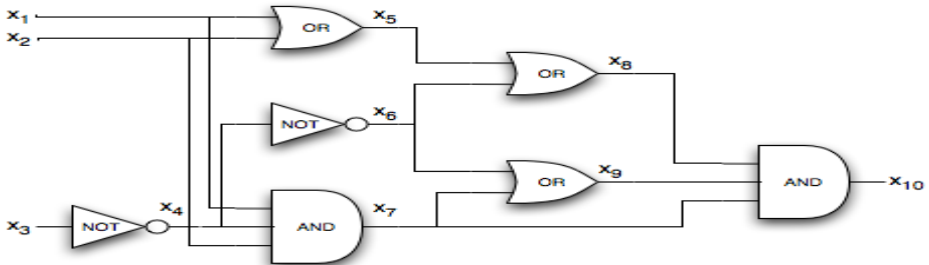


# Practical SAT Solving

## Lecture 5

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**SAT as an optimization problem:** minimize the number of unsatisfied clauses

Start with a complete random assignment  $\alpha$ :

0	0	1	0	1	1	0	0	1	1	0	1	0	1	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

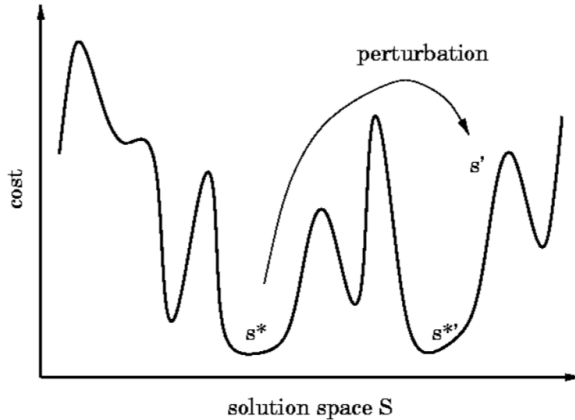
Repeatedly **flip** (randomly/heuristically chosen) variables to decrease the number of unsatisfied clauses:

0	0	1	0	1	0	0	0	1	1	0	1	0	1	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

- Local search algorithms are **incomplete**: they cannot show unsatisfiability!
- Many variants of local search algorithms
- Main question: Which variable should be flipped next?
  - select variable from an unsatisfied clause
  - select variable that increases the number of satisfied clauses most
- How to avoid local minima?

**Maybe[Assignment] GSAT(ClauseSet  $S$ )**

```
{  
  for  $i = 1$  to MAX_TRIES do {  
     $\alpha$  = random-assignment to variables in  $S$   
    for  $j = 1$  to MAX_FLIPS do {  
      if (  $\alpha$  satisfies all clauses in  $S$  ) return  $\alpha$   
       $x$  = variable that produces least number of  
        unsatisfied clauses when flipped  
      flip  $x$   
    }  
  }  
  return Nothing    // no solution found  
}
```



[Source: Alan Mackworth, UBC, Canada]

- Variant of GSAT
- Try to avoid local minima by introducing “random noise”
  - Select unsatisfied clause  $C$  at random
  - If by flipping a variable  $x \in C$  no new unsatisfied clauses emerge, flip  $x$
  - Otherwise:
    - With probability  $p$  select a variable  $x \in C$  at random
    - With probability  $1 - p$  select a variable that changes as few as possible clauses from satisfied to unsatisfied when flipped

- Consider a flip taking  $\alpha$  to  $\alpha'$
- **breakcount**: number of clauses satisfied in  $\alpha$ , but not in  $\alpha'$
- **makecount**: number of clauses unsatisfied in  $\alpha$ , but satisfied in  $\alpha'$
- **diffscore**: number of unsatisfied clauses in  $\alpha$  minus number of clauses unsatisfied in  $\alpha'$
- Typically, **breakcount**, **makecount** and **diffscore** are updated after each flip
- Question: How can we do this efficiently?

- GSAT: select variable with highest **diffscore**
- Walksat:
  - First randomly select unsatisfied clause  $C$
  - If there is a variable with **breakcount** 0 in  $C$ , select it
  - otherwise with probability  $p$  select a random variable from  $C$ , and with probability  $1 - p$  a variable with minimal **breakcount** from  $C$



# Runtime Comparison Walksat vs. GSAT

formula			DP time	GSAT+w time	WSAT time
id	vars	clauses			
2bitadd_12	708	1702	*	0.081	0.013
2bitadd_11	649	1562	*	0.058	0.014
3bitadd_32	8704	32316	*	94.1	1.0
3bitadd_31	8432	31310	*	456.6	0.7
2bitcomp_12	300	730	23096	0.009	0.002
2bitcomp_5	125	310	1.4	0.009	0.001

Table 4: Comparing an efficient complete method (DP) with local search strategies on circuit synthesis problems. (Timings in seconds.)

formula			DP time	GSAT+w time	WSAT time
id	vars	clauses			
ssa7552-038	1501	3575	7	129	2.3
ssa7552-158	1363	3034	*	90	2
ssa7552-159	1363	3032	*	14	0.8
ssa7552-160	1391	3126	*	18	1.5

Table 5: Comparing DP with local search strategies on circuit diagnosis problems by Larrabee (1989). (Timings in seconds.)

[Source: Selman, Kautz, Cohen Local Search Strategies for Satisfiability Testing, 1993]

## Saturation Algorithm

- INPUT: CNF formula  $F$
- OUTPUT:  $\{SAT, UNSAT\}$

**while** (true) **do**

$R = \text{resolveAll}(F)$

**if**  $(R \cap F \neq R)$  **then**  $F = F \cup R$

**else break**

**if**  $(\perp \in F)$  **then return** *UNSAT* **else return** *SAT*

Properties of the saturation algorithm:

- it is sound and complete – always terminates and answers correctly
- has exponential time and space complexity

# Can we do better?

- Question: Can we do better than saturation-based resolution?
  - Avoid exponential space complexity
  - Improve average-case complexity (for important problem classes)

- Presented in 1960 as a procedure for first-order (predicate) logic
- Procedure to check satisfiability of a formula  $F$  in CNF
- Three (deduction) rules:
  - 1 **Unit propagation:** if there is a unit clause  $C = \{l\}$  in  $F$ , simplify all other clauses containing  $l$
  - 2 **Pure literal elimination:** If a literal  $l$  never occurs negated in  $F$ , add the clause  $\{l\}$  to  $F$
  - 3 **Case splitting:** Assume that  $F$  is put in the form  $(A \vee l) \wedge (B \vee \bar{l}) \wedge R$ , where  $A$ ,  $B$ , and  $R$  are free of  $l$ . Replace  $F$  by the clausification of  $(A \vee B) \wedge R$
- Apply deduction rules (giving priority to rules 1 and 2) until no further rule is applicable

# From Davis' and Putnam's Paper

The superiority of the present procedure over those previously available is indicated in part by the fact that a formula on which Gilmore's routine for the IBM 704 causes the machine to compute for 21 minutes without obtaining a result was worked successfully by hand computation using the present method in 30 minutes.

- **DPLL**: Davis-Putnam-Logemann-Loveland [4]
- Algorithmic improvements over DP algorithm
- **Basic idea**: case splitting and simplification
- **Simplification**: unit propagation and pure literal deletion
- **Unit propagation**: **1-clauses (unit clauses) fix variable values**: if  $\{x\} \in S$ , in order to satisfy  $S$ , variable  $x$  must be set to 1.
- **Pure literal deletion**: If variable  $x$  occurs only positively (or only negatively) in  $S$ , it may be fixed, i.e. set to 1 (or 0).

- Let  $F_0 = \{\{x, y\}, \{\neg x, y, \neg z\}, \{\neg x, z, u\}, \{x, \neg u\}\}$ .
- All clauses containing  $y$  may be deleted, as  $y$  occurs only positively in  $F$ . This yields:

$$F_1 = \{\{\neg x, z, u\}, \{x, \neg u\}\}$$

- Each solution  $\alpha_1$  of  $F_1$  can be extended to a solution  $\alpha_0$  of  $F_0$  by setting  $\alpha_0(y) = 1$ .
- Moreover, if  $F_1$  does not possess a solution, then so does  $F_0$ .
- Repeating yields  $F_2 = \{\{x, \neg u\}\}$  and  $F_3 = \emptyset$ , thus  $F_0$  is satisfiable.

```
boolean DPLL(ClauseSet S)
{
  while ( S contains a unit clause {L} ) {
    delete from S clauses containing L; // unit-subsumption
    delete  $\neg L$  from all clauses in S; // unit-resolution
  }
  if (  $\perp \in S$  ) return false;           // empty clause?
  while ( S contains a pure literal L )
    delete from S all clauses containing L;
  if (  $S = \emptyset$  ) return true;         // no clauses?
  choose a literal L occurring in S;      // case-splitting
  if ( DPLL( $S \cup \{L\}$ ) ) return true;   // first branch
  else if ( DPLL( $S \cup \{\neg L\}$ ) ) return true; // second branch
  else return false;
}
```



- How can we implement unit propagation efficiently?
- Which literal  $L$  to use for case splitting?
- How can we efficiently implement the case splitting step?

# “Modern” DPLL Algorithm with “Trail”

```
boolean mDPLL(ClauseSet  $S$ , PartialAssignment  $\alpha$ )
{
    while ( ( $S, \alpha$ ) contains a unit clause  $\{L\}$  ) {
        add  $\{L = 1\}$  to  $\alpha$ 
    }
    if ( a literal is assigned both 0 and 1 in  $\alpha$  ) return false;
    if ( all literals assigned ) return true;
    choose a literal  $L$  not assigned in  $\alpha$  occurring in  $S$ ;
    if ( mDPLL( $S$ ,  $\alpha \cup \{L = 1\}$  ) return true;
    else if ( mDPLL( $S$ ,  $\alpha \cup \{L = 0\}$  ) return true;
    else return false;
}
```

$(S, \alpha)$ : clause set  $S$  as “seen” under partial assignment  $\alpha$

- How can we implement unit propagation efficiently?
- (How can we implement pure literal elimination efficiently?)
- Which literal  $L$  to use for case splitting?
- How can we efficiently implement the case splitting step?

# Properties of a good decision heuristic

- Fast to compute
- Yields efficient sub-problems
  - More short clauses?
  - Less variables?
  - Partitioned problem?

- Best heuristic in 1992 for random SAT (in the SAT competition)
- Select the variable  $x$  with the maximal vector  $(H_1(x), H_2(x), \dots)$

$$H_i(x) = \alpha \max(h_i(x), h_i(\bar{x})) + \beta \min(h_i(x), h_i(\bar{x}))$$

- where  $h_i(x)$  is the number of not yet satisfied clauses with  $i$  literals that contain the literal  $x$ .
- $\alpha$  and  $\beta$  are chosen heuristically ( $\alpha = 1$  and  $\beta = 2$ ).
- Goal: satisfy or reduce size of many preferably short clauses

- Maximum Occurrences in clauses of Minimum Size
- Popular in the mid 90s
- Choose the variable  $x$  with a maximum  $S(x)$ .

$$S(x) = (f^*(x) + f^*(\bar{x})) \times 2^k + (f^*(x) \times f^*(\bar{x}))$$

- where  $f^*(x)$  is the number of occurrences of  $x$  in the smallest not yet satisfied clauses,  $k$  is a parameter
- Goal: assign variables with high occurrence in short clauses

- Considers all the clauses, shorter clauses are more important
- Choose the literal  $x$  with a maximum  $J(x)$ .

$$J(x) = \sum_{x \in c, c \in F} 2^{-|c|}$$

- Two-sided variant: choose variable  $x$  with maximum  $J(x) + J(\bar{x})$
- Goal: assign variables with high occurrence in short clauses
- Much better experimental results than Bohm and MOMS
- One-sided version works better



- (Randomized) Dynamic Largest (Combined | Individual) Sum
- Dynamic = Takes the current partial assignment in account
- Let  $C_P$  ( $C_N$ ) be the number of positive (negative) occurrences
- DLCS selects the variable with maximal  $C_P + C_N$
- DLIS selects the variable with maximal  $\max(C_P, C_N)$
- RDLCS and RDLIS does a random selection among the best
  - Decrease greediness by randomization
- Used in the famous SAT solver GRASP in 2000

- Last Encountered Free Variable
- During unit propagation save the last unassigned variable you see, if the variable is still unassigned at decision time use it otherwise choose a random
- Very fast computation: constant memory and time overhead
  - Requires 1 int variable (to store the last seen unassigned variable)
- Maintains search locality
- Works well for pigeon hole and similar formulas

## The Task

Given a partial truth assignment  $\phi$  and a set of clauses  $F$  identify all the unit clauses, extend the partial truth assignment, repeat until fix-point.

## Simple Solution

- Check all the clauses
- Too slow
- Unit propagation cannot be efficiently parallelized (is P-complete)

## The Task

Given a partial truth assignment  $\phi$  and a set of clauses  $F$  identify all the unit clauses, extend the partial truth assignment, repeat until fix-point.

### Simple Solution

- Check all the clauses
- Too slow
- Unit propagation cannot be efficiently parallelized (is P-complete)

In the context of DPLL the task is actually a bit different

- The partial truth assignment is created incrementally by adding (decision) and removing (backtracking) variable value pairs
- Using this information we will avoid looking at all the clauses

## The Real Task

We need a data structure for storing the clauses and a partial assignment  $\phi$  that can efficiently support the following operations

- detect new unit clauses when  $\phi$  is extended by  $x_i = v$
- update itself by adding  $x_i = v$  to  $\phi$
- update itself by removing  $x_i = v$  from  $\phi$
- support restarts, i.e., un-assign all variables at once

## Observation

- We only need to check clauses containing  $x_i$

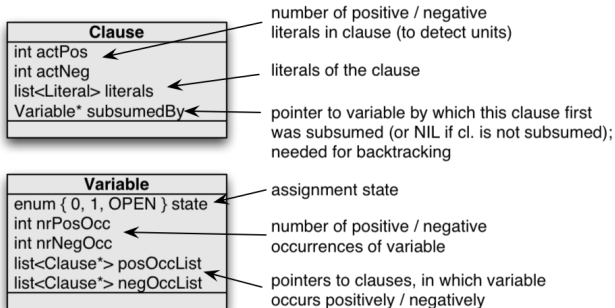
## The Data Structure

- For each clause remember the number unassigned literals in it
- For each literal remember all the clauses that contain it

## Operations

- If  $x_i = T$  is the new assignment look at all the clauses in the occurrence of  $\overline{x_i}$ . We found a unit if the clause is not SAT and counter=2
- When  $x_i = v$  is added or removed from  $\phi$  update the counters

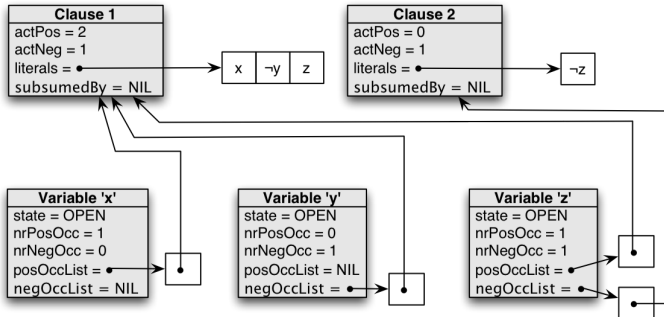
# “Traditional” Approach



Crawford, Auton (1993)

# Traditional Approach: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

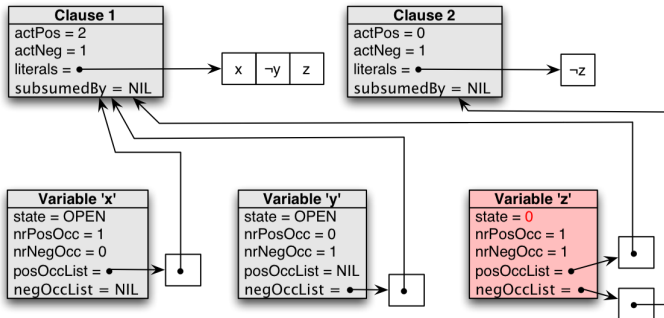




# Traditional Approach: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

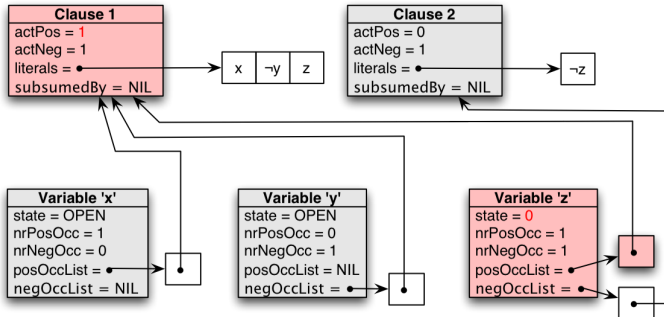
unit propagation: set  $z = 0$



# Traditional Approach: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

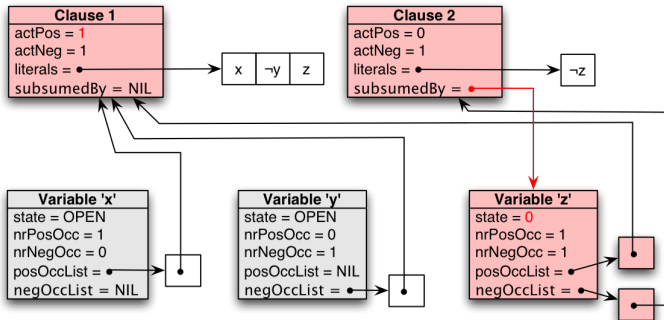
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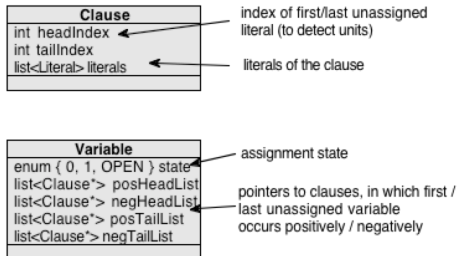


# Traditional Approach: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

unit propagation: set  $z = 0$

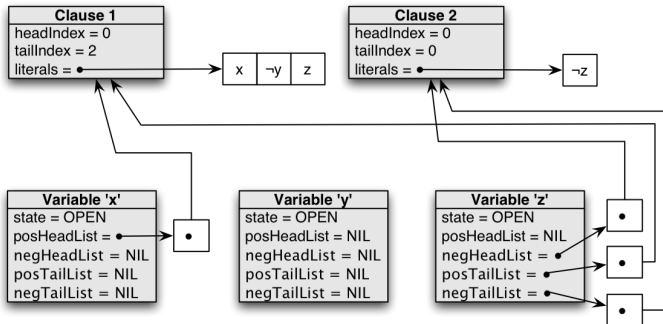




Zhang, Stickel (1996)

# Head/Tail Lists: Example

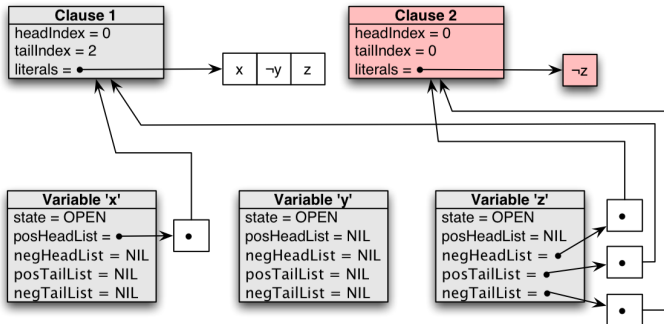
$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$



# Head/Tail Lists: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

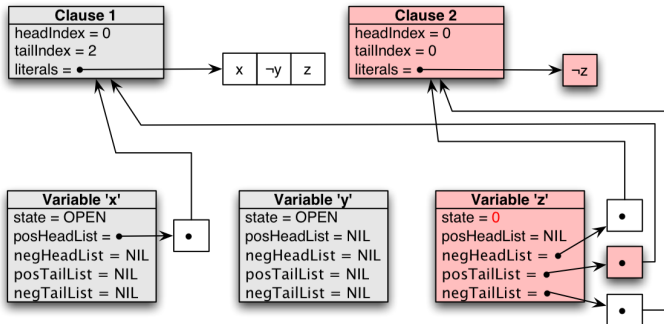
detected unit clause:  $\{\neg z\}$



# Head/Tail Lists: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

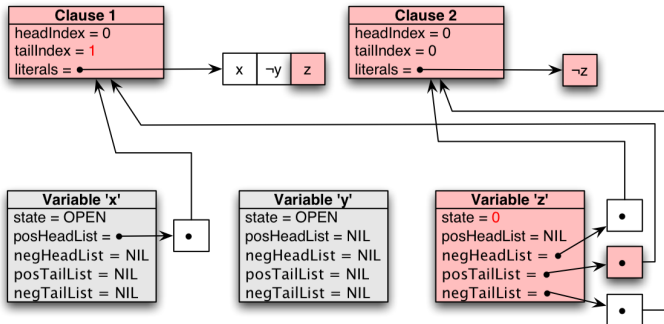
unit propagation: set  $z = 0$



# Head/Tail Lists: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

unit propagation: set  $z = 0$

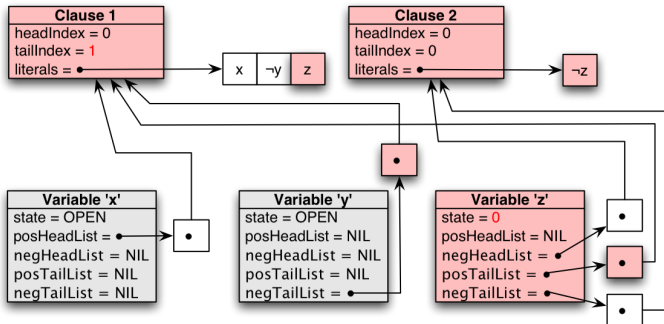




# Head/Tail Lists: Example

$$F = \{\{x, \neg y, z\}, \{\neg z\}\}$$

unit propagation: set  $z = 0$



## The Data Structure

- In each non-satisfied clause "watch" two non-false literals
- For each literal remember all the clauses where it is watched

Maintain the invariant: two watched non-false literals in non-sat clauses

- If a literal becomes false find another one to watch
- If that is not possible the clause is unit

Advantages

### The Data Structure

- In each non-satisfied clause "watch" two non-false literals
- For each literal remember all the clauses where it is watched

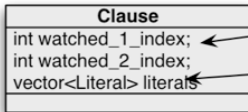
Maintain the invariant: two watched non-false literals in non-sat clauses

- If a literal becomes false find another one to watch
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### Advantages

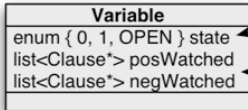
- visit fewer clauses: when  $x_i = T$  is added only visit clauses where  $\bar{x}_i$  is watched
- no need to do anything at backtracking and restarts
  - watched literals cannot become false

## 2 Watched Literals: Data Structures



watched literals  
(indices in literal vector)

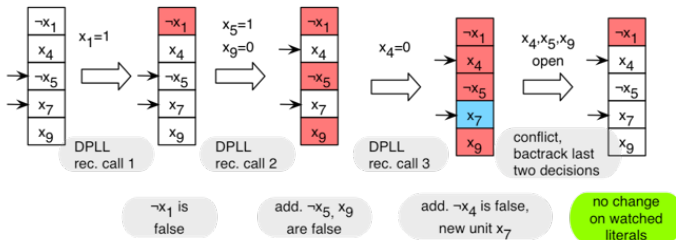
literals of the clause

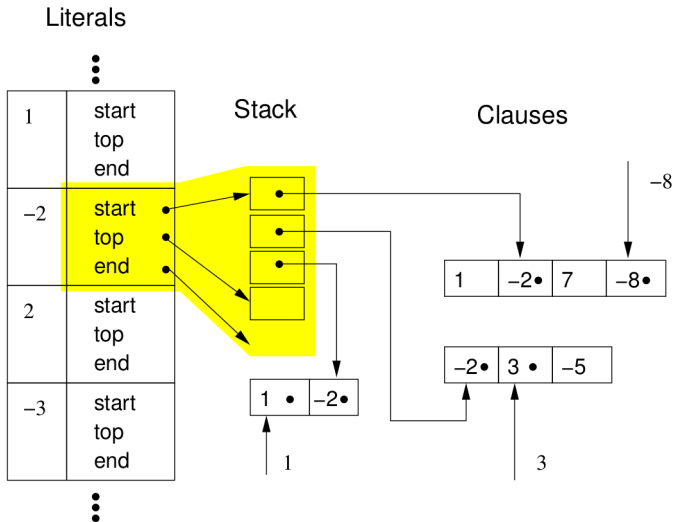


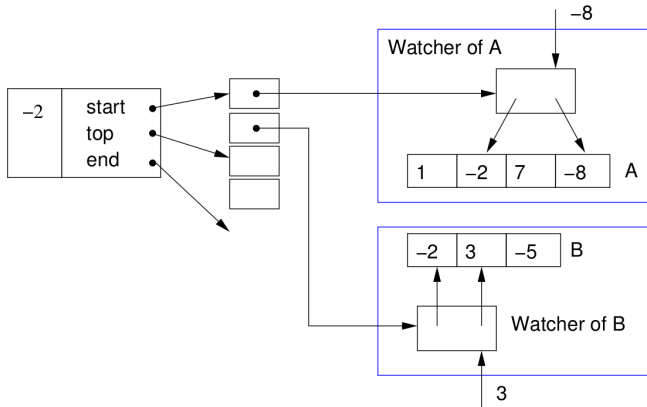
assignment state

pointers to clauses, in which variable  
is watched (positively / negatively)

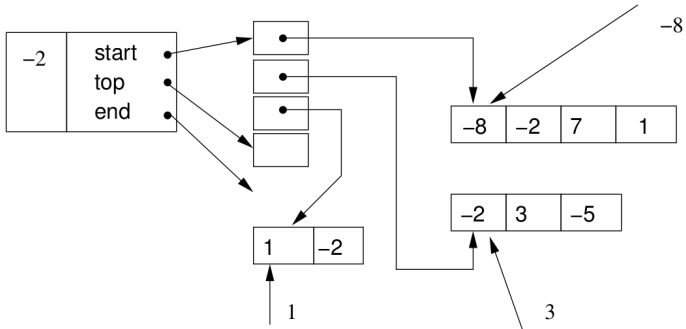
# 2 Watched Literals: Example





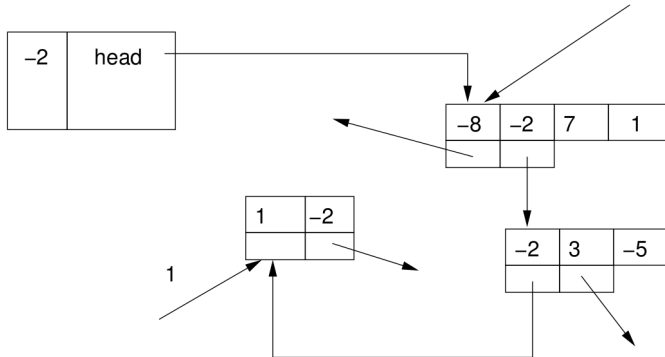


- Good for parallel SAT solvers with shared clause database

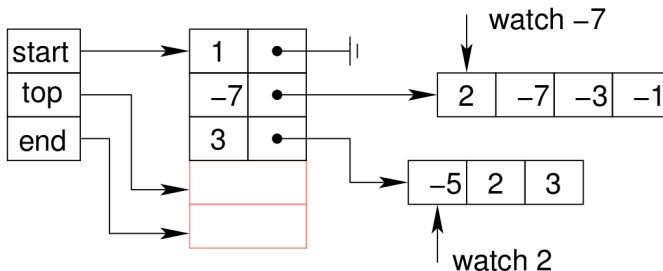


invariant: first two literals are watched





invariant: first two literals are watched



- often the other watched literal satisfies the clause
- for binary clauses no need to store the clause

# MiniSAT propagate()-Function

```
CRef Solver::propagate()
{
    CRef confl = CRef_Undef;
    int num_props = 0;

    while (qhead < trail.size()){
        Lit p = trail[qhead++]; // propagate 'p'.
        vec<Watcher>& ws = watches.lookup(p);
        Watcher *i, *j, *end;
        num_props++;

        for (i = j = (Watcher*)ws, end = i + ws.size();
             i != end;){
            // Try to avoid inspecting the clause:
            Lit blocker = i->blocker;
            if (value(blocker) == l_True){
                *j++ = *i++; continue; }

            // Make sure the false literal is data[1]:
            CRef cr = i->cref;
            Clause& c = ca[cr];
            Lit false_lit = ~p;
            if (c[0] == false_lit)
                c[0] = c[1], c[1] = false_lit;
            assert(c[1] == false_lit);
            i++;




            // If 0th watch is true, clause is satisfied.
            Lit first = c[0];
            Watcher w = Watcher(cr, first);
            if (first != blocker && value(first) == l_True){
                *j++ = w; continue; }


            // Look for new watch:
            for (int k = 2; k < c.size(); k++){
                if (value(c[k]) != l_False){
                    c[1] = c[k]; c[k] = false_lit;
                    watches[~c[1]].push(w);
                    goto NextClause; }

            // Did not find watch -- clause is unit
            *j++ = w;
            if (value(first) == l_False){
                confl = cr;
                qhead = trail.size();
                // Copy the remaining watches:
                while (i < end)
                    *j++ = *i++;
            }else
                uncheckedEnqueue(first, cr);

            NextClause++;
        }
        ws.shrink(i - j);
    }
    propagations += num_props;
    simpDB_props -= num_props;

    return confl;
}
```

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