

# IERG 6154: Network Information Theory

## Homework 3

Due: February 4, 2019

1. Determine the capacity and the capacity achieving distribution of the  $Z$  channel depicted below

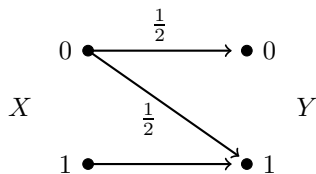


Figure 1:  $Z$  Channel

2. Independently generated codebooks: Consider a joint distribution  $p(x, y)$ , and let  $p_X(x)$  and  $p_Y(y)$  be its marginal distributions. Generate two codebooks  $\mathcal{C}_1 = \{X_1^n, \dots, X_{2^{nR_1}}^n\}$ , and  $\mathcal{C}_2 = \{Y_1^n, \dots, Y_{2^{nR_2}}^n\}$  respectively by generating each  $X^n$  sequence independently according to  $\prod_{i=1}^n p_X(x_i)$  and each  $Y^n$  sequence independently according to  $\prod_{i=1}^n p_Y(y_i)$ . Define the set

$$\mathcal{C} = \{(x^n, y^n) \in \mathcal{C}_1 \times \mathcal{C}_2 \text{ such that } (x^n, y^n) \in \mathcal{T}_\epsilon^{(n)}(X, Y)\}.$$

Show that the expected size of  $\mathcal{C}$  satisfies

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log_2 \mathbb{E}(|\mathcal{C}|) = R_1 + R_2 - I(X; Y).$$

3. Erasure degradation: Consider a DMC  $(\mathcal{X}, p(y|x), \mathcal{Y})$  and let  $Z$  be a noisy version of  $Y$ ,  $\mathcal{Z} = \mathcal{Y} \cup \{E\}$  (where  $E \notin \mathcal{Y}$  is an erasure symbol), such that  $P(Z = y|Y = y) = 1 - e$ ,  $P(Z = E|Y = y) = e, \forall y$ . Further assume that  $X \rightarrow Y \rightarrow Z$  is Markov. Show that for all  $p(x)$ , we have

$$I(X; Z) = (1 - e)I(X; Y).$$

4. Capacity of product channel: Consider two DMCs  $(\mathcal{X}_1, p_1(y_1|x_1), \mathcal{Y}_1)$  and  $(\mathcal{X}_2, p_2(y_2|x_2), \mathcal{Y}_2)$  with capacities  $C_1$  and  $C_2$  respectively. A new channel

is  $(\mathcal{X}_1 \times \mathcal{X}_2, p_1(y_1|x_1)p_2(y_2|x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$  is formed in which a symbol  $x_1 \in \mathcal{X}_1$  and  $x_2 \in \mathcal{X}_2$  is transmitted resulting in outputs  $y_1, y_2$  respectively. Find the capacity of this product channel.

5. Capacity of sum channel: Consider two DMCs  $(\mathcal{X}_1, p_1(y_1|x_1), \mathcal{Y}_1)$  and  $(\mathcal{X}_2, p_2(y_2|x_2), \mathcal{Y}_2)$  with capacities  $C_1$  and  $C_2$  respectively. Let  $\mathcal{X}_1 \cup \mathcal{X}_2 = \emptyset, \mathcal{Y}_1 \cup \mathcal{Y}_2 = \emptyset$ . A new channel is  $(\mathcal{X}_1 \cup \mathcal{X}_2, p(y|x), \mathcal{Y}_1 \cup \mathcal{Y}_2)$  is formed in which a symbol  $x_1 \in \mathcal{X}_1$  or  $x_2 \in \mathcal{X}_2$  is transmitted resulting in outputs  $y_1 \in \mathcal{Y}_1, y_2 \in \mathcal{Y}_2$  respectively. Hence  $p(y|x) = p_1(y_1|x_1)$  if  $x_1 \in \mathcal{X}_1$  and  $p(y|x) = p_2(y_2|x_2)$  if  $x_2 \in \mathcal{X}_2$ . Find the capacity of this sum channel.
6. Consider two DMCs, where the first channel is a  $BSC(p)$  (binary symmetric channel) and the second channel is a  $BEC(e)$ . Let the output of the first channel be called  $Y$  and the output of the second channel be called  $Z$ . Assume both channels to have the same input  $X$ . (Therefore  $Y$  and  $Z$  are two different noisy versions of  $X$ .) Let  $P(X = 0) = x, 0 \leq x \leq 1$ . Define the function  $D(x) = I(X; Z) - I(X; Y)$ . Show that

- (a)  $D(x)$  is concave in  $x$  if and only if  $e \leq 4p(1-p)$ .
- (b)  $D(x) \geq 0, \forall x \in [0, 1]$  if and only if  $e \leq H(p) = -p \log_2 p - (1-p) \log_2 (1-p)$ .

Convexity of  $H(p * H^{-1}(u))$  in  $u$  may be useful.

7. Let  $U, X, Y \sim p(u, x, y)$  be discrete random variables. Let  $0 < \delta_n < \epsilon_n$  such that  $\epsilon_n \rightarrow 0$  and  $\delta_n \sqrt{n}, (\epsilon_n - \delta_n) \sqrt{n} \rightarrow \infty$ . For any  $n$ , consider a fixed  $u_0^n \in T_{\delta_n}(U)$ . Let  $\mathcal{A}_n = \{x^n : (u_0^n, x^n) \in T_{\epsilon_n}(U, X)\}$  and let  $\mathcal{B}_n = \{y^n : (u_0^n, y^n) \in T_{\epsilon_n}(U, Y)\}$ . Let  $\mathcal{C}_n \subseteq \mathcal{A}_n \times \mathcal{B}_n$  be defined by  $\mathcal{C}_n = \{(x^n, y^n) : (x^n, y^n) \in T_{\epsilon_n}(X, Y)\}$ . Show that
  - (a) If  $X^n$  is generated according to  $\prod_{i=1}^n p(x_i|u_{0i})$ , then  $P(X^n \in \mathcal{A}_n) \rightarrow 1$ . Similarly if  $Y^n$  is generated according to  $\prod_{i=1}^n p(y_i|u_{0i})$ , then  $P(Y^n \in \mathcal{B}_n) \rightarrow 1$ .
  - (b) Show that

$$\frac{1}{n} \log P((X^n, Y^n) \in \mathcal{C}_n | \{X^n \in \mathcal{A}_n, Y^n \in \mathcal{B}_n\}) \rightarrow - \min_{p(u, x, y) \in Q} I(X; Y|U),$$

where  $Q$  is the collection of distributions on  $(U, X, Y)$  such that  $q(u, x) = p(u, x), q(u, y) = p(u, y)$ , and  $q(x, y) = p(x, y)$ .