# Mathematical Foundations of Computer Science

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- Homework assignment published on Monday, 2018-03-05.
- Work on it and submit a first solution or questions by Sunday, 2018-03-11, 12:00 by email to me and the TAs.
- You will receive feedback by Wednesday, 2018-03-14.
- Submit your final solution by Sunday, 2018-03-18 to me and the TAs.

# 2 Partial Orderings

## 2.1 Equivalence Relations as a Partial Ordering

An equivalence relation  $R \subseteq V \times V$  is basically the same as a partition of V. A partition of V is a set  $\{V_1, \ldots, V_k\}$  where (1)  $V_1 \cup \cdots \cup V_k = V$  and (2) the  $V_i$  are pairwise disjoint, i.e.,  $V_i \cap V_j = \emptyset$  for  $1 \le i < j \le k$ . For example,  $\{\{1\}, \{2,3\}, \{4\}\}$  is a partition of  $\{1,2,3,4\}$  but  $\{\{1\}, \{2,3\}, \{1,4\}\}$  is not.

**Exercise 2.1.** Let  $E_4$  be the set of all equivalence relations on  $\{1, 2, 3, 4\}$ . Note that  $E_4$  is ordered by set inclusion, i.e.,

$$(E_4, \{(R_1, R_2) \in E_4 \times E_4 \mid R_1 \subseteq R_2\})$$

is a partial ordering.

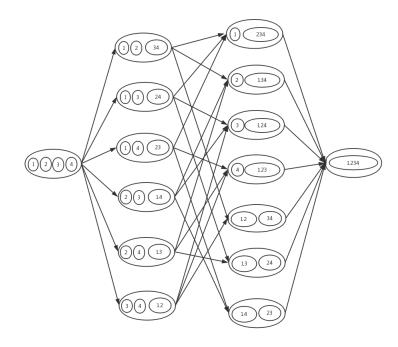


Figure 1: the Hasse diagram of the partial ordering

- 1. Draw the Hasse diagram of this partial ordering in a nice way.
- 2. What is the size of the largest chain? solution: the size of the largest chain is 4.
- 3. What is the size of the largest antichain? solution: the size of the largest antichain is 6.

### 2.2 Chains and Antichains

Define the partially ordered set  $(\mathbb{N}_0^n, \leq)$  as follows:  $x \leq y$  if  $x_i \leq y_i$  for all  $1 \leq i \leq n$ . For example,  $(2,5,4) \leq (2,6,6)$  but  $(2,5,4) \not\leq (3,1,1)$ .

**Exercise 2.2.** Consider the infinite partially ordered set  $(\mathbb{N}_0^n, \leq)$ .

- 1. Which elements are minimal? Which are maximal? **solution:** (0,0,...,0) is the minimal. There is no maximal element.
- 2. Is there a minimum? A maximum? solution: (0,0,...,0)is the minimum. There is no maximum element.
- 3. Does it have an infinite chain?

**solution:** Yes. If it does not have an infinite chain, it will have the maximum element which we assume it $(a_1, a_2, ..., a_n)$ . Then we have the element $(a_1 + 1, a_2 + 1, ..., a_n + 1)$ , which is obviously greater than  $(a_1, a_2, ..., a_n)$ . Thus, it have an infinite chain.

4. Does it have arbitrarily large antichains? That is, can you find an antichain A of size |A| = k for every  $k \in \mathbb{N}$ ?

**solution:** Yes. For every  $k \in \mathbb{N}$ , there is an antichain like $(1, 0, \dots, 0)$ ,  $(0, 1, \dots, 0), \dots, (0, 0, \dots, 1)$ , which is an antichain.

\*Exercise 2.3. Does every infinite subset  $S \subseteq \mathbb{N}_0^n$  contain an infinite chain?

**solution:** For a subset  $S \subseteq \mathbb{N}_0^n$  define  $S_I := \{\mathbf{a}_I | \mathbf{a} \in S\}$ . Because S is infinite, there must be a division causing  $S_I$  is infinite and  $S_{[n]\setminus I}$  is finite  $(S_{[n]\setminus I} \text{ might be } \varnothing)$ .

Hence, there are infinite elements of S having the same part in  $S_{[n]\setminus I}$  due to the finity.

Consider the infinite part of these elements. For any element  $S_i$  in S, we can find another element  $S_j$  in the infinite subset S, whose finite part is the same as  $S_i$  and the infinite part is all greater than  $S_i$ . Therefore, we can find an infinite chain.

**Exercise 2.4.** Show that  $(\mathbb{N}_0^n, \leq)$  has no infinite antichain. **Hint.** Use the previous exercise.

Consider the induced ordering on  $\{0,1\}^n$ . That is, for  $x,y \in \{0,1\}^n$  we have  $x \leq y$  if  $x_i \leq y_i$  for every coordinate  $i \in [n]$ .

**Exercise 2.5.** Draw the Hasse diagrams of  $(\{0,1\}^n, \leq)$  for n=2,3.

**Exercise 2.6.** Determine the maximum, minimum, maximal, and minimal elements of  $\{0,1\}^n$ .

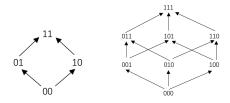


Figure 2: the Hasse diagrams of  $(\{0,1\}^n, \leq)$  for n=2,3

**solution:** The maximum and maximal element are both 11...1(it has n many 1's). The minimum and minimal element are both 00...0(it has n many 0's).

**Exercise 2.7.** What is the longest chain of  $\{0,1\}^n$ ?

**solution:** The longest chain of  $\{0,1\}^n$  is 00...0,00...1,00...11,...,11...1. The length of the chain is n+1.

\*\*Exercise 2.8. What is the largest antichain of  $\{0,1\}^n$ ?

**solution:**  $L_i = x \in 0, 1^n | xhasimany 1's$ , obviously  $|L_i| = \binom{n}{i}$  and  $L_i$  is an antichain. When  $i = \left[\frac{n}{2}\right], |L_i| = \binom{n}{i}$  has the largest value, let's name it A. If A is not the largest antichain, it must contain another element B below the midlevel. Since every B in the  $i_{th}$  level can compare with an element in the  $i+1_{th}$  level, we can move B upwards. If we continue moving B upwards B can reach the midlevel, thus this is not an antichain.

### 2.3 Infinite Sets

In the lecture (and the lecture notes) we have showed that  $\mathbb{N} \times \mathbb{N} \cong \mathbb{N}$ , i.e., there is a bijection  $f: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$ . From this, and by induction, it follows quite easily that  $\mathbb{N}^k \cong \mathbb{N}$  for every k.

**Exercise 2.9.** Consider  $\mathbb{N}^*$ , the set of all finite sequences of natural numbers, that is,  $\mathbb{N}^* = \{\epsilon\} \cup \mathbb{N} \cup \mathbb{N}^2 \cup \mathbb{N}^3 \cup \dots$  Here,  $\epsilon$  is the empty sequence. Show that  $\mathbb{N} \cong \mathbb{N}^*$  by defining a bijection  $\mathbb{N} \to \mathbb{N}^*$ .

**Exercise 2.10.** Show that  $R \cong R \times R$ . **Hint:** Use the fact that  $R \cong \{0,1\}^{\mathbb{N}}$  and thus show that  $\{0,1\}^{\mathbb{N}} \cong \{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}}$ .

**Exercise 2.11.** Consider  $\mathbb{R}^{\mathbb{N}}$ , the set of all infinite sequences  $(r_1, r_2, r_3, \dots)$  of real numbers. Show that  $\mathbb{R} \cong \mathbb{R}^{\mathbb{N}}$ . **Hint:** Again, use the fact that  $\mathbb{R} \cong \{0,1\}^{\mathbb{N}}$ .

Next, let us view  $\{0,1\}^{\mathbb{N}}$  as a partial ordering: given two elements  $\mathbf{a}, \mathbf{b} \in \{0,1\}^{\mathbb{N}}$ , that is, sequences  $\mathbf{a} = (a_1, a_2, \dots)$  and  $\mathbf{b} = (b_1, b_2, \dots)$ , we define  $\mathbf{a} \leq \mathbf{b}$  if  $a_i \leq b_i$  for all  $i \in \mathbb{N}$ . Clearly,  $(0,0,\dots)$  is the minimum element in this ordering and  $(1,1,\dots)$  the maximum.

**Exercise 2.12.** Give a countably infinite chain in  $\{0,1\}^{\mathbb{N}}$ . Remember that a set A is countably infinite if  $A \cong \mathbb{N}$ .

**Exercise 2.13.** Find a countably infinite antichain in  $\{0,1\}^{\mathbb{N}}$ .

**Exercise 2.14.** Find an uncountable antichain in  $\{0,1\}^{\mathbb{N}}$ . That is, an antichain A with  $A \cong \mathbb{R}$ .

\*\*Exercise 2.15. Find an uncountable chain in  $\{0,1\}^{\mathbb{N}}$ . That is, an antichain A with  $A \cong \mathbb{R}$ .