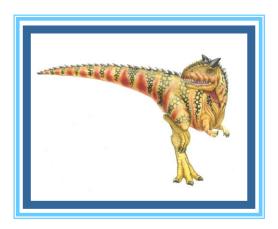
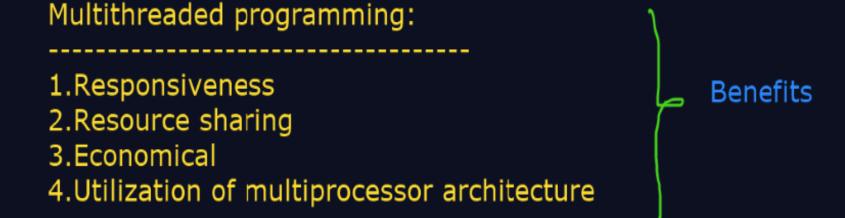
# **Threads**







- -Advantage ----> challenges
  - -Dividing activities
  - -Balancing
  - -Data splitting
  - -Data dependency
  - -Testing and debugging
- 1. Parallelism
- Concurrency

#### Concurrent execution or single -core system

Single T1 T2 T3 T4 core Parallelism or muti-core system T1 T4 Core 1 T2 T3 Core 2 time--->



#### **Benefits**

- Responsiveness may allow continued execution if part of process is blocked, especially important for user interfaces
- Resource Sharing threads share resources of process, easier than shared memory or message passing
- Economy cheaper than process creation, thread switching lower overhead than context switching
- Scalability process can take advantage of multiprocessor architectures





# **Multicore Programming**

- Multicore or multiprocessor systems putting pressure on programmers, challenges include:
  - Dividing activities
  - Balance
  - Data splitting
  - Data dependency
  - Testing and debugging
- Parallelism implies a system can perform more than one task simultaneously
- Concurrency supports more than one task making progress
  - Single processor / core, scheduler providing concurrency



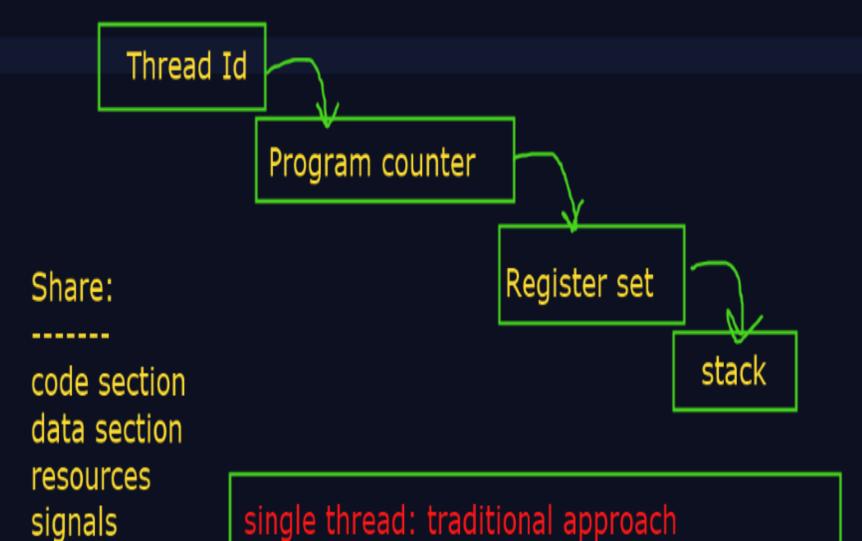


# **Multicore Programming (Cont.)**

- Types of parallelism
  - Data parallelism distributes subsets of the same data across multiple cores, same operation on each
  - Task parallelism distributing threads across cores, each thread performing unique operation
- As # of threads grows, so does architectural support for threading
  - CPUs have cores as well as hardware threads
  - Consider Oracle SPARC T4 with 8 cores, and 8 hardware threads per core



Thread: It is a basic unit of CPU utilization.

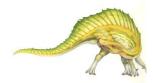


single thread: traditional approach multiple thread: more than one task at a time



#### What is Thread?

- Thread is an **execution unit** that consists of its own program counter, a stack, and a set of registers where the program **counter** mainly keeps track of which instruction to execute next, a set of registers mainly hold its current working variables, and a stack mainly contains the history of execution.
- Threads are also known as Lightweight processes.
- Threads are a popular way to improve the performance of an application through parallelism.
- Threads are mainly used to represent a software approach in order to improve the performance of an operating system just by reducing the overhead thread that is mainly equivalent to a classical process.





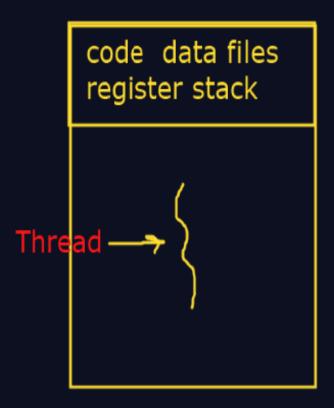
#### **Types of Thread**

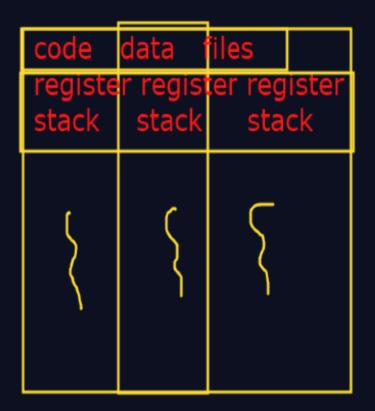
- There are two types of threads:
  - User Threads
  - Kernel Threads
- User threads are above the kernel and without kernel support. These are the threads that application programmers use in their programs.
- Kernel threads are supported within the kernel of the OS itself. All modern OSs support kernel-level threads, allowing the kernel to perform multiple simultaneous tasks and/or to service multiple kernel system calls simultaneously.





Thread: A thread is a basic unit of CPU utilization.





Single threaded process

Multithreaded process



#### **Amdahl's Law**

- Identifies performance gains from adding additional cores to an application that has both serial and parallel components
- S is serial portion
- N processing cores

$$speedup \leq \frac{1}{S + \frac{(1-S)}{N}}$$

- That is, if application is 75% parallel / 25% serial, moving from 1 to 2 cores results in speedup of 1.6 times
- As N approaches infinity, speedup approaches 1 / S

Serial portion of an application has disproportionate effect on performance gained by adding additional cores

But does the law take into account contemporary multicore systems?



# **Multithreading Models**

- Many-to-One
- One-to-One
- Many-to-Many



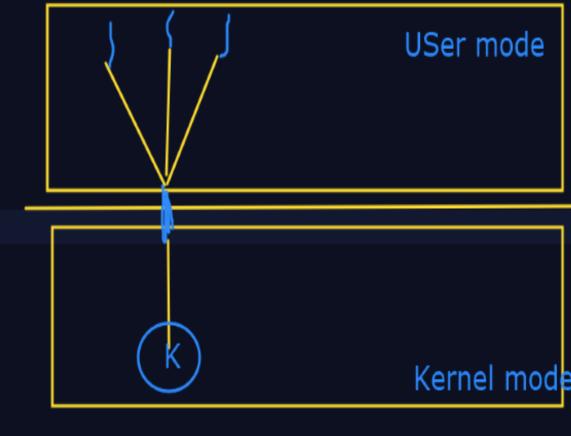
- 2. Kernel thread
  - -Windows
  - -Linux
  - -Mac os
  - -Solaris

Amdahl's Law:

Thread Mapping:

Many to one

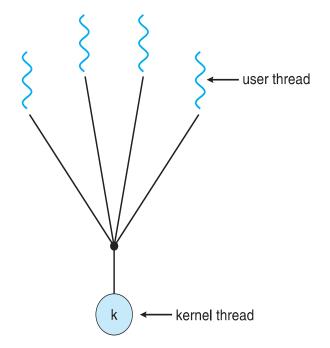
One to One One to Many





### Many-to-One

- Many user-level threads mapped to single kernel thread
- One thread blocking causes all to block
- Multiple threads may not run in parallel on muticore system because only one may be in kernel at a time
- Few systems currently use this model
- Examples:
  - Solaris Green Threads
  - GNU Portable Threads



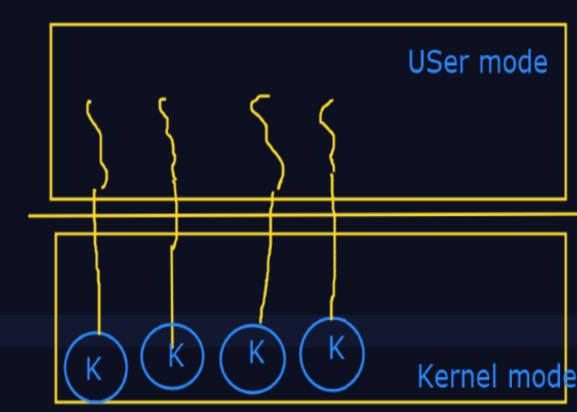


- 2. Kernel thread
  - -Windows
  - -Linux
  - -Mac os
  - -Solaris

Amdahl's Law:

Thread Mapping:

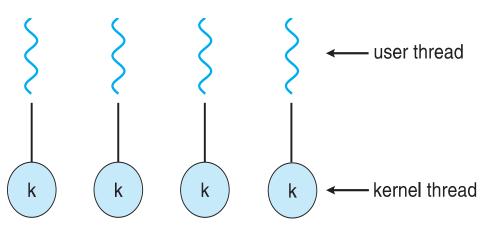
Many to one One to One 🗸 One to Many





#### **One-to-One**

- Each user-level thread maps to kernel thread
- Creating a user-level thread creates a kernel thread
- More concurrency than many-to-one
- Number of threads per process sometimes restricted due to overhead
- Examples
  - Windows
  - Linux
  - Solaris 9 and later





#### Types of thread:

\_\_\_\_\_

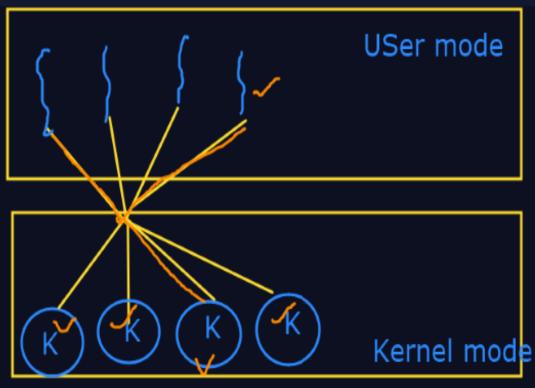
- User thread
  - -Java threads
  - -POSIX threads pThread :API
  - -Windows threads
- Kernel thread
  - -Windows
  - -Linux
  - -Mac os
  - -Solaris

Amdahl's Law.

Thread Mapping:

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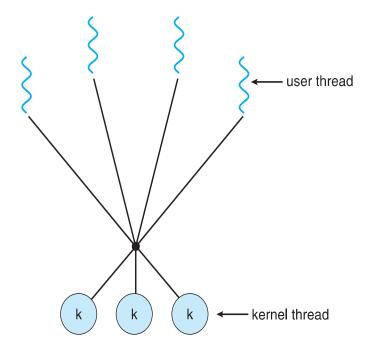
Many to one One to One Many to Many





# **Many-to-Many Model**

- Allows many user level threads to be mapped to many kernel threads
- Allows the operating system to create a sufficient number of kernel threads
- Solaris prior to version 9
- Windows with the ThreadFiber package

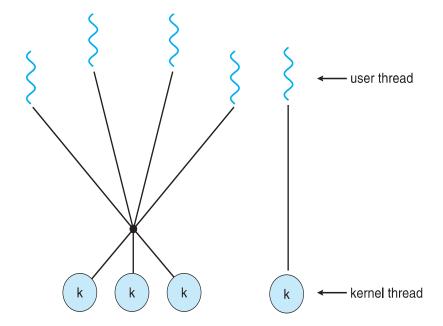






#### **Two-level Model**

- Similar to M:M, except that it allows a user thread to be
   bound to kernel thread
- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier

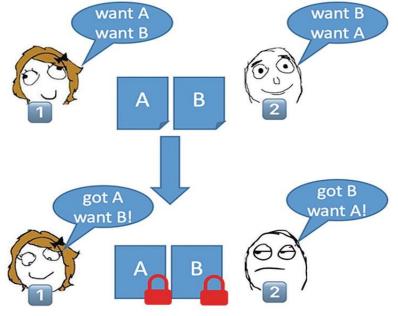




# **Chapter 7: Deadlocks**

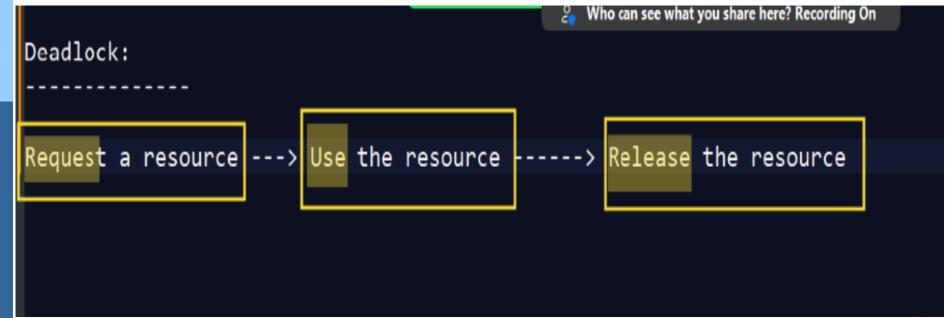






(a) Deadlock in real life

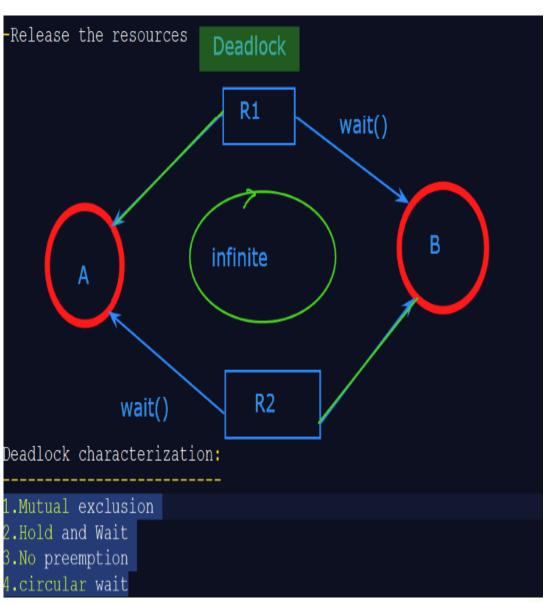
(b) Deadlock in virtual life

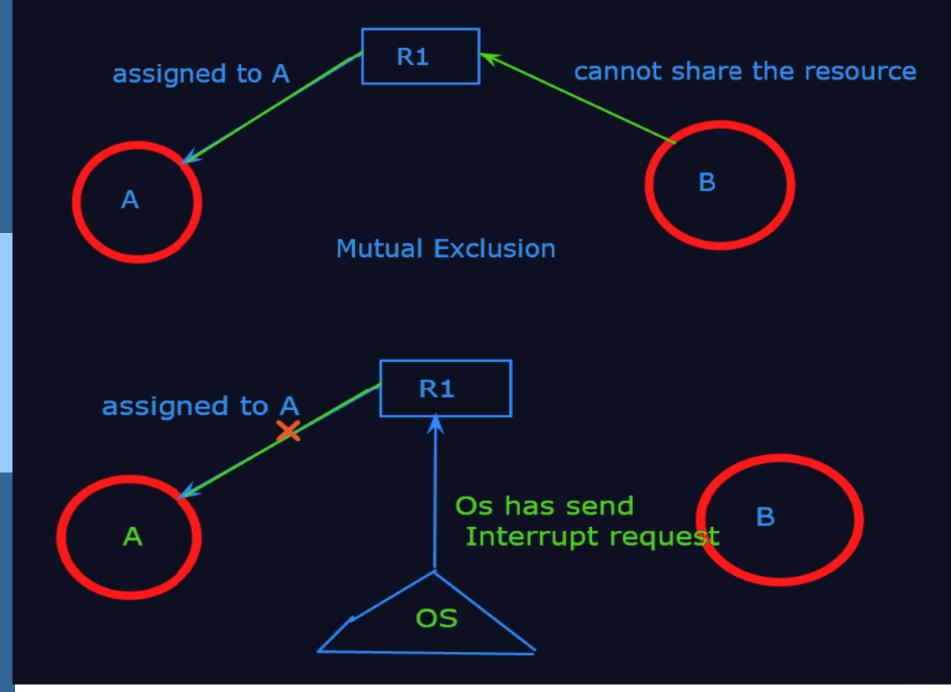




# **System Model**

- System consists of resources
- Resource types R<sub>1</sub>, R<sub>2</sub>, . . . , R<sub>m</sub>
  CPU cycles, memory space, I/O devices
- Each resource type R<sub>i</sub> has W<sub>i</sub> instances.
- Each process utilizes a resource as follows:
  - request
  - use
  - release







#### **Deadlock Characterization**

Deadlock can arise if four conditions hold simultaneously.

- Mutual exclusion: only one process at a time can use a resource
- Hold and wait: a process holding at least one resource is waiting to acquire additional resources held by other processes
- No preemption: a resource can be released only voluntarily by the process holding it, after that process has completed its task
- Circular wait: there exists a set  $\{P_0, P_1, ..., P_n\}$  of waiting processes such that  $P_0$  is waiting for a resource that is held by  $P_1, P_1$  is waiting for a resource that is held by  $P_2, ..., P_{n-1}$  is waiting for a resource that is held by  $P_n$ , and  $P_n$  is waiting for a resource that is held by  $P_0$ .



# Resource-Allocation Graph

A set of vertices V and a set of edges E.



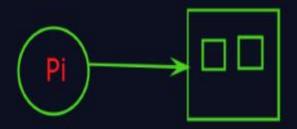
- V is partitioned into two types:
  - $P = \{P_1, P_2, ..., P_n\}$ , the set consisting of all the processes in the system
  - $R = \{R_1, R_2, ..., R_m\}$ , the set consisting of all resource types in the system
- **request edge** directed edge  $P_i \rightarrow R_i$
- **assignment edge** directed edge  $R_i \rightarrow P_i$

#### Resource allocation graph:

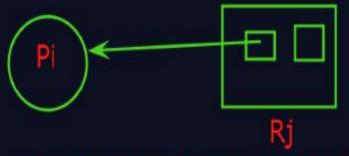
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Resource type with 6 instance



Pi request instance of Rj



Pi is holding an instance of Rj



# Resource-Allocation Graph (Cont.)

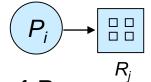
Process



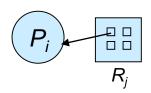
Resource Type with 4 instances



 $\blacksquare$   $P_i$  requests instance of  $R_i$ 



 $\blacksquare$   $P_i$  is holding an instance of  $R_j$ 







# Resource-Allocation Graph (Cont.)

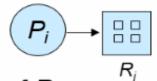
Process



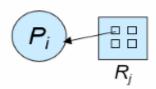
Resource Type with 4 instances

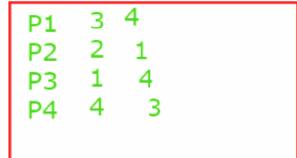


P<sub>i</sub> requests instance of R<sub>j</sub>



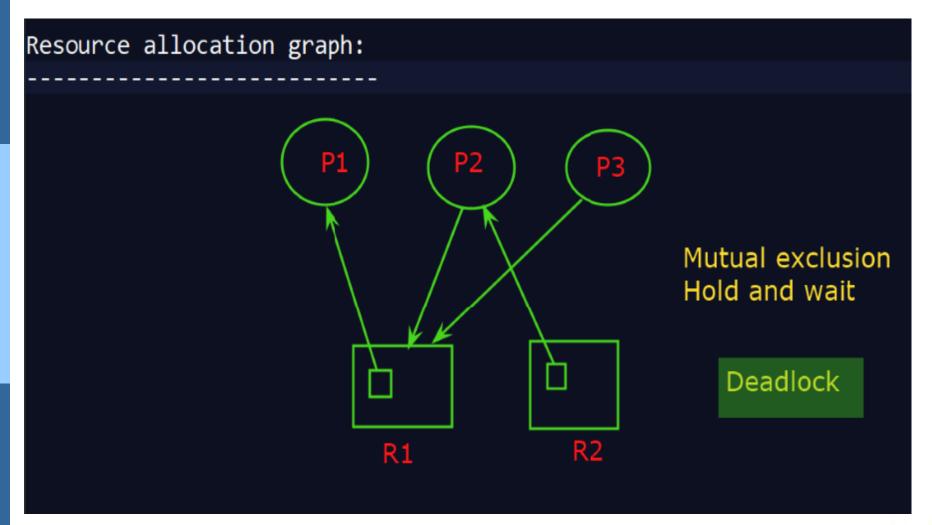
 $\blacksquare$   $P_i$  is holding an instance of  $R_i$ 







### **Example of a Resource Allocation Graph**





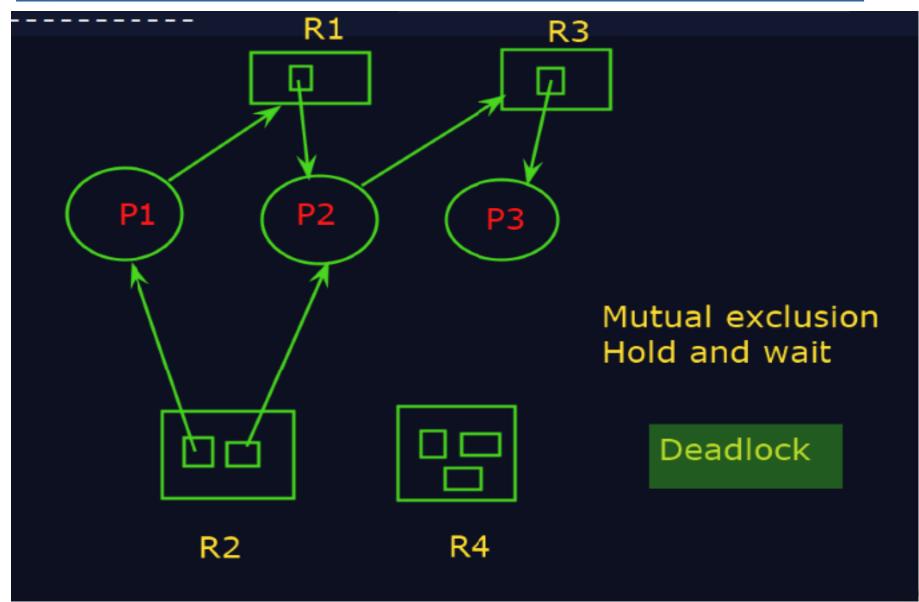


### **Basic Facts**

- If graph contains no cycles ⇒ no deadlock
- If graph contains a cycle ⇒
  - if only one instance per resource type, then deadlock
  - if several instances per resource type, possibility of deadlock







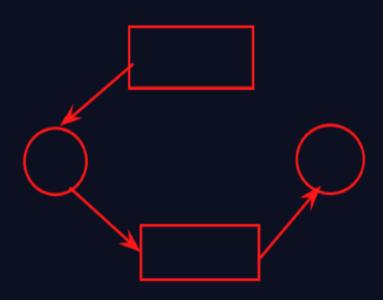
#### Deadlock Handling

-----

- 1. Pre-emption
- 2. Roll back
- 3. Kill the process

Graph allocation method:

-----



Bankers Algorithm: Deadlock avaoidance

-----

- no of process to be excute, resource available
- 2. duration for resources
- available resources

Deadlock prevention strategies:

\_\_\_\_\_

- -Mutual exclusion
- -hold & wait



# **Methods for Handling Deadlocks**

- Ensure that the system will never enter a deadlock state:
  - Deadlock prevention
  - Deadlock avoidence
- Allow the system to enter a deadlock state and then recover
- Ignore the problem and pretend that deadlocks never occur in the system; used by most operating systems, including UNIX





#### **Deadlock Prevention**

#### Restrain the ways request can be made

- Mutual Exclusion not required for sharable resources (e.g., read-only files); must hold for non-sharable resources
- Hold and Wait must guarantee that whenever a process requests a resource, it does not hold any other resources
  - Require process to request and be allocated all its resources before it begins execution, or allow process to request resources only when the process has none allocated to it.
  - Low resource utilization; starvation possible





# **Deadlock Prevention (Cont.)**

#### ■ No Preemption –

- If a process that is holding some resources requests another resource that cannot be immediately allocated to it, then all resources currently being held are released
- Preempted resources are added to the list of resources for which the process is waiting
- Process will be restarted only when it can regain its old resources, as well as the new ones that it is requesting
- Circular Wait impose a total ordering of all resource types, and require that each process requests resources in an increasing order of enumeration



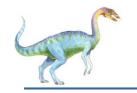


#### **Deadlock Avoidance**

Requires that the system has some additional *a priori* information available

- Simplest and most useful model requires that each process declare the *maximum number* of resources of each type that it may need
- The deadlock-avoidance algorithm dynamically examines the resource-allocation state to ensure that there can never be a circular-wait condition
- Resource-allocation state is defined by the number of available and allocated resources, and the maximum demands of the processes





#### **Safe State**

- When a process requests an available resource, system must decide if immediate allocation leaves the system in a safe state
- System is in safe state if there exists a sequence  $\langle P_1, P_2, ..., P_n \rangle$  of ALL the processes in the systems such that for each  $P_i$ , the resources that  $P_i$  can still request can be satisfied by currently available resources + resources held by all the  $P_i$ , with j < I
- That is:
  - If P<sub>i</sub> resource needs are not immediately available, then P<sub>i</sub> can wait until all P<sub>i</sub> have finished
  - When  $P_j$  is finished,  $P_i$  can obtain needed resources, execute, return allocated resources, and terminate
  - When  $P_i$  terminates,  $P_{i+1}$  can obtain its needed resources, and so on





#### **Basic Facts**

- If a system is in safe state ⇒ no deadlocks
- If a system is in unsafe state ⇒ possibility of deadlock
- Avoidance ⇒ ensure that a system will never enter an unsafe state.





# **Avoidance Algorithms**

- Single instance of a resource type
  - Use a resource-allocation graph
- Multiple instances of a resource type
  - Use the banker's algorithm





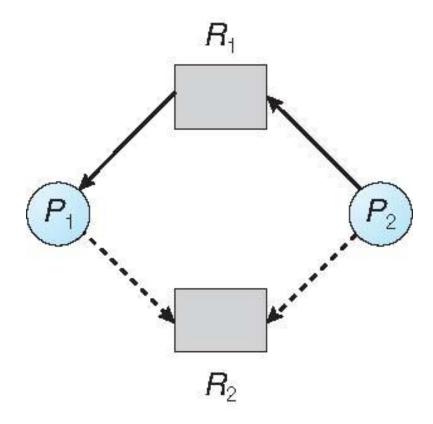
# **Resource-Allocation Graph Scheme**

- Claim edge  $P_i \rightarrow R_j$  indicated that process  $P_j$  may request resource  $R_i$ ; represented by a dashed line
- Claim edge converts to request edge when a process requests a resource
- Request edge converted to an assignment edge when the resource is allocated to the process
- When a resource is released by a process, assignment edge reconverts to a claim edge
- Resources must be claimed a priori in the system





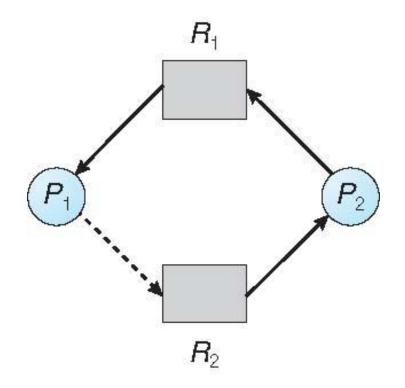
# **Resource-Allocation Graph**







### **Unsafe State In Resource-Allocation Graph**







#### **Resource-Allocation Graph Algorithm**

- Suppose that process P<sub>i</sub> requests a resource R<sub>i</sub>
- The request can be granted only if converting the request edge to an assignment edge does not result in the formation of a cycle in the resource allocation graph





# **Banker's Algorithm**

- Multiple instances
- Each process must a priori claim maximum use
- When a process requests a resource it may have to wait
- When a process gets all its resources it must return them in a finite amount of time





### Data Structures for the Banker's Algorithm

Let n = number of processes, and m = number of resources types.

- **Available**: Vector of length m. If available [j] = k, there are k instances of resource type  $R_i$  available
- **Max**:  $n \times m$  matrix. If Max[i,j] = k, then process  $P_i$  may request at most k instances of resource type  $R_j$
- Allocation:  $n \times m$  matrix. If Allocation[i,j] = k then  $P_i$  is currently allocated k instances of  $R_i$
- **Need**:  $n \times m$  matrix. If Need[i,j] = k, then  $P_i$  may need k more instances of  $R_i$  to complete its task

$$Need[i,j] = Max[i,j] - Allocation[i,j]$$

