

Mathematical Foundations of Computer Science

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- Homework assignment published on Tuesday, 2018-03-13
- Submit questions and first solutions by Sunday, 2018-03-18, 12:00 by email to dominik.scheder@gmail.com and the TAs.
- You will receive feedback by Wednesday, 2018-03-21
- Revise your solution and submit your final solution by Sunday, 2018-03-25 by email to dominik.scheder@gmail.com and the TAs.

3 Basic Counting

A function $[m] \rightarrow [n]$ is *monotone* if $f(1) \leq f(2) \leq \dots \leq f(m)$. It is *strictly monotone* if $f(1) < f(2) < \dots < f(m)$.

Exercise 3.1. Find and justify a closed formula for the number of strictly monotone functions from $[m]$ to $[n]$.

Proof. $\binom{n}{m}$.

Since a strictly monotone function is needed which means that $f(1), \dots, f(m)$ are not equal with each other, m different elements should be chosen from the set $[n]$, and each is assigned to $f(i), i = 1, 2, \dots, m$, s.t. $f(1) < f(2) < \dots < f(m)$. Hence, the number of strictly monotone function is $\binom{n}{m}$. \square

Exercise 3.2. Find and justify a closed formula for the number of monotone functions from $[m]$ to $[n]$.

Proof. First of all, a sequence of m elements in a non-decreasing order (repeated elements are allowed) should be chosen from the set $[n]$ to form a monotone function. Without loss of generality, assume the set $[n]$ as $\{n_1, \dots, n_n\}$.

Let num_i be the number of n_i chosen, $i = 1, 2, \dots, n$.

$$num_1 + num_2 + \dots + num_n = m, \text{ s.t. } 0 \leq num_i \leq m \text{ and } num_i \in \mathbb{N}$$

Transform the problem into:

$$num_1 + 1 + num_2 + 1 + \dots + num_n + 1 = m + n, \text{ s.t. } 1 \leq (num_i + 1) \leq (m + 1) \text{ and } num_i \in \mathbb{N}^+$$

Hence, then the number of all possible solutions of the equation above is $\binom{m+n-1}{n-1} = \binom{m+n-1}{m}$, which is also the number of monotone functions. \square

Remark. By “closed” I mean something using expressions like \times , $+$, $\binom{n}{k}$, $n!$, but not \sum or \prod . For example, $\binom{n}{k^2}$ is a closed formula but $\sum_{k=0}^n \binom{n}{k}$ is not.

Exercise 3.3. Prove that $\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$ for every $n \geq 0$ by finding a combinatorial interpretation.

Proof. We divide the set of $2n$ elements into 2 sets of n elements. For each $0 \leq k \leq n$, we pick out k elements from one set, exclude k elements in the other set and combine them, we will get n elements, which is equivalent to selecting n elements from $2n$ elements.

Therefore, $\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$ for every $n \geq 0$.

Exercise 3.4. [From the textbook] Find a closed formula for $\sum_{k=m}^n \binom{k}{m} \binom{n}{k}$ and prove it combinatorially, i.e., by giving an interpretation.

Solution. $\sum_{k=m}^n \binom{k}{m} \binom{n}{k} = \binom{n}{m} \cdot 2^{n-m}$.

Proof. The formula means for each $m \leq k \leq n$, we select k elements from n elements, then select m elements from these k elements, which is equivalent to first choose n elements from m elements, then decide whether to pick out the remaining elements that is $\binom{n}{m} \cdot 2^{n-m}$. \blacksquare

Exercise 3.5. Let B_n be the number of partitions of the set $[n]$ (this is the same as the number of equivalence relations on $[n]$). This is called the Bell

number, thus we denote it B_n . Prove that the following recursive formula for B_n is correct:

$$B_0 = 1$$

$$B_{n+1} = \sum_{k=0}^n \binom{n}{k} B_k .$$

Proof. $B_0 = 1$ is correct, undoubtedly.

Denote the elements in the set $\{x_1, x_2, \dots, x_n, \dots\}$.

Assume that for B_0, B_1, \dots, B_n , the formula is right. Then we add a element x_{n+1} to the set. How many options do we have?

For each $0 \leq k \leq n$, we select $n - k$ elements from the former n elements and combine them with the element x_{n+1} which contains $\binom{n}{n-k} = \binom{n}{k}$ ways, then partition the remaining k elements. Therefore we have $B_{n+1} = \sum_{k=0}^n \binom{n}{k} B_k$.

Exercise 3.6. Let P_n be the number of ways to write the natural number n as a sum $a_1 + a_2 + \dots + a_k$ such that $1 \leq a_1 \leq a_2 \leq \dots \leq a_k$. For example, 3 can be written as 3, 2 + 1, and 1 + 1 + 1, so $P_3 = 3$. Find a recursive formula for P_n .

Remark. The formula might not be as simple as the above for B_n . Be creative! Start by writing a simple recursive program that computes P_n .

Solution. Let $G_i(n)$ be the number of ways to write the natural number n as a sum of i numbers following the rules $1 \leq a_1 \leq a_2 \leq \dots \leq a_i$. Speacially we define $G_i(k) = 0$ where $i > k$.

Then we have $P_n = G_1(n) + G_2(n) + \dots + G_n(n)$.

Consider writing n as a sum of i numbers a_1, a_2, \dots, a_i with $1 \leq a_1 \leq a_2 \leq \dots \leq a_i$, and a_1, a_2, \dots, a_k are 1s, a_{k+1}, \dots, a_i are larger than 1:

Subtract these numbers by 1, then we get $0, 0, \dots, 0, a_{k+1}^*, \dots, a_i^*$.

For any k with $1 \leq k \leq i$, the sum of a_{k+1}^*, \dots, a_i^* is $(n - i)$. Then the number of ways to write i numbers which includes k 1s is equal to $G_{i-k}(n - i)$.

In this way, $G_i(n) = \sum_{k=0}^{i-1} G_{i-k}(n - i)$ and $P_n = \sum_{i=1}^{n-1} \sum_{k=0}^{i-1} G_{i-k}(n - i) + G_n(n) = \sum_{i=1}^{n-1} \sum_{k=0}^{i-1} G_{i-k}(n - i) + 1$

Therefore $P_n - P_{n-1} = \sum_{k=1}^{n-1} G_k(n - k) = [n/2]$, so $P_n = P_{n-1} + [n/2]$. ■