## University of Cambridge Special Regulations:



## **Doctor of Philosophy**

- **1.**A candidate wishing to proceed to the Ph.D. Degree under these regulations shall be required to give proof of a significant contribution to scholarship.
- **2.**Except as provided in Regulations 3 and 4 below any person may be a candidate for the degree of Doctor of Philosophy who is a graduate of the University and who
  - 1. *either(a)* is of not less than six years' standing from admission to his or her first degree of the University,
  - 2. or(b)is of not less than six years' standing from admission to his or her first degree of some other university and has been admitted (i) to some office in the University or to a Headship or a Fellowship of a College, and (ii) to the degree of Master of Arts under Statute B, III, 6 or to a degree of the University by incorporation.
- **3.**A person shall not be eligible to proceed to the Ph.D. Degree under these regulations if he or she has been approved for the Ph.D. Degree under the regulations for Research Students, 33 or under the regulations for the Ph.D., M.Sc., and M.Litt. Degrees.
- **4.**A graduate of the University who has been examined for the Ph.D. Degree under the regulations for Research Students, <u>33</u> or under the regulations for the Ph.D., M.Sc., and M.Litt. Degrees, but has not been approved for the Ph.D. Degree, may become a candidate under these regulations after a period of not less than five years from the date of submitting a dissertation or a revised dissertation, as the case may be, for the Ph.D. Degree, provided that he or she is of standing in accordance with Regulation 2 above.
- **5.**A candidate for the Ph.D. Degree under these regulations shall apply in writing to the Secretary of the Board of Graduate Studies, specifying the published work or works on which his or her claim to the degree is based, and naming the Faculty or other approved institution within whose scope these works fall. A candidate shall send with the application a fee of £462 for the Chest, and two copies of each of the works specified; a candidate shall also submit an introductory summary in the form of a statement of between 1,000 and 5,000 words summarizing the rationale behind the works submitted, the extent to which the works represent a consistent body of research, and the original contribution to knowledge they make. All the works submitted, apart from quotations, shall be written in English, unless in a particular case the Degree Committee have allowed a candidate to submit material in some other language.

- **6.**A candidate, in submitting an application, shall be required ( a) to declare that the submission as a whole is not substantially the same as any that he or she has previously made or is currently making, whether in published or in unpublished form, for a degree, diploma, or similar qualification at any university or similar institution, (b) to state what parts if any of the work or works now submitted have previously been submitted for any such qualification, and (c) to declare that, until the outcome of the current application to this University is known, the work or works submitted will not be submitted for any such qualification at another university or similar institution; save that the Board shall have power to allow a candidate to submit certain works that he or she may have already submitted unsuccessfully for any such qualification, or that he or she may have already submitted or is concurrently submitting for some other purpose at any university or similar institution. A candidate who submits work published jointly with others shall submit such evidence as to the extent of his or her own contribution to that work as the Board may require.
- **7.**The Secretary of the Board shall forward to the Secretary of the relevant Degree Committee two copies of the published work or works submitted by the candidate, together with copies of the following: the candidate's application, the candidate's introductory summary, and any evidence submitted by the candidate concerning the extent of his or her contribution to work published jointly with others. If the Degree Committee concerned are of the opinion that the published work or works submitted constitute *prima facie* a qualification for the degree, they shall appoint not less than two Examiners and shall refer the application to them. If Examiners are not appointed, £396 out of the fee of £462 paid by the applicant under Regulation 5 shall be returned.
- **8.**The examination for the Ph.D. Degree under these regulations shall consist of the submission of published work, and of an oral examination on the work submitted and on the general field of knowledge within which it falls. The oral examination shall be held in the United Kingdom with both Examiners present unless permission to hold it elsewhere or, in exceptional circumstances, by video-conference or other remote means has been granted by the Board of Graduate Studies.
- **9.**Each Examiner shall make an independent report on the published work or works before the oral examination and shall sign a joint certificate of the result of the oral examination.
- **10.**If the Examiners are not satisfied with the candidate's performance in the oral examination, the Degree Committee may allow the candidate to be examined orally on one more occasion at a time to be appointed by the Examiners. Permission so given shall be communicated to the Secretary of the Board. Each Examiner who takes part in an examination under this regulation shall be paid a fee of £42 in addition to any fees to which he or she may be entitled in respect of the examination of the candidate, and may also claim travelling expenses in accordance with Regulation 12.

11.If the Examiners do not agree in their recommendations or if for any other reason the Degree Committee or the Board need a further opinion or opinions on the merit of the work submitted, the Degree Committee may appoint an additional Examiner or additional Examiners, provided that not more than one additional Examiner shall be appointed without the leave of the Board. Any additional Examiner or Examiners thus appointed shall make an independent report on the work submitted by the candidate, and may, at the discretion of such Examiner or Examiners, conduct an oral examination on that work and on the general field of knowledge within which it falls.

12. Each Examiner shall receive a fee of £135 from the Chest. A fee of £42 shall be paid to any person appointed by the Degree Committee to establish whether an application under Regulation 5 constitutes prima facie a qualification for the degree. If such a person is subsequently appointed an Examiner the fee of £42 shall form part of the fee of £135 due to him or her as an Examiner. When external Examiners are employed, or when an oral examination or consultation between the Examiners is held away from Cambridge, an Examiner may claim travelling expenses not exceeding the return railway-fare in the United Kingdom between the Examiner's place of residence or other place approved by the Board of Graduate Studies and the place of the oral examination or consultation. For the purpose of this regulation return railway-fare shall be taken to include boat-fare between any two ports of the United Kingdom. The Board may also (a) approve payment of travelling expenses not exceeding return rail- and boat-fare between the Examiner's place of residence and the place of examination or consultation, when one or both of those places is outside the United Kingdom, (b) approve payment of travelling expenses incurred by Examiners in travelling by air, (c) approve payment, at rates prescribed from time to time by the Finance Committee of the Council, of travelling expenses other than those provided for in (a) and (b) above, and (d) approve payment of reasonable expenses incurred by Examiners in connection with the execution of their duties.

Subsistence allowance may be claimed by Examiners, at rates to be determined from time to time by the Finance Committee, provided that payment may be made only in respect of a day or a night on which the Examiner's absence from his or her normal place of residence in connection with the execution of his or her duties is necessary.

**13.**If the Degree Committee, on the reports of the Examiners, approve the work submitted by a candidate and his or her performance in the oral examination as of the requisite standard for the degree, their recommendation to that effect, with the names of those present and of those voting on either side, together with the reports of the Examiners, shall be communicated to the Board.

If the Board, after receiving such a communication, at a meeting at which not less than five members of the Board are present, are of the opinion that the degree should be conferred, the Secretary of the Board shall publish a notice of the candidate's approval for the award of the Ph.D. Degree.

- **14.**If the Degree Committee, either without obtaining a report or after receiving the reports of the Examiners, are of the opinion that the work submitted by the candidate is not of the requisite standard for the degree, a resolution to that effect shall be passed, and a copy of the resolution with the numbers of those present and voting on either side, together with the reports of the Examiners, if these have been obtained, shall be communicated to the Board. The Secretary of the Board shall communicate this decision to the candidate.
- **15.**The Board shall be the deciding authority on all recommendations communicated to them by Degree Committees that candidates be approved for the award of the degree. The Board shall not approve a candidate for the award of the degree unless the Degree Committee have recommended that it be awarded, and before refusing an award so recommended they shall give a representative appointed by the Degree Committee an opportunity of explaining the Committee's reasons for their recommendation.
- **16.**If a candidate's application for the degree fails, he or she may reapply on one occasion only, after a period of not less than five years from the date of the original application.
- **17.**A candidate whose application for the Ph.D. Degree is not approved shall not be eligible to be approved for the M.Sc. Degree or M.Litt. Degree.
- **18.**No candidate for the degree shall be present at the deliberations either of the Degree Committee or of the Board of Graduate Studies in respect of his or her own candidature.
- **19.** No fee shall be payable on admission to the degree.