

# Lecture notes

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# 1 Logic

## 1.1 Statements

**Definition 1.1.1 – Statement** A *statement* is a sentence that is either true or false but never both. A *proposition*, *logical statement* or *assertion* can also be used to refer to a statement.

## 1.2 Logical operations

- Logical and:  $\vee$
- Logical or:  $\wedge$
- Logical not:  $\neg$

**Definition 1.2.1 – Implication** If A and B are assertions, then the assertion if A then B ( $A \Rightarrow B$ ) is true if and only if one of the following occurs:

- A is true and B is true
- A is false and B is true
- A is false and B is false

**Definition 1.2.2 – Biimplication (if and only if)**  $A \Leftrightarrow B \equiv (A \Rightarrow B) \wedge (B \Rightarrow A)$

## 1.3 Proposition Calculus

Using logical operators and assertions  $P_1, P_2, \dots, P_k$  to form new assertions and analyze them.

**Theorem 1.3.1 – Some true assertions** Suppose P, Q, and R are assertions. Then the following assertions are true:

- (a)  $P \vee \neg P$
- (b)  $P \Leftrightarrow \neg(\neg P)$
- (c)  $\neg(P \wedge \neg P)$
- (d)  $P \Rightarrow Q \Leftrightarrow \neg P \vee Q$
- (e)  $\neg(P \vee Q) \Leftrightarrow \neg P \wedge \neg Q$
- (f)  $\neg(P \wedge Q) \Leftrightarrow \neg P \vee \neg Q$
- (g)  $P \Rightarrow Q \Leftrightarrow \neg Q \Rightarrow \neg P$
- (h)  $(P \vee Q) \wedge R \Leftrightarrow (P \wedge R) \vee (Q \wedge R)$
- (i)  $(P \wedge Q) \vee R \Leftrightarrow (P \vee R) \wedge (Q \vee R)$
- (j)  $(P \vee Q) \Rightarrow R \Leftrightarrow (P \Rightarrow R) \wedge (Q \Rightarrow R)$

## 1.4 Methods of proof

If the statement is of the form

If  $P$  then  $Q$ .

### 1.4.1 Direct proof

We only need to consider the case where  $P$  is true and deduce the truth of  $Q$ .

A direct proof of  $P \Rightarrow Q$  looks like:

Assume that  $P$  is true.

Then we use arguments that imply that  $Q$  is also true and end the proof with:

Hence  $Q$  is true.

### 1.4.2 Proof by contraposition

Instead of proving the statement  $P \Rightarrow Q$  we prove its contrapositive ( $\neg Q \Rightarrow \neg P$ ).

### 1.4.3 Proof by contradiction

In order to prove  $P$  we assume the opposite  $\neg P$  to be true and deduce a contradiction with some obviously true statement  $Q$ .

Thus, we prove that  $\neg Q \Rightarrow \neg P$ . But then the contrapositive  $Q \Rightarrow P$  must also be true. And the obvious truth of  $Q$  implies  $P$  to be true.

## 1.5 Exercises

**1.5.1** Suppose  $p$  is false and  $q$  is true. What about:

- (a)  $p \Rightarrow (p \Rightarrow q)$  is true
- (b)  $p \Rightarrow (q \Rightarrow p)$  is true
- (c)  $q \Rightarrow (p \Rightarrow q)$  is true
- (d)  $q \Rightarrow (q \Rightarrow p)$  is false

## 2 Sets

### 2.1 Sets and subsets

**Definition 2.1.1 – Set** A is set any collection of "things" or "objects"

**Definition 2.1.2 – subset** Suppose  $A$  and  $B$  are sets. The  $A$  is called a *subset* of  $B$ , if for every element  $a \in A$  we also have that  $a \in B$ .

If  $A$  is a subset of  $B$ , then we write  $A \subset B$  or  $A \subseteq B$ . We also say that  $B$  contains  $A$ .

By  $B \supset A$  or  $B \supseteq A$  we mean  $A \subset B$  or  $A \subseteq B$ .

**Example 2.1.3** It is true that  $1 \in \{1, 2, 3\}$  and  $\{1\} \subseteq \{1, 2, 3\}$ , but *not* that  $1 \subseteq \{1\} \in \{1, 2, 3\}$  or  $\{1\} \in \{1, 2, 3\}$

**Example 2.1.4** Notice that  $\emptyset \in \{\emptyset\}$  and  $\emptyset \subseteq \{\emptyset\}$

**Example 2.1.5** To following inclusions are proper

$$\mathbb{N} \subsetneq \mathbb{Z} \subsetneq \mathbb{Q} \subsetneq \mathbb{R} \subsetneq \mathbb{C}$$

**Definition 2.1.6 – Power set** If  $B$  is a set, then by  $\mathcal{P}(B)$  we denote the set of all subsets  $A$  of  $B$ . The set  $\mathcal{P}(B)$  is called the *power set* of  $B$ .

! The power set of a set is never empty.

**Example 2.1.7** Suppose  $A = \{x, y, z\}$  then  $\mathcal{P}(A)$  consists of 8 subsets of  $A$ .

**Proposition 2.1.8 –** Let  $A$  be a set with  $n$  elements. Then its power set  $\mathcal{P}(A)$  contains  $2^n$  elements.

**Proposition 2.1.9 –** Suppose  $A, B$  and  $C$  are sets. Then the following holds:

1. If  $A \subseteq B$  and  $B \subseteq C$ , then  $A \subseteq C$ .
2. If  $A \subseteq B$  and  $B \subseteq A$ , then  $A = B$

*Proof: Statement 1.* Suppose  $A \subseteq B$  and  $B \subseteq C$ . Let  $a \in A$ . Since  $A \subseteq B$ ,  $a \in B$ . Now since  $B \subseteq C$ ,  $a \in C$ . Since for every  $a \in A : a \in C$ ,  $A \subseteq C$   $\square$

### 2.2 How to describe a set

**Definition 2.2.1 – Set description** Let  $P$  be a predicate with reference set  $X$ , then

$$\{x \in X \mid P(x)\}$$

denotes the subset of  $X$  consisting of all elements  $x \in X$  for which the statement  $P(x)$  is true.

**Example 2.2.2** The set  $\{x \in \mathbb{R} \mid x > 0\}$  consists of all positive real numbers.

### 2.3 Operations on sets

**Definition 2.3.1 –** Let  $A, B$  be sets.

1. *intersection*:  $A \cap B$  - the set of all elements contained in both  $A$  and  $B$ .
2. *union*:  $A \cup B$  - the set of elements that are in at least one of  $A$  or  $B$ .
3. Two sets  $A$  and  $B$  are called *disjoint*, if their intersection  $A \cap B$  is the empty set.

**Proposition 2.3.2** – Let  $A, B$  and  $C$  be sets. Then the following holds:

- (a)  $A \cup B = B \cup A$
- (b)  $A \cup \emptyset = A$
- (c)  $A \subseteq (A \cup B)$
- (d) If  $A \subseteq B$ , then  $A \cup B = B$
- (e)  $(A \cup B) \cup C = A \cup (B \cup C)$
- (f)  $A \cap B = B \cap A$
- (g)  $A \cap \emptyset = \emptyset$
- (h)  $A \cap B \subseteq A$
- (i) If  $A \subseteq B$ , then  $A \cap B = A$
- (j)  $(A \cap B) \cap C = A \cap (B \cap C)$

**Definition 2.3.3 – Big Unions and Intersections of sets** Suppose  $I$  is a set and for each element  $i$  there exists a set  $A_i$ , then

$$\bigcup_{i \in I} A_i := \{x \mid \text{there is an } i \in I \text{ with } x \in A_i\}$$

and

$$\bigcap_{i \in I} A_i := \{x \mid \text{for all } i \in I \text{ we have } x \in A_i\}$$

(the set  $I$  is called the index set)

If  $\mathcal{C}$  is a set/collection of sets, then we can define

$$\bigcup_{A \in \mathcal{C}} A := \{x \mid \text{there is an } A \in \mathcal{C}\}$$

and

$$\bigcap_{A \in \mathcal{C}} A := \{x \mid \text{for all } A \in \mathcal{C} \text{ we have } x \in A\}$$

**Example 2.3.4** Suppose for each  $i \in \mathbb{N}$  the set  $A_i$  is defined as  $\{x \in \mathbb{R} \mid 0 \leq x \leq i\}$ . Then

$$\bigcap_{i \in \mathbb{N}} A_i = \{0\}$$

(here we assume that  $0 \in \mathbb{N}$ ) and

$$\bigcup_{i \in \mathbb{N}} A_i = \mathbb{R}_{\geq 0} = \{x \in \mathbb{R} \mid x \geq 0\}$$

**Definition 2.3.5 – Setminus and symmetric difference** Let  $A$  and  $B$  be sets. The *difference* of  $A$  and  $B$ , notation  $A \setminus B$ , is the set of all elements from  $A$  that are *not* in  $B$ .

The *symmetric difference* of  $A$  and  $B$ , notation  $A \triangle B$ , is the set of all elements in *exactly one* of  $A$  or  $B$ .

**Proposition 2.3.6** – Let  $A, B$  and  $C$  be sets. Then the following holds:

1.  $A \setminus B \subseteq A$

2. If  $A \subseteq B$ , then  $A \setminus B = \emptyset$
3.  $A = (A \setminus B) \cup (A \cap B)$
4.  $A \triangle B = (A \setminus B) \cup (B \setminus A)$
5.  $A \triangle B = B \triangle A$
6. If  $A \subseteq B$ , then  $A \triangle B = B \setminus A$
7.  $A \triangle (B \triangle C) = (A \triangle B) \triangle C$

**Proposition 2.3.7** – Let  $A, B$  and  $C$  be sets. Then the following hold:

1.  $(A \cup B) \cap C = (A \cap C) \cup (B \cap C)$
2.  $(A \cap B) \cup C = (A \cup C) \cap (B \cup C)$
3.  $A \setminus (B \cup C) = (A \setminus B) \cap (A \setminus C)$
4.  $A \setminus (B \cap C) = (A \setminus B) \cup (A \setminus C)$

**Definition 2.3.8 – Set Complement** If one is working inside a fixed set  $U$  and only considering subsets of  $U$ , then the difference  $U \setminus A$  is also called the *complement* of  $A$  in  $U$ . We write  $A^*$  or  $A^c$  for the complement of  $A$  in  $U$ . In this case the set  $U$  is also called the *universe*.

**Proposition 2.3.9** – For subsets  $A, B$  and  $C$  of the universe  $U$  we have:

1.  $A \cup A^* = U$
2.  $B \setminus C = B \cap C^*$
3.  $(A^*)^* = A$
4. If  $A \subseteq B$  then  $B^* \subseteq A^*$
5.  $(A \cup B)^* = A^* \cap B^*$
6.  $(A \cap B)^* = A^* \cup B^*$

## 2.4 Cartesian product

**Definition 2.4.1 – Cartesian Product** The Cartesian product  $A_1 \times A_2 \times \cdots \times A_k$  of sets  $A_1, \dots, A_k$  is the set of all ordered  $k$ -tuples  $(a_1, a_2, \dots, a_k)$  where  $a_i \in A_i$  for  $1 \leq i \leq k$ . In particular, if  $A$  and  $B$  are sets, then

$$A \times B = \{(a, b) \mid a \in A \text{ and } b \in B\}$$

## 2.5 Partitions

**Definition 2.5.1 – Partition** Let  $S$  be a none-empty set. A collection  $\Pi$  of subsets of  $S$  is called a *partition* if and only if

1.  $\emptyset \notin \Pi$



2.  $\bigcup_{X \in \Pi} X = S$
3. for all  $X \neq Y \in \Pi$  we have  $X \cap Y = \emptyset$

**Example 2.5.2** The set  $\{1, 2, \dots, 10\}$  can be partitioned into the sets  $\{1, 2, 3\}, \{4, 5\}, \{6, 7, 8, 9, 10\}$

**Example 2.5.3** Suppose  $\mathcal{L}$  is the set of all lines in  $\mathbb{R}^2$  parallel to a fixed line  $\ell$ . Then  $\mathcal{L}$  partitions  $\mathbb{R}^2$

**Example 2.5.4** Let  $n > 1$  be an integer. Then the set  $\mathbb{Z}$  can be partitioned into the following subsets:

$$\begin{aligned} &\{z \in \mathbb{Z} \mid z = 0 + nx \text{ for some } x \in \mathbb{Z}\} \\ &\{z \in \mathbb{Z} \mid z = 1 + nx \text{ for some } x \in \mathbb{Z}\} \\ &\vdots \\ &\{z \in \mathbb{Z} \mid z = (n-1) + nx \text{ for some } x \in \mathbb{Z}\} \end{aligned}$$

## 2.6 Quantifiers

**Definition 2.6.1 – Quantifiers** Let  $P$  be a predicate on a reference set  $X$ . Then by

$$\forall x \in X [P(x)]$$

we denote the assertion "For all  $x \in X$  the assertion  $P(x)$  is true".

$\forall$  is called the *for all*-quantifier or *universal quantifier*.

By

$$\exists x \in X [P(x)]$$

we denote the assertion "There exists an  $x \in X$  with  $P(x)$  true".

$\exists$  is called the *existential quantifier*.

**Example 2.6.2** The following statements are true:

$$\forall x \in \mathbb{R} [x \geq 0 \implies |x| = x],$$

$$\exists x \in \mathbb{R} [|x| = x]$$

$$\forall x \in \mathbb{Q} [-1 < \sin(x) < 1]$$

Here a few statements that are false:

$$\forall x \in \mathbb{R} [|x| = x]$$

$$\forall x \in \mathbb{R} [-1 < \sin(x) < 1]$$

**Example 2.6.3** We can make combinations of quantifiers to create various assertions. For example

$$\forall x \in \mathbb{Z} \exists y \in \mathbb{Z} [x + y = 0]$$

**Proposition 2.6.4 – DeMorgan's rule**

$$\neg(\forall x \in X [P(x)]) \iff \exists x \in X [\neg P(x)]$$

$$\neg(\exists x \in X [P(x)]) \iff \forall x \in X [\neg P(x)]$$

**Example 2.6.5** Let  $X = \{1, 2, \dots, 9\}$  and consider the following statements.

$$P = \forall x \in X \exists y \in X [x + y = 10]$$

$$Q = \exists x \in X \forall y \in X [x + y = 10]$$

The assertion  $P$  is clearly true.

The assertion  $Q$  is false. We prove  $\neg Q$ . By DeMorgan's rule the assertion  $\neg Q$  is equivalent with

$$R = \forall x \in X \exists y \in X [x + y \neq 10]$$

## 2.7 Exercises

**2.7.1 Which of the following sets are equal to each other:  $\emptyset, \{0\}, \{\emptyset\}$**

None

**2.7.2 What are the sets that have no proper subset?**

Since the empty set is a subset of any set, all non-empty sets have at least one proper subset, namely  $\emptyset$ .

**2.7.3 How many elements does the set  $\{\emptyset, \{\emptyset\}, \emptyset\}$**

Since we do not count multiplicity there are 2 elements.

**2.7.4 Suppose  $A = \{\{1\}, \{2, 3\}\}$ . Which of the following is true:**

- $\{1\} \subseteq A$  - Since  $1 \notin A$ , it is false.
- $\{2, 3\} \subseteq A$  - Since  $2 \notin A$  and  $3 \notin A$ , it is false.
- $\{\{2, 3\}\} \subseteq A$  - Since  $\{2, 3\} \in A$ , it is true.

**2.7.5 Suppose  $A = \{\emptyset, \{1, 2\}\}$ . Give all subsets of  $\mathcal{P}(A)$**

$$\mathcal{P}(A) = \{\emptyset, \{0\}, \{\{1, 2\}\}, A\}$$

$$\mathcal{P}(\mathcal{P}(A)) = \{$$

$\emptyset,$

$\{\emptyset\}, \{0\}, \{\{1, 2\}\}, A,$

$\{\emptyset, \{0\}\}, \{\emptyset, \{\{1, 2\}\}\}, \{\emptyset, A\}, \{\{0\}, \{\{1, 2\}\}\}, \{\{0\}, A\}, \{\{\{1, 2\}\}, A\}$

$\{\emptyset, \{0\}, \{\{1, 2\}\}\}, \{\emptyset, \{0\}, \{A\}\}, \{\{0\}, \{\{1, 2\}\}, A\},$

$\{\emptyset, \{0\}, \{\{1, 2\}\}, A\}$

$\}$

**2.7.6 Suppose a set  $A$  contains  $n$  elements. How many elements does  $\mathcal{P}(A)$  have?**

$$|A| = n \Rightarrow |\mathcal{P}(A)| = 2^n$$

**2.7.7 Which of the following statements is true for all sets  $A, B$  and  $C$ ? Give a proof or a counter example**

(a)  $A \subseteq ((A \cap B) \cup C) \rightarrow \text{false}$

*Proof.* take  $a \in (A \setminus B \setminus C)$ , then  $a \notin (A \cap B)$  and  $a \notin C$

hence  $a \notin (A \cap B) \cup C$

we conclude  $A \not\subseteq ((A \cap B) \cup C)$

□

(b)  $(A \cup B) \cap C = (A \cap B) \cup C \rightarrow \text{false}$

*Proof.* take  $a \in C \setminus (A \cup B)$

then  $a \in (A \cap B) \cup C$

but  $a \notin (A \cup B)$ , so  $a \notin (A \cup B) \cap C$

we conclude  $(A \cup B) \cap C \neq (A \cap B) \cup C$

□

(c)  $(A \setminus B) \cap C = (A \cap C) \setminus (B \cap C) \rightarrow true$

*Proof.* take  $a \in (A \setminus B) \cap C$ , so  $a \in (A \cap C) \setminus (B \cap C)$

□

**Let A,B and C be sets. Prove the following.**

(a)

## 3 Relations

### 3.1 Binary relations

**Definition 3.1.1 – Relation** A relation  $R$  between the sets  $S$  and  $T$  is a subset of the Cartesian product  $S \times T$ .

Suppose  $R$  is a relation between  $S$  and  $T$ . If  $(a, b) \in R$ , we say  $a$  is in relation  $R$  to  $b$  ( $aRb$ ).

$S$  is called the domain, while  $T$  - codomain.

If  $S = T$  we say  $R$  is a relation on  $S$ .

**Example 3.1.2** We give some examples:

1.  $R\{(0,0), (1,0), (2,1)\}$  is a relation between sets  $S = \{0, 1, 2\}$  and  $T = \{0, 1\}$
2.  $R = \{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$  is a relation on  $\mathbb{R}$
3. Let  $\Omega$  be a set, then "is a subset of"  $\subseteq$  is a relation on the set  $S = \mathcal{P}(\Omega)$  of all subsets of  $\Omega$

**Definition 3.1.3 – Image** Let  $R$  be a relation from a set  $S$  to a set  $T$ . Then for each element  $a \in S$  we define  $[a]_R$  to be the set

$$[a]_R := \{b \in T \mid aRb\}$$

(Sometimes this set is also denoted by  $R(a)$ ) This set is called the ( $R$ -) image of  $a$ .

For  $b \in T$  the set

$${}_R[b] := \{a \in S \mid aRb\}$$

Relations between finite sets can be described using matrices.

**Definition 3.1.4 – Adjacency Matrix** If  $S = \{s_1, s_2, \dots, s_n\}$  and  $T = \{t_1, t_2, \dots, t_m\}$  are finite sets and  $R \subseteq S \times T$  is a binary relation, then the *adjacency matrix*  $A_R$  of the relation  $R$  is the  $n \times m$  matrix whose rows are indexed by  $S$  and columns by  $T$  defined by:

$$A_{s,t} = \begin{cases} 1 & \text{if } (s, t) \in R \\ 0 & \text{otherwise} \end{cases}$$

**Example 3.1.5** 1. The adjacency matrix of the relation  $R = \{(0,0), (1,0), (2,1)\}$  between the sets  $S = \{0, 1, 2\}$  and  $T = \{0, 1\}$  equals

$$\begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}$$

2. The adjacency matrix of the identity relation on a set  $S$  of size  $n$ :

$$I_n = \begin{pmatrix} 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & \dots & 0 & 1 \end{pmatrix}$$

3. The adjacency matrix of relation  $\leq$  on the set  $\{1, 2, 3, 4, 5\}$  is the upper triangular matrix:

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

Some relations have special properties:

**Definition 3.1.6 – Special relation properties** Let  $R$  be a relation on set  $S$ . Then  $R$  is called

- *Reflexive* if for all  $x \in S$  we have  $(x, x) \in R$
- *Irreflexive* if for all  $x \in S$  we have  $(x, x) \notin R$
- *Symmetric* if for all  $x, y \in S$  we have  $xRy \implies yRx$
- *Antisymmetric* if for all  $x, y \in S$  we have that  $xRy$  and  $yRx \implies x = y$
- *Transitive* if for all  $x, y, z \in S$  we have that  $xRy$  and  $yRz \implies xRz$

### 3.2 Relations and Directed Graphs

**Definition 3.2.1 – Directed graph** A *directed edge* of a set  $V$  is an element of  $V \times V$ . If  $e(v, w)$  is a directed edge of  $V$ , then  $v$  is called its *tail* and  $w$  its *head*. Both  $v$  and  $w$  are called *end points* of the edge  $e$ . The *reverse* of the edge  $e$  is the edge  $(w, v)$ . A *loop* is an edge from a vertex to itself.

A *directed graph* (also called (digraph))  $\Gamma = (V, E)$  consists of a set of *vertices* and a subset  $E$  of  $V \times V$  of (directed) *edges*. The elements of  $V$  are called the vertices of  $\Gamma$  and the elements of  $E$  the *edges* of  $\Gamma$ .

#### 3.2.2 Some graph theoretical language

Suppose  $\Gamma = (V, E)$  is a digraph. A *walk* from  $v$  to  $w$ , where  $v, w \in V$ , is a sequence  $v_0, v_1, \dots, v_k$  of vertices with  $v_0 = v, v_k = w$  and  $(v_i, v_{i+1}) \in E$  for all  $0 \leq i \leq k$ . A *path* from  $v$  to  $w$  is a walk from  $v$  to  $w$  in which all vertices, except possibly the first vertex  $v$  and the last vertex  $w$  are different.

An *undirected walk* from  $v$  to  $w$  is a sequence  $v_0, v_1, \dots, v_k$  of vertices with  $(v_i, v_{i+1}) \in E$  or  $(v_{i+1}, v_i) \in E$  for all  $0 \leq i \leq k$ , while an *undirected path* from  $v$  to  $w$  is an undirected walk in which all vertices except possibly the first and last are different. The *length* of the (directed or undirected) walk or path is  $k$ . A *cycle* is a path from  $v$  to  $v$  of length at least 1.

If  $v, w \in V$  are vertices of the digraph  $\Gamma$ , then the *distance* from  $v$  to  $w$  is the minimum of the lengths of the paths from  $v$  to  $w$ . The distance is set to  $\infty$  (infinity) if there is no path from  $v$  to  $w$ .

The digraph is called *weakly connected* if for any two vertices  $v$  and  $w$  there is an undirected path between  $v$  and  $w$ . It is called *strongly connected* if there exist paths in both directions.

**Proposition 3.2.3 –** Let  $(V, E)$  be a directed graph. Then we have the following.

1.  $E$  is reflexive if and only if every vertex  $v \in V$  is in a loop.
2.  $E$  is symmetric if and only if for every edge  $e \in E$ , also its reverse is in  $E$ .
3.  $E$  is transitive if and only if for each walk of length at least 1 starting from  $x$  and ending in  $y$  we have that  $(x, y) \in E$ .

**Example 3.2.4** The complete directed graph on a vertex set  $V$  is the graph in which all vertices are adjacent to each other and themselves. This graph is clearly strongly connected.

So, the corresponding relation is reflexive, symmetric and transitive.

**Proposition 3.2.5** – Let  $R$  be a relation on the set  $V$  which is reflexive, symmetric and transitive. Then all (weakly) connected components of the graph  $\Gamma = (V, R)$  are complete graphs.

**Definition 3.2.6 – Indegree / Outdegree** Let  $\Gamma = (V, E)$  be a digraph and  $v \in V$  a vertex. The *indegree* of  $v$  is the number of edges with  $v$  as head. The *outdegree* of  $v$  is the number of edges with  $v$  as tail.

### 3.3 Equivalence relations

**Definition 3.3.1 – Equivalence Relation** A relation  $R$  on a set  $S$  is called an *equivalence relation* on  $S$  if and only if it is reflexive, symmetric and transitive.

**Example 3.3.2** Consider the plane  $\mathbb{R}^2$  and in it the set  $S$  of straight lines. We call two lines parallel in  $S$  if and only if they are equal or do not intersect. Notice that two lines in  $S$  are parallel if and only if their slopes are equal. Being parallel defines an equivalence relation on the set  $S$ .

**Example 3.3.3** Fix  $n \in \mathbb{Z}$ , and consider the relation  $R$  on  $\mathbb{Z}$  by  $aRb$  if and only if  $a - b$  is divisible by  $n$ . We also write  $a \equiv b \pmod{n}$ .

The relation  $R$  is an equivalence relation. Indeed, suppose  $a, b, c \in \mathbb{Z}$ . Then

1.  $aRa$  as  $a - a = 0$  is divisible by  $n$ .
2. If  $aRb$ , then  $a - b$  is divisible by  $n$  and hence also  $b - a$ . Thus  $bRa$ .
3. If  $aRb, bRc$ , then  $n$  divides both  $a - b$  and  $b - c$  and then also  $(a - b) + (b - c) = a - c$ . So  $aRc$ .

**Example 3.3.4** Let  $\Pi$  be a partition of the set  $S$ . We define the relation  $R_\Pi$  as follows:  $a, b \in S$  are in relation  $R_\Pi$  if and only if there is a subset  $X$  of  $S$  in  $\Pi$  containing both  $a$  and  $b$ . We check that the relation  $R_\Pi$  is an equivalence relation on  $S$ .

- Reflexivity: Let  $a \in S$ . Then there is an  $X \in \Pi$  containing  $a$ . Hence,  $a, a \in X$  and  $aR_\Pi a$ .
- Symmetry: Let  $aR_\Pi b$ . Then there is an  $X \in \Pi$  with  $a, b \in X$ . But then also  $b, a \in X$  and  $bR_\Pi a$ .
- Transitivity: If  $a, b, c \in S$  with  $aR_\Pi b$  and  $bR_\Pi c$ , then there are  $X, Y \in \Pi$  with  $a, b \in X$  and  $b, c \in Y$ . However, then  $b$  is in both  $X$  and  $Y$ . But then, as  $\Pi$  partitions  $S$ , we have  $X = Y$ . So  $a, c \in X$  and  $aR_\Pi c$ .

**Lemma 3.3.5** – Let  $R$  be an equivalence relation on a set  $S$ . If  $b \in [a]_R$ , then  $[b]_R = [a]_R$ .

*Proof.* Suppose  $b \in [a]_R$ . Thus  $aRb$ . If  $c \in [b]_R$ , then  $bRc$  and, as  $aRb$ , we have by transitivity  $aRc$ . In particular,  $[b]_R \subseteq [a]_R$ .

Since, by symmetry of  $R$ ,  $aRb$  implies  $bRa$  and hence  $a \in [b]_R$ , we similarly get  $[a]_R \subseteq [b]_R$ .  $\square$

**Definition 3.3.6 – Equivalence classes** Let  $R$  be an equivalence relation on a set  $S$ . Then the sets  $[s]_R$ , where  $s \in S$  are called the *R-equivalence classes* on  $S$ . We denote the set of *R-equivalence classes* by  $S/R$ .

**Theorem 3.3.7** – Let  $R$  be an equivalence relation on a set  $S$ . Then the set  $S/R$  of *R-equivalence classes* partitions the set  $S$ .

*Proof.* Let  $\Pi_R$  be the set of  $R$ -equivalence classes. Then by reflexivity of  $R$  we find that each element  $a \in S$  is inside the class  $[a]_R \in \Pi_R$ .

If an element  $a \in S$  is in the classes  $[b]_R$  and  $[c]_R$  of  $\Pi$ , then by the previous lemma we find  $[b]_R = [a]_R$  and  $[c]_R = [a]_R$ . In particular,  $[b]_R = [c]_R$ . Thus each element  $a \in S$  is inside a unique member of  $\Pi_R$ , which therefore is a partition of  $S$ .  $\square$

**Example 3.3.8 Construction of  $\mathbb{Q}$**  The rational numbers can be constructed from integers with the help of an equivalence relation.

We consider the set  $V = Z \times Z \setminus \{0\}$ . On  $V$  we define the relation  $\equiv$  by

$$(a, b) \equiv (c, d) \iff a \cdot d = b \cdot c$$

for all  $(a, b)$  and  $(c, d)$  in  $V$ .

Now we denote the  $\equiv$ -equivalence class of a pair  $(a, b)$  by  $\frac{a}{b}$ .

### 3.4 Composition of relations

If  $R_1$  and  $R_2$  are relations between a set  $S$  and a set  $T$ , then we can form new relations by taking the intersection  $R_1 \cap R_2$  or the union  $R_1 \cup R_2$ . Also the complement of  $R_1$  in  $R_2$ ,  $R_1 \setminus R_2$  is a new relation. Furthermore, we can consider the relation  $R^\top$  (sometimes also denoted by  $R^{-1}$ ,  $R^\sim$  or  $R^\vee$ ) from  $T$  to  $S$  as the relation  $\{(t, s) \in T \times S \mid (s, t) \in R\}$

Another way of making new relations out of old ones is the following. If  $R_1$  is a relation between  $S$  and  $T$  and  $R_2$  is a relation between  $T$  and  $U$ , then the *composition* or product  $R = R_1; R_2$  (sometimes denoted by  $R_2 \circ R_1$  or  $R_1 * R_2$ ) is the relation between  $S$  and  $U$  defined by  $sRu$  for  $s \in S$  and  $u \in U$ , if and only if there is a  $t \in T$  with  $sR_1t$  and  $tR_2u$ .

**Example 3.4.1**  $R_1 = \{(1, 2), (2, 3), (3, 3), (2, 4)\}$  and  $R_2 = \{(1, a), (2, b), (3, c), (3, d)\}$ . Then  $R_1; R_2 = \{(1, b), (2, c), (3, c), (2, d)\}$ .

We get the adjacency matrix of a composition by multiplying the respective adjacency matrices and then replacing all non-zero entries with 1.

**Example 3.4.2** Suppose  $R_1 = \{(1, 2), (2, 3), (3, 3), (2, 4), (3, 1)\}$  and  $R_2$  is the relation  $\{(1, 1), (2, 3), (3, 1), (3, 3), (4, 2)\}$ . Then the adjacency matrices  $A_1$  and  $A_2$  for  $R_1$  and  $R_2$  are

$$A_1 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \end{pmatrix}, A_2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

The product of these matrices equals

$$M = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 1 & 1 \\ 2 & 0 & 1 \end{pmatrix}$$

So the adjacency matrix of  $R_1; R_2$  is

$$\begin{pmatrix} 0 & 0 & 1 \\ 1 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix}$$

**Proposition 3.4.3** – Suppose  $R_1$  is a relation from  $S$  to  $T$ ,  $R_2$  a relation from  $T$  to  $U$  and  $R_3$  a relation from  $U$  to  $V$ . Then  $R_1; (R_2; R_3) = (R_1; R_2); R_3$ .

Composing relations is associative.



### 3.5 Transitive Closure

**Lemma 3.5.1** – Let  $\mathcal{C}$  be a collection of relations  $R$  on a set  $S$ . If all relations  $R$  in  $\mathcal{C}$  are transitive (symmetric or reflexive), then the relation  $\bigcap_{R \in \mathcal{C}} R$  is also transitive (symmetric or transitive, respectively).

*Proof.* Let  $\bar{R} = \bigcap_{R \in \mathcal{C}} R$ . Suppose all members of  $\mathcal{C}$  are transitive. Then for all  $a, b, c \in S$  with  $a\bar{R}b$  and  $b\bar{R}c$  we have  $aRb$  and  $bRc$  for all  $R \in \mathcal{C}$ . Thus by transitivity of each  $R \in \mathcal{C}$  we also have  $aRc$  for each  $R \in \mathcal{C}$ . Thus we find  $a\bar{R}c$ . Hence  $\bar{R}$  is transitive.  $\square$

The above lemma makes it possible to define the *reflexive, symmetric, or transitive closure* of a relation  $R$  on a set  $S$ . It is the smallest reflexive, symmetric or transitive relation containing  $R$ . This means, as follows from lemma 3.5.1, it is the intersection  $\bigcap_{R' \in \mathcal{C}} R'$ , where  $\mathcal{C}$  is the collection of all reflexive, symmetric, or transitive relations containing  $R$ .

**Proposition 3.5.2** –  $\bigcup_{n \geq 0} R^n$  is the transitive closure of the relation  $R$ .

*Proof.* Define  $\bar{R} = \bigcup_{n \geq 0} R^n$ . We prove transitivity of  $\bar{R}$ . Let  $a\bar{R}b$  and  $b\bar{R}c$ , then there are sequence  $a = a_1, \dots, a_k = b$  and  $b = b_1, \dots, b_l = c$  with  $a_i R a_{i+1}$  and  $b_i R b_{i+1}$ . But then the sequence  $a = a_1 = c_1, \dots, c_k = a_k = b_1, \dots, c_{k+l-1} = b_l = c$  is a sequence from  $a$  to  $c$  with  $c_i R c_{i+1}$ . Hence  $a\bar{R}^{k+l-2}c$  and  $a\bar{R}c$ .  $\square$

The transitive, symmetric and reflexive closure of a relation  $R$  is an equivalence relation. In terms of the graph  $\Gamma_R$ , the equivalence classes are the strongly connected components of  $\Gamma_R$ .

**Algorithm 3.5.3** – H Warhall's Algorithm

### **3.6 Exercises**

## 4 Maps

### 4.1 Definition

**Definition 4.1.1** – A relation  $F$  from a set  $A$  to a set  $B$  is called a map or function from  $A$  to  $B$  if for each  $a \in A$  there is one and only one  $b \in B$  with  $aFb$ .  
 If  $F$  is a map from  $A$  to  $B$ , we write  $F : A \rightarrow B$ .  
 The set of all maps from  $A$  to  $B$  is denoted by  $B^A$ .  
 A *partial map*  $F$  from  $A$  to  $B$  is a relation with the property that for each  $a \in A$  there is at most one  $b \in B$  with  $aFb$ .

**Example 4.1.2** 1. polynomial functions like  $f : \mathbb{R} \rightarrow \mathbb{R}$ , with  $f(x) = x^3$  for all  $x$

2. functions like  $\cos, \sin, \tan$

3.  $\sqrt{\cdot} : \mathbb{R}^+ \rightarrow \mathbb{R}$ , taking square roots

4.  $\ln : \mathbb{R}^+ \rightarrow \mathbb{R}$ , the natural logarithm

**Proposition 4.1.3** – Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be maps, then the composition  $g \circ f = f;g$  is a map from  $A$  to  $C$ .

Let  $A$  and  $B$  be two sets and  $f : A \rightarrow B$ . The set  $A$  is called the *domain* of  $f$ , the set  $B$  the *codomain*. If  $a \in A$ , then the element  $b = f(a)$  is called the *image* of  $a$  under  $f$ . The subset of  $B$  consisting of the images of the elements of  $A$  under  $f$  is called the *image* or *range* of  $f$  and is denoted by  $\text{Im}(f)$ . So

$$\text{Im}(f) = \{b \in B \mid \text{there is an } a \in A \text{ with } b = f(a)\}$$

If  $A'$  is a subset of  $A$ , then the image of  $A'$  under  $f$  is the set  $f(A') = \{f(a) \mid a \in A'\}$ . If  $A'$  is a subset of  $A$ , then the image of  $A'$  under the set  $f(A') = \{f(a) \mid a \in A'\}$ . So,  $\text{Im}(f) = f(A)$ .

If  $a \in A$  and  $b = f(a)$ , then the element  $a$  is called a *pre-image* of  $b$ . Notice that  $b$  can have more than one pre-image. The set of all pre-images of  $b$  is denoted by  $f^{-1}(b)$ . So

$$f^{-1}(b) = \{a \in A \mid f(a) = b\}$$

If  $B'$  is a subset of  $B$ , then the pre-image of  $B'$ , denoted by  $f^{-1}(B')$  is the set of elements  $a$  from  $A$  that are mapped to an element  $b$  of  $B'$ . In particular

$$f^{-1}(B') = \{a \in A \mid f(a) \in B'\}$$

**Example 4.1.4** 1. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  with  $f(x) = x^2$  for all  $x \in \mathbb{R}$ . Then  $f^{-1}([0, 4]) = [-2, 2]$

2. Consider the map from  $\mathbb{Z}$  to  $\mathbb{Z}$ , which maps an integer  $a$  to the unique element  $b$  in  $0, \dots, 7$  with  $a = b \pmod{8}$ . The inverse image of 3 is the set  $\{\dots, -5, 3, 11, \dots\}$ . The inverse image of 11, however, is the empty set.

**Theorem 4.1.5** – Let  $f : A \rightarrow B$  be a map.

- If  $A' \subseteq A$ , then  $f^{-1}(f(A')) \supseteq A'$
- If  $B' \subseteq B$ , then  $f(f^{-1}(B')) \subseteq B'$

*Proof.* Let  $a' \in A'$ , then  $f(a') \in f(A')$  and hence  $a' \in f^{-1}(f(A'))$ . Thus  $A' \subseteq f^{-1}(f(A'))$   
 Let  $a \in f^{-1}(B')$ , then  $f(a) \in B'$ . Thus  $f(f^{-1}(B')) \subseteq B'$  □

**Theorem 4.1.6** – Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be maps. Then  $\text{Im}(g \circ f) = g(f(A)) \subseteq \text{Im}(g)$

## 4.2 Special maps

**Definition 4.2.1 – Surjective, injective and bijective maps** A map  $f : A \rightarrow B$  is called *surjective*, if for every  $b \in B$  there is an  $a \in A$  with  $b = f(a)$ . In other words if  $\text{Im}(f) = B$ .

The map  $f$  is called *injective*, if for each  $b \in B$ , there is at most one  $a$  with  $f(a) = b$ . So the pre-image of  $b$  is either empty or consists of a unique element. In other words,  $f$  is injective if for any elements  $a$  and  $b$  from  $A$  we find that  $f(a) = f(b)$  implies  $a = b$ .

The map  $f$  is *bijective* if it is both surjective and injective. So, if for each  $b \in B$  there is a unique  $a \in A$  with  $f(a) = b$ .

**Example 4.2.2** (a) The map  $\sin : \mathbb{R} \rightarrow \mathbb{R}$  is not surjective, nor injective

(b) The map  $\sin : [-\pi/2, \pi/2] \rightarrow \mathbb{R}$  is injective, but not surjective

(c) The map  $\sin : \mathbb{R} \rightarrow [-1, 1]$  is a surjective, but not injective map

(d) The map  $\sin : [-\pi/2, \pi/2] \rightarrow [-1, 1]$  is a bijective map

**Theorem 4.2.3 – Pigeonhole Principle** Let  $A$  be a set of size  $n$  and  $B$  be a set of size  $m$ . Let  $f : A \rightarrow B$  be a map between sets  $A$  and  $B$ .

- (a) If  $n < m$ , then  $f$  cannot be surjective.
- (b) If  $n > m$ , then  $f$  cannot be injective.
- (c) If  $n = m$ , then  $f$  is injective if and only if  $f$  is surjective.

**Remark 4.2.4.** The above result is called the pigeonhole principle because of the following. If one has  $n$  pigeons (the set  $A$ ) and the same number of holes (the set  $B$ ), then one pigeonhole is empty if and only if one of the other holes contains at least two pigeons.

**Example 4.2.5** Suppose you have to pick seven distinct numbers of the set  $\{1, 2, \dots, 11\}$ . Then among these seven numbers there is a pair that adds up to 12.

Suppose  $S$  is the set of 7 numbers picked. Now consider the following six subsets

$$\{1, 11\}, \{2, 10\}, \{3, 9\}, \{4, 8\}, \{5, 7\}, \{6\}$$

partitioning  $\{1, \dots, 11\}$ . The map that assigns to each of the seven elements of  $S$  the unique part of this partition to which it belongs can not be injective. So, there is a pair of this partition that is contained in  $S$  providing us with two numbers in  $S$  adding up to 12.

**Proposition 4.2.6** – Let  $f : A \rightarrow B$  be a bijection. Then for all  $a \in A$  and  $b \in B$  we have  $f^{-1}(f(a)) = a$  and  $f(f^{-1}(b)) = b$ . In particular,  $f$  is the inverse of  $f^{-1}$ .

**Theorem 4.2.7** – Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be two maps.

- (a) If  $f$  and  $g$  are surjective, then so is  $g \circ f$
- (b) If  $f$  and  $g$  are injective, then so is  $g \circ f$
- (c) If  $f$  and  $g$  are bijective, then so is  $g \circ f$

**Proposition 4.2.8** – If  $f : A \rightarrow B$  and  $g : B \rightarrow A$  are maps with  $f \circ g = I_B$  and  $g \circ f = I_A$  where  $I_A$  and  $I_B$  denote the identity maps on  $A$  and  $B$ , respectively. Then  $f$  and  $g$  are bijections. Moreover,  $f^{-1} = g$  and  $g^{-1} = f$ .

**Lemma 4.2.9** – Suppose  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are bijective maps. Then the inverse of the map  $g \circ f$  equals  $f^{-1} \circ g^{-1}$ .

### 4.3 Permutations and Symmetric groups

**Definition 4.3.1** – **Permutations and Symmetric groups** Let  $X$  be a set.

- A bijection on  $X$  to itself is also called a *permutation* of  $X$ . The set of all permutations of  $X$  is denoted by  $\text{Sym}(X)$ . It is called the *symmetric group* on  $X$ .
- The product  $g \cdot h$  of two permutations  $g, h \in \text{Sym}(X)$  is defined as the composition  $g \circ h$  of  $g$  and  $h$ . Thus for all  $x \in X$  we have  $g \cdot h(x) = g(h(x))$ .
- If  $X = \{1, \dots, n\}$ , we also write  $\text{Sym}_n$  instead of  $\text{Sym}(X)$ . Furthermore, a permutation  $f$  of  $X$  is often given by  $[f(1), f(2), \dots, f(n)]$ .

**Theorem 4.3.2** –  $\text{Sym}_n$  has exactly  $n!$  elements.

**Definition 4.3.3** – **Order of a permutation** The order of a permutation  $g$  is the smallest positive integer  $m$  such that  $g^m = e$ .

### 4.4 Cycles

**Definition 4.4.1** – **Fix points and Support** The *fixed points* of  $g$  in  $X$  are the elements of  $x$  in  $X$  for which  $g(x) = x$  holds. The set of all fix points is  $\text{fix}(g) = \{x \in X \mid g(x) = x\}$ . The *support* of  $g$  is the complement in  $X$  of  $\text{fix}(g)$ . It is denoted by  $\text{support}(g)$ .

**Example 4.4.2** Consider the permutation  $g = [1, 3, 2, 5, 4, 6] \in \text{Sym}_6$ . The fixed points of  $g$  are 1 and 6. So  $\text{fix}(g) = \{1, 6\}$ . Thus the points moved by  $g$  form the set  $\text{support}(g) = \{2, 3, 4, 5\}$ .

Cycles are elements in  $\text{Sym}_n$  of special importance.

**Definition 4.4.3** – **Cycles** Let  $g \in \text{Sym}_n$  be a permutation with  $\text{support}(g) = \{a_1, \dots, a_m\}$ , where the  $a_i$  are pairwise distinct. We say  $g$  is an  $m$ -cycle if  $g(a_i) = g(a_{i+1})$  for all  $i \in \{1, \dots, m-1\}$  and  $g(a_m) = a_1$ . For such a cycle  $g$  we also use the cycle notation  $(a_1, \dots, a_m)$ . 2-cycles are called *transpositions*.

**Theorem 4.4.4** – Every permutation in  $\text{Sym}_n$  is a product of disjoint cycles. This product is unique up to rearrangement of the factors.

**Definition 4.4.5 – Cycle structure** The cycle structure of a permutation is the unordered sequence of the cycle lengths in an expression of  $g$  as a product of disjoint cycles.

## 4.5 Alternating groups

**Theorem 4.5.1** – If a permutation is written in two ways as a product of transpositions, then both products have even length or both have odd length.

**Definition 4.5.2** – Let  $g$  be an element of  $\text{Sym}_n$ . the sign of  $g$ , denoted by  $\text{sign}(g)$ , is defined as

- 1 if  $g$  can be written as a product of an even number of 2-cycles, and
- -1 if  $g$  can be written as a product of an odd number of 2-cycles.

We say that  $g$  is even  $\text{sign}(g) = 1$  and odd if  $\text{sign}(g) = -1$ .

**Theorem 4.5.3 – Multiplicative property of sign** For all permutations  $g, h$  in  $\text{Sym}_n$ , we have

$$\text{sign}(g \cdot h) = \text{sign}(g) \cdot \text{sign}(h)$$

**Corollary 4.5.4** – If a permutation  $g$  is written as a product of cycles, then  $\text{sign}(g) = (-1)^w$ , where  $w$  is the number of cycles of even length.

**Definition 4.5.5 – Alternating group** By  $\text{Alt}_n$  we denote the set of even permutations in  $\text{Sym}_n$ . We call  $\text{Alt}_n$  the *alternating group* on  $n$  letters.

The alternating group is closed with respect to taking products and inverse elements.

There are exactly as many even as odd permutations in  $\text{Sym}_n$ .

**Theorem 4.5.6 – Size of  $\text{Alt}_n$**  For  $n > 1$ , the alternating group  $\text{Alt}_n$  contains precisely  $\frac{n!}{2}$  elements.

**Theorem 4.5.7** – Every even permutation is a product of 3-cycles.

## 4.6 Exercises

**4.6.1 Which of the following relations are maps from  $A = \{1, 2, 3, 4\}$  to  $A$ ?**

- (a)  $\{(1, 3), (2, 4), (3, 1), (4, 2)\}$ : As for all  $a \in A$  there is one and only  $b \in A$ , the relation is a map.
- (b)  $\{(1, 3), (2, 4)\}$ : As 3 and 4 are not mapped to any element, this relation is not a map from  $A$  to  $A$ .
- (c)  $\{(1, 1), (2, 2), (3, 3), (4, 4), (1, 3), (2, 4), (3, 1), (4, 2)\}$ : As elements from  $A$  are not mapped uniquely to another element, it is not a map.
- (d)  $\{(1, 1), (2, 2), (3, 3), (4, 4)\}$ : As for all  $a \in A$  there is one and only  $b \in A$ , the relation is a map.

**4.6.2 Suppose  $f$  and  $g$  are maps from  $\mathbb{R}$  to  $\mathbb{R}$  defined by  $f(x) = x^2$  and  $g(x) = x + 1$  for all  $x \in \mathbb{R}$ . What is  $g \circ f$  and what is  $f \circ g$ ?**

$$g \circ f = g(f(x)) = x^2 + 1$$

$$f \circ g = f(g(x)) = (x + 1)^2$$

**4.6.3 Which of the following maps is injective, surjective or bijective?**

- (a)  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^2$  for all  $x \in \mathbb{R}$   
Take  $b = -4$ , then there is no  $a \in A$  such that  $f(a) = b$ . Therefore it is not surjective.  
Take  $c = -2, d = 2, e = 4$ , then  $f(c) = f(d) = e$ . Therefore it is not injective.  
Consequently, it is not bijective.
- (b)  $f : \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}, f(x) = x^2$  for all  $x \in \mathbb{R}$   
It is surjective, since  $\forall b \in \mathbb{R}_{\geq 0} [\exists a \in \mathbb{R} : f(a) = b]$   
Take  $c = -2, d = 2, e = 4$ , then  $f(c) = f(d) = e$ . Therefore it is not injective.
- (c)  $f : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}, f(x) = x^2$  for all  $x \in \mathbb{R}$  It is bijective, since:

1.  $\forall b \in \mathbb{R}_{\geq 0} [\exists a \in \mathbb{R} : f(a) = b]$
2. there is a one-to-one relation.:we

**4.6.4 Suppose  $R_1$  and  $R_2$  are relations on a set  $S$  with  $R_1; R_2 = I$  and  $R_2; R_1 = I$ . Prove that both  $R_1$  and  $R_2$  are bijective maps.**

**4.6.5 Let  $R$  be a relation from a finite set  $S$  to a finite set  $T$  with adjacency matrix  $A$ . Prove the following statements:**

**4.6.6**

**4.6.7**

**4.6.8**

**4.6.9**

**4.6.10 Let  $g$  be a permutation in  $Sym_n$ . Show that if  $i \in \text{support}(g)$ , then  $g(i) \in \text{support}(g)$ .**

If  $i \in \text{support}(g)$ , then  $g(i) \neq i \iff g(g(i)) \neq g(i)$

#### 4.6.11 How many elements of $Sym_5$ have the cycle structure 2, 3?

First we choose two elements to permute and get the 2-cycle. The number of that is 5 choose 2  $\binom{5}{2}$ . Then we permute the other 3 remaining elements which gives us  $3!$  permutations, however, we need to subtract the 2-cycles that we get from those permutations, which are  $\binom{3}{2}$ . And also the case where we have 3 1-cycles. So for the number of permutations with cycle structure 2 3 we get:

$$\binom{5}{2} \cdot \left( 3! - \binom{3}{2} - 1 \right) = 20$$

#### 4.6.12 Let $g$ be the permutation

$$(1, 2, 3) \cdot (2, 3, 4) \cdot (3, 4, 5) \cdot (4, 5, 6) \cdot (5, 6, 7) \cdot (6, 7, 8) \cdot (7, 8, 9)$$

in  $Sym_6$

- (a) Write  $g$  as a product of disjoint cycles.  
 $(9\ 8) \cdot (7) \cdot (6) \cdot (5) \cdot (4) \cdot (3) \cdot (2\ 1)$
- (b) Calculate the fixed points of  $g$   
 $\text{fixed}(g) = \{3, 4, 5, 6, 7\}$
- (c) Write  $g^{-1}$  as a product of disjoint cycles  
 $g^{-1} = g$ , as applying a 2-cycle twice gives us the identity.
- (d) is  $g$  even?  
 $g$  is composed of two odd cycles, thus their product is even

#### 4.6.13

- (a) **If the permutations  $g$  and  $h$  in  $Sym_n$  have disjoint supports, then  $g$  and  $h$  commute, i.e.  $g \cdot h = h \cdot g$ . Prove this.**

Since they are  $g$  and  $h$  are disjoint, so  $\text{support}(g) \cap \text{support}(h) = \emptyset$ . Then it would not matter in which order we take  $g$  and  $h$  as they will not permute the same element, thus they commute.

*Proof.* Let  $g$  and  $h$  be disjoint permutations

Let  $i \in \text{Fix}(g)$ . Then:

$$hg(i) = h(i)$$

Assume

$$h(i) \notin \text{Fix}(g)$$

So

$$h^2(i) = h(i)$$

Then

$$h^{-1}h^2(i) = h^{-1}h(i)$$

$$h(i) = i$$

Hence

$$i \in \text{Fix}(h)$$

However, this contradicts our assumption  $i \in \text{Fix}(g)$

Therefore:

$$h(i) \in \text{Fix}(g)$$



So

$$gh(i) = h(i) = hg(i)$$

□

## 5 Orders

### 5.1 Orders and Posets

**Definition 5.1.1 – Order and Partially ordered sets** A relation  $\sqsubseteq$  on a set  $P$  is called an *order* if it is reflexive, antisymmetric and transitive. That means that for all  $x, y$  and  $z$  in  $P$  we have:

- $x \sqsubseteq x$
- if  $x \sqsubseteq y$  and  $y \sqsubseteq x$ , then  $x = y$
- if  $x \sqsubseteq y$  and  $y \sqsubseteq z$ , then  $x \sqsubseteq z$

The pair  $(P, \sqsubseteq)$  is called a *partially ordered set*, or for short, a *poset*.

Two elements  $x$  and  $y$  in a poset  $(P, \sqsubseteq)$  are called *comparable* if  $x \sqsubseteq y$  or  $y \sqsubseteq x$ . The elements are called *incomparable* if  $x \not\sqsubseteq y$  and  $y \not\sqsubseteq x$ .

If any two elements  $x, y \in P$  are comparable, so we have  $x \sqsubseteq y$  or  $y \sqsubseteq x$ , then the relation is called a *linear order*.

**Example 5.1.2** • The identity relation  $I$  on a set  $P$  is an order.

- If  $\sqsubseteq$  is an order on a set  $P$ , then  $\supseteq$  also defines an order on  $P$ . Here  $x \supseteq y$  if and only if  $y \sqsubseteq x$ . The order  $\supseteq$  is called the *dual* order of  $\sqsubseteq$ .
- On the set  $P$  of partitions of a set  $X$  we define the relation "refines" by the following. The partition  $\Pi_1$  refines  $\Pi_2$  if and only if each  $\pi_1 \in \Pi_1$  is contained in some  $\pi_2 \in \Pi_2$ . The relation "refines" is a partial order on  $P$ .

If  $\sqsubseteq$  is an order on the set  $P$ , then the corresponding directed graph with vertex  $P$  and edges  $(x, y)$ , where  $x \sqsubseteq y$  is *acyclic* (i.e. contains no cycles of length  $> 1$ ).

If we want to draw a picture of the poset, we usually do not draw the whole digraph. Instead, we only draw an edge from  $x$  to  $y$  from  $P$  with  $x \sqsubseteq y$  if there is no  $z$ , distinct from both  $x$  and  $y$ , for which we have  $x \sqsubseteq z$  and  $z \sqsubseteq y$ . This digraph is called the *Hasse diagram* for  $(P, \sqsubseteq)$ , named after the German mathematician Helmut Hasse.

**Definition 5.1.3 – Hasse diagram** Let  $(P, \sqsubseteq)$  be a poset. The graph with vertex set  $P$  and two vertices  $x, y \in P$  adjacent if and only if  $x \sqsubseteq y$  and there is no  $z \in P$  different from  $x$  and  $y$  with  $x \sqsubseteq z$  and  $z \sqsubseteq y$ .

#### 5.1.4 New posets from old ones

- If  $P'$  is a subset of  $P$ , then  $P'$  is also a poset with order  $\sqsubseteq$  restricted to  $P'$ . This is called an *induced* order on  $P'$ .
- Let  $S$  be some set. On the set of maps from  $S$  to  $P$  we can define an ordering as follows. Let  $f : S \rightarrow P$  and  $g : S \rightarrow P$ , then we define  $f \sqsubseteq g$  if and only if  $f(s) \sqsubseteq g(s)$  for all  $s \in S$ .
- On the Cartesian product  $P \times Q$  we can define an order as follows. For  $(p_1, q_1), (p_2, q_2) \in P \times Q$  we define  $(p_1, q_1) \sqsubseteq (p_2, q_2)$  if and only if  $p_1 \sqsubseteq p_2$  and  $q_1 \sqsubseteq q_2$ . This order is called the *product order*.
- A second ordering on  $P \times Q$  can be obtained by the following rule. For  $(p_1, q_1), (p_2, q_2) \in P \times Q$  we define  $(p_1, q_1) \sqsubseteq (p_2, q_2)$  if and only if  $p_1 \sqsubseteq p_2$  and  $p_1 \neq p_2$  or if  $p_1 = p_2$  and  $q_1 \sqsubseteq q_2$ . This order is called the *lexicographic order* on  $P \times Q$ .

## 5.2 Maximal and minimal element

**Definition 5.2.1 – Maximal and Minimal element** Let  $(P, \sqsubseteq)$  be a poset and  $A \subseteq P$ . An element  $a \in A$  is called the *largest element* or *maximum* of  $A$ , if for all  $a' \in A$  we have  $a' \sqsubseteq a$ . Notice that a maximum is unique.

An element  $a \in A$  is called *maximal* if for all  $a' \in A$  we have that either  $a' \sqsubseteq a$  or  $a$  and  $a'$  are incomparable.

Similarly we can define the notion of *smallest element* or *minimum* and *minimal element*.

If the poset  $(P, \sqsubseteq)$  has a maximum, then this is often denoted as  $\top$  (top). A smallest element is denoted by  $\perp$  (bottom).

If a poset  $(P, \sqsubseteq)$  has a minimum  $\perp$ , then the minimal elements of  $P \setminus \{\perp\}$  are called the *atoms* of  $P$ .

**Lemma 5.2.2** – Let  $(P, \sqsubseteq)$  be a poset. Then  $P$  contains at most one maximum and one minimum.

**Example 5.2.3** • If we consider the poset of all subsets of  $S$ , then the empty set  $\emptyset$  is the minimum of the poset, whereas the whole set  $S$  is the maximum. The atoms are the subsets of  $S$  that have 1 element.

- If we consider the  $|$  as an order on  $\mathbb{N}$ , then 1 is the minimal element and 0 is the maximal element. The atoms are those natural numbers greater than 1, that are only divisible by 1 and itself, i.e. the prime numbers.

**Lemma 5.2.4** – Let  $(P, \sqsubseteq)$  be a finite poset. Then  $P$  contains a minimal and a maximal element.

**Example 5.2.5** Notice that minimal elements and maximal elements are not necessarily unique. In fact, they do not even have to exist. In  $(R, \leq)$  for example, there is no maximal nor a minimal element.

**Algorithm 5.2.6 – H Minimal Element**

**Algorithm 5.2.7 – H Topological order**

**Definition 5.2.8** – If  $(P, \sqsubseteq)$  is a poset and  $A \subseteq P$ , then an *upperbound* for  $A$  is an element  $u$  with  $a \sqsubseteq u$  for all  $a \in A$ .

A *lowerbound* for  $A$  is an element  $u$  with  $u \sqsubseteq a$  for all  $a \in A$ .

If the set of all upperbounds of  $A$  has a minimal element, then this element is called the *least upperbound* or *supremum* of  $A$ . Such an element, if it exists, is denoted by  $\sup A$ . If the set of all lowerbounds of  $A$  has a maximal element, then this element is called the *largest lowerbound* or *infimum* of  $A$ . If it exists, the infimum of  $A$  is denoted by  $\inf A$ .

**Example 5.2.9** Let  $S$  be a set. In  $(\mathcal{P}(S), \subseteq)$  any set  $A$  of subsets of  $S$  has a supremum and an infimum. Indeed,

$$\sup A = \bigcup_{X \in A} X \text{ and } \inf A = \bigcap_{X \in A} X$$

**Definition 5.2.10 – Ascending/Descending chain** An *ascending chain* in a  $(P, \sqsubseteq)$  is a (finite or infinite) sequence  $p_0 \sqsubseteq p_1 \sqsubseteq \dots$  of elements  $p_i$  in  $P$ . A *descending chain* in  $(P, \sqsubseteq)$  is a (finite or infinite) sequence of elements  $p_i, i \geq 0$  with  $p_0 \supseteq p_1 \supseteq \dots$  of elements  $p_i \in P$ .

The poset  $(P, \sqsubseteq)$  is called *well founded* if any descending chain is finite.

**Example 5.2.11** The natural numbers  $\mathbb{N}$  with the ordinary ordering  $\leq$  is well founded. Also the ordering  $|$  on  $\mathbb{N}$  is well founded.

However, on  $\mathbb{Z}$  the order  $\leq$  is not well founded.

### 5.3 Exercises

## 6 Recursion and Induction

### 6.1 Recursion

A *recursive definition* tells us how to build objects by using ones we have already built. Let us start with some examples of some common functions from  $\mathbb{N}$  to  $\mathbb{N}$  which can be defined recursively.

**Example 6.1.1 Factorial** The function  $f(n) = n!$

**Example 6.1.2 Sum** The sum  $1 + 2 + 3 + \cdots + n$ , also written as  $\sum_{i=1}^n i$

**Example 6.1.3 Fibonacci sequence**

$$F(1) = 1 \quad (1)$$

$$F(2) = 1 \quad (2)$$

$$F(n+2) = F(n+1) + F(n) \quad (3)$$

In the examples above we see that for a recursively defined function  $f$  we need two ingredients:

- a *base* part, where we define the function value  $f(n)$  for some small values of  $n$  like 0 or 1.
- a *recursive* part in which we explain how to compute the function in  $n$  with the help of the values for integers smaller than  $n$ .

Of course, we do not have to restrict our attention to functions with domain  $\mathbb{N}$ . Recursion can be used at several places.

**Example 6.1.4** Let  $S$  be the subset of  $\mathbb{Z}$  defined by:

$3 \in S$ ;

if  $x, y \in S$  then also  $-x$  and  $x + y \in S$ .

Then  $S$  consists of all the multiples of 3. Indeed, if  $n = 3m$  for some  $m \in \mathbb{N}$ , then  $n = (\dots(3 + 3) + 3) + \cdots + 3$ , and hence is in  $S$ . But then also  $-3m \in S$ . Thus  $S$  contains all multiples of 3. On the other hand, if  $S$  contains only multiples of 3, then in the next step of the recursion, only multiples of 3 are added to  $S$ . So, since initially  $S$  contains only 3,  $S$  contains only multiples of 3.

### 6.2 Natural induction

**Principle 6.2.1 – Principle of Natural Induction** Suppose  $P(n)$  is a predicate for  $n \in \mathbb{Z}$ . Let  $b \in \mathbb{Z}$ . If the following holds:

- $P(b)$  is true:
- for all  $k \in \mathbb{Z}$ ,  $k \geq b$  we have that  $P(k)$  implies  $P(k+1)$

Then  $P(n)$  is true for all  $k \geq b$

### 6.3 Strong induction and Minimal counter examples

**Principle 6.3.1 – Principle of Strong Induction** Suppose  $P(n)$  is a predicate for  $n \in \mathbb{Z}$ . Let  $b \in \mathbb{Z}$ . If the following holds:

- $P(b)$  is true:
- for all  $k \in \mathbb{Z}$ ,  $k \geq b$  we have that  $P(b), P(b+1), \dots, P(k)$  together imply  $P(k+1)$ .

Then  $P(n)$  is true for all  $k \geq b$

**Principle 6.3.2 – Minimal counter example** Let  $P(n)$  be a predicate for all  $n \in \mathbb{Z}$ . Let  $b \in \mathbb{Z}$ . If the statement that  $P(n)$  is true for all  $n \in \mathbb{Z}, n \geq b$ , is not true, then there is a minimal counter example. That means, there is an  $m \in \mathbb{Z}, m \geq b$  with  $P(m)$  false and  $P(n)$  true for all  $n \in \mathbb{N}$  with  $b \leq n < m$ .

## 6.4 Structural induction

**Principle 6.4.1 – Structural Induction** If a structure of data types is defined recursively, then we can use this recursive definition to derive properties by induction.

In particular,

- if all basic elements of a recursively defined structure satisfy some property  $P$
- and if newly constructed elements satisfy  $P$ , assuming the elements used in the construction already satisfy  $P$ ,

then all elements in the structure satisfy  $P$ .

**Principle 6.4.2 – The Principle of Induction on a well founded order** Let  $(P, \sqsubseteq)$  be a well founded order. Suppose  $Q(x)$  is a predicate for all  $x \in P$  satisfying:

- $Q(x)$  is true for all minimal elements  $b \in P$ .
- If  $x \in P$  and  $Q(y)$  is true for all  $y \in P$  with  $y \sqsubseteq x$ , but  $u \neq x$ , then  $P(x)$  holds.

Then  $Q(x)$  holds for all  $x \in P$ .

## 6.5 Exercises

## 7 Cardinalities

### 7.1 Cardinality

**Definition 7.1.1 – Cardinality** Two sets  $A$  and  $B$  have the same *cardinality* if there exists a bijection from  $A$  to  $B$ .

**Example 7.1.2** Two finite sets have the same cardinality if and only if they have the same number of elements.

**Example 7.1.3** The sets  $\mathbb{N}$  and  $\mathbb{Z}$  have the same cardinality. Indeed, consider the map  $f : \mathbb{N} \rightarrow \mathbb{Z}$  defined by  $f(2n) = n$  and  $f(2n+1) = -n$  where  $n \in \mathbb{N}$ . This map is clearly a bijection

**Theorem 7.1.4 – Cardinality as equivalence relation** Having the same cardinality is an equivalence relation.

### 7.2 Countable sets

**Definition 7.2.1 – Finite/Infinite sets** A set is called *finite* if it is empty or has the same cardinality as the set  $\mathbb{N}_n := \{1, 2, \dots, n\}$  and *infinite* otherwise.

**Definition 7.2.2 – Countable/Uncountable sets** A set is called *countable* if it is finite or has the same cardinality as the set  $\mathbb{N}$ .

An infinite set that is not countable is called *uncountable*.

**Theorem 7.2.3 – Countable sets in infinite sets** Every infinite set contains an infinite countable subset.

*Proof.* Suppose  $A$  is an infinite set. Since  $A$  is infinite, we can start enumerating the elements  $a_1, a_2, \dots$  such that all the elements are distinct. This yields a sequence of elements in  $A$ . The set of all the elements in this sequence form a countable subset of  $A$ .  $\square$

**Theorem 7.2.4 –** Let  $A$  be a set. If there is a surjective map from  $\mathbb{N}$  to  $A$ , then  $A$  is countable.

*Proof.* Let  $f : \mathbb{N} \rightarrow A$  be a surjection. Then consider the sequence  $f(1), f(2), \dots$ . Remove from this sequence (going from left to right) each element that you have seen before. The result is either a finite sequence, or an infinite sequence  $f(n_1), f(n_2), \dots$  of which all elements are distinct. In the latter case, consider the map  $g : \mathbb{N} \rightarrow A$  with  $g(i) = f(n_i)$ . This map is a bijection, which proves  $A$  to be countable.  $\square$

**Corollary 7.2.5 –** Let  $A$  be countable and  $f : A \rightarrow B$  surjective, then  $B$  is countable.

*Proof.* Suppose  $A$  is a countable set and  $f : A \rightarrow B$  a surjective map. If  $A$  is finite, then so is  $B$ . Thus assume that  $A$  has infinitely many elements. Since  $A$  is countable, there is a bijection  $g : \mathbb{N} \rightarrow A$ . But then  $f \circ g$  is a surjection from  $\mathbb{N}$  to  $B$ . Hence we can apply the previous result and find a bijection from  $\mathbb{N}$  to  $B$ . This proves  $B$  to be countable.  $\square$

**Theorem 7.2.6 –** Any subset of a countable set is countable.

*Proof.* Suppose  $A$  is an infinite subset of a countable set  $B$ . Let  $f : \mathbb{N} \rightarrow B$  be bijective and fix an element  $a \in A$ . Now consider the map  $g : \mathbb{N} \rightarrow A$  defined by  $g(x) = f(x)$  if  $f(x) \in A$  and  $g(x) = a$  if  $f(x) \in B \setminus A$ . Then  $g$  is surjective, as  $f$  is surjective. Thus  $A$  is countable.  $\square$

**Proposition 7.2.7 –**  $\mathbb{N} \times \mathbb{N}$  is countable.



*Proof.* Let  $n \in \mathbb{N}$ . Let  $m$  be maximal with  $\sum_{i=0}^m i < n$ . Now let  $k = n - \sum_{i=0}^m i$  So,  $1 \leq k \leq m+1$ . We define  $f : \mathbb{N} \rightarrow \mathbb{N}$  in the following way:

$$f(n) = (k, m+2-k).$$

So, in a table this looks as follows:

$f(1) = (1, 1)$	$f(2) = (1, 2)$	$f(4) = (1, 3)$	$f(7) = (1, 4)$	
$f(3) = (2, 1)$	$f(5) = (2, 2)$	$f(8) = (2, 3)$	...	
$f(6) = (3, 1)$	$f(9) = (3, 2)$	...		
$\vdots$	$\vdots$			

By construction,  $f$  is injective. Indeed, the  $m$  and  $k$  are uniquely defined by  $n$ .

So it only remains to prove surjectivity. Let  $(k, l) \in \mathbb{N} \times \mathbb{N}$ . Set  $m = k + l - 2$ . Hence  $(k, l) = (k, m+2-k)$  and  $(k, l) = f(n)$  for  $n$  equal to  $\sum_{i=0}^m i + k$ .  $\square$

**Theorem 7.2.8** – Let  $A$  and  $B$  be countable sets. Then  $A \times B$  is countable.

*Proof.* Suppose  $f : \mathbb{N} \rightarrow A$  and  $g : \mathbb{N} \rightarrow B$  are surjections. The map  $h : \mathbb{N} \times \mathbb{N} \rightarrow A \times B$  defined by  $h(i, j) = (f(i), g(j))$  is surjective. So, since  $\mathbb{N} \times \mathbb{N}$  is countable, also  $A \times B$  is countable.  $\square$

**Proposition 7.2.9** – The sets  $\mathbb{Z}$  and  $\mathbb{Q}$  are countable.

*Proof.* The map  $g : \{-1, 1\} \times \mathbb{N} \rightarrow \mathbb{Z}$  given by  $g(x, y) = xy$  is surjective. Since  $\{-1, 1\} \times \mathbb{N}$  is countable, hence  $\mathbb{Z}$  is also countable.

Now let  $f : \mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}$  be defined by  $f(i, j) = \frac{i}{j}$  for  $(i, j) \in \mathbb{Z} \times \mathbb{N}$ . This is clearly a surjective map. Since  $\mathbb{Z}$  and  $\mathbb{N}$  are countable so is  $\mathbb{Z} \times \mathbb{N}$ . Hence  $\mathbb{Q}$  is also countable.  $\square$

**Theorem 7.2.10** – Let  $\mathcal{C}$  be a countable collection of countable sets. Then  $\bigcup_{A \in \mathcal{C}} A$  is countable.

*Proof.* For each  $A \in \mathcal{C}$  there exists a bijection  $f_A : \mathbb{N} \rightarrow A$ . Moreover, as  $\mathcal{C}$  is countable, there exists also a bijection  $g : \mathbb{N} \rightarrow \mathcal{C}$ . We write  $A_i = g(i)$ .

Now consider the map  $f : \mathbb{N} \times \mathbb{N} \rightarrow \bigcup_{A \in \mathcal{C}} A$  defined by  $f(i, j) = f_{A_i}(j)$ . This is a surjection. Thus  $\bigcup_{A \in \mathcal{C}} A$  is countable.  $\square$

**Example 7.2.11** Let  $S$  be the set of all finite subsets of  $\mathbb{N}$ . Then  $S = \bigcup_{i \in \mathbb{N}} S_i$ , where  $S_i$  is the set of subsets of size at most  $i$  of  $\mathbb{N}$ .

We already showed that  $\mathbb{N}^i$  is countable. But the map  $(a_1, \dots, a_i) \in \mathbb{N}^i \mapsto \{a_1, \dots, a_i\} \in S_i$  is clearly surjective. Thus  $S_i$  is also countable. Hence  $S = \bigcup_{i \in \mathbb{N}} S_i$  is also countable.

**Proposition 7.2.12** – If  $A$  is infinite and  $B$  is finite, then  $A$  and  $A \cup B$  have the same cardinality.

*Proof.* Assume that  $A$  is infinite and, without loss of generality, that  $A$  and  $B$  are disjoint. Let  $A_0$  be a countable subset of  $A$ . Then  $A_0 \cup B$  is also countable. Then there exists a bijection  $g : A_0 \cup B \rightarrow A_0$ . Now define  $f : A \cup B \rightarrow A$  by

$$f(x) = \begin{cases} g(x) & \text{if } x \in A_0 \cup B \\ x & \text{if } x \notin A_0 \cup B, \end{cases}$$

Then clearly  $f$  is a bijection between  $A \cup B$  and  $A$ .  $\square$

### 7.3 Some uncountable sets

**Proposition 7.3.1** – The set  $\{0, 1\}^{\mathbb{N}}$  is uncountable.

*Proof.* Let  $F : \mathbb{N} \rightarrow \{0, 1\}^{\mathbb{N}}$ . By  $f_i$  we denote the function  $F(i)$  from  $\mathbb{N}$  to  $\{0, 1\}$ .

We will show that  $F$  is not surjective by constructing a function  $f \in \{0, 1\}^{\mathbb{N}}$  which is different from all the function  $f_i$  with  $i \in \mathbb{N}$ .

For each  $i \in \mathbb{N}$  let

$$f(i) = 0 \text{ if } f_i(i) = 1 \text{ and}$$

$$f(i) = 1 \text{ if } f_i(i) = 0$$

Clearly, for all  $i \in \mathbb{N}$  we have  $f(i) \neq f_i(i)$  and hence  $f \neq f_i$ . So  $F$  is not surjective. This shows that there is no surjection from  $\mathbb{N}$  to  $\{0, 1\}^{\mathbb{N}}$ . In particular,  $\{0, 1\}^{\mathbb{N}}$  is not countable.  $\square$

*Remark 7.3.2* (Cantor's diagonal argument).

If  $A$  is a set, then for each subset  $B$  of  $A$  we define the *characteristic function*  $\chi_B : A \rightarrow \{0, 1\}^{\mathbb{N}}$  to be the function that takes the value 1 on all elements in  $B$  and the value 0 on all elements in  $A \setminus B$ .

Clearly, every element  $f \in \{0, 1\}^{\mathbb{N}}$  is the characteristic function of the set  $\{a \in A \mid f(a) = 1\}$ . So, we find the map  $B \in \mathcal{P}(A) \mapsto \chi_B$  to be a bijection between  $\mathcal{P}(A)$  to  $\{0, 1\}^{\mathbb{N}}$ .

**Corollary 7.3.3** – The set  $\mathcal{P}(A)$  has the same cardinality as  $\{0, 1\}^{\mathbb{N}}$  and hence is uncountable.

**Proposition 7.3.4** – The interval  $[0, 1)$  is uncountable.

*Proof.* Consider the map  $f \in \{0, 1\}^{\mathbb{N}} \mapsto \sum_{i=1}^{\infty} \frac{f(i)}{10^i}$ . This map is injective. So, if  $[0, 1)$  is countable, then so is  $\{0, 1\}^{\mathbb{N}}$ , which is a contradiction.

This proves that  $[0, 1)$  is uncountable.  $\square$

**Corollary 7.3.5** –  $\mathbb{R}$  is uncountable.

*Proof.* As  $\mathbb{R}$  contains the uncountable subset  $[0, 1)$ , it is also uncountable.  $\square$

**Theorem 7.3.6** – If  $A$  and  $B$  are sets with the same cardinality, then  $\mathcal{P}(A)$  and  $\mathcal{P}(B)$  also have the same cardinality.

*Proof.* Suppose  $A$  and  $B$  have the same cardinality. Let  $f : A \rightarrow B$  be a bijection. Consider the map  $\hat{f} : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$  given by  $\hat{f}(S) = \{f(s) \mid s \in S\}$ . This map is a bijection.  $\square$

**Corollary 7.3.7** – If  $A$  is an infinite set, then  $\mathcal{P}(A)$  is an uncountable set.

**Theorem 7.3.8** – Let  $X$  be a set, then  $\mathcal{P}(X)$  does not have the same cardinality as  $X$ .

*Remark 7.3.9.* The above theorem shows us that we can get bigger and bigger sets in the following way:

$$X_1 := \mathbb{N} \tag{4}$$

$$\text{for } n > 1, X_n := \mathcal{P}(X_{n-1}) \tag{5}$$

## 7.4 Cantor-Schröder-Bernstein Theorem

**Theorem 7.4.1 – Cantor-Schröder-Bernstein Theorem** Let  $A$  and  $B$  be sets and assume that there are two maps  $f : A \rightarrow B$  and  $g : B \rightarrow A$  which are injective. Then there exists a bijection  $h : A \rightarrow B$ . In particular,  $A$  and  $B$  have the same cardinality.

**Corollary 7.4.2** – Let  $A$  be a set and assume  $B \subseteq A$  has the same cardinality as  $A$ . Then each subset  $C$  of  $A$  with  $B \subseteq C \subseteq A$  has the same cardinality as  $A$ .

**Proposition 7.4.3** – The sets  $\{0, 1\}^{\mathbb{N}}$  and  $[0, 1)$  have the same cardinality.

**Theorem 7.4.4** – The sets  $\mathbb{R}$ ,  $\{0, 1\}^{\mathbb{N}}$ ,  $\mathcal{P}(\mathbb{N})$  have the same cardinality.

**Theorem 7.4.5** – The sets  $\mathbb{R}^n$  with  $n > 0$ , and  $\mathbb{R}$  have the same cardinality.

## 7.5 Additional axioms of set theory

**Principle 7.5.1 – Axiom of Choice** Let  $\mathcal{C}$  be a collection of nonempty sets. Then there exists a map

$$f : \mathcal{C} \rightarrow \bigcup_{A \in \mathcal{C}} A$$

with  $f(A) \in A$ .

The image of  $f$  is a subset of  $\bigcup_{A \in \mathcal{C}} A$ .

The function  $f$  is called a *choice function*.

**Principle 7.5.2** – The following statements are equivalent to the Axiom of Choice.

- For any two sets  $A$  and  $B$  there exists a surjective map from  $A$  to  $B$  or from  $B$  to  $A$ .
- The cardinality of an infinite set  $A$  is equal to the cardinality of  $A \times A$ .
- Every vector space has a basis.
- For every surjective map  $f : A \rightarrow B$  there is a map  $g : B \rightarrow A$  with  $f(g(b)) = b$  for all  $b \in B$ .

**Principle 7.5.3 – Axiom of Regularity** Let  $X$  be a nonempty set of sets. Then  $X$  contains an element  $Y$  with  $X \cap Y = \emptyset$ .

## 7.6 Exercises

## 8 Integer Arithmetic

### 8.1 Divisors and multiples

**Definition 8.1.1** – Let  $a, b \in \mathbb{Z}$ .

- We call  $b$  a divisor of  $a$ , if there is an integer  $q$  such that  $a = q \cdot b$
- If  $b$  is a non-zero divisor of  $a$  then the (unique) integer  $q$  with  $a = q \cdot b$  is called the *quotient* of  $a$  by  $b$  and denoted by  $\frac{a}{b}$ ,  $a/b$  or  $\text{quot}(a, b)$ .

If  $b$  is a divisor of  $a$ , we also say that  $b$  *divides*  $a$ , or  $a$  is a *multiple* of  $b$ , or  $a$  is *divisible* by  $b$ . We write this as  $b|a$

**Example 8.1.2** If  $a = 13$  and  $b = 5$  then  $b$  does not divide  $a$ . However, if  $a = 15$  and  $b = 5$ , then  $b$  does divide  $a$ .

**Example 8.1.3** For all integers  $n$  we find  $n - 1$  to be a divisor of  $n^2 - 1$ .

More generally, for all  $m \geq 2$  we have  $n^m - 1 = (n - 1)(n^{m-1} + n^{m-2} + \dots + 1)$ . So,  $n - 1$  is a divisor of  $n^m - 1$ .

**Lemma 8.1.4** – Suppose that  $a, b$  and  $c$  are integers.

1. If  $a$  divides  $b$  and  $b$  divides  $c$ , then  $a$  divides  $c$ .
2. If  $a$  divides  $b$  and  $c$ , then  $a$  divides  $x \cdot a + y \cdot b$  for all integers  $x, y$
3. If  $b$  is non-zero and  $a$  divides  $b$ , then  $|a| \leq |b|$

**Theorem 8.1.5 – Division with Remainder** If  $a \in \mathbb{Z}$  and  $b \in \mathbb{Z} \setminus \{0\}$ , then there are unique integers  $q, r$  such that  $a = q \cdot b + r$ ,  $|r| < |b|$  and  $b \cdot r \geq 0$ .

### 8.2 Euclid's algorithm

### 8.3 Linear diophantine equations

### 8.4 Prime numbers

### 8.5 Factorization

### 8.6 Number systems

## 8.7 Exercises

## 9 Modular Arithmetic

### 9.1 Arithmetic modulo $n$

**Definition 9.1.1** – Let  $n$  be an integer. On the set  $\mathbb{Z}$  of integers we define the relation *congruence modulo  $n$*  as follows:  $a$  and  $b$  are *congruent modulo  $n$*  if and only if  $n \mid a - b$ . We write  $a \equiv b \pmod{n}$  to denote that  $a$  and  $b$  are congruent modulo  $n$ .

**Example 9.1.2** If  $a = 342, b = 241$ , and  $n = 17$ , then  $a$  is not congruent to  $b$  modulo  $n$ .

**Proposition 9.1.3** – Let  $n$  be an integer. The relation congruence modulo  $n$  is an equivalence relation. For nonzero  $n$ , there are exactly  $n$  distinct equivalence classes. The set of equivalence classes of  $\mathbb{Z}$  modulo  $n$  is denoted by  $\mathbb{Z}/n\mathbb{Z}$ .

**Example 9.1.4** The relation modulo 2 partitions the integers into two classes, the even numbers and the odd numbers.

**Theorem 9.1.5 – Addition and Multiplication** On  $\mathbb{Z}/n\mathbb{Z}$  we define two so-called binary operations, an *addition* and a *multiplication*, by:

- Addition:  $x \pmod{n} + y \pmod{n} = x + y \pmod{n}$
- Multiplication:  $x \pmod{n} \cdot y \pmod{n} = x \cdot y \pmod{n}$

Both operations are well defined.

**Proposition 9.1.6 – Properties of Modular Arithmetic** Let  $n$  be an integer bigger than 1. For all integers  $a, b, c$  we have the following equalities.

- Commutativity of addition:

$$a + b = b + a \pmod{n}$$

- Commutativity of multiplication:

$$a \cdot b = b \cdot a \pmod{n}$$

- Associativity of addition:

$$(a + b) + c = a + (b + c) \pmod{n}$$

- Associativity of multiplication:

$$(a \cdot b) \cdot c = a \cdot (b \cdot c) \pmod{n}$$

- Distributivity of multiplication over addition:

$$a \cdot (b + c) = a \cdot b + a \cdot c \pmod{n}$$

## 9.2 Invertible elements and zero divisors

**Definition 9.2.1** – An element  $a \in \mathbb{Z}/n\mathbb{Z}$  is called *invertible* if there is an element  $b$ , called *inverse* of  $a$ , such that  $a \cdot b = 1$ .

If  $a$  is invertible, its inverse will be denoted by  $a^{-1}$ .

The set of all invertible elements in  $\mathbb{Z}/n\mathbb{Z}$  will be denoted by  $\mathbb{Z}/n\mathbb{Z}^\times$ . This set is also called the *multiplicative group* of  $\mathbb{Z}/n\mathbb{Z}$ .

**Proposition 9.2.2 – Uniqueness of the Inverse** Let  $n > 1$ . If an element  $a \in \mathbb{Z}/n\mathbb{Z}$  is invertible, then its inverse is unique.

In  $\mathbb{Z}$  division is not always possible. Some nonzero elements do have an inverse, others don't. The following theorem tells us precisely which elements of  $\mathbb{Z}/n\mathbb{Z}$  have an inverse.

**Theorem 9.2.3 – Characterization of Modular Invertibility** Let  $n > 1$  and  $a \in \mathbb{Z}$

- (a) The class  $a \pmod{n}$  in  $\mathbb{Z}/n\mathbb{Z}$  has a multiplicative inverse if and only if  $\gcd(a, n) = 1$
- (b) If  $a$  and  $n$  are relatively prime, then the inverse of  $a \pmod{n}$  is the class  $\text{Extgcd}(a, n)_2 \pmod{n}$
- (c) In  $\mathbb{Z}/n\mathbb{Z}$ , every class distinct from 0 has an inverse if and only if  $n$  is prime.

**Example 9.2.4** The invertible elements in  $\mathbb{Z}/2^n\mathbb{Z}$  are the classes  $x \pmod{2^n}$  for which  $x$  is an odd integer.

An arithmetical system such as  $\mathbb{Z}/n\mathbb{Z}$  with  $p$  prime, in which every element not equal to 0 has a multiplicative inverse, is called a *field*, just like  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$ .

Besides invertible elements in  $\mathbb{Z}/n\mathbb{Z}$ , which can be viewed as divisors of 1, one can also consider the divisors of 0.

**Definition 9.2.5 – Zero Divisor** An element  $a \in \mathbb{Z}/n\mathbb{Z}$  not equal to 0 is called a *zero divisor* if there is a nonzero element  $b$  such that  $a \cdot b = 0$ .

The following theorem shows which elements of  $\mathbb{Z}/n\mathbb{Z}$  are zero divisors. They turn out to be those nonzero elements that are not invertible.

**Theorem 9.2.6 – Zero Divisor Characterization** Let  $n > 1$  and  $n \in \mathbb{Z}$

- 1. The class  $a \pmod{n}$  in  $\mathbb{Z}/n\mathbb{Z}$  is a zero divisor if and only if  $\gcd(a, n) > 1$  and  $a \pmod{n}$  is nonzero.
- 2. The residue ring  $\mathbb{Z}/n\mathbb{Z}$  has no zero divisors if and only if  $n$  is prime.

Let  $n$  be an integer. Inside  $\mathbb{Z}/n\mathbb{Z}$ , we can distinguish the set of invertible elements and the set of zero divisors. The set of invertible elements is closed under multiplication, the set of zero divisors together with 0 is even closed under multiplication by arbitrary elements.

**Lemma 9.2.7** – Let  $n$  be an integer with  $n > 1$ .

- 1. If  $a$  and  $b$  are elements in  $\mathbb{Z}/n\mathbb{Z}^\times$ , then their product  $a \cdot b$  is invertible and therefore also in  $\mathbb{Z}/n\mathbb{Z}^\times$ . The inverse of  $a \cdot b$  is given by  $b^{-1} \cdot a^{-1}$ .
- 2. If  $a$  is a zero divisor in  $\mathbb{Z}/n\mathbb{Z}$  and  $b$  is an arbitrary element, then  $a \cdot b$  is either 0 or a zero divisor.



### 9.3 Linear congruence

#### Algorithm 9.3.1 – Linear Congruence

*Remark 9.3.2.* There are exactly  $\gcd(a, n)$  distinct solutions.

**Example 9.3.3** In order to find all solutions to the congruence  $24x \equiv 12 \pmod{15}$  we first compute the  $\gcd(24, 15)$ . Using the Extended Euclidean Algorithm we find

$$\gcd(24, 15) = 3 = 2 \cdot 24 - 3 \cdot 15$$

Now 3 divides 12, so the solution set is

$$\{2 \cdot 12 + k \cdot 15 \mid k \in \mathbb{Z}\}$$

Instead of using the algorithm, we can also use the expression of the gcd as a linear combination of 24 and 15 to argue what the solution is. To this end, multiply both sides of the equality  $3 = 2 \cdot 24 - 3 \cdot 15$  by 4. This gives  $12 = 8 \cdot 24 - 12 \cdot 15$ .

So, a solution of the congruence is  $x = 8 \pmod{15}$ .

We extend the study of a single congruence to a method for solving special systems of congruences.

**Theorem 9.3.4 – Chinese Remainder Theorem** Suppose that  $n_1, \dots, n_k$  are pairwise coprime integers. Then for all integers  $a_1, \dots, a_k$  the system of linear congruences

$$x \equiv a_i \pmod{n_i}$$

with  $i \in \{1, \dots, k\}$  has solution.

Indeed, the integer

$$x = \sum_{i=1}^k a_i \cdot y_i \cdot \frac{n}{n_i}$$

where

$$n = \prod_{i=1}^k n_i$$

and for each  $i$  we have

$$y_i = \text{Extgcd}\left(\frac{n}{n_i}, n_i\right)_3$$

satisfies all congruences.

Any two solutions to the system of congruences are congruent modulo the product  $\prod_{i=1}^k n_i$ .

### 9.4 The theorems of Fermat and Euler

Let  $p$  be a prime. Consider  $\mathbb{Z}/p\mathbb{Z}$ , the set of equivalence classes of  $\mathbb{Z}$  modulo  $p$ . In  $\mathbb{Z}/p\mathbb{Z}$  we can add, subtract, multiply and divide by elements which are not 0. Moreover, it contains no zero divisors.

**Theorem 9.4.1 – Fermat's Little Theorem** Let  $p$  be a prime. For every integer  $a$  we have

$$a^p \equiv a \pmod{p}$$

In particular, if  $a$  is not in  $0 \pmod{p}$  then

$$a^{p-1} \equiv 1 \pmod{p}$$

**Example 9.4.2** The integer  $1234^{1234} - 2$  is divisible by 7.

Indeed, if we compute modulo 7, then we find that  $1234 \equiv 2 \pmod{7}$ . Moreover, by Fermat's Little Theorem we have  $2^6 \equiv 1 \pmod{7}$ , so

$$1234^{1234} = 2^{1234} = 2^{6 \cdot 205 + 4} = 2^4 = 2 \pmod{7}$$

Fermat's Little Theorem states that the multiplicative group  $\mathbb{Z}/p\mathbb{Z}^\times$ , where  $p$  is a prime, contains precisely  $p - 1$  elements. For arbitrary positive  $n$ , the number of elements in the multiplicative group  $\mathbb{Z}/n\mathbb{Z}^\times$  is given by the so-called *Euler totient function*.

**Definition 9.4.3 – Euler totient function** The Euler totient function  $\Phi : \mathbb{N} \rightarrow \mathbb{N}$  is defined by

$$\Phi(n) = |\mathbb{Z}/n\mathbb{Z}^\times|$$

for all  $n \in \mathbb{N}$  with  $n > 1$ , and by  $\Phi(1) = 1$ .

**Theorem 9.4.4 – Euler Totient** The Euler totient function satisfies the following properties.

1. Suppose that  $n$  and  $m$  are positive integers. If  $\gcd(n, m) = 1$ , then

$$\Phi(n \cdot m) = \Phi(n) \cdot \Phi(m)$$

2. If  $p$  is a prime and  $n$  is a positive integer, then

$$\Phi(p^n) = p^n - p^{n-1}$$

**Theorem 9.4.5 – Euler's Theorem** Suppose  $n$  is an integer with  $n \geq 2$ . Let  $a$  be an element of  $\mathbb{Z}/n\mathbb{Z}^\times$ . Then

$$a^{\Phi(n)} = 1$$

Let  $n$  be an integer. The *order* of an element  $a$  in  $\mathbb{Z}/n\mathbb{Z}^\times$  is the smallest positive integer  $m$  such that  $a^m = 1$ . By Euler's Theorem the order of  $a$  exists and is at most  $\Phi(n)$ . More precise statements on the order of elements in  $\mathbb{Z}/n\mathbb{Z}^\times$  can be found in the following result.

**Theorem 9.4.6 – Orders** Let  $n$  be an integer greater than 1.

1. If  $a \in \mathbb{Z}/n\mathbb{Z}$  satisfies  $a^m = 1$  for some positive integer  $m$ , then  $a$  is invertible and its order divides  $m$ .
2. For all elements  $a \in \mathbb{Z}/n\mathbb{Z}^\times$  the order of  $a$  is a divisor of  $\Phi(n)$
3. If  $\mathbb{Z}/n\mathbb{Z}$  contains an element  $a$  of order  $n - 1$ , then  $n$  is prime.

**Definition 9.4.7 –** An element  $a$  from  $\mathbb{Z}/p\mathbb{Z}$  is called a *primitive element* of  $\mathbb{Z}/p\mathbb{Z}$  if every element of  $\mathbb{Z}/p\mathbb{Z}^\times$  is a power of  $a$ .

**Theorem 9.4.8 –** For each prime  $p$  there exists a primitive element in  $\mathbb{Z}/p\mathbb{Z}$ .

## **9.5 The RSA cryptosystem**

## 9.6 Exercises

## 9.7 Homework

### Ex 5

Let's prove that if  $x$  is an element of order  $\Phi(n)$  in  $\mathbb{Z}/n\mathbb{Z}$  (where  $\Phi(n)$  is Euler's totient function), then every invertible element in  $\mathbb{Z}/n\mathbb{Z}$  is a power of  $x$ .

We'll use a few key concepts:

1. The order of an element in a group is the smallest positive integer  $k$  such that  $x^k$  is the identity element of the group.
2. Euler's totient function  $\Phi(n)$  is the number of positive integers less than or equal to  $n$  that are coprime to  $n$ .
3. In  $\mathbb{Z}/n\mathbb{Z}$ , the invertible elements are precisely those that are coprime to  $n$  (i.e.,  $\gcd(a, n) = 1$ ).

Now, let  $y$  be an invertible element in  $\mathbb{Z}/n\mathbb{Z}$ . We want to show that  $y$  is a power of  $x$ . We'll use the properties of Euler's totient function and group theory to prove this.

Since  $y$  is invertible,  $\gcd(y, n) = 1$ . Now, consider the group generated by  $x$  in  $\mathbb{Z}/n\mathbb{Z}$ , denoted as  $\langle x \rangle$ . By definition, the order of  $x$  is  $\Phi(n)$ , which means that all the elements in  $\langle x \rangle$  have orders that divide  $\Phi(n)$ .

We know that  $y$  is invertible, so  $\gcd(y, n) = 1$ . This means that  $y$  is coprime to  $n$  and, therefore, belongs to the group of invertible elements modulo  $n$ . This group is isomorphic to the group  $\langle x \rangle$ , so  $y$  must also have an order that divides  $\Phi(n)$ .

Let  $k$  be the order of  $y$ , where  $k$  divides  $\Phi(n)$ . By Lagrange's theorem, in any group, the order of an element divides the order of the group. Since the order of  $y$  divides  $\Phi(n)$ , it also divides  $\Phi(n)$ . This means that  $k$  divides  $\Phi(n)$ , and since  $\Phi(n)$  is the order of  $x$ ,  $k$  must be less than or equal to  $\Phi(n)$ .

Since  $x$  has the smallest positive integer order in  $\langle x \rangle$  (which is  $\Phi(n)$ ), and  $k$  divides  $\Phi(n)$ , we conclude that  $k$  must be  $\Phi(n)$ . This implies that  $y$  has the same order as  $x$ , so  $y = x^t$  for some positive integer  $t$ .

Therefore, we have shown that every invertible element in  $\mathbb{Z}/n\mathbb{Z}$  is a power of  $x$ , as desired.

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## 10 Exercises for exam

### 10.1 Logic

**Exercise numberlike=subsubsection 1.** The statements  $P$  and  $Q$  can be true or false. When is the statement

when is the statement

$$R = (P \wedge Q) \vee ((\neg P \vee Q) \wedge (P \vee \neg Q))$$

true?

1. If  $P$  and  $Q$  are true, then  $P \wedge Q$  hence  $R$  is true
2. If  $P$  is true and  $Q$  is false, then  $P \wedge Q$  and  $\neg P \vee Q$  are false, hence  $R$  is false
3. If  $P$  is false and  $Q$  is true, then  $P \wedge Q$  and  $P \vee \neg Q$  are false, hence  $R$  is false
4. If  $P$  and  $Q$  are false, then  $\neg P \vee Q$  and  $P \vee \neg Q$  are true, hence  $R$  is true

**Exercise numberlike=subsubsection 2.** Prove or disprove the following statement:

For all statements  $p, q, r$  we have  $((p \vee q) \wedge r) \iff ((p \wedge r) \vee (q \wedge r))$

Using the distributive property of the  $\wedge$  over  $\vee$  we get:

$$(p \vee q) \wedge r = (p \wedge r) \vee (q \wedge r)$$

Thus we see that  $((p \vee q) \wedge r) \iff ((p \wedge r) \vee (q \wedge r))$

**Exercise numberlike=subsubsection 3.** Prove or disprove the following statement: for all statements  $P, Q$  and  $R$  it holds that:

$$[(P \implies R) \vee (P \implies Q)] \iff [P \implies (Q \vee R)]$$

When  $P$  is true we get true  $\iff$  true which is true. When  $P$  is false we get  $R \vee Q \iff Q \vee R$  which is also true. Hence for all  $P, Q, R$  the statement is true.

#### 10.1.1 Sets

**Exercise numberlike=subsubsection 4.** Prove or disprove

$$\forall x \in U [x \in (A \cap B) \implies (x \in A \vee x \in B)] \iff A = B$$

The statement is false and a counter example is  $A = \{1, 2\}, B = \{1\}$

**Exercise numberlike=subsubsection 5.** Prove or disprove: For all sets  $A, B$  and  $C$  we have:  $A$

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**Exercise numberlike=subsubsection 6.** Which statement is true

1. For all sets  $A$  and  $B$  we have if  $\mathcal{P}(A) = \mathcal{P}(B)$ , then  $A = B$
2. For all sets  $A, B, C$  we have  $(A \cup B = A \cup C \wedge B \subseteq C) \implies A = B$