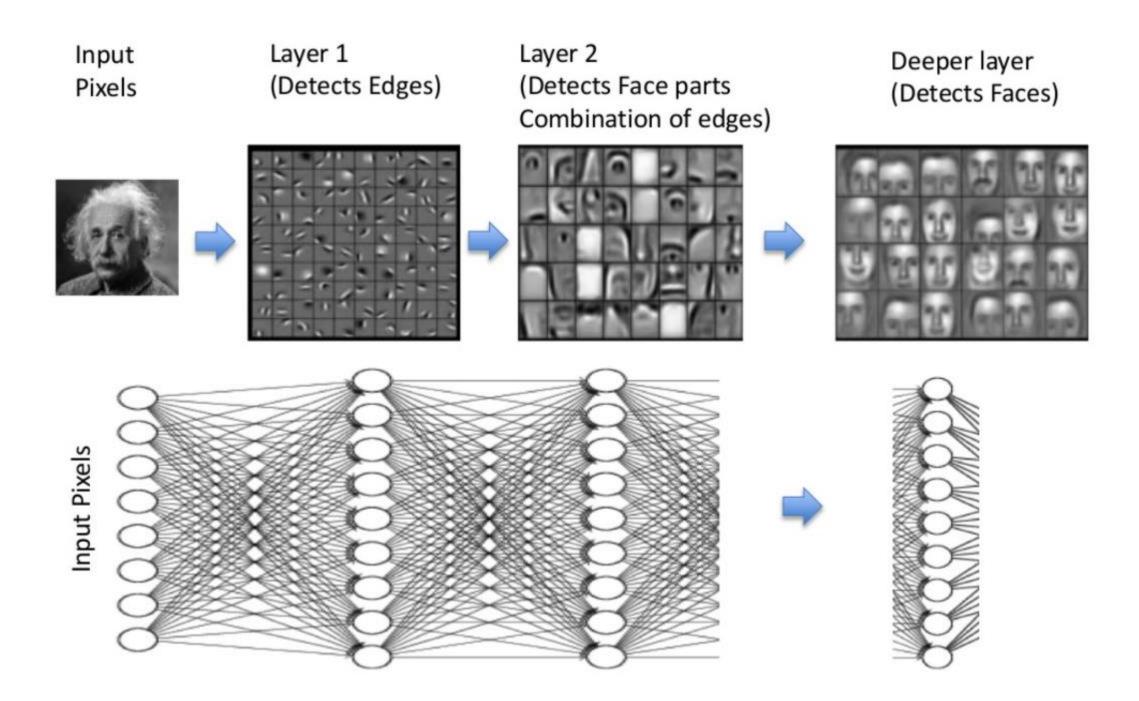
"... the Transformer can be trained significantly faster than architectures based on recurrent or convolutional layers."

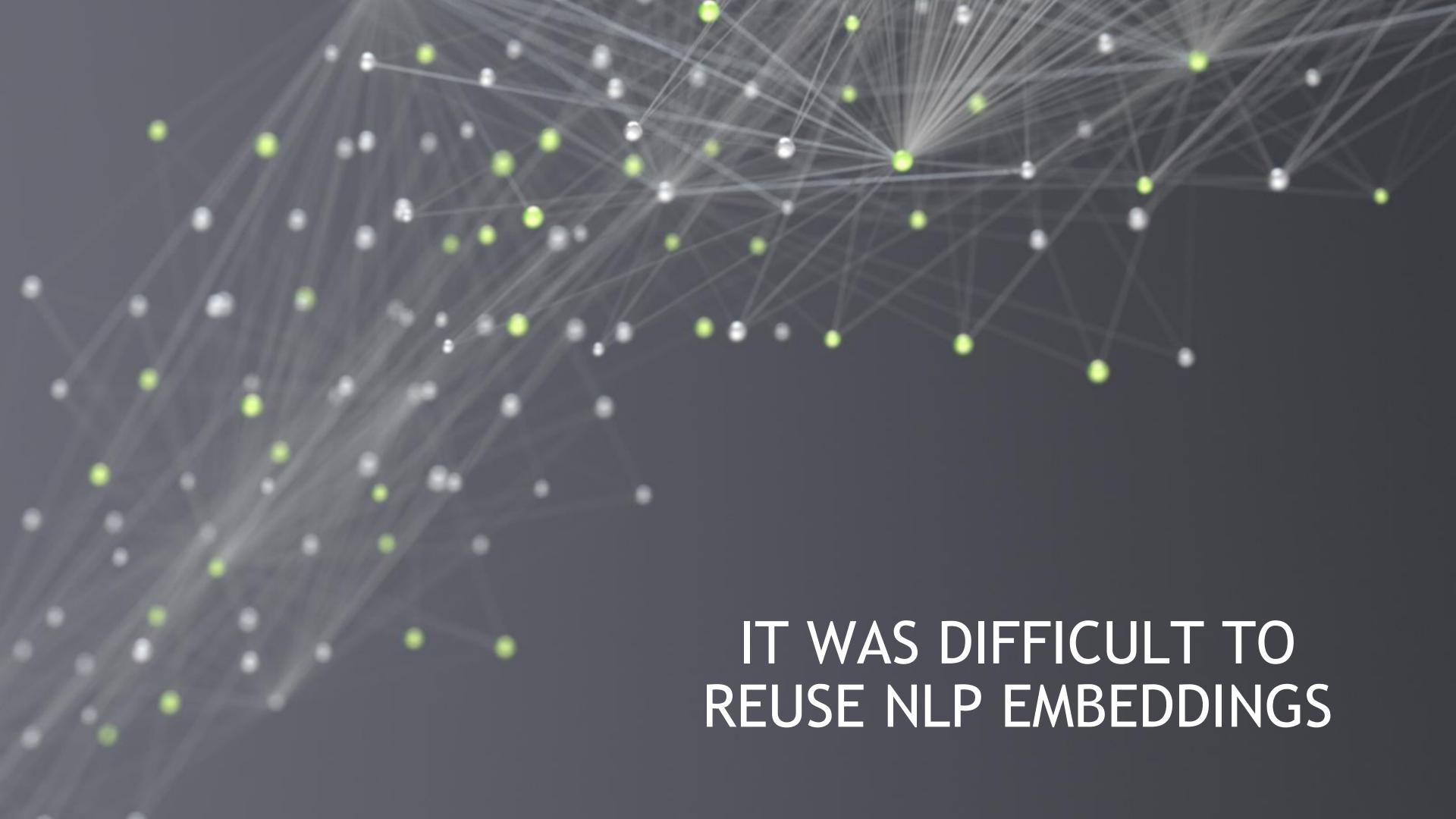




## FEATURE REUSE

### The opportunity





# SEMI-SUPERVISED SEQUENCE LEARNING

#### More complex representations

We present two approaches that use unlabeled data to improve sequence learning with recurrent networks. The first approach is to predict what comes next in a sequence, which is a conventional language model in natural language processing. The second approach is to use a sequence autoencoder, which reads the input sequence into a vector and predicts the input sequence again. These two algorithms can be used as a "pretraining" step for a later supervised sequence learning algorithm. In other words, the parameters obtained from the unsupervised step can be used as a starting point for other supervised training models. In our experiments, we find that long short term memory recurrent networks after being pretrained with the two approaches are more stable and generalize better. With pretraining, we are able to train long short term memory recurrent networks up to a few hundred timesteps, thereby achieving strong performance in many text classification tasks, such as IMDB, DBpedia and 20 Newsgroups.

# SEMI-SUPERVISED SEQUENCE LEARNING

### More complex representations

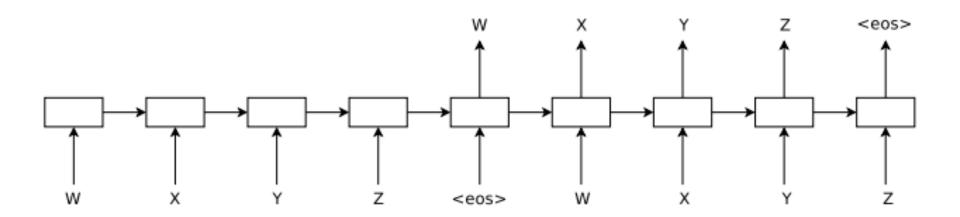


Figure 1: The sequence autoencoder for the sequence "WXYZ". The sequence autoencoder uses a recurrent network to read the input sequence in to the hidden state, which can then be used to reconstruct the original sequence.

# SEMI-SUPERVISED SEQUENCE LEARNING

### More complex representations

After training the recurrent language model or the sequence autoencoder for roughly 500K steps with a batch size of 128, we use both the word embedding parameters and the LSTM weights to initialize the LSTM for the supervised task. We then train on that task while fine tuning both the embedding parameters and the weights and use early stopping when the validation error starts to increase. We choose the dropout parameters based on a validation set.

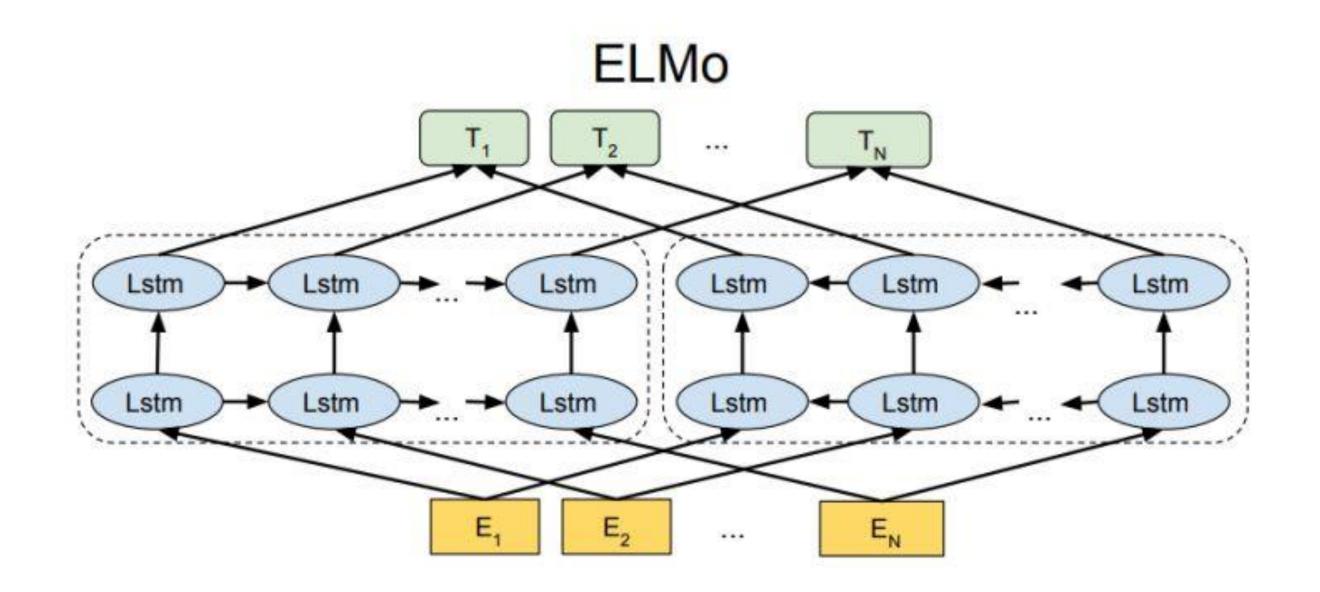
Using SA-LSTMs, we are able to match or surpass reported results for all datasets. It is important to emphasize that previous best results come from various different methods. So it is significant that one method achieves strong results for all datasets, presumably because such a method can be used as a general model for any similar task. A summary of results in the experiments are shown in Table 1. More details of the experiments are as follows.

Table 1: A summary of the error rates of SA-LSTMs and previous best reported results.

Dataset	SA-LSTM	Previous best result
IMDB	7.24%	7.42%
Rotten Tomatoes	16.7%	18.5%
20 Newsgroups	15.6%	17.1%
DBpedia	1.19%	1.74%

## **ELMO**

## Embeddings for Language Models



## **ELMO**

### **Embeddings for Language Models**

TASK	PREVIOUS SOTA		OUR ELMO + BASELINE BASELINE		INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	$88.7 \pm 0.17$	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2/9.8%
NER	Peters et al. (2017)	$91.93 \pm 0.19$	90.15	$92.22 \pm 0.10$	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	$54.7 \pm 0.5$	3.3 / 6.8%

Table 1: Test set comparison of ELMo enhanced neural models with state-of-the-art single model baselines across six benchmark NLP tasks. The performance metric varies across tasks – accuracy for SNLI and SST-5; F<sub>1</sub> for SQuAD, SRL and NER; average F<sub>1</sub> for Coref. Due to the small test sizes for NER and SST-5, we report the mean and standard deviation across five runs with different random seeds. The "increase" column lists both the absolute and relative improvements over our baseline.

### ULM-FIT

### Universal Language Model Fine-Tuning for Text Classification

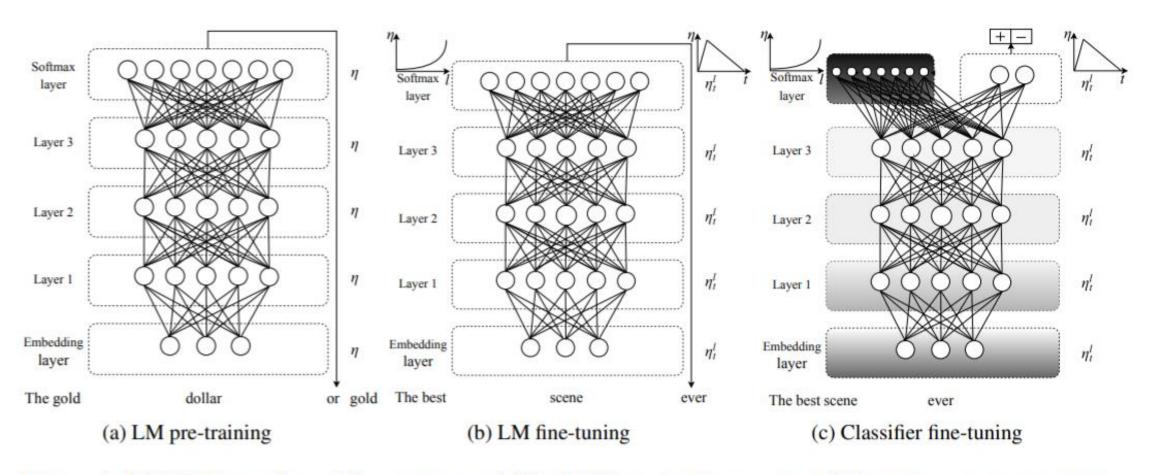


Figure 1: ULMFiT consists of three stages: a) The LM is trained on a general-domain corpus to capture general features of the language in different layers. b) The full LM is fine-tuned on target task data using discriminative fine-tuning ('Discr') and slanted triangular learning rates (STLR) to learn task-specific features. c) The classifier is fine-tuned on the target task using gradual unfreezing, 'Discr', and STLR to preserve low-level representations and adapt high-level ones (shaded: unfreezing stages; black: frozen).

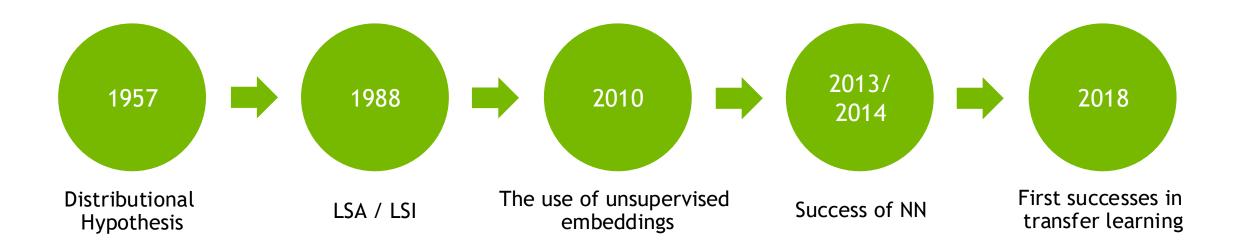
## TRANSFER LEARNING IN NLP

#### Not trivial to use and not universally applicable



Howard, J., & Ruder, S. (2018). Universal language model fine-tuning for text classification. arXiv preprint arXiv:1801.06146.

Peters, M. E., Neumann, M., Iyyer, M., Gardner, M., Clark, C., Lee, K., & Zettlemoyer, L. (2018). Deep contextualized word representations. arXiv preprint arXiv:1802.05365.



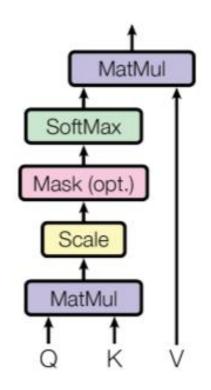




## ATTENTION IS ALL YOU NEED

### Deep dive into the transformer design

Scaled Dot-Product Attention



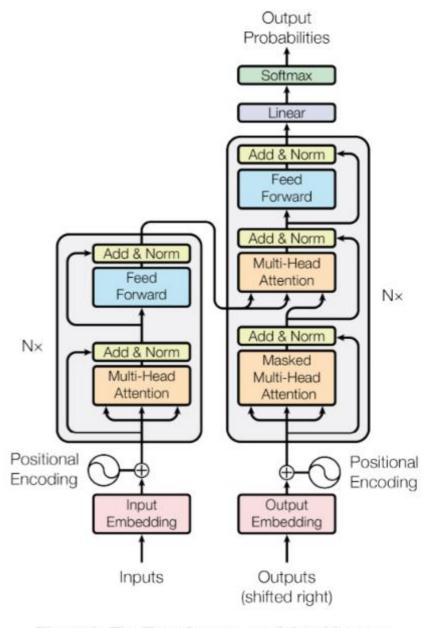
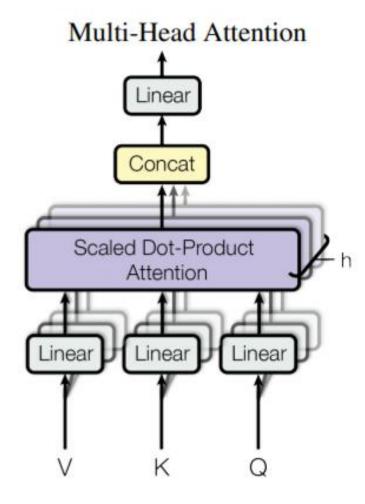
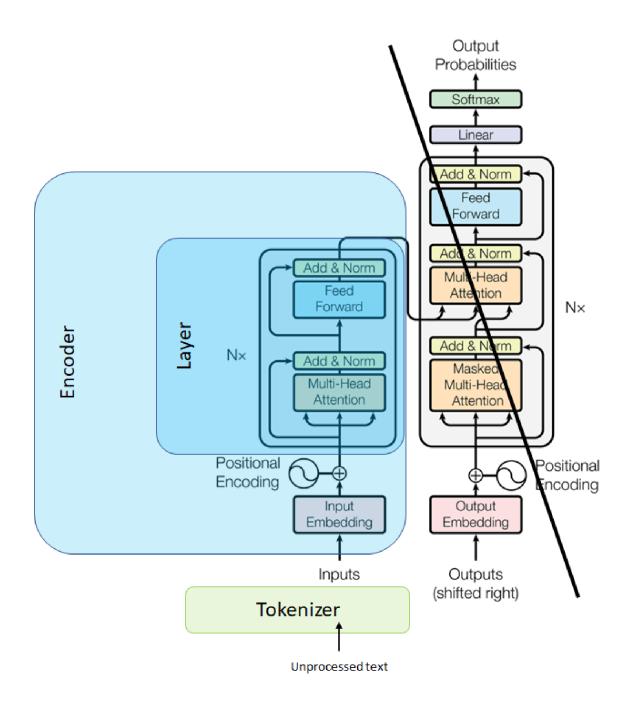


Figure 1: The Transformer - model architecture.



## **BERT**

### How it relates to transformer and pretraining







# SELF-SUPERVISION, BERT, AND BEYOND

Why did models start to work well? What does the future hold?







### Part 1: Machine Learning in NLP

- Lecture
  - What is NLP?
  - Problem Formulation
  - Text Representations
  - Dimensionality Reduction
  - Embeddings
  - RNNs
  - "Attention is All You Need"
- Lab
  - Transformer Architecture
  - BERT Model
  - Pretraining BERT

