# Mathematical Foundations of Computer Science

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- Homework assignment published on Monday, 2018-03-05.
- Work on it and submit a first solution or questions by Sunday, 2018-03-11, 12:00 by email to me and the TAs.
- You will receive feedback by Wednesday, 2018-03-14.
- Submit your final solution by Sunday, 2018-03-18 to me and the TAs.

# 2 Partial Orderings

## 2.1 Equivalence Relations as a Partial Ordering

An equivalence relation  $R \subseteq V \times V$  is basically the same as a partition of V. A partition of V is a set  $\{V_1, \ldots, V_k\}$  where (1)  $V_1 \cup \cdots \cup V_k = V$  and (2) the  $V_i$  are pairwise disjoint, i.e.,  $V_i \cap V_j = \emptyset$  for  $1 \le i < j \le k$ . For example,  $\{\{1\}, \{2,3\}, \{4\}\}$  is a partition of  $\{1,2,3,4\}$  but  $\{\{1\}, \{2,3\}, \{1,4\}\}$  is not.

**Exercise 2.1.** Let  $E_4$  be the set of all equivalence relations on  $\{1, 2, 3, 4\}$ . Note that  $E_4$  is ordered by set inclusion, i.e.,

$$(E_4, \{(R_1, R_2) \in E_4 \times E_4 \mid R_1 \subseteq R_2\})$$

is a partial ordering.

- 1. Draw the Hasse diagram of this partial ordering in a nice way.
- 2. What is the size of the largest chain?
- 3. What is the size of the largest antichain?

### 2.2 Chains and Antichains

Define the partially ordered set  $(\mathbb{N}_0^n, \leq)$  as follows:  $x \leq y$  if  $x_i \leq y_i$  for all  $1 \leq i \leq n$ . For example,  $(2,5,4) \leq (2,6,6)$  but  $(2,5,4) \not\leq (3,1,1)$ .

**Exercise 2.2.** Consider the infinite partially ordered set  $(\mathbb{N}_0^n, \leq)$ .

- 1. Which elements are minimal? Which are maximal?
- 2. Is there a minimum? A maximum?
- 3. Does it have an infinite chain?
- 4. Does it have arbitrarily large antichains? That is, can you find an antichain A of size |A| = k for every  $k \in \mathbb{N}$ ?

\*Exercise 2.3. Does every infinite subset  $S \subseteq \mathbb{N}_0^n$  contain an infinite chain?

**Exercise 2.4.** Show that  $(\mathbb{N}_0^n, \leq)$  has no infinite antichain. **Hint.** Use the previous exercise.

Consider the induced ordering on  $\{0,1\}^n$ . That is, for  $x,y \in \{0,1\}^n$  we have  $x \leq y$  if  $x_i \leq y_i$  for every coordinate  $i \in [n]$ .

**Exercise 2.5.** Draw the Hasse diagrams of  $(\{0,1\}^n, \leq)$  for n=2,3.

**Exercise 2.6.** Determine the maximum, minimum, maximal, and minimal elements of  $\{0,1\}^n$ .

**Exercise 2.7.** What is the longest chain of  $\{0,1\}^n$ ?

\*\*Exercise 2.8. What is the largest antichain of  $\{0,1\}^n$ ?

### 2.3 Infinite Sets

In the lecture (and the lecture notes) we have showed that  $\mathbb{N} \times \mathbb{N} \cong \mathbb{N}$ , i.e., there is a bijection  $f: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$ . From this, and by induction, it follows quite easily that  $\mathbb{N}^k \cong \mathbb{N}$  for every k.

**Exercise 2.9.** Consider  $\mathbb{N}^*$ , the set of all finite sequences of natural numbers, that is,  $\mathbb{N}^* = \{\epsilon\} \cup \mathbb{N} \cup \mathbb{N}^2 \cup \mathbb{N}^3 \cup \dots$  Here,  $\epsilon$  is the empty sequence. Show that  $\mathbb{N} \cong \mathbb{N}^*$  by defining a bijection  $\mathbb{N} \to \mathbb{N}^*$ .

**Exercise 2.10.** Show that  $R \cong R \times R$ . **Hint:** Use the fact that  $R \cong \{0,1\}^{\mathbb{N}}$  and thus show that  $\{0,1\}^{\mathbb{N}} \cong \{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}}$ .

*Proof.* We can define f:

$$f: \{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}} \to \{0,1\}^{\mathbb{N}}$$
$$((a_{11}, a_{12}, a_{13}, \dots), (a_{21}, a_{22}, a_{23}, \dots)) \mapsto (a_{11}, a_{21}, a_{12}, a_{22}, \dots)$$

For any element  $\mathbf{a} \in \{0,1\}^{\mathbb{N}}$ ,  $\mathbf{a}$  can be representated by a combination of unique ordered pair  $(\mathbf{a_1}, \mathbf{a_2})$  where  $\mathbf{a_1}, \mathbf{a_2} \in \{0,1\}^{\mathbb{N}}$ . In this way, f is bijective. Then we can get  $R \cong \{0,1\}^{\mathbb{N}} \cong \{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}} \cong R \times R$ .

**Exercise 2.11.** Consider  $\mathbb{R}^{\mathbb{N}}$ , the set of all infinite sequences  $(r_1, r_2, r_3, \dots)$  of real numbers. Show that  $\mathbb{R} \cong \mathbb{R}^{\mathbb{N}}$ . **Hint:** Again, use the fact that  $\mathbb{R} \cong \{0,1\}^{\mathbb{N}}$ .

$$\square$$

Next, let us view  $\{0,1\}^{\mathbb{N}}$  as a partial ordering: given two elements  $\mathbf{a}, \mathbf{b} \in \{0,1\}^{\mathbb{N}}$ , that is, sequences  $\mathbf{a} = (a_1, a_2, \dots)$  and  $\mathbf{b} = (b_1, b_2, \dots)$ , we define  $\mathbf{a} \leq \mathbf{b}$  if  $a_i \leq b_i$  for all  $i \in \mathbb{N}$ . Clearly,  $(0,0,\dots)$  is the minimum element in this ordering and  $(1,1,\dots)$  the maximum.

**Exercise 2.12.** Give a countably infinite chain in  $\{0,1\}^{\mathbb{N}}$ . Remember that a set A is countably infinite if  $A \cong \mathbb{N}$ .

Solution. For set  $A \subseteq \{0,1\}^{\mathbb{N}}$ , let  $A = \{\mathbf{a_i} \in \{0,1\}^{\mathbb{N}} | \text{ if } k < i, a_k = 1, else, a_k = 0\}$ , any two elements in A are comparable We can define a function f:

$$f: N \to A, x \mapsto \mathbf{a_x}$$

which is obviously bijective. In this way, A is a countably infinite chain.  $\blacksquare$ 

**Exercise 2.13.** Find a countably infinite antichain in  $\{0,1\}^{\mathbb{N}}$ .

Solution. For set  $A \subseteq \{0,1\}^{\mathbb{N}}$ , let  $A = \{\mathbf{a_i} \in \{0,1\}^{\mathbb{N}} | a_i = 1 \text{ and other bits is } 0\}$ . Any two elements in A is uncomparable since each has one bit larger than the other.

Also, we can define a function f:

$$f: N \to A, x \mapsto \mathbf{a_x}$$

which is bijective.

In this scense, A is a countably infinite antichain in  $\{0,1\}^{\mathbb{N}}$ .

**Exercise 2.14.** Find an uncountable antichain in  $\{0,1\}^{\mathbb{N}}$ . That is, an antichain A with  $A \cong \mathbb{R}$ .

Solution. Define a function f:

$$f: \{0,1\}^{\mathbb{N}} \to A, (a_1, a_2, a_3 \dots) \mapsto (a_1, 1 - a_1, a_2, 1 - a_2, \dots)$$

f is a bijective function, and  $\{0,1\}^{\mathbb{N}} \cong A$ 

For  $\mathbf{a}, \mathbf{b} \in A$ , if  $\mathbf{a} \neq \mathbf{b}$  and  $\mathbf{a}, \mathbf{b}$  are comparable, let  $\mathbf{a} < \mathbf{b}$ .

There must exist  $a_i < b_i$ , but  $1 - a_i > 1 - b_i$ , so **a** and **b** are uncomparable. Then we get a contradiction.

In this way, A is a uncountable antichain.

\*\*Exercise 2.15. Find an uncountable chain in  $\{0,1\}^{\mathbb{N}}$ . That is, an antichain A with  $A \cong \mathbb{R}$ .