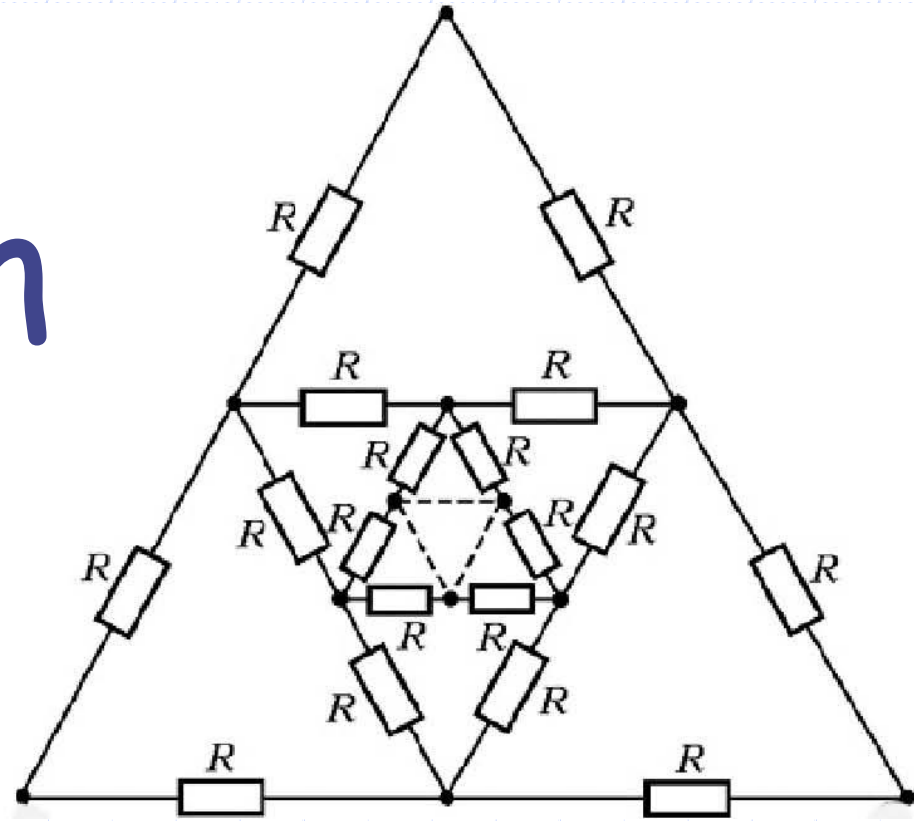
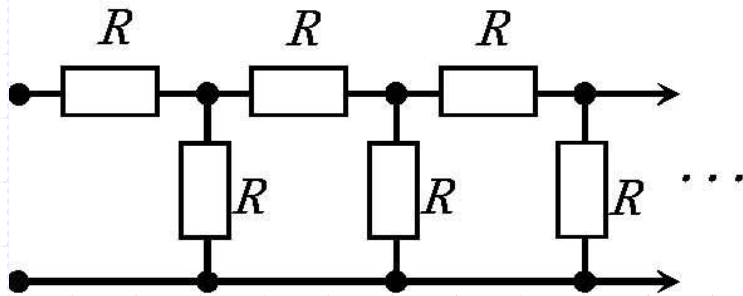


# ESC101: Introduction to Computing

## Recursion



# Recursion : Tower of Hanoi



A

B

C

# Recursion : Tower of Hanoi ..2



A

B

C

# Recursion : Tower of Hanoi ..3



A

B

C

# Recursion : Tower of Hanoi ..4



A

B

C

# Recursion

◆ A function calling itself, *directly* or *indirectly*, is called a *recursive function*.

- The phenomenon itself is called recursion

◆ Examples:

- Factorial:

$$0! = 1$$

$$n! = n * (n-1)!$$

- Even and Odd:

$$\text{Even}(n) = (n == 0) \ || \ \text{Odd}(n-1)$$

$$\text{Odd}(n) = (n != 0) \ \&\& \ \text{Even}(n-1)$$

# Recursive Functions: Properties

- ◆ The arguments **change** between the recursive calls

$$5! = 5 * 4! = 5 * 4 * 3! = \dots$$

- ◆ Change is towards a case for which solution is **known (base case)**
- ◆ There must be one or more **base cases**

**0! is 1**

**Odd(0) is false**

**Even(0) is true**

# Recursion and Induction

*When programming recursively,  
think inductively*

- ◆ Mathematical induction for the natural numbers
- ◆ Structural induction for other recursively-defined types (to be covered later!)



# Recursion and Induction

When writing a recursive function,

- ◆ Write down a clear, concise *specification* of its behavior,
- ◆ Give an *inductive proof* that your code satisfies the specification.

# Constructing Recursive functions: Examples

Write a function `search(int a[], int n, key)` that performs a sequential search of the array `a[0..n-1]` of `int`. Returns 1 if the key is found, otherwise returns -1.

How should we start? We have to think of the function `search()` in terms of search applied to a smaller array. Don't think in terms of loops...think recursion.

Here's a possibility ....

## search(a,n,key)

**Base case:** If  $n$  is 0, then, return 0.

**Otherwise:** /\*  $n > 0$  \*/

1. compare last item,  $a[n-1]$ , with key.
2. if  $a[n-1] == \text{key}$ , return 1.
3. search in array  $a$ , up to size  $n-1$ .
4. return the result of this "smaller" search.

**a**      search(a,10,3)

31	4	10	35	59	31	3	25	35	11
----	---	----	----	----	----	---	----	----	----

Either 3 is  $a[9]$ ; or search(a,10,3) is same as the result of search for 3 in the array starting at  $a$  and of size 9.

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[n-1] == key) return 1;
4.     return search(a,n-1,key);
5. }

```

Let us do a quick trace.

**a** E.g., (0) search(a,5,10)

31	4	10	35	59
----	---	----	----	----

a[4] is 59, not 10. call search(a,4,10)

**a** (2) search(a,3,10)

31	4	10	35	59
----	---	----	----	----

a[2] is 10, return 1

**a** (1) search(a,4,10)

31	4	10	35	59
----	---	----	----	----

a[3] is 35, calls search(a,3,10)

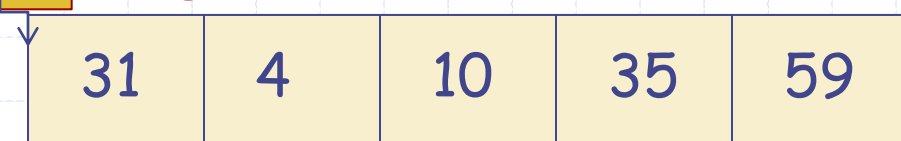
```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[n-1] == key) return 1;
4.     return search(a,n-1,key);
5. }

```

Let us do another quick trace.

**a** E.g., (0) search(a,5,3)



a[4] is 59, not 3. call search(a,4,3)

**a** (1) search(a,4,3)

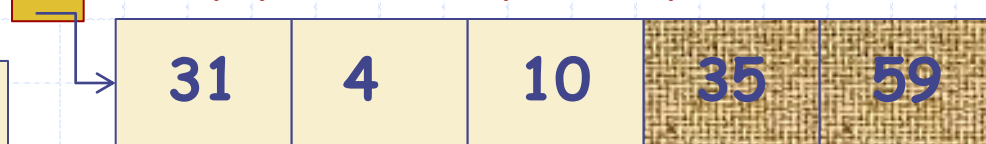


a[3] is 35, calls search(a,3,3)



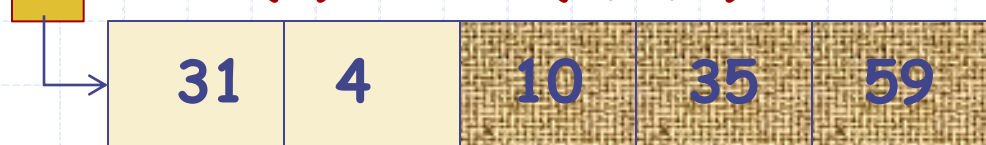
**a** (5) search(a,0,3) returns 0

**a** (2) search(a,3,3)



a[2] is 10, calls search(a,2,3)

**a** (3) search(a,2,3)



a[1] is 4, calls search(a,1,3)

**a** (4) search(a,1,3)



a[0] is 31, calls search(a,0,3)

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

a	search(a,5,3)	
↓	31	4
	35	59

	function	called by	return address	return value
	search(a,5,3)	main()	---	
	search(a,4,3)	search(a,5,3)	search.5	
	search(a,3,3)	search(a,4,3)	search.5	
	search(a,2,3)	search(a,3,3)	search.5	
	search(a,1,3)	search(a,2,3)	search.5	
	search(a,0,3)	search(a,1,3)	search.5	

Stack  
↓

recursion exits here

A state of the stack

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

a	search(a,5,3)	
↓	31	4
	35	59

	function	called by	return address	return value
	search(a,5,3)	main()	---	
	search(a,4,3)	search(a,5,3)	search.5	
	search(a,3,3)	search(a,4,3)	search.5	
	search(a,2,3)	search(a,3,3)	search.5	
	search(a,1,3)	search(a,2,3)	search.5	
	search(a,0,3)	search(a,1,3)	search.5	0

Stack  
↓

recursion exits here

A state of the stack

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

**a** search(a,5,3)  
↓

31	4	10
35	59	

Stack  
↓

function	called by	return address	return value
search(a,5,3)	main()	---	
search(a,4,3)	search(a,5,3)	search.5	
search(a,3,3)	search(a,4,3)	search.5	
search(a,2,3)	search(a,3,3)	search.5	
search(a,1,3)	search(a,2,3)	search.5	0

state of the stack



```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

a	search(a,5,3)	
↓	31	4
	10	
	35	59

Stack ↓	function	called by	return address	return value
	search(a,5,3)	main()	---	
	search(a,4,3)	search(a,5,3)	search.5	
	search(a,3,3)	search(a,4,3)	search.5	
	search(a,2,3)	search(a,3,3)	search.5	0

A state of the stack

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return 0;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

**a** **search(a,5,3)**

31	4	10
35	59	

function	called by	return address	return value
search(a, 5, 3)	main()	---	
search(a, 4, 3)	search(a, 5, 3)	search.5	0

A state of the stack

Stack  
↓

```

1. int search(int a[], int n, int key) {
2.     if (n==0) return ;
3.     if (a[0] == key) return 1;
4.     return search(a+1,n-1,key);
5. }

```

a	search(a,5,3)	
↓	31	4
	35	59

Stack	function	called by	return address	return value
	search(a,5,3)	main()	---	0

A state of the stack

```
1. int search(int a[], int n, int key) {  
2.     if (n==0) return 0;  
3.     if (a[0] == key) return 1;  
4.     return search(a+1,n-1,key);  
5. }
```

search(a,5,3)		
a		
↓	31	4
	10	
	35	59

search(a,5,3) returns 0. Recursion call stack terminates.

# Searching in an Array

- ◆ We can have other recursive formulations
- ◆ **Search1:** search (a, start, end, key)
  - Search key between a[start]...a[end]

if start > end, return 0;

if a[start] == key, return 1;

return search(a, start+1, end, key);

Disclaimer: Algorithm  
not tested for  
boundary cases

# Searching in an Array

- ◆ One more recursive formulations
- ◆ **Search2:** search (a, start, end, key)
  - Search key between a[start]...a[end]

if start > end, return 0;

mid = (start + end)/2 ;

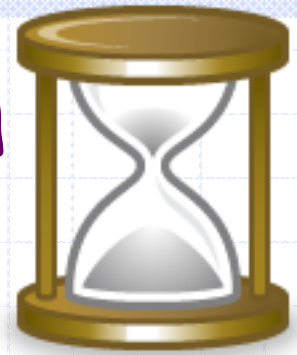
if a[mid]==key, return 1;

return search(a, start, mid-1, key)

|| search(a, mid+1, end, key);

Disclaimer: Algorithm  
not tested for  
boundary cases

# Estimating the Time taken



- ◆ Two types of operations
  - Function calls
  - Other operations (call them **simple** operations)
- ◆ Assume each simple operation takes fixed amount of time (1 unit) to execute
  - Really a very crude assumption, but will simplify calculations
- ◆ Time taken by a function call is proportional to the number of operations performed by the call before returning.

# Estimating the Time taken

```
1. if start > end, return 0;  
2. if a[start] == key, return 1;  
3. return search(a, start+1, end, key);
```

## ◆ Search1

- Let  $T(n)$  denote the time taken by search on an array of size  $n$ .
- Line 1 takes 1 unit (or 2 units if you consider if check and return as two operations)
- Line 2 takes 1 unit (or 3 units if you consider if check, array access and return as three operations)
- But what about line 3?





# Estimating the Time taken

```
1. if start > end, return 0;  
2. if a[start] == key, return 1;  
3. return search(a, start+1, end, key);
```

## ◆ Search1

- What about line 3?
- Remember the assumption: Let  $T(n)$  denote the time taken by search on an array of size  $n$ .
- Line 3 is searching in  $n-1$  sized array  
=> takes  $T(n-1)$  units
- But what about the value of  $T(n)$  ?



# Estimating the Time taken

```
1. if start > end, return 0;  
2. if a[start] == key, return 1;  
3. return search(a, start+1, end, key);
```

## ◆ Search1

- But what about the value of  $T(n)$  ?
- Looking at the body of search, and the information we gathered on previous slides, we can come up with a recurrence relation:

$$T(n) = T(n-1) + C$$

- We need to solve the recurrence to get the estimate of time



# Estimating the Time taken

```
1. if start > end, return 0;  
2. if a[start] == key, return 1;  
3. return search(a, start+1, end, key);
```

## ◆ Search1

- Solution to the recurrence?

$$T(n) = T(n-1) + C$$

$$T(n) = Cn$$

- The **worst case** run time of Search1 is proportional to the size of array
  - ◆ Bigger the array, slower the search
- What is the **best case** run time?
- Which one is more important to consider?



# Estimating the Time taken

## ◆ Search2

### ■ Recurrence?

```
if start > end, return 0;  
mid = (start + end)/2 ;  
if a[mid]==key, return 1;  
return search(a, start, mid-1, key)  
    || search(a, mid+1, end, key);
```

$$T(n) = T(n/2) + T(n/2) + C$$

$$T(n) \propto n$$

- The **worst case** run time of Search2 is also proportional to the size of array
  - ◆ Can we do better?



# Binary Search for Sorted Arrays

# Classic Recursive Algorithms

## ◆ Sorting

- Quicksort, Mergesort, ...

## ◆ Searching

- Binary Search

## ◆ Traversals

- (Tree) Inorder, Preorder, Postorder

## ◆ ...

Around Easter 1961, a course on ALGOL 60 was offered ... It was there that I first learned about recursive procedures and saw how to program the sorting method which I had earlier found such difficulty in explaining. It was there that I wrote the procedure, immodestly named QUICKSORT, on which my career as a computer scientist is founded. Due credit must be paid to the genius of the designers of ALGOL 60 who included recursion in their language and enabled me to describe my invention so elegantly to the world. I have regarded it as the highest goal of programming language design to enable good ideas to be elegantly expressed.

- The Emperor's Old Clothes, C. A. R. Hoare, ACM Turing Award Lecture, 1980

# Recursion vs Iteration

```
int fib(int n)
{
    int first = 0, second = 1, next, c;
    if (n <= 1)
        return n;
    for ( c = 1; c < n ; c++ ) {
        next = first + second;
        first = second;
        second = next;
    }
    return next;
}
```

```
int fib(int n)
{
    if ( n == 0 )
        return 0;
    else if ( n == 1 )
        return 1;
    else
        return fib(n-1)
            + fib(n-2);
}
```



$\text{fib}(5)$

$\text{fib}(4) + \text{fib}(3)$

$\text{fib}(3) + \text{fib}(2) + \text{fib}(2) + \text{fib}(1)$

$\text{fib}(2) + \text{fib}(1) + \text{fib}(1) + \text{fib}(0) + \text{fib}(1) + \text{fib}(0)$

$\text{fib}(1) + \text{fib}(0)$