## Binomial Heaps

## Abstract Data Types

| Abstract Data Types       | Insert | Min | Extract_Min | Union |
|---------------------------|--------|-----|-------------|-------|
| Mergeable Priority Queues |        |     |             |       |

#### Data Structures

| Abstract Data Types       | Data<br>Structures      | Insert   | Min      | Extract_Min | Union    |
|---------------------------|-------------------------|----------|----------|-------------|----------|
| Mergeable Priority Queues | Min<br>Binomial<br>Heap | Θ(log n) | Θ(log n) | Θ(log n)    | Θ(log n) |

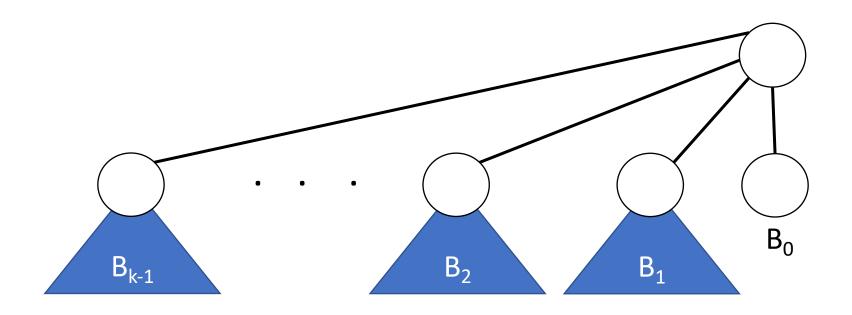
#### **Binomial Trees**

B<sub>k</sub> tree: defined recursively

$$k = 0$$
  $B_0$ :

$$k > = 1$$
 $B_k$ :
$$B_{k-1}$$

## Binomial Tree B<sub>k</sub>

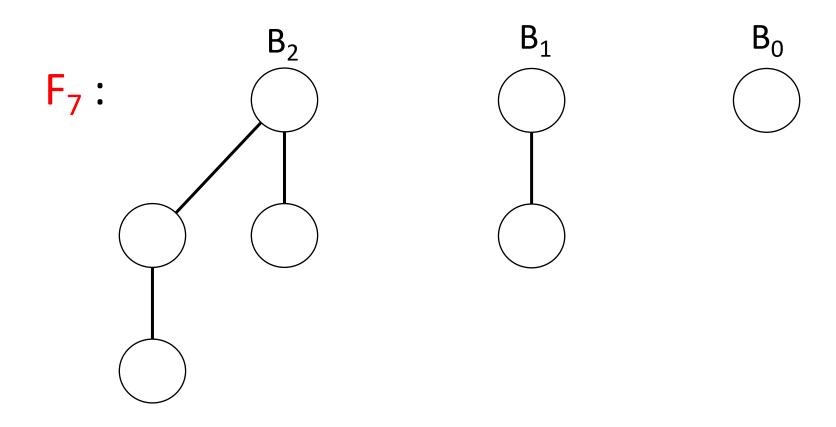


## Binomial Forest F<sub>n</sub> of size n

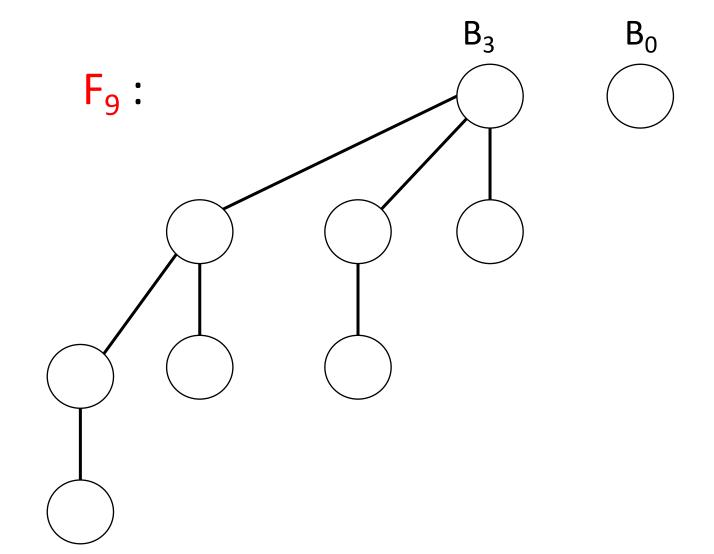
Sequence of B<sub>k</sub> trees with *strictly decreasing* k's and a total of n nodes.

#### Example: Binomial Forest $F_7$ of n = 7 nodes

$$n = 7 = < 1 \ 1 \ 1 >_2 = 2^2 + 2^1 + 2^0$$



# Example: Binomial Forest $F_9$ of n = 9 nodes $n = 9 = \langle 1001 \rangle_2 = 2^3 + 2^0$

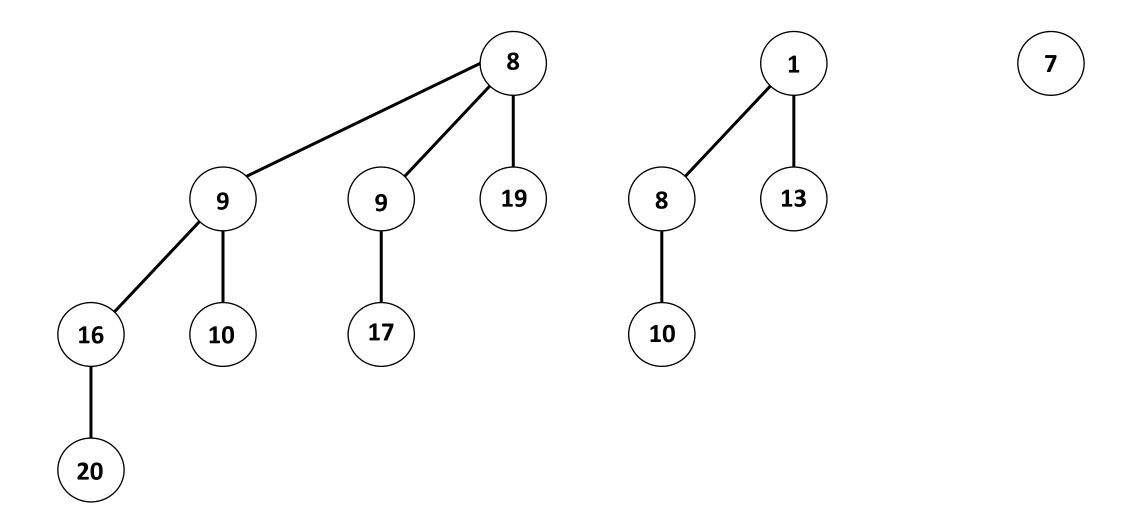


A Min Binomial Heap of n elements is a Binomial Forest F<sub>n</sub> such that

1. Each node of F<sub>n</sub> stores one element

2. Each  $B_k$  tree of  $F_n$  is Min-Heap ordered

## Min Binomial Heap of size $n = 13 = < 1101 >_2$



## Binomial Heap Operations

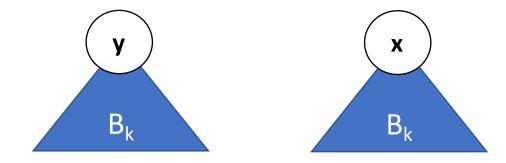
## Must implement the following operations:

- Union(T, Q)
- Insert(T, x)
- Min(T)
- Extract\_Min(T)

**Lemma 1**: Can merge two min heap-ordered  $B_k$  trees into a single min heap-ordered  $B_{k+1}$  tree with just one key-comparison.

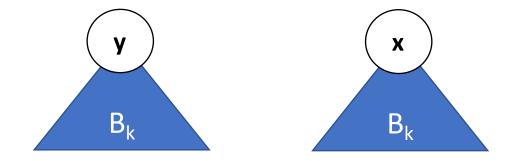
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**Proof:** To merge



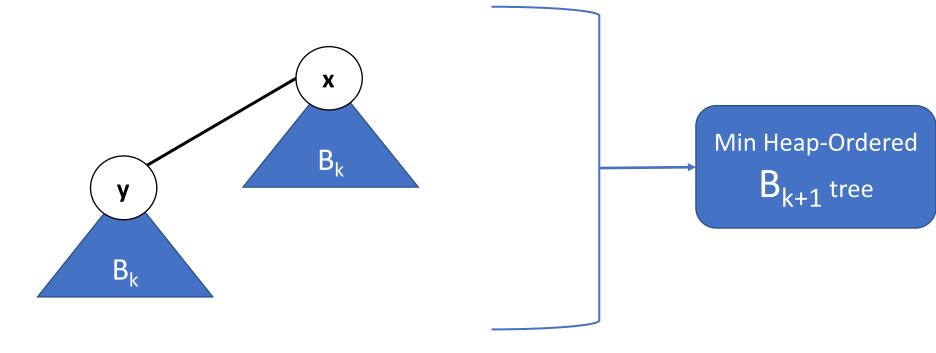
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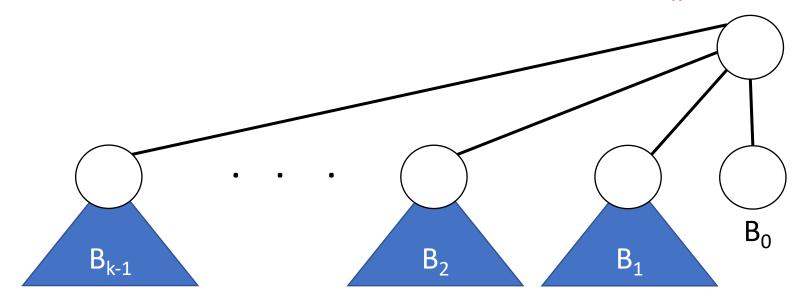
**Proof:** To merge, if x <= y



**Lemma 2**: Deleting the root of a min heap-ordered  $B_k$  tree gives a min binomial heap.

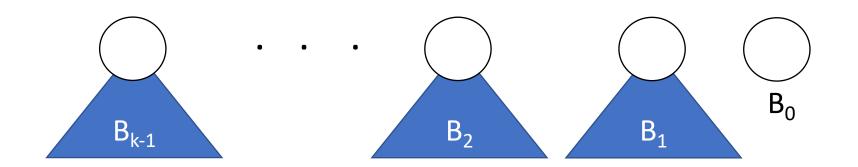
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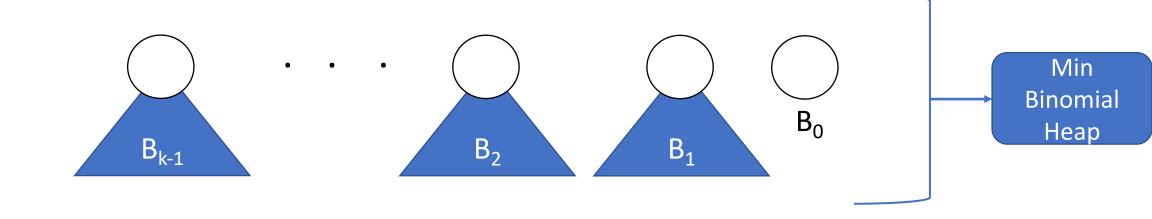
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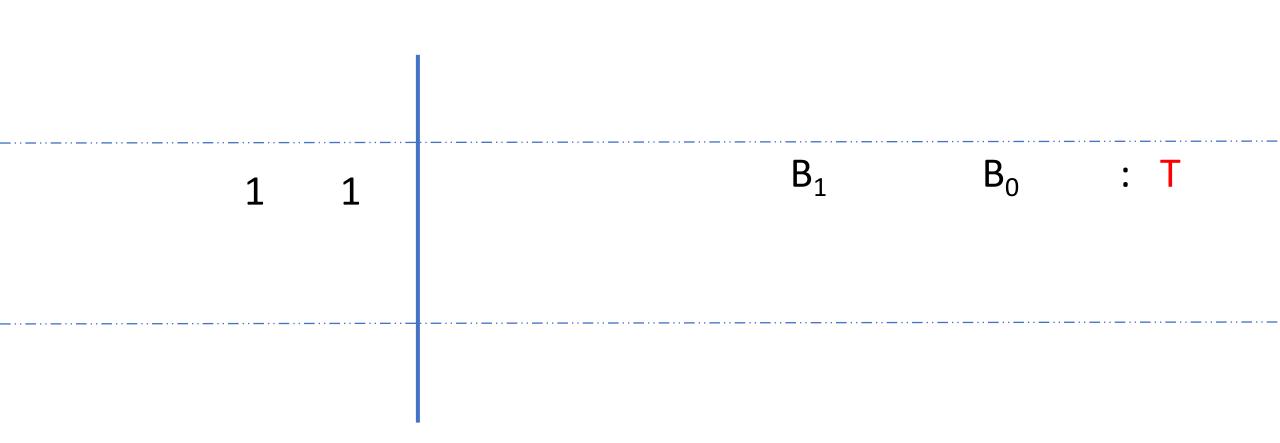


**Lemma 2**: Deleting the root of a min heap-ordered  $B_k$  tree gives a min binomial heap.

**Proof:** Deleting the root of min heap-ordered  $B_k$  tree.



$$S \leftarrow Union(T, Q)$$



T is a Binomial Heap of size  $n = 3 = < 11>_2$ 

Q is a Binomial Heap of size  $n = 7 = < 1.1.1>_2$ 

 $B_2$ 

1 1

1 1 1

 $\mathsf{B}_1$ 

 $B_0$ 

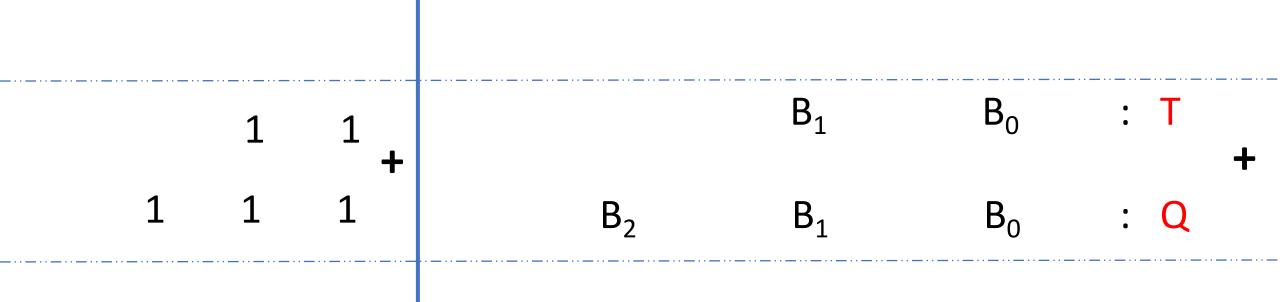
Т

В

 $B_0$ 

 $\mathbf{O}$ 

T is a Binomial Heap of size  $n = 3 = < 11>_2$ 



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|   | 1 |        |                | $B_1$          |                | Carry    |
|---|---|--------|----------------|----------------|----------------|----------|
|   | 1 | 1<br>+ |                | B <sub>1</sub> | $B_0$          | : T<br>+ |
| 1 | 1 | 1      | B <sub>2</sub> | B <sub>1</sub> | B <sub>0</sub> | : Q      |
|   |   | 0      |                |                | X              | : S      |

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| 1     | 1 |     | B <sub>2</sub>     | $B_1$              |                    |          | Carr | y |
|-------|---|-----|--------------------|--------------------|--------------------|----------|------|---|
|       | 1 | 1 + |                    | <br>B <sub>1</sub> | $B_0$              |          | : T  | + |
| <br>1 | 1 | 1   | <br>B <sub>2</sub> | <br>B <sub>1</sub> | <br>B <sub>0</sub> | <u> </u> | : Q  |   |
|       | 1 | 0   |                    | $B_1$              | X                  |          | : S  |   |

T is a Binomial Heap of size  $n = 3 = < 11>_2$ 

| 1 | 1 | 1 |   | $B_3$          | $B_2$          | $B_1$          |                | Carry    |
|---|---|---|---|----------------|----------------|----------------|----------------|----------|
|   |   | 1 | 1 |                |                | B <sub>1</sub> | B <sub>0</sub> | : T<br>+ |
|   | 1 | 1 | 1 |                | B <sub>2</sub> | B <sub>1</sub> | B <sub>0</sub> | : Q      |
| 1 | 0 | 1 | 0 | B <sub>3</sub> | X              | $B_1$          | X              | : S      |

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| 1 | 1 | 1 |   | B <sub>3</sub> | $B_2$          | $B_1$          |                | Carry    |
|---|---|---|---|----------------|----------------|----------------|----------------|----------|
|   |   | 1 | 1 |                |                | $B_1$          | B <sub>0</sub> | : T<br>+ |
|   | 1 | 1 | 1 |                | B <sub>2</sub> | B <sub>1</sub> | B <sub>0</sub> | : Q      |
| 1 | 0 | 1 | 0 | $B_3$          | X              | $B_1$          | X              | : S      |

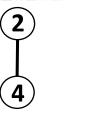
How many new edges were added?

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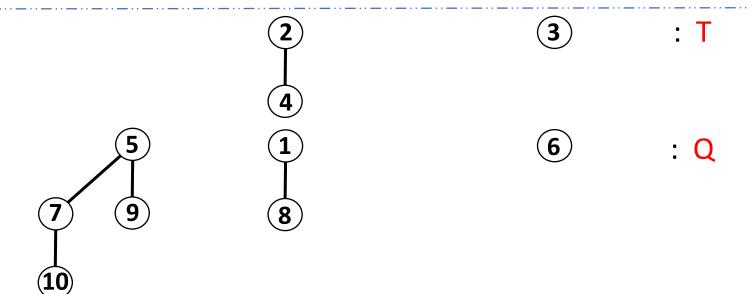
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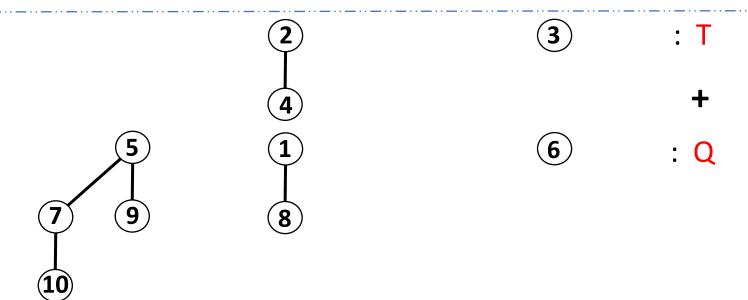
| 1 | 1 | 1 |   | B <sub>3</sub> | B <sub>2</sub> | $B_1$          |                | Carry    |
|---|---|---|---|----------------|----------------|----------------|----------------|----------|
|   |   | 1 | 1 |                |                | B <sub>1</sub> | B <sub>0</sub> | : T<br>+ |
|   | 1 | 1 | 1 |                | B <sub>2</sub> | B <sub>1</sub> | B <sub>0</sub> | : Q      |
| 1 | 0 | 1 | 0 | B <sub>3</sub> | X              | $B_1$          | X              | : S      |

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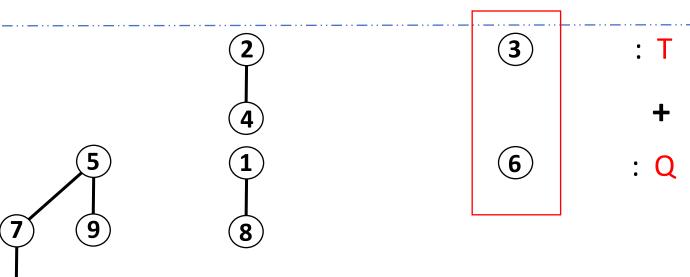


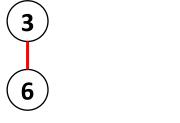
: T



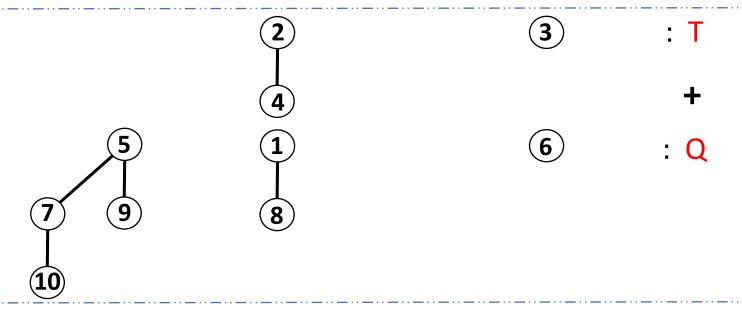


#### **MERGE**

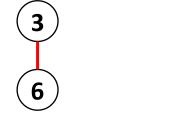




#### Carry

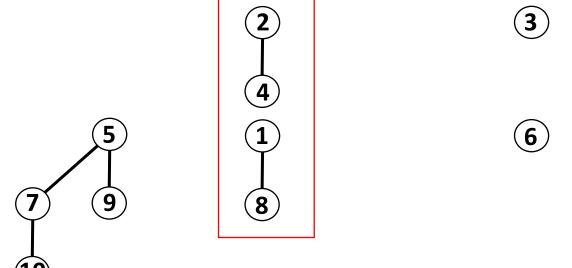


 $\mathsf{X} \qquad : \; \mathsf{S}$ 

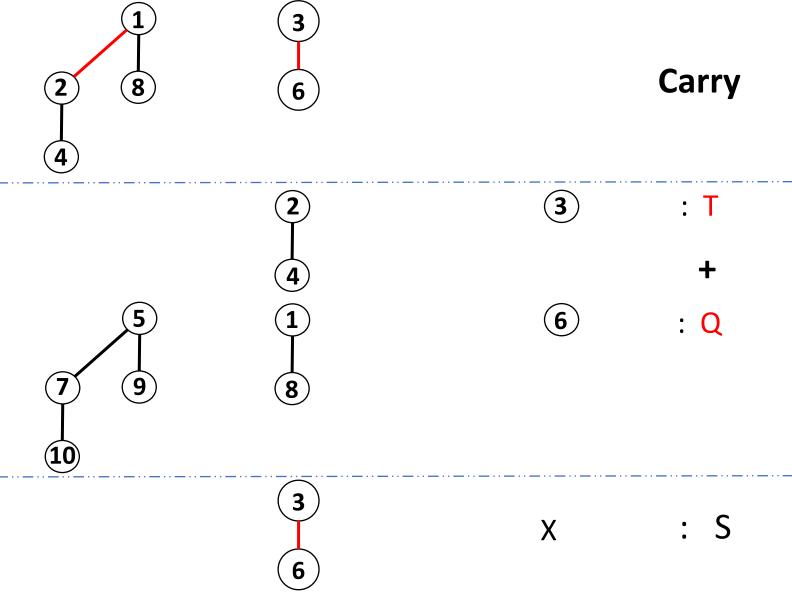


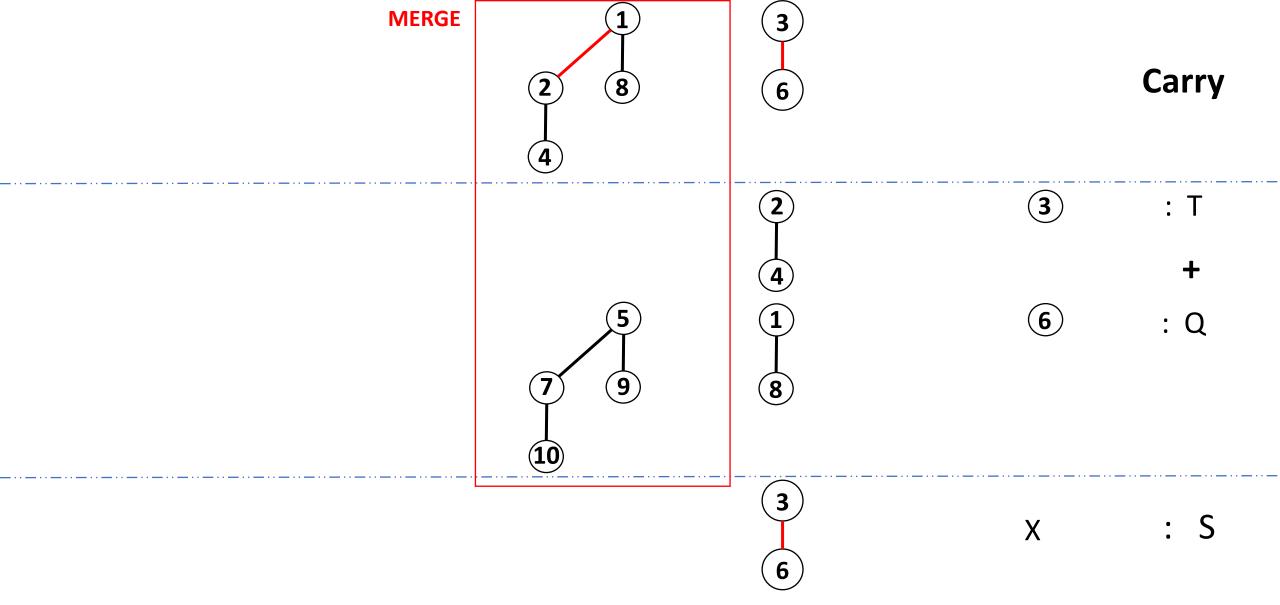
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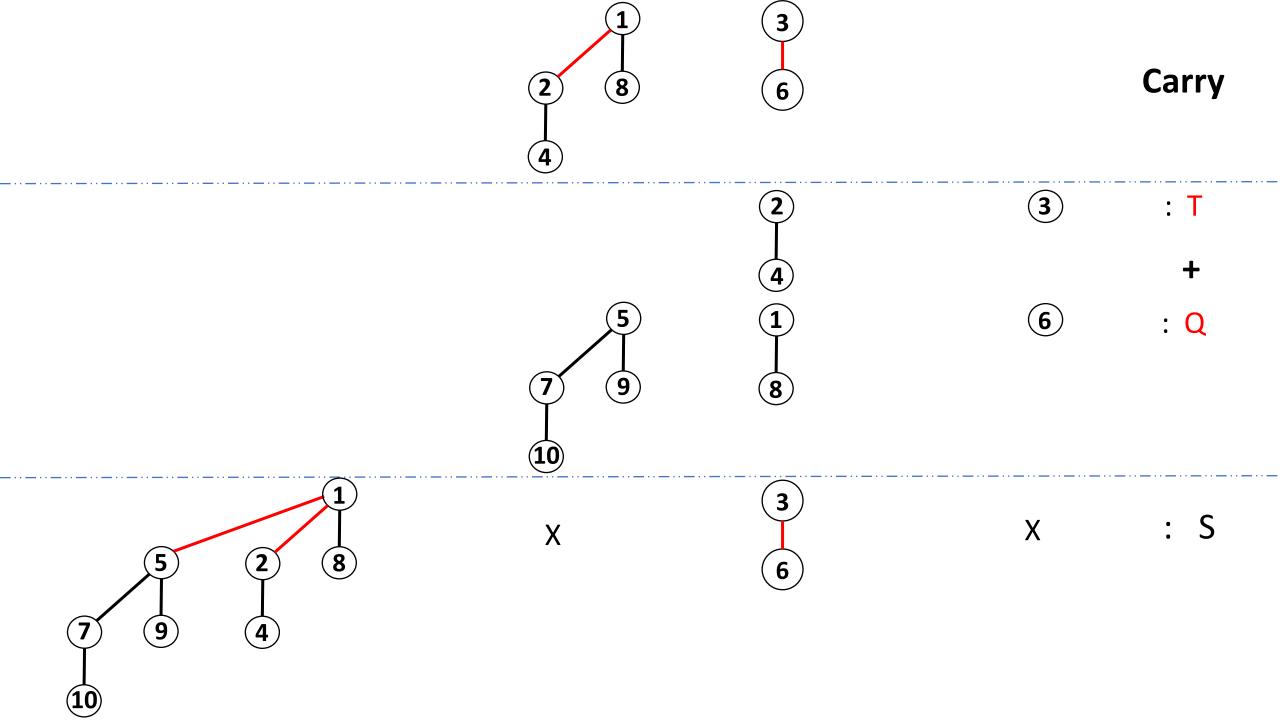
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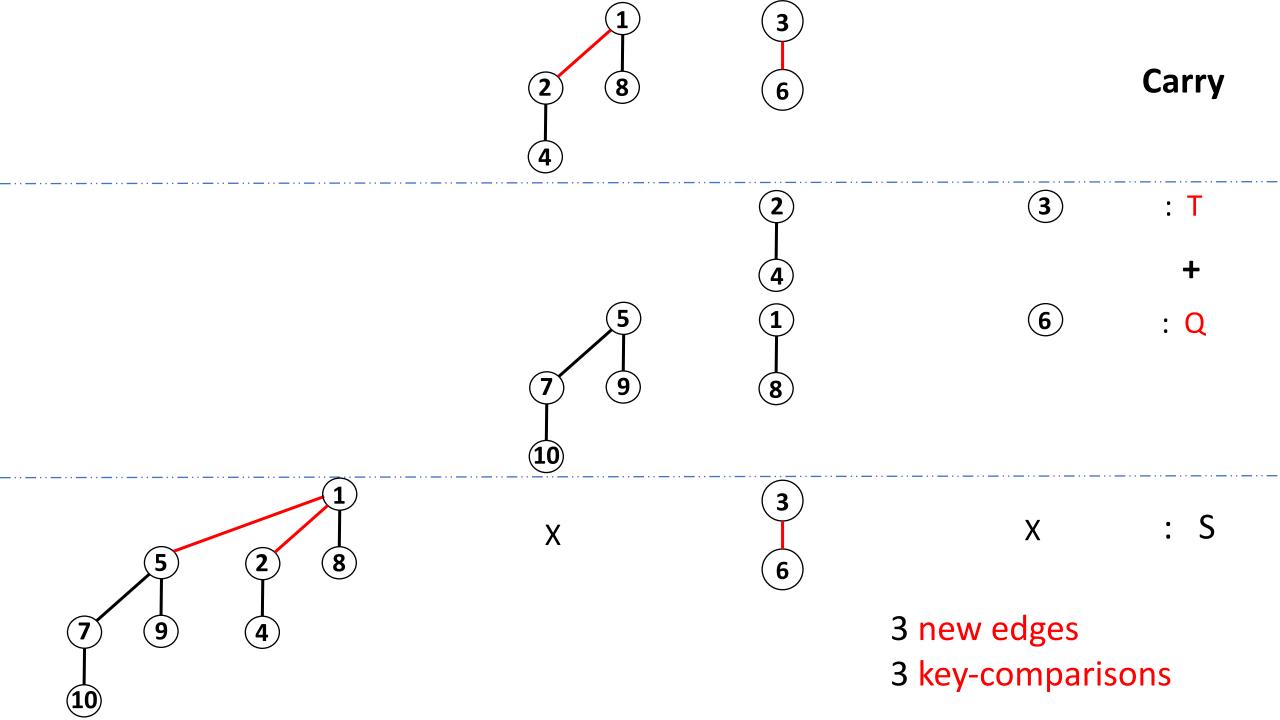


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#### Worst-Case Complexity of Union(T, Q)

Say  $|T| \le n$  and  $|Q| \le n$  (i.e. each contains at most n elements)

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Say  $|T| \le n$  and  $|Q| \le n$  (i.e. each contains at most n elements)

- $\Rightarrow$  Each of T, Q have O(log n) B<sub>k</sub> trees.
- ⇒ Union(T, Q) takes at most O(log n) key-comparisons

 $S \leftarrow Union(T, \{x\})$ 

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Trivial Binomial
Heap containing
just x

S ←Union(T, {x})

Trivial Binomial Heap containing just x

If |T| <= n, Insert(T, x) takes at most O(log n) key-comparisons

# Min(T)

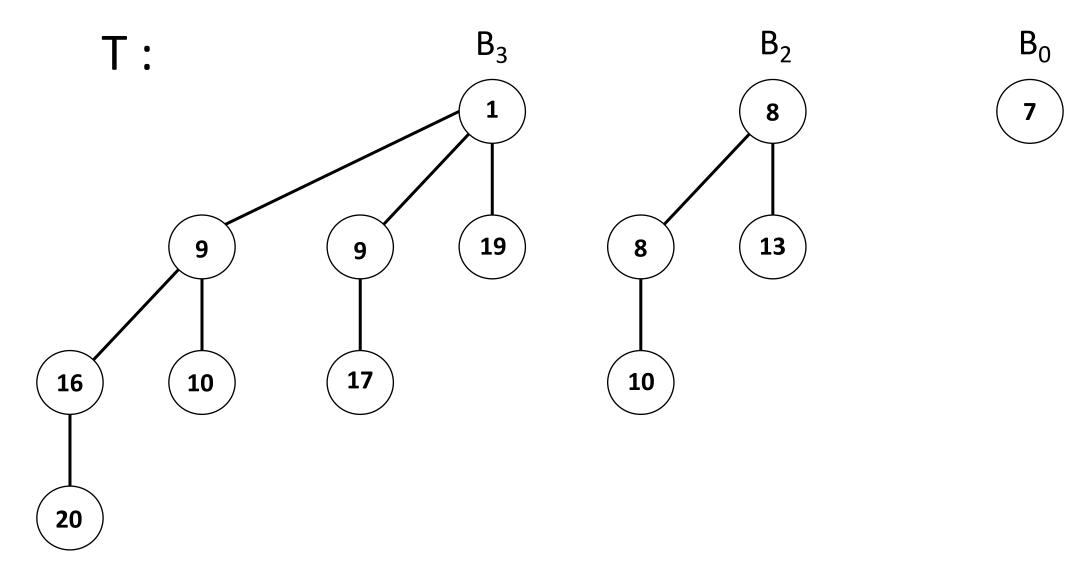
## Min(T)

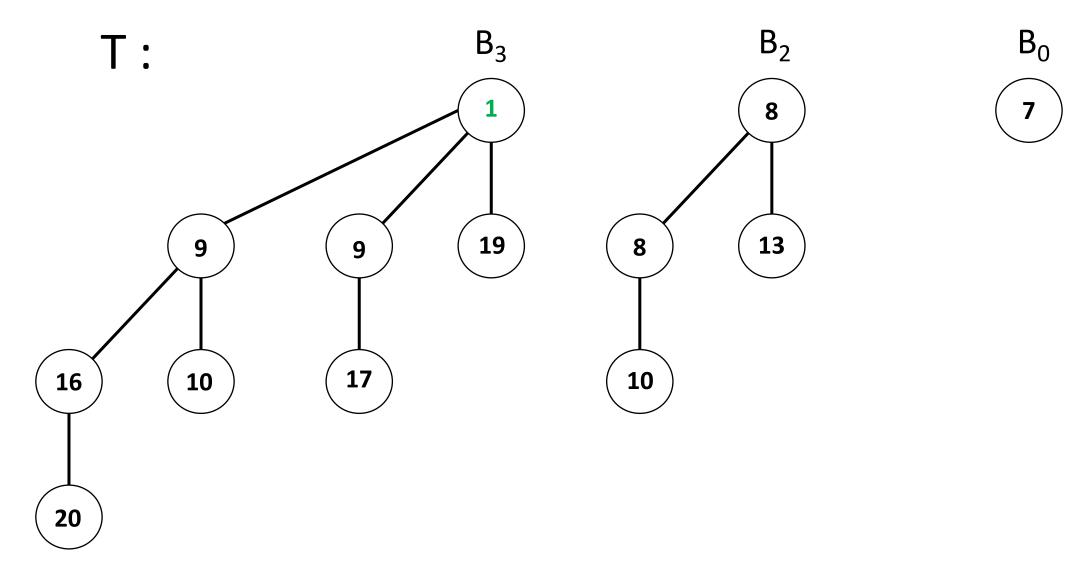
Scan the roots of the  $B_k$  trees of T and return the smallest key.

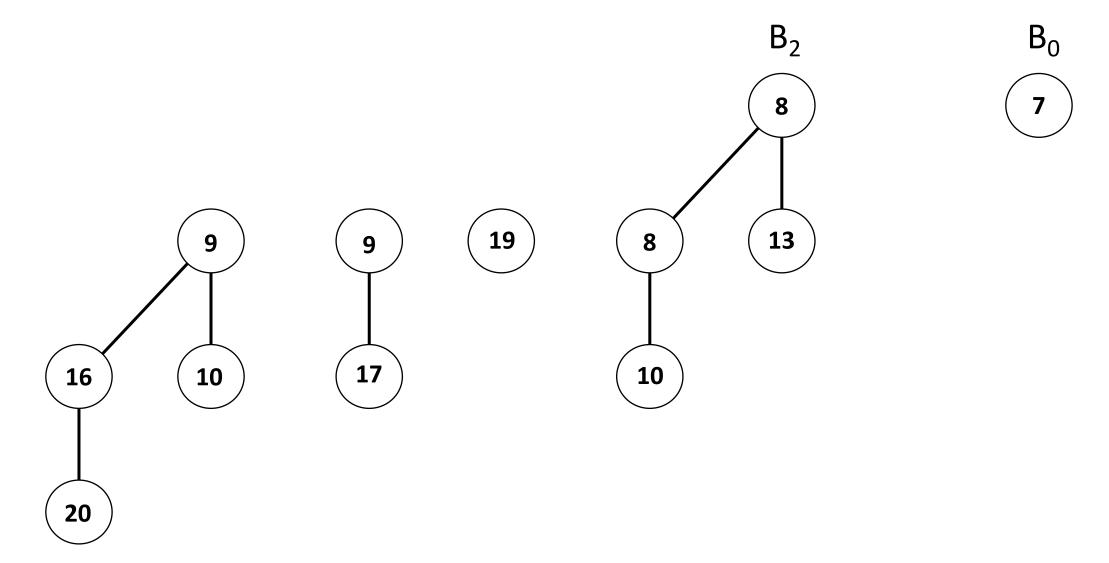
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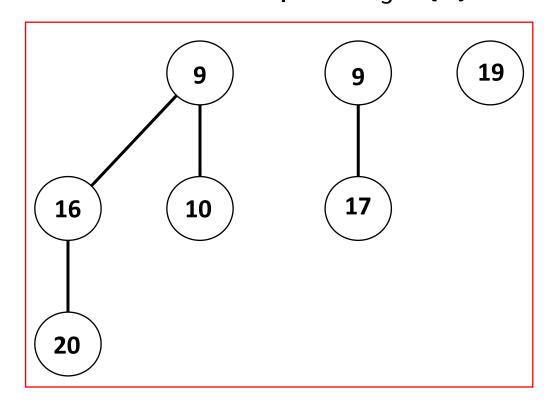
If |T| <= n, Min(T) takes at most O(log n) key-comparisons

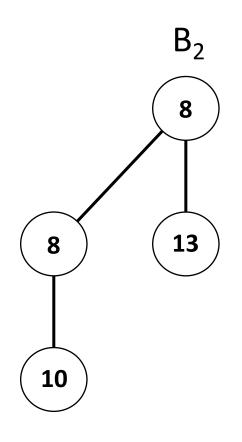






Binomial Heap  $S = B_3 - \{1\}$ 

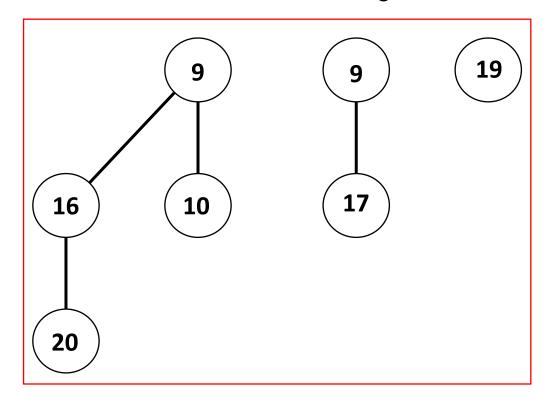




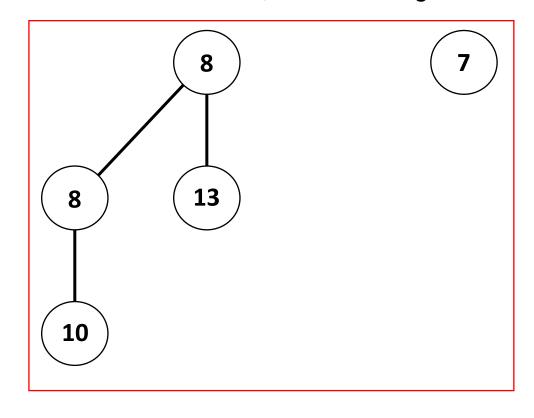
$$B_0$$



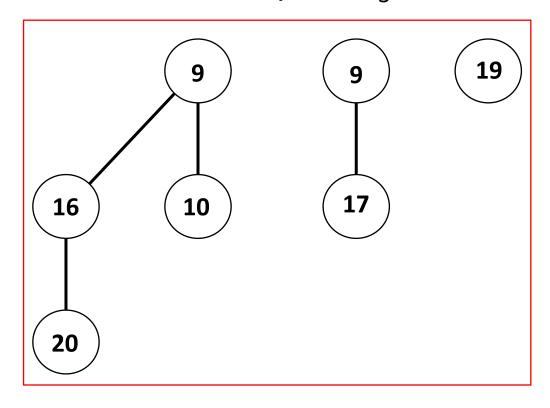
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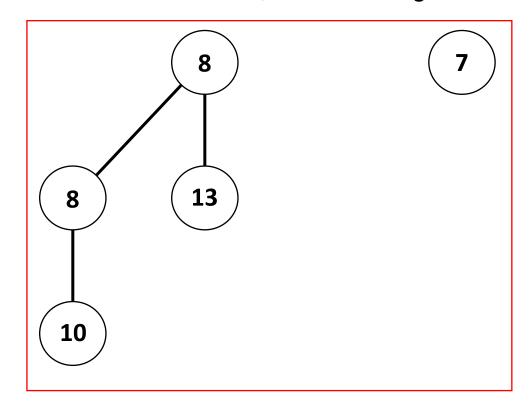
Binomial Heap  $U = T - B_3$ 



Binomial Heap  $S = B_3 - \{1\}$ 



Binomial Heap  $U = T - B_3$ 



Now do:  $T \leftarrow Union(U,S)$ 

• Do Min(T) to locate the smallest element – Say it is the root of B<sub>i</sub>

$$U = T - B_i$$

Do Min(T) to locate the smallest element – Say it is the root of B<sub>i</sub>
 U = T - B<sub>i</sub>

• Delete root of  $B_i$ . By Lemma 2, we get a Binomial Heap S, where  $S = B_i - (\text{root of } B_i)$ 

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• T ← Union(U, S)

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If |T| <= n, Extract\_Min(T) takes at most O(log n) key-comparisons

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Decrease\_Key(T, x, k): Decrease the key at node x to k.

Remove(T, x): Remove the key at node x.

Both in O(log n) time

• Given pointer to a node x in a Binomial Heap T, you can do:

```
Decrease_Key(T, x, k): Decrease the key at node x to k.

Remove(T, x): Remove the key at node x.
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How do you do Increase\_Key(T, x, k)?

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Cost of k successive inserts into T?

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```
Insert(T, x_1), Insert(T, x_2), . . . . , Insert(T, x_k)
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```
O(log n)
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O( log n ) O( log (n + 1) )
```

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```

• Total: O( k log (n + k) )

## Cost of k successive inserts

- T: Binomial Heap with n elements.
- Cost of k successive inserts into T?

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Insert(T, x_1), Insert(T, x_2), . . . , Insert(T, x_k)

O( log n ) O( log (n + 1) ) . . . . . O( log (n + k) )
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- Total: O( k log (n + k) )
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## Cost of k successive inserts

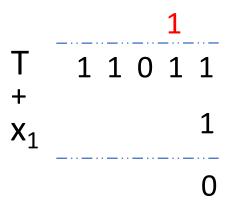
- T: Binomial Heap with n elements.
- Cost of k successive inserts into T?

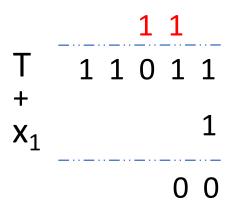
```
Insert(T, x_1), Insert(T, x_2), . . . , Insert(T, x_k)

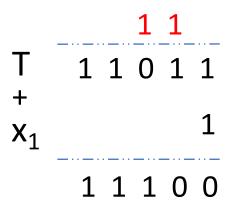
O( log n ) O( log (n + 1) ) . . . . . O( log (n + k) )
```

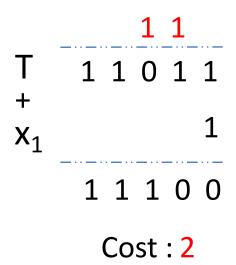
- Total: O( k log (n + k) )
- Is the cost of k successive inserts actually lower? Yes!

```
T 11011
+
x<sub>1</sub> 1
```









**Example:** Say 
$$|T| = 27 = < 11011 >_2$$
  $T = < B_4 B_3 B_1 B_0 >$ 

• Total for 5 insertions: 2 + 0 + 1 + 0 + 5 = 8 key-comparisons (not 5 x 5).

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- Initially: Thus  $27 \alpha(27) = 27 4 = 23$  edges

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- Initially: T has  $27 \alpha(27) = 27 4 = 23$  edges
- After 5 insertions: T has  $32 \alpha(32) = 32 1 = 31$  edges

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- The 5 insertions added: 31-23 = 8 new edges.
- 8 new edges = 8 key-comparisons

total cost is at most  $O(k \log (n + k))$  key-comparisons

Claim: If  $k > log_2 n$ , total cost is at most

key-comparisons

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**Proof:** Do A2 − Q4 ⓒ

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 $\Rightarrow$  Average cost per insert is  $\leq$  2 key-comparisons!