# 15-122 : Principles of Imperative Computation, Spring 2016 Written Homework 8

Due: Wednesday 16<sup>th</sup> March, 2016

Name:	
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Section:	
This written homework covers amortized analysis, hash tables, and generics.	

The assignment is due by 5:30pm on Wednesday 16<sup>th</sup> March, 2016.

This assignment is to be completed by editing the PDF file and then submitted through Gradescope.

## 1. Amortized Analysis Revisited

Consider a special binary counter represented as k bits:  $b_{k-1}b_{k-2}...b_1b_0$ . For this special counter, the cost of flipping the  $i^{th}$  bit is  $2^i$  tokens. For example,  $b_0$  costs 1 token to flip,  $b_1$  costs 2 tokens to flip,  $b_2$  costs 4 tokens to flip, etc. We wish to analyze the cost of performing  $n=2^k$  increments of this k-bit counter. (Note that k is not a constant.)

Note that if we begin with our k-bit counter containing all 0s, and we increment n times, where  $n = 2^k$ , the final value stored in the counter will again be 0.

1pt

(a) The worst case for a single increment of the counter is when every bit is set to 1. The increment then causes every bit to flip, the cost of which is

$$1+2+2^2+2^3+\ldots+2^{k-1}$$

Explain in one or two sentences why this cost is O(n). (HINT: Find a closed form for the formula above.)

2pts

(b) Now, we will use amortized analysis to show that although the worst case for a single increment is O(n), the amortized cost of a single increment is asymptotically less than this. Remember,  $n = 2^k$ .

Over the course of n increments, how many tokens in total does it cost to flip the i<sup>th</sup> bit the necessary number of times?

Solution:		
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Based on your answer to the previous part, what is the total cost in tokens of performing n increments? (In other words, what is the total cost of flipping each of the k bits through n increments?) Write your answer as a function of n only. (Hint: what is k as a function of n?)

Solution:	 _	

Based on your answer above, what is the amortized cost of a single increment as a function of n only?

Solution: O(	 amortized

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3pts

#### 2. Hash Tables: Data Structure Invariants

Refer to the C0 code below for is\_hset, which checks that a given separate-chaining hash set containing only strings is valid.

```
typedef struct chain_node chain;
2 struct chain_node {
   string data;
   chain* next;
5 };
7 typedef struct hset_header hset;
s struct hset_header {
   int size;
                   // number of elements stored in hash table
                  // maximum number of chains in hash table
   int capacity;
   chain*[] table;
12 };
int hashindex(hset* H, string x)
15 //@requires H != NULL && H->capacity > 0;
16 //@ensures 0 <= \result && \result < H->capacity;
17 {
   return abs(string_hash(x) % H->capacity);
19 }
20
21 bool is_table_expected_length(chain*[] table, int length) {
   //@assert \length(table) == length;
   return true;
24 }
26 bool is_hset(hset* H) {
   return H != NULL && H->capacity > 0 && H->size >= 0
     && is_table_expected_length(H->table, H->capacity);
29 }
```

An obvious data structure invariant of our hash table is that every element of a chain hashes to the index of that chain. Thus, this specification function is incomplete: we never test that the contents of the hash table satisfy this additional invariant. That is, we test only on the struct **hset**, and not on the properties of the array within.

On the next page, extend <code>is\_hset</code> from above, adding a helper function to check that every element in the hash table belongs in the chain it is located in, and that each chain is non-cyclic. You should assume we will use the following two functions for hashing strings and for comparing them for equivalence:

```
int string_hash(string x);
bool string_equiv(string x, string y);
```

Note: your answer needs only to work for hash tables containing a few hundred million elements — do not worry about the number of elements exceeding int\_max().

```
| bool has_valid_chains(hset* H)
2// Preconditions (H != NULL, H->size >= 0, ...) omitted for space
3 {
    int nodecount = 0;
    for (int i = 0; i < _____; i++) {
       // set p to the first node of chain i in table, if any
       chain* p = _____;
10
       while ( ) {
12
          string x = p->data;
14
          if (_____!= i)
16
            return false;
^{17}
18
          nodecount++;
19
20
          if (nodecount > ____)
21
            return false;
23
24
25
       }
    }
27
          ____)
29
       return false;
31
32
    return true;
33
34 }
35
36 bool is_hset(hset H) {
    return H != NULL && H->capacity > 0 && H->size >= 0
37
       && is_table_expected_length(H->table, H->capacity)
38
       && has_valid_chains(H);
39
40 }
```

## 3. Hash Tables: Mapping Hash Values to Hash Table Indices

In our hset implementation, we require a library helper function hashindex that takes an element, computes its hash value using the client's elem\_hash function and converts this hash value to a valid index for the hash table. The first two functions below try to implement hashindex but have issues.

1pt

(a) The following function has a bug in it. For one specific hash value h, this function does not return an index that is valid for a hash table. Identify the specific hash value.

```
int hashindex(hset H, elem x)
//@requires H != NULL && H->capacity > 0;
//@requires x != NULL;
//@ensures 0 <= \result && \result < H->capacity;

int h = elem_hash(x);
return abs(h) % H->capacity;
}
```

Solution: This function fails when h =\_\_\_\_\_

1pt

(b) The following function has an undesirable feature, although it always returns a valid index. Identify the flaw and, in one sentence, explain why it's a problem.

```
int hashindex(hset H, elem x)
//@requires H != NULL && H->capacity > 0;
//@requires x != NULL;
//@ensures 0 <= \result && \result < H->capacity;
{
int h = elem_hash(x);
return h < 0 ? 0 : h % H->capacity;
}
```

1pt

(c) Complete the following function so it avoids the problems in the previous two implementations of hashindex.

```
int hashindex(hset H, elem x)
//@requires H != NULL && H->capacity > 0;
//@requires x != NULL;
//@ensures 0 <= \result && \result < H->capacity;
{
  int h = elem_hash(x);

  return (h < 0 ? ______ : h) % H->capacity;
}
```

## 4. Generic Algorithms

A generic comparison function might be given a type as follows in C1:

```
typedef int compare_fn(void* x, void* y)
//@ensures -1 <= \result && \result <= 1;</pre>
```

(Note: there's no precondition that x and y are necessarily non-NULL.)

If we're given such a function, we can treat x as being less than y if the function returns -1, treat x as being greater than y if the function returns 1, and treat the two arguments as being equal if the function returns 0.

Given such a comparison function, we can write a function to check that an array is sorted even though we don't know the type of its elements (as long as it is a pointer type):

```
bool is_sorted(void*[] A, int lo, int hi, compare_fn* comp)
//@requires 0 <= lo && lo <= hi && hi <= \length(A) && comp != NULL;</pre>
```

(a) Complete the generic binary search function below. You don't have access to generic variants of  $lt\_seg$  and  $gt\_seg$ . Remember that, for sorted integer arrays,  $qt\_seg(x, A, 0, lo)$  was equivalent to  $lo == 0 \mid A[lo - 1] < x$ .

```
int binsearch_generic(void* x, void*[] A, int n, compare_fn* comp)
//@requires 0 <= n && n <= \length(A) && comp != NULL;
//@requires is_sorted(A, 0, n, comp);
  int lo = 0;
  int hi = n;
 while (lo < hi)</pre>
  //@loop_invariant 0 <= lo && lo <= hi && hi <= n;
 //@loop_invariant lo == ____ || ____ == -1;
  //@loop_invariant hi == ____ || ____ == 1;
  {
    int mid = lo + (hi - lo)/2;
    int c =
    if (c == 0) return mid;
    else if (c < 0) lo = mid + 1;
    else hi = mid;
  }
  return -1;
}
```

2pts

Suppose you have a generic sorting function, with the following contract:

```
void sort_generic(void*[] A, int lo, int hi, compare_fn* comp)
//@requires 0 <= lo && lo <= hi && hi <= \length(A) && comp != NULL;
//@ensures is_sorted(A, lo, hi, comp);</pre>
```

2pts

(b) Write an integer comparison function compare\_ints that can be used with this generic sorting function, which you should assume is already written. You can leave out the postcondition that the result of compare\_ints is between -1 and 1 inclusive. However, the contracts on your compare\_ints function must be sufficient to ensure that no precondition-passing call to compare\_ints can possibly cause a memory error.

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2pts

(c) Using the above generic sorting function and <code>compare\_ints</code>, fill in the body of the <code>sort\_ints</code> function below so that it will sort the array A of integers using the generic sort function specified above. You can omit loop invariants. But of course, when you call <code>sort\_generic</code>, the preconditions of <code>compare\_ints</code> must be satisfied by any two elements of the array B.

```
void sort_ints(int[] A, int n)
//@requires \length(A) == n;
{
  // Allocate a temporary generic array of the same size as A
  void*[] B =
  // Store a copy of each element in A into B
  // Sort B using sort_generic and compare_ints from part b
  // Copy the sorted ints in your generic array B into array A.
}
```