## Message Text

SECRET

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O R 141730Z FEB 78
FM AMEMBASSY BERN
TO SECSTATE WASHDC IMMEDIATE 5777
SECDEF WASHDC IMMEDIATE
JCS WASHDC IMMEDIATE
CIA WASHDC IMMEDIATE
INFO AMEMBASSY MOSCOW

SECRETSECTION 1 OF 3 BERN 742

ACDA FOR MR CLINARD

DEPT PASS NSC FOR CDR SICK

EO 11652: GDS TAGS: PARM, XO SUBJ: US-USSR INDIAN OCEAN ARMS CONTROL TALKS: PLENARY SESSION FEB 14, 1978

THIRD PLENARY SESSION WAS HELD ON TUESDAY, FEB 14, WITH AMB WARNKE CHAIRING. AMB WARNKE'S PREPARED REMARKS SENT SEPTEL.

SUMMARY

DURING FEB 14 PLENARY SESSION, WARNKE MADE STRONG STATEMENT CRITICIZING SINGIFICANT CHANGE IN CURRENT LEVEL AND PATTERN OF SOVIET NAVAL PRESENCE IN THE INDIAN OCEAN AS COMPARED TO BEGINNING OF TALKS. WARNKE STATED THAT SOVIET ACTIVITIES IN THE HORN OF AFRICA WERE NOT CONSISTENT WITH THE SPIRIT OF THE SECRET

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TALKS AND WERE A SERIOUS IMPEDIMENT TO PROGRESS. HE STATED SOVIET ACTIVITIES IN THE HORN OF AFRICA AND THE RELATED BUILDUP OF SOVIET MILITARY PRESENCE ARE NOT CONDUCIVE TO INTERNATIONAL STABILITY AND EFFORTS TO IMPROVE RELATIONS BETWEEN THE UNITED STATES AND THE SOVIET UNION. US ALSO NOTED CONTINUING MAJOR DIFFERENCE BETWEEN THE TWO SIDES ON SEVERAL BASIC

ISSUES. SOVIETS RESPONDED BY REPEATING PREVIOUS PROPOSAL FOR A MORATORIUM ON DEPLOYMENT OF STRATEGIC FORCES TO THE AREA WHICH COULD BE EXPANDED TO INCLUDE LIMITS ON OTHER FORCES. THEY NOTED THAT EVENTS IN LITTORAL STATES WERE OF CONCERN TO BOTH SIDES AND THAT US AND SOVIET UNION WERE IN IN CONTACT THROUGH OTHER CHANNELS CONCERNING HORN OF AFRICA. SOVIETS BELIEVE THAT EXTERNAL ISSUES SHOULD NOT BE ALLOWED TOCOMPLICATE TALKS, AND, FOR EXAMPLE, HAD NOT RAISED RHODESIAN AGGRESSION AGAINST MOZAMBIQUE. SOVIETS PROVIDED NUMERICAL INFO ON THIER PAST AND CURRENT LEVEL OF FORCES IN EFFORT TO RELIEVE US CONCERN THAT SOVIET FORCES WERE INCREASING.

OTHER ISSUES DISCUSSED INCLUDED REACTIONS OF
LITTORAL STATES TO THE TALKS AND QUESTIONS BEING
DISCUSSED BY WORKING GROUPS. SOVIETS INDICATED
WILLINGNESS TO USE DEFINITION OF AUXILIARIES ORIGINALLY PROPOSED IN JUNE, BUT SUGGESTED ALL AUXILIARIES
BE EXCLUDED FROM LIMITATIONS. THEY INDICATED WILLINGNNESS TO COMPROMISE ON ISSUE OF TIME PERIOD FOR TRANSITS
AND ARE PREPARED TO CONSIDER ALTERNATIVE METHODS OF
HOW UTILIZATION OF PORTS CAN BE REFLECTED IN AGREEMENT.
END SUMMARY

1. WARNKE OPENED MEETING BY READING STRONG STATE-SECRET

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MENT (SEPTEL) TO EFFECT THAT CURRENT SOVIET
NAVAL ACTIVITY IN AND AROUND THE HORN IS INCONSISTENT
WITH OUR UNDERSTANDING OF AND PREVIOUS SOVIET DESCRIPTION OF THE PAST LEVEL AND PATTERN OF MILITARY PRESENCE.
HE STRESSED IN ADDITION THAT SOVIET ACTIVITIES IN HORN
ARE INCONSISTENT WITH THE SPIRIT OF THESE NEGOTIATIONS
AND THAT THESE ACTIVITIES ARE A SERIOUS IMPEDIMENT TO
EARLY AGREEMENT ON INDIAN OCEAN ARMS LIMITATION. OTHER
REMARKS ON TAKING INTO ACCOUNT VIEWS OF LITTORAL STATES
AND ON THE MAJOR UNRESOLVED ISSUES IN EXPERTS GROUPS
DESCRIBED SEPTEL.

2. IN RESPONSE, MENDELEVICH NOTED THAT SINCE WE DO NOT YET HAVE ANY AGREEMENT, IT IS NOT FAIR TO JUDGE WHETHER OR NOT REGIONAL DEVELOPMENTS ARE CONSISTENT WITH ANY AGREEMENT, BUT THAT HE AGREED IT WAS DESIRABLE FOR BOTH SIDES TO ENCOURAGE PROPER ATMOSPHERE DURING THE COURSE OF THE NEGOTIATIONS. HE REPEATED HIS SEPT PROPOSAL THAT BOTH SIDES AGREE TO A MORATORIUM ON DEPLOYMENT OF STRATEGIC FORCES TO THE INDIAN OCEAN AND REPEATED THAT THE SOVIET UNION IS PREPARED TO ENLARGE THIS PROPOSAL TO INCLUDE OTHER THAN STRATEGIC FORCES. HE COMMENTED

THAT SINCE NO AGREEMENT EXISTED, THE SOVIET UNIONWAS NOT OBLIGATED TO DEFEND ITS CURRENT FORCE LEVELS. HOWEVER, HE SAID THAT IN A SERIOUS EFFORT TO FURTHER THE GOOD SPIRIT WHICH WAS PART OF THESE TALKS, THE SOVIET UNION WOULD PROVIDE INFO CONCERNING ITS MILITIAR PRESENCE. HE BEGAN BY REPEATING THE DESCRIPTION OF SOVIET FORCE LEVELS PROVIDED IN SEPT AND ADDED THAT UNDER A STABILIZATION THEY WOUDL NOT BE BEYOND THIS LEVEL. HOWEVER, IF REACHING AGREEMENT WERE DELAYED FOR SEVERAL YEARS, IT WOULD BE IMPOSSIBLE TO SAY WHAT LEVEL OF FORCES WOULD EXIST. HE OFFERED THE FOLLOWING DESCRIPTION OF THE PREVIOUS SOVIET FORCE LEVELS AS EXAMPLES OF THE VARIATION WHICH HAD OCCURRED IN PREVIOUS YEARS:

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ACDA FOR MR CLINARD

DEPT PASS NSC FOR CDR SICK

- -- IN 1972 THE MAXIMUM NUMBER OF COMBATANTS PRESENT SIMULTANEOUSLY WAS 10.
- -- IN 1976 THE MAXIMUM NUMBER WAS 7.
- -- IN 1977 THE MAXIMUM NUMBER WAS 10.
- -- THE OVERALL TOTAL NUMBER OF COMBATANTS PRESENT IN A YEARS WAS SIMILARLY VARIED.
  IN 1977 IT WAS 23; IN 1973 IT WAS 18; IN 1974 IT WAS 26.
- -- THE NUMBER OF AUXILIARY SHIPS ALSO VARIED, BUT WAS AT A LEVEL CONSISTENT WITH THE NEED TO SUPPORT COMBATANTS. BECAUSE OF THIS VARIATION, THE SOVIET UNION SUGGESTED A FIVE-YEAR AVERAGE.
- -- CURRENT LEVELS ARE ANOT GREATER THAN BEFORE. BOTH IN SECRET

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JULY 1977 AND CURRENTLY, THERE WERE 10 COMBATANTS. WHILE THERE WAS SOME CHANGE IN THE COMPOSITION OF THIS FORCE, THE OVERALL CAPABILITY OF THE SHIPS IS SOMEWHAT LESS THAN PREVIOUSLY. HE REPEATED THAT HE PROVIDED THIS INFO IN THE SPIRIT OF GOODWILL AND DID NOT SEEK RECIPROCAL INFO FROM THE US.

- 3. CONCERNING US STATEMENT THAT IT WAS NOT ATTEMPTING TO ALTER ITS MILITARY PRESENCE IN THE REGION DURING THE COURSE OF THE TALKS, HE NOTED THAT CONSTRUCTION AT DIEGO GARCIA CONTINUES AND THIS WAS OF SOME CONCERN.
- 4. WITH REGARD TO POLITICAL EVENTS IN THE HORN OF AFRICA, HE STATED THAT THE SOVIET UNION SEEKS A PEACE-FUL SOLUTION OF THIS CONFLICT AND THAT THE SPECIFIC CONTENT OF THESE DEVELOPMENTS CANNOT BE A SUBJECT MATTER FOR THESE DISCUSSIONS. HE NOTED THAT CONTACTS BETWEEN THE US AND THE SOVIET UNION ARE TAKING PLACE THROUGH OTHER CHANNELS. HE COMMENTED THAT OTHER EVENTS IN LITTORAL STATES WERE ALSO OF CONCERN TO THE SOVIET UNION AND COULD ALSO BE RAISED; FOR EXAMPLE, RHODESIAN AGRESSION AGAINST MOZAMBIQUE AND US-UK INVOLVEMENT IN THE RHODESIAN QUESTION. HE NOTED SOVIETS HAD NOT RAISED THESE ISSUES PREVIOUSLY AND DID NOT INTEND TO DO SO IN THE FUTURE.
- 5. CONCERNING THE VIEWS OF THE LITTORAL STATES, HE DENIED ANY INTENTION TO SPEAK FOR LITTORAL STATES, BUT SIMPLY NOTED SIMILARITY OF VIEWS EVIDENT DURING CONSULTATIONS. HE FAVORS CONTINUING THE PAST PROCEDURES OF BRIEFING UN AD HOC COMMITTEE ON INDIAN OCEAN AND OF PRIVATE BILATERAL TALKS WITH OTHER INTERESTED STATES.

6. WITH REGARD TO ISSUED UNDER DISCUSSION BY SECRET

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EXPERTS GROUPS HE MADE THE FOLLOWING POINTS:

- -- ON AUXILIARY VESSELS HE AGREED TO DROP SUBDIVISION OF AUXILIARIES INTO THOSE HAVING STATIONARY ARMAMENTS AND THOSE WITHOUT, BUT SUGGESTED THAT ALL AUXILIARIES BE EXCLUDED FROM LIMITATIONS UNDER AN AGREEMENT. HE PRESENTED THIS AS A STEP FORWARD TO MEET US POSITION.
- -- ON TRANSIT HE SAID SOVIETS PREPARED TO SUGGEST LESSER PERIOD OF TIME THAN 90 DAYS AND HOPE US WOULD ALSO MAKE EFFORT TOWARDS COMPROMISE. HE SAID QUESTION OF TRANSIT SHIPS MAKING OFFICIAL VISITS IS A PRACTICAL QUESTION WHICH SHOULD BE DISCUSSED BY EXPERTS.
- -- IN AIRCRAFT TRANSIT QUESTION SOVIETS
  WISHED TO UNDERSTAND WHAT IS INCLUDED IN THIS
  PROVISION, INCLUDING TYPE OF AIRCRAFT WHICH
  MIGHT TRANSIT AND ROUTES THEY MIGHT TAKE.
  SOVIETS DO NOT REJECT THIS APPROACH IN PRINCIPLE.
- -- CONCERNING AFLOAT AND ASHORE SUPPORT FACILITIES, HE SAID SOVIETS WILL CONTINUE TO STUDY THIS QUESTION. HE DID NOT RULE OUT DESIRABILITY OF A DEFINITION OF A ROUTINE PORT CALL BUT FELT THERE WAS ALSO A NEED FOR A DEFINITION OF UTILIZATION OF A PORT, NOTHING THAT THE SOVIET UNION PRIMARILY USED PORTS FOR ANCHORAGE AND DID NOT MAKE USE OF OTHER FACILITIES LOCATED THERE
- -- ONDEFINITION OF THE AREA, THE US STATED
  THIS WAS A POLITICAL NOT A GEOGRAPHIC QUESTION.
  YET THE US HAD NOT PROVIDED ANY POLITICAL ARGUSECRET

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MENTS TO SUPPORT ITS POSITION. WITHOUT US WILLINGNESS TO DISCUSS THIS HE DID NOT SEE HOW THERE COULD BE PROGRESS.

CONCERNING SUPPLEMENTAL STATEMENTS,

HE PROPOSED THAT BOTH SIDES EXCHANGE, IN WORKING GROUPS, FORMATS FOR THEIR APPROACH TO THE DRAFTING OF SUPPLEMENTAL STATEMENTS. THESE FORMATS WOULD NOT INCLUDE SPECIFIC NUMBERS. HE FELT THIS WAS NECESSARY FOR A BETTER UNDERSTANDING OF EACH SIDE'S POSITION. HE NOTED THE SOVIET UNIONDOES NOT REJECT THE US APPROACH ON SUPPLEMENTAL STATEMENTS.

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INFO OCT-01 EUR-12 ISO-00 ACDE-00 SSO-00 NSCE-00 USIE-00 INRE-00 NEA-10 PM-05 H-01 INR-10 L-03 NSAE-00 PA-01 SP-02 SS-15 IO-13 OES-07 NASA-01 CEQ-01 DOE-11 SOE-02 AF-10 DOEE-00 /117 W --------------------015155 141847Z /53

O R 141730Z FEB 78 FM AMEMBASSY BERN TO SECSTATE WASHDC IMMEDIATE 5779 SECDEF WASHDC IMMEDIATE JCS WASHDC IMMEDIATE CIA WASHDC IMMEDIATE INFO AMEMBASSY MOSCOW

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ACDA FOR MR CLINARD

DEPT PASS NSC FOR CDR SICK

- 7. WARNKE MADE THE FOLLOWING POINTS IN HIS RESPONSE:
- -- SOVIET PROPOSAL FOR A MORATORIUM ON INTRODUCTION OF STRATEGIC FORCES DID NOT DEAL WITH THE PRIORITY TASK WHICH WAS TO DETERMINE A METHOD TO DESCRIBE FORCE LEVELS WHICH WOULD BE STABILIZED. AT THE PRESENT THERE WAS NOT EVEN AN AGREED UNDERSTANDING ON WHAT WAS MEANT BY STRATEGIC FORCES. OUR EFFORTS SHOULD PROCEED FROM THE PRINCIPLE THAT THE PERIOD OF NEGOTIATIONS SHOULD NOT BE USED TO BRING ABOUT SIGNIFI-

CANT CHANGES IN MILITARY PRESENCE AND THAT THE SPIRITI OF GOOD WILL NEEDED TO BE REFLECTED IN PRACTICE IF WE WERE TO MAKE PROGRESS.

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- -- HE APPRECIATED SOVIET WILLINGNESS TO
  OFFER FIGURES ON ITS NAVAL PRESENCE BUT NOTED
  THAT IN ADDITION TO COLD STATISTICS WE NEED TO
  UNDERSTAND THE NATURE OF NAVAL PRESENCE. US
  BELIEVES IT IS IMPORTANT TO INCLUDE AUXILIARIES
  UNDER ANYLIMITATIONS AND HE AGREED THIS ISSUE
  SHOULD BE STUDIED IN THE EXPERTS GROUP. HE
  REPEATED THAT, IN OUR VIEW, THE CURRENT SOVIET
  NAVAL PRESENCE SUBSTANTIALLY EXCEEDS THE SIZE,
  STRENGTH, NATURE, AND PURPOSE OF PREVIOUS
  PERIODS.
- -- HE STATED THAT SINCE THERE WAS NO EXISTING AGREEMENT THE US WAS NOT CHARGING ANYONE WITH A VIOLATION, BUT IT WAS EXPRESSING CONCERN OVER ACTIVITIES THAT WERE DISRUPTIVE TO PROGRESS.
- -- CONSTRUCTION AT DIEGO GARCIA WAS CONTIN-UING IN A WAY COMPATIBILE WITH US STATEMENT OF ITS POSITION SINCE THE BEGINNING OF THE TALKS. HE AGREED THAT EXPERTS GROUPS SHOULD CONTINUE WORK ON DEFINITION OF AUXILIARIES, TRANSIT, AIRCRAFT TRANSIT, AND SUPPORT FACILITIES. HE PROPOSED DEFINITION OF AREA BE TAKEN UP AT THURSDAY'S RESTRICTED SESSION.
- -- HE STATED US NOT PREPARED TO TABLE FOR-MAT OF ITS APPROACH TO SUPPLEMENTAL STAEMENT SINCE THERE WERE MANY QUESTIONS, INCLUDING SEVERAL DEFINITIONS, WHICH WOULD NEED TO BE RESOLVED. HE NOTED THAT TODAY'S DEBATE ON CURRENT SOVIET FORCE LEVELS COMPARED TO THOSE OF PREVIOUS PERIODS SHOWED THAT TWO SIDES ARE SECRET

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VERY, VERY FAR APART ON A BASIC UNDERSTANDING OF WHAT IS MEANT BY STABILIZATION, AND THAT THIS GAP WOULD HAVE TO BE NARROWED BEFORE WE WOULD BE IN A POSITION TO OFFER USEFUL SUGGESTIONS ON THE DEVELOPMENT OF SUPPLE-

MENTAL STATEMENTS. HE AGAIN STRESSED NEED FOR BOTH SIDES TO EXERCISE RESTRAINT SO THAT THAT WHICH IS STABILIZED IS WORTH STABILIZING.

-- WARNKE AGAIN REPEATED MAIN POLITICAL AND SUBSTANTIVE THEMES SET OUT IN OPENING STATE-MENT TO ENSURE SOVIETS UNDERSTOOD THE CONTEXT AND RELATIONSHIP OF THESE TALKS TO OVERALL US-SOVIET RELATIONS.

9. IN A BRIEF REJOINDER MENDELEVICH EXPRESSED DESIRE TO DISCUSS FURTHER US BELIEF THAT NEGOTIATORS NEED TO BE AWARE OF "REALITIES" IN ADDITION TO STATISTICS. HE SUGGESTED THIS MIGHT INCLUDE LARGE RANGE OF POLITICAL ISSUES THAT COULD NOT BE COVERED IN AN ARMS CONTROL AGREEMENT. HE EXPRESSED HOPE THAT SPIRIT OF GOOD WILL IN NEGOTIATIONS WOULD CONTINUE AND ASSURED THE US SIDE THAT THE SOVIET DELEGATION WOULD NOT ATTEMPT TO INJECT OTHER ISSUES INTO THE TALKS. HE EXPRESSED HIS BELIEF THAT AN INDIAN OCEAN ARMS CONTROL AGREEMENT IS IN THE LONG TERM INTEREST OF BOTH SIDES.

10. THERE WAS AGREEMENT THAT ADDITIONAL MEETINGS OF EXPERTS GROUPS AND THE DRAFTING GROUP WILL TAKE PLACE WEDNESDAY AND THURSDAY.
WARNER

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## Message Attributes

Automatic Decaptioning: X Capture Date: 01 jan 1994 Channel Indicators: n/a

**Current Classification: UNCLASSIFIED** 

Concepts: ARMS CONTROL MEETINGS, AMBASSADORS, SPEECHES

Control Number: n/a Copy: SINGLE Draft Date: 14 feb 1978 Decaption Date: 01 jan 1960 Decaption Note: Disposition Action: RELEASED Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW

Disposition Comment: 25 YEAR REVI Disposition Date: 20 Mar 2014 Disposition Event: Disposition History: n/a Disposition Reason: Disposition Remarks: Document Number: 1978BERN00742 Document Source: CORE Document Unique ID: 00

Drafter: n/a Enclosure: n/a Executive Order: GS Errors: N/A

**Expiration:** 

Film Number: D780068-0112

Format: TEL From: BERN

Handling Restrictions: n/a

Image Path: ISecure: 1

Legacy Key: link1978/newtext/t19780260/aaaabykd.tel Line Count: 413

Litigation Code IDs: Litigation Codes:

Litigation History: Locator: TEXT ON-LINE, ON MICROFILM Message ID: fc0405da-c288-dd11-92da-001cc4696bcc

Office: ACTION ACDA

Original Classification: SECRET
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a

Previous Channel Indicators: n/a
Previous Classification: SECRET
Previous Handling Restrictions: n/a

Reference: n/a Retention: 0

Review Action: RELEASED, APPROVED Review Content Flags:

Review Date: 23 feb 2005 **Review Event:** Review Exemptions: n/a **Review Media Identifier:** 

Review Release Date: n/a Review Release Event: n/a **Review Transfer Date:** Review Withdrawn Fields: n/a

SAS ID: 3603397 Secure: OPEN Status: NATIVE

Subject: US-USSR INDIAN OCEAN ARMS CONTROL TALKS: PLENARY SESSION FEB 14, 1978 THIRD PLENARY SESSION WAS HELD ON

TUESDAY, FEB 14
TAGS: PARM, XO, (WARNKE, PAUL C)
To: STATE DOD

Type: TE

vdkvgwkey: odbc://SAS/SAS.dbo.SAS\_Docs/fc0405da-c288-dd11-92da-001cc4696bcc Review Markings:

Sheryl P. Walter Declassified/Released **US Department of State** EO Systematic Review

20 Mar 2014

Markings: Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014