

# Mathematical Foundations of Computer Science

CS 499, Shanghai Jiaotong University, Dominik Scheder

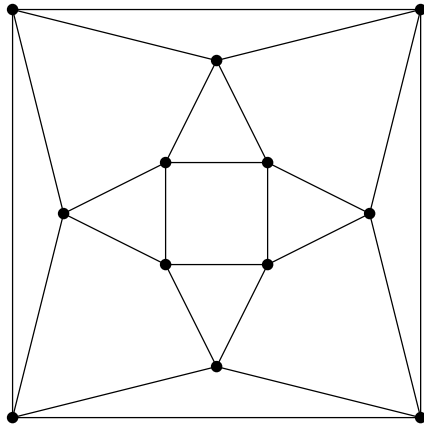
## 9 Hamilton Cycles, Hamilton Paths, and Non-isomorphic Trees

- Homework assignment published on Monday 2018-04-23
- Submit your first solution by Sunday, 2018-04-29, 18:00, by email
- Submit your final solution by Sunday, 2018-05-06.

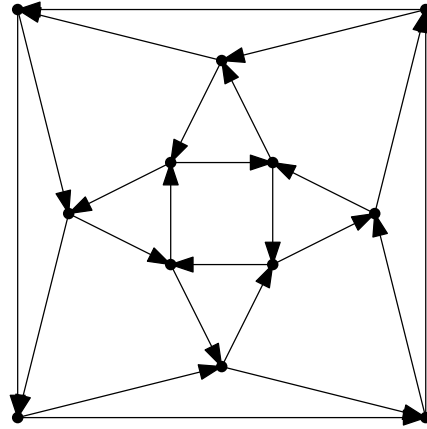
### 9.1 Regular Orientations of a Regular Graph

We call a graph *d-regular* if every vertex has degree  $d$ . A directed graph is  $(d, d)$ -regular if every vertex has  $d$  incoming and  $d$  outgoing edges.

**Exercise 9.1.** Show that in every 4-regular graph, you can orient the edges such that every vertex has two incoming and two outgoing edges, i.e., such that the resulting digraph is  $(2, 2)$ -regular. See the picture below for an illustration.



a 4-regular graph



a (2,2)-regular orientation

*Proof.*

□

1. Suppose that there exists a 4-regular graph, we can't orient the edges such that some vertices have two incoming and two outgoing edges.
2. Assume there are 2 vertices  $u, v$  not meeting the condition. Since  $\sum_{i=1}^n \deg(v)$  is even, let  $u$  have 3 incoming edges and  $v$  have 3 outgoing edges.

Then along the arrow find a path from  $u$  to  $v$  as Figure9-1-1 shows.

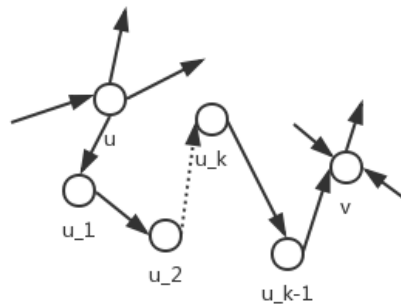


Figure9-1-1

The path is  $u \rightarrow u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_{k-1} \rightarrow u_k \rightarrow v$ .

Then change the direction of every edges in the path.

Then the path is changed into  $\leftarrow u_1 \leftarrow u_2 \leftarrow \dots \leftarrow u_{k-1} \leftarrow u_k \leftarrow v$ .

The graph is as Figure9-1-2 shows.

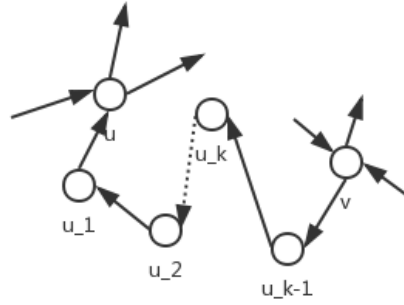


Figure9-1-2

Then clearly for  $u_1, u_2 \dots u_k$ , one of their incoming edges is changed into outgoing edges and one of their outgoing edges is changed into incoming edges. They still have two incoming and two outgoing edges.

For  $u, v$  they now each have two incoming and two outgoing edges as well.

The resulting digraph is  $(2,2)$ -regular.

3. If there is 2 vertices and one have 4 incoming edges and another have 4 outgoing edges. Just do the operation above twice.

If there is more than 2 vertices not meeting the condition. Just group them by 2. And do the same operation on every group. Then we get a  $(2,2)$ -regular orientation.

4. Above all, in every 4-regular graph, you can orient the edges such that every vertex has two incoming and two outgoing edges, i.e., such that the resulting digraph is  $(2,2)$ -regular.

## 9.2 Hamilton Cycles and Ore's Theorem

Consider  $K_n$ , the complete graph on  $n$  vertices. For  $n \geq 3$ , this obviously has a Hamilton cycle. How many edges do you have to delete from  $K_n$  to

destroy all Hamilton cycles? That is, what is the smallest set  $S$  such that  $(V, \binom{V}{2} \setminus S)$  has no Hamilton cycle? Let  $s_n$  denote the size of this set (this depends on  $n$ , thus the notation  $s_n$ ). For example,  $s_2 = 0$  since  $K_2$  has no Hamilton path to begin with;  $s_3 = 1$  since removing one edge from  $K_3$  results in a graph without a Hamilton cycle.

**Exercise 9.2.** Find a closed formula for  $s_n$  and prove it! **Hint.** One part will be easy. For the other part, use Ore's Theorem.

*Solution.* The formula is  $s_n = n - 2$  when  $n > 2$ .

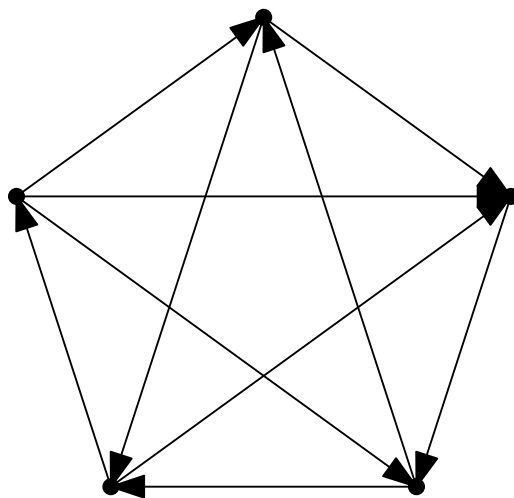
First, if we remove  $n - 2$  edges of a vertex  $v$  in  $K_n$  and get graph  $G$ , then  $v$  has degree one. Obviously  $G$  doesn't contain Hamilton cycle. We have  $s_n \leq n - 2$ .

Second, if we remove  $n - 3$  edges of a vertex  $v$  in  $K_n$  and get graph  $G_1$ , then  $v$  has degree 2 and vertices adjacent to  $v$  have degree  $n - 1$ . Degree of all vertices can be demonstrated as  $(2, n - 1, n - 1, n - 2, \dots, n - 2)$ . According to Ore's theorem,  $G_1$  contains Hamilton Cycle.

To estimate all cases when  $s_n = n - 3$ , we add  $k$  edges adjacent to  $v$  and remove  $k$  other edges in  $G_1$  instead. We can find that the degree of all vertices in  $G_1$  still meet Ore's theorem. In other words, we can't destroy all Hamilton Cycle when  $s_n = n - 3$ . Thus,  $s_n > n - 3$ .

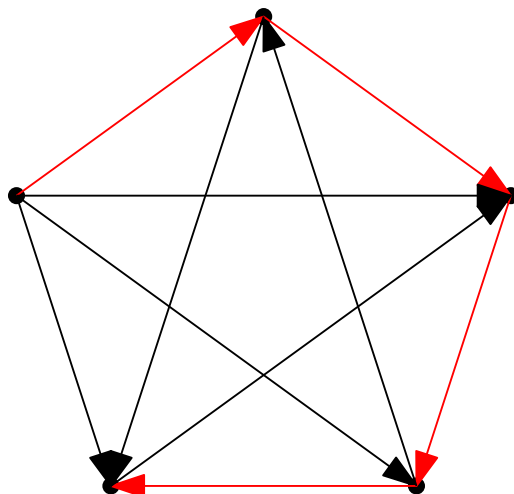
Since  $s_n > n - 3$  and  $s_n \leq n - 2$ , we have  $s_n = n - 2$ . ■

A *tournament* is a directed graph in which, for each pair  $u, v \in V$ , exactly one of the directed edges  $(u, v)$  and  $(v, u)$  is in the graph. Imagine a sports tournament in which every participant plays against every other exactly once. Draw an arc from  $u$  to  $v$  if  $u$  beat  $v$  in this tournament.



A tournament on five vertices.

**Exercise 9.3.** Show that every tournament has a *directed Hamilton path*, i.e., a sequence  $u_1, u_2, \dots, u_n$  such that  $(u_i, u_{i+1}) \in E$  for all  $i = 1, \dots, n - 1$ . See the picture below.



The same tournament with a Hamilton path.

You probably won't be able to use the proof of Ore's Theorem directly, but you can use the proof idea.

*Proof.* 1. We can use an induction to prove it.

2. Base case: when there are 2 vertices, clearly there is a Hamilton path. See the picture below.

3. Now a graph with  $k$  vertices has a Hamilton path. Add a vertex  $u$  to it.

Along the path, number the vertices as their explored order as  $(v_1, v_2 \dots v_k)$ .

4. If the edge between  $v_k$  and  $u$  is  $(v_k, u)$ , add  $u$  as the tail of the path. Then we get  $(v_1, \dots, v_k, u)$ .

5. Else turn to  $v_{k-1}$ , if there is  $(v_{k-1}, u)$ , clearly we can insert  $u$  into the path:  $(v_1, v_2 \dots v_k, u, v_{k+1}, v_k)$ .

6. Else turn to the vertex before continuously until there is  $(v_i, u)$ , Clearly we can insert  $u$  into the path:  $(v_1, v_2 \dots v_i, u, v_{i+1}, \dots, v_k)$ .

7. Else all edges are from  $u$  to  $v_i$ , add  $u$  as the head of the path. Then we get  $(v_1, \dots, v_k, u)$  or  $(u, v_1, \dots, v_k)$ .

8. Above all, every tournament has a *directed Hamilton path*.

□

### 9.3 Isomorphism Classes of Trees

In the lecture (and in the videos) we have seen that the number of trees on vertex set  $V = \{1, 2, \dots, n\}$  is  $n^{n-2}$ . This however ignores isomorphisms. For example, there are  $3^{3-2} = 3$  trees on vertex set  $\{1, 2, 3\}$ , but all those trees look alike (are isomorphic). On  $\{1, 2, 3, 4\}$ , there are 16 trees, but there are only two isomorphism classes: the path and the star. For five vertices, there are 125 trees but only three isomorphism classes: the path, the star, and the “T-shape” (see video on counting the number of trees). For  $n = 6$  we get the path, the Y-shape, the Euro symbol, the Star Wars fighter, the Scandinavian cross, and the star, so six isomorphism classes (but a total of 1296 trees).

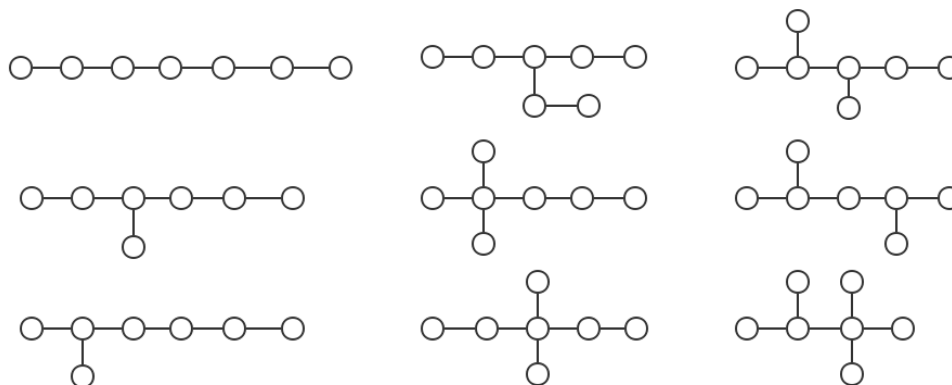
**Exercise 9.4.** List of isomorphism classes on seven vertices. That is, draw trees  $T_1, \dots, T_m$  on seven vertices such that no two of them are isomorphic but every tree on seven vertices is isomorphic to one of them. How many do you get?

$n$	1	2	3	4	5	6	7
number of isomorphism classes	1	1	1	2	3	6	?

*Solution.*



We get 9 different trees.



$T_1, T_2 \dots T_9$

Alright, so let's denote by  $t_n$  the number of isomorphism classes of trees on  $n$  vertices. That is,  $t_n$  is the largest number  $m$  such that we can find trees  $T_1, \dots, T_m$  on  $n$  vertices such that no two of them are isomorphic. We would like to have an exact and explicit formula for  $t_n$ , but that is probably too much to ask for. Instead, let us try to understand  $t_n$  approximately and asymptotically.

**Exercise 9.5.** Show that  $t_n \leq 4^n$ . Hint: Consider the video on the isomorphism problem on trees. It defines a way to encode a tree as a 0/1-sequence.

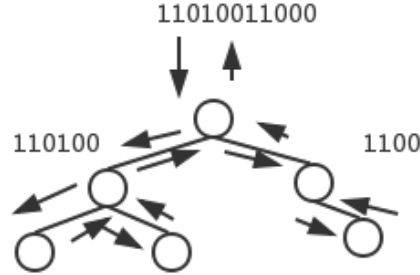
*Proof.*



Encode a tree as 0/1-sequence in the way below.

If there is a vertex, we write 1 to explore it and then write 0 to return.

Take the following tree as an example.



Then if we have  $n$  vertices, we have a sequence of  $2n$  bits.

There are  $2^{2n} = 4^n$  trees.

However, in a tree if the leftchild tree of a node is the same as the rightchild tree of the same node, they are isomorphisms. But they have different 0/1-sequences.

Thus  $t_n \leq 4^n$

**Exercise 9.6.** Show that  $t_n \geq \frac{e^n}{\text{poly}(n)}$ , where  $\text{poly}(n)$  is some polynomial in  $n$ . Hint: There are  $n^{n-2}$  trees on  $V = [n]$ . We group them together in “buckets” of isomorphic trees. How large can a bucket be? Answer this and then use Stirling’s approximation for  $n!$ .

*Proof.* For a tree with  $n$  vertices, it is less than  $n!$  naming methods for the same structure.

$$t_n \geq \frac{n^{n-2}}{n!} = \frac{n^n}{n^2 \times n!} \quad (1)$$

From Stirling’s approximation,

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \quad (2)$$

Then

$$t_n \geq \frac{e^n}{n^2 \sqrt{2\pi n}}. \quad (3)$$

Let

$$\text{poly}(n) = n^2 \sqrt{2\pi n}. \quad (4)$$

We have  $t_n \geq \frac{e^n}{\text{poly}(n)}$ , where  $\text{poly}(n)$  is some polynomial in  $n$ .  $\square$



**\*\*Exercise 9.7.** *Try to improve those bounds. That is, find some  $a < 4$  such that  $t_n \in O(a^n)$  or some  $b > e$  such that  $t_n \in \Omega(b^n)$ . Any improvement will be kind of interesting. Aim for simple proofs!*

**Remark.** The “true” rate of growth is known by a result of George Pólya but apparently it is quite difficult (I write “apparently” because I have never studied this work).