## Mathematical Foundations of Computer Science

CS 499, Shanghai Jiaotong University, Dominik Scheder

- Homework assignment published on Tuesday, 2018-03-13
- Submit questions and first solutions by Sunday, 2018-03-18, 12:00 by email to dominik.scheder@gmail.com and the TAs.
- You will receive feedback by Wednesday, 2018-03-21
- Revise your solution and submit your final solution by Sunday, 2018-03-25 by email to dominik.scheder@gmail.com and the TAs.

## 3 Basic Counting

A function  $[m] \to [n]$  is monotone if  $f(1) \le f(2) \le \cdots \le f(m)$ . It is strictly monotone if  $f(1) < f(2) < \cdots < f(m)$ .

**Exercise 3.1.** Find and justify a closed formula for the number of strictly monotone functions from [m] to [n].

Solution. 
$$\binom{n}{m}$$
.

**Exercise 3.2.** Find and justify a closed formula for the number of monotone functions from [m] to [n].

Solution. 
$$n^m$$
.

**Remark.** By "closed" I mean something using expressions like  $\times$ , +,  $\binom{n}{k}$ , n!, but not  $\sum$  or  $\prod$ . For example,  $\binom{n}{k^2}$  is a closed formula but  $\sum_{k=0}^{n} \binom{n}{k}$  is not

**Exercise 3.3.** Prove that  $\sum_{k=0}^{n} {n \choose k}^2 = {2n \choose n}$  for every  $n \ge 0$  by finding a combinatorial interpretation.

*Proof.* We divide the set of 2n elements into 2 sets of n elements. For each  $0 \le k \le n$ , we pick out k elements from one set, exclude k elements in the other set and combine them, we will get n elements, which is equivalent to selecting n elements from 2n elements.

Therefore, 
$$\sum_{k=0}^{n} {n \choose k}^2 = {2n \choose n}$$
 for every  $n \ge 0$ .

**Exercise 3.4.** [From the textbook] Find a closed formula for  $\sum_{k=m}^{n} {k \choose m} {n \choose k}$  and prove it combinatorially, i.e., by giving an interpretation.

Solution. 
$$\sum_{k=m}^{n} {k \choose m} {n \choose k} = {n \choose m} \cdot 2^{n-m}$$
.

*Proof.* The formula means for each  $m \le k \le n$ , we select k elements from n elements, then select m elements from these k elements, which is equivalent to first choose n elements from m elements, then decide whether to pick out the remaining elements that is  $\binom{n}{m} \cdot 2^{n-m}$ .

**Exercise 3.5.** Let  $B_n$  be the number of partitions of the set [n] (this is the same as the number of equivalence relations on [n]). This is called the Bell number, thus we denote it  $B_n$ . Prove that the following recursive formula for  $B_n$  is correct:

$$B_0 = 1$$

$$B_{n+1} = \sum_{k=0}^{n} \binom{n}{k} B_k .$$

*Proof.*  $B_0 = 1$  is correct, undoubtedly.

Denote the elements in the set  $\{x_1, x_2, \ldots, x_n, \ldots\}$ .

Assume that for  $B_0, B_1, \ldots, B_n$ , the formula is right. Then we add a element  $x_{n+1}$  to the set. How many options do we have?

For each  $0 \le k \le n$ , we select n-k elements from the former n elements and combine them with the element  $n_{k+1}$  which contains  $\binom{n}{n-k} = \binom{n}{k}$  ways, then partition the remaining k elements. Therefore we have  $B_{n+1} = \sum_{k=0}^{n} \binom{n}{k} B_k$ .

**Exercise 3.6.** Let  $P_n$  be the number of ways to write the natural number nas a sum  $a_1 + a_2 + \cdots + a_k$  such that  $1 \le a_1 \le a_2 \le \cdots \le a_k$ . For example, 3 can be written as 3, 2+1, and 1+1+1, so  $P_3=3$ . Find a recursive formula for  $P_n$ .

**Remark.** The formula might not be as simple as the above for  $B_n$ . Be creative! Start by writing a simple recursive program that computes  $P_n$ .

Solution. Let  $G_i(n)$  be the number of ways to wirte the natural number n as a sum of i numbers following the rules  $1 \le a_1 \le a_2 \le \cdots \le a_i$ . Speacially we define  $G_i(k) = 0$  where i > k.

Then we have  $P_n = G_1(n) + G_2(n) + \cdots + G_n(n)$ .

Consider wirting n as a sum of i numbers  $a_1, a_2, \dots a_i$  with  $1 \le a_1 \le a_2 \le$  $\cdots \leq a_i$ , and  $a_1, a_2 \dots a_k$  are 1s,  $a_{k+1} \dots a_i$  are larger than 1:

Substract these numbers by 1, then we get  $0, 0 \dots 0, a_{k+1}^*, \dots a_i^*$ .

For any k with  $1 \le k \le i$ , the sum of  $a_{k+1}^*, \dots a_i^*$  is (n-i). Then the number

of ways to wirte i numbers which includes k 1s is equal to  $G_{i-k}(n-i)$ . In this way,  $G_i(n) = \sum_{k=0}^{i-1} G_{i-k}(n-i)$  and  $P_n = \sum_{i=1}^{n-1} \sum_{k=0}^{i-1} G_{i-k}(n-i) + G_n(n) = \sum_{i=1}^{n-1} \sum_{k=0}^{i-1} G_{i-k}(n-i) + 1$ Therefore  $P_n - P_{n-1} = \sum_{k=1}^{n-1} G_k(n-k) = [n/2]$ , so  $P_n = P_{n-1} + [n/2]$ .