

Binomial Heaps

Last Week...

- **Priority Queue** Abstract Data Type

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Object: Set S of elements with “keys” (“priority”) that can be compared

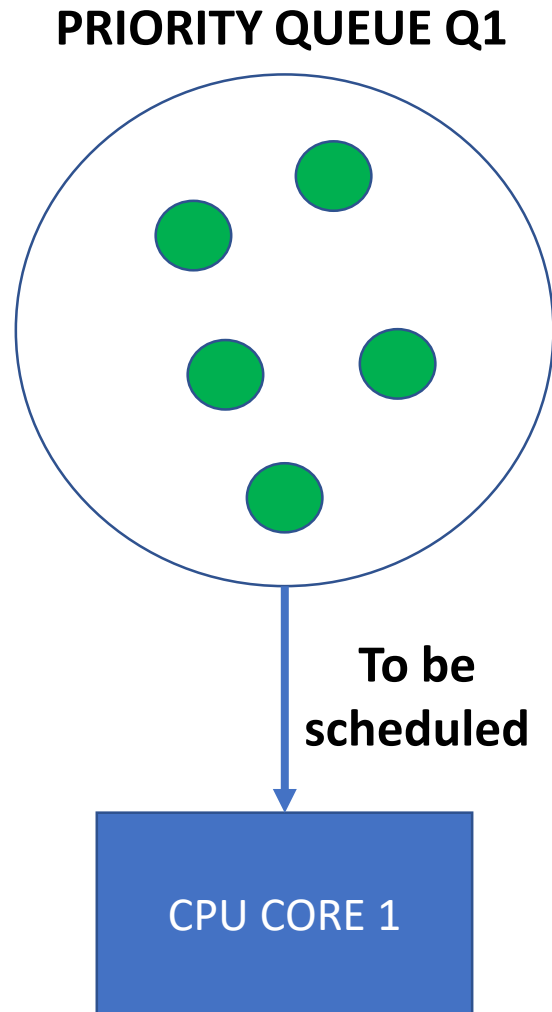
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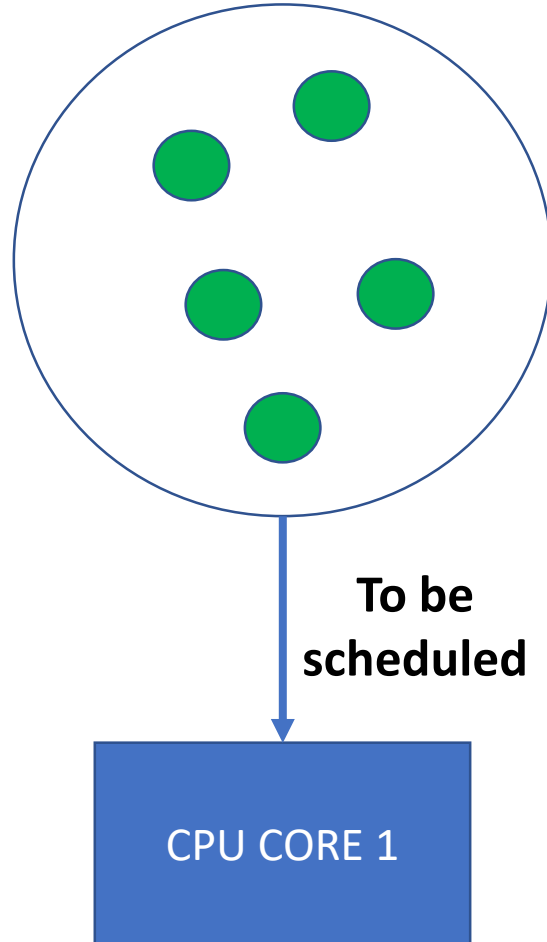
Operations: **Insert**(S, x), **Max**(S), **Extract_Max**(S)

Application: Job Scheduling by OS

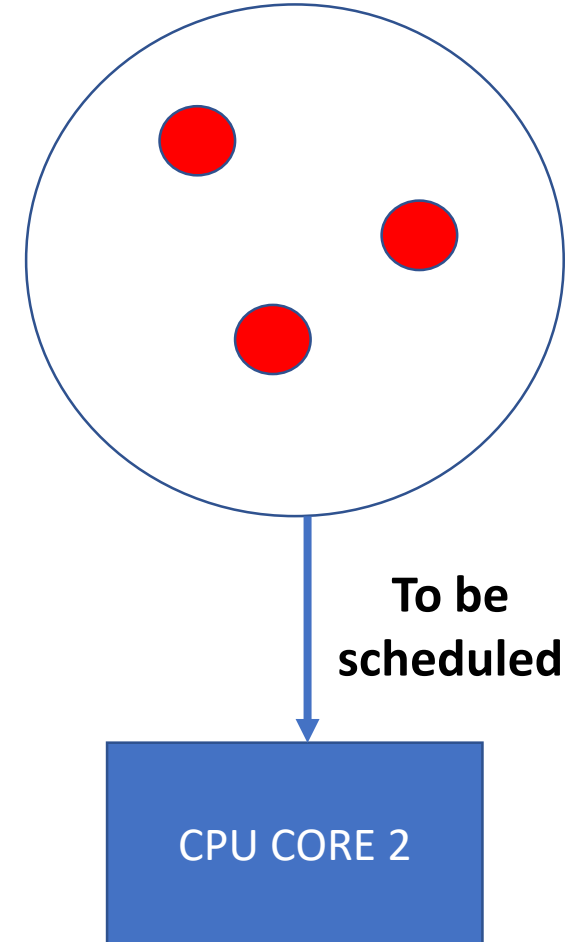


Consider the following scenario

PRIORITY QUEUE Q1

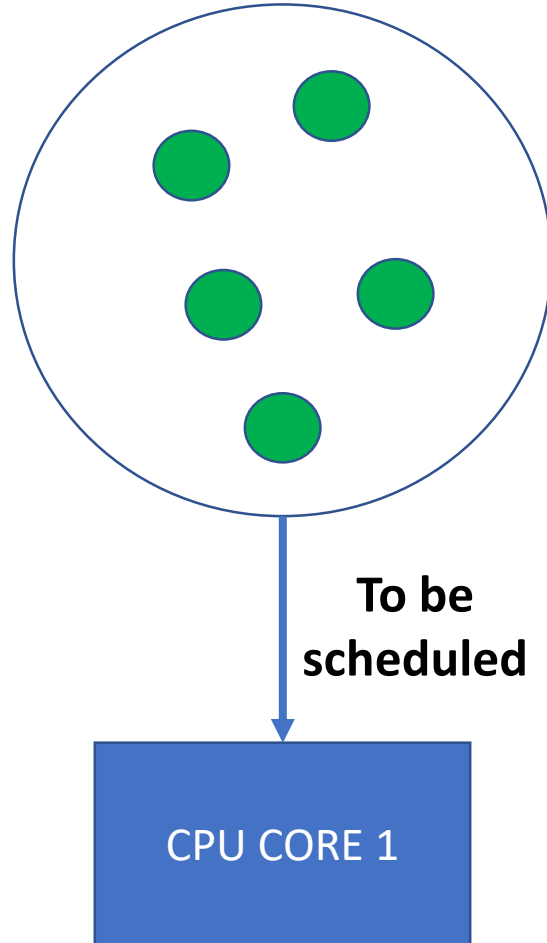


PRIORITY QUEUE Q2

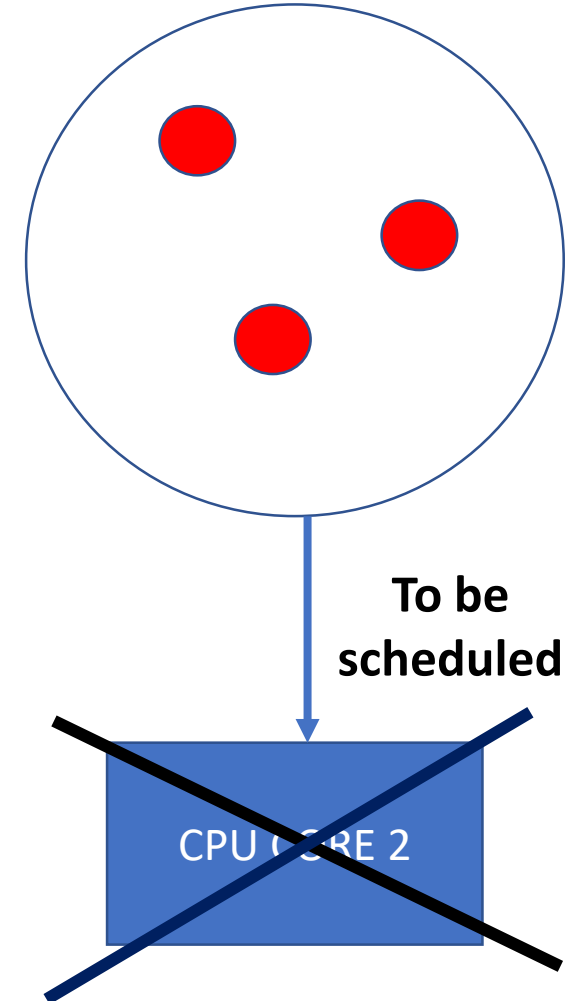


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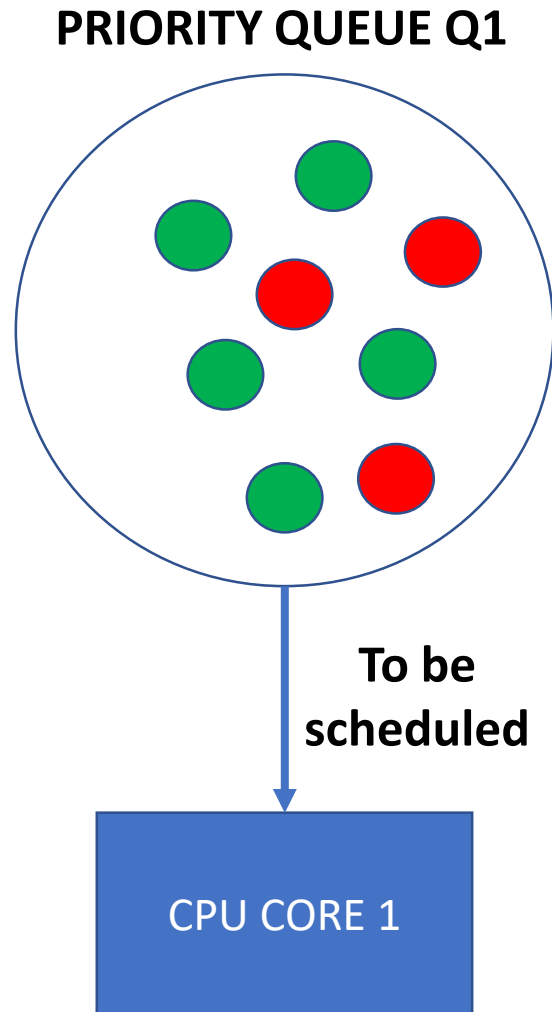
PRIORITY QUEUE Q1



PRIORITY QUEUE Q2

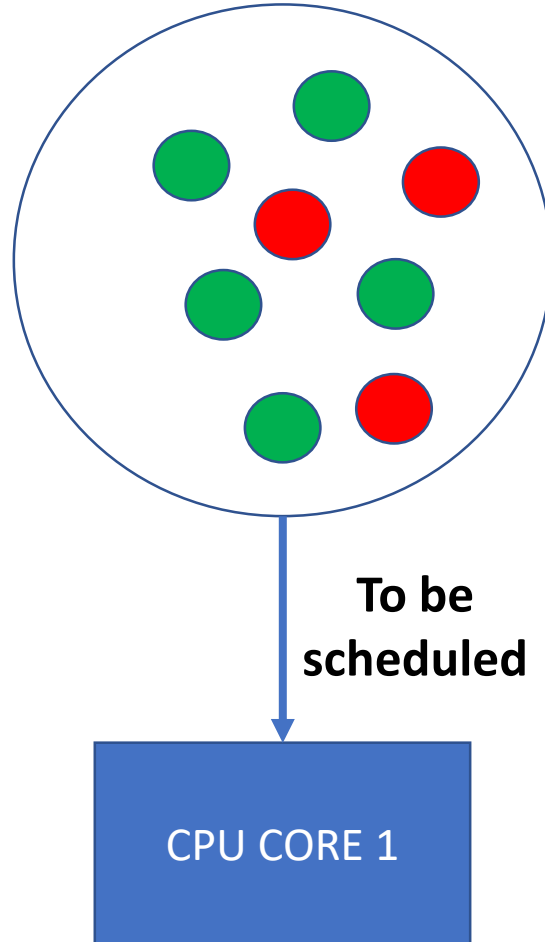


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PRIORITY QUEUE Q1



Goal: Implement $\text{Union}(Q1, Q2)$

Abstract Data Types

Abstract Data Types		Insert	Min	Extract_Min	Union
Priority Queues		✓	✓	✓	X

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Mergeable Priority Queues					

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Data Structures

Abstract Data Types	Data Structures	Insert	Min	Extract_Min	Union
Priority Queues	Min-Heap	✓	✓	✓	X
Mergeable Priority Queues		✓	✓	✓	✓

Data Structures

Abstract Data Types	Data Structures	Insert	Min	Extract_Min	Union
Priority Queues	Min-Heap	$\Theta(\log n)$	✓	✓	X
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Priority Queues	Min-Heap	$\Theta(\log n)$	$\Theta(1)$	$\Theta(\log n)$	X
Mergeable Priority Queues	Min Binomial Heap	✓	✓	✓	✓

Data Structures

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Priority Queues	Min-Heap	$\Theta(\log n)$	$\Theta(1)$	$\Theta(\log n)$	X
Mergeable Priority Queues	Min Binomial Heap	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$

Min Binomial Heaps

Visualizing Binomial Heaps

Elements are stored in a sequence of **Binomial Trees**.

Binomial Trees

B_k tree: defined recursively

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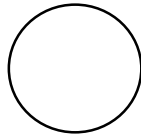
$$k = 0$$

Binomial Trees

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$B_0 :$

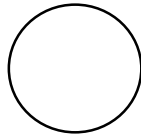


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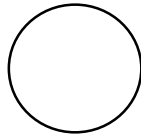
$k \geq 1$

Binomial Trees

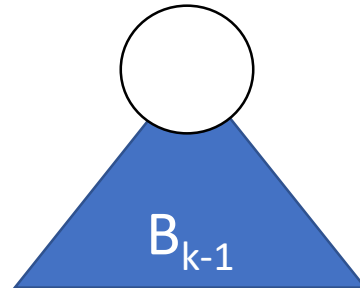
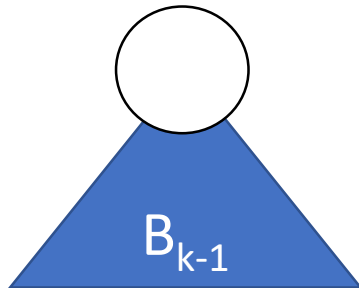
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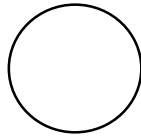


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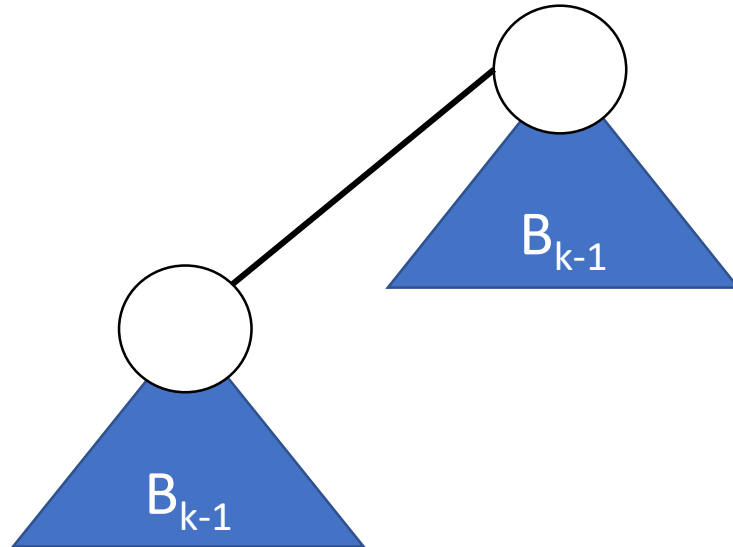
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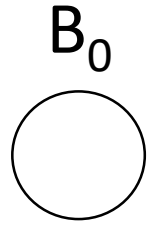
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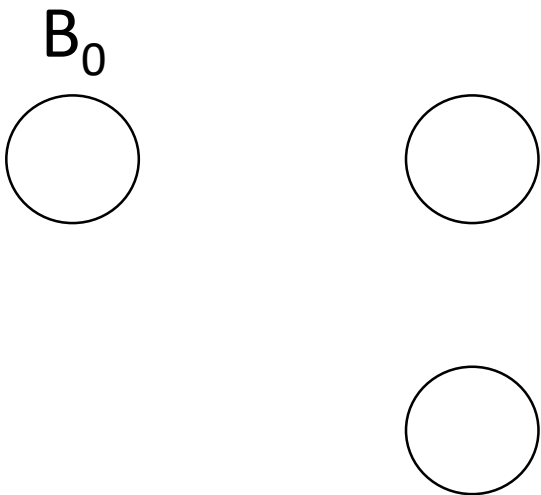


Binomial Trees (for $k = 0, 1, 2, 3$)

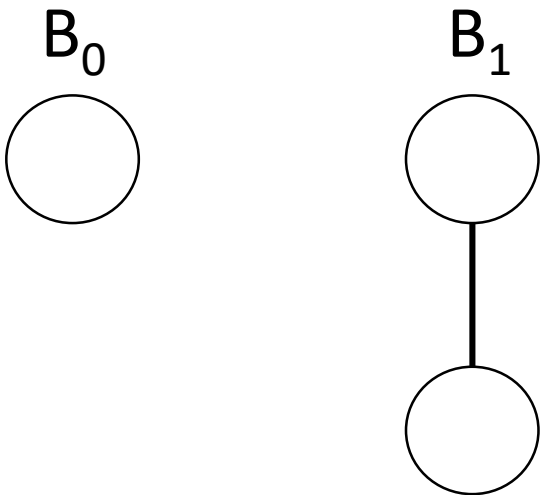
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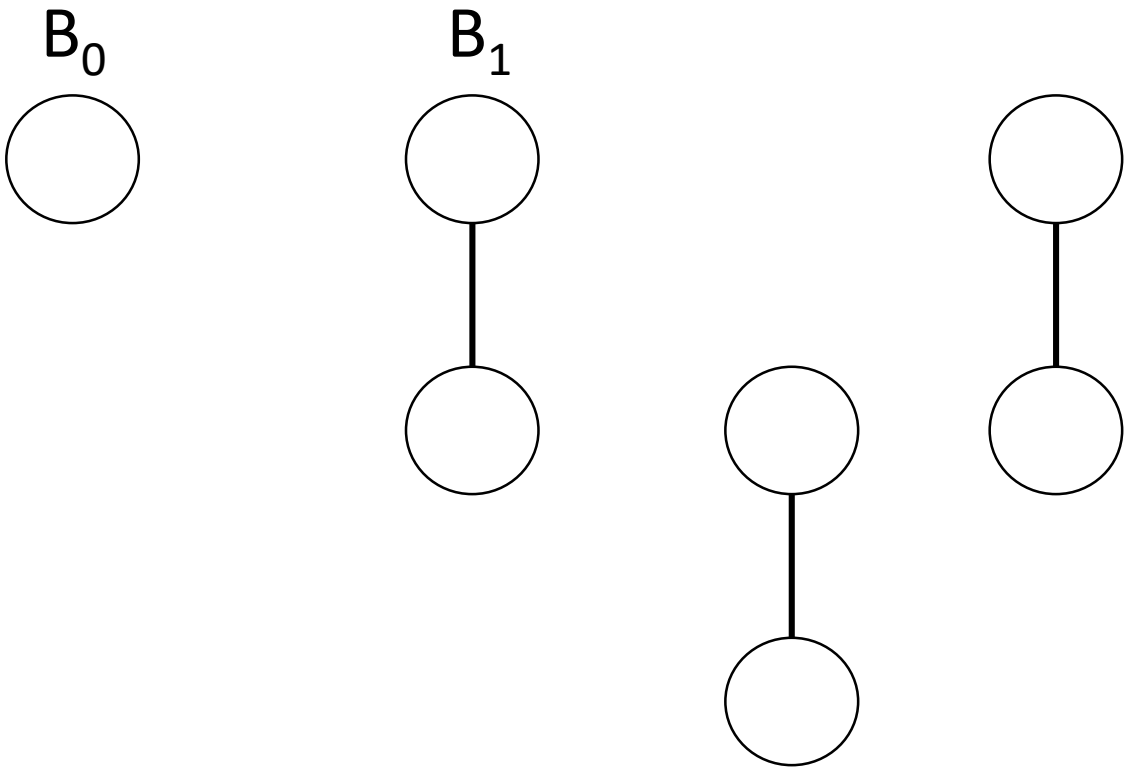
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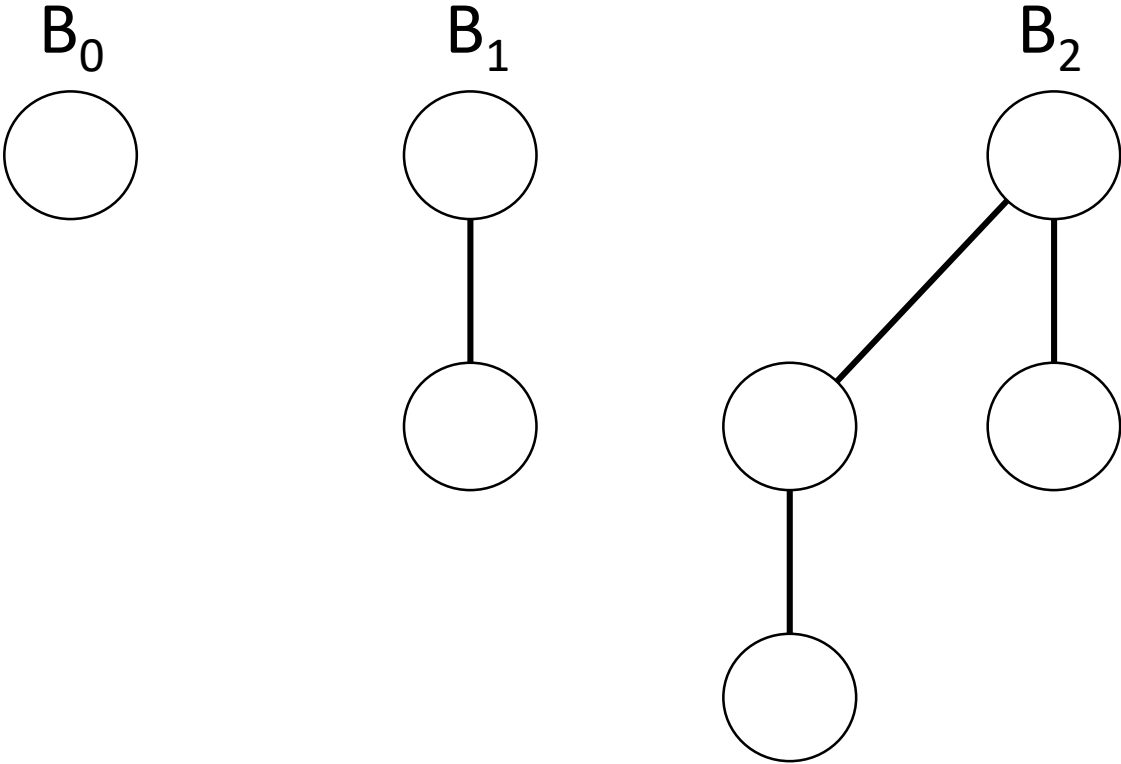
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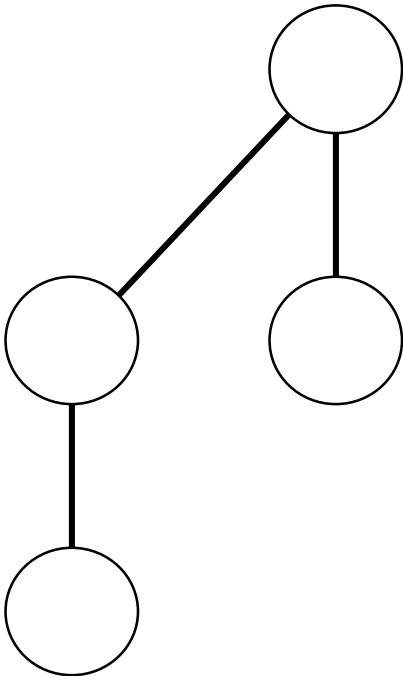
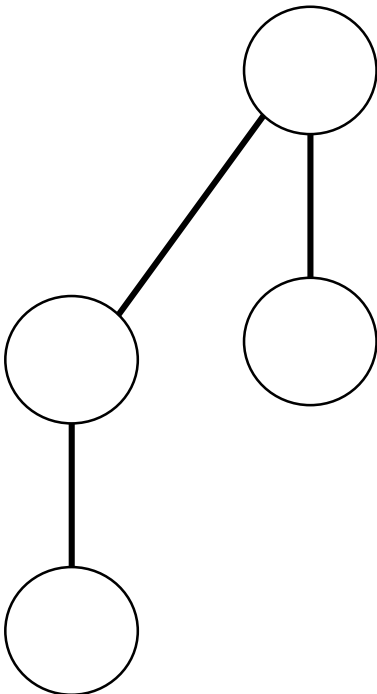
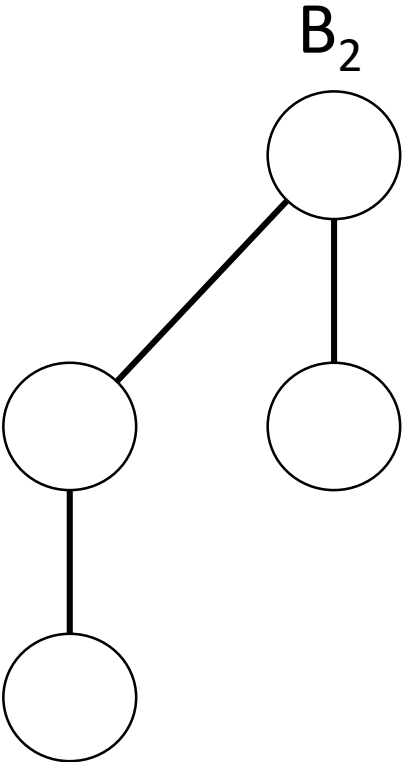
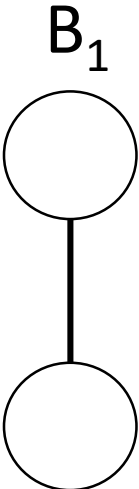
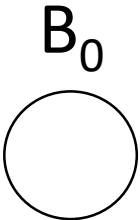
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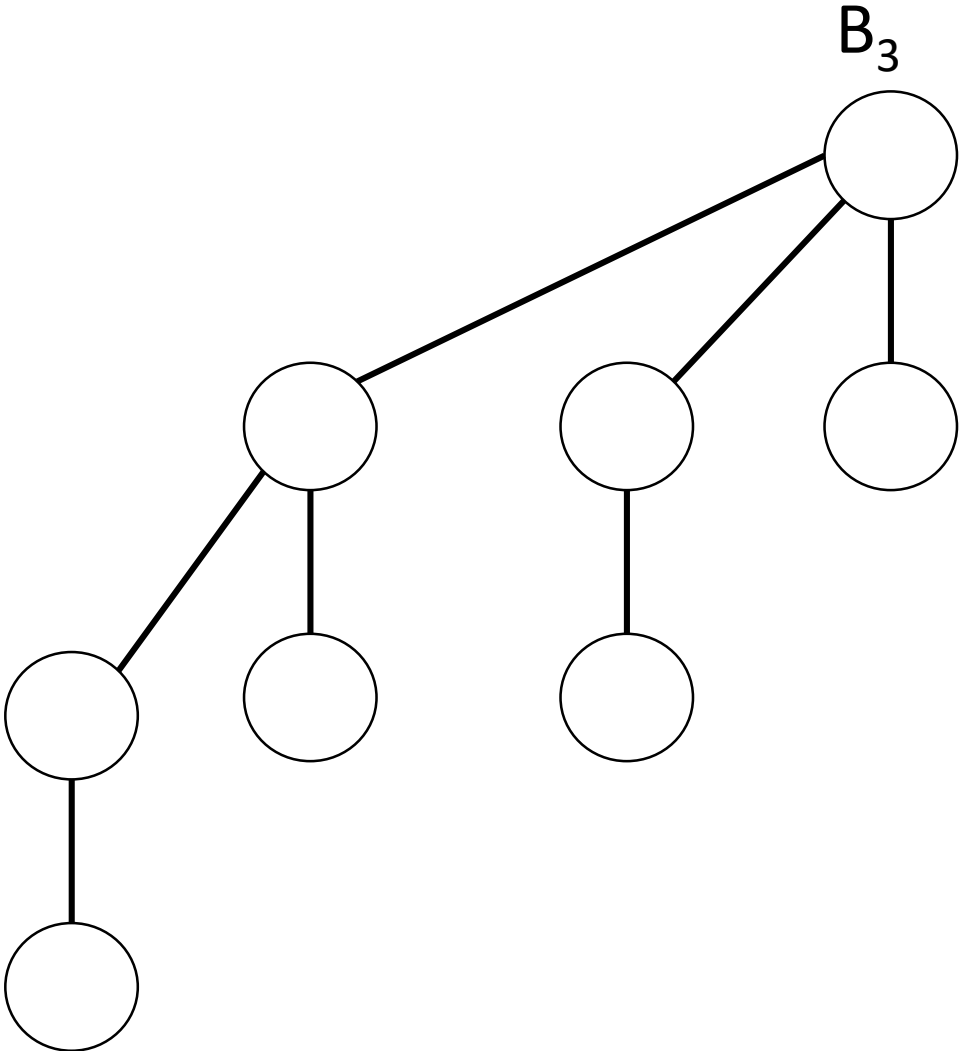
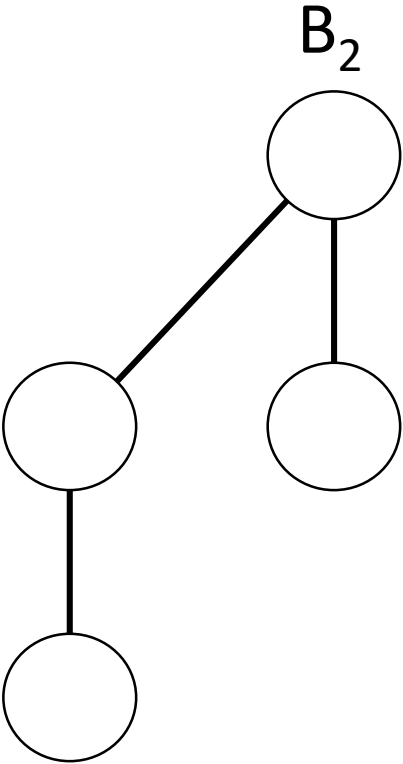
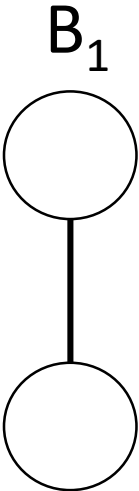
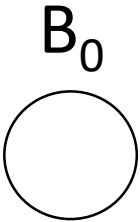
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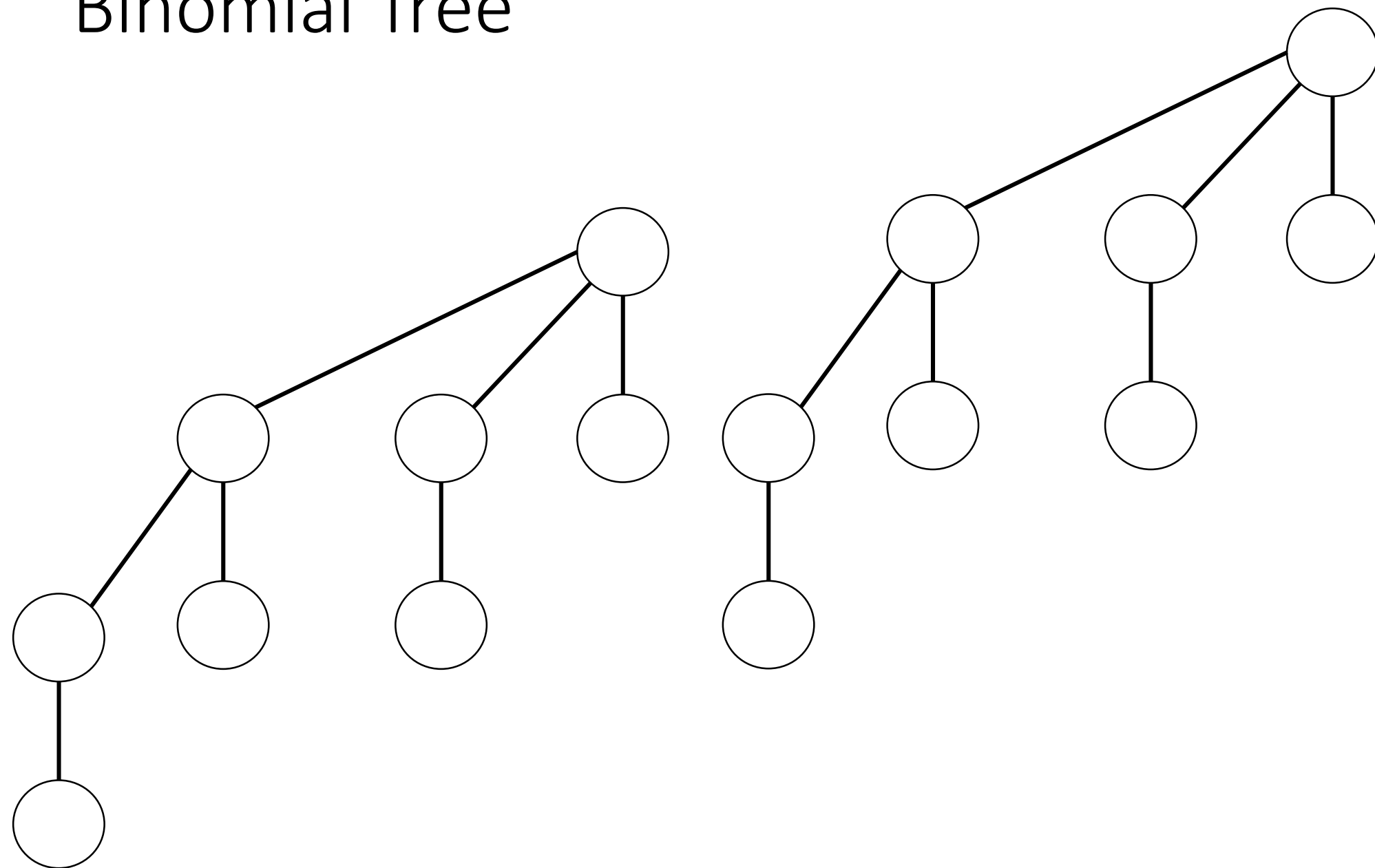
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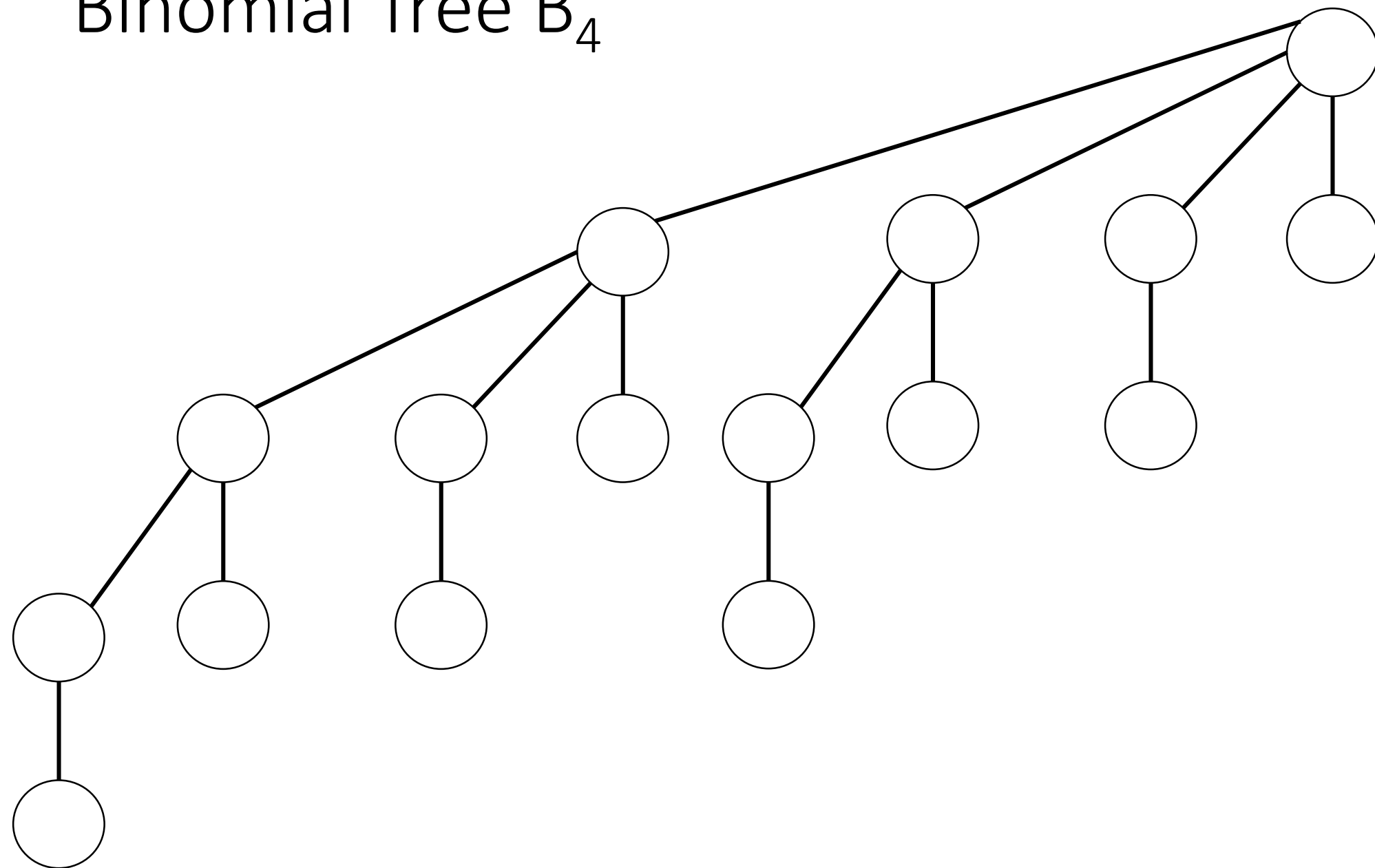
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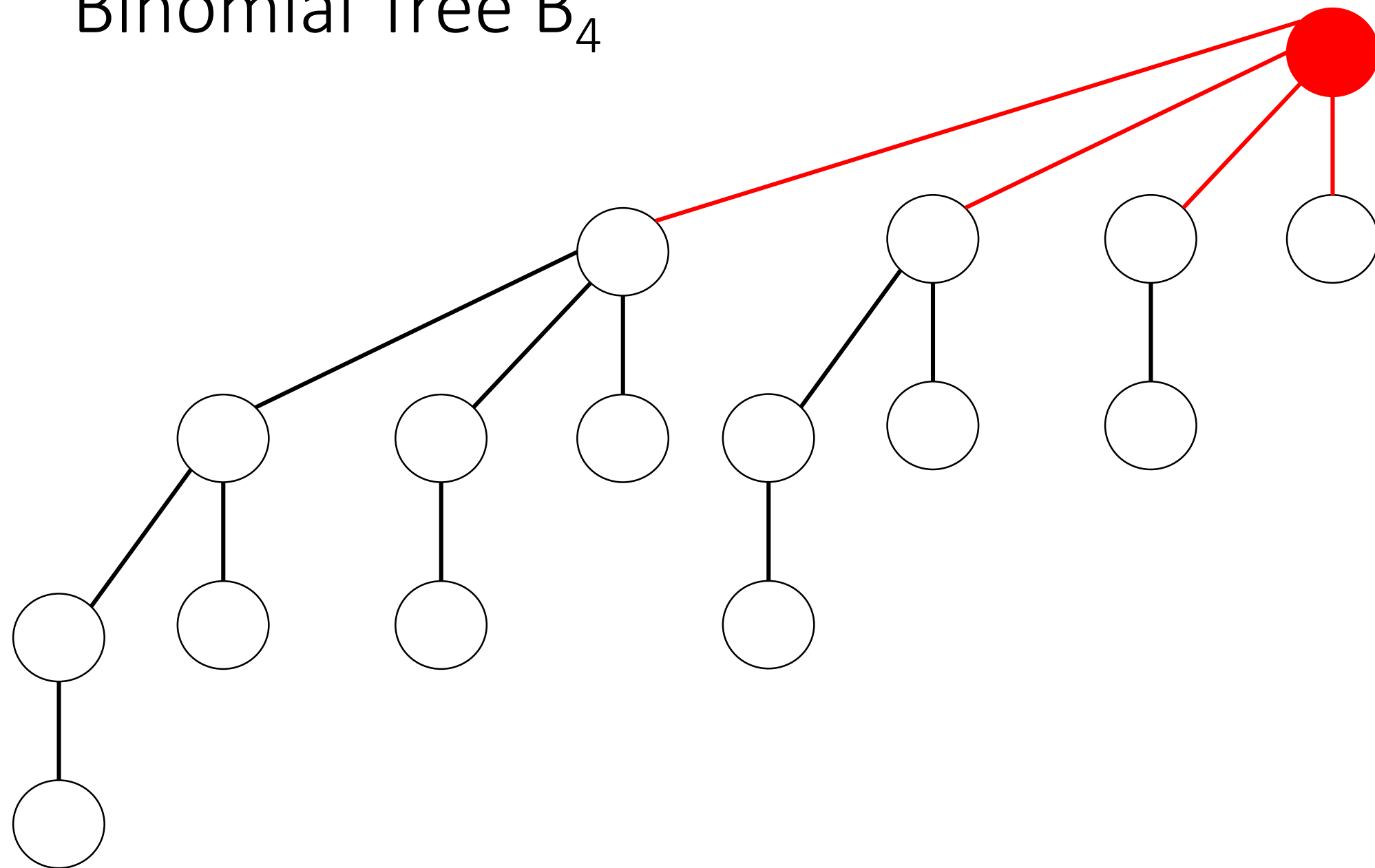
Binomial Tree



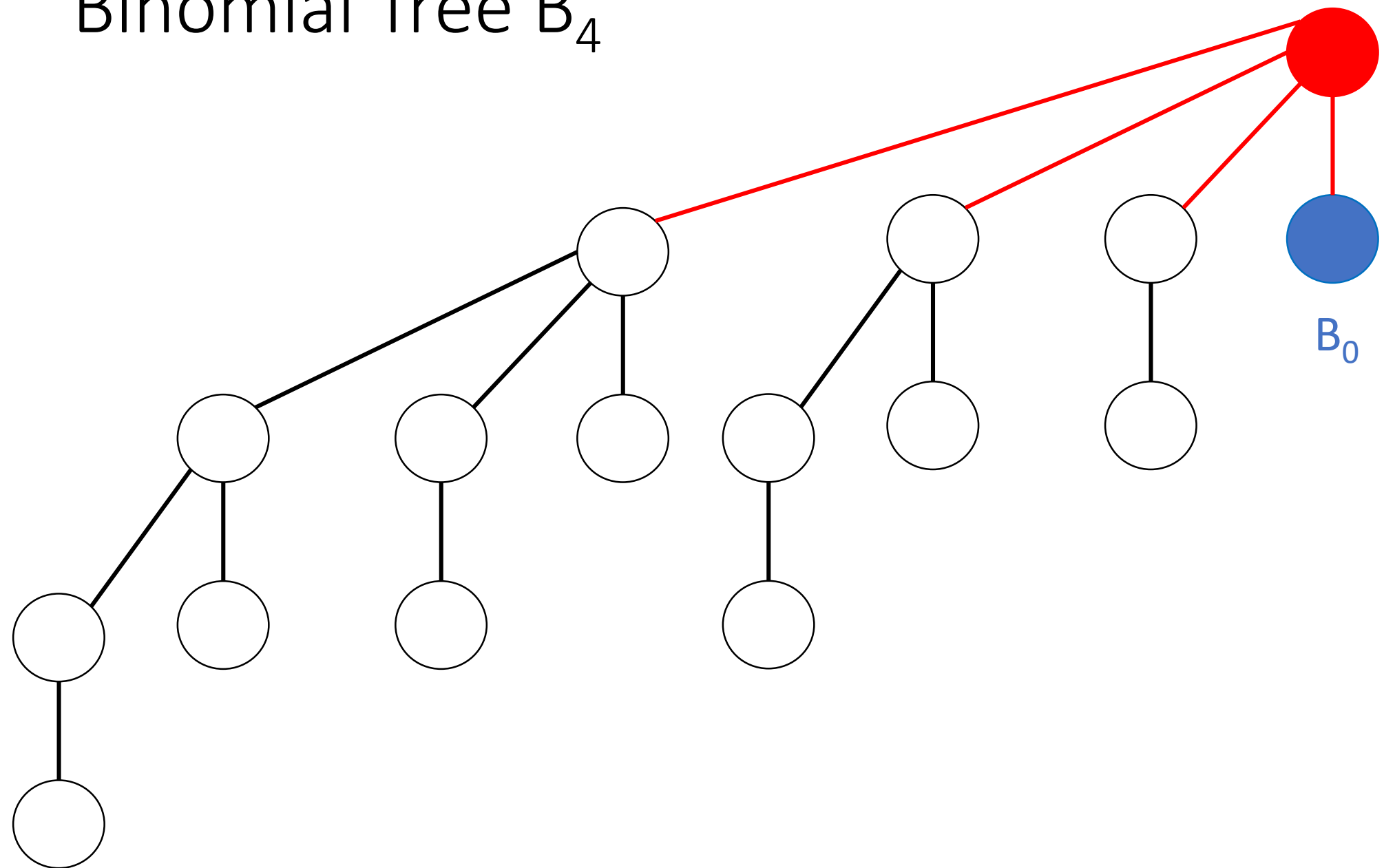
Binomial Tree B_4



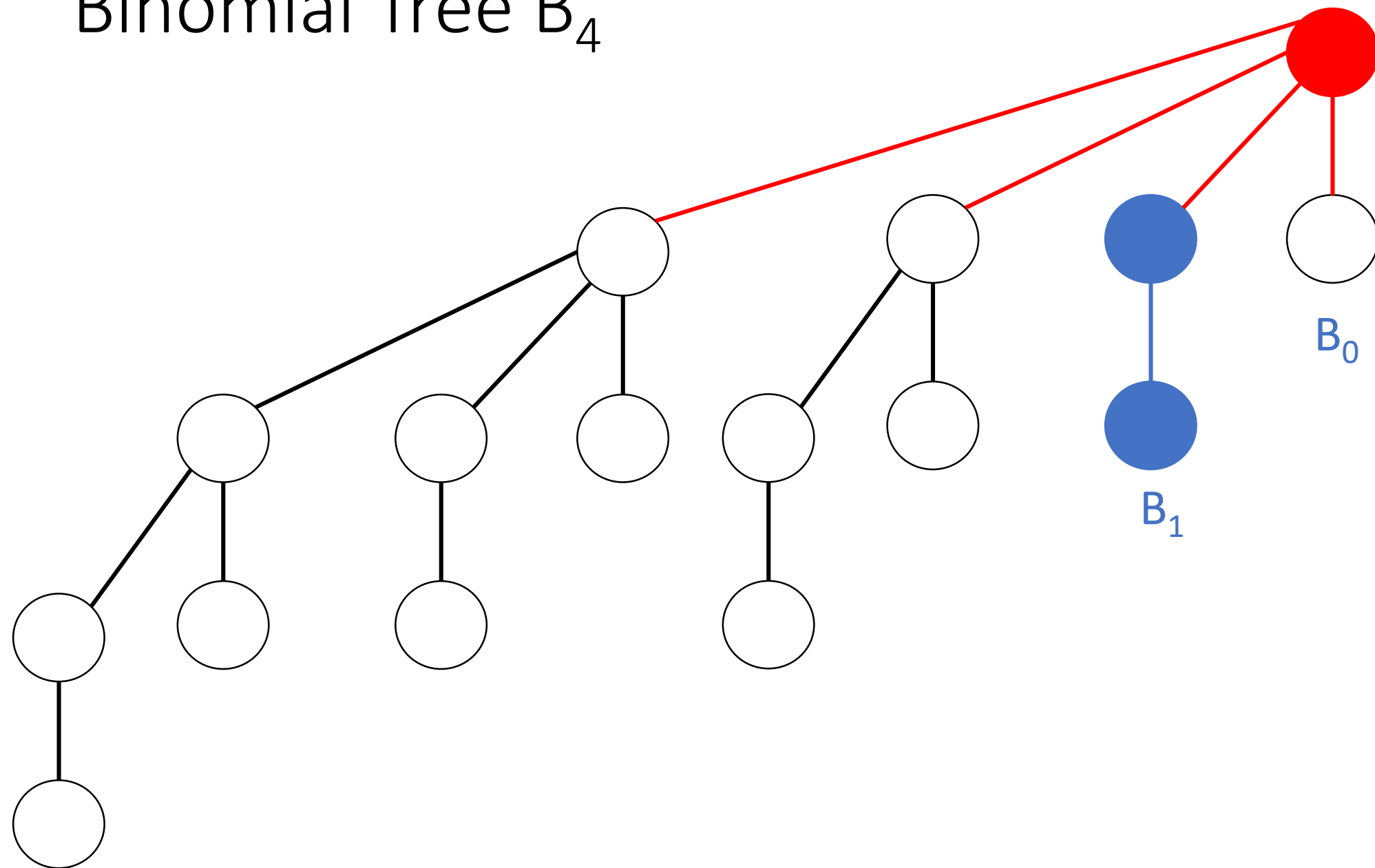
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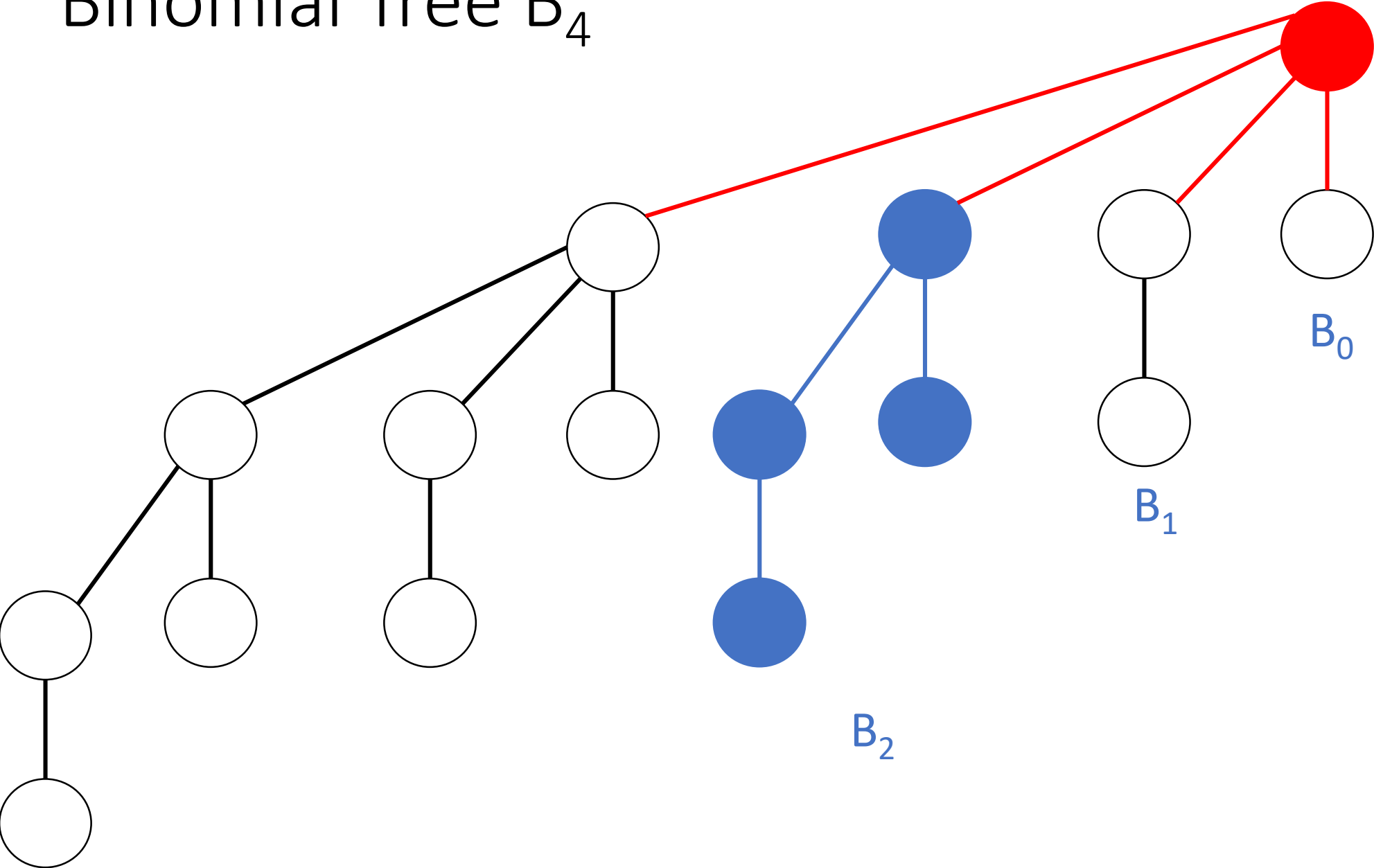
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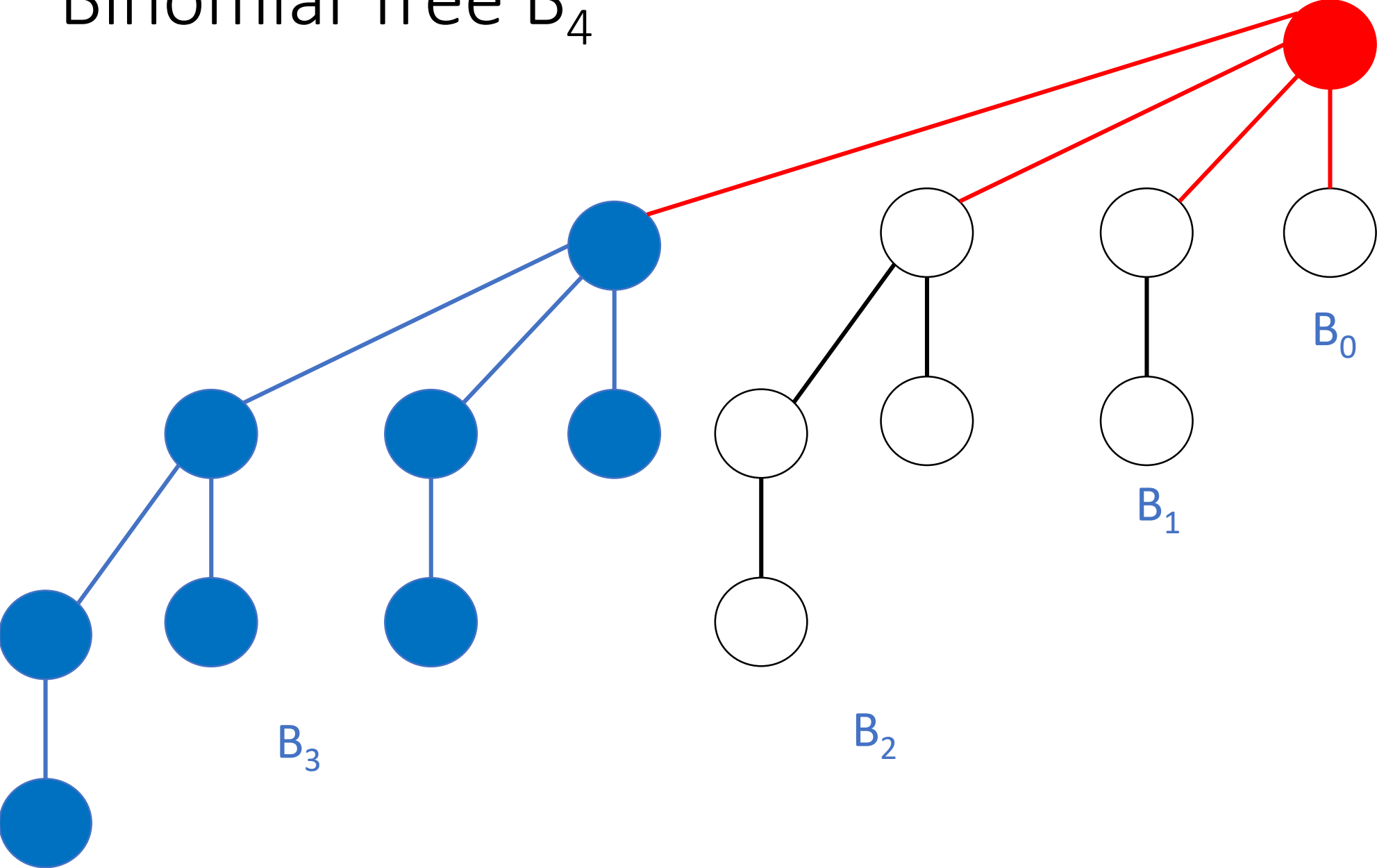
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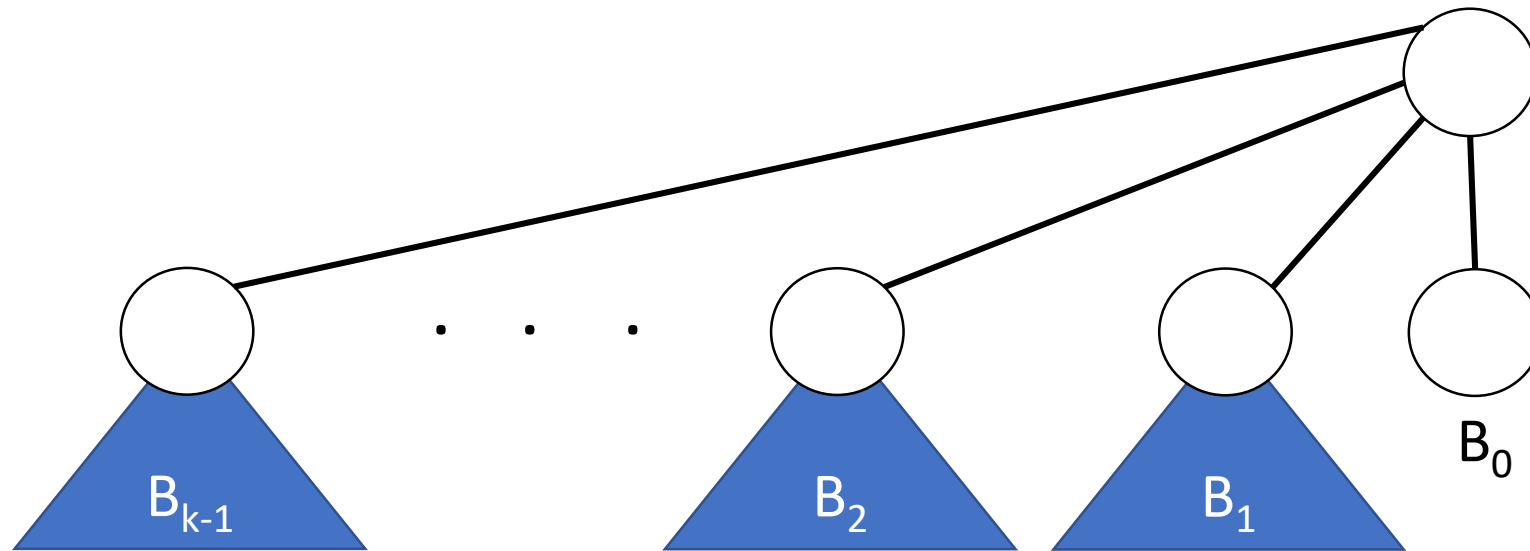
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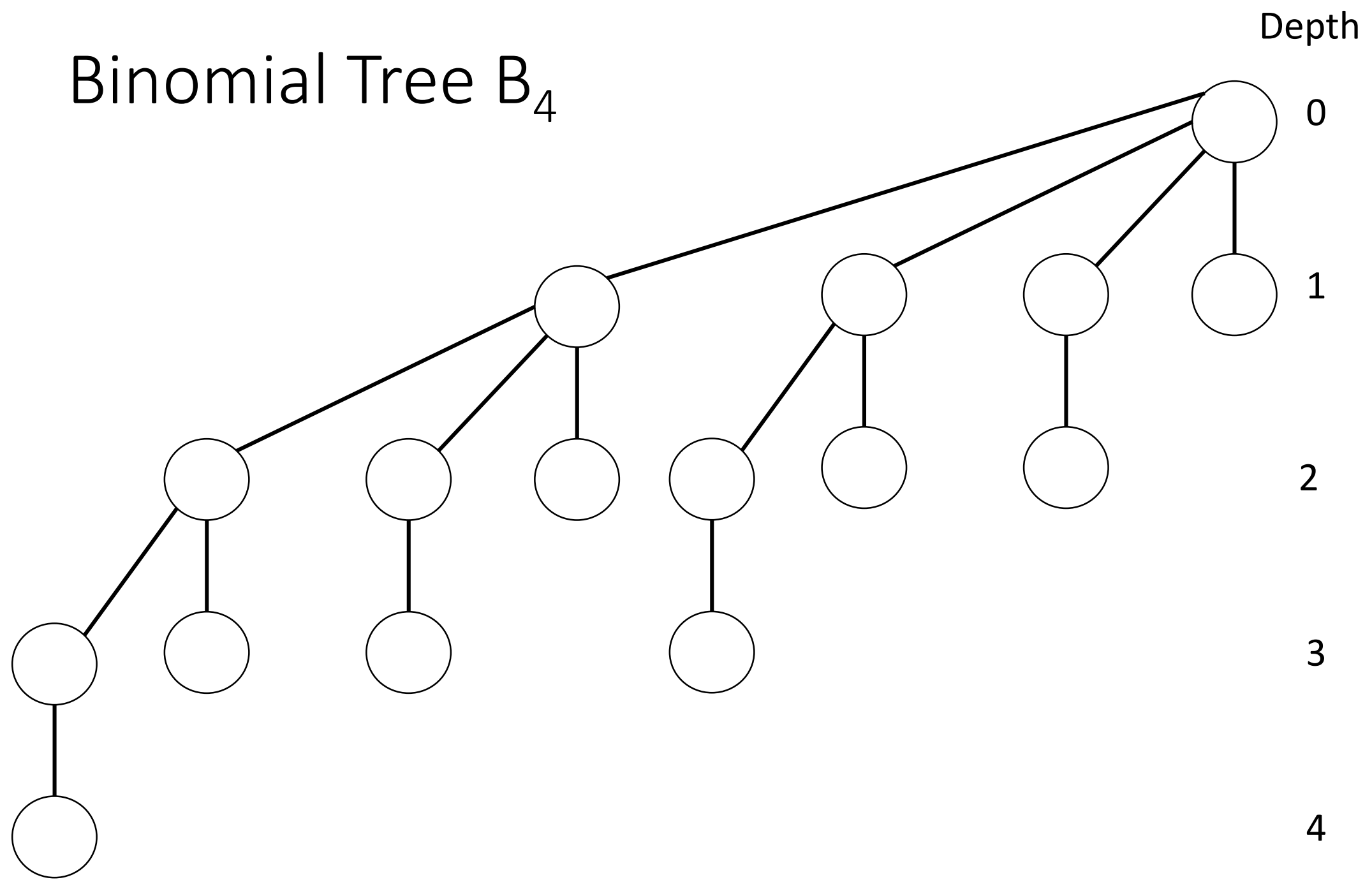
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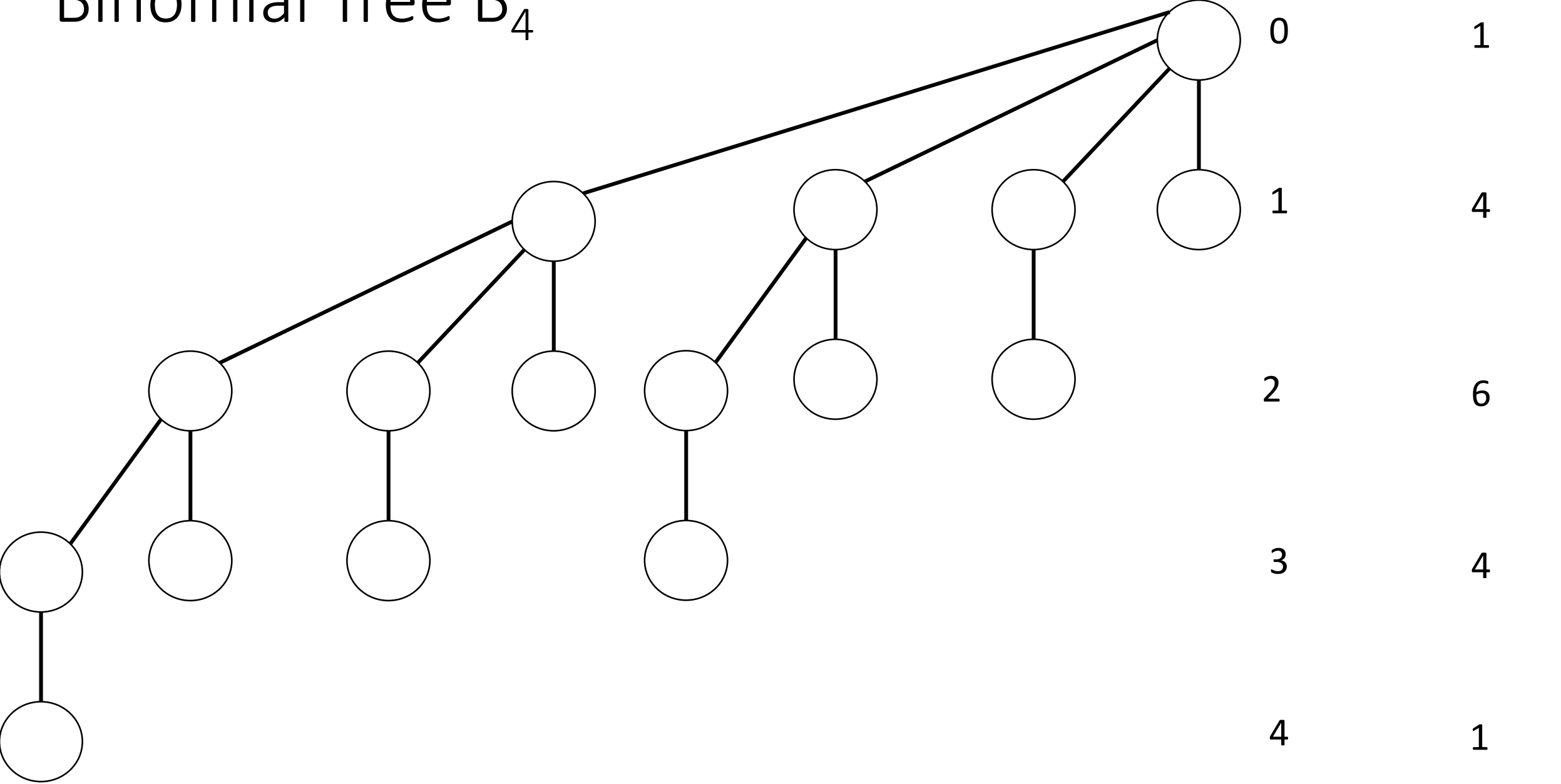
Binomial Tree B_k



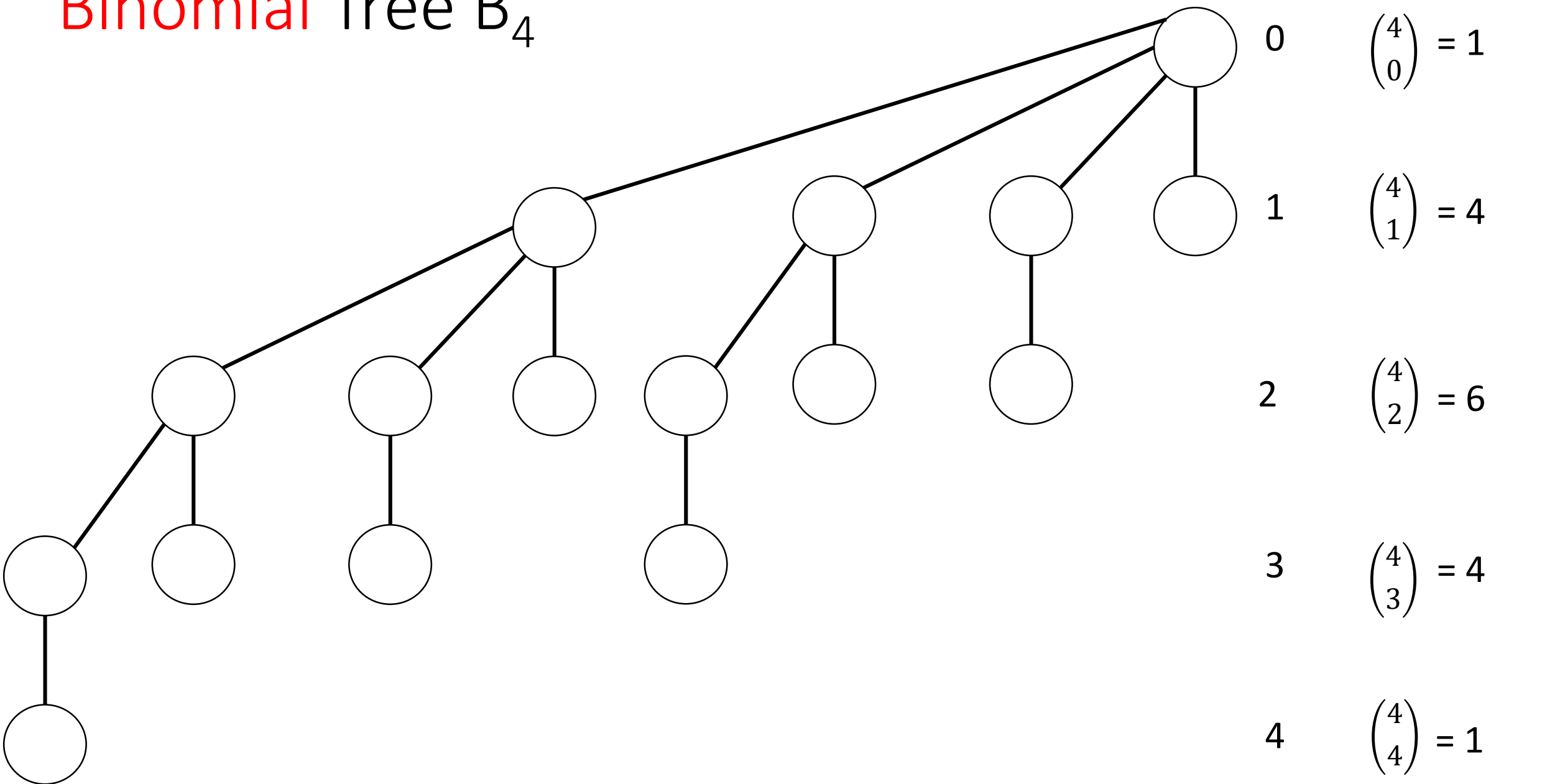
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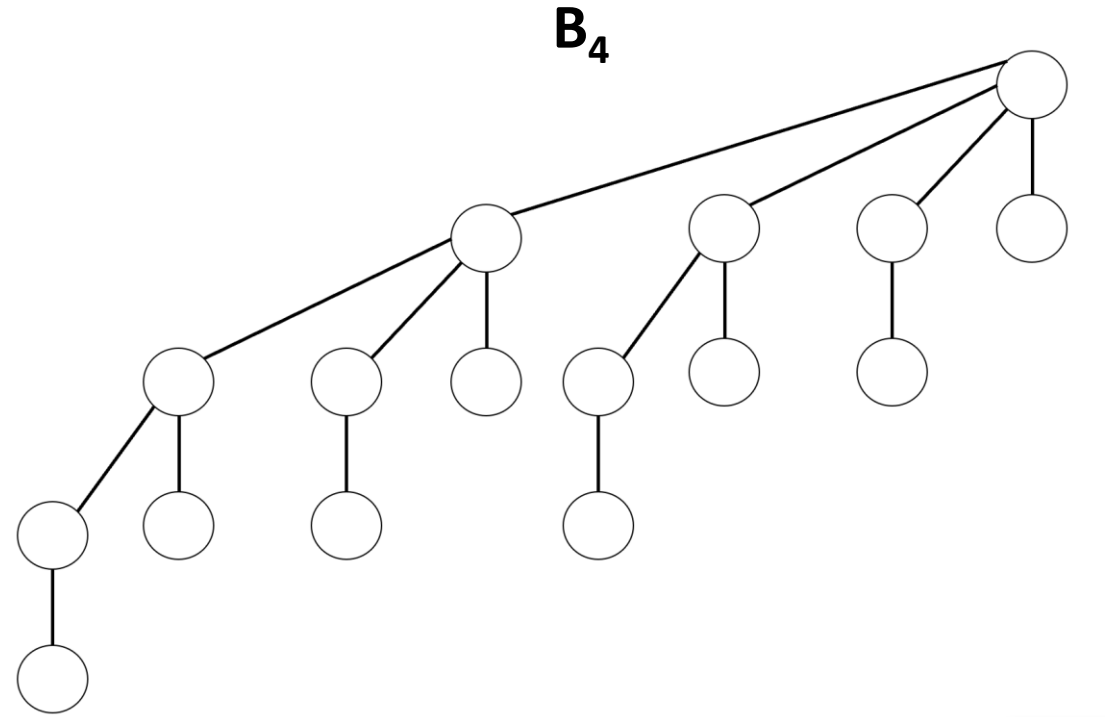


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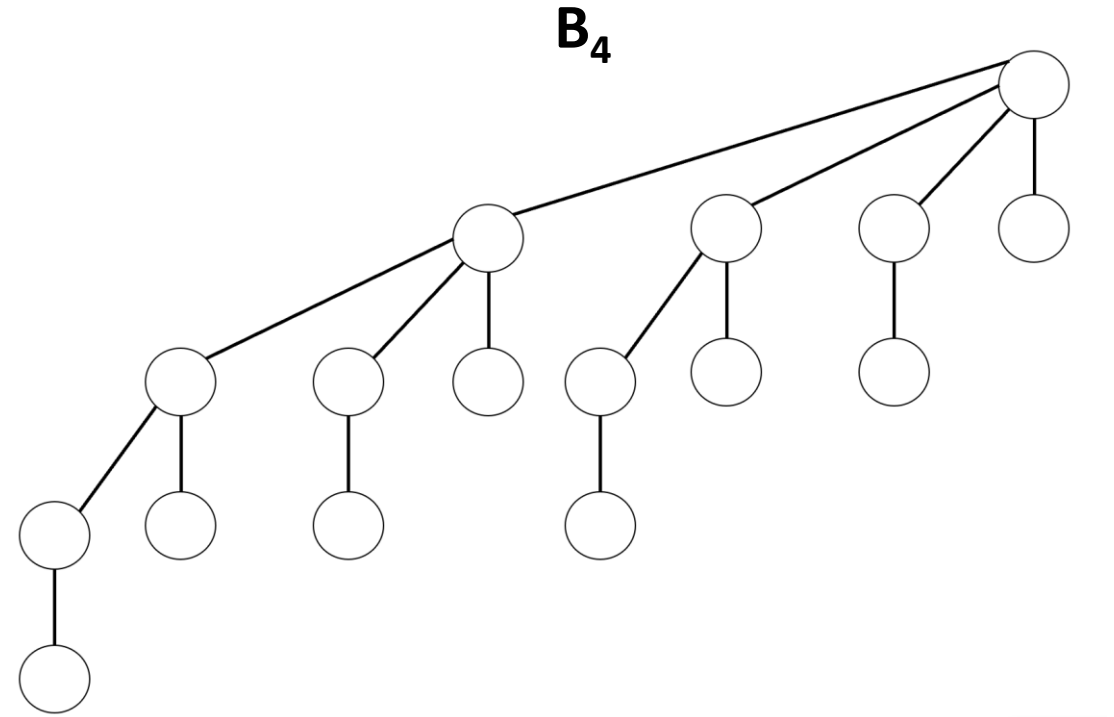
Binomial Tree properties

- B_k tree has:



Binomial Tree properties

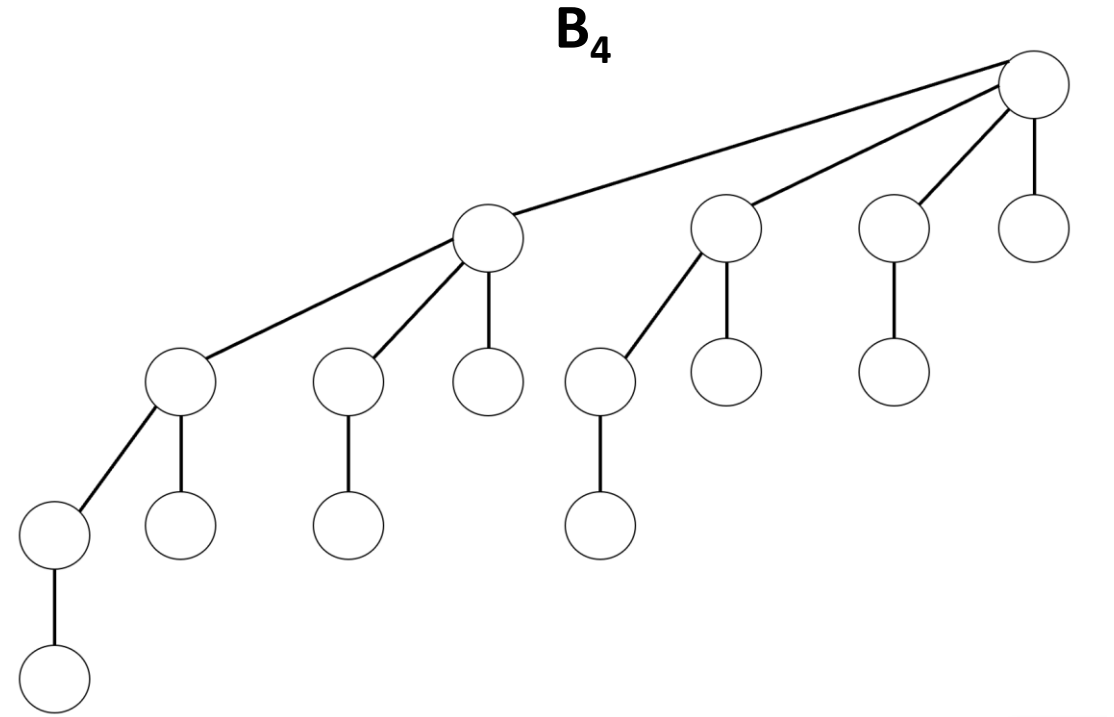
- B_k tree has:
 - Height k



Height = 4

Binomial Tree properties

- B_k tree has:
 - Height k
 - 2^k nodes

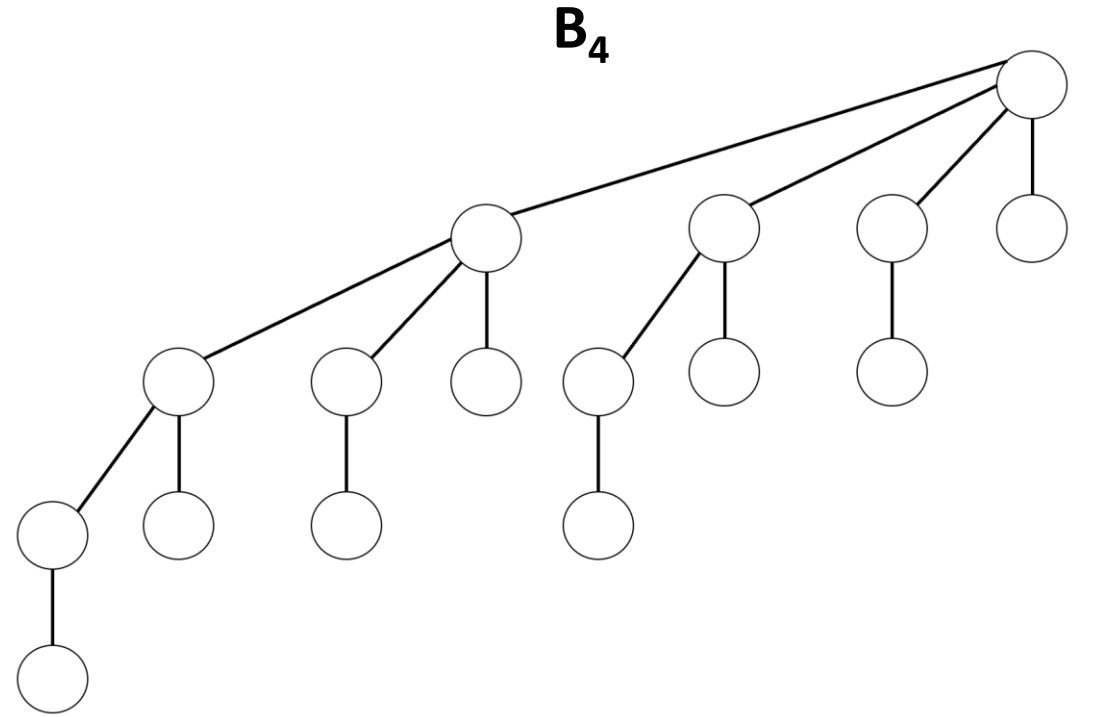


Height = 4

$2^4 = 16$ nodes

Binomial Tree properties

- B_k tree has:
 - Height k
 - 2^k nodes
 - $\binom{k}{d}$ nodes at depth d



Height = 4

$2^4 = 16$ nodes

$\binom{4}{2} = 6$ nodes at level 2

Binomial Forest of size n (denoted F_n)

A sequence of B_k trees with *strictly decreasing* k 's and a total of n nodes.

Example: Binomial Forest F_7 of $n = 7$ nodes

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$$n = 7 = \langle 1 \ 1 \ 1 \rangle_2 = 2^2 + 2^1 + 2^0$$

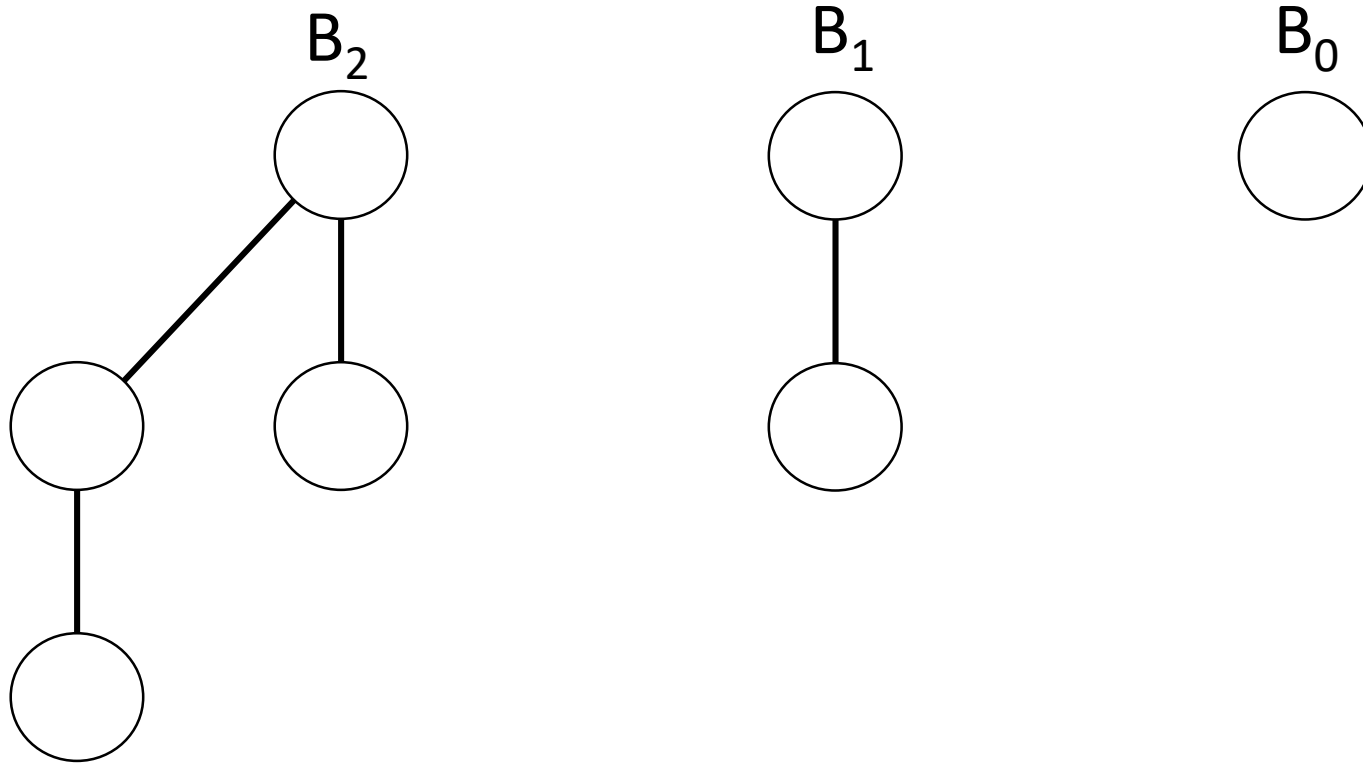
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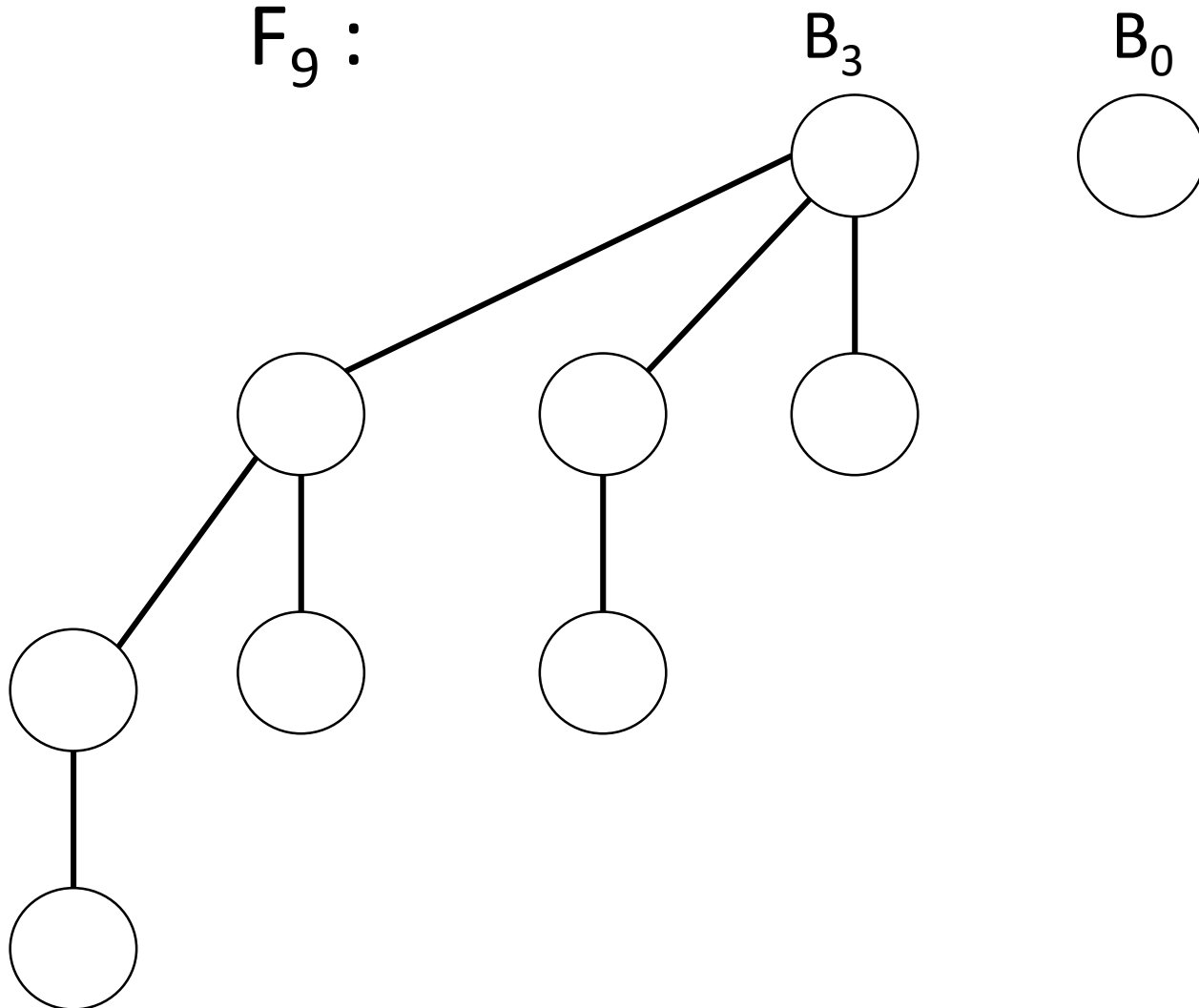
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Example: Binomial Forest F_9 of $n = 9$ nodes

$$n = 9 = \langle 1001 \rangle_2 = 2^3 + 2^0$$

$$F_9 = \langle B_3 B_0 \rangle$$

$F_9 :$



Binomial Forest F_n

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- Let $\alpha(n) = \# \text{ of } 1\text{'s in binary representation of } n$

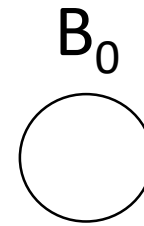
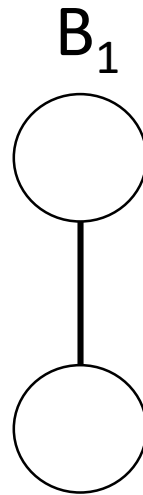
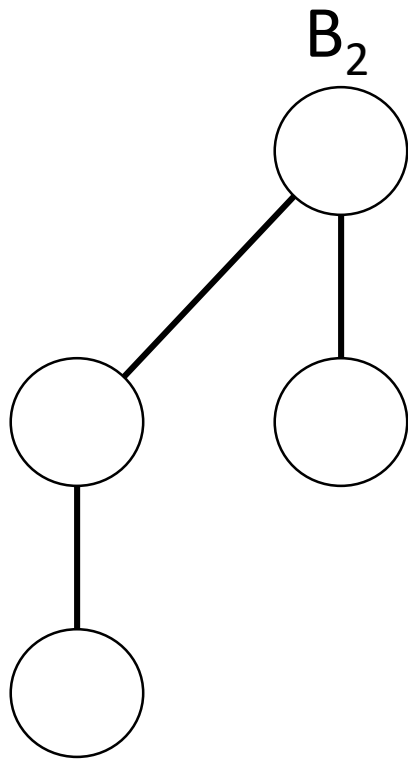
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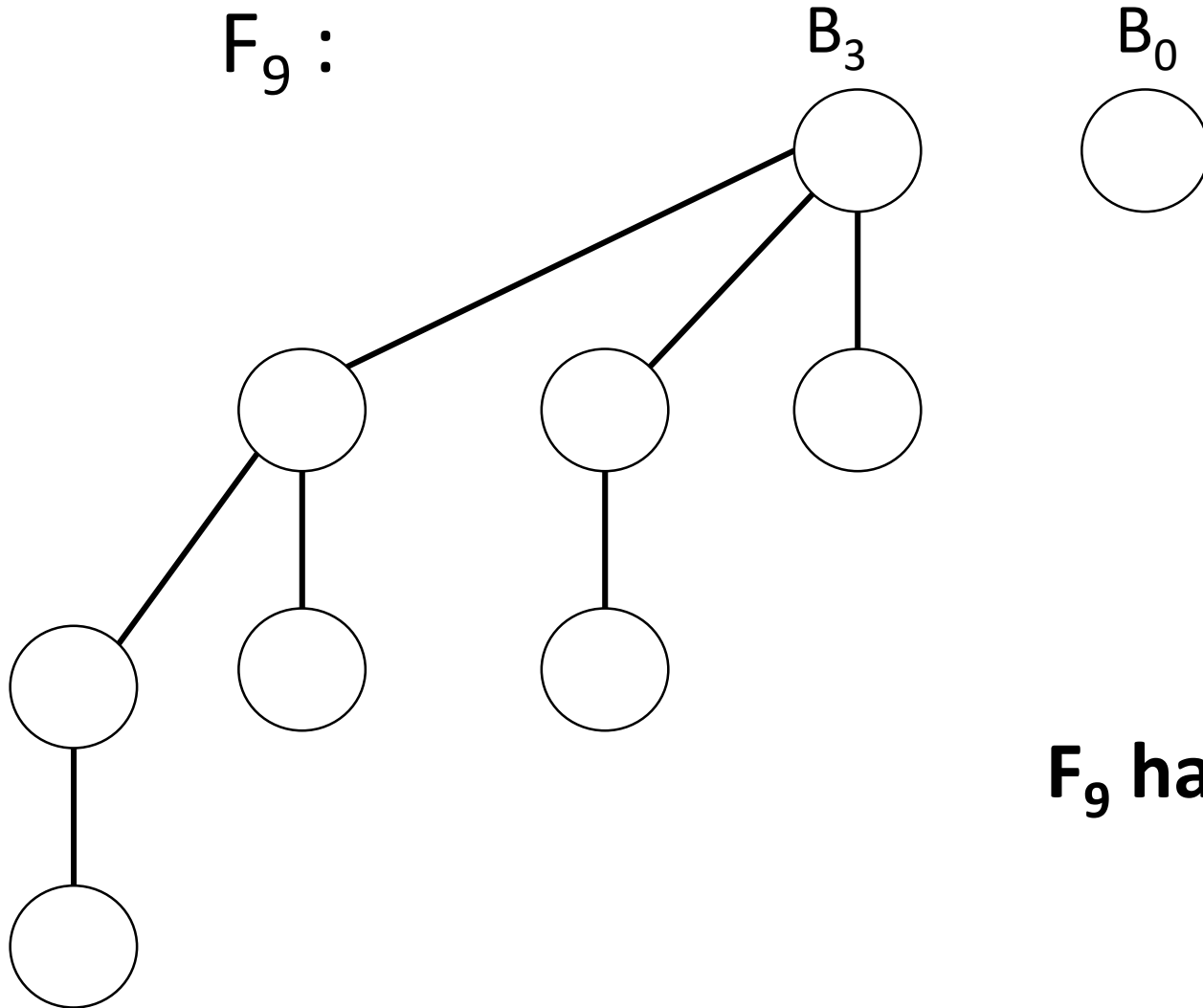


F_7 has $\alpha(7) = 3$ trees

Example: Binomial Forest F_9 of $n = 9$ nodes

$$n = 9 = \langle 1\ 0\ 0\ 1 \rangle_2$$

F_9 :



F_9 has $\alpha(9) = 2$ trees

Binomial Forest F_n

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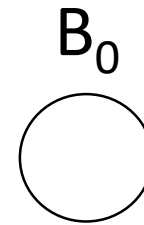
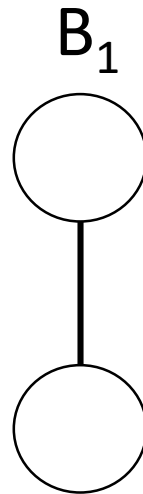
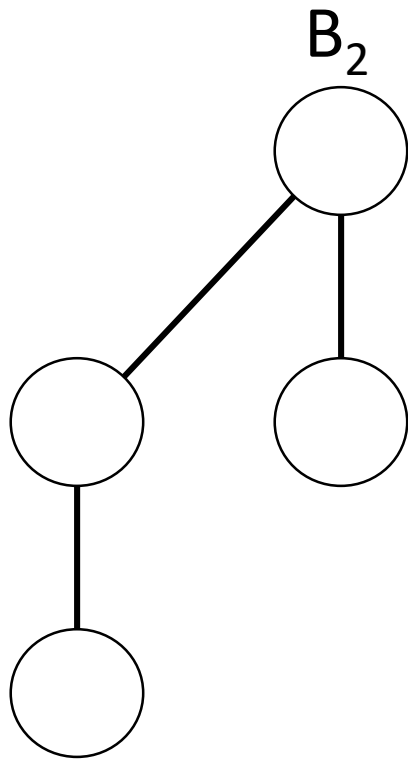
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- Let $\alpha(n) = \# \text{ of } 1\text{'s in binary representation of } n$
 - F_n has $\alpha(n)$ trees
 - F_n has $n - \alpha(n)$ edges

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$$n = 7 = \langle 1\ 1\ 1 \rangle_2$$

F_7 :

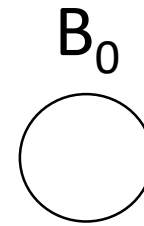
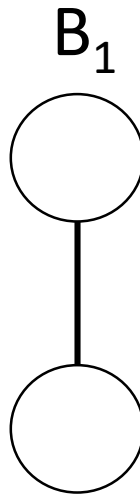
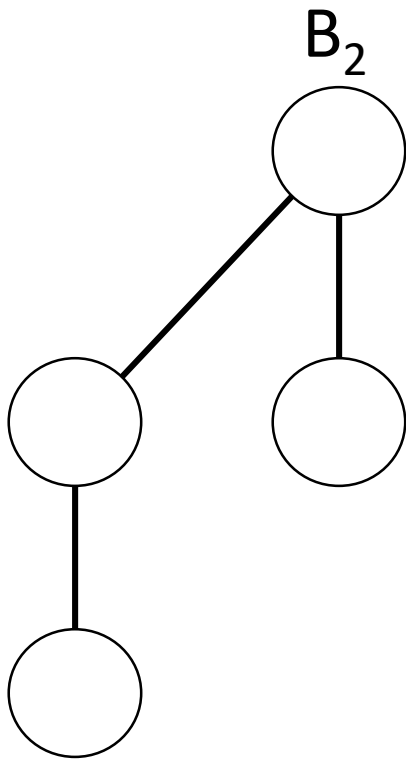


$$n = 7, \alpha(7) = 3$$

Example: Binomial Forest F_7 of $n = 7$ nodes

$$n = 7 = \langle 1\ 1\ 1 \rangle_2$$

F_7 :



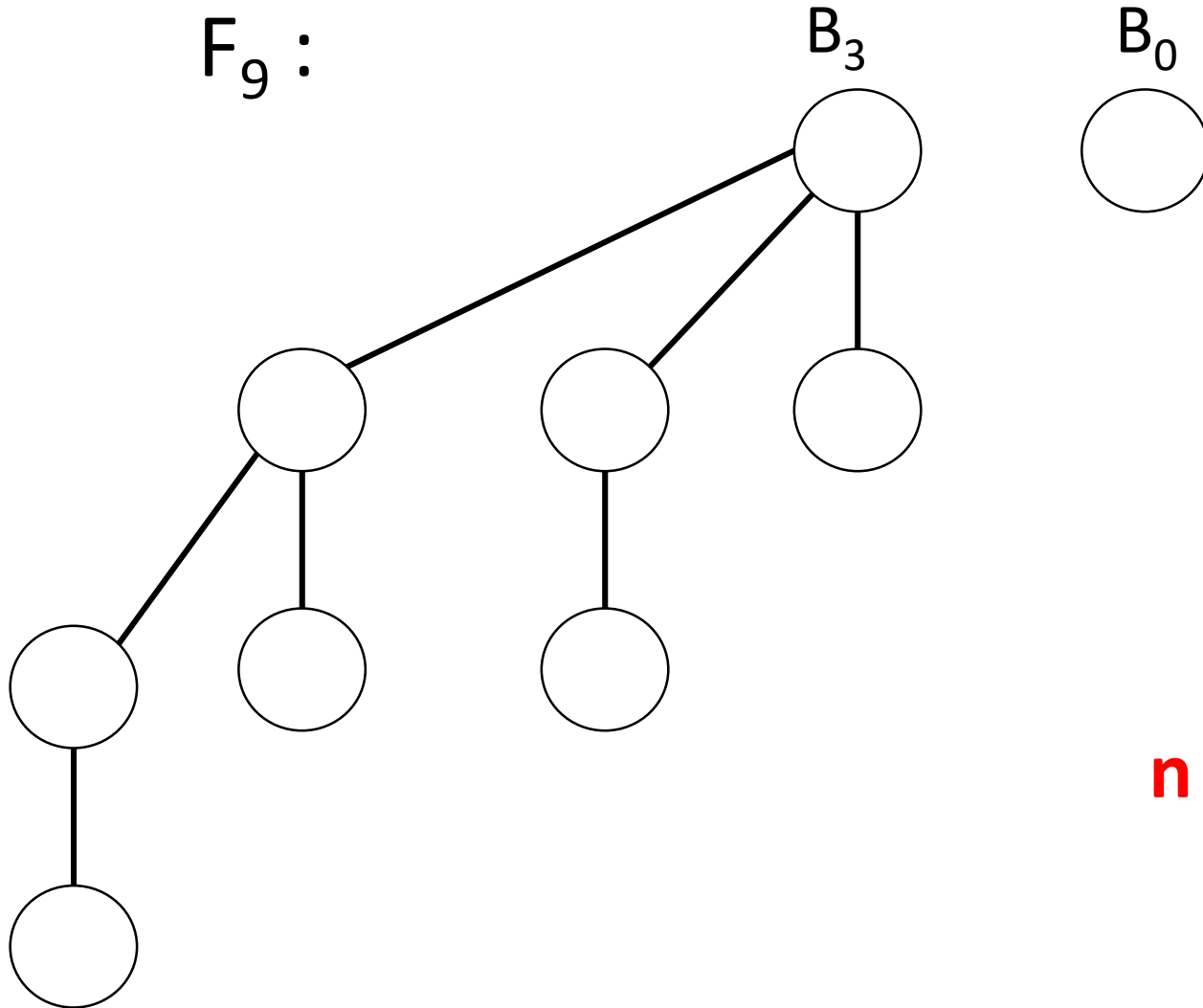
$$n = 7, \alpha(7) = 3$$

F_7 has $7 - \alpha(7) = 4$ edges

Example: Binomial Forest F_9 of $n = 9$ nodes

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$F_9 :$

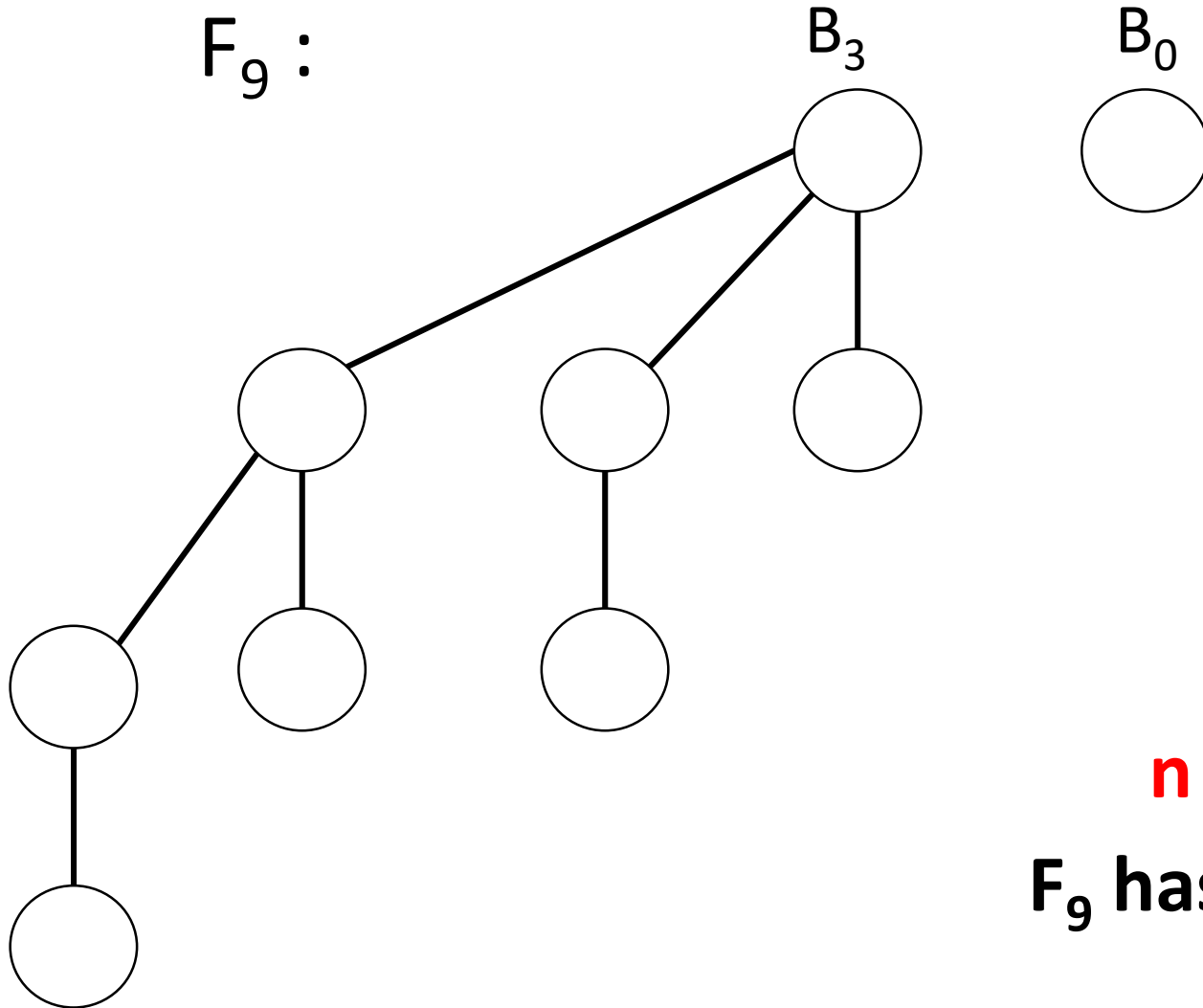


$$n = 9, \alpha(9) = 2$$

Example: Binomial Forest F_9 of $n = 9$ nodes

$$n = 9 = \langle 1\ 0\ 0\ 1 \rangle_2$$

F_9 :



$$n = 9, \alpha(9) = 2$$

F_9 has $9 - \alpha(9) = 7$ edges

Binomial Forest F_n

- F_n has n nodes.
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A **Min Binomial Heap** of n elements is a Binomial Forest F_n such that

1. Each node of F_n stores one element

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1. Each node of F_n stores one element
2. Each B_k tree of F_n is Min-Heap ordered,
i.e. each tree satisfies the **Min-Heap property**

Example of a Min Binomial heap

$S = \{10, 13, 1, 3, 8, 18, 7\}$ $n = 7$ elements

Example of a Min Binomial heap

$S = \{10, 13, 1, 3, 8, 18, 7\}$ $n = 7$ elements

Put S in $F_7 = \langle B_2 B_1 B_0 \rangle$

Example of a Min Binomial heap

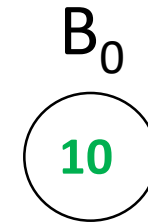
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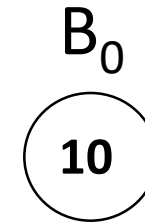
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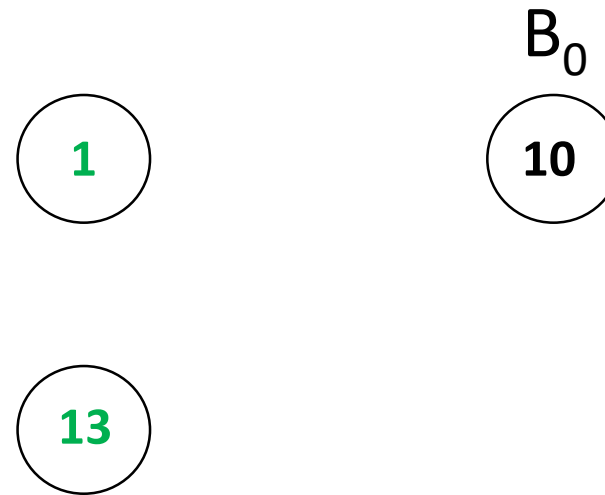
Put S in $F_7 = \langle B_2 \text{ } B_1 \text{ } B_0 \rangle$



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$S = \{10, 13, 1, 3, 8, 18, 7\}$ $n = 7$ elements

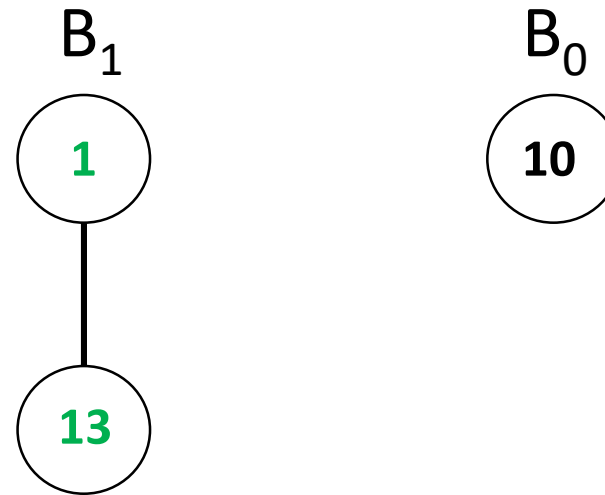
Put S in $F_7 = \langle B_2 \ B_1 \ B_0 \rangle$



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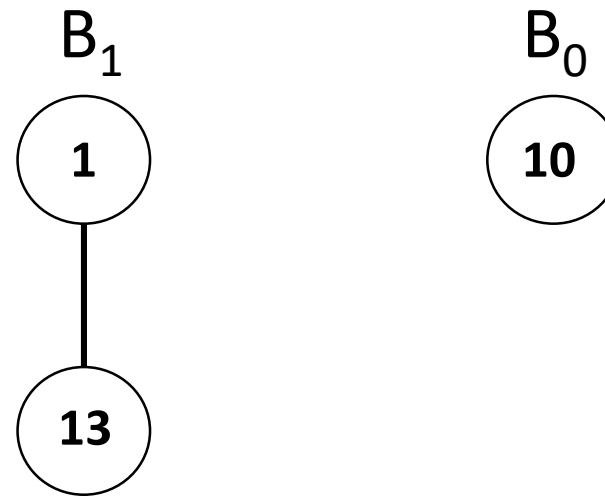
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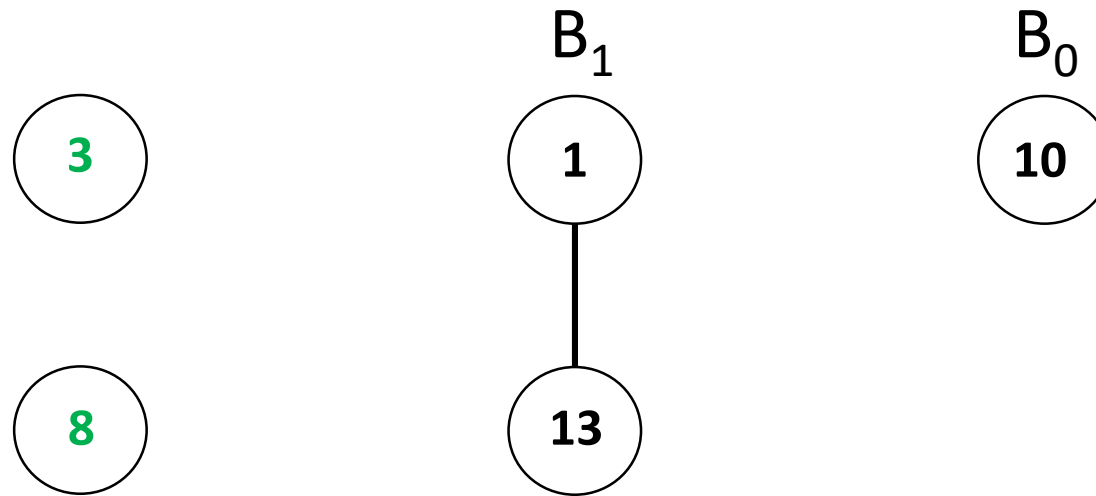
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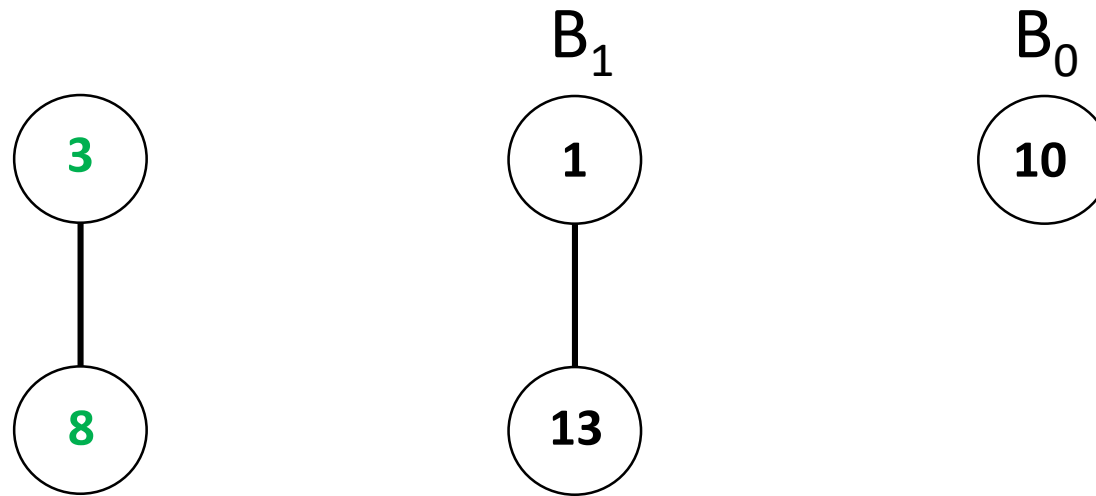
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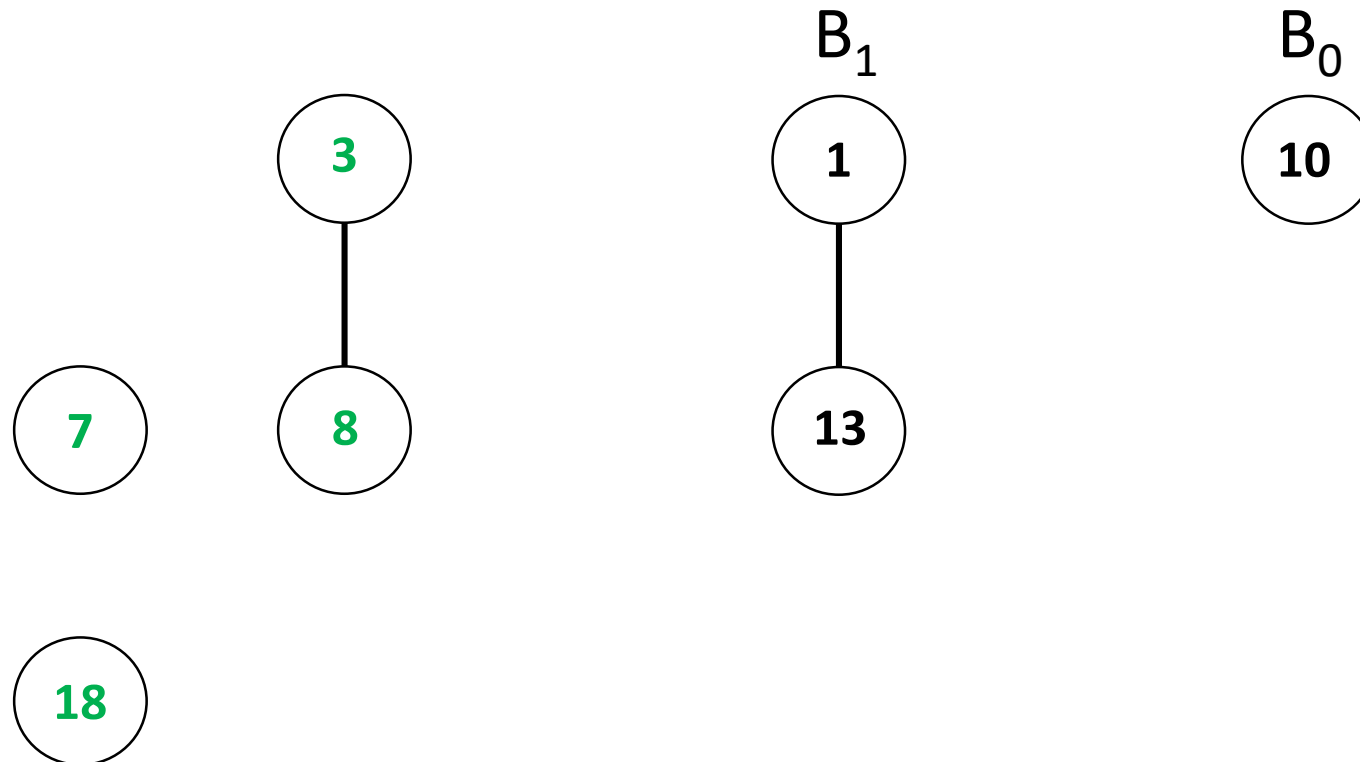
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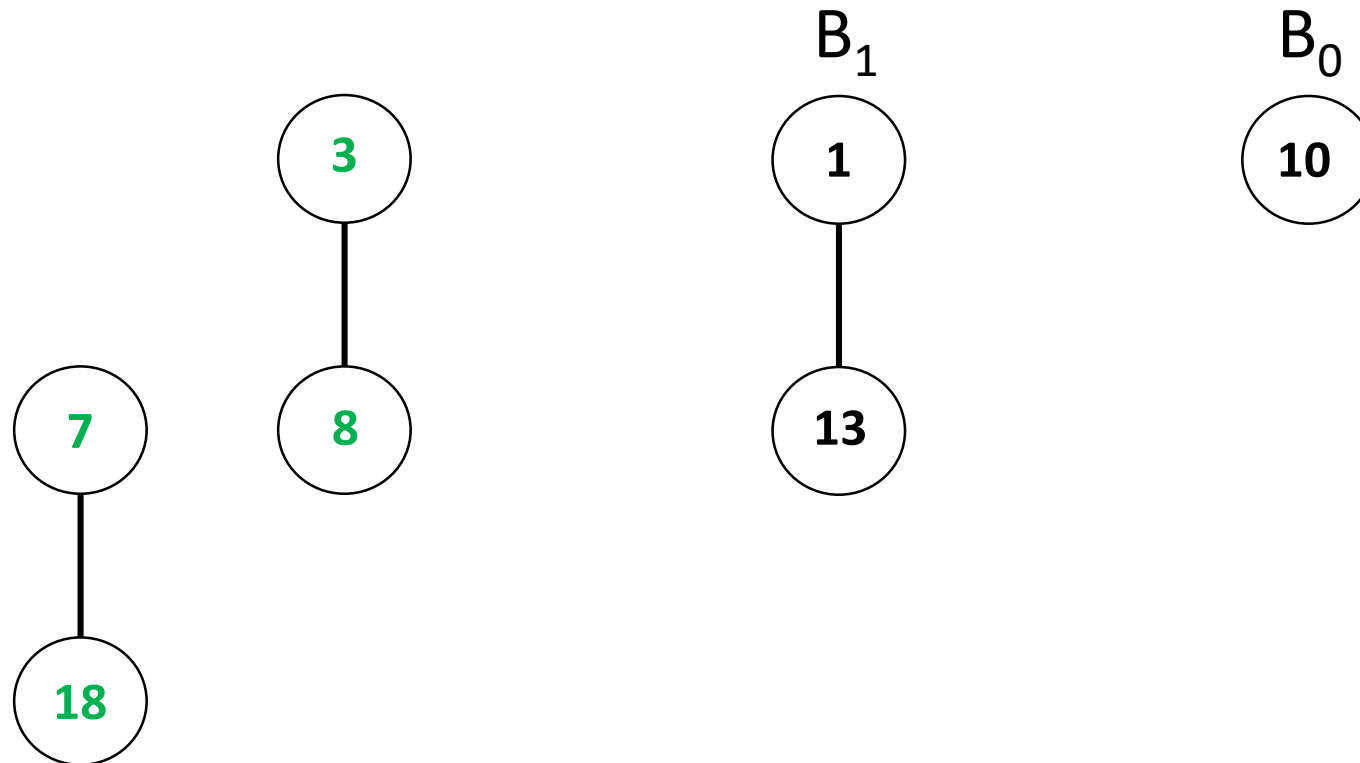
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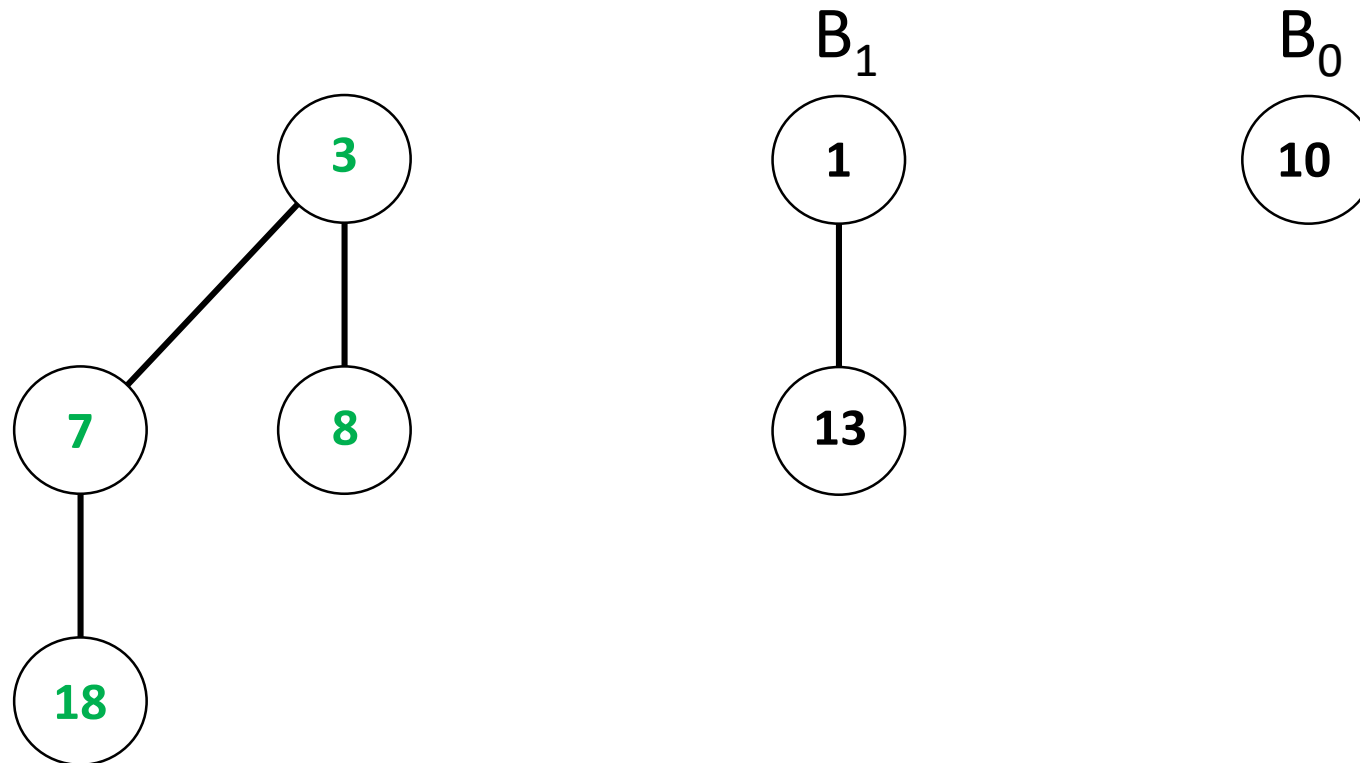
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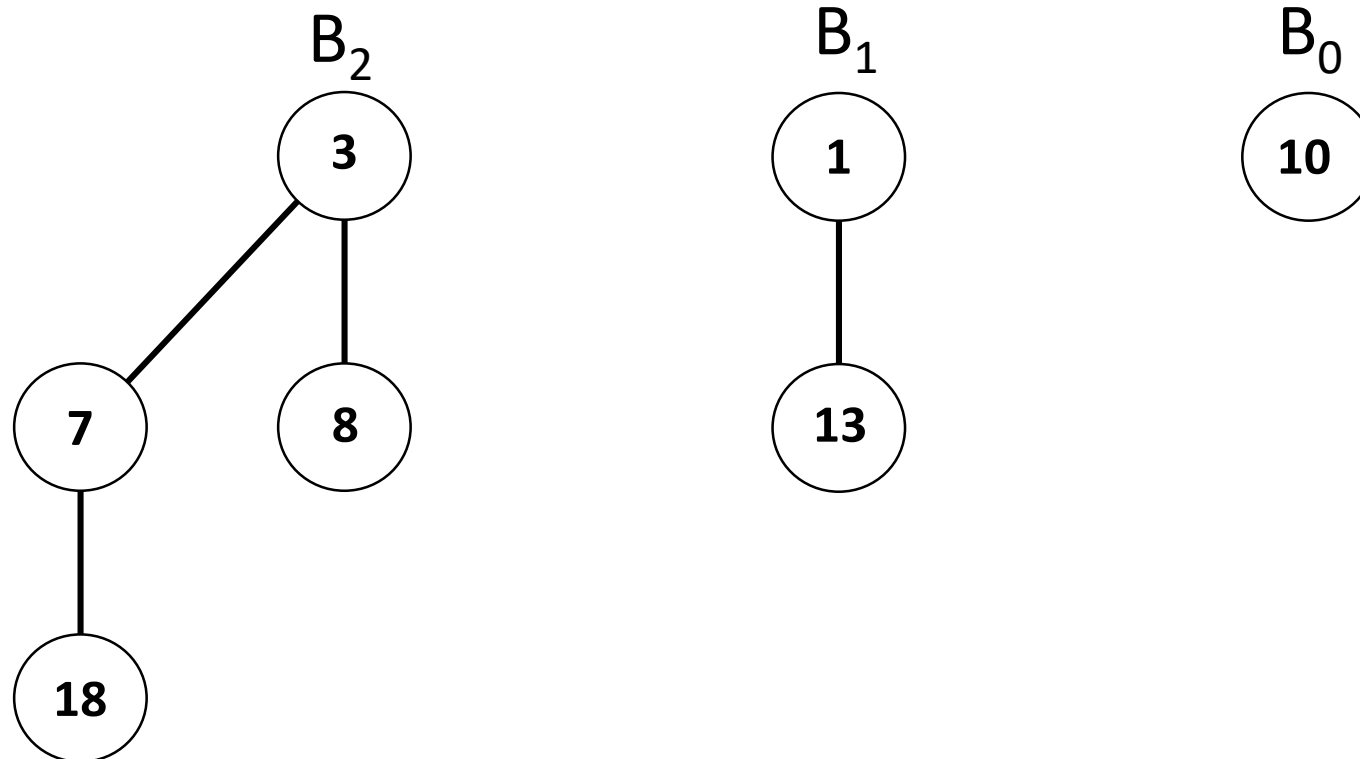


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$F_7 :$

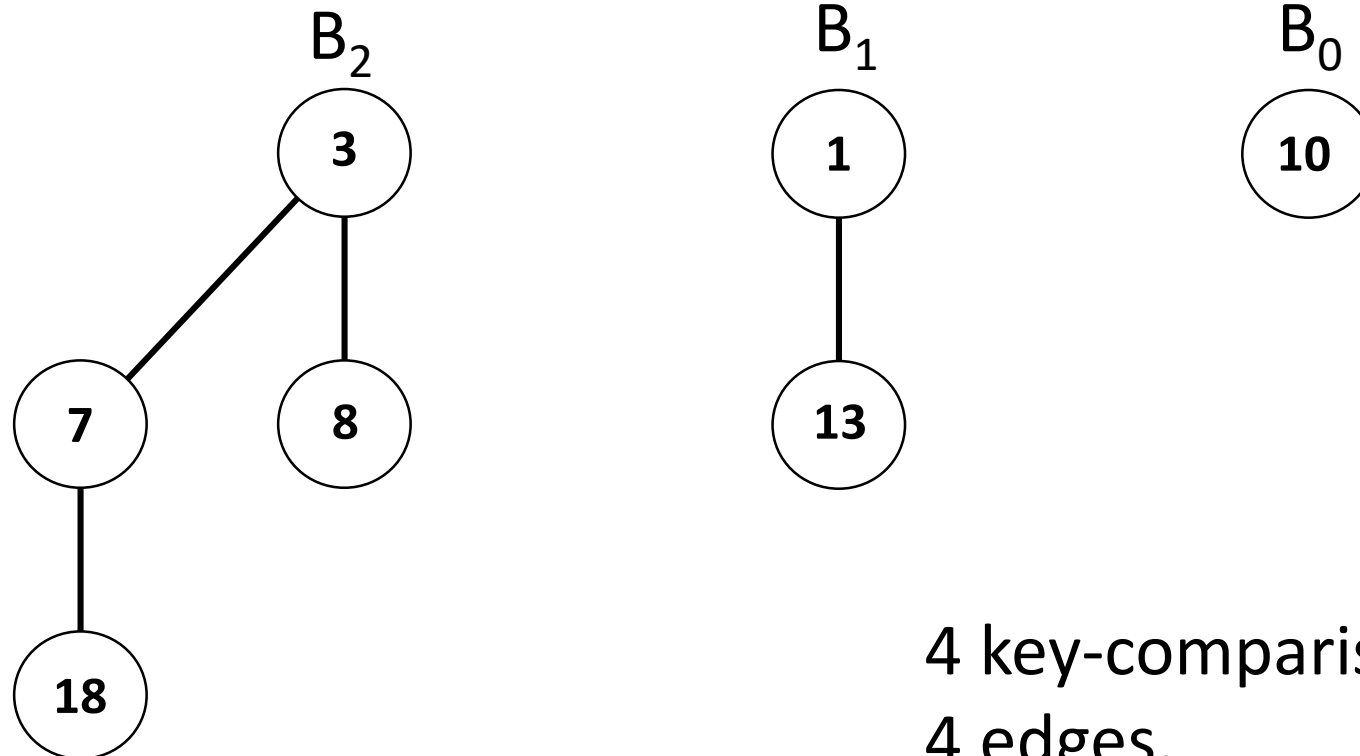


Example of a Min Binomial heap

$S = \{10, 13, 1, 3, 8, 18, 7\}$ $n = 7$ elements

Put S in $F_7 = \langle B_2 B_1 B_0 \rangle$

$F_7 :$



4 key-comparisons.
4 edges.

FACTS:

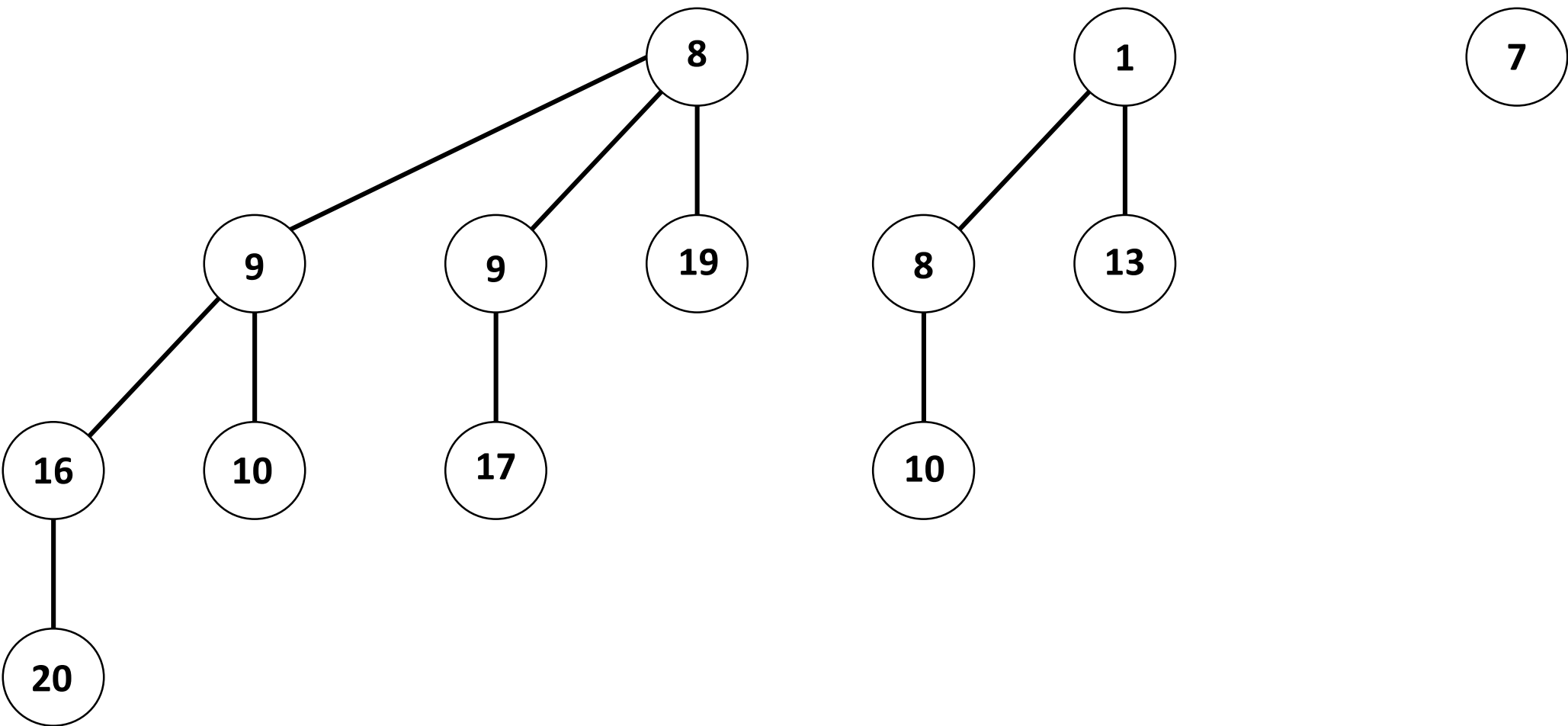
- One key-comparison per Binomial Heap edge.

FACTS:

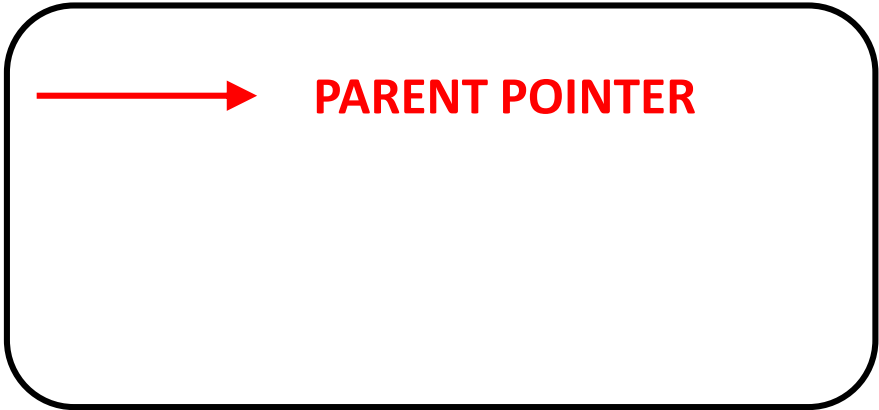
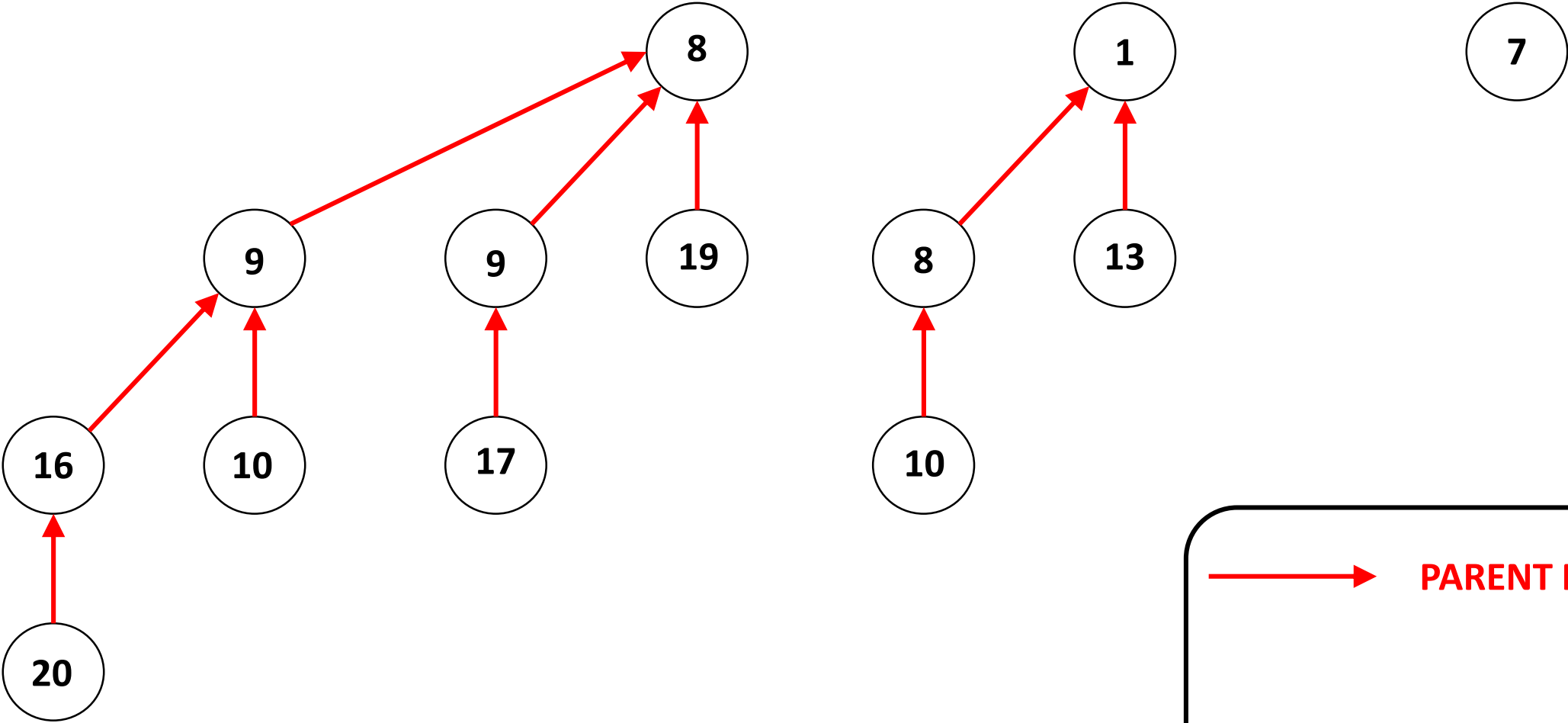
- One key-comparison per Binomial Heap edge.
- A Binomial Heap for n elements can be built in $O(n)$ key-comparisons.

Storing Binomial Heaps in Memory

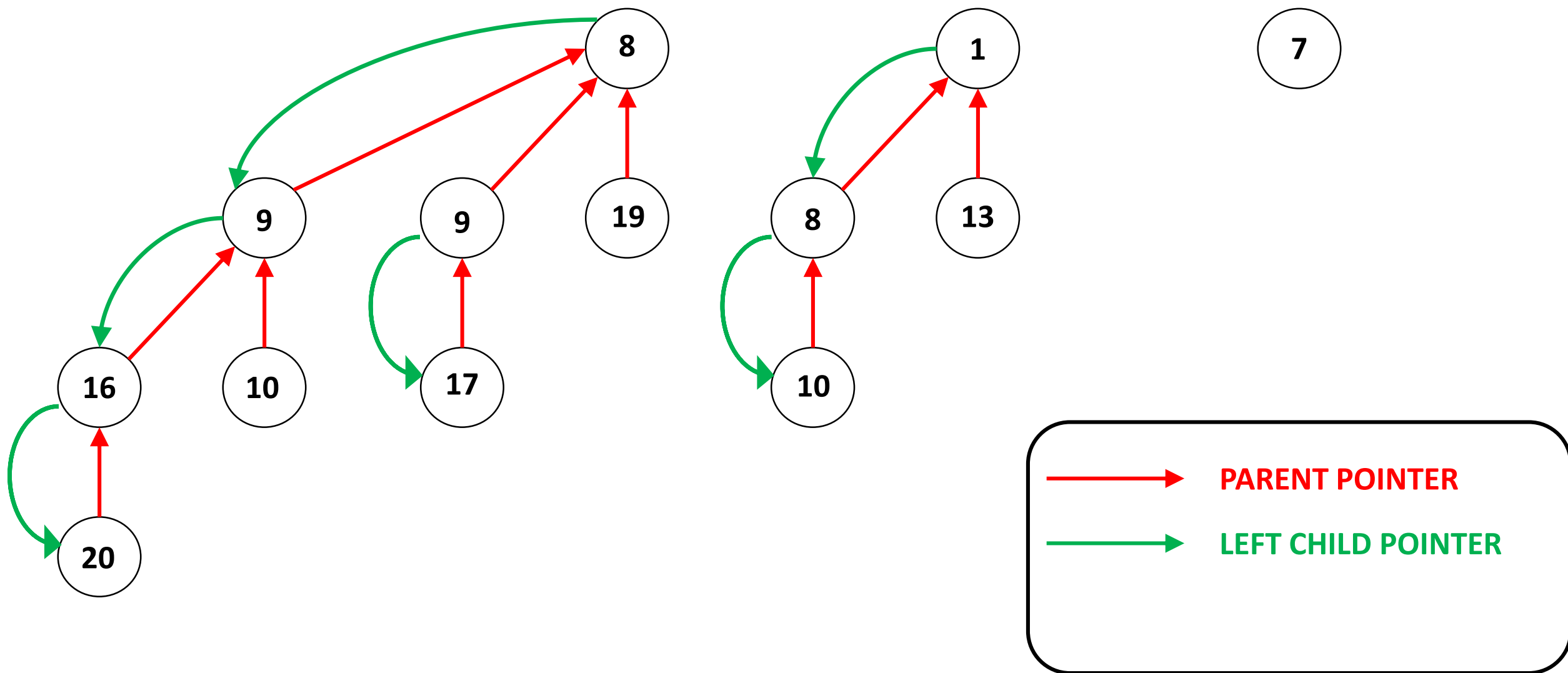
Binomial Heap (Visually)



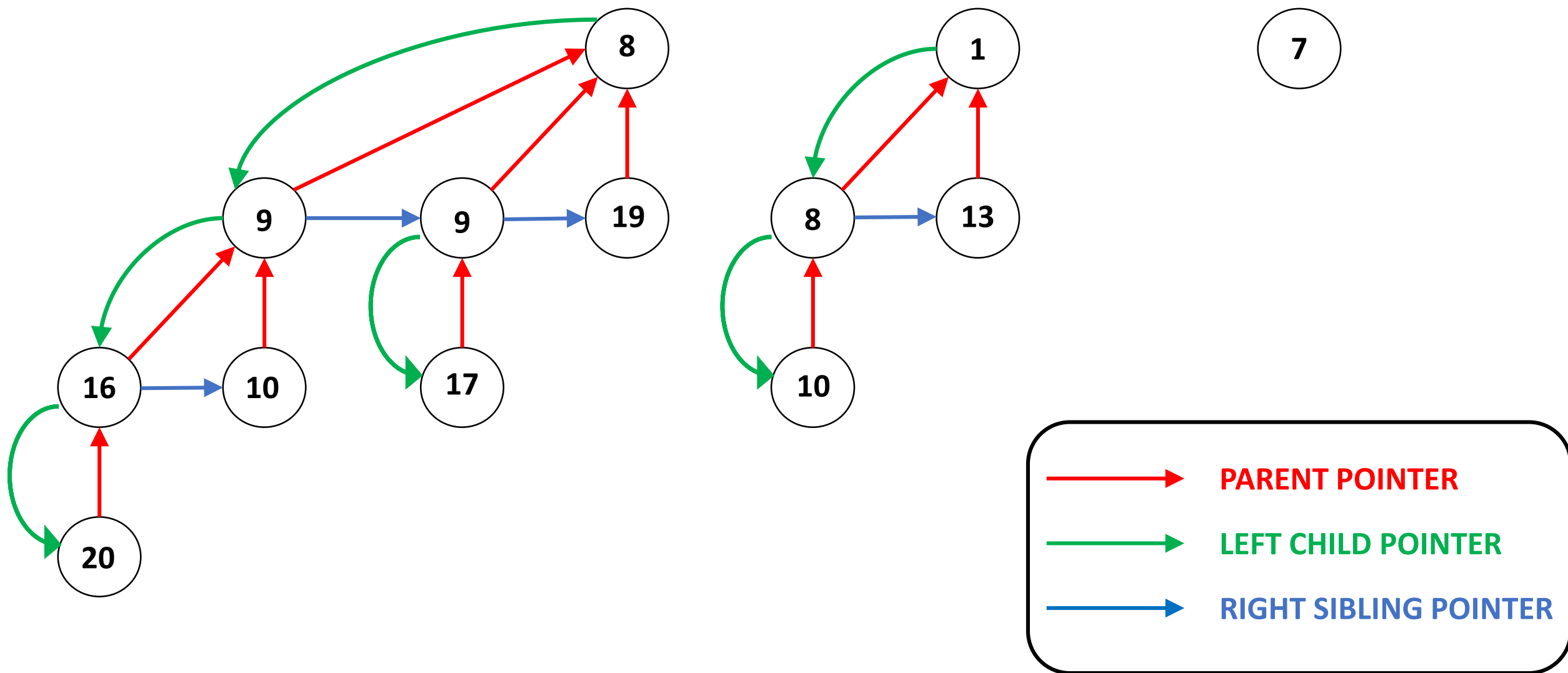
Binomial Heap (in Memory)



Binomial Heap (in Memory)

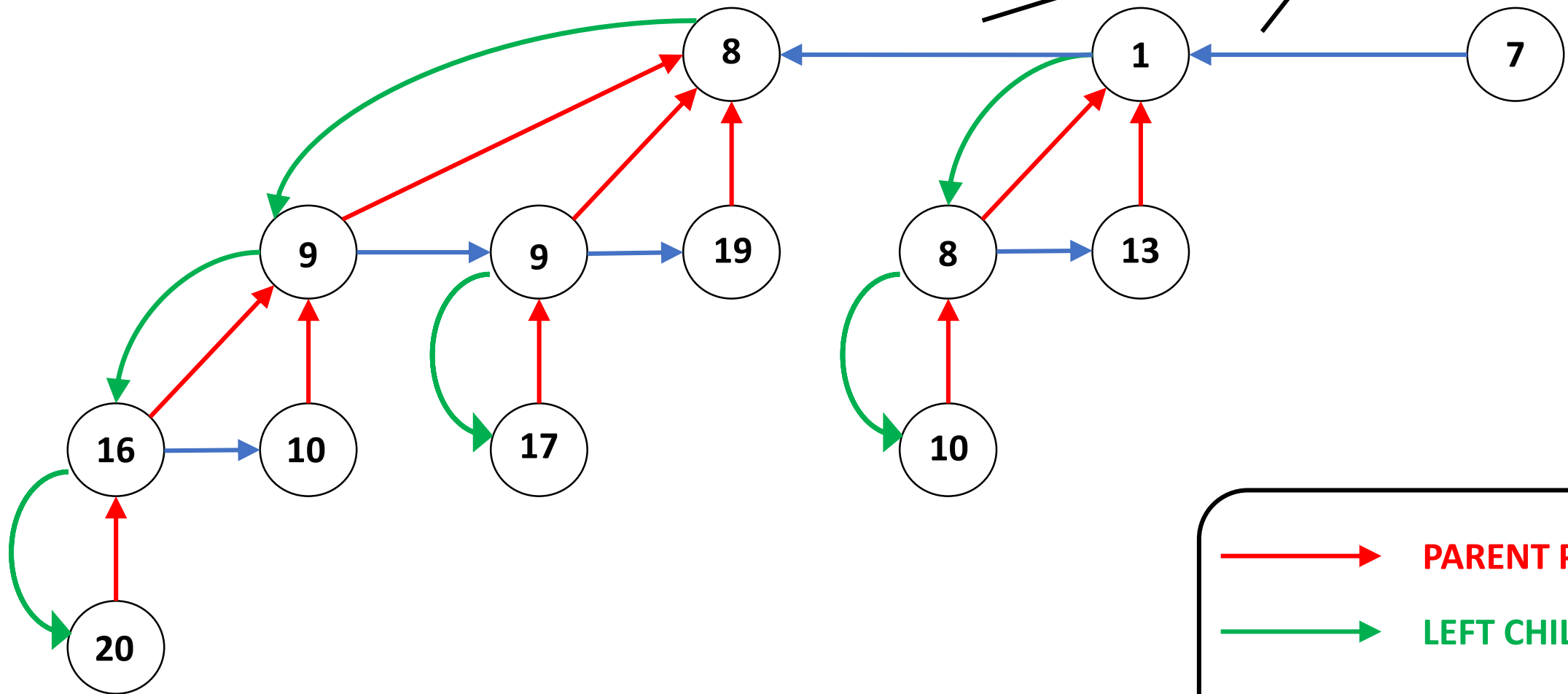



Binomial Heap (in Memory)




Binomial Heap (in Memory)

Use Sibling Pointer to Connect Trees






PARENT POINTER

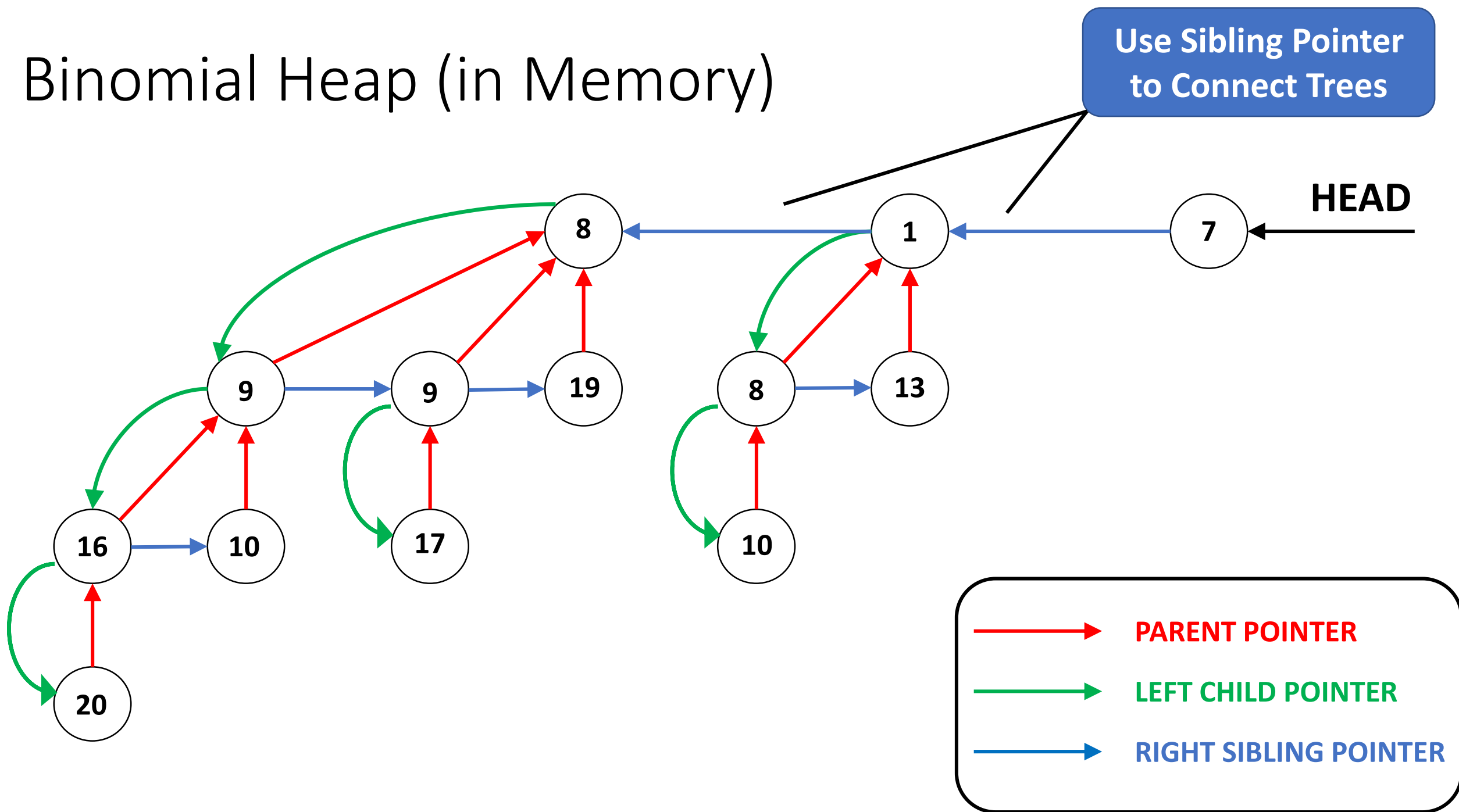


LEFT CHILD POINTER

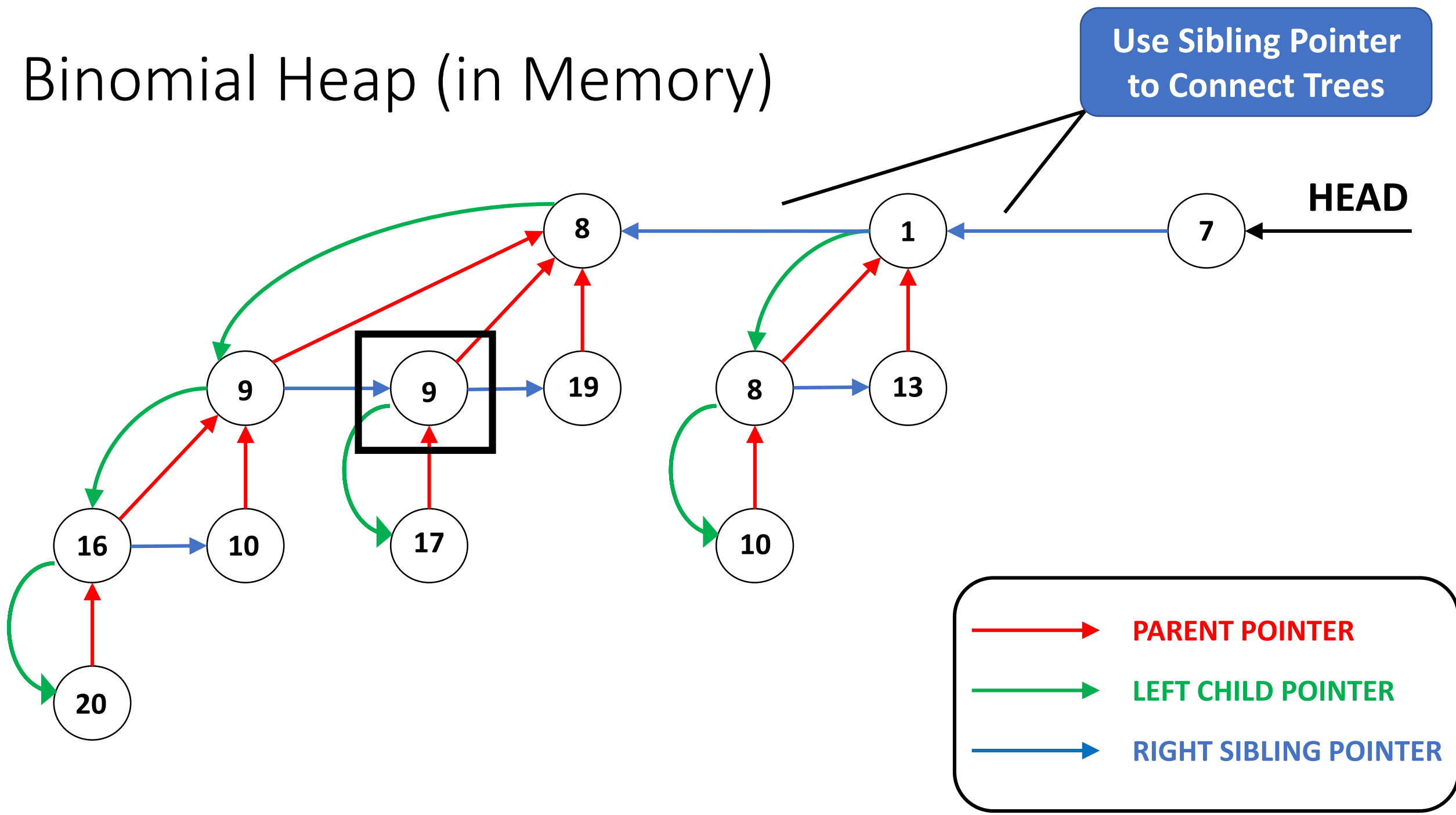


RIGHT SIBLING POINTER

Binomial Heap (in Memory)



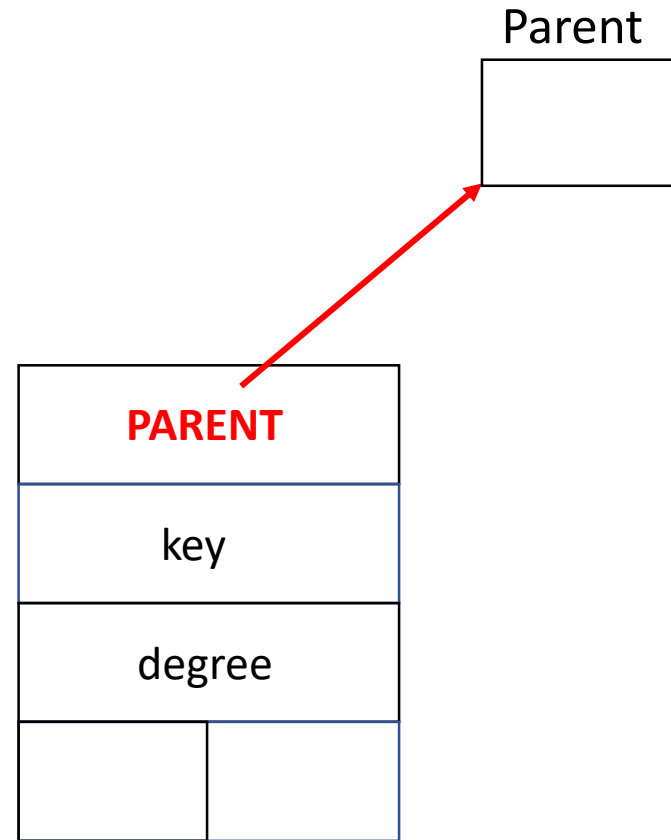
Binomial Heap (in Memory)



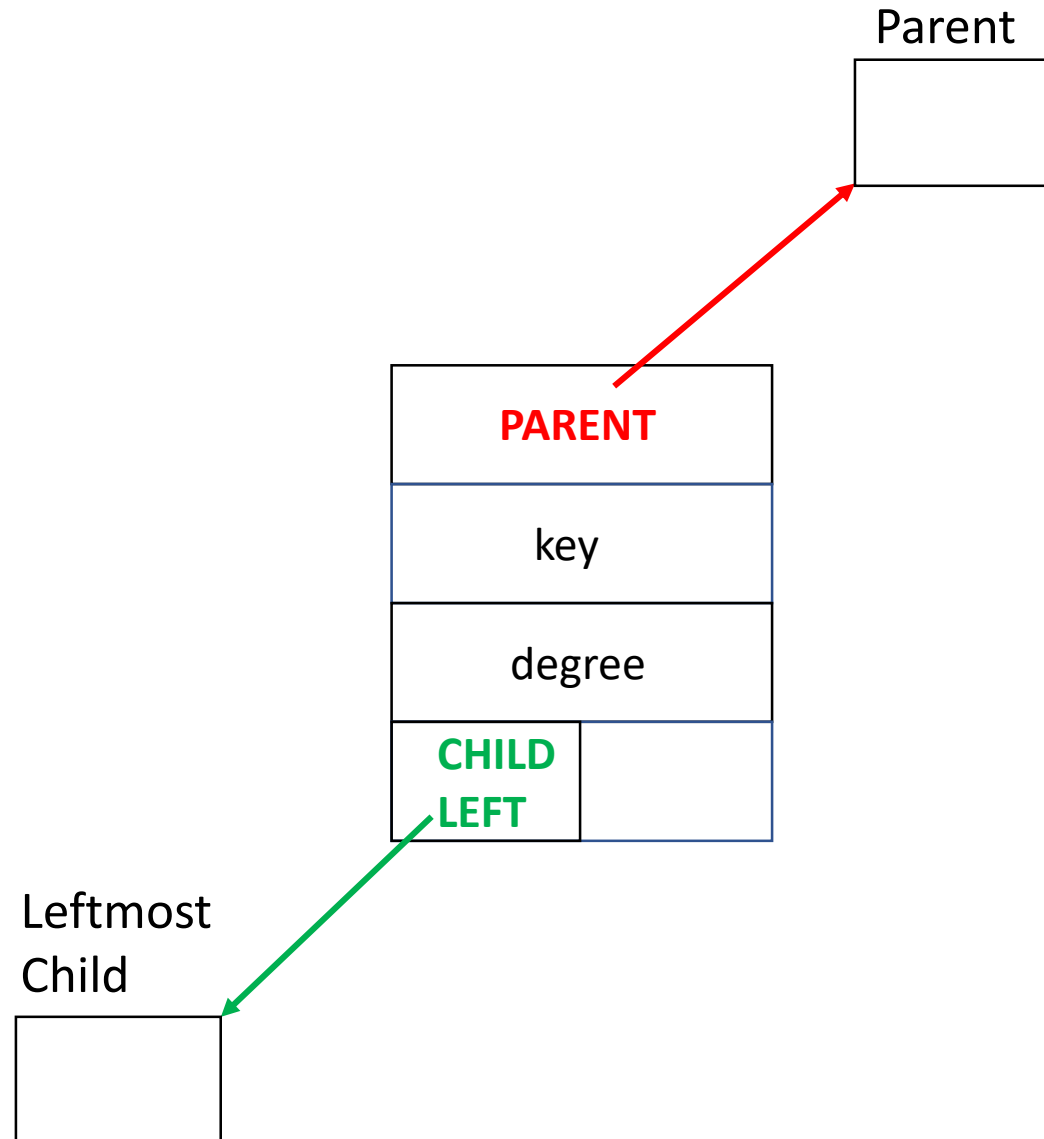
Binomial Heap (in Memory)

key	
degree	

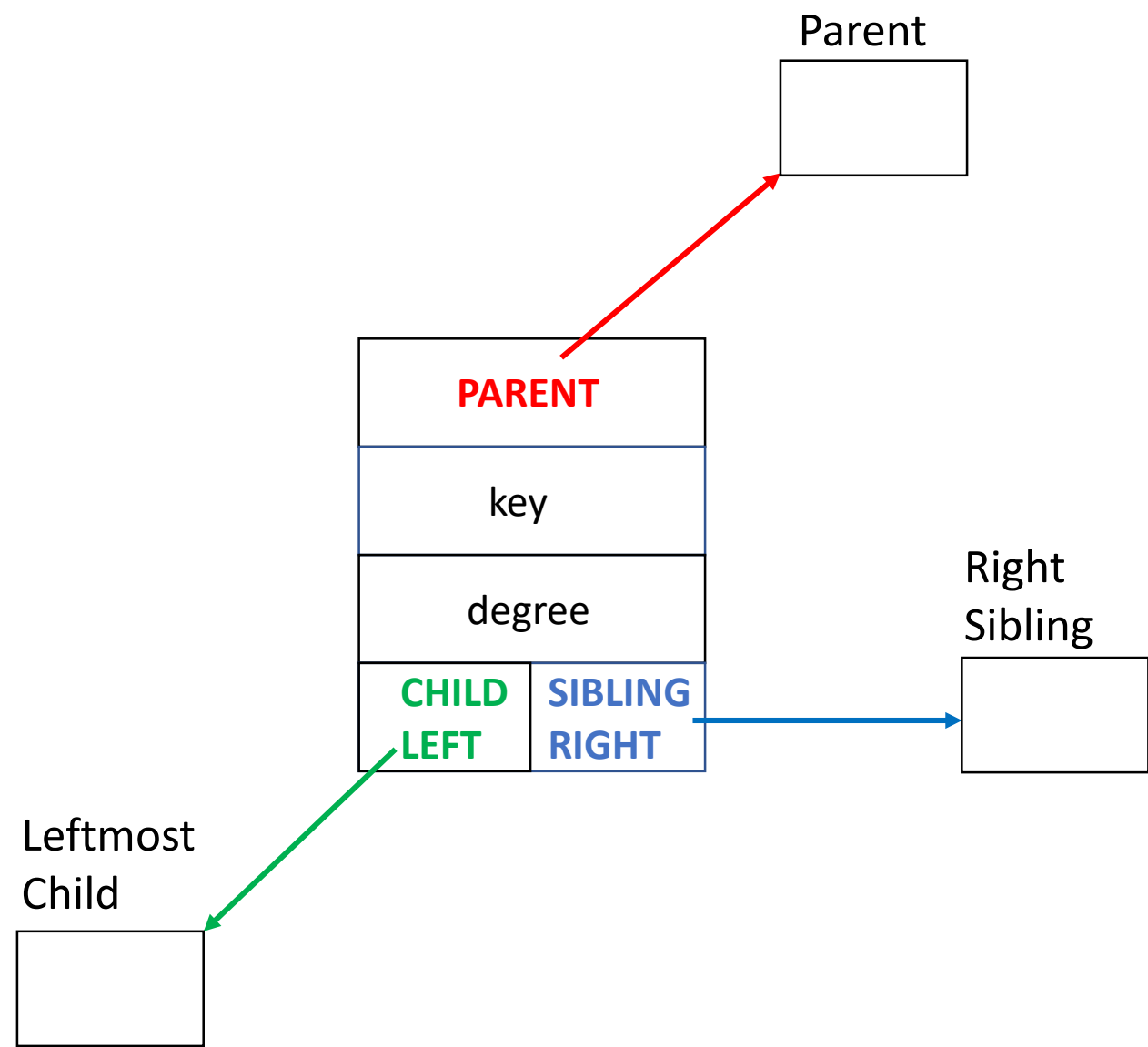
Binomial Heap (in Memory)



Binomial Heap (in Memory)

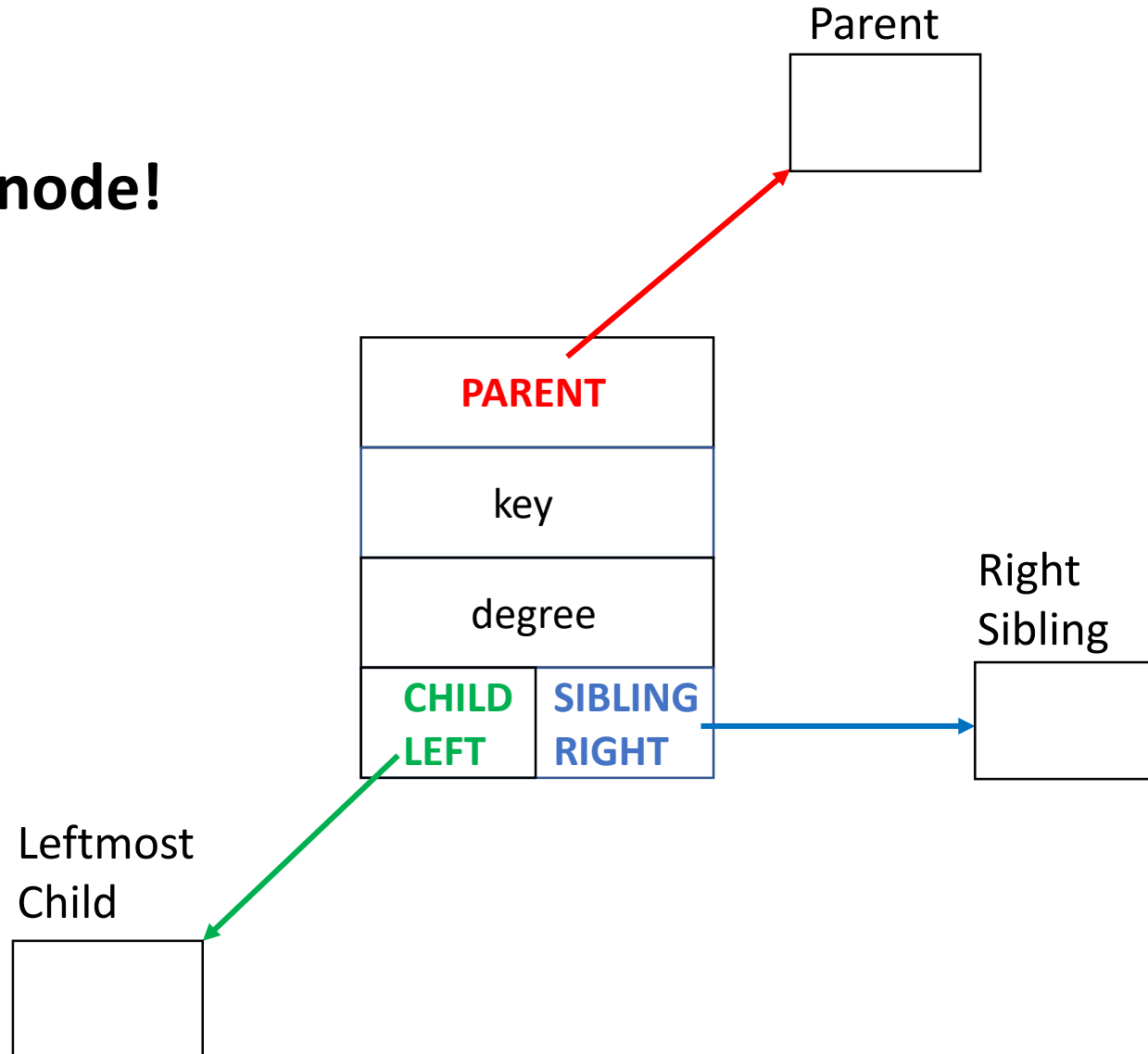


Binomial Heap (in Memory)

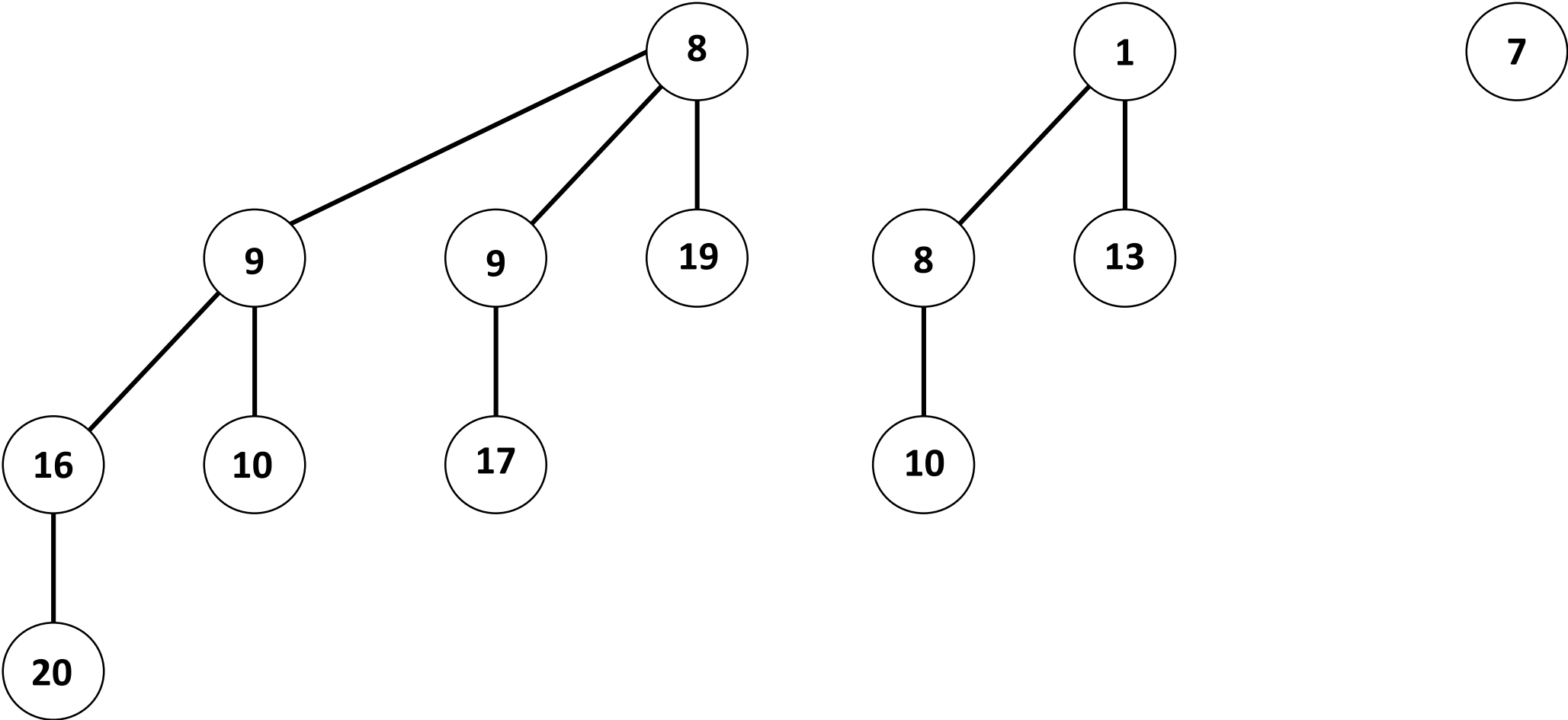


Binomial Heap (in Memory)

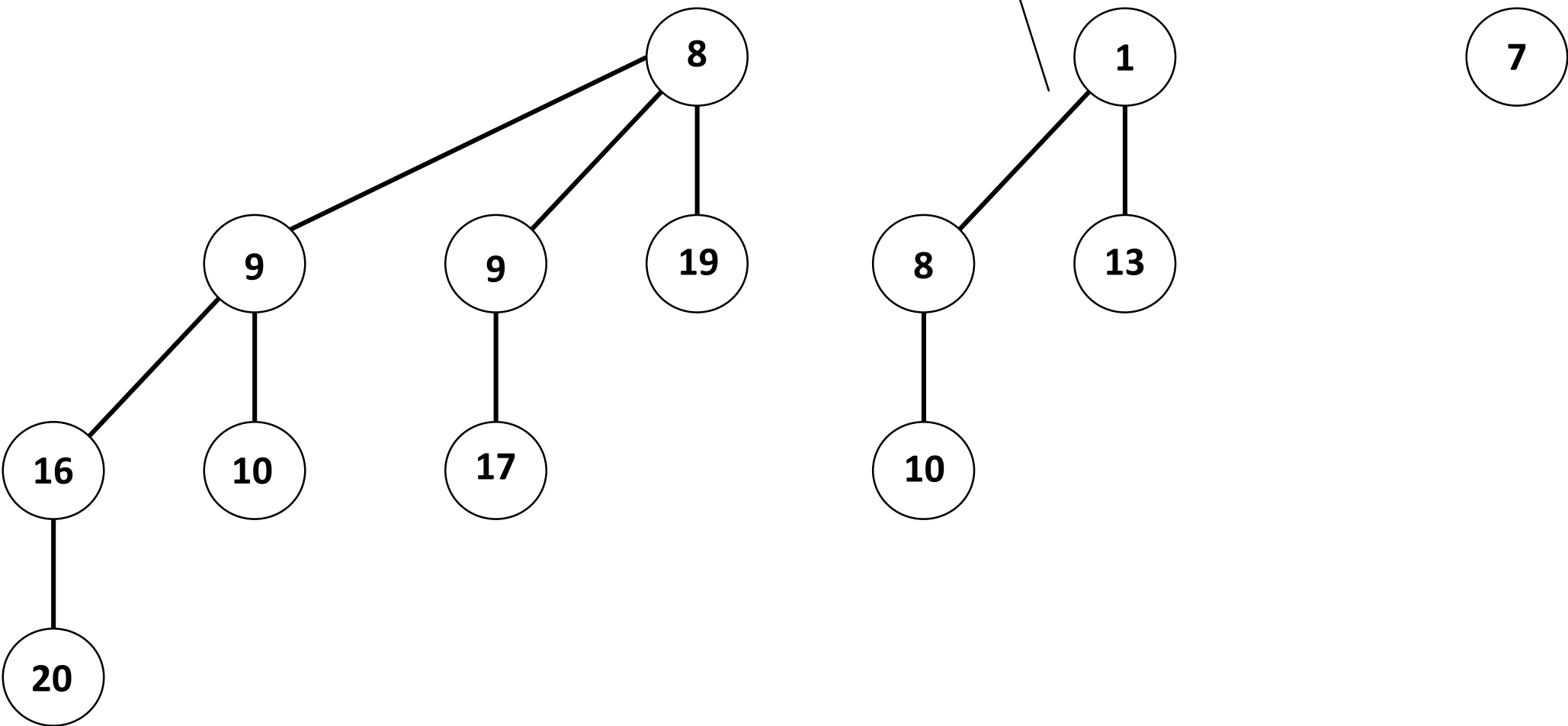
3 pointers per node!



Binomial Heap (Visually)



Binomial Heap (Visually)



Binomial Heap Operations

Must implement the following operations:

- **Union(T, Q)**
- **Insert(T, x)**
- **Min(T)**
- **Extract_Min(T)**

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High Level Ideas

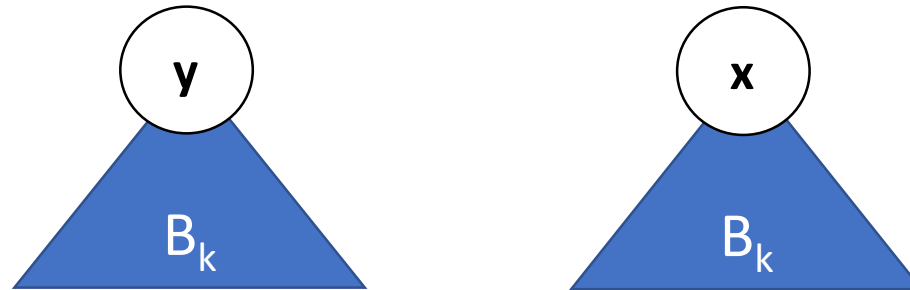
High Level Ideas

Lemma 1: Can merge **two** min heap-ordered B_k trees into a **single** min heap-ordered B_{k+1} tree with just **one** key comparison.

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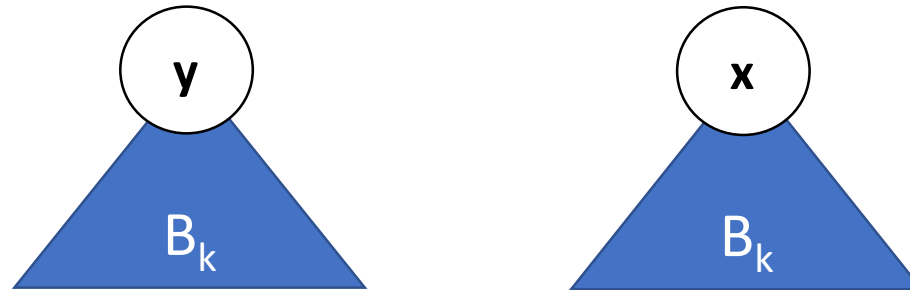
Proof: To merge



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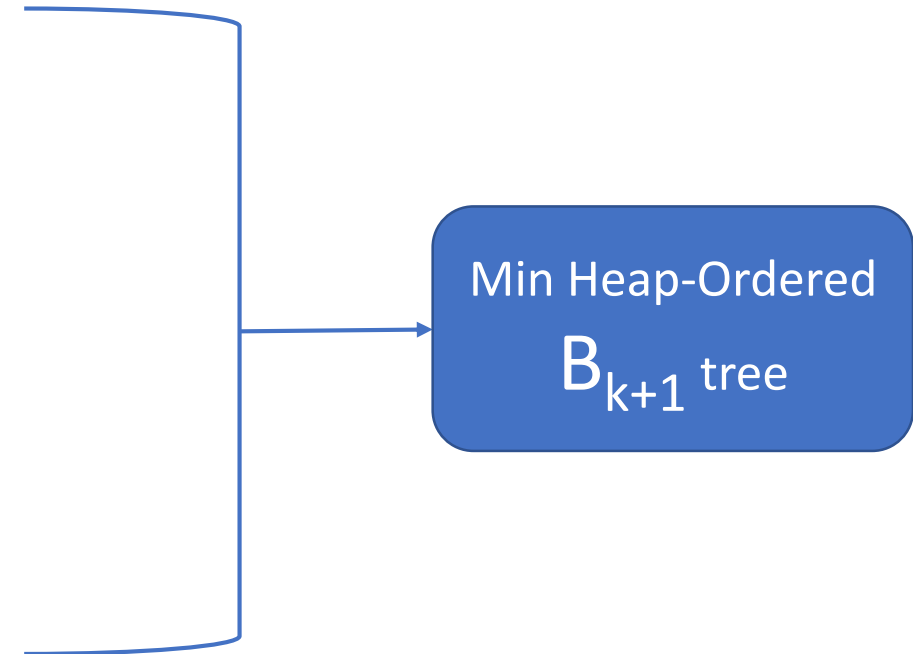
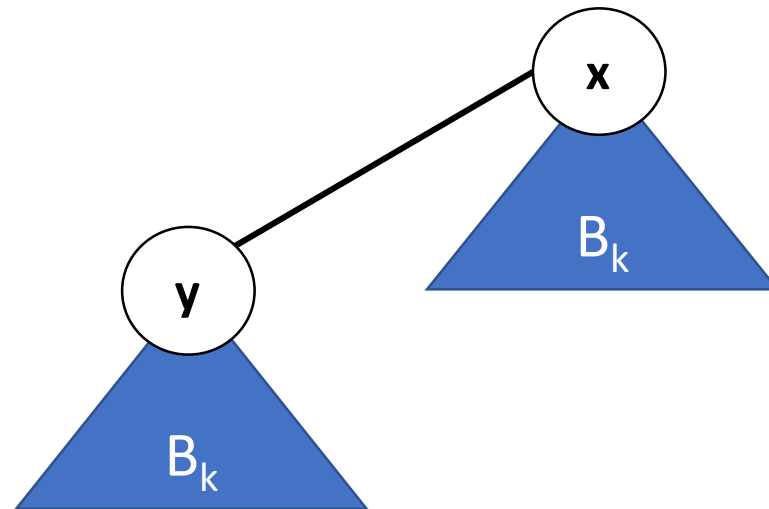
Proof: To merge, if $x \leq y$



High Level Ideas

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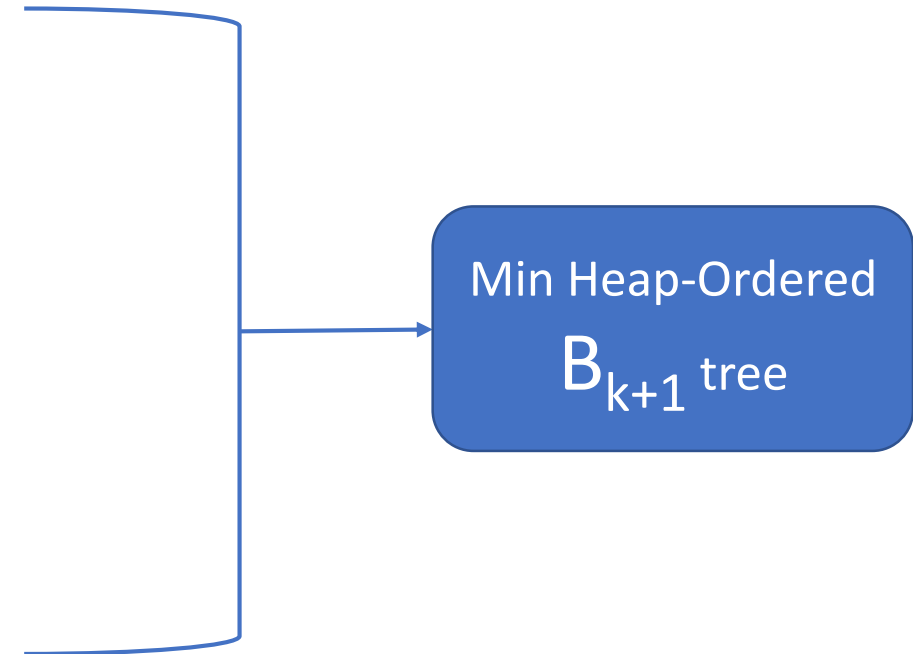
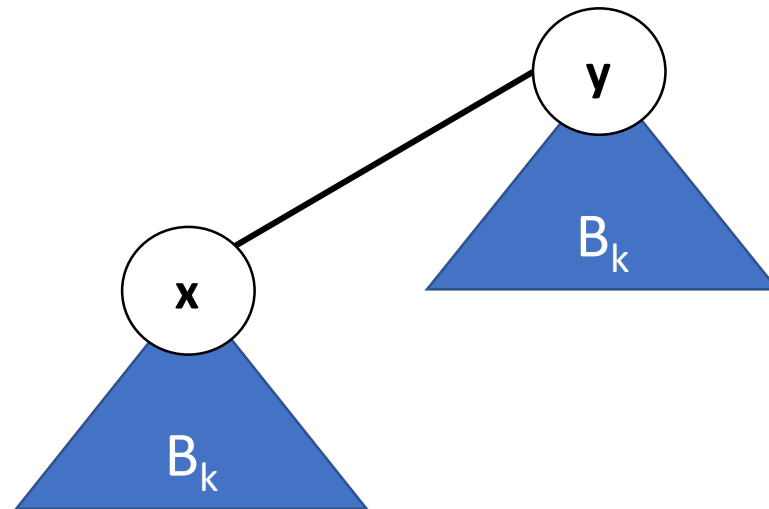
Proof: To merge, if $x \leq y$



High Level Ideas

Lemma 1: Can merge **two** min heap-ordered B_k trees into a **single** min heap-ordered B_{k+1} tree with just **one** key comparison.

Proof: To merge, if $y < x$

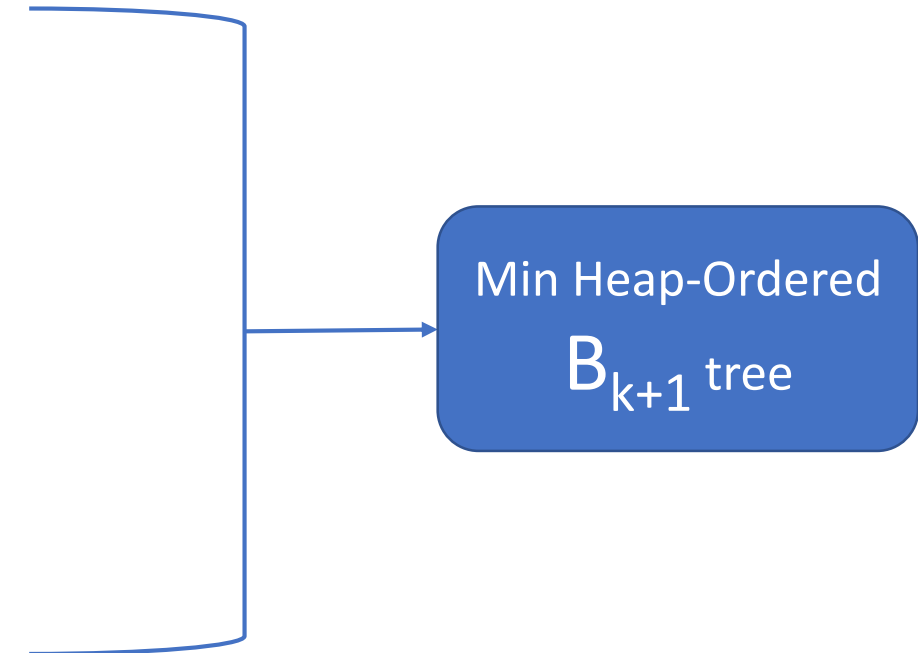
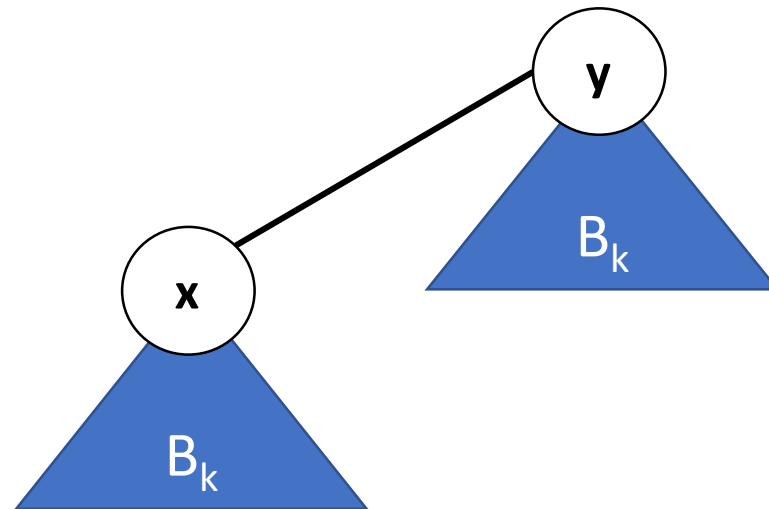


Cannot merge two
max-heaps
So easily !

High Level Ideas

Lemma 1: Can merge **two** min heap-ordered B_k trees into a **single** min heap-ordered B_{k+1} tree with just **one** key comparison.

Proof: To merge, if $y < x$



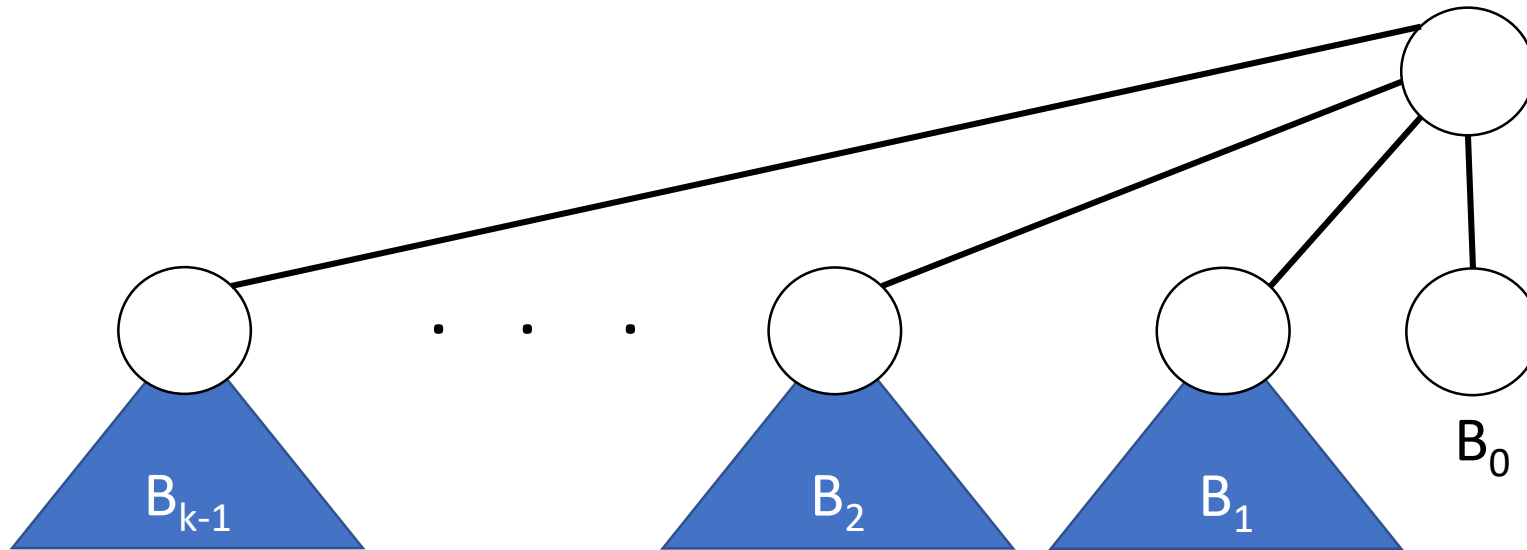
High Level Ideas

Lemma 2: Deleting **the root** of a min heap-ordered B_k tree gives a min binomial heap.

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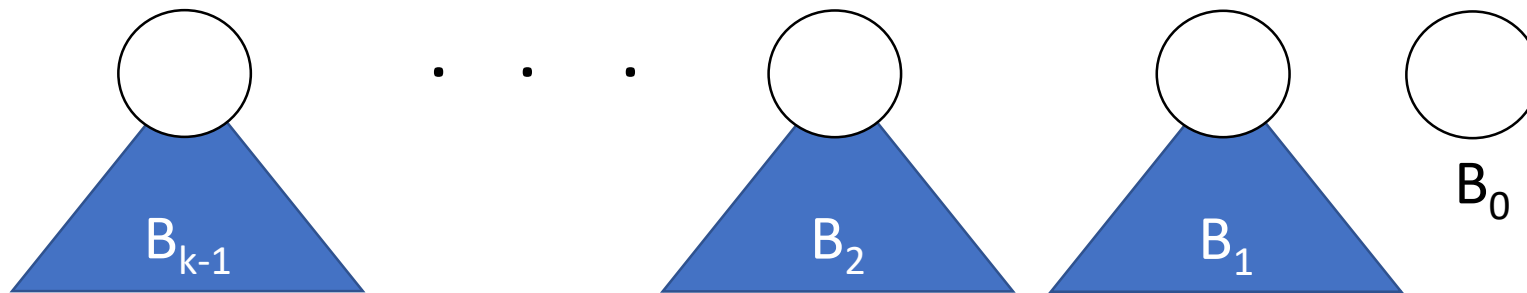
Proof: Deleting the root of min heap-ordered B_k tree.



High Level Ideas

Lemma 2: Deleting **the root** of a min heap-ordered B_k tree gives a min binomial heap.

Proof: Deleting the root of min heap-ordered B_k tree.



Union(T, Q)

Union(T, Q)

T is a Binomial Heap of size $n = 3 = \langle 1\ 1 \rangle_2$

1 1

B_1

B_0

: T

Union(T, Q)

T is a Binomial Heap of size $n = 3 = \langle 1\ 1 \rangle_2$

Q is a Binomial Heap of size $n = 7 = \langle 1\ 1\ 1 \rangle_2$

1 1

1 1 1

B_1

B_0

: T

B_2

B_1

B_0

: Q

Union(T, Q)

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1			+	B ₁			Carry	
1				B ₁			B ₀	: T
1				B ₁			B ₀	: Q
0							X	Result

Union(T, Q)

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1	1				B ₂	B ₁			Carry
	1	1				B ₁	B ₀	:	T
			+						+
1	1	1			B ₂	B ₁	B ₀	:	Q
	1	0				B ₁	X		Result

Union(T, Q)

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1	1	1			B_3	B_2	B_1		Carry
		1	1				B_1	B_0	: T
				+					+
	1	1	1			B_2	B_1	B_0	: Q
1	0	1	0		B_3	X	B_1	X	Result

Union(T, Q)

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1	1	1			B_3	B_2	B_1		Carry
		1	1				B_1	B_0	: T
				+					+
	1	1	1			B_2	B_1	B_0	: Q
1	0	1	0		B_3	X	B_1	X	Result

How many new edges were added?

Union(T, Q)

T is a Binomial Heap of size $n = 3 = \langle 1\ 1 \rangle_2$

Q is a Binomial Heap of size $n = 7 = \langle 1\ 1\ 1 \rangle_2$

1	1	1			B ₃	B ₂	B ₁		Carry
		1	1				B ₁	B ₀	: T
				+					+
	1	1	1			B ₂	B ₁	B ₀	: Q
1	0	1	0		B ₃	X	B ₁	X	Result

How many new edges were added?

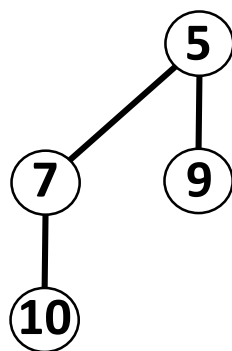
3

2

4

3

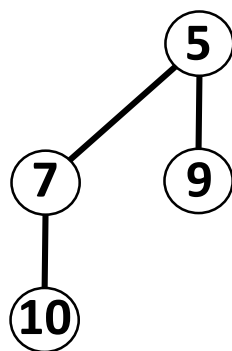
: T



: T



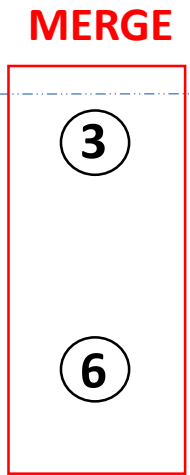
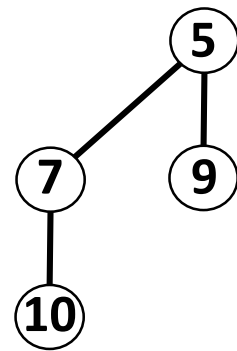
: Q



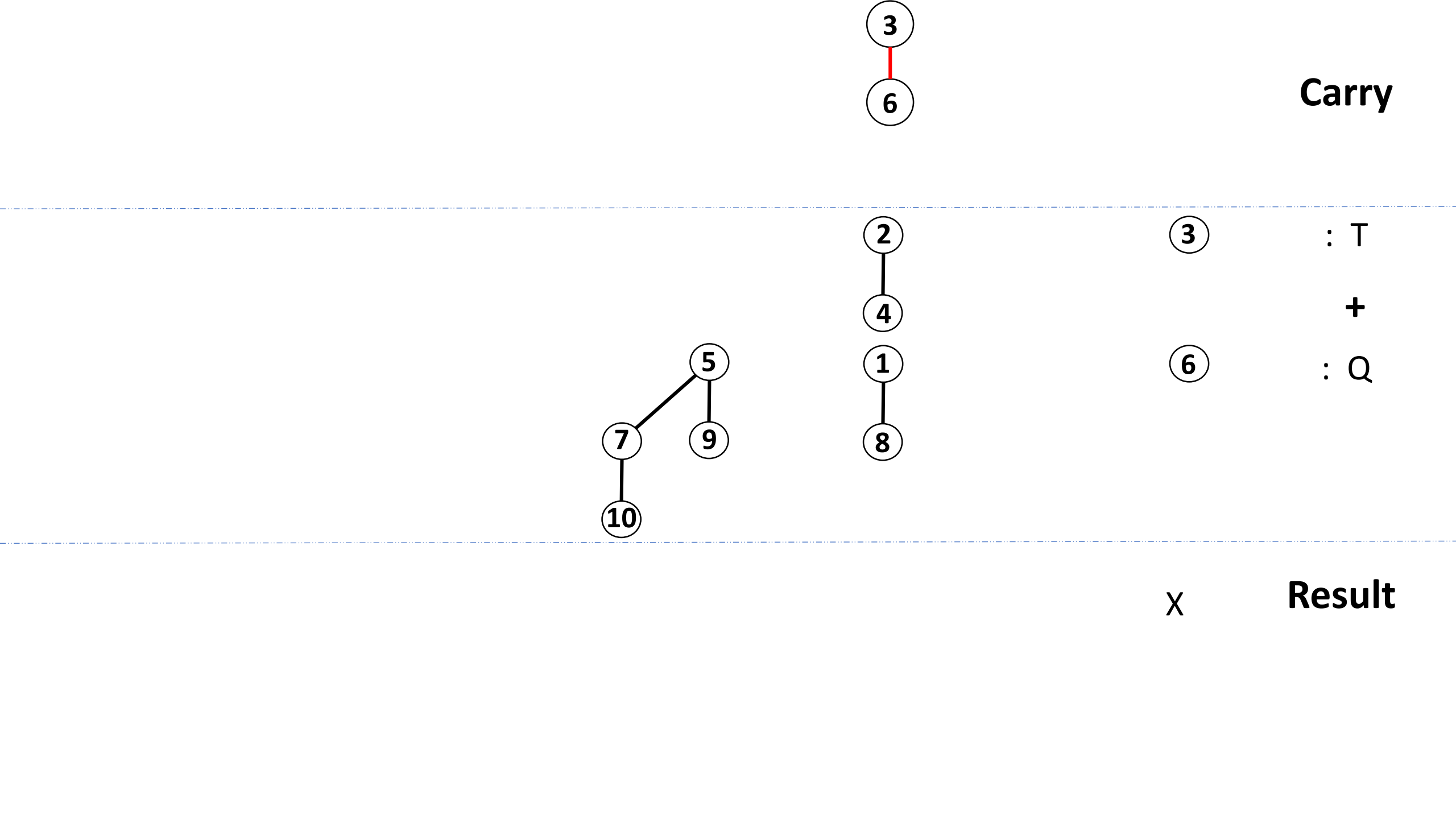
: T

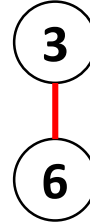
+

: Q



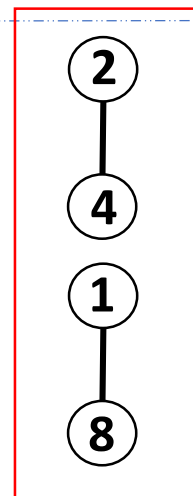
: T
+
: Q





Carry

MERGE



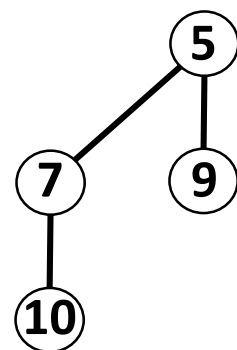
3

: T

+

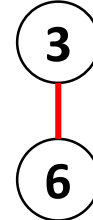
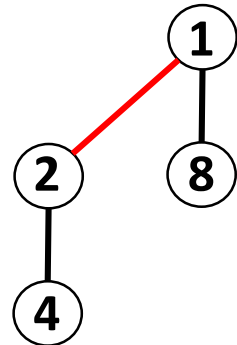
6

: Q



x

Result



Carry

2

3

: T

4

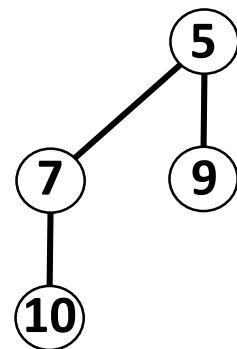
+

1

6

: Q

8



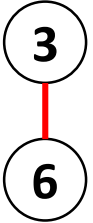
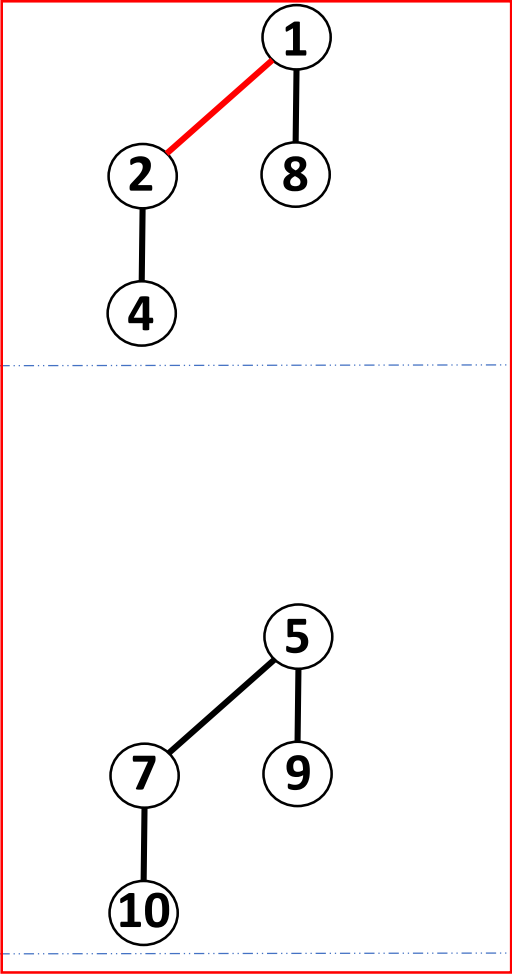
3

x

Result

6

MERGE



Carry

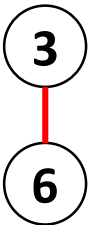


: T

+

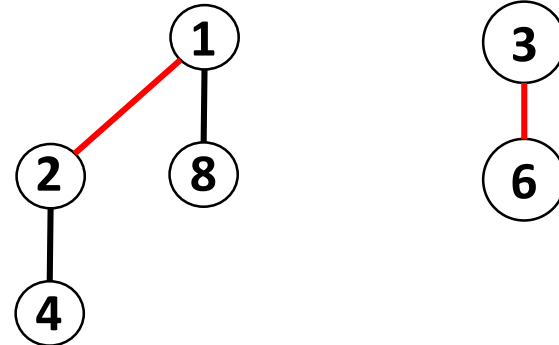


: Q



X

Result



Carry

2

3

: T

4

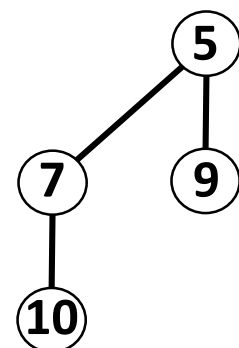
+

1

6

: Q

8



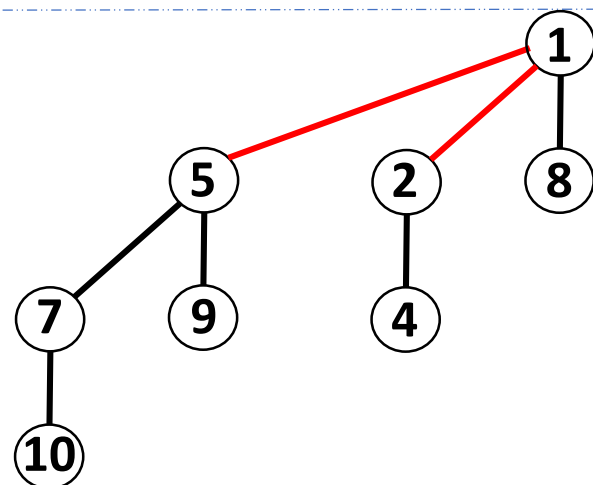
X

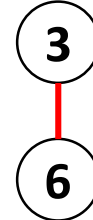
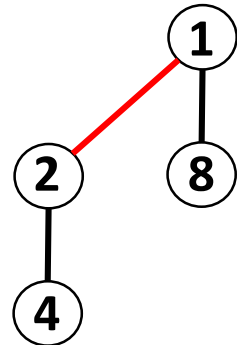
3

X

Result

6





Carry

2

3

: T

4

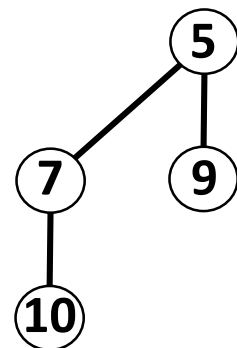
+

1

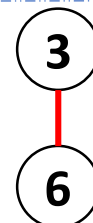
6

: Q

8

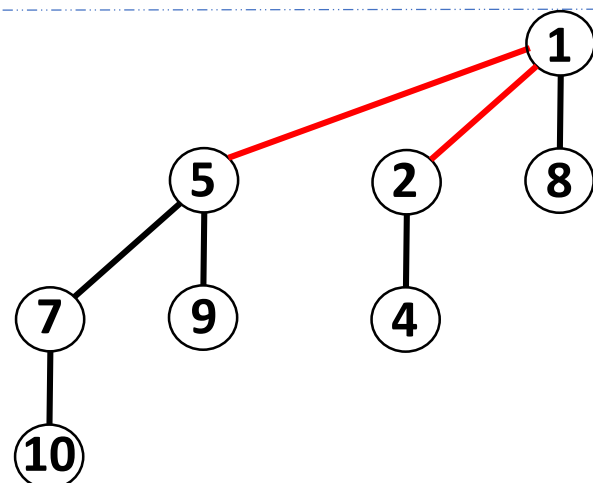


X

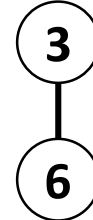
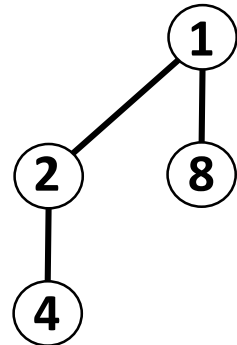


X

Result



3 new **edges**.
3 key-comparisons.



Carry

2

3

: T

4

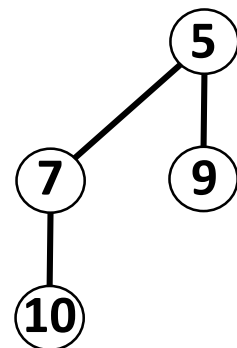
+

1

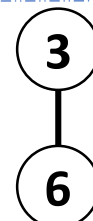
6

: Q

8

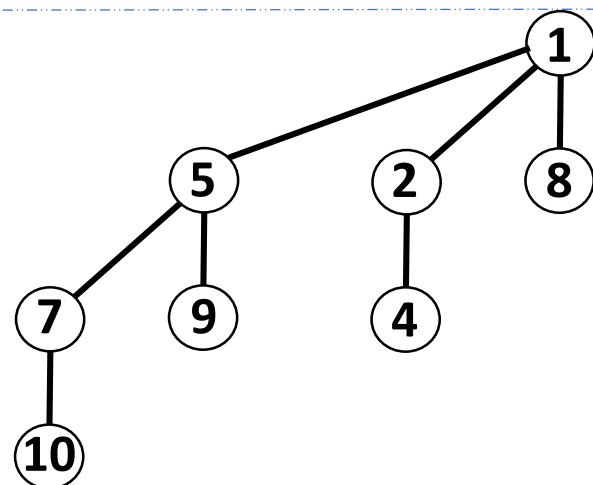


X



X

Result



Worst-Case Complexity of **Union**(T, Q)

Say $|T| \leq n$ and $|Q| \leq n$ (i.e. each contains at most n elements)

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\Rightarrow **Union**(T, Q) takes at most $O(\log n)$ key-comparisons