

Distributed Resource Allocation Schemes for Out-of-Coverage D2D Communications

Jian Wang, Richard A. Rouil, and Fernando J. Cintron
Wireless Networks Division, Communications Technology Laboratory
National Institute of Standards and Technology, USA
Emails: {jian.wang, richard.rouil, fernando.cintron}@nist.gov

Abstract—In many public safety scenarios, Device-to-Device (D2D) communication should be capable of handling out-of-coverage situations, ensuring that D2D devices can communicate directly without the aid of network infrastructure. In this paper, we investigate a set of distributed resource allocation schemes for out-of-coverage D2D group communication. Particularly, we first provide guidelines concerning how to allocate D2D resources based on Modulation and Coding Scheme (MCS), Physical Resource Block (PRB) size, and Time Resource Pattern (TRP) to meet the Quality of Service (QoS) requirements of applications. We then design three distributed resource allocation schemes that select PRBs in the resource pool and/or adjust the transmitting power based on the level of available information about the network. To evaluate the designed distributed resource allocation schemes, we conduct extensive performance evaluation, validating their effectiveness in a variety of deployment scenarios.

Keywords—Public Safety Applications, D2D Communications, Out-of-Coverage, Distributed Resource Allocation.

I. INTRODUCTION

To carry out public safety response and disaster recovery, emergency response communication is critical [1]–[5]. When a large disaster occurs, network infrastructure will likely be overloaded, if not damaged and unavailable. To maintain continuous communications among first responders and victims, technologies to enable direct communication are paramount. Long Term Evolution (LTE)-based Device-to-Device (D2D) communication, as one of several viable technologies to enable direct communication, is considered as a critically important technology in public safety research and development. In these public safety scenarios, D2D communication needs to operate under out-of-coverage conditions, in which D2D devices need to communicate directly without the aid of network infrastructures [6].

To perform resource allocation in LTE-based D2D out-of-coverage situations, the following challenges need to be addressed. First, communication cannot rely on centralized controllers (base stations, etc.) to conduct resource allocation for D2D User Equipments (UEs). Second, in D2D group communication, as no physical layer feedback exists, little information regarding Channel State Information (CSI) is available. Third, UEs (including both transmitters and receivers) have less computation capabilities and are more sensitive to power consumption than larger and more heavily equipped base stations. While a number of resource allocation schemes have been proposed, most consider only in-coverage D2D

scenarios [7]–[10], where centralized controllers are required to coordinate resources. Additionally, these schemes often assume that complete knowledge of the CSI of communication and interference channels are available. Furthermore, existing complex resource allocation schemes become infeasible on UEs due to the limited computing and energy resources. Thus, the design of lightweight and distributed resource allocation schemes is essential to enable out-of-coverage D2D communications.

To address the issues presented thus far, in this paper we focus on D2D group communication and make the following concrete contributions.

First, we formalize the resource allocation problem for out-of-coverage D2D communication in LTE-based networks. As the problem is a multi-dimensional issue, we consider several key factors together, including Modulation and Coding Scheme (MCS), Physical Resource Block (PRB) size, Time Resource Pattern (TRP) and transmitting power, to satisfy the quality of service (QoS) requirements for public safety applications. Once we complete the selection of these key factors, other important decisions include which PRBs in the pre-allocated resource pool should be used and what transmitting power level should be utilized to transmit the signal so that the overall system coverage probability can be maximized.

Second, based on the formalized problem, we design three distributed resource allocation schemes. We first design a basic random allocation scheme, which allows the transmitting UE to randomly select PRBs from the pre-configured resource pool. We then propose the RSS-based random scheme aiming to reduce the power consumption of the transmitting UE by leveraging the D2D discovery service and identify the maximum average channel loss in the D2D group. We also design the interference-aware allocation scheme, which allocates resources by avoiding interference of transmitting UEs. We conduct extensive performance evaluation to show the effectiveness of our proposed schemes in allocating communication resources in out-of-coverage D2D communications. The solutions we designed are practical, such that it could be implemented within the existing 3rd Generation Partnership Project (3GPP) framework with no or only slight modifications.

The remainder of this paper is organized as follows: In Section II, we introduce the system model. In Section III,

we introduce the problem formalization, design rationale, and our designed distributed schemes in detail. In Section IV, we present the performance evaluation results. Finally, we conclude the paper in Section V.

II. SYSTEM MODEL

In our study, we consider D2D group communication scenarios, in which a number of D2D nodes (i.e., UEs) that belong to different function groups are deployed in a geographical location and perform public safety missions. Within a group, there is one transmitter UE and multiple receiver UEs. The communications between transmitter UE and receiver UEs are performed directly through D2D communication links. Since UEs are not covered by network infrastructure, such as cellular networks, each UE has a pre-configured resource pool with K units to perform D2D communications in out-of-coverage scenarios. Without loss of the generality, we assume all UEs are configured with the same resource pool settings.

Fig. 1 illustrates the network model, in which the deployment area is a circular area A with radius R . Within A , there are M groups uniformly randomly deployed, denoted as G_1, G_2, \dots, G_M . Within a group G_i ($i \in [1, M]$), there is an active transmitter UE TX_i and all other UEs within the same group are uniformly randomly located in a circular region that is centered on the transmitter UE_i with radius r .

Channel gain between transmitter TX_i ($i \in [1, M]$) and receiver UE_j is denoted as g_{ij} . When we compute the channel gain, path loss, large-scale shadowing, and small-scale fading are considered [11]. We also assume that the channel is slowly changing and semi-static. If we can collect coarse channel state information (CSI) using upper layer signaling (e.g., D2D discovery messages), such information could be used to guide resource allocation.

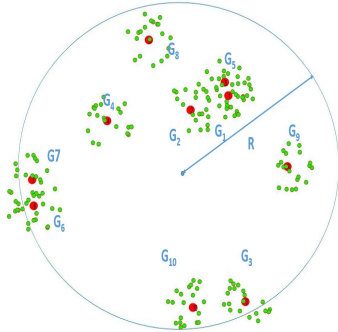


Fig. 1: Network Model of deployment area A , with $M = 10$ groups, red dots are active transmitters

For transmitter TX_i (the transmitter in group i), we denote its transmission power as P_i . We assume all the transmitting groups are running the same application (e.g., mission critical voice). Resource allocation decisions include the selection of MCS, PRB size, TRP, transmission power, and PRB locations in the resource pool. We first allocate D2D resources by selecting MCS, PRB size, and TRP to meet QoS requirements of the application (e.g., throughput and delay). Based on the

allocation scheme that we choose, we then divide the resource pool into a number of channels. Each channel occupies the same number of resources and a transmitter is using one channel.

Given M transmitters and K channels for D2D communication, we define the resource usage $M \times K$ matrix U as where $U_{i,j} = 1$ if TX i uses channel j ; otherwise $U_{i,j} = 0$. In our study, we consider an outdoor environment and adopt the 3GPP outdoor-to-outdoor (O2O) path loss model [12]. The line-of-sight (LOS) path loss PL_{LOS} and non-line-of-sight (NLOS) path loss PL_{NLOS} are defined as $PL_{LOS} = 40 \log_{10}(d) + 7.56 - 17.3 \log_{10}(h'_{BS}) - 17.3 \log_{10}(h'_{MS}) + 2.7 \log_{10}(f_c) + 20 \log_{10}(f_c/f_{REF})$ and $PL_{NLOS} = (44.9 - 6.55 \log_{10}(h_{BS})) \log_{10}(d) + 5.83 \log_{10}(h_{BS}) + 16.33 + 26.16 \log_{10}(f_c) - 5$. Here, d is the distance between transmitter and receiver, and h'_{BS} and h'_{MS} are the effective heights for transmitter and receiver in meters, respectively, both of which are set to 0.8 m. Also, f_c is the carrier frequency, set to 700 MHz, and the reference frequency f_{REF} is 2 GHz. For large-scale shadowing, log-normal shadowing with 7 dB standard deviation is used. For small-scale fading, Rayleigh fading is adopted. The probability of LOS is a function of distance following $P_{LOS} = \min(18/d, 1)(1 - \exp(-d/36)) + \exp(-d/36)$.

III. PROPOSED SCHEMES

In the following, we first introduce coverage probability that is used to evaluate our schemes, and present the optimization of coverage probability to motivate the design of distributed resource allocation. We then outline the problem definition and introduce the design rationale. Finally, we present our proposed schemes to allocate communication resources for out-of-coverage D2D.

A. Coverage Probability

Coverage probability is defined as the probability that the average received SINR is above the minimum threshold required to successfully decode the transmitted message. The coverage probability of a single transmitter and receiver link is a function of distance, and its expression is [11], $\frac{1}{\sqrt{\pi}\Gamma(m)} \int_{-\infty}^{+\infty} \exp(-x^2) \Gamma(m, \frac{m\beta}{\sqrt{2}\sigma_s x + \mu}) dx$, where, σ_s is the standard deviation of the Log-normal shadowing, μ is the received power after considering the path loss in dBm, and $\Gamma(m)$ is the $\Gamma(\cdot)$ function with an input of m , where $m = 1$ for Rayleigh fading. β is the decoding threshold of the received power that is dependent on the thermal noise, receiver noise figure, and SNR decoding threshold.

For a given deployment (fixed transmitter and receiver locations), the path loss and shadowing for each link are fixed, we first compute the UE's average coverage probability over small-scale fading. For UE_j in group i using channel k , its SINR can be written as $SINR_{j,i,k} = \gamma = \frac{g_0 \omega_0}{\sum_{l=1, l \neq i}^M U_{l,k} g_l \omega_l + N}$, where ω_0 and ω_l are the local mean powers of the desired signal S_0 and interference signal S_l , i.e., the received powers after considering path loss and shadowing, which are fixed for a deployment. Also, g_0 and g_l are the power gain of the Rayleigh fading. M is the number of transmitter UEs, $U_{l,k}$ is

the element of channel usage matrix defined early, and N is the noise floor on the receiver, which includes thermal noise and noise introduced by the device.

We define the coverage probability of UE_j as $P(\gamma \geq \beta|\omega) = P(\frac{g_0\omega_0}{N+\sum_{l=1, l \neq i}^M U_{l,k}g_l\omega_l} \geq \beta|\omega) = P(S \geq \sum_{l=1, l \neq i}^M U_{l,k}g_l\omega_l + N|\omega)$. Here, β is the SNR threshold for successfully decoding the information from noisy communication channels after four HARQs in a given probability, and we assume SINR does not change during the four HARQs. Since g_0 and g_l are the power gains of the Rayleigh fading, the probability density function (PDF) of $S = \beta^{-1}g_0\omega_0$ is $f_S(s) = \frac{\beta}{\omega_0} \exp(\frac{-\beta s}{\omega_0})$ and let $y_l = U_{l,k}g_l\omega_l$, we have $P(\gamma \geq \beta|\omega) = \int_Y \cdots \int_{N+\sum_{l=1, l \neq i}^M y_l}^\infty f_S(s) f_Y(y_1, \dots, y_M) ds dy = \exp(-\beta_0 N) \int_Y \cdots \int_{\sum_{l=1, l \neq i}^M y_l}^\infty \exp(-\beta_0 \sum_{l=1, l \neq i}^M y_l) f_Y(y_1, \dots, y_M) dY$, where $\beta_0 = \frac{\beta}{\omega_0}$.

Since the UEs are deployed independently, $y_{i=1}^M$ are independent and identically distributed (i.i.d) random variables. Thus, we have $P(\gamma \geq \beta|\omega) = \exp(-\beta_0 N) \prod_{l=1, l \neq i}^M \int_0^\infty \exp(-\beta_0 y_l) f_Y(y_l) dy_l$.

If the transmitter picks a channel randomly from a pre-allocated pool, we have PDF of $y_l = U_{l,k}g_l\omega_l$ as,

$$f_Y(y_l) = (1 - p_i)\delta(y_l) + p_i * \frac{1}{\omega_l} \exp(-\frac{y_l}{\omega_l}), \quad (1)$$

where $p_i = \frac{1}{N_{ch}}$ and N_{ch} is the total channel count. Then, through mathematical derivation, we derive the coverage probability for each UE as

$$P(\gamma \geq \beta|\omega) = \exp(-\beta_0 N) \prod_{l=1, l \neq i}^M \frac{1 + \beta_0 \omega_l (1 - \frac{1}{N_{ch}})}{1 + \beta_0 \omega_l}. \quad (2)$$

Once we have the coverage probability for each UE with Eq. (2), we can obtain the average UE's coverage probability in a fixed deployment. We then simulate the coverage probability over different deployments to obtain the average coverage probability for given system configurations, which vary by region size, number of groups, group region size, and others.

In a multi-group environment, we can choose matrix U such that the UE's average coverage probability can be maximized, i.e. $\max_U \sum_{j,i,k} P(S_{j,i,k} \geq N + \sum_{l=1, l \neq i}^M U_{l,k}g_l\omega_l|w)$, s.t. $\sum_k U_{i,k} = 1$, and $P_i \leq P_{\max}$. Since the objective function is not a closed formula, directly finding U is very challenging. Also, in out-of-coverage scenarios, without physical layer feedback and centralized control, it is difficult to collect sufficient information necessary to obtain the settings to maximize the average coverage probability. Thus, this motivates us to design distributed resource allocation schemes that are feasible in out-of-coverage D2D communications. In our study, we design an interference-aware scheduling scheme to select matrix U . Using the random channel selection scheme as a baseline, we investigate whether the coverage performance can be improved by selecting channels based on detected interference information. Once we have the channel usage matrix U designed, the

average coverage probability of UE j for a fixed deployment can be evaluated through mathematical derivation as:

$$P(\gamma_j \geq \beta|\omega) = \exp(-\beta_0 N) \prod_{l=1, l \neq i, U_{l,k}=1}^M \frac{1}{1 + \beta_0 \omega_l}. \quad (3)$$

Using Eq. (2) and Eq. (3), we average out the small-scale fading, which can significantly reduce simulation time. To validate these two equations, we ran Monte Carlo simulations to simulate small-scale fading, and the comparison can be seen in Fig. 2 and Fig. 3. From both figures, we observe that the full-scale Monte Carlo simulations match well with the analytical results provided by Eq. (1) and Eq. (3). Notice all simulation results in this paper are displayed with 95 % confidence intervals.

B. Design Rationale

The problem we seek to address is: in the group communication scenario as described in Section II, *how can transmitter UEs select resources with consideration for MCS, PRB size, and TRP to satisfy the QoS requirements of D2D communication, and how can UEs select transmitting power and the location of PRBs in the resource pool to improve system coverage probability*. Our focus is to improve the coverage probability so that the reliability requirements of public safety applications can be fulfilled. Other objectives (power consumption, system throughput, etc.) can be considered and extended in future extensions to this study.

According to the definition of coverage probability, UE j has coverage if $SINR_j > \beta$. Here, β is the SNR threshold in dB for UE_j in order to achieve 10^{-2} Block Error Rate (BLER) after four D2D Hybrid Automatic Repeat reQuest (HARQ) transmissions. Notice that β is MCS dependent. Depending on the availability of information on the deployment environment, we propose the following resource allocation schemes: (i) *Basic Random Allocation Scheme*, (ii) *RSS-Based Random Allocation Scheme*, and (iii) *Interference-Aware Allocation Scheme*. In the following, we describe these resource allocation schemes in detail.

C. Basic Random Allocation Scheme

In the basic random allocation scheme, to satisfy the throughput requirements of UEs, we need to select the proper combination of MCS, PRB size, and TRP. Notice that the resource pool size and transmission period length are pre-configured for all UEs to communicate outside cellular coverage. To illustrate this resource allocation scheme, we give an example. To support Adaptive Multi-Rate - Wideband Speech Codec (AMR-WB) voice applications, a throughput of 12.65 kbits/s is required, which means 253 bits for every 20 ms. After adding 3 bytes of Robust Header Compression (RoHC), Logical Link Control (LLC), and Media Access Control (MAC) headers, a transport block with a minimum size of 300 bits is required. If the period length is 40 ms, and 8 subframes within the period are used for transmission, considering 4 retransmissions, a new transport block is transmitted every 20 ms.

MCS	PRB	Threshold (dB)	$10 \log_{10} PRB$	D
16	1	0.9	0	0.9
10	2	-3.8	3.01	-0.79
4	5	-7.5	6.99	-0.51
0	12	-11	10.79	-0.21
5	4	-6.6	6.02	-0.58

TABLE I: D values for different MCS and PRB combinations

Once the number of subframes to be transmitted in a transmission period is decided, we need to determine the transport block size to meet the QoS requirement (i.e., the amount of data to be transmitted in a subframe) of UEs. Notice that how to select subframes to transmit is not the focus of our study, and we assume the TRP is pre-determined. To satisfy the designated transport block size, we have several MCS and PRB size combinations. The problem is how to select the desired MCS and PRB pair among these combinations. As shown in our prior study [11], to successfully decode a transmitted message through a D2D link, a higher MCS value requires a higher SNR threshold. In contrast, if we choose a low MCS, we will use more PRBs, and noise floor will rise for the channel. The received signal strength should be greater than the receiver sensitivity to decode the signal successfully, and thus the maximum channel loss that can be tolerated to achieve reliable communication is $P_{TX} - N - NF - SNR_{th}$ [11]. Here, N is the thermal noise floor and depends on the channel bandwidth, NF is the device noise figure, and SNR_{th} is the SNR to achieve 1 % BLER after four HARQ transmissions.

Thus, with fixed transmitting power and noise figure, maximizing the channel loss that the receiver can tolerate is equivalent to minimizing the sum of N and SNR_{th} . To maximize the propagation distance (i.e., maximizing the channel loss), we consider the following objective: for each MCS and PRB size combination, we compute the utility function $D = 10 \log_{10} (PRB) + SNR_{th}$. We then pick the MCS and PRB size combination so that the smallest D value can be realized. We thus search through the MCS and PRB combinations that meet the throughput requirements. Table I illustrates some examples of D values. From the table, we can see that, by choosing the minimum D value, MCS 10 and PRB size 2 will be selected.

The average coverage probability of a link between one transmitter and one receiver for Rayleigh fading channel is computed by leveraging our prior work [11], and the results are shown in Fig. 4. In the figure, we show the coverage probability for 5 different PRB and MCS combinations. Among these combinations, as a combination of MCS 16 and PRB 1 leads to the highest D value, the worst performance is achieved, while as a combination of MCS 10 and PRB 2 leads to the lowest D value, the best performance is achieved. A combination of MCS 5 and PRB 4 leads to the second best, resulting in the performance being close to the best. For the single D2D link, by picking the MCS and PRB combination with the lowest D

value, we can maximize its coverage probability.

Notice that the aforementioned result is only for D2D links without interference from other transmitters. If each D2D transmitter uses this strategy, in a multi-group environment, it may not achieve the overall best system performance with respect to coverage probability. The resource allocation scheme using the smaller number of PRBs can cause less interference to neighboring groups, which could outperform the best pair (e.g., MCS 10, PRB 2) with the increase of D2D groups in the region. Thus, depending on how dense the area is (i.e., the number of D2D groups packed in this area), a UE needs to give weight to a particular PRB size in order to achieve better system performance. With the pre-knowledge of the number of D2D groups in the deployed area, the UE can select the MCS and PRB pair accordingly so that the overall system performance can be improved.

In the following, we introduce two other schemes that leverage available network information to improve the system performance.

D. RSS-based Random Scheme

RSS-based random resource allocation scheme adopts power control to reduce the energy consumption of the transmitter UEs and potentially reduces interference to neighboring groups. In the RSS-based random scheme, we leverage the **D2D discovery service**. There are two discovery modes: (i) *Model A*, and (ii) *Model B*. In *Model A*, the UE sends a discovery message autonomously, while in *Model B*, the UE is polled by neighboring nodes and sends the discovery response. Through *Model B*, the transmitter UE can send inquiries to its D2D group. Based on the Sidelink Discovery Reference Signal Received Power (SD-RSRP) of the discovery response message, the channel loss of UEs in the group can be estimated. Notice that **RSRP is the average power received on the resource elements that carry the Demodulation Reference Signal (DMRS) of a decoded PSDCH (Physical Sidelink Discovery Channel) signal**. From the SD-RSRP and UE's transmitter power, the **time averaged channel loss** from the receiving UE in the group to the transmitter UE can be estimated. As D2D uses the LTE uplink spectrum for communication, D2D channels in both directions are reciprocal. Thus, we can estimate the **channel loss** from the transmitter UE to the receiver UE based on channel reciprocity.

Once the maximum average channel loss in a group is available, the transmitter UE can use the same MCS and PRB as identified using the basic random allocation scheme, but instead of always transmitting using the maximum power, it may transmit with a reduced transmission power using the channel loss information. We assume network deployment is not fast-changing, and thus the **CSI collected in the discovery process can be used to assist communication**.

Power control can be conducted either open-loop or closed-loop. The open-loop control is to set the transmission power based on average receive power, while the closed-loop control is used more often to accommodate the fast-fading effect. By controlling the transmitting power, UEs can not only save

energy and improve battery life, but also reduce interference with other transmitting UEs in neighboring groups while preserving QoS of the UEs. Since D2D group communications do not have physical layer feedback and only coarse channel state information is available, we use the channel information to set the initial transmitting power.

In this scheme, we introduce the **compensation factor (CF)**, which denotes how much compensation we want for the channel loss (including both path loss and shadowing). The transmitted power after power control is $P_{tx} = \min((1 - CF)P_{max} + CF \times PL_{max} + \text{noise floor}, P_{max})$, where P_{max} is UE's maximum allowed transmit power and PL_{max} is the maximum channel loss of the radio link between transmitter UE and its group UEs. When CF is 1, P_{tx} is the minimum transmitting power to bring the received average power just above the noise floor. With the growth of CF , we can increase the transmitting power to have more margin and account for small-scale fading and the interference from other groups. Protocol 1 shows the detailed procedure for conducting power control.

Protocol 1 RSS-based Random Scheme

Inputs: Preselected MCS and PRB, and CF value

Output: UE transmitting power

Protocol:

- 1) Transmit UE sends discovery request using maximum power
 - 2) UEs send back discovery responses after decoding the request using the maximum power
 - 3) Transmit UE calculates the maximum channel loss experienced by its group and sets its transmit power using computed P_{tx}
-

Protocol 2 Interference Aware-Based Scheme

Inputs: Preselected MCS and PRB, and resource pool (channels)

Output: Which PRBs to select in the resource pool

Protocol:

- 1) Each UE monitors the channel that it can detect, and builds a list of channel with RSS greater than -105 dBm
 - 2) Transmit UE sends discovery request using maximum power
 - 3) UEs send back discovery responses with the channel list using the maximum power after decoding the request
 - 4) Transmit UE sorts the interference channels by interference level, i.e. the number of group UEs that can detect this channel
 - 5) Compute the channel that has the lowest level of interference
-

E. Interference-Aware Allocation Scheme

The resource allocation of the two aforementioned schemes are based on the random selection strategy, meaning that the transmitter UE randomly selects the PRB location uniformly from the resource pool. Notice that, in our study, we assume all transmitting UEs use just one channel, and each channel contains the same number of PRBs. Thus, the problem becomes how to pick the channel for transmitter UEs.

To consider interference, the transmitter UE first collects information about the interference experienced by the receiver UEs within its D2D group. This can be carried out by sending a discovery query message to nearby UEs. The UEs within the same group, after receiving the message, then piggy back the channels that it can detect (e.g., average RSS is above

-105 dBm) using the discovery response message. When the information is collected, the transmitting UE will rank each channel by the number of group UEs that can detect that channel (i.e., the number of group members that are interfered by that channel). The channel detected by the least number of group UEs will be selected by the transmitter UE. If there are multiple channels that could satisfy the requirements, we will select the channel that has been used most recently. If no such information is available, we will randomly pick one from the multiple channels. For example, if two transmitters can be detected by the same receiver, these two transmitters become interferers to each other, and we should try to put these two on different channels so that interference between them can be avoided. Thus, if a transmitter's total number of interference channels is less than the size of the channel pool, the transmitter can use one of the unused channels to transmit. If the transmitter is located within a much more dense area, and all the channels have been used by all neighbor transmitters, the channel detected by the minimum number of UEs in its group will be picked. Protocol 2 shows the detailed procedure for conducting the channel selection with the least interference.

IV. PERFORMANCE EVALUATION

To evaluate the performance of the D2D group communication system, we consider coverage probability, defined in Section III, as the key metric. To evaluate the power saving performance, we measure the power saved in the transmitting UE as the ratio of the power usage from all transmitter UEs transmitting at maximum power to the power usage when the power control scheme is in place, the results of which are presented in units of dB.

To simulate a group communication scenario, a number of UE groups are deployed in a circular region with radius 3000m. Within each group, 20 receiver UEs are deployed within a small circle of radius r around the transmitter UE. We evaluate the performance by varying the number of groups in the region in order to simulate a region with different levels of density. We also evaluate the impact of group geographic size on performance by varying the closeness of the receivers to the transmitters, i.e., by varying r . We assume all the transmitter UEs have full buffers so that the transmission is continuous. For fixed locations of transmitters and receivers, we generate log-normal random variables to simulate the shadowing effect of the channel between each transmitter and receiver pair. With the deployment and shadowing information, we can compute the area mean power of a receiver and use Eq. (1) and Eq. (3) in Section III-A to compute the average coverage probability of a deployment, and we can simulate hundreds of deployments to obtain average performance.

Basic Random Allocation Scheme: Fig. 5 and 6 illustrate the coverage probability vs. the number of D2D groups in a range of [1, 10] and [10, 100] respectively. From both figures, we can make the following observations. First, when the number of groups increases, the coverage probability reduces due to the increase in interference. When UEs in a

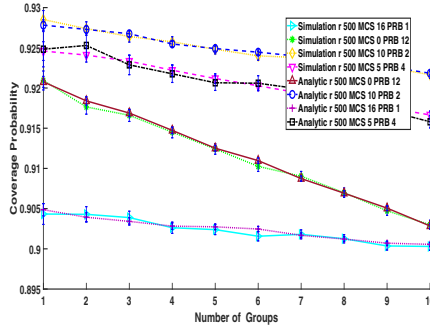


Fig. 2: Rayleigh Fading Simulation vs. Analytical (Eq. (2)) for Random Channel Selection

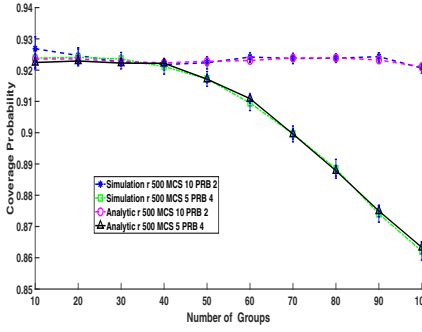


Fig. 3: Rayleigh Fading Simulation vs. Analytical (Eq. (3)) for Interference Aware Scheme

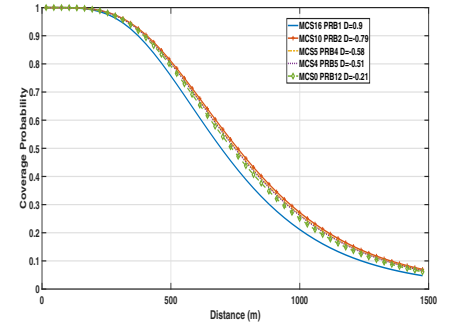


Fig. 4: Coverage Probability Comparison

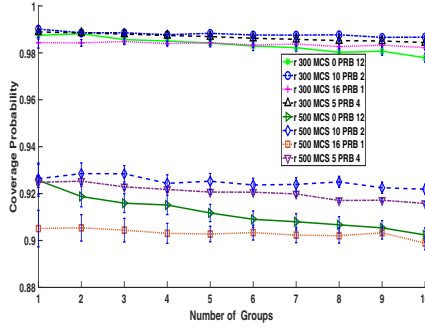


Fig. 5: Basic Random Scheme ($R = 3000$ m, $r = 300$ m, 500 m, Group=[1,10])

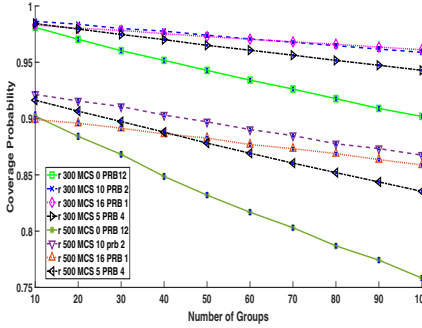


Fig. 6: Basic Random Scheme ($R = 3000$ m, $r=300$ m, 500 m, Group=[10,100])

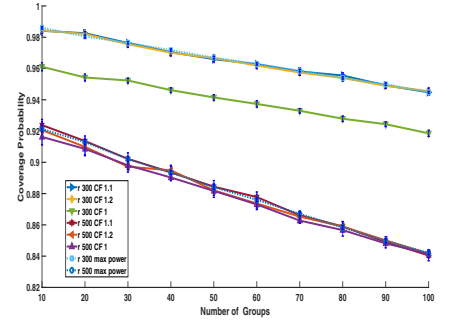


Fig. 7: Coverage Probability of RSS-based Random Scheme (MCS=10, PRB=2)

group are geographically close to each other (i.e., r is 300 m), receiving UEs have higher desired signal power and experience less interference from other transmitter groups, such that the average coverage probability is better than for UEs in more dispersed groups (i.e., r is 500 m). For just a single D2D group, the combination of MCS 10 and PRB 2 achieves the best coverage with a small margin over MCS 5 and PRB 4 (due to the smallest D value).

Also, with PRB 12, since it uses MCS 0, which requires the lowest SNR to decode the signal, when there is only one group, it performs better than MCS 16 and PRB 1. However, when the number of UE groups in a region reaches a certain level, the interference becomes a problem. When this occurs, MCS 16 starts having better coverage probability than MCS 0. This is because, with the fixed resource block size, more channels can be divided for PRB 1 than for PRB 12, leading to a lower chance of collision in the frequency domain. In general, when the system is dense, or more transmitters are deployed in a given region, the resource allocation schemes using less resources have a performance advantage. Thus, depending on how dense the area is (i.e., how many D2D groups are packed into the deployment area), the UE more heavily weights a smaller PRB size in order to achieve better coverage.

RSS-Based Random Allocation Scheme: Fig. 7 illustrates the average coverage probability vs. the number of groups

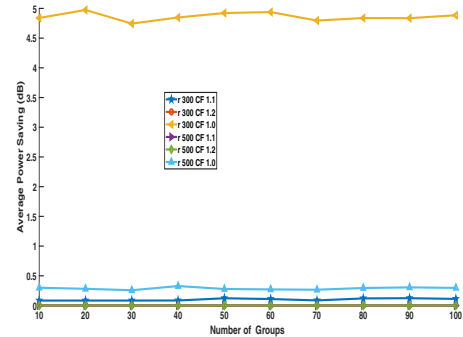


Fig. 8: Power Saving of RSS-Based Random Scheme (MCS = 10, PRB = 2)

(varying from 10 to 100), where power control is used with different values of CF. Notice that, when CF is 1, we have just enough transmitting power to compensate for the average channel loss due to path loss and shadowing. When CF is greater than 1, we overcompensate for the average channel loss to accommodate for the small-scale fading and the interference from other transmitters. Fig. 8 illustrates the average power savings in transmitter UEs as the number of groups varies from 10 to 100, and the system implements the RSS-based random scheme. For both figures, the PRB size is set to 2 and the MCS is fixed at 10.

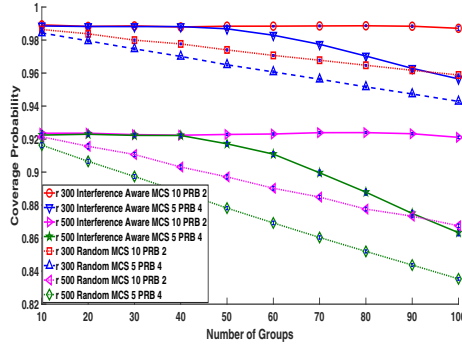


Fig. 9: Coverage Probability of Interference Aware Allocation Scheme ($R = 3000$ m, $r = 300$ m, 500 m)

From these two figures, we can observe that, when r is small (r is 300 m) and CF is 1 , UEs can save around 5 dB in transmitting power on average, with about a 2% drop in the coverage probability. However, when r becomes large (r is 500 m), to compensate for the largest channel loss in a D2D group, the transmitting power is close to the maximum transmitting power and the power savings become insignificant (around 0.3 dB). As a consequence, the coverage probability is comparable to that of the maximum transmitting power. When CF is 1.1 or 1.2 , there is almost no power savings, meaning that the transmitting UEs are approximately using the maximum transmitting power. Based on our evaluation results, we confirm that there are tradeoffs between coverage probability and power saving improvements, meaning that greater power savings results in a sacrifice in the form of lower coverage probability.

Interference-Aware Allocation Scheme: Fig. 9 shows the average coverage probability for two different UE groups with receive UE deployment distances of $r=300$ m and $r = 500$ m. We also demonstrate the performance of two MCS configurations (i.e., MCS 10 and MCS 5). We can observe that, when the UEs are closer together, the coverage performance is good, even with a random channel selection. When MCS is 10, since it uses less PRBs, radio resources can be divided into more channels. With the interference-aware scheme, when there are up to 100 groups transmitting simultaneously in the circular region of radius of 3000 m, the interference can be mitigated well and the average coverage probability remains flat. However, when MCS is 5, since it uses double the size of PRB compared to MCS 10, the number of channel divisions that it can allocate is only half. Thus, we can observe that the coverage probability for MCS 5 starts dropping when the number of groups reaches approximately 50, since there are not enough channels to avoid interference. Thus, using neighboring D2D group information, we confirm that coverage probability can be significantly improved, especially when region is dense, assuming appropriate channel selection.

V. FINAL REMARKS

In this paper, we addressed the resource allocation issue of out-of-coverage D2D scenarios for public safety commu-

nications and investigated three distributed resource allocation schemes. Particularly, we first showed how UEs could schedule resources based on the throughput requirements of applications and maximize transmission coverage. We then investigated how to select the physical block size in the resource pool once the decision is made on MCS and PRB size. To do so, we proposed three distributed resource allocation schemes, namely the basic random allocation scheme, the RSS-based random allocation scheme, and the inference-aware scheme. We conducted an extensive performance evaluation to validate the effectiveness of our proposed schemes. Our findings show that the basic random allocation scheme works effectively when the region is not dense, the power control scheme is capable of reducing UE transmission power with only a small sacrifice in coverage performance when receiver UEs belonging to a group are deployed close to the transmitter, and the interference-aware scheme can significantly improve coverage by mitigating interference among groups.

REFERENCES

- [1] R. A. Rouil, A. I. Manzanares, M. R. Souryal, C. A. Gentile, D. W. Griffith, and N. T. Golmie, "Modeling a nationwide public safety broadband network," *IEEE Transactions on Vehicular Technology*, vol. 8, no. 2, pp. 83–91, 2013.
- [2] G. Baldini, S. Karanasios, D. Allen, and F. Vergari, "Survey of wireless communication technologies for public safety," *IEEE Communications Surveys & Tutorials*, vol. 16, no. 2, pp. 619–641, 2014.
- [3] G. Fodor, S. Parkvall, S. Sorrentino, P. Wallentin, Q. Lu, and N. Brahm, "Device-to-device communications for national security and public safety," *IEEE Access*, vol. 2, pp. 1510 – 1520, 2014.
- [4] A. Kumbhar, F. Koohifar, . Gven, and B. Mueller, "A survey on legacy and emerging technologies for public safety communications," *IEEE Communications Surveys Tutorials*, vol. 19, no. 1, pp. 97–124, Firstquarter 2017.
- [5] W. Yu, H. Xu, J. Nguyen, E. Blasch, A. Hematian, and W. Gao, "Survey of public safety communications: User-side and network-side solutions and future directions," *IEEE Access*, vol. 6, pp. 70 397–70 425, 2018.
- [6] S. Lien, C. Chien, F. Tseng, and T. Ho, "3GPP device-to-device communications for beyond 4G cellular networks," *IEEE Communications Magazine*, vol. 54, no. 3, pp. 29–35, March 2016.
- [7] Q. Ye, M. Al-Shalash, C. Caramanis, and J. G. Andrews, "Resource optimization in device-to-device cellular systems using time-frequency hopping," *CoRR*, vol. abs/1309.4062, 2013. [Online]. Available: <http://arxiv.org/abs/1309.4062>
- [8] L. Su, Y. Ji, P. Wang, and F. Liu, "Resource allocation using particle swarm optimization for D2D communication underlay of cellular networks," in *Proceedings of IEEE Wireless Communications and Networking Conference (WCNC)*, April 2013.
- [9] S. He and W. Wang, "Context-aware qoe-price equilibrium for wireless multimedia relay communications using stackelberg game," in *2017 IEEE Conference on Computer Communications Workshops (INFOCOM WKSHPS)*, May 2017, pp. 506–511.
- [10] C. Lee, S. Oh, and J. Shin, "Resource allocation for device-to-device communications based on graph-coloring," in *2015 International Symposium on Intelligent Signal Processing and Communication Systems (ISPACS)*, Nov 2015.
- [11] J. Wang and R. Rouil, "Assessing coverage and throughput for D2D communication," in *IEEE International Conference on Communication (ICC)*, May 2018.
- [12] 3GPP, "Technical Specification Group Radio Access Network; Study on LTE Device to Device Proximity Services; Radio Aspects v.12.0.1," 3rd Generation Partnership Project (3GPP), TR 36.843, 2014.