# Mathematical Foundations of Computer Science

CS 499, Shanghai Jiaotong University, Dominik Scheder

Group: NOIDEA

# 7 The Graph Score Theorem

- Homework assignment published on Monday, 2018-04-09.
- Submit questions and first solution by Sunday, 2018-04-15, 12:00 by email to dominik.scheder@gmail.com and the TAs.
- You will receive feedback by Wednesday, 2018-04-18.
- Submit your final solution by Sunday, 2018-04-22 to me and the two TAs.

Exercise 7.1. Describe, in simple sentences with a minimum of mathematical formalism, (1) the score of a graph, (2) what the graph score theorem is, (3) the idea of the graph score algorithm, (4) where the difficult part of its proof is. Imagine you have a friend who does not take this class, and think about how to answer the above questions to them.

Solution.

1. The score of a graph is the increasing sequence of degrees of all vertexes.

2. Give a increasing sequence of degrees  $D = (d_1, d_2, d_3 \dots d_n)$  with  $d_i$  denoting its element. Consider another sequences D' of size n-1 whose element denoted as  $d'_i$  with:

$$d_i' = \begin{cases} d_i & i < n - d_n \\ d_i - 1 & i \ge n - d_n \end{cases}$$

D is a graph score if and only if D' is a graph score.

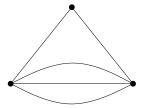
The idea of graph score algorithm is recursively using theorem to simplify the degree sequence until it's easy to judge whether the sequence is a graph score.

The difficult part of the proof is the reverse implication i.e. if D is a graph score then D' is a graph score. Since we can't just reverse the constrution process how we get the graph of D from the graph of D'.

## 7.1 Alternative Graphs

Now we will look at different notions of graphs. As defined in class and in the video lectures, a graph is a pair G = (V, E) where V is a (usually finite) set, called the *vertices*, and  $E \subseteq \binom{V}{2}$ , called the set of *edges*.

**Multigraphs.** A multigraph is like a graph, but you can have several parallel edges between two vertices. You cannot, however, have self-loops. That is, there cannot be an edge from u to u itself. This is an example of a multigraph:



We can define degree and score for multigraphs, too. For example, this multigraph has score (4,4,2). Obviously no graph can have this score.

Exercise 7.2. State a score theorem for multigraphs. That is, something like

**Theorem 7.3** (Multigraph Score Theorem). Let  $(a_1, \ldots, a_n) \in \mathbb{N}_0^n$ . There is a multigraph with this score if and only if <fill in some simple criterion here>.

**Remark.** This is actually simpler than for graphs.

Solution. Let  $(a_1, \ldots, a_n) \in \mathbb{N}_0^n$ . There is a multigraph with this score if and only if 1)  $\sum_{i=1}^n a_i$  is even and 2)  $\sum_{i=1}^{n-1} a_i \geq a_n$ .

### Exercise 7.4. Prove your theorem.

*Proof.* First, if  $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{N}_0^n$  is multigraph score then it obviously satisfy the criterion according to Handshake Lemma.

To prove the reverse implication, we use induction theorem.

**Hypothesis:** Any sequeence  $\mathbf{a} = (a'_1, \dots, a'_{n-1})$  is a multigraph score satisfying both criterions, then it has corresponding multigraph with score  $\mathbf{a}$ .

**Base case:**  $\mathbf{a}' = (a_1', a_2')$  meeting the conditions obviously has corresponding multigraph score  $\mathbf{a} = (a_1, a_2, a_3)$ .

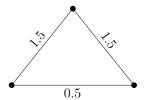
**Induction proof:** For any nodecreasing order sequnce  $\mathbf{a}=(a_1,a_2,\ldots a_n)$  satisfying both criterions, remove the node with degree  $a_1$  in  $\mathbf{a}$  to get  $\mathbf{a}'=(a_2,a_3,\ldots a'_n)$  where  $a'_n=a_n-a_1$ .

If  $a'_n$  is still the largest one, then  $\mathbf{a}'$  is obviously a multigraph score. Therefore  $\mathbf{a}$  is also a multigraph score according to induction theorem.

If  $a'_n$  is not the largest one, which means  $a_{n-1}$  is the largest one. Since  $a_n > a_{n-1}$  and  $a'_n + a_2$  is at least  $a_n + a_2 - a_1 > a_n \ge a_{n-1}$ , which implies  $\mathbf{a}'$  is multigraph score.

Then, we can conclude that the theorem is correct.

Weighted graphs. A weighted graph is a graph in which every edge e has a non-negative weight  $w_e$ . In such a graph the weighted degree of a vertex u is  $wdeg(u) = \sum_{\{u,v\} \in E} w_{\{u,v\}}$ .



This is an example of a weighted graph, which has score (3, 2, 2). Obviously no graph and no multigraph can have this score.

Exercise 7.5. State a score theorem for weighted graphs. That is, state something like

**Theorem 7.6** (Weighted Graph Score Theorem). Let  $(a_1, \ldots, a_n) \in \mathbb{R}_0^n$ . There is a weighted graph with this score if and only if <fill in some simple criterion here>.

**Remark.** This is actually even simpler.

Solution. Let  $(a_1, \ldots, a_n) \in \mathbb{R}_0^n$ . There is a weighted graph with this score if and only if  $\sum_{i=1}^{n-1} a_i \geq a_n$ .

### Exercise 7.7. Prove your theorem.

*Proof.* First, for any weighted graph, we have  $\sum_{i=1}^{n-1} a_i \geq a_n$  since its each edge connected to  $a_n$  also connecting a node in  $(a_1, \ldots a_{n-1})$ .

Besides, for any sequence  $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}_0^n$  with  $\sum_{i=1}^{n-1} a_i \geq a_n$ , we use induction theorem to prove the theorem.

**Hypothesis**: Any sequence  $\mathbf{a}' = (a'_1, \dots, a'_{n-1}) \in \mathbb{R}^n_0$  which is weighted graph score has a corresponding sequence  $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}_0^n$  meeting the criterion is a weighted graph score.

Base case:  $\mathbf{a} = a_1, a_2$  with  $a_1 \geq a_2$  is a weighted graph score.

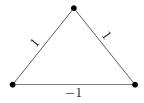
**Induction step**: For any nodecreasing order sequence  $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}_0^n$ with  $\sum_{i=1}^{n-1} a_i \ge a_n$ , remove vertex with degree  $a_1$  and connected edges to get  $\mathbf{a}' = (a_2, \dots, a'_n)$  where  $a'_n = a_n - a_1$ . If  $a'_n$  is still the largest element in  $\mathbf{a}'$ , it's obviously that  $\sum_{i=2}^{n-1} a_i \ge a_n - a_1$ ,

which means  $\mathbf{a}'$  is a graph weighted score.

If  $a'_n$  is not the largest element, which means  $a_{n-1}$  is the largest one. We have  $\sum_{i=2}^{n-2} a_i + a_n - a_1 \ge a_{n-1}$ , so  $\mathbf{a}'$  is a graph weighted score.

Accordingly, we have the theorem proved.

Allowing negative edge weights. Suppose now we allow negative edge weights, like here:



This "graph with real edge weights" has score (2,0,0). This score is impossible for graphs, multigraphs, and weighted graphs with non-negative edge weights.

Exercise 7.8. State a score theorem for weighted graphs when we allow negative edge weights. That is, state a theorem like

**Theorem 7.9** (Score Theorem for Graphs with Real Edge Weights). Let  $(a_1, \ldots, a_n) \in \mathbb{R}^n$ . There is a graph with real edge weights with this score if and only if <fill in some simple criterion here>.

(Score Theorem For Graphs With Real Edge Weights).Let $(a_1, \dots, a_n) \in \mathbb{R}^n$ . There is a graph with real edge weights with this score if and only if

$$\begin{cases} a_n = 0 & n = 1, \\ a_{n-1} = a_n & n = 2, \\ always \ holds & n \ge 3. \end{cases}$$

Exercise 7.10. Prove your theorem.

*Proof.* Induction Hypothesis: For any given score  $\mathbf{a} = (a_1, \dots, a_{n-1}, a_n) (n \ge 3)$ , there's always a corresponding weightedgraph whose score is  $\mathbf{a}$ .

### Base Case:

If n=1, the score must be 0 because there is no edge in the graph.

If n=2, only one edge is connecting these two vertices, and thus, their degrees should be the same..

If n = 3, denote by W(u,v) the weight of the edge connecting vertex u and v. Then we have

$$\begin{cases} W(a_1, a_2) = (a_1 + a_2 - a_3)/2, \\ W(a_1, a_3) = (a_1 - a_2 + a_3)/2, \\ W(a_2, a_3) = (a_2 + a_3 - a_1)/2. \end{cases}$$

Since  $a_1, a_2, a_3 \in \mathbf{R}$ , there is always a solution to this equation array, which corresponds to a graph with real weights.

### **Induction Step:**

If n > 3, we can reduce the size of the problem to a new score  $\mathbf{a'} = (a'_1, \dots, a'_{n-1})$  by the following operations:

$$a_i' = \begin{cases} a_i & 1 \le i < n-1, \\ a_i - a_{i+1} & i = n-1. \end{cases}$$

Then, we could apply the induction to this newly formed score, i.e., we could construct a weightedgraph with  $\mathbf{a}$ .

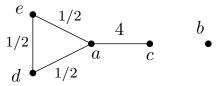
And we just connect  $n^{th}$  vertex to the  $(n-1)^{th}$  with an edge of weight  $a_n$ . And that's your weightedgraph.

That concludes this proof.

**Exercise 7.11.** For each student ID  $(a_1, \ldots, a_n)$  in your group, check whether this is (1) a graph score, (2) a multigraph score, (3) a weighted graph score, or (4) the score of a graph with real edge weights.

Whenever the answer is yes, show the graph, when it is no, give a short argument why.

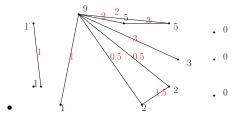
**Example Solution.** My work ID is 50411. This is a weighted graph score, as shown by this picture:



This settles (3). It is not a multigraph score, because BLABLA. I won't give more details, as it might give too many hints about Exercise 7.2. Alright, this settles (2). Note that I do *not* need to answer (4), as this is already answered by (3). Neither do I need to answer (1), as a "no" for (2) implies a "no" for (1).

### 1. 515021910302

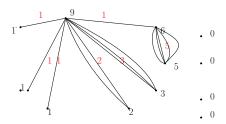
- a graph score: There are only eight vertices but there is one 9, so it's impossible for graph score.
- a multigraph score: no, the sum of the degrees can not be an odd number.



The figure above settles for (3) and (4).

### 2. 516021910003

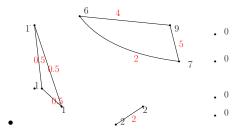
• a graph score: There are only eight vertices but there is one 9, so it's impossible for graph score.



The figure above settles for (2), (3) and (4). P.S. for a multigraph, simply ignoring the weight value, and for a weighted graph, simply ignoring the multi-edges between 2 vertices and see them as only 1 edge.

### 3. 516021910725

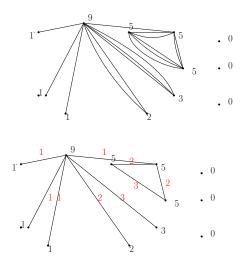
- a graph score: There are only eight vertices but there is one 9, so it's impossible for graph score.
- a multigraph score: no, the sum of the degrees can not be an odd number.



The figure above settles for (3) and (4).

### 4. 515021910053

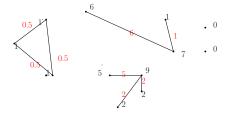
• a graph score: There are only eight vertices but there is one 9, so it's impossible for graph score.



The figures above settles for (2), (3) and (4).

### 5. 516021910127

- a graph score: There are only eight vertices but there is one 9, so it's impossible for graph score.
- a multigraph score: no, the sum of the degrees can not be an odd number.



The figure above settles for (3) and (4).