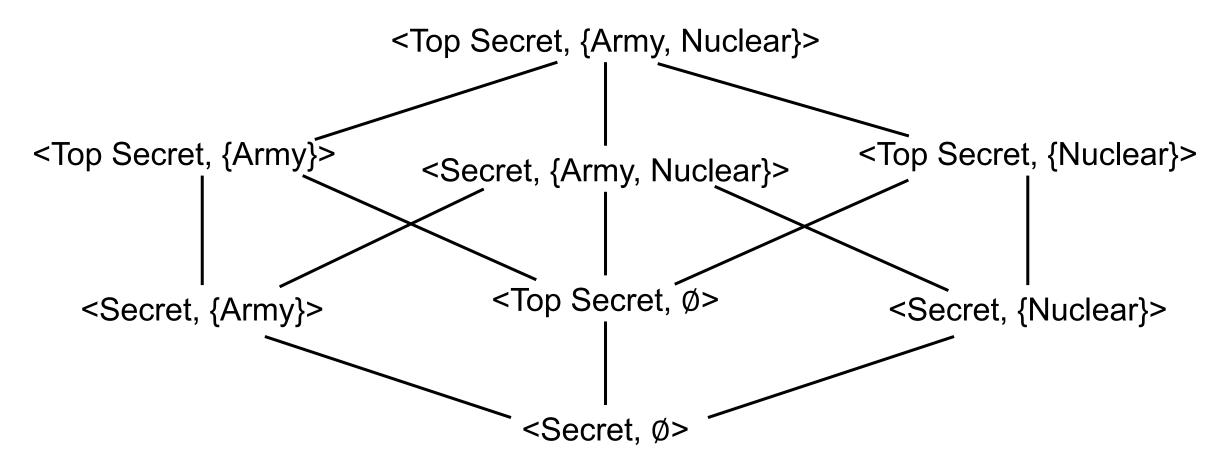


Seongil Wi



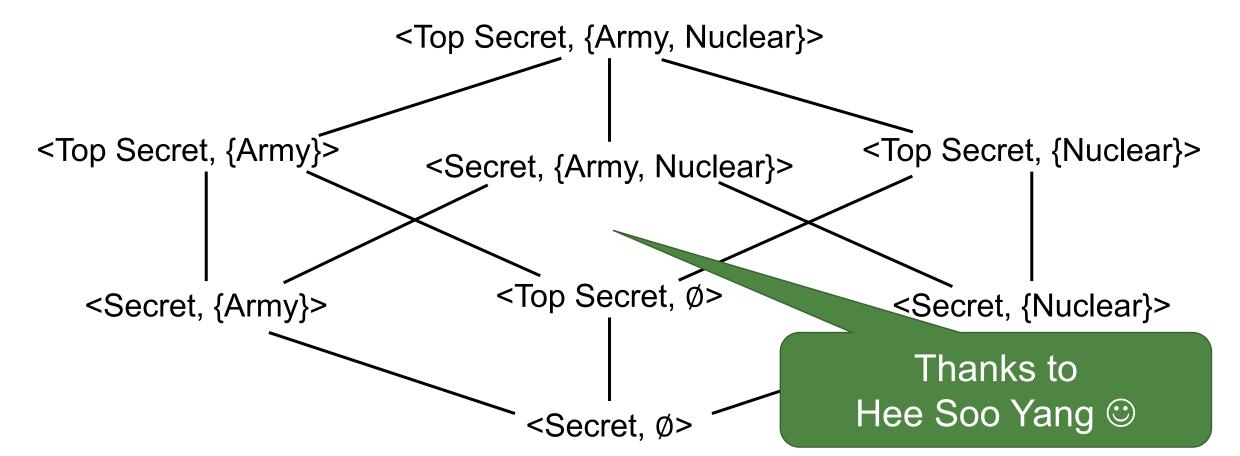
#### Modification on Previous Lecture Slide (Before)

The combination of security level and compartment forms a lattice



#### Modification on Previous Lecture Slide (After) 3

The combination of security level and compartment forms a lattice



#### We will take a Quiz in Next Week

• Date: 11/28 (TUE.), Class time

- Scope:
  - Access Control
  - Authentication

• O/X quiz (3~4 problems) + some computation quiz

#### HW2



• I will share the grading results

### Impact of Poor Software Quality



The Patriot Missile (1991)
Floating-point roundoff
28 soldiers died

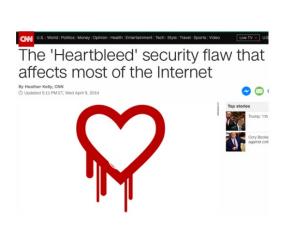


The Ariane-5 Rocket (1996)
Integer Overflow
\$100M



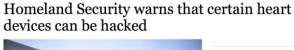
NASA's Mars Climate Orbiter (1999)
Meters-Inches Miscalculation
\$125M

JANUARY 11, 2017, 8:56 AM | WASHINGTON











## Cost of Software Quality Assurance

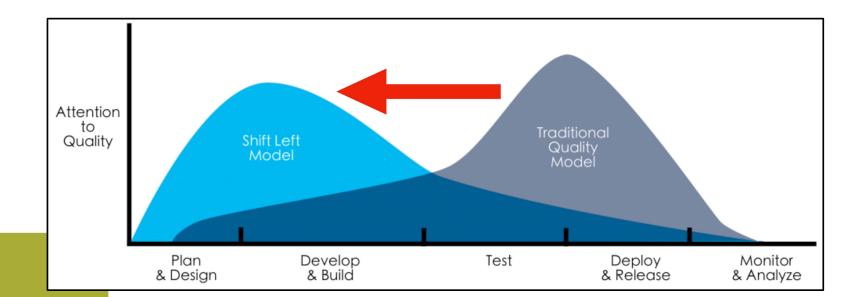
"We have as many testers as we have developers.

And testers spend all their time testing, and developers spend half their time testing. We're more of a testing, a quality software organization than we're a software organization"

- Bill Gates

## **Discovering Software Bugs**

- Very important as software is eating the world!
- Key issue: how to detect software errors as early as possible?



COST OF A SOFTWARE BUG

\$100

\$1,500 \$10,000

If found in Gathering Requirements phase

If found in QA testing phase If found in Production

- IBM Systems Sciences Institute, 2015

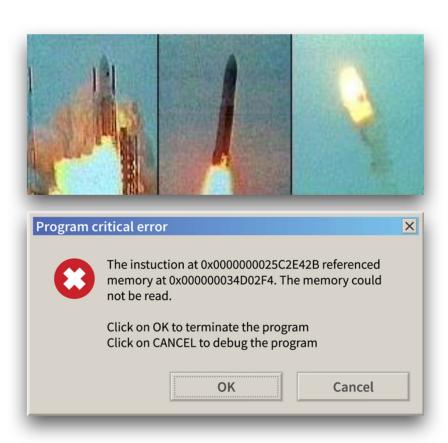
#### **Software Bugs**



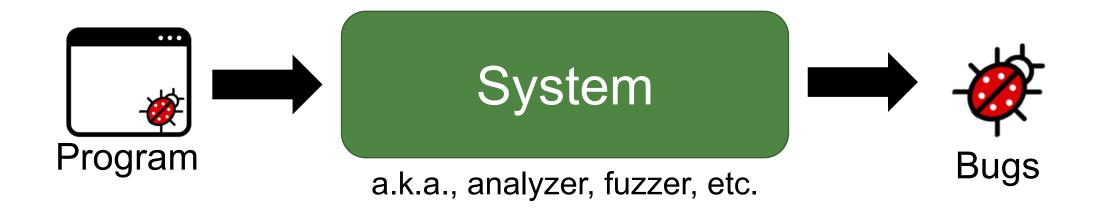
- What?
  - Software runs and produces outputs unexpectedly
- Why?
  - Incorrectly written code by human or Al





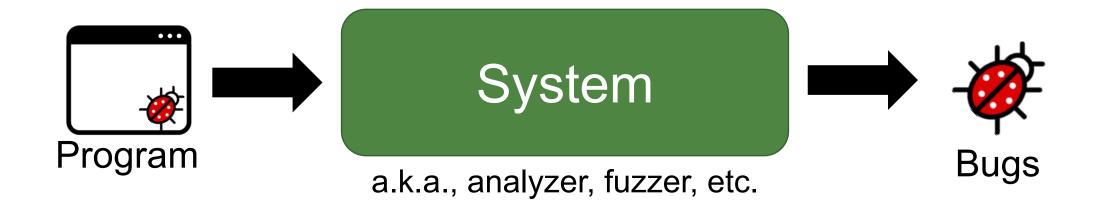


# Build a System that Finds Bugs



### Build a System that Finds Bugs



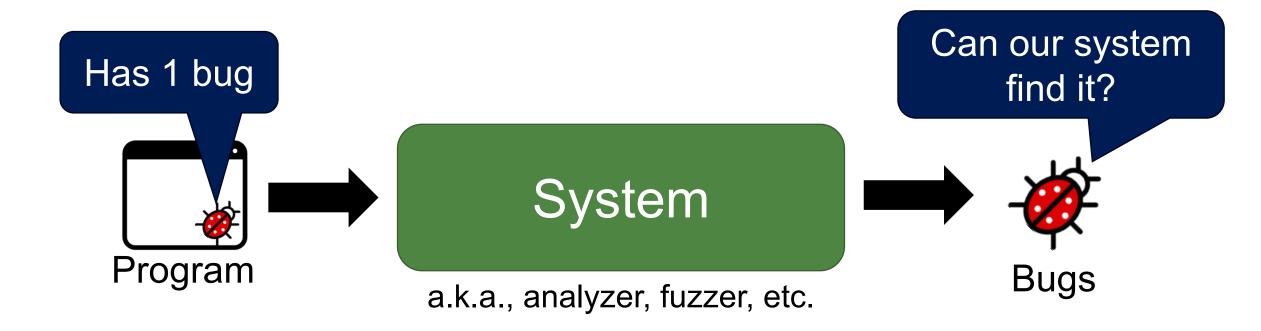


How *precise* can we make our system?

#### **Precision Matters**







Given an arbitrary program, can we build a system that decides whether the program is buggy or not?

## Building a Perfect Analyzer is Impossible ®

It only shows the presence of bugs, never their absence!

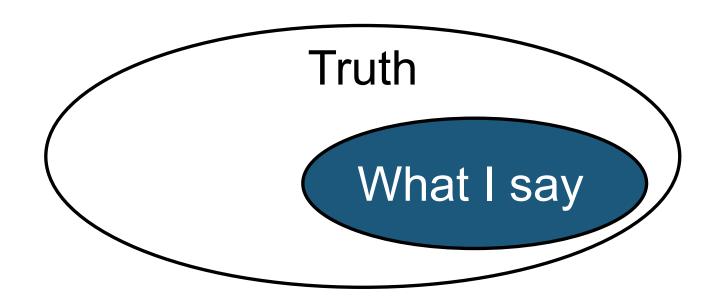
But, we can try to find as many bugs as possible

- For example,
  - Bounded model checking
  - Software testing
  - Etc.



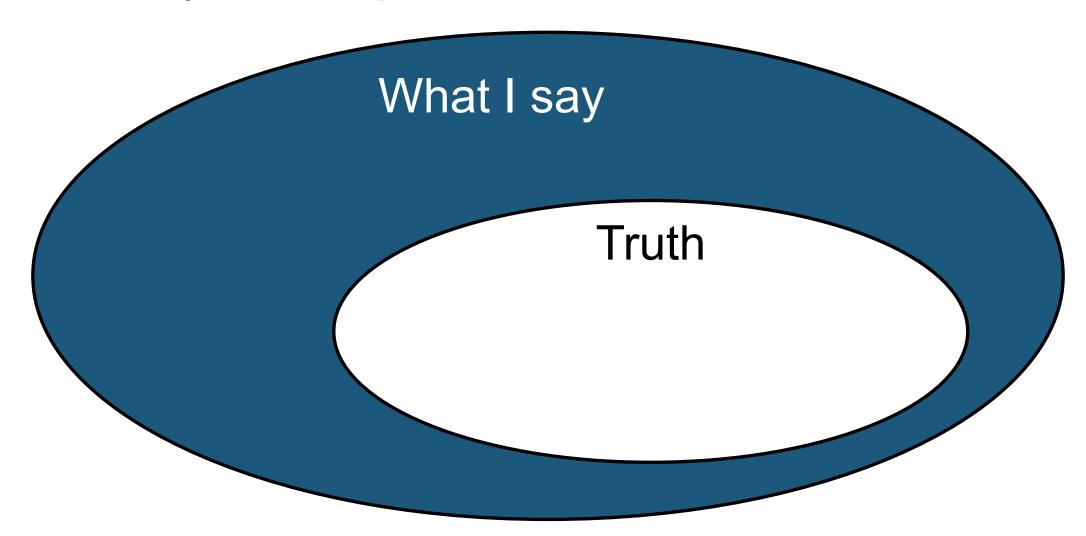
## Soundness vs. Completeness

• If an analyzer is **sound**:



## Soundness vs. Completeness

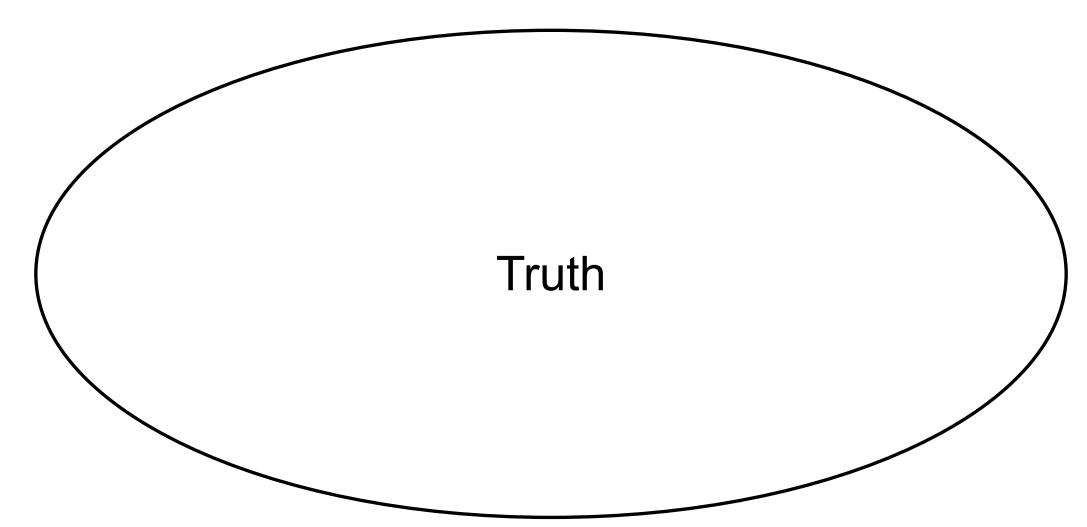
• If an analyzer is *complete*:



#### 16

### Soundness vs. Completeness

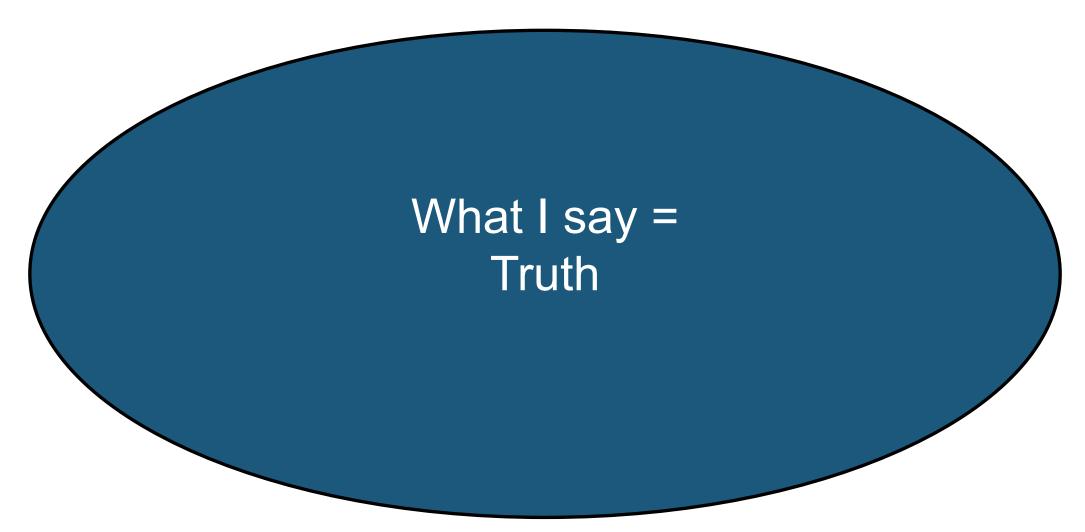
If an analyzer is sound and complete (=perfect):



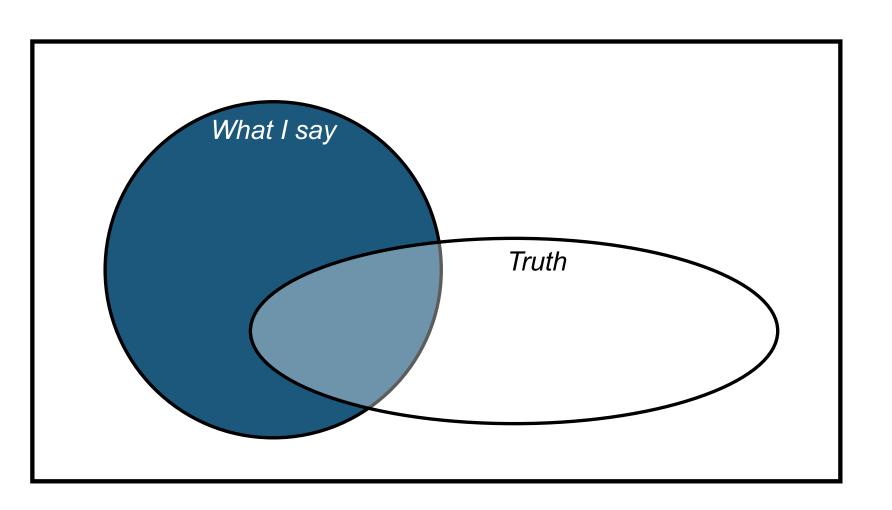
### Soundness vs. Completeness

17

If an analyzer is sound and complete (=perfect):

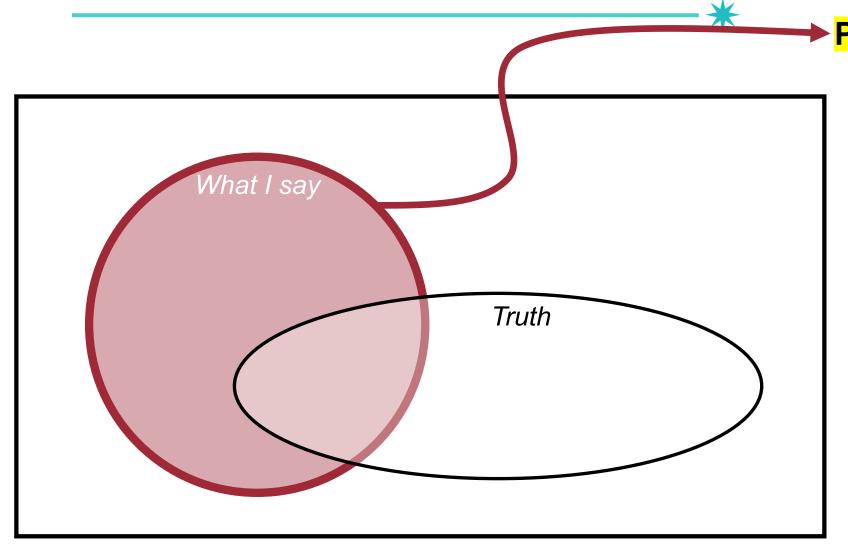


#### **True Positive and False Positive**



#### **True Positive and False Positive**

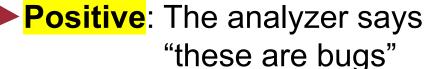


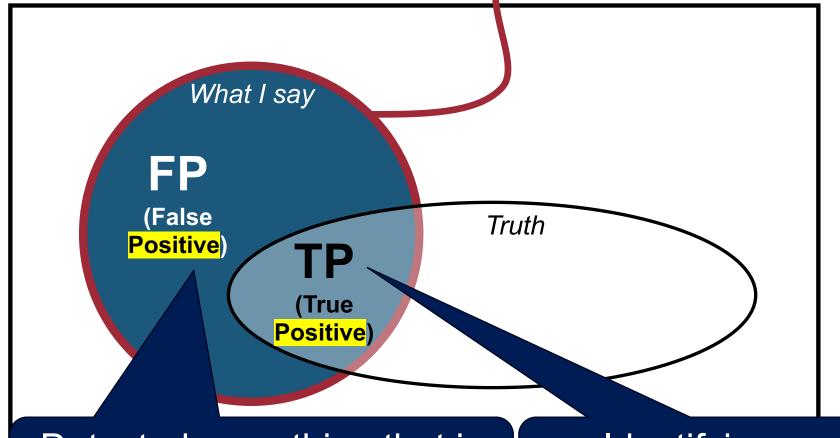


► **Positive**: The analyzer says "these are bugs"







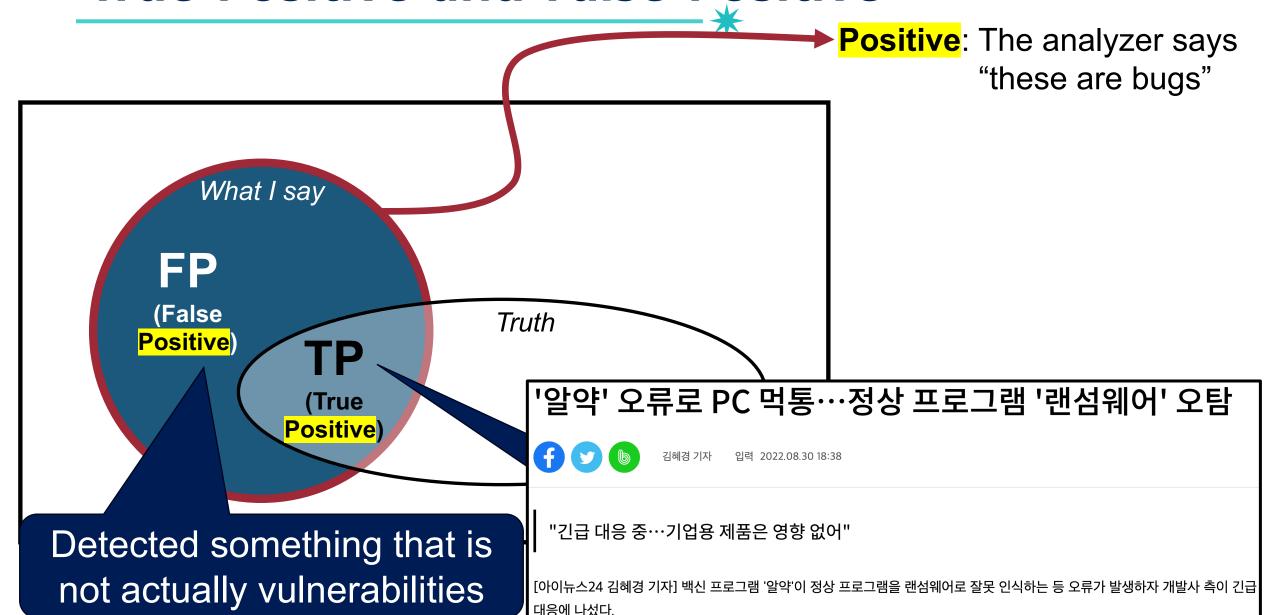


Detected something that is not actually vulnerabilities

Identifying real vulnerabilities correctly

#### True Positive and False Positive

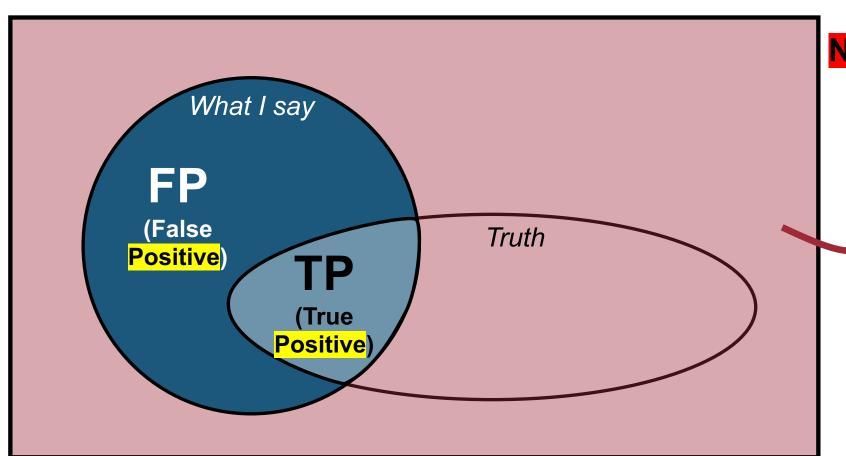




#### False Negatives and True Negatives



Positive: The analyzer says "these are bugs"

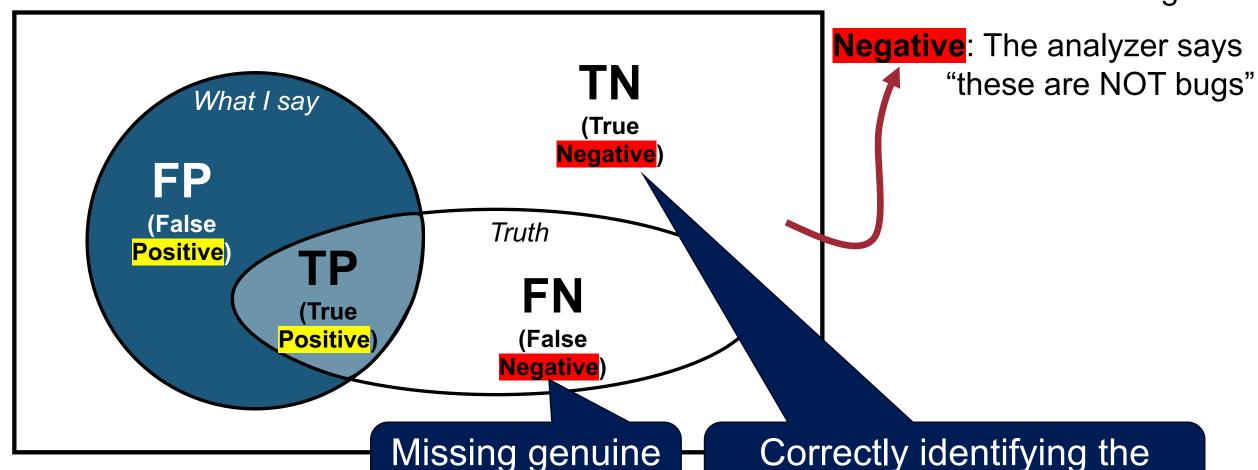


Negative: The analyzer says "these are NOT bugs"





Positive: The analyzer says "these are bugs"



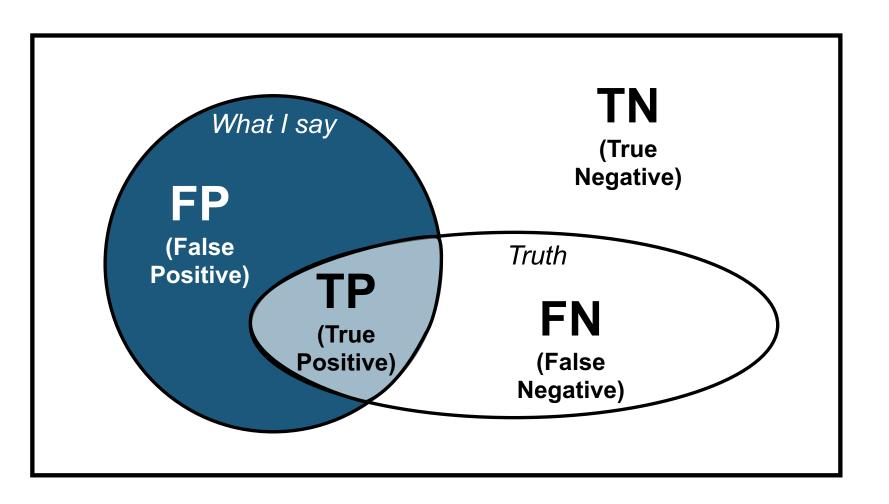
Missing genuine vulnerabilities

Correctly identifying the absence of the vulnerabilities

#### **Precision**





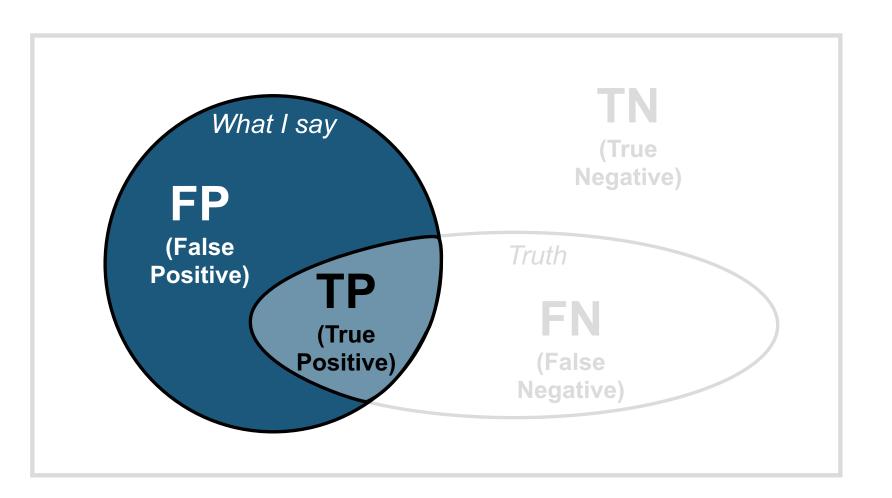


Precision= TP / (TP + FP)

#### 25

#### **Precision**

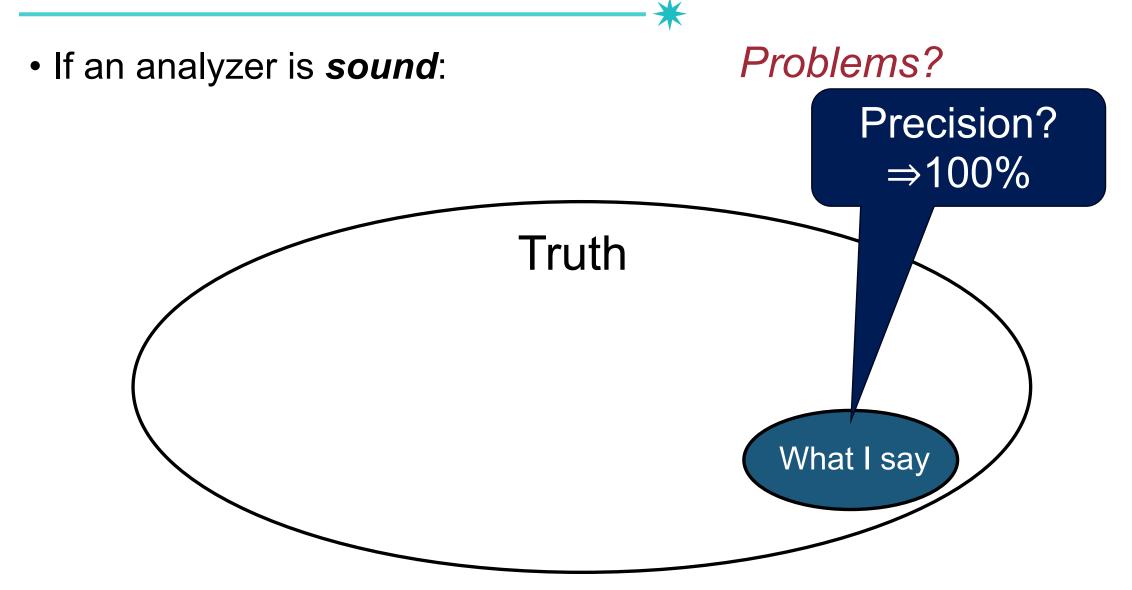




Precision= TP / (TP + FP)

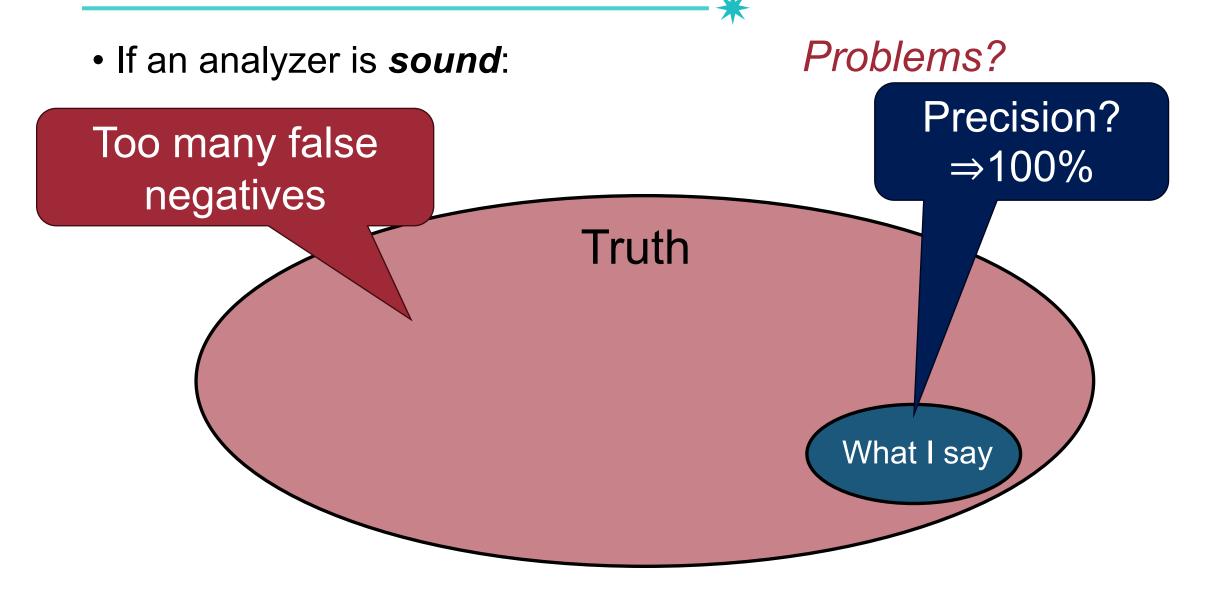
#### 26

#### **Limitations of Precision Measurement**



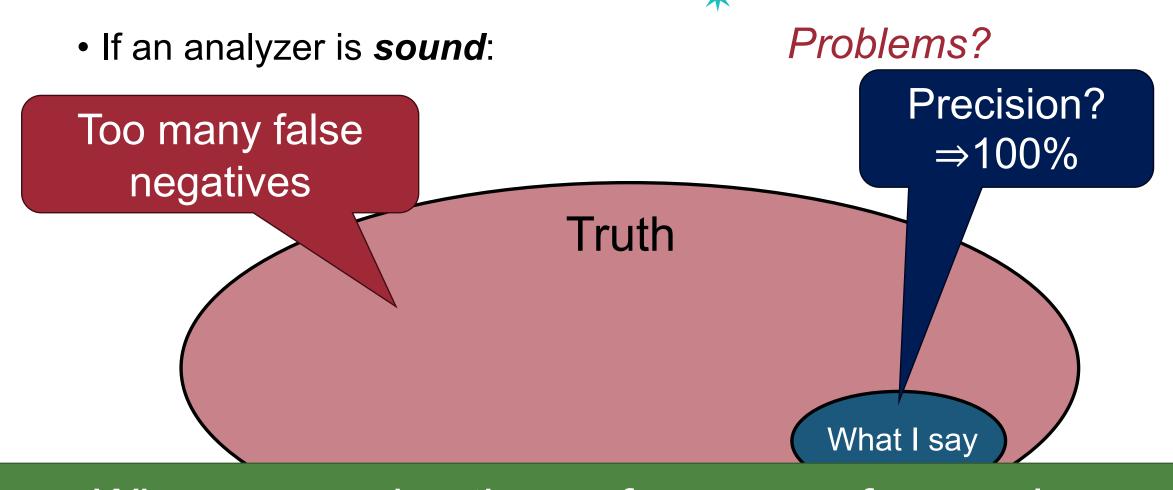
#### **Limitations of Precision Measurement**





#### **Limitations of Precision Measurement**



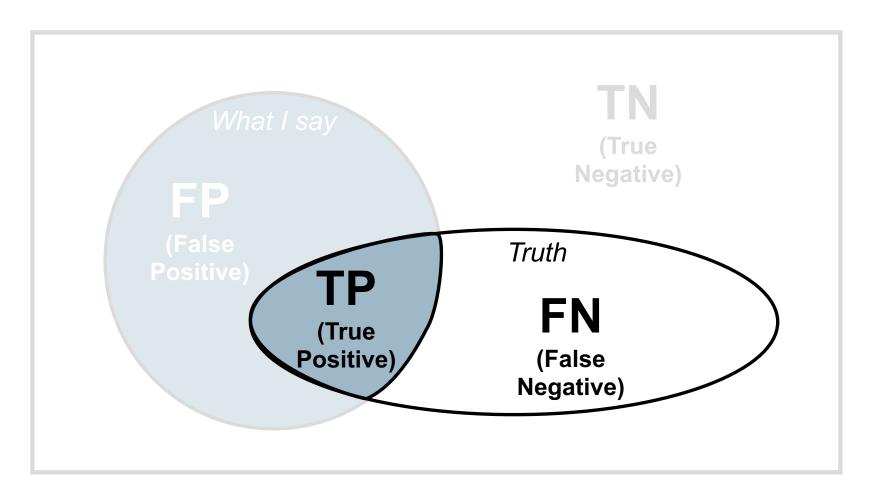


When measuring the performance of an analyzer, the ratio of FN and TP must also be considered!

#### Recall



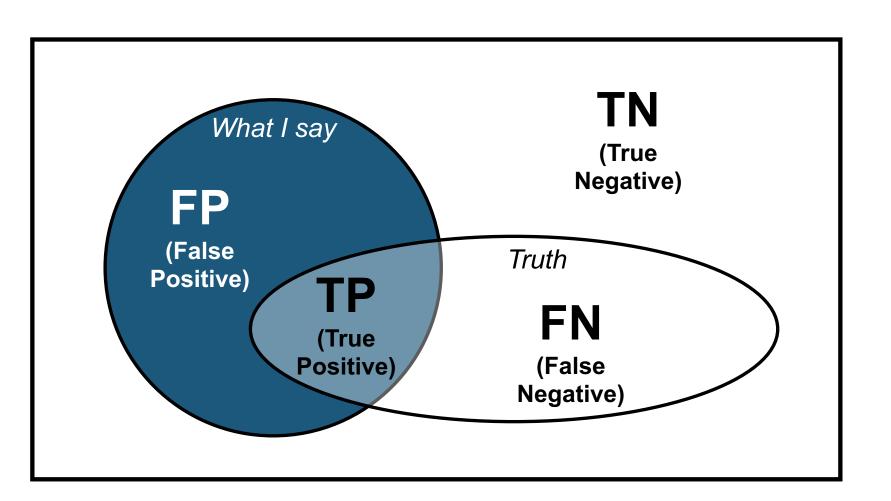




- Precision= TP / (TP + FP)
- Recall= TP / (FN + TP)

#### **Accuracy**

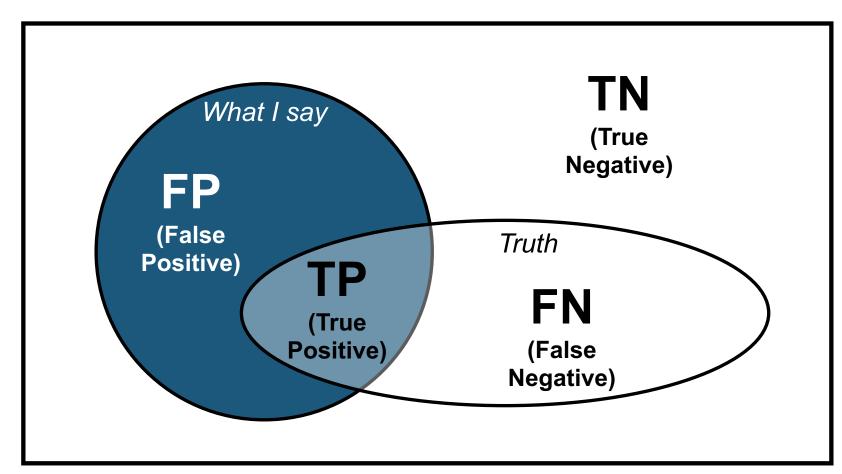




- Precision= TP / (TP + FP)
- Recall= TP / (FN + TP)

Accuracy= (TP+TN)/(TP + FP + FN + TN)

### False Positive Rate vs. False Negative Rate



FP Rate= FP / (TP + FP)

FN Rate= FN / (FN + TN)

#### 32

### Three Forms of Testing



- Manual testing
  - A human test the code

- Static analysis
  - Analyze the program without executing it

- Dynamic analysis
  - Analyze the program during an execution

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### Three Forms of Testing



- Manual testing
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#### **Manual Testing**



- "Debug by printf"
- 1. Read documentation and understand functionality
- 2. Get familiar with the code structure and components
- 3. Draft test cases that cover requirements from document
- 4. Review and discuss test cases
- 5. Execute the test cases
- 6. Report bugs
- 7. After bugs are fixed, execute test cases again!

#### **Manual Testing**



#### Pros

- Simple to setup for running target programs
- Gives good feedback if test cases are carefully designed

#### • Cons

- Requires manual effort to create each test
- Tests must be kept up to date as specification evolves

#### Three Forms of Testing



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### **Static Analysis**



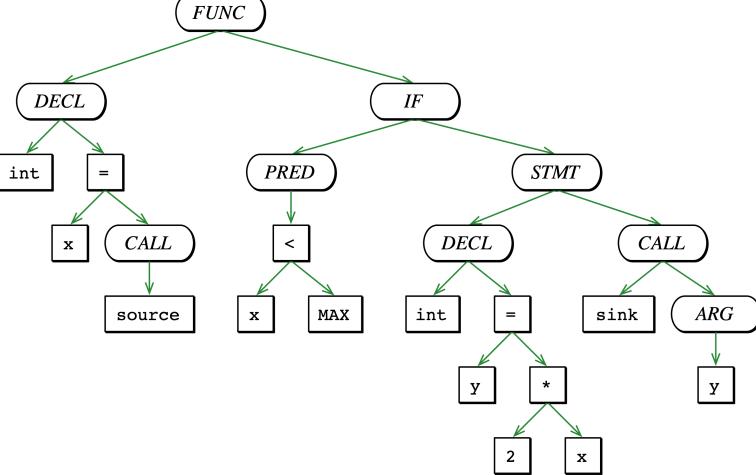
- Analyze the program without executing it to detect potential security bugs
- Abstract (over-approximate) across all possible executions

 Keywords: (static) taint analysis, (static) symbolic execution, abstract interpretation, abstract syntax tree, control flow graph, data flow graph

## **Example: Abstract Syntax Tree (AST)**

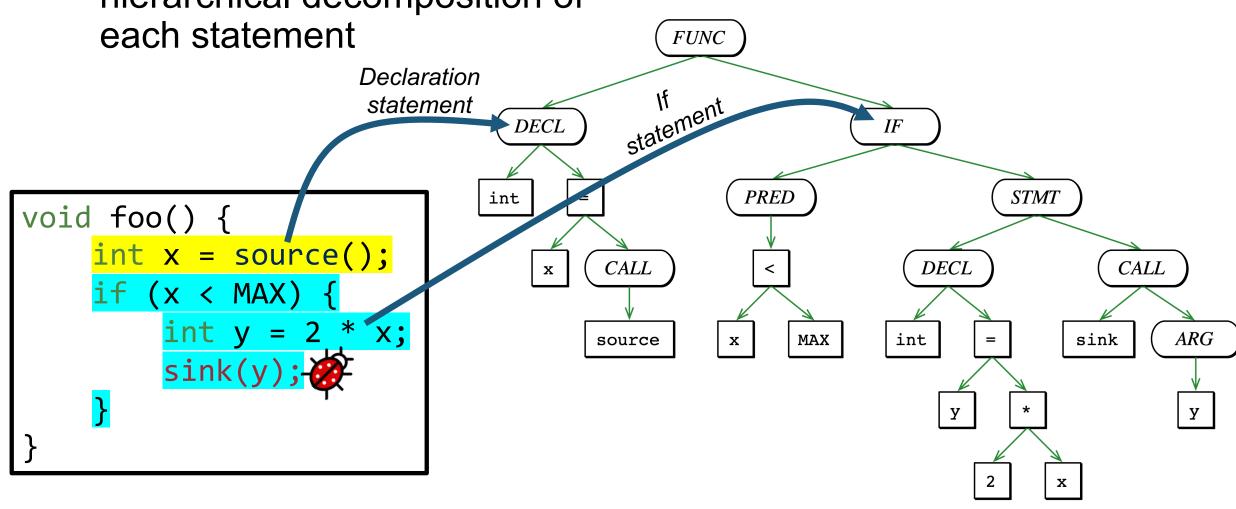
 Syntax information: models a hierarchical decomposition of each statement

```
void foo() {
   int x = source();
   if (x < MAX) {
      int y = 2 * x;
      sink(y);
   }
}</pre>
```



# **Example: Abstract Syntax Tree (AST)**

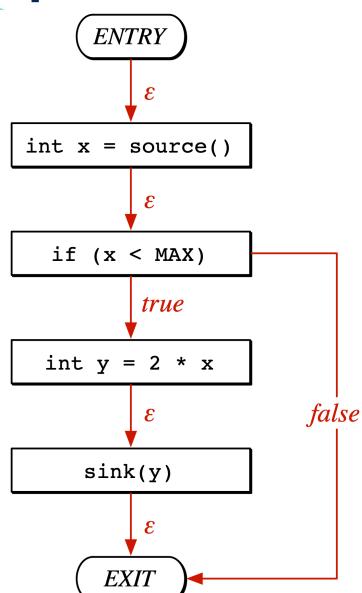
 Syntax information: models a hierarchical decomposition of each statement



## **Example: Control Flow Graph (CFG)**

 Semantic information: a program's control flow among statement

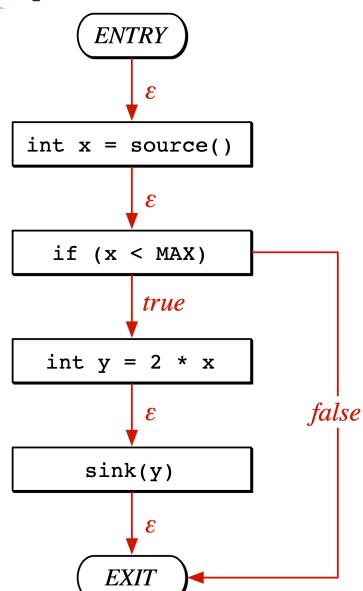
```
void foo() {
   int x = source();
   if (x < MAX) {
      int y = 2 * x;
      sink(y);
   }
}</pre>
```



## **Example: Control Flow Graph (CFG)**

 Semantic information: a program's control flow among statement

```
void foo() {
    int x = source();
    if (x < MAX) {
    true int y = 2 * x;
        sink(y);
    }
    false
}</pre>
```



# **Example: Data Flow Graph (DFG)**

 Semantic information: a program's data flow among statement

```
int x = source()
D_{x}
D_{x}
int y = 2 * x
```

sink(y)

```
void foo() {
   int x = source();
   if (x < MAX) {
      int y = 2 * x;
      sink(y);
   }
}</pre>
```

# **Example: Data Flow Graph (DFG)**

 Semantic information: a program's data flow among statement

```
ong D_x
D_x
\text{int } x = \text{source}()
D_x
\text{int } y = 2 * x
```

sink(y)

```
void foo() {
    int x = source();
    if (x < MAX) {
        int y = 2 * x;
        sink(y);
    }
}</pre>
```

# **Example: Data Flow Graph (DFG)**

 Semantic information: a program's data flow among statement

```
int y = 2 * x
if (x < MAX)
                sink(y)
```

int x = source()

```
void foo() {
    int x = source();
    if (x < MAX) {
        int y = 2 * x;
        sink(y);
    }
}</pre>
```

### **Static Analysis**



#### Pros

- Save time and resources (we do not need to execute the program)
- A highly scalable method (it can run on multiple code bases)
- Aiming for completeness
  - Has a global view of the program

#### Cons

- Requires manual configuration of rules or standards
  - E.g., graph traversal rules for each vulnerability type
- May have large amounts of false positives

### **False Positives**



- May have spurious alarms because of over-approximation
  - Can be improved by more advanced design

```
void foo() {
   int x = source();
   if (unknown(x)) {
      int y = 2 * x;
      sink(y);
   }
}
```

Dynamically resolved code: if x includes exploit: sanitize(x)

### **False Positives**



- May have spurious alarms because of over-approximation
  - Can be improved by more advanced design

```
void foo() {
   int x = source();
   if (unknown(x)) {
      int y = 2 * x;
      sink(y);
   }
}
```

```
Dynamically resolved code: if x includes exploit: sanitize(x)
```

The analyzer has no knowledge of the **runtime information** 

⇒ Just check the data flow

The analyzer will say that "this is a potential bug"

### Three Forms of Testing



- Manual testing
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## Three Forms of Testing



#### Manual testing

- A human test the code

#### Static analysis

- Analyze the program without executing it

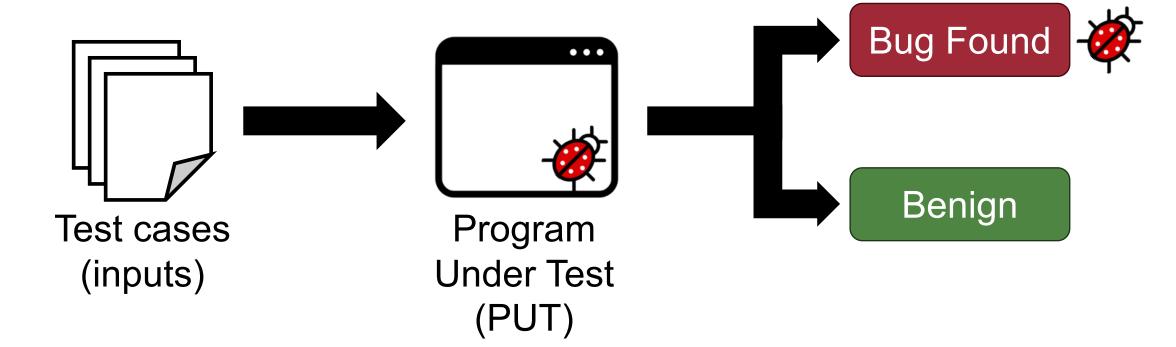
#### Dynamic analysis

- Analyze the program during an execution

### **Dynamic Analysis**

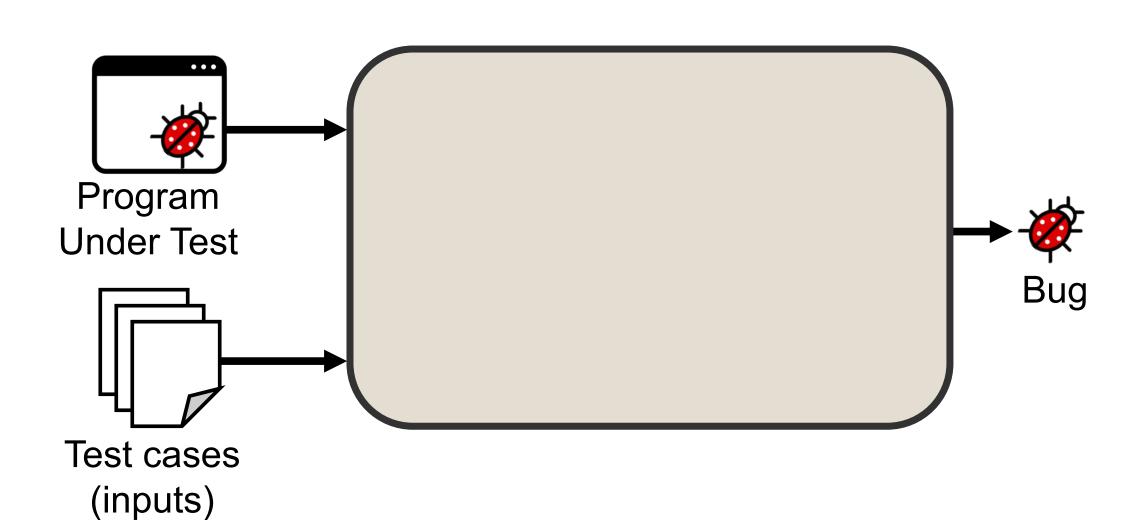


- Analyze the program during an execution with the concrete input
  - Focuses on a single concrete run
- Keywords: fuzzing, penetration testing, scanner, concolic execution, dynamic taint analysis



### **Example: Fuzzing**

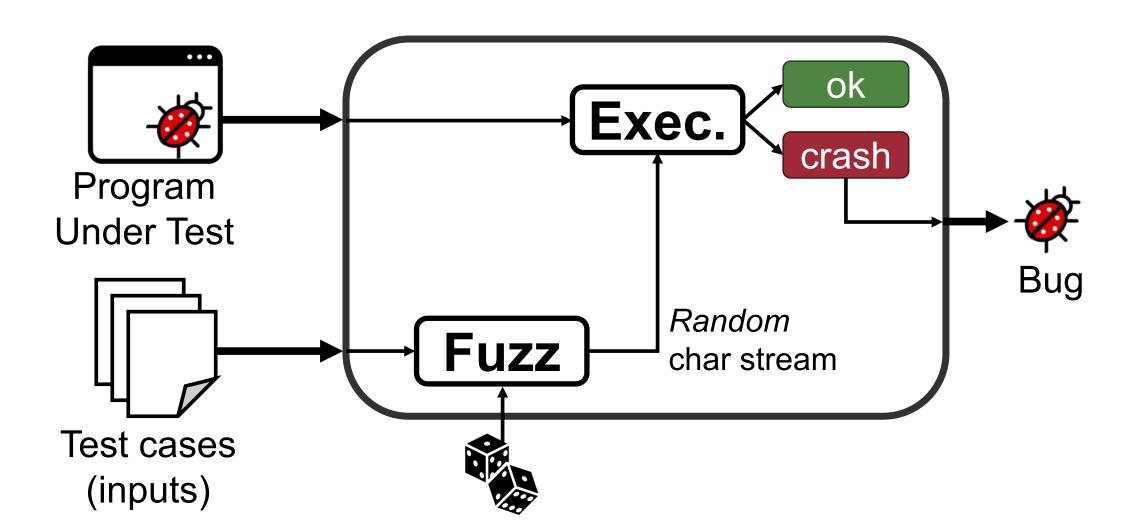
• Initially, developed by Barton Miller in 1990



### **Example: Fuzzing**

-\*

• Initially, developed by Barton Miller in 1990



### Fuzzing is ...



- Simple, and popular way to find security bugs
- Used by security practitioners

- Research questions:
  - Why fuzzing works so well in practice?
  - Are we maximizing the ability of fuzzing?

### **Dynamic Analysis**



- Pros
  - False positives are rare
    - Because it considers dynamically resolved information

- Cons
  - Not scalable
  - Testing is incomplete ⇒ produces many false negatives
    - The limited focus on a given (generated/mutated) inputs

### Conclusion



Software testing finds bugs before an attacker can exploit them!

Building a perfect analyzer is impossible

- Manual testing
- Static analysis Next Lecture!
- Dynamic analysis Next and Next Lecture!