

1. (1 points) Explain that the running time of the PARTITION procedure of quicksort on a subarray of size n is $\Theta(n)$.

PARTITION(A, p, r) :

running time analysis.

$x = A[r]$

... 1

$i = p - 1$

... 1

for $j = p$ to $r - 1$

... $r - p$

if $A[j] \leq x$ then

... $r - p$

$i = i + 1$

... $r - p$

exchange $A[i]$ with $A[j]$

... $r - p$

exchange $A[i + 1]$ with $A[r]$

... 1

return $i + 1$

... 1

$\therefore \text{total} = 4(r - p + 1)$

Since a size of a subarray is " n ", i.e., $n = r - p + 1$,

where r and p is a constant, the running time

of the PARTITION should be $\Theta(n)$.

Because the running time in this function is mainly affected by FOR loop, and it has only one FOR loop.

2. (2 points) Chebyshev's inequality says that the probability that a random variable is more than k standard deviations away from the mean is less than $1/k^2$. For $N = 1,000,000$, use Chebyshev's inequality to bound the probability that the number of compares used by quicksort is more than 100 million.

Hint: Quicksort uses $2N \ln N$ (\ln is the natural logarithm) compares on the average case (mean) to sort N keys, and the standard deviation of the number of compares is $0.65N$.

Let X be the number of compares used by quicksort :

$$E(X) = 2N \cdot \ln(N)$$

$$\sigma(X) = 0.65N \quad , \quad \text{then let } Z \text{ be the standardized random variable : } Z = \frac{X - E(X)}{\sigma(X)}$$

$\Rightarrow Z$ means the number of standard deviations that

X is away from the mean. So, the probability that X is more than 10^9 compares is equivalent to the probability that

$$Z \text{ is more than : } \frac{10^9 - 2N \cdot \ln N}{0.65N}.$$

$$\text{Using } N = 10^6, \quad Z > \frac{10^9 - 2 \cdot 10^6 \cdot \ln 10^6}{0.65 \cdot 10^6} = \underline{\underline{12.53}}.$$

Now, apply Chebyshev's inequality :

$$P(|Z| > k) < 1/k^2, \quad \text{where } k = 12.53.$$

$$\therefore P(Z > 12.53) < 1/12.53^2 = \underline{\underline{0.0065}}$$

which means the probability that X is more than 10^9 compares is less than 0.0065. So, at least 99.35% confidence that # of compares used by quicksort will not exceed 100 million.

3. (1 points) Show that the solution to $T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor + 17\right) + n$ is $O(n \lg n)$.

Hint: Show that $T(n) \leq c(n-a) \lg(n-b)$ for some constants a and b .

Assume $T(n) \leq c \cdot (n-a) \cdot \lg(n-b)$ for some constants a, b and c .

Now we can apply it to the following:

$$T(n) = 2 \cdot T\left(\left\lfloor \frac{n}{2} \right\rfloor + 17\right) + n$$

$$\leq 2 \cdot c \cdot \left(\left\lfloor \frac{n}{2} \right\rfloor + 17 - a\right) \cdot \lg\left(\left\lfloor \frac{n}{2} \right\rfloor + 17 - b\right) + n.$$

$$\leq 2 \cdot c \cdot \left(\frac{n}{2} + 18 - a\right) \cdot \lg\left(\frac{n}{2} + 18 - b\right) + n.$$

$$= c \cdot (n + 36 - 2a) \cdot \lg\left(\frac{n + 36 - 2b}{2}\right) + n.$$

$$= c \cdot (n + 36 - 2a) \cdot \lg(n + 36 - 2b) - c(n + 36 - 2a) \cdot \lg 2 + n.$$

$$\leq c \cdot (n + 36 - 2a) \cdot \lg(n + 36 - 2b).$$

Which means that we can choose $c = 1$, $a = 18$, $b = 18$

$$\text{s.t. } T(n) \leq n \cdot \lg n \text{ for } n \geq 68,$$

This inequality holds for some initial values of $T(n)$ as well,

so we can say that $T(n) = O(n \cdot \lg n)$.

4. Assume there is a max-heap of size 31 whose values are distinct. The largest item in the max-heap must appear at index 1, and the second largest item must be at index 2 or index 3.

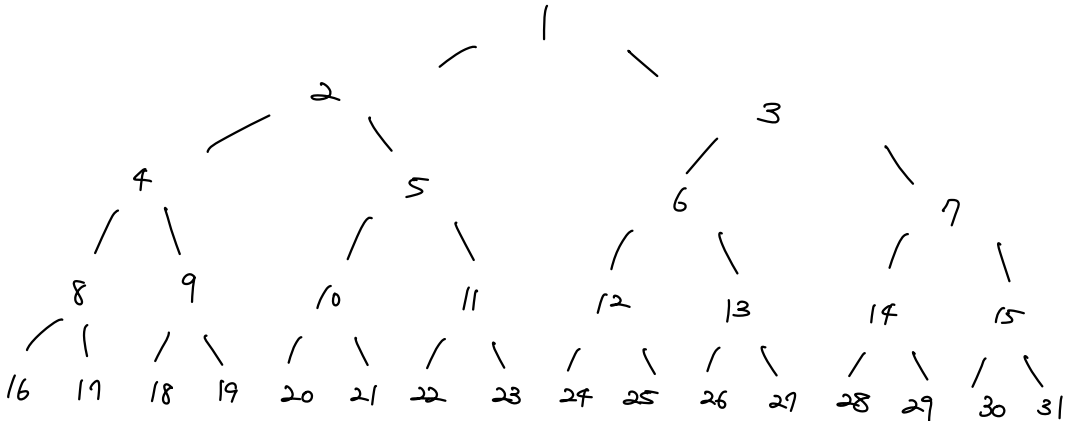
- (1 point) Give the list of indices in the max-heap of size 31 where the k -th largest item (i) can appear, and (ii) cannot appear, for $k=2, 3, 4$. (assuming the item values to be distinct)) ... (A)
- (1 point) Give the list of indices in the max-heap of size 31 where the k -th smallest item (i) can appear, and (ii) cannot appear, for $k=2, 3, 4$. (assuming the item values to be distinct)) ... (B)

(A) : For $k=2$, it can appear at index 2 or 3. So, it cannot appear at index 1 (ancestor) and index in the range $[4, 31]$.

For $k=3$, it can appear at index 2 or 3. So it cannot appear at index 1 (ancestor) and index in the range $[4, 31]$.

For $k=4$, it can appear at index in the range $[4, 7]$. So, it cannot appear at index in the range $[1, 3]$ (ancestor) and index in the range $[8, 31]$.

(B) : For $k=2, 3, 4$, they can appear at index in the range $[16, 31]$, so it cannot appear at index in the range $[1, 15]$.



5. (2 points) Assume there is an empty max-priority queue A with the following heap procedures.

HEAP-EXTRACT-MAX(A)

```

1  if  $A.heap-size < 1$ 
2    error "heap underflow"
3   $max = A[1]$ 
4   $A[1] = A[A.heap-size]$ 
5   $A.heap-size = A.heap-size - 1$ 
6  MAX-HEAPIFY( $A, 1$ )
7  return  $max$ 

```

MAX-HEAP-INSERT(A, key)

```

1   $A.heap-size = A.heap-size + 1$ 
2   $A[A.heap-size] = -\infty$ 
3  HEAP-INCREASE-KEY( $A, A.heap-size, key$ )

```

HEAP-INCREASE-KEY(A, i, key)

```

1  if  $key < A[i]$ 
2    error "new key is smaller than current key"
3   $A[i] = key$ 
4  while  $i > 1$  and  $A[PARENT(i)] < A[i]$ 
5    exchange  $A[i]$  with  $A[PARENT(i)]$ 
6     $i = PARENT(i)$ 

```

MAX-HEAPIFY(A, i)

```

1   $l = LEFT(i)$ 
2   $r = RIGHT(i)$ 
3  if  $l \leq A.heap-size$  and  $A[l] > A[i]$ 
4     $largest = l$ 
5  else  $largest = i$ 
6  if  $r \leq A.heap-size$  and  $A[r] > A[largest]$ 
7     $largest = r$ 
8  if  $largest \neq i$ 
9    exchange  $A[i]$  with  $A[largest]$ 
10  MAX-HEAPIFY( $A, largest$ )

```

Illustrate (draw) the max-priority queue of each step of the following operations (draw total 12 priority queues). Assume that items are ordered in a reverse alphabetical manner in our max-priority queue.

- MAX-HEAP-INSERT($A, "P"$) → MAX-HEAP-INSERT($A, "Q"$) → MAX-HEAP-INSERT($A, "E"$) → HEAP-EXTRACT-MAX(A) → MAX-HEAP-INSERT($A, "X"$) → MAX-HEAP-INSERT($A, "A"$) → MAX-HEAP-INSERT($A, "M"$) → HEAP-EXTRACT-MAX(A) → MAX-HEAP-INSERT($A, "P"$) → MAX-HEAP-INSERT($A, "L"$) → MAX-HEAP-INSERT($A, "E"$) → HEAP-EXTRACT-MAX(A)

Step 1)

1
P

7)

X	P	M	E	A
---	---	---	---	---

2)

1	2
Q	P

8)

P	M	E	A
---	---	---	---

3)

1	2	3
Q	P	E

9)

P	P	M	E	A
---	---	---	---	---

4)

1	2
P	E

10)

P	P	M	L	E	A
---	---	---	---	---	---

5)

X	P	E
---	---	---

11)

P	P	M	L	E	E	A
---	---	---	---	---	---	---

6)

X	P	E	A
---	---	---	---

12)

P	M	L	E	E	A
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