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Union(S_x, S_y): Create set $S = S_x \cup S_y$ and return the representative of S

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Operations:

Union(S_x, S_y): Create set $S = S_x \cup S_y$ and return the representative of S

Find(z): Given (a ptr to) z , find set S that contains z and return the representative of S

S_j : Set represented by j

Example

	S_1	S_2	S_3	S_4	S_5
Initially :	$\{1\}$	$\{2\}$	$\{3\}$	$\{4\}$	$\{5\}$

S_j : Set represented by j

Example

	S_1	S_2	S_3	S_4	S_5
Initially :	$\{1\}$	$\{2\}$	$\{3\}$	$\{4\}$	$\{5\}$

Union(S_3, S_4) :

S_j : Set represented by j

Example

	S_1	S_2	S_3	S_4	S_5
Initially :	$\{1\}$	$\{2\}$	$\{3\}$	$\{4\}$	$\{5\}$
Union (S_3, S_4) :	$\{1\}$	$\{2\}$	$\{\underline{3}, 4\}$	X	$\{5\}$

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Find (4) = S_3					

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Example

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Initially :	{1}	{2}	{3}	{4}	{5}
Union(S_3, S_4) :	{1}	{2}	{ <u>3</u> , 4}	X	{5}
Find(4) = S_3					
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Union(S_3, S_4) :	{ <u>1</u> }	{ <u>2</u> }	{ <u>3</u> , 4}	X	{ <u>5</u> }
Find(4) = S_3					
Union(S_1, S_5) :	{ <u>1</u> , 5}	{ <u>2</u> }	{ <u>3</u> , 4}	X	X
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Union (S_1, S_3) :	$\{\underline{1}, 5, 3, 4\}$	$\{2\}$	X	X	X

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Example

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Union(S_3, S_4) :	{ <u>1</u> }	{ <u>2</u> }	{ <u>3</u> , 4}	X	{ <u>5</u> }
Find(4) = S_3					
Union(S_1, S_5) :	{ <u>1</u> , 5}	{ <u>2</u> }	{ <u>3</u> , 4}	X	X
Union(S_1, S_3) :	{ <u>1</u> , 5, 3, 4}	{ <u>2</u> }	X	X	X
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Find (4) = S_1					
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Initially :	{ <u>1</u> }	{ <u>2</u> }	{ <u>3</u> }	{ <u>4</u> }	{ <u>5</u> }
Union(S_3, S_4) :	{ <u>1</u> }	{ <u>2</u> }	{ <u>3</u> , 4}	X	{ <u>5</u> }
Find(4) = S_3					
Union(S_1, S_5) :	{ <u>1</u> , 5}	{ <u>2</u> }	{ <u>3</u> , 4}	X	X
Union(S_1, S_3) :	{ <u>1</u> , 5, 3, 4}	{ <u>2</u> }	X	X	X
Find(4) = S_1					
Find(2) = S_2					
Union(S_1, S_2) :	{ <u>1</u> , 5, 3, 4, 2}	X	X	X	X

Each **Union** reduces # of sets by 1

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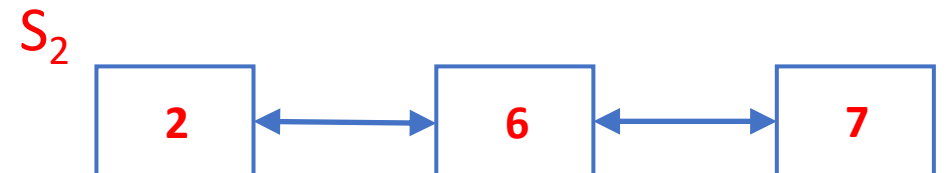
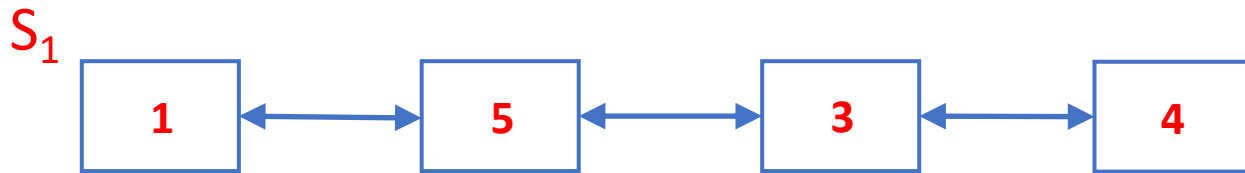
Goal: a data structure that minimizes the **total cost** of executing such sequences

Data Structures for Disjoint Sets

1. Linked Lists

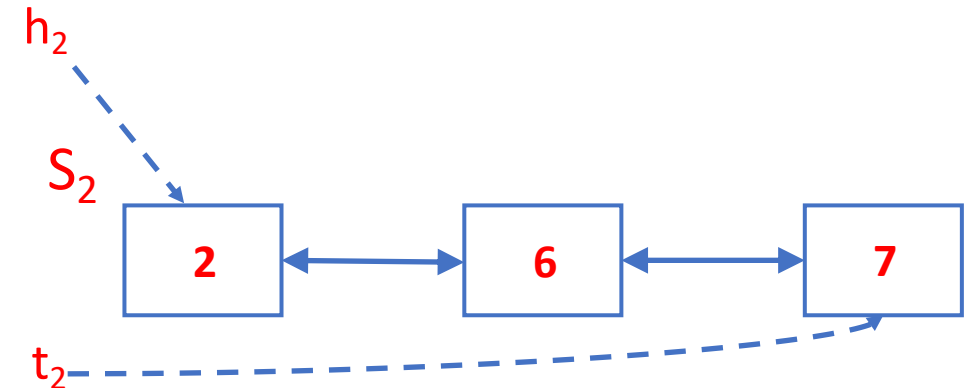
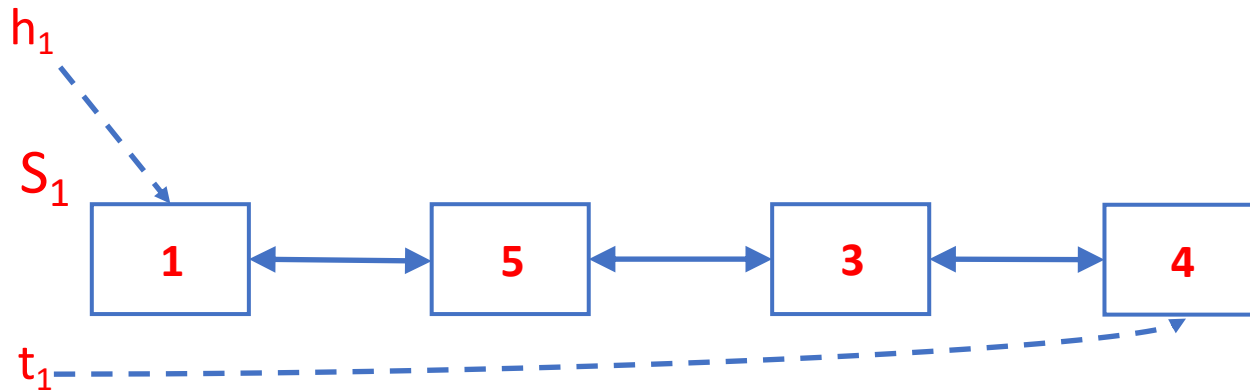
1. Linked Lists

- One list per set



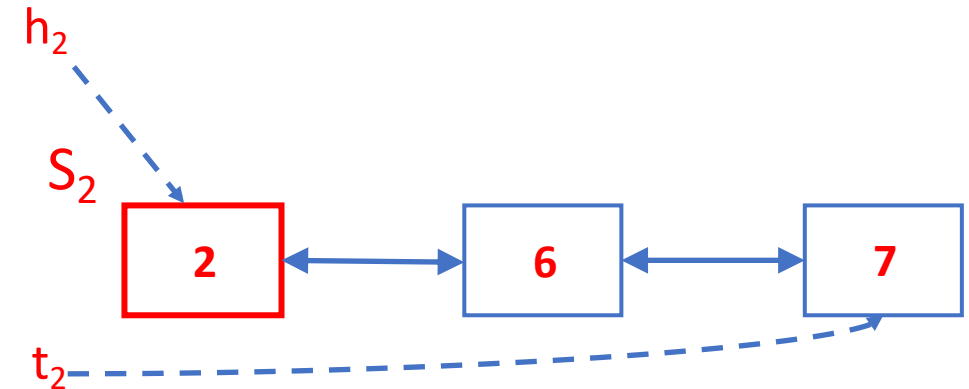
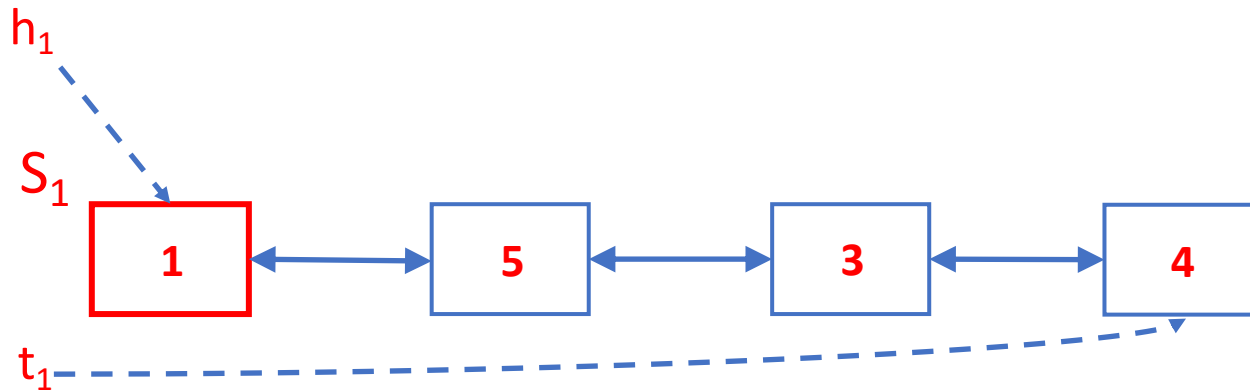
1. Linked Lists

- One list per set
- Store a head pointer and tail pointer for each set



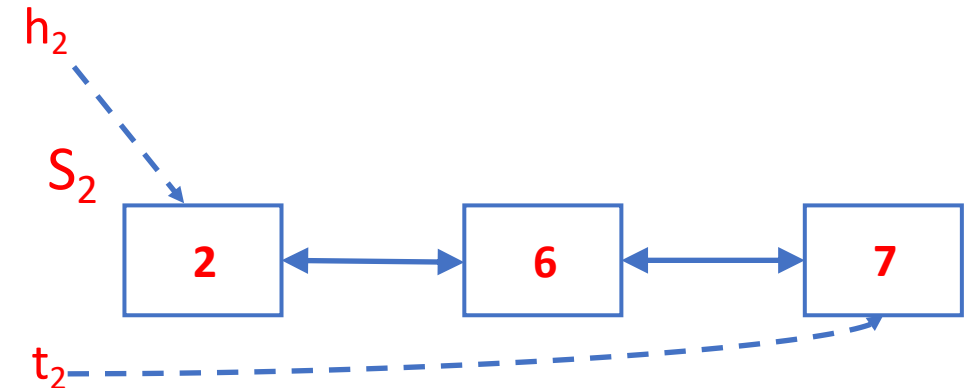
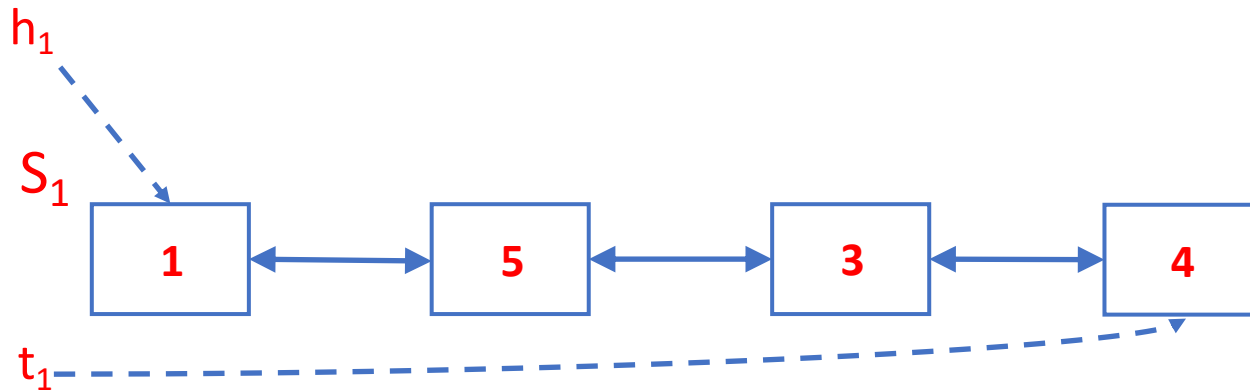
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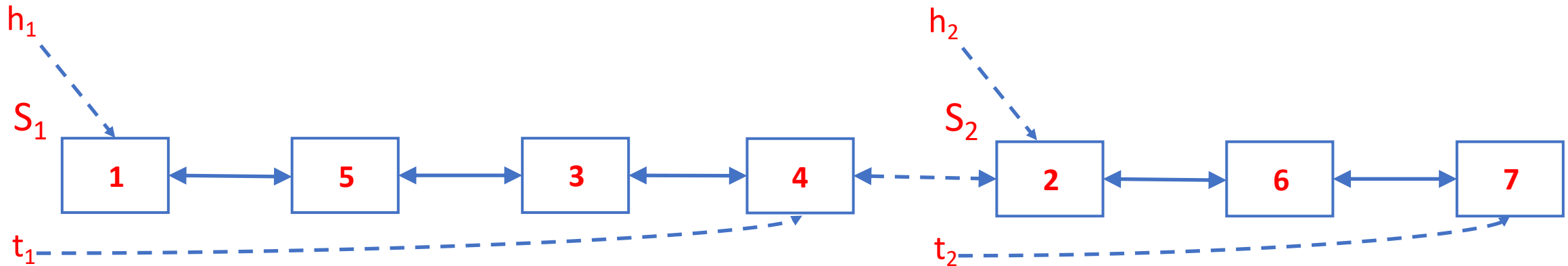
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Union

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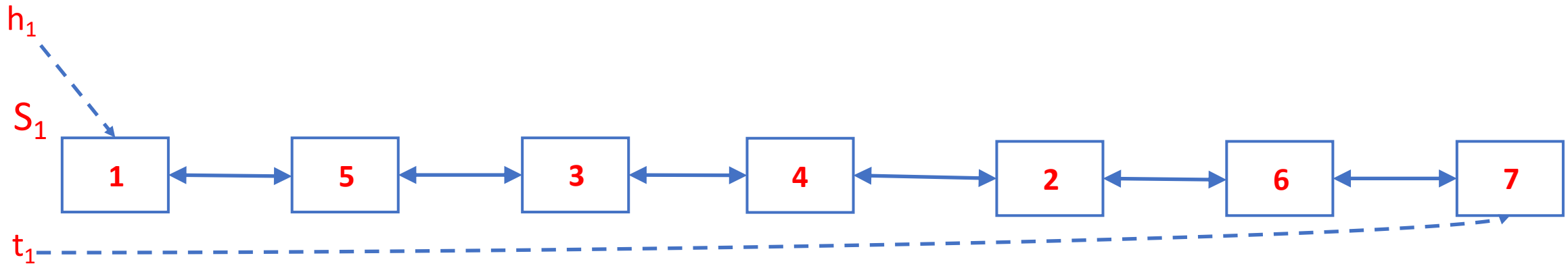
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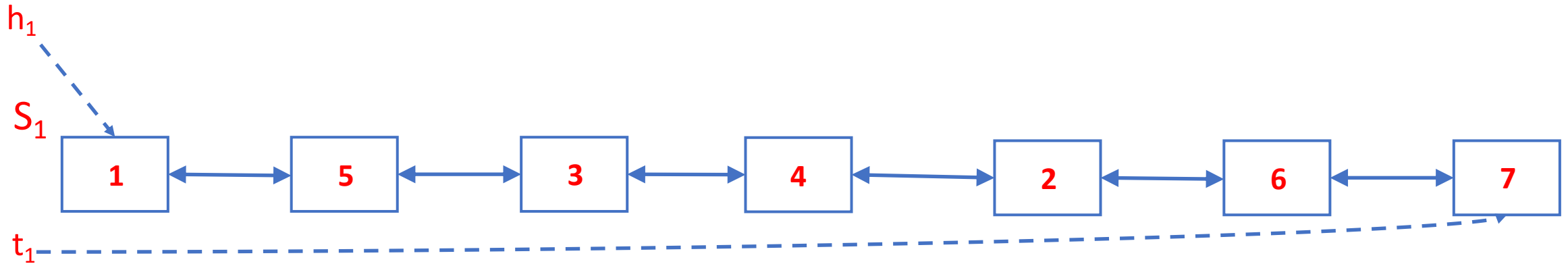
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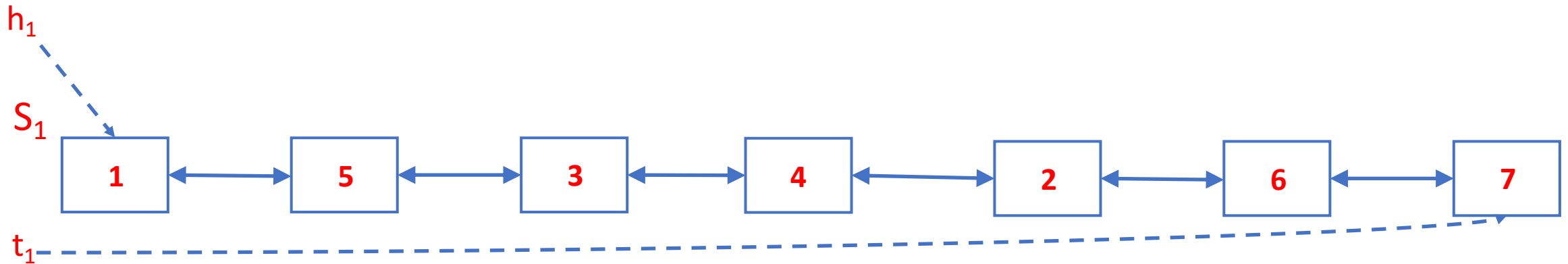
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Each **Union** : $O(1)$

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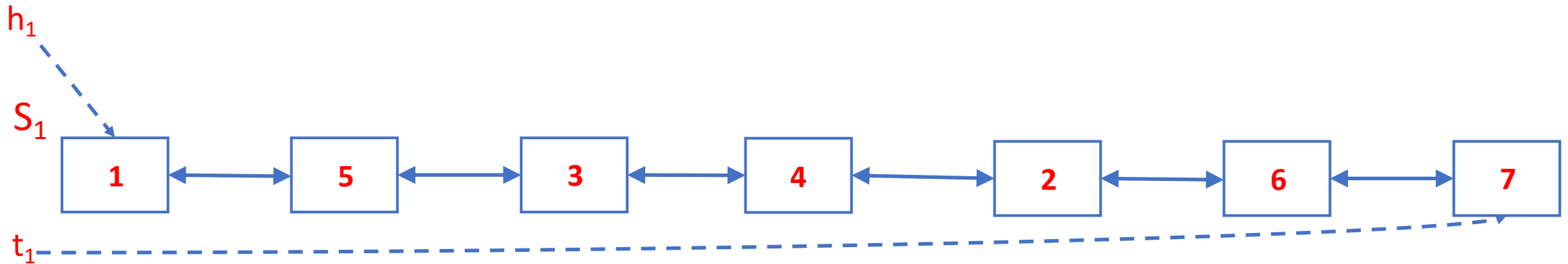


Each **Union** : $O(1)$

Find

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- One list per set
- Store a head pointer and tail pointer for each set
- First element of the set is the representative

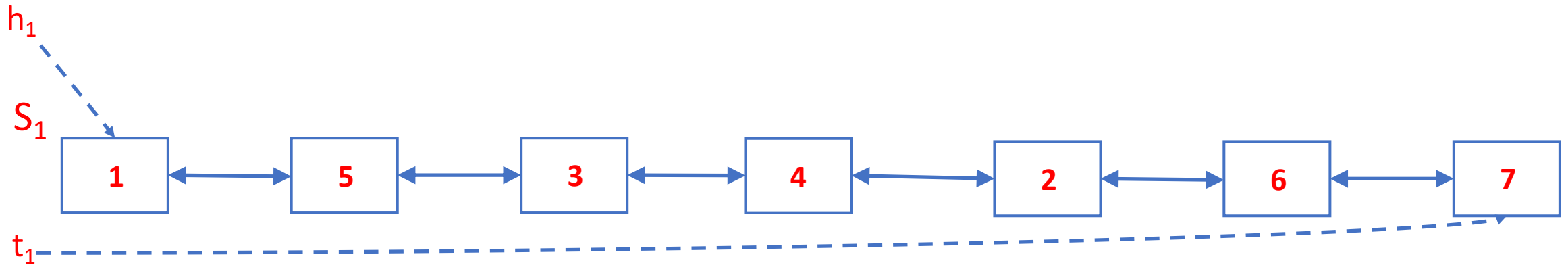


Each **Union** : $O(1)$

Each **Find** : $O(n)$

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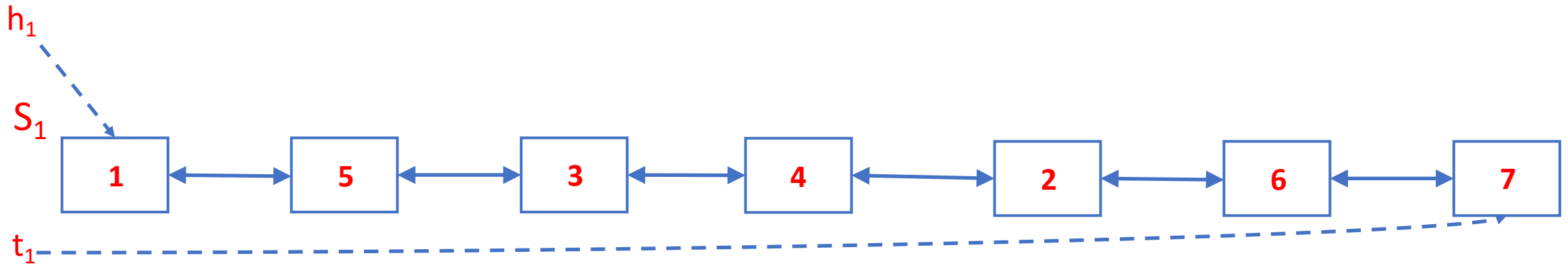
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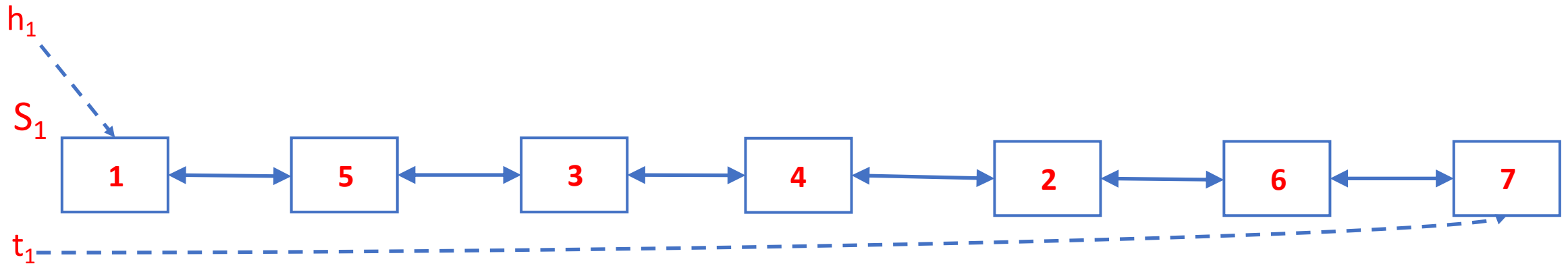
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Worst-case cost of σ :

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Each **Union** : $O(1)$

Each **Find** : $O(n)$

σ : Any Sequence of $n - 1$ **Unions** mixed with $m \geq n$ **Finds**

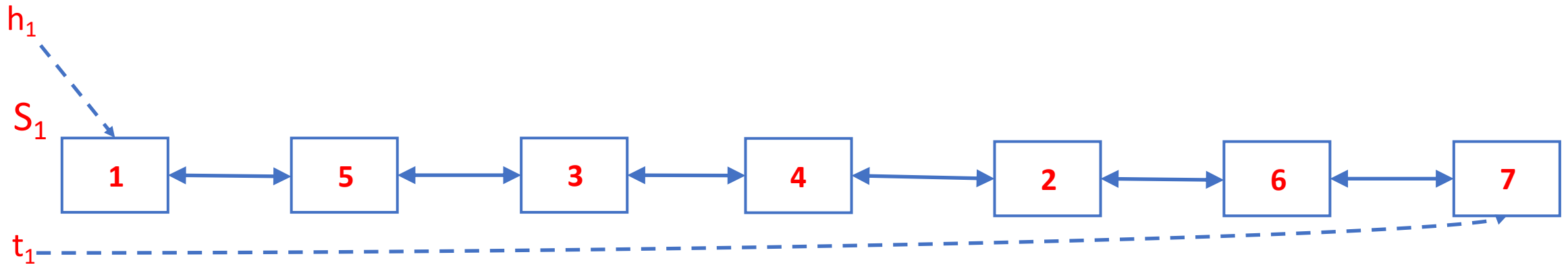
Worst-case cost of σ : $O(n \cdot 1 + m \cdot n)$

Per **Union**

Per **Find**

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Each **Union** : $O(1)$

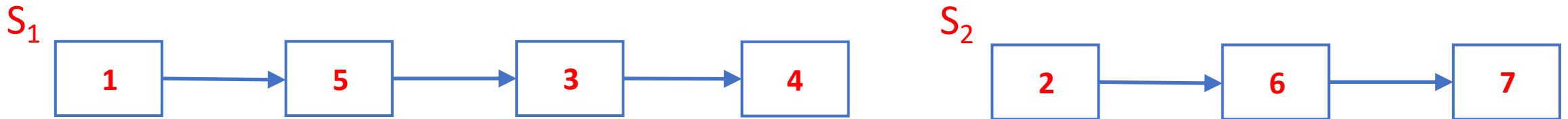
Each **Find** : $O(n)$

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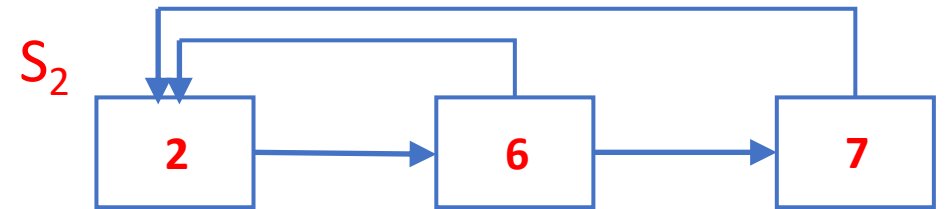
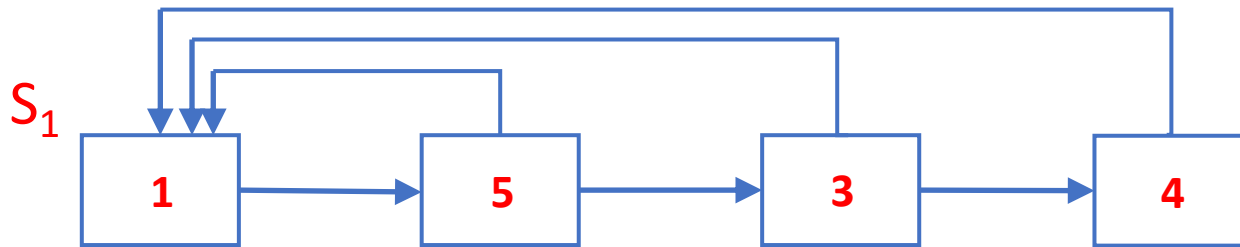
2. Augmented Linked Lists

- Each element also points to its representative



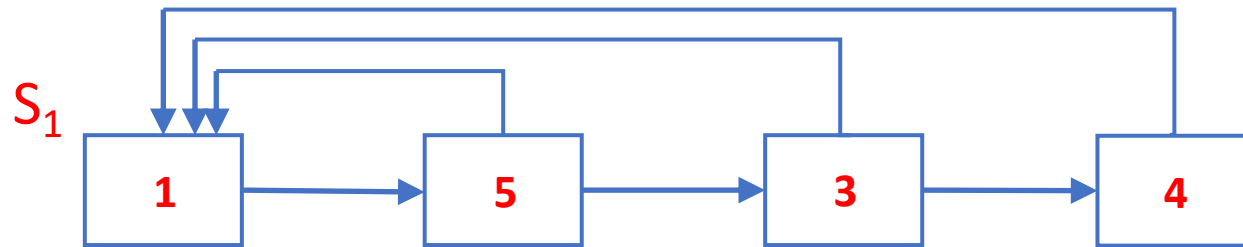
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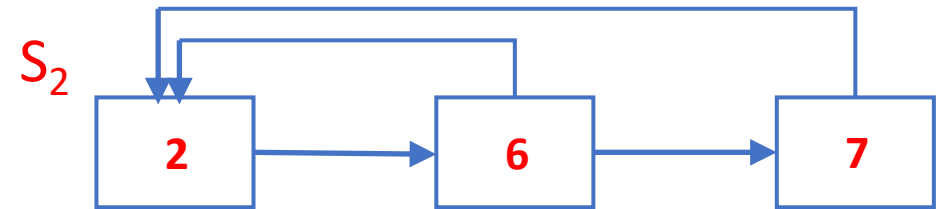


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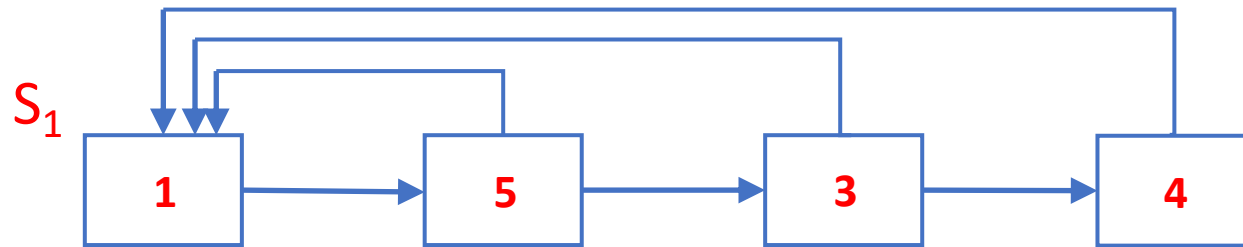


Each **Find** : $O(1)$



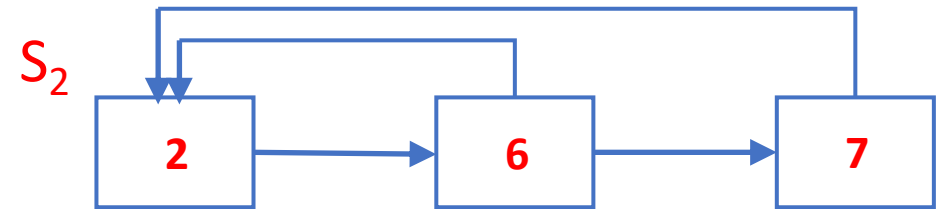
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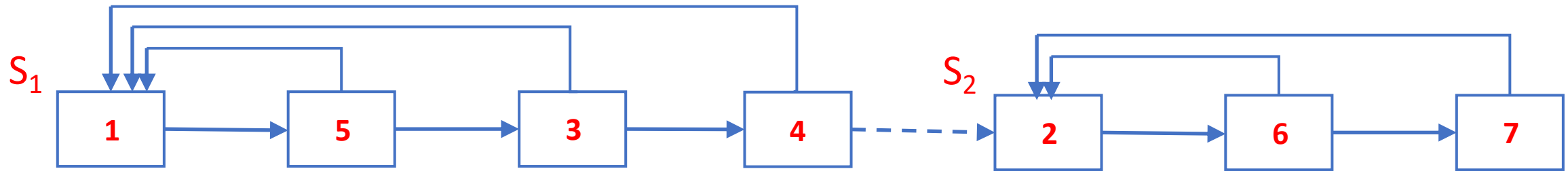
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Union



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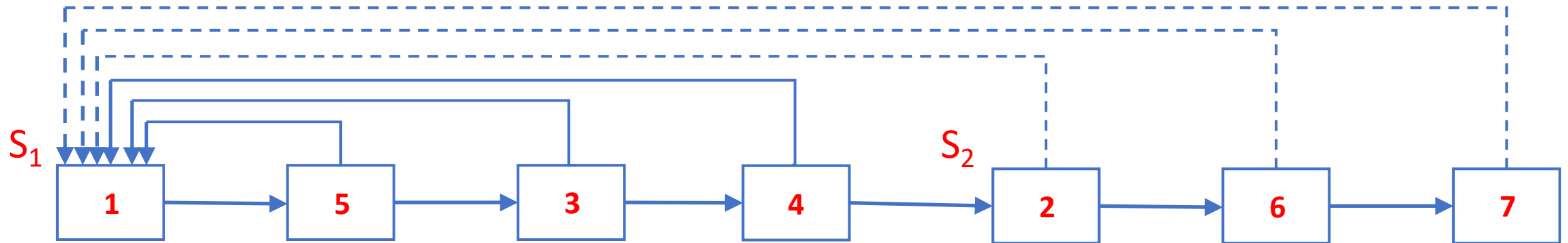


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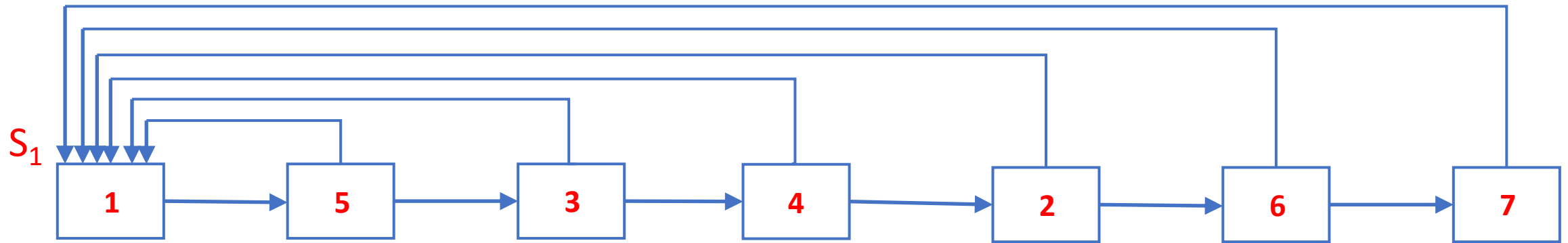


Each **Find** : $O(1)$

Union : must redirect $O(n)$ pointers to the new representative

2. Augmented Linked Lists

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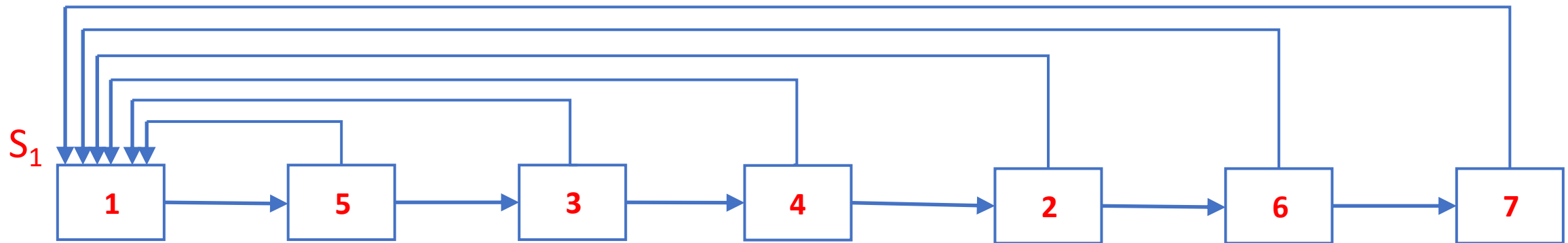


Each **Find** : $O(1)$

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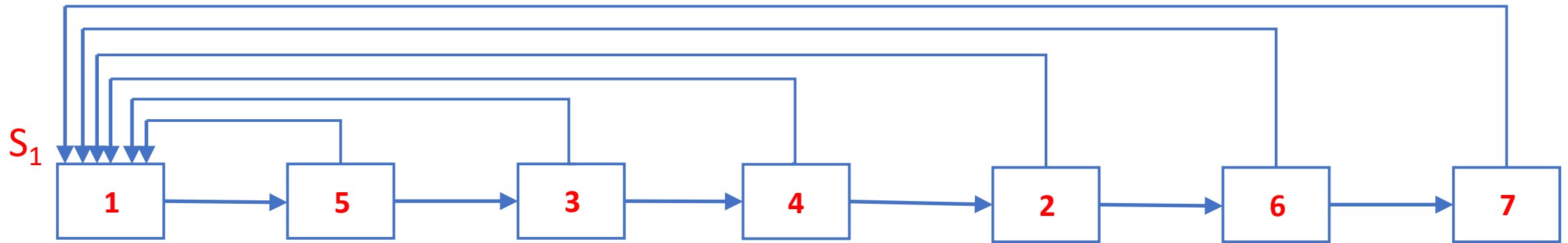
Worst-case cost of σ : $O(n \cdot n + m \cdot 1)$

Per **Union**

Per **Find**

2. Augmented Linked Lists

- Each element also points to its representative



Each **Find** : $O(1)$

Each **Union** : $O(n)$

Worst-case cost of σ : $O(n^2 + m)$

2. Augmented Linked Lists with Weighted Union

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WU rule: Append the **smaller** list onto the **bigger** list (keep track of size of each list)

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Claim: worst-case cost of executing σ : $O(m + n \log n)$

Proof :

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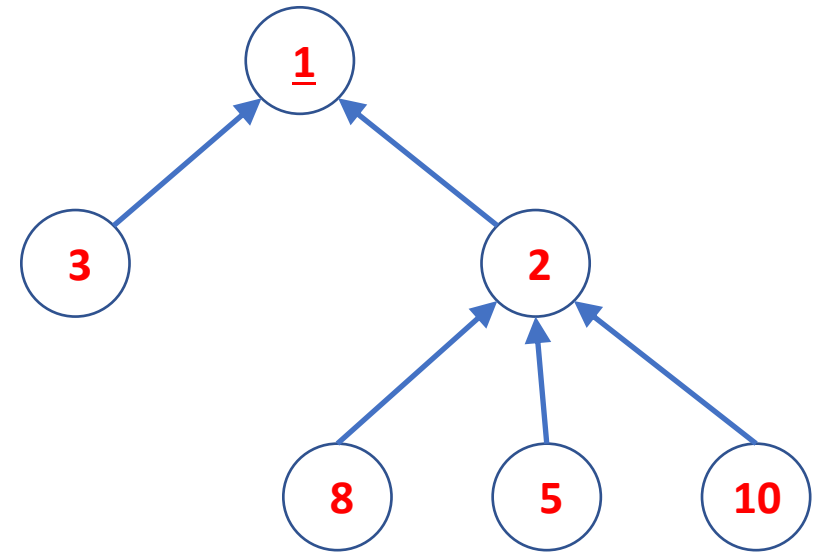
- Each **Find** : $O(1)$
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Claim: worst-case cost of executing σ : $O(m + n \log n)$

Proof : Go to the tutorial 😊

3. Forest structure for Union-Find

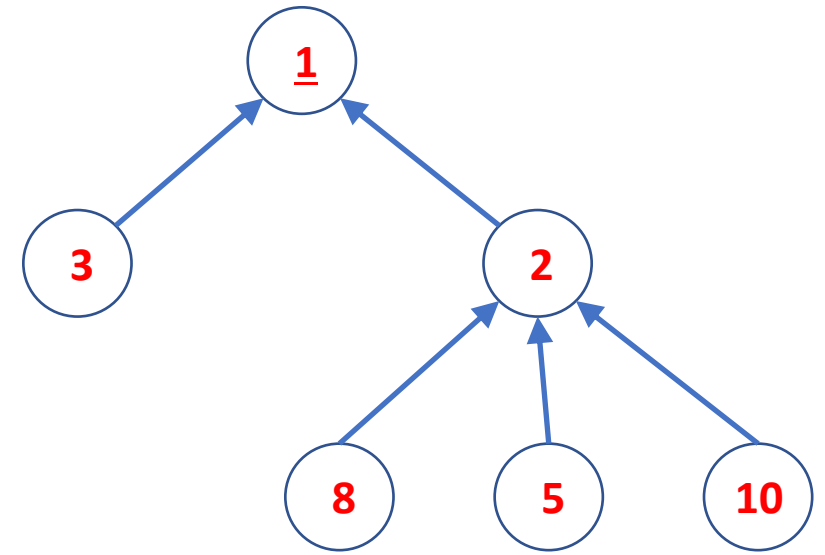
$$S_1 = \{\underline{1}, 3, 2, 8, 5, 10\}$$



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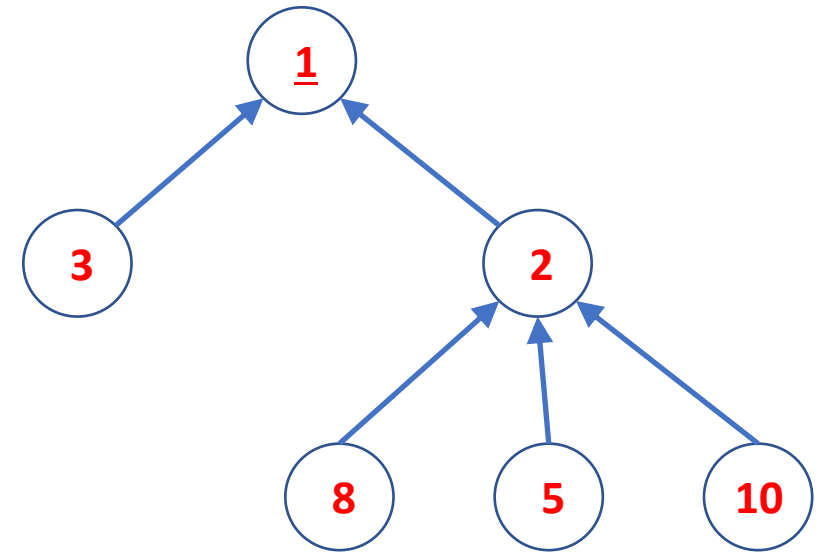
- Each set is represented by a tree



3. Forest structure for Union-Find

- Each set is represented by a tree
- Each node represents an element

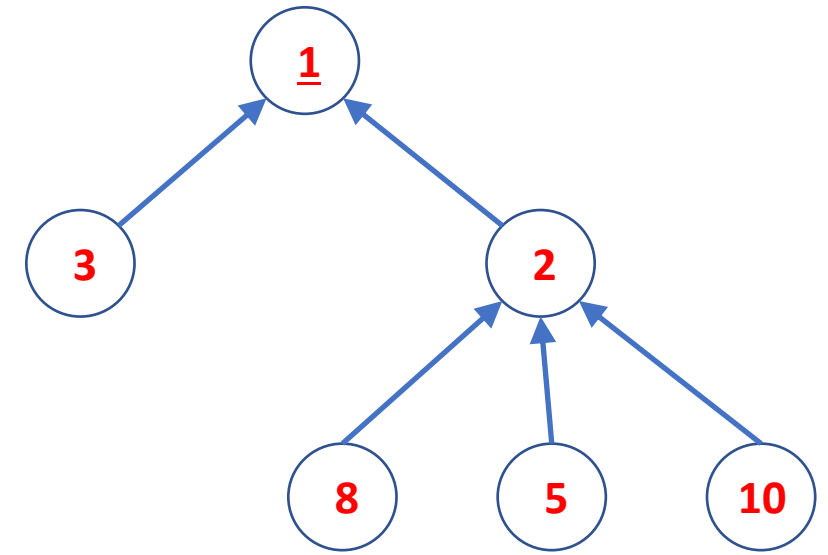
$$S_1 = \{\underline{1}, 3, 2, 8, 5, 10\}$$



3. Forest structure for Union-Find

- Each set is represented by a tree
- Each node represents an element
- Each non-root node points to its parent

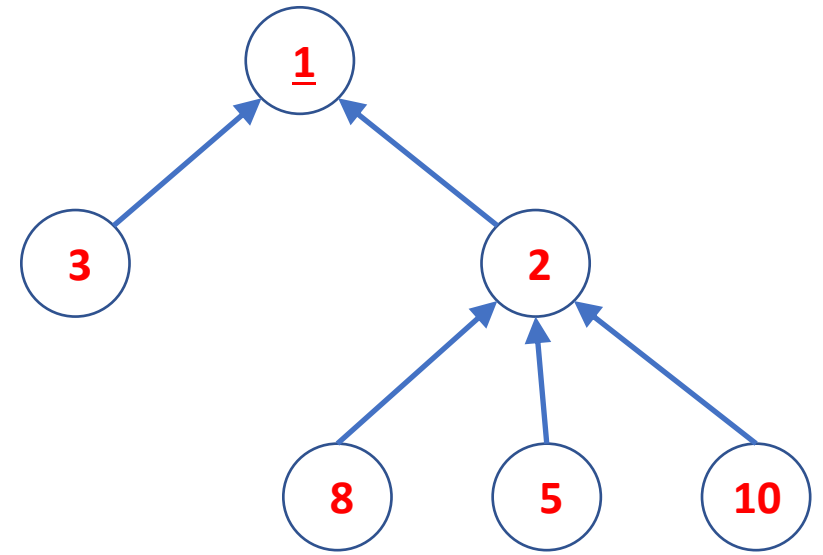
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3. Forest structure for Union-Find

- Each set is represented by a tree
- Each node represents an element
- Each non-root node points to its parent
- The root contains the set representative

$$S_1 = \{\underline{1}, 3, 2, 8, 5, 10\}$$



Example with $n = 10$

$S = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$

Example with $n = 10$

$$S = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$

$$S_1 = \{1, 3, 2, 8, 5, 10\}$$

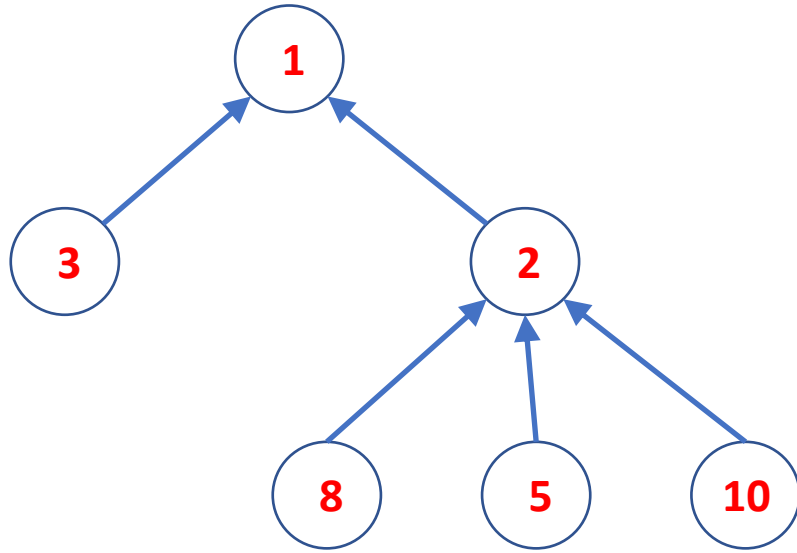
$$S_6 = \{6\}$$

$$S_9 = \{9, 7, 4\}$$

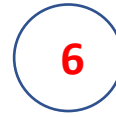
Example with $n = 10$

$S = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$

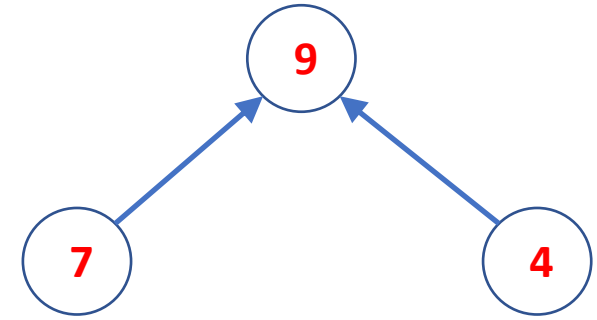
$S_1 = \{1, 3, 2, 8, 5, 10\}$



$S_6 = \{6\}$



$S_9 = \{9, 7, 4\}$

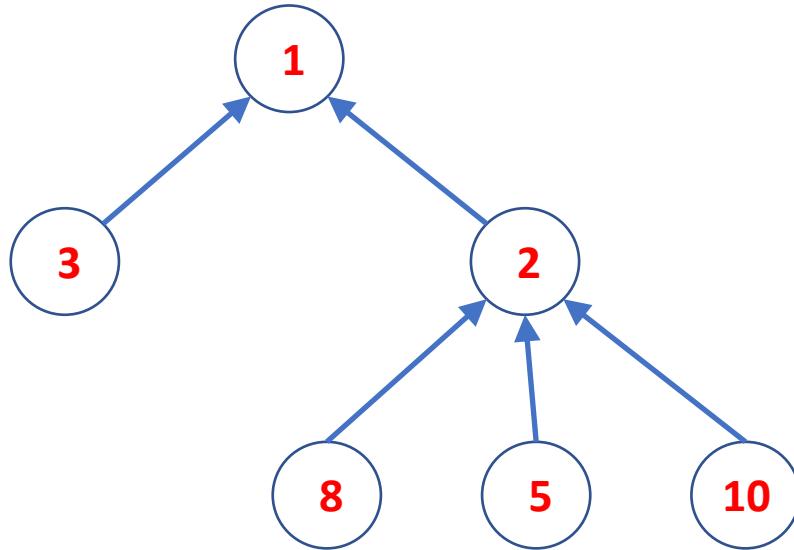


Example with $n = 10$

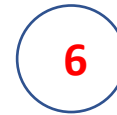
A

1	
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10	

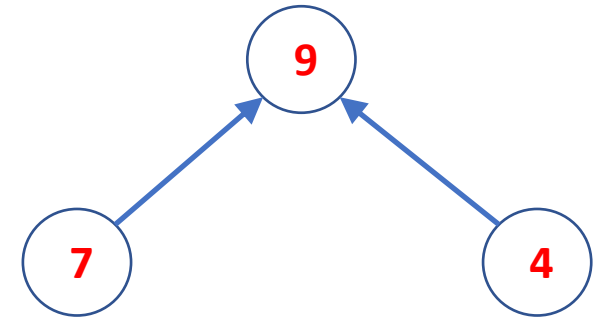
$$S_1 = \{1, 3, 2, 8, 5, 10\}$$



$$S_6 = \{6\}$$



$$S_9 = \{9, 7, 4\}$$

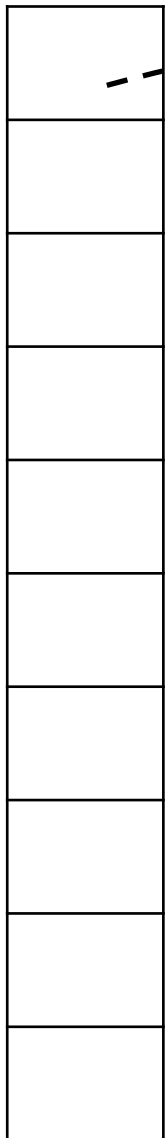


A[x] : Pointer to element x.

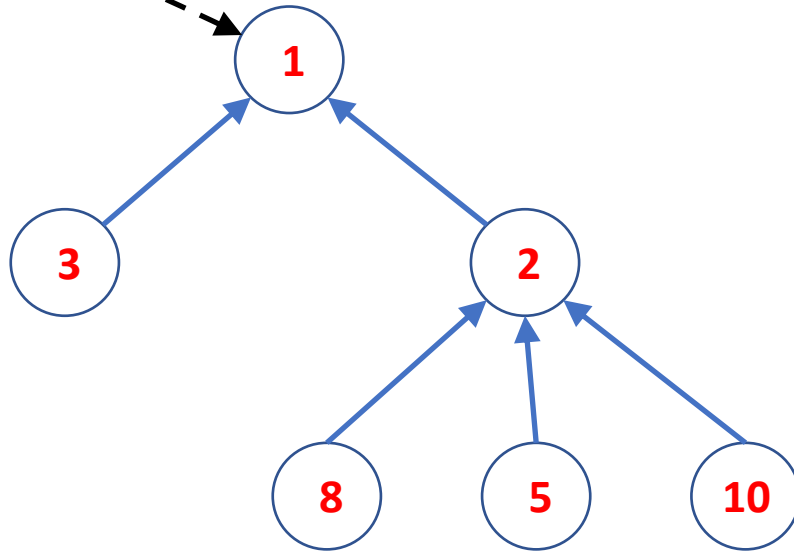
Example with $n = 10$

A

1
2
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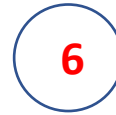


$S_1 = \{1, 3, 2, 8, 5, 10\}$

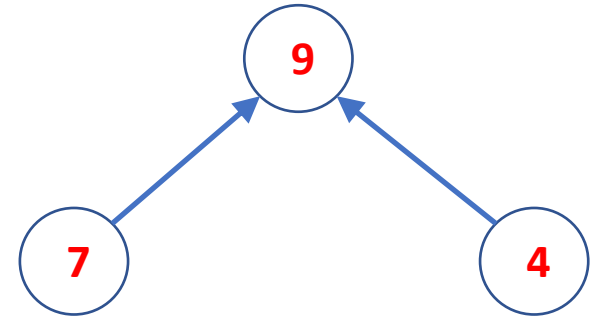


A[x] : Pointer to element x.

$S_6 = \{6\}$

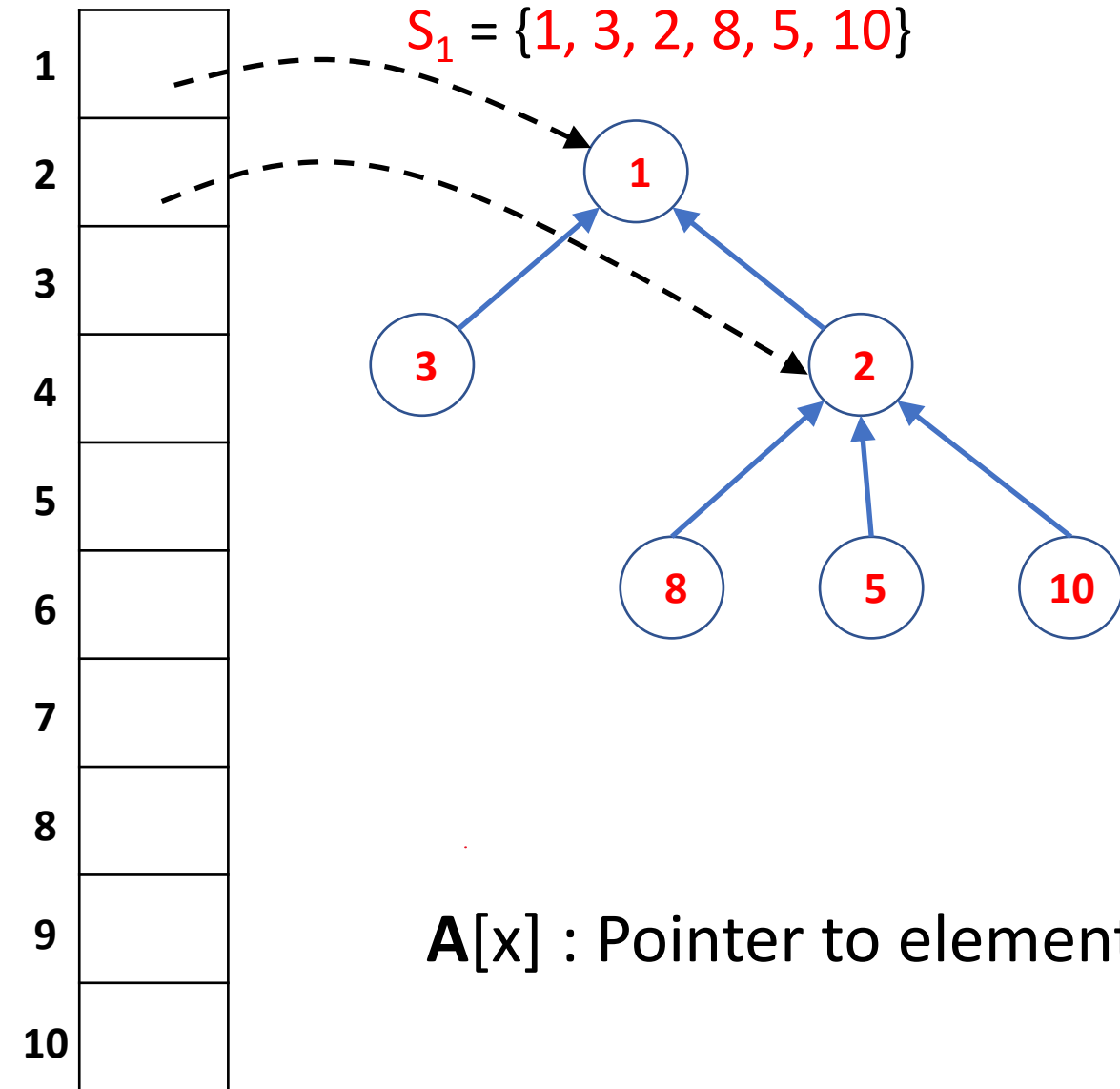


$S_9 = \{9, 7, 4\}$

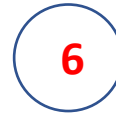


Example with $n = 10$

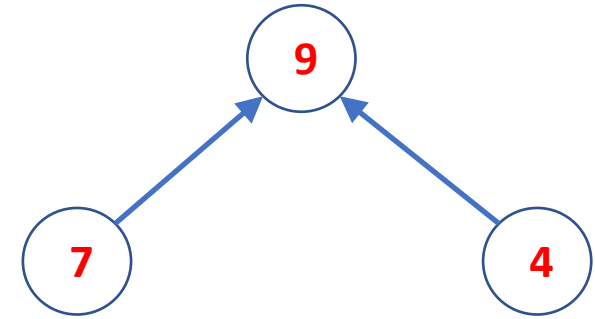
A



$S_6 = \{6\}$

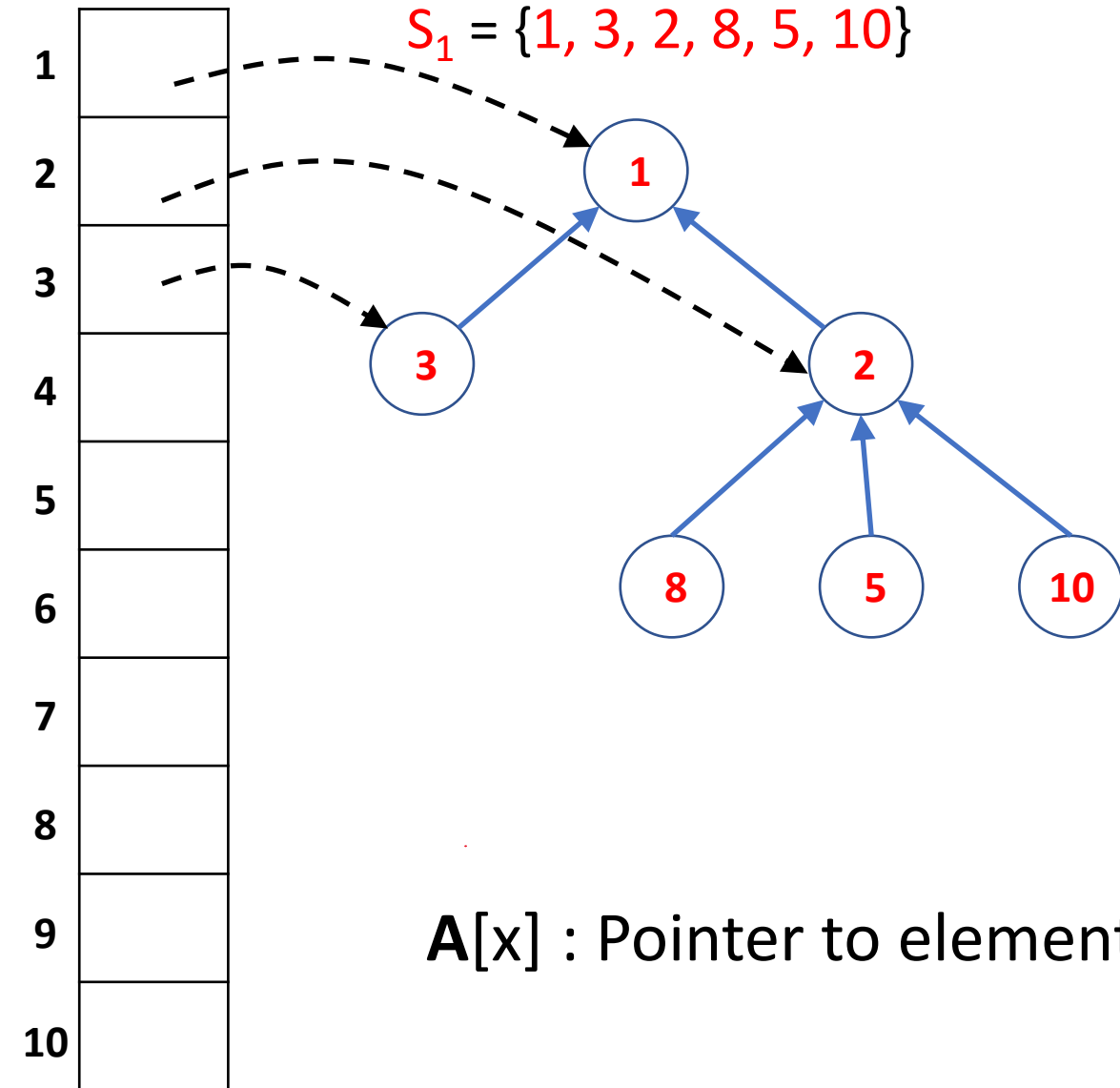


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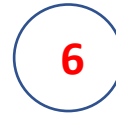


Example with $n = 10$

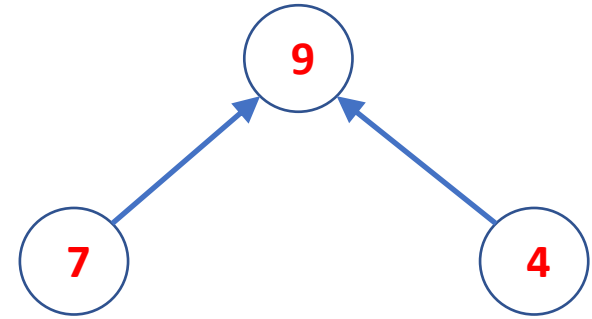
A



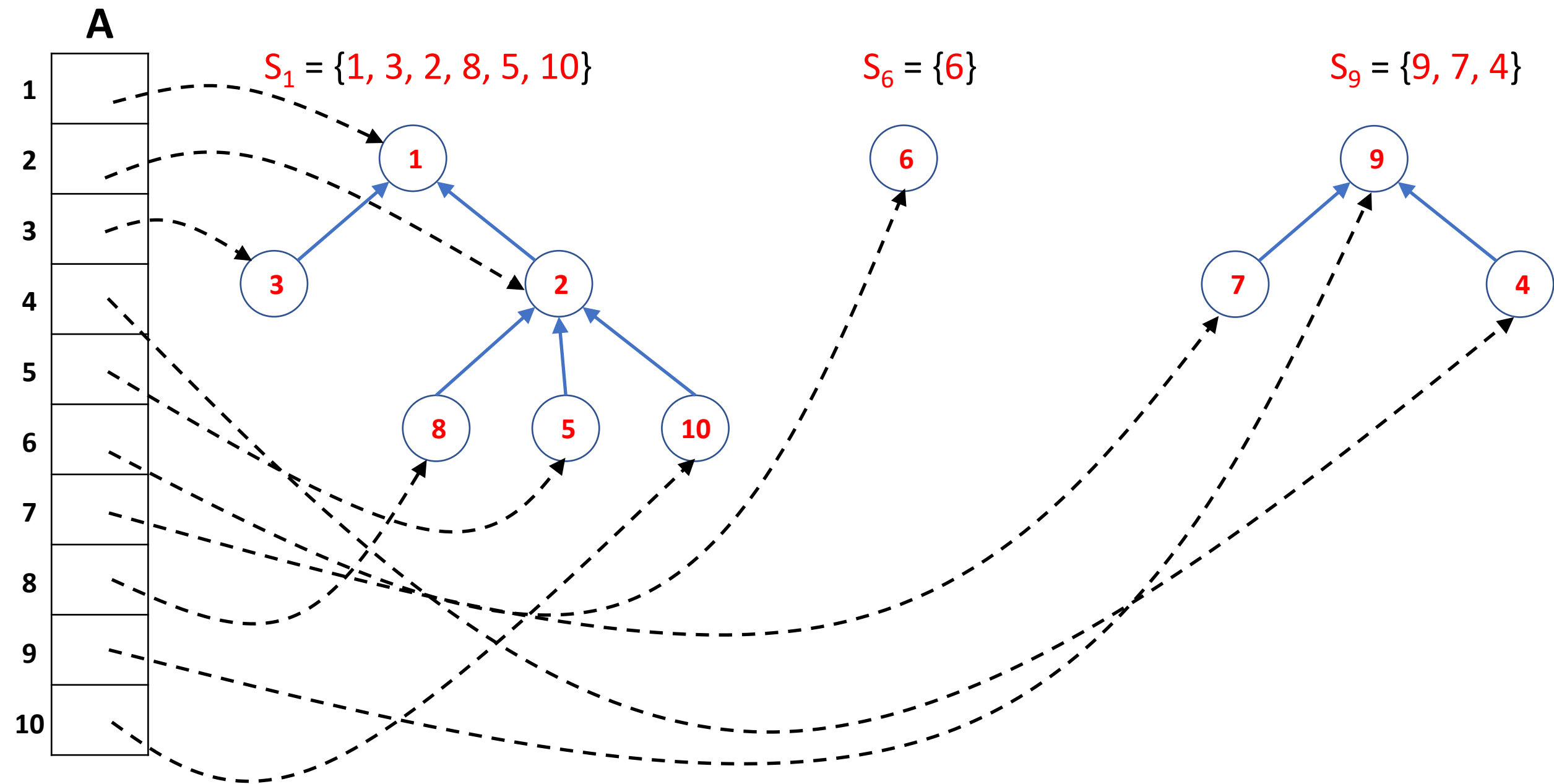
$S_6 = \{6\}$



$S_9 = \{9, 7, 4\}$

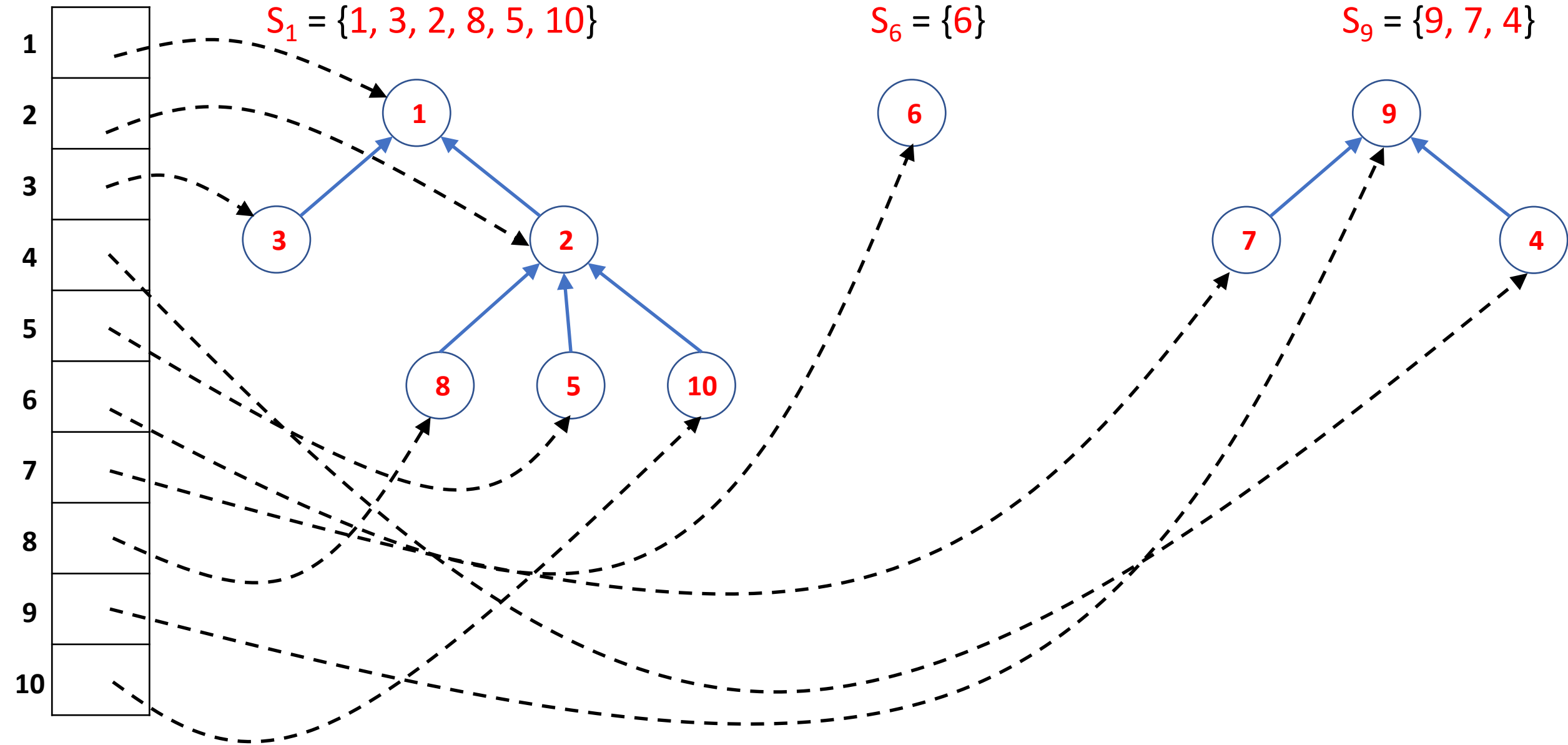


Example with $n = 10$



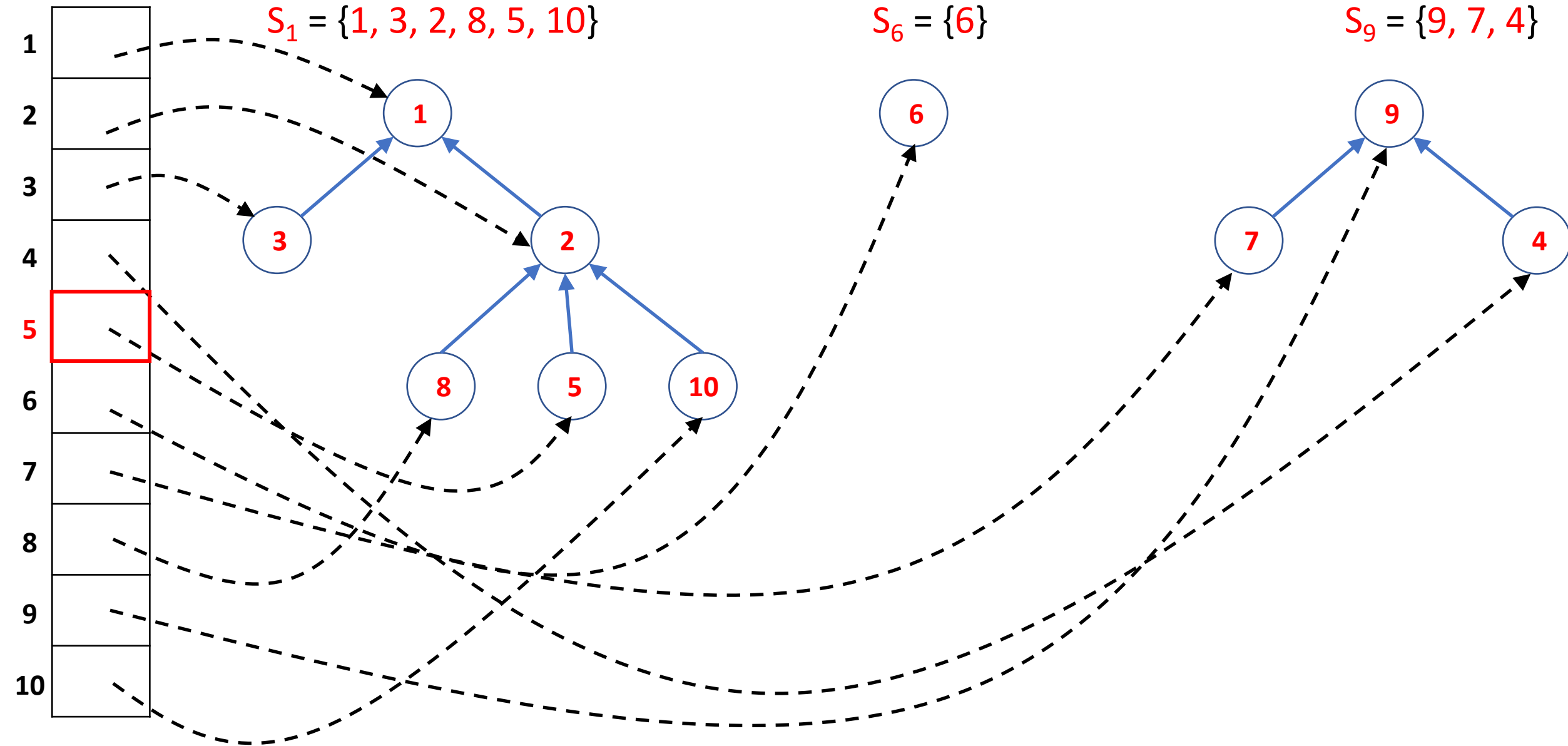
Find(5)

A



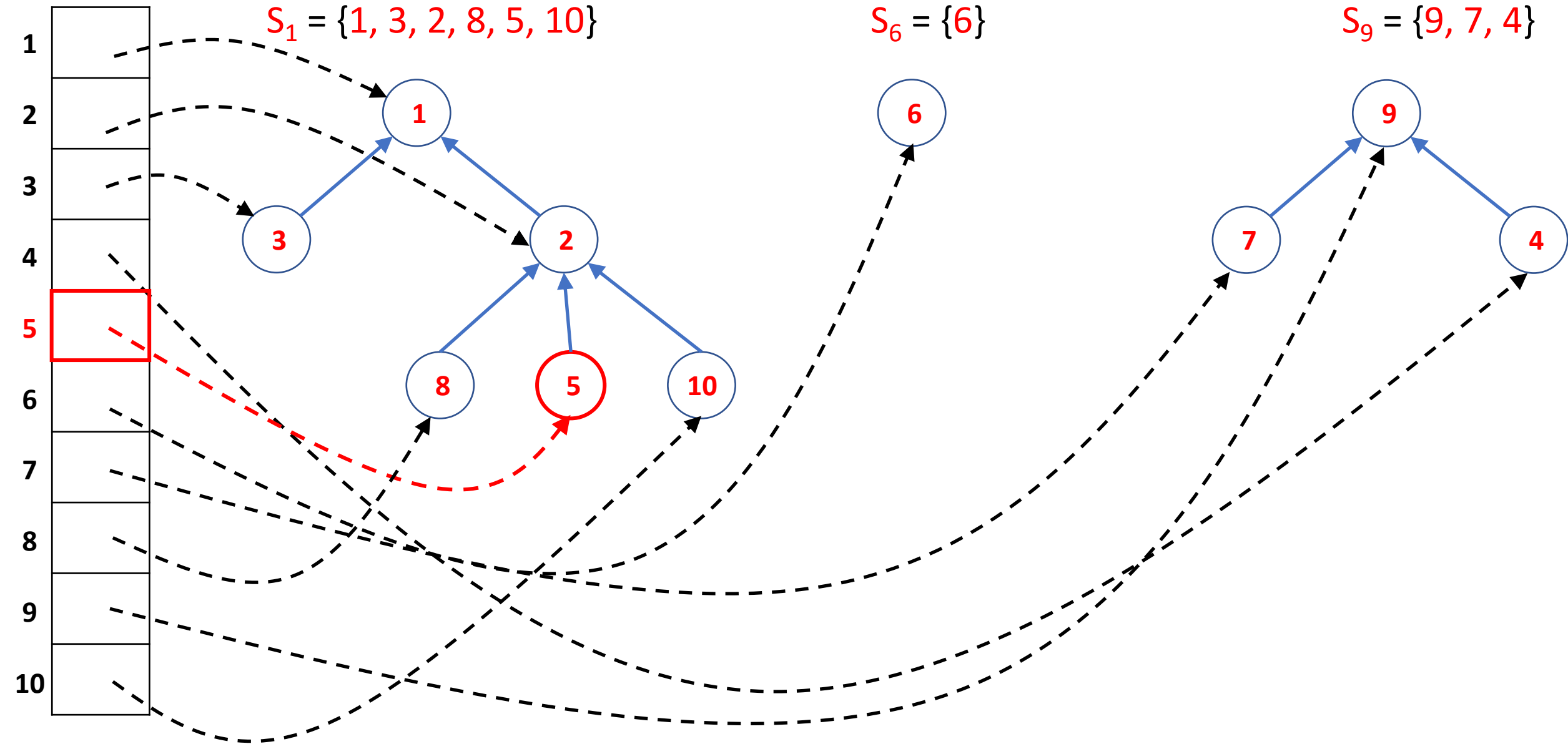
Find(5)

A



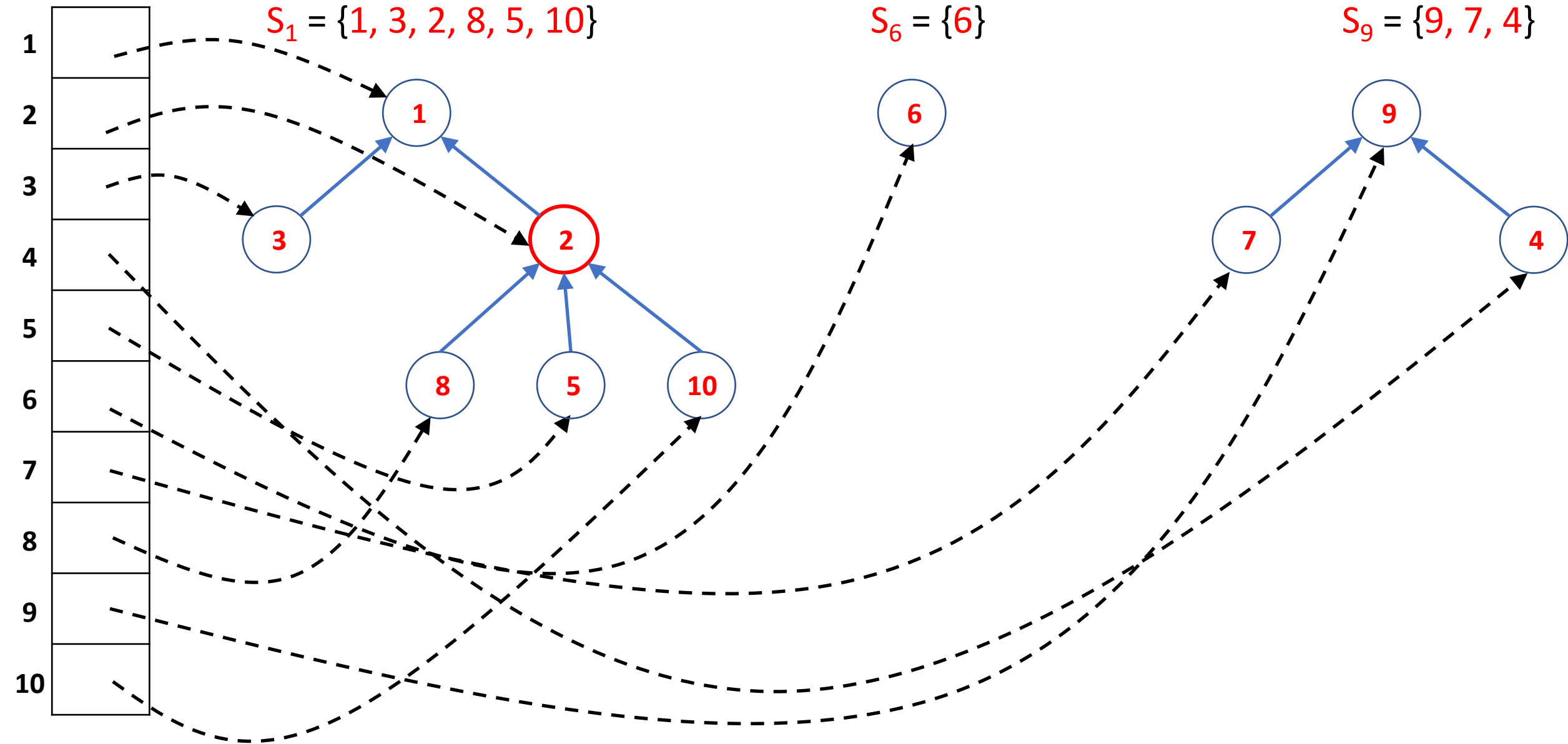
Find(5)

A



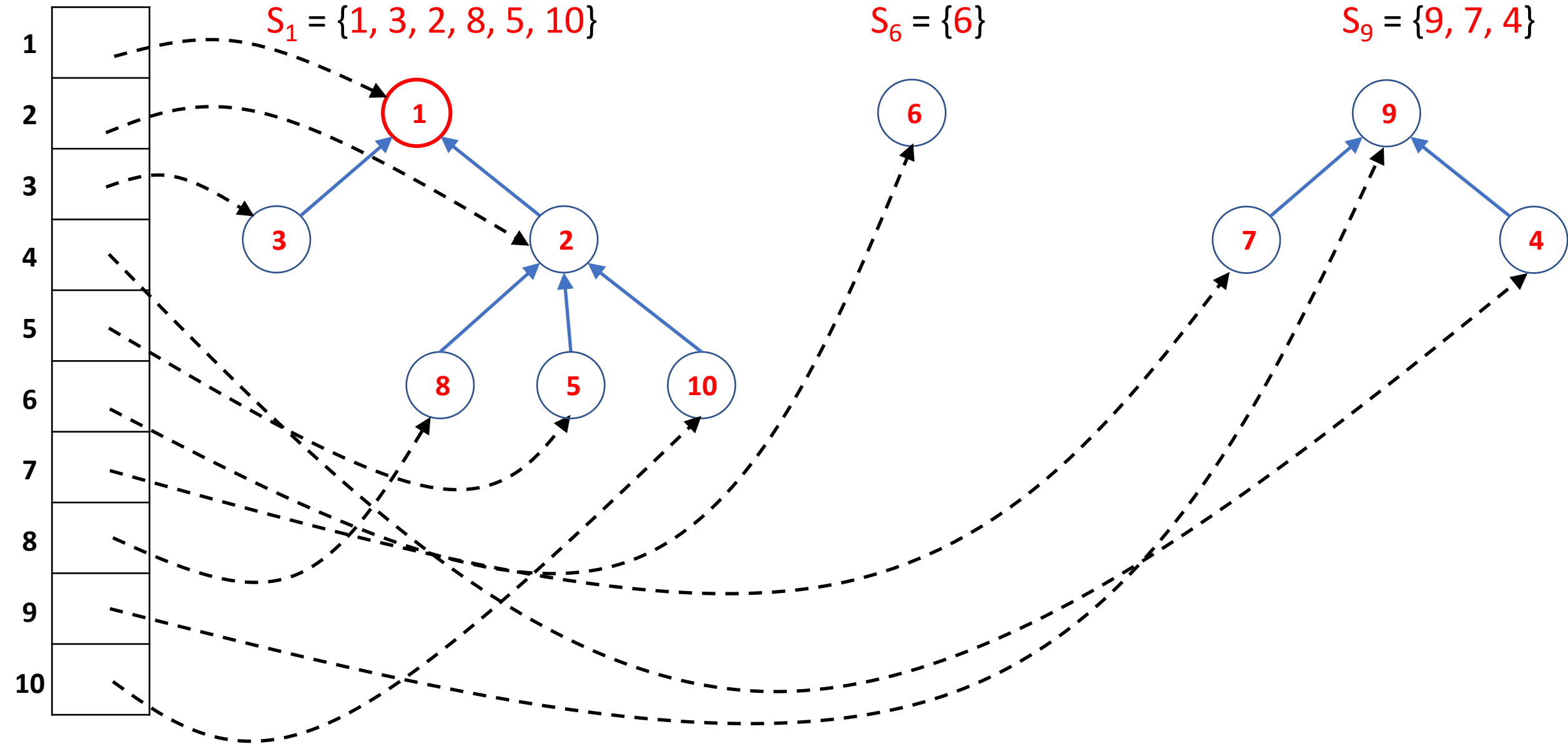
Find(5)

A

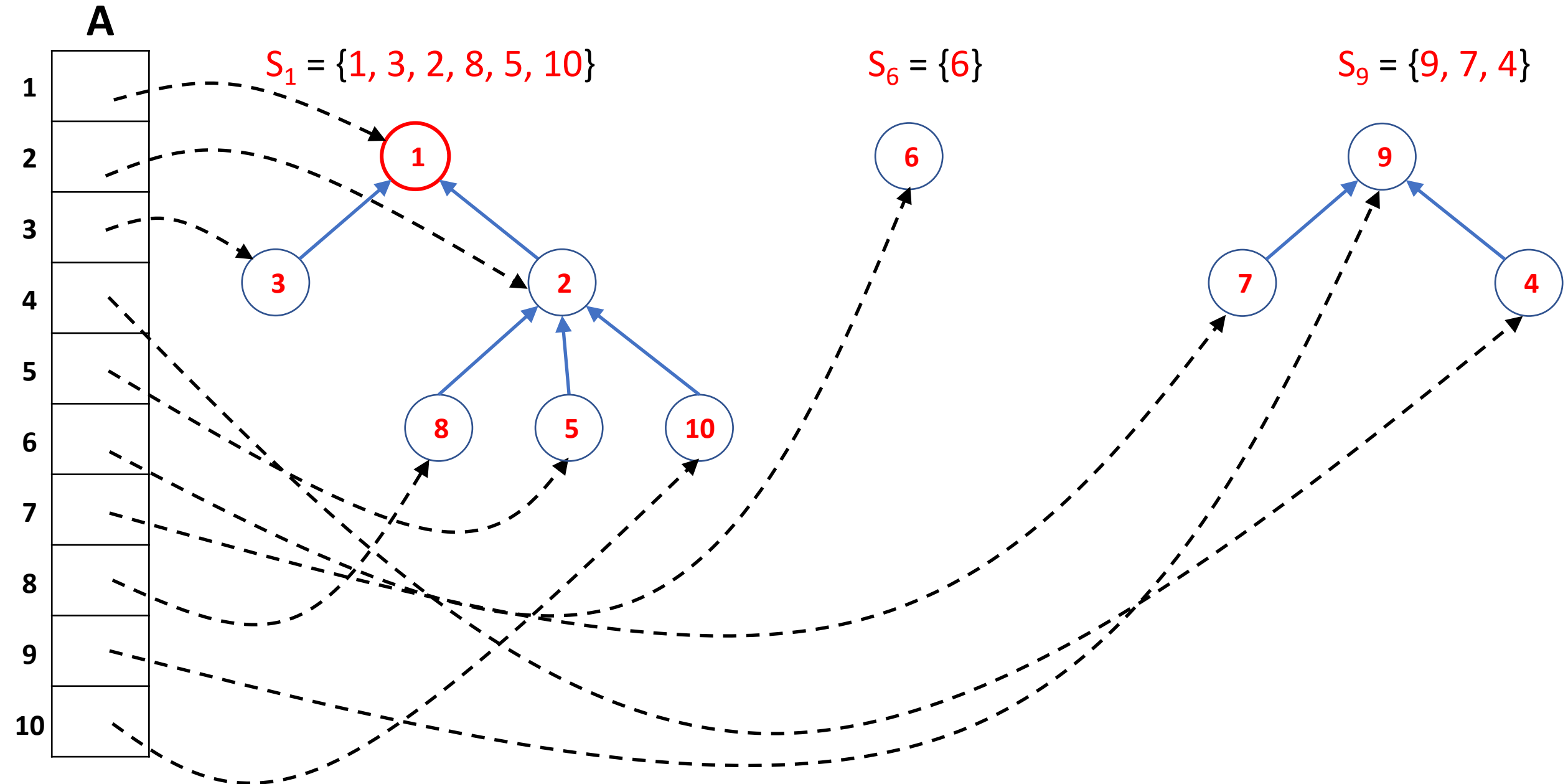


Find(5)

A



Find(5) : Return (ptr to) 1

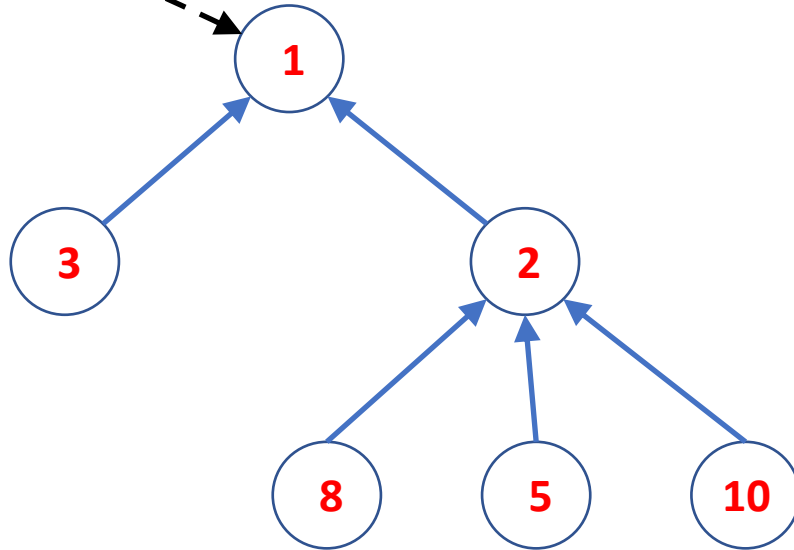


Union(1, 9)

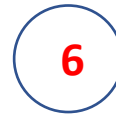
A

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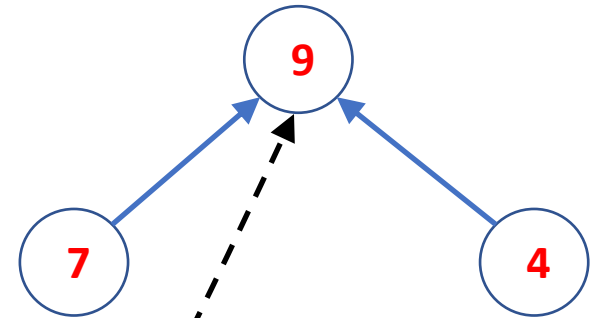
$S_1 = \{1, 3, 2, 8, 5, 10\}$



$S_6 = \{6\}$



$S_9 = \{9, 7, 4\}$



Union(1, 9)

A

1

2

3

4

5

6

7

8

9

10

$S_6 = \{6\}$

$S_9 = \{9, 7, 4, 1, 3, 2, 8, 5, 10\}$

6

1

7

9

4

3

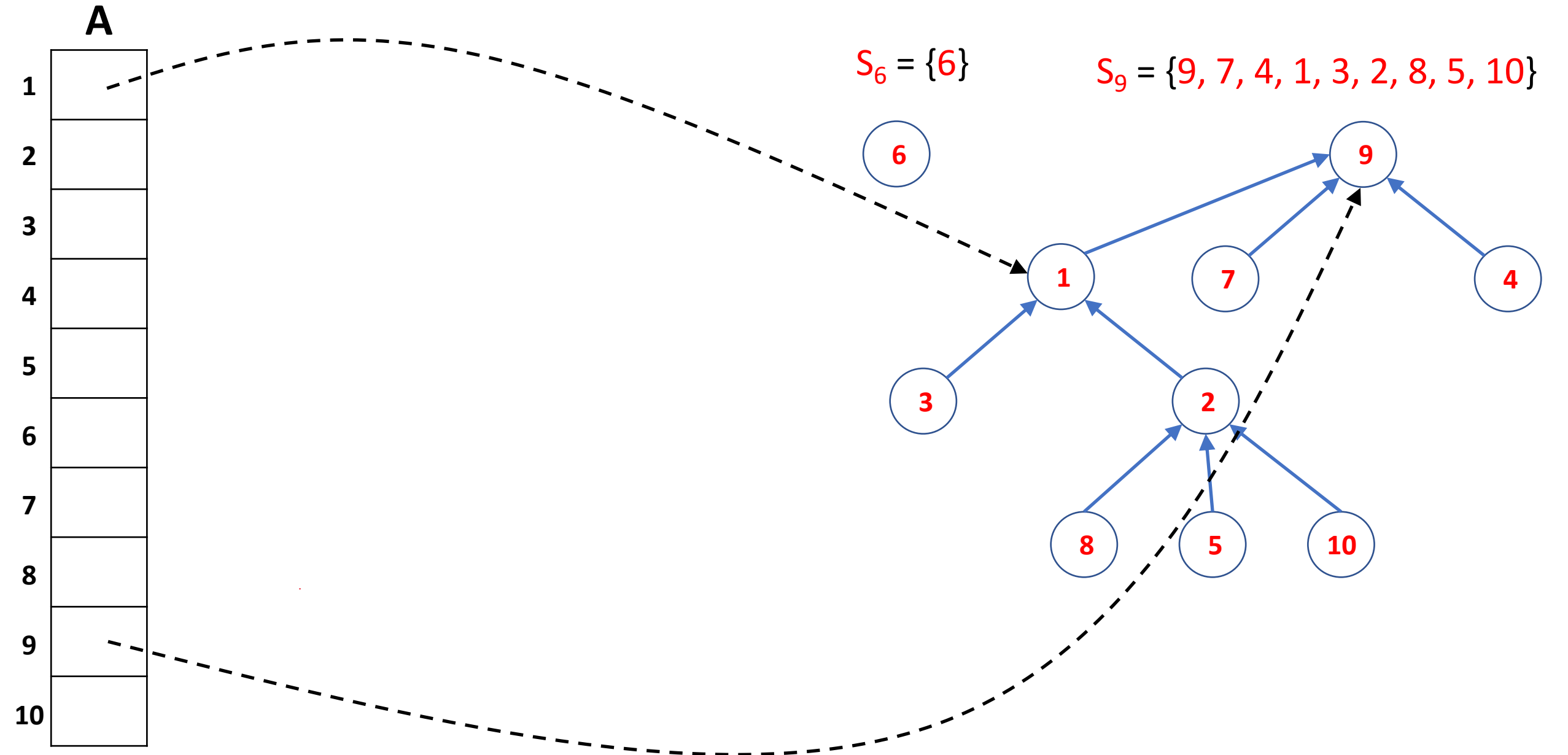
2

8

5

10

Union(1, 9) : Return (ptr to) 9



Operations: Example with $n = 5$



Operations: Example with $n = 5$



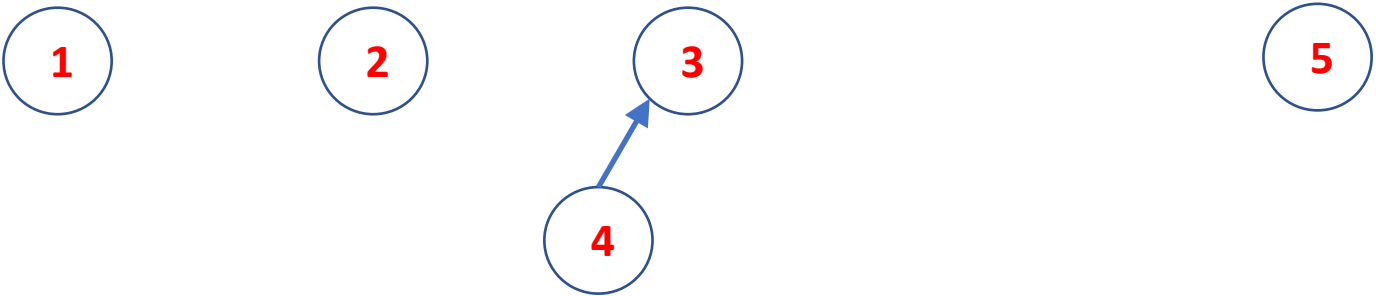
Union(4, 3) :

Operations: Example with $n = 5$

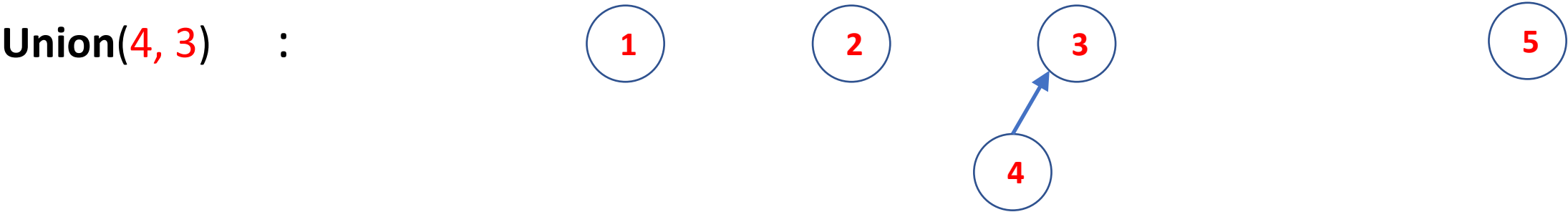
Initially :



Union(4, 3) :



Operations: Example with $n = 5$



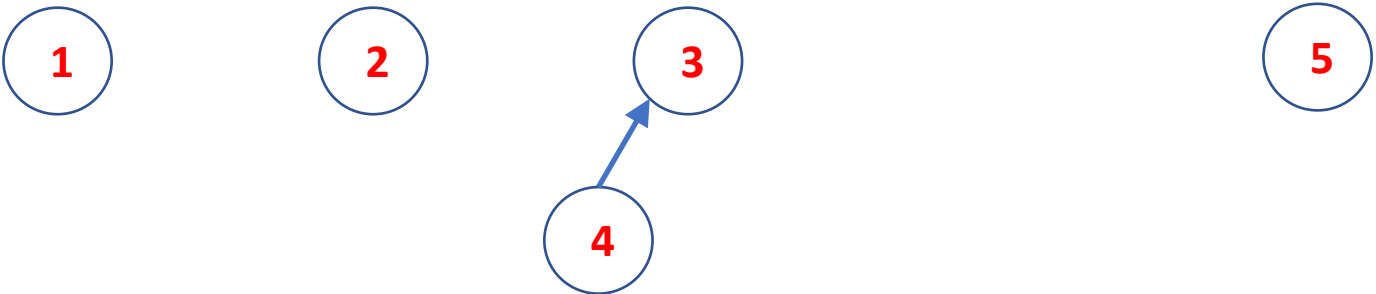
Union(5, 1) :

Operations: Example with $n = 5$

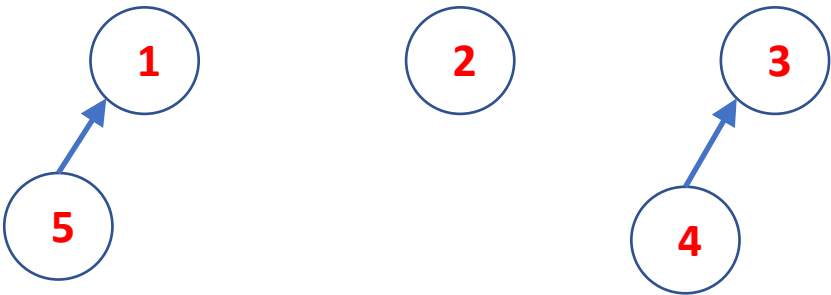
Initially :



Union(4, 3) :

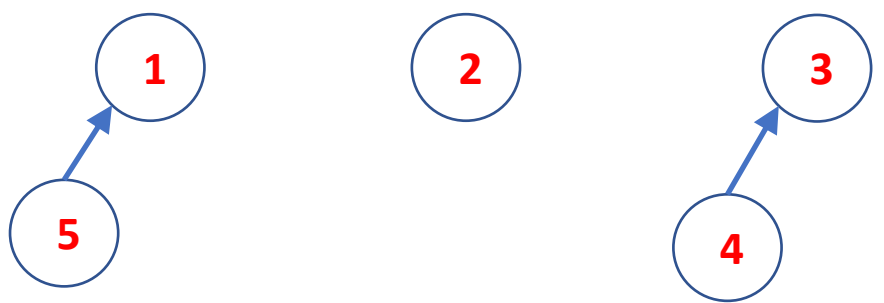


Union(5, 1) :



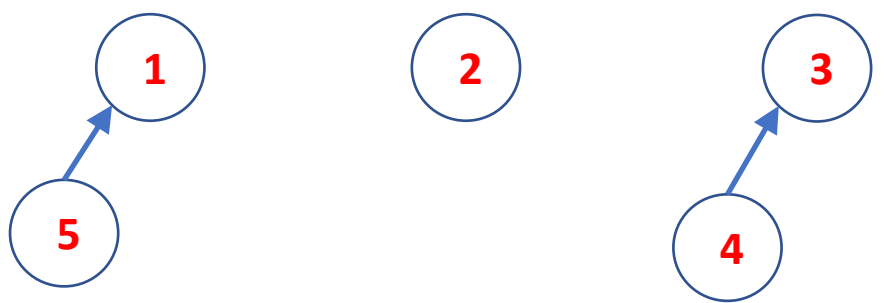
Operations: Example with $n = 5$

Union(5, 1) :



Operations: Example with $n = 5$

Union(5, 1) :



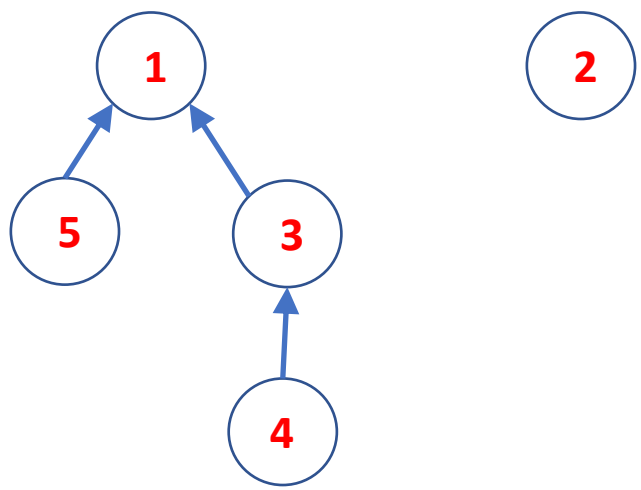
Union(3, 1) :

Operations: Example with $n = 5$

Union(5, 1) :



Union(3, 1) :

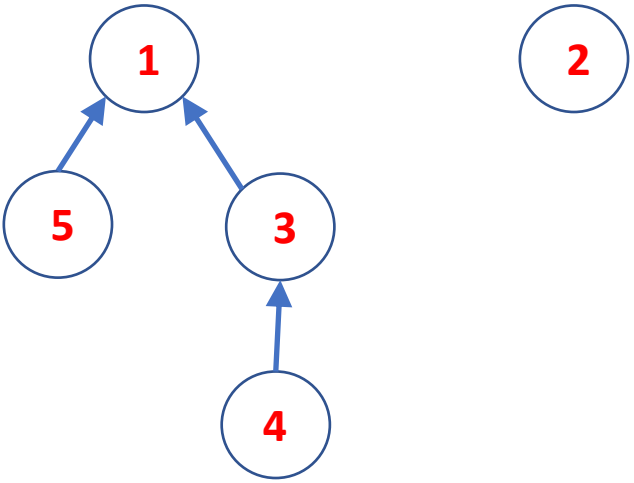


Operations: Example with $n = 5$

Union(5, 1) :



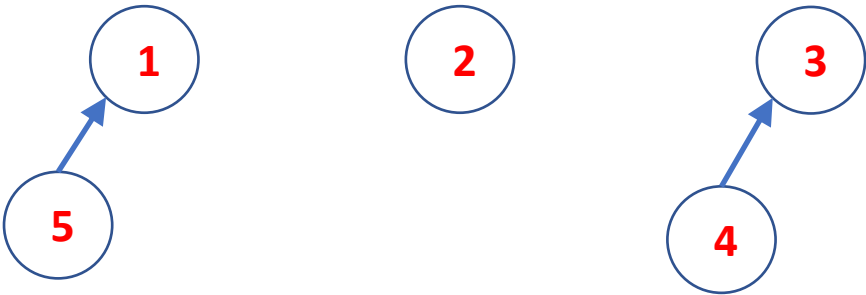
Union(3, 1) :



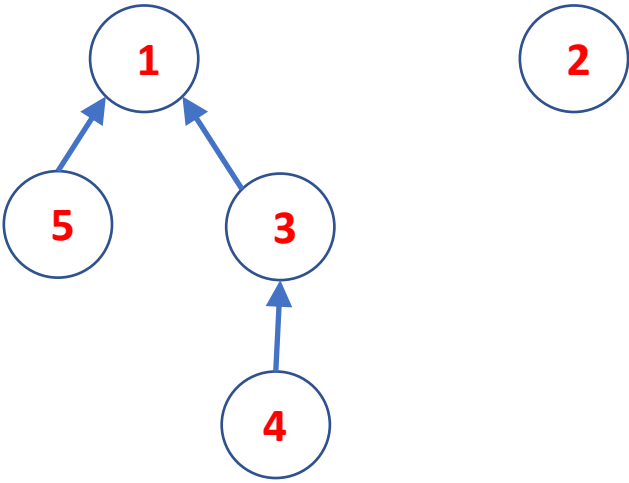
Union(2, 1) :

Operations: Example with $n = 5$

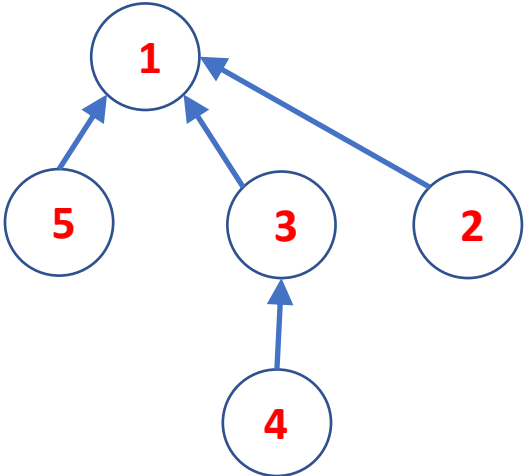
Union(5, 1) :



Union(3, 1) :



Union(2, 1) :



Operations

- **Find(x)**: Follow path from x up to root, return ptr to the root
- **Union(S_x, S_y)**: Make root of S_x the child of root of S_y

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Cost is $O(1 + \text{length of the Find path})$

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Cost is $O(1)$

Disjoint Forest: Analysis of Time Complexity

- Cost of **Find** : $O(1 + \text{length of the Find path})$
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σ : Sequence of $n - 1$ **Unions** mixed with $m \geq n$ **Finds**

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σ : Sequence of $n - 1$ **Unions** mixed with $m \geq n$ **Finds**

What is the cost of σ , in the worst-case?

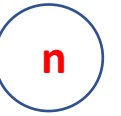
Disjoint Forest: Analysis of Time Complexity

Initially

:



.

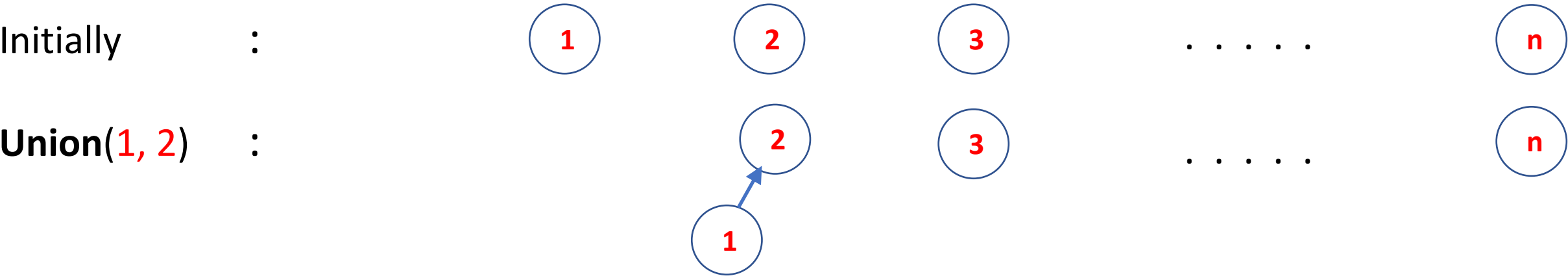


Disjoint Forest: Analysis of Time Complexity

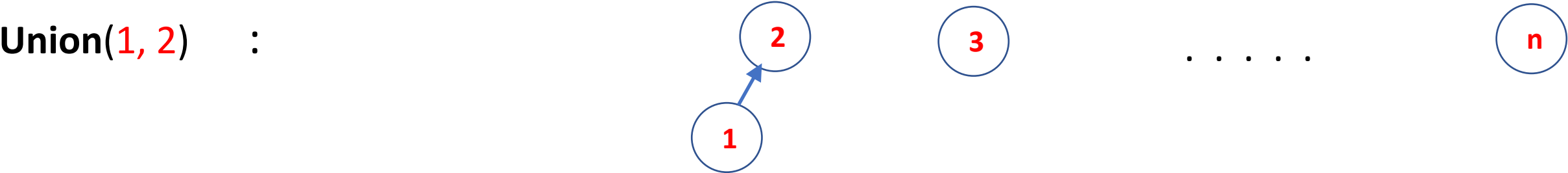
Initially : 

Union(1, 2) :

Disjoint Forest: Analysis of Time Complexity

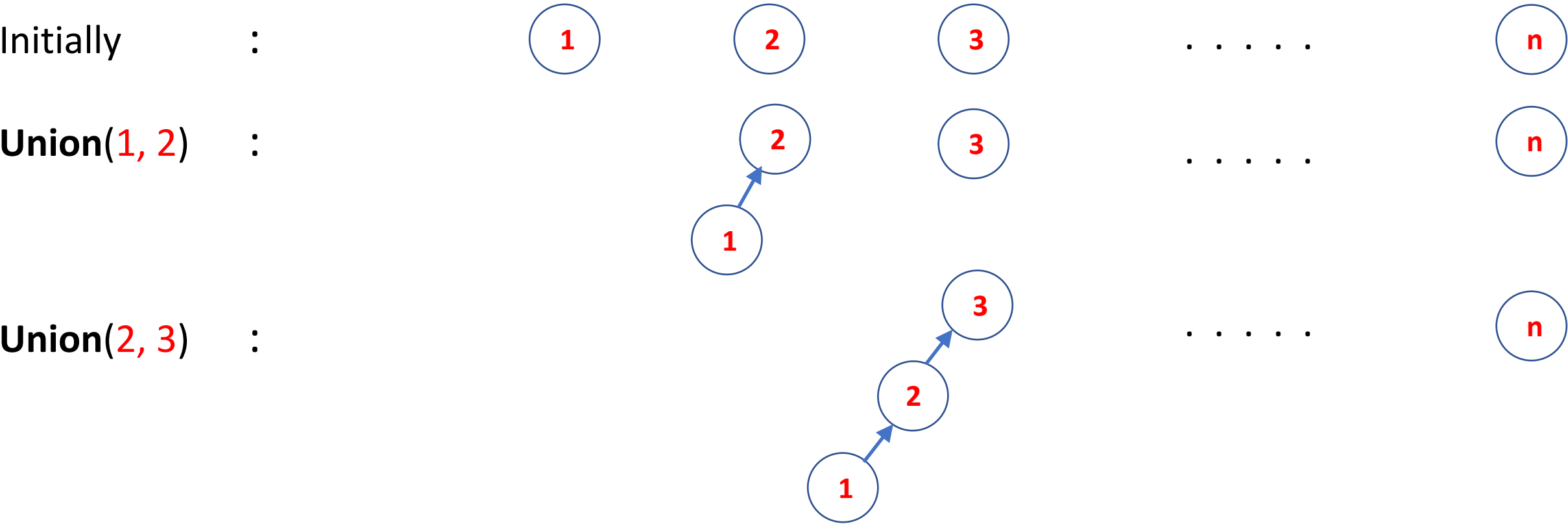


Disjoint Forest: Analysis of Time Complexity

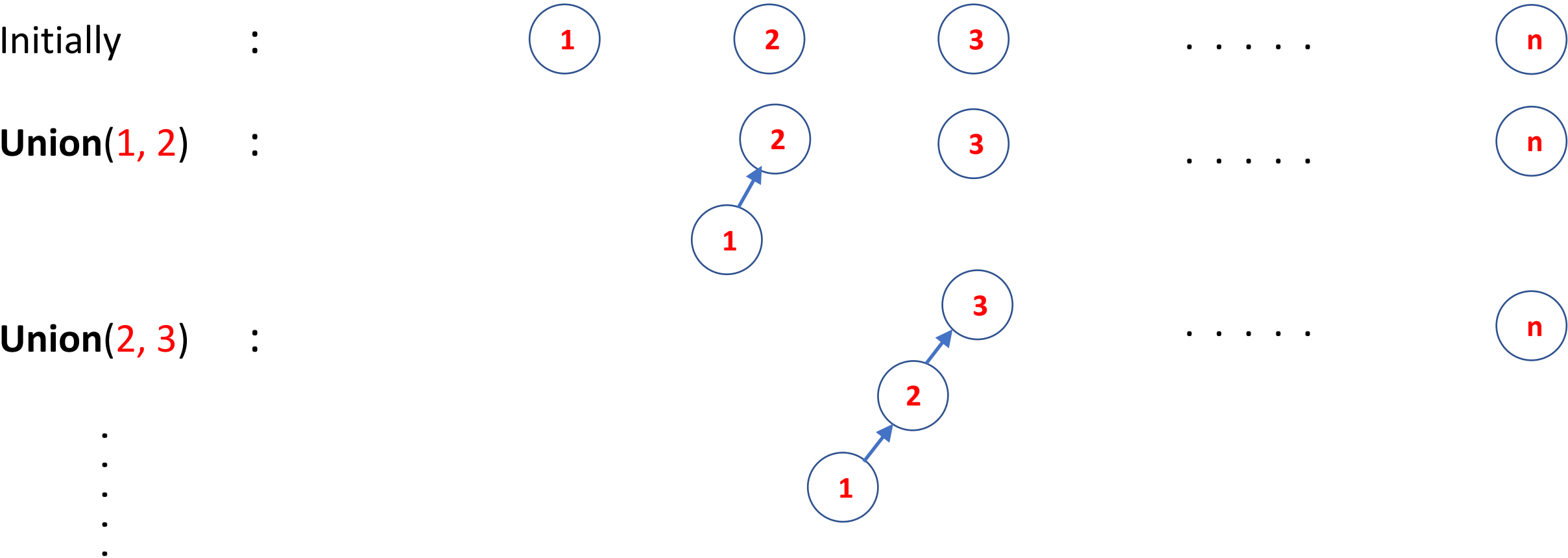


Union(2, 3) :

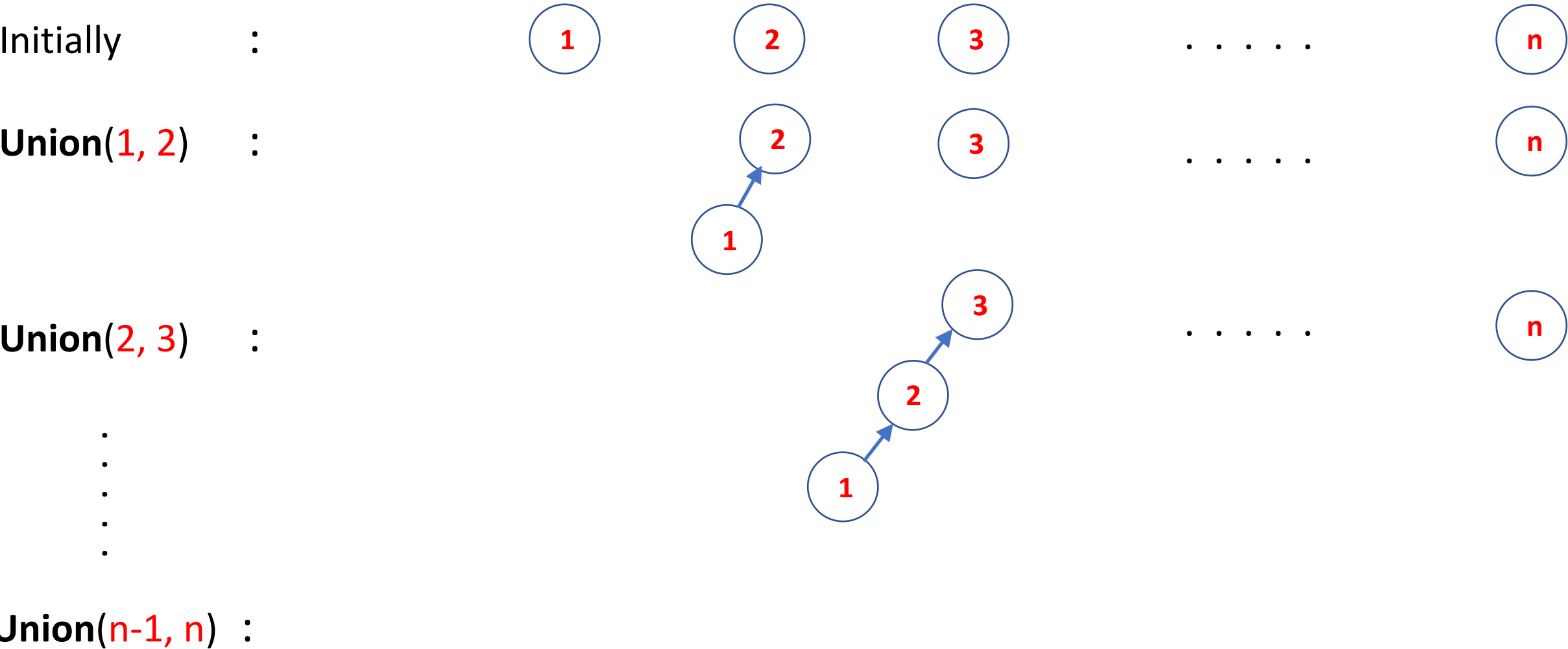
Disjoint Forest: Analysis of Time Complexity



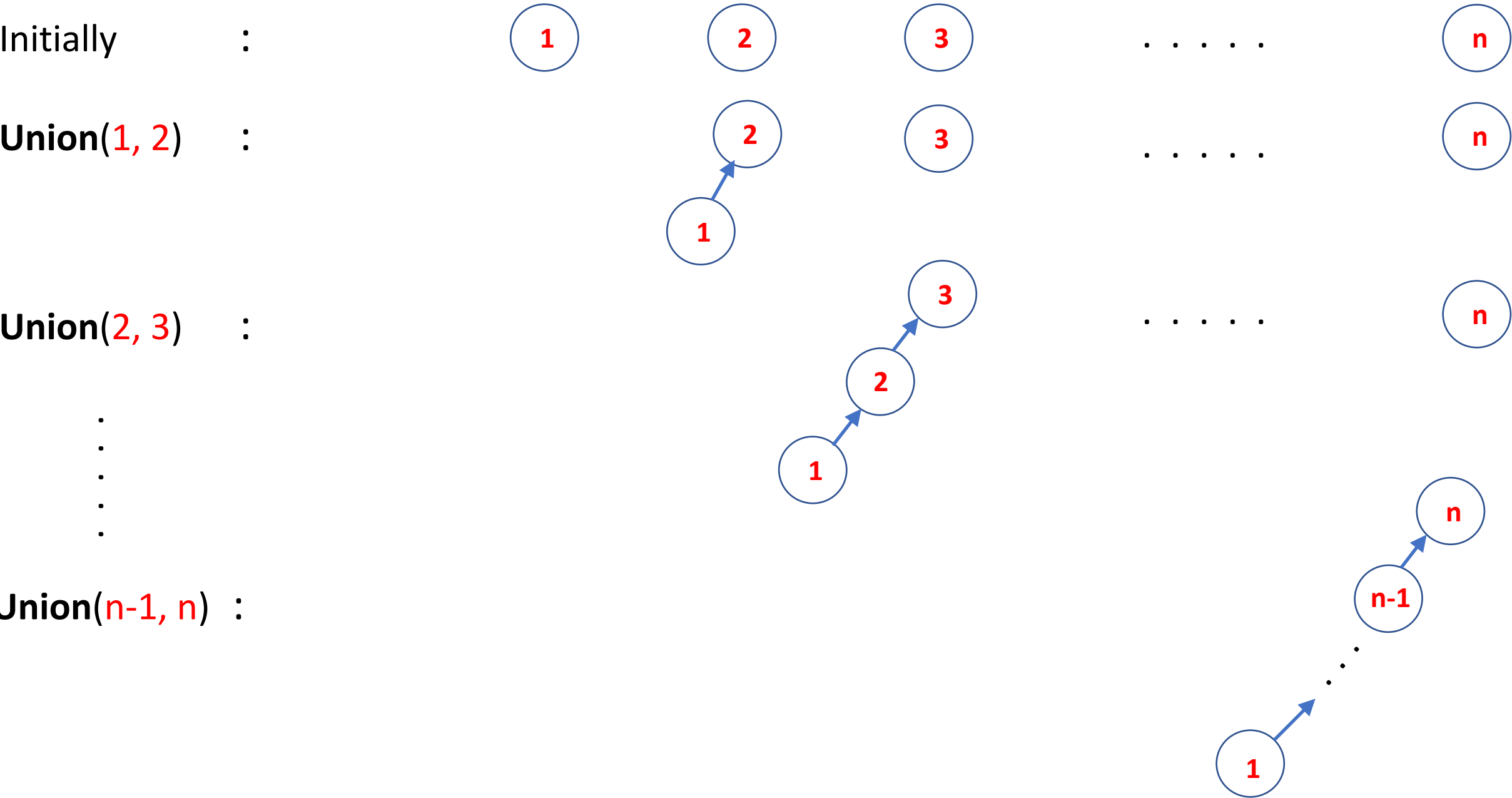
Disjoint Forest: Analysis of Time Complexity



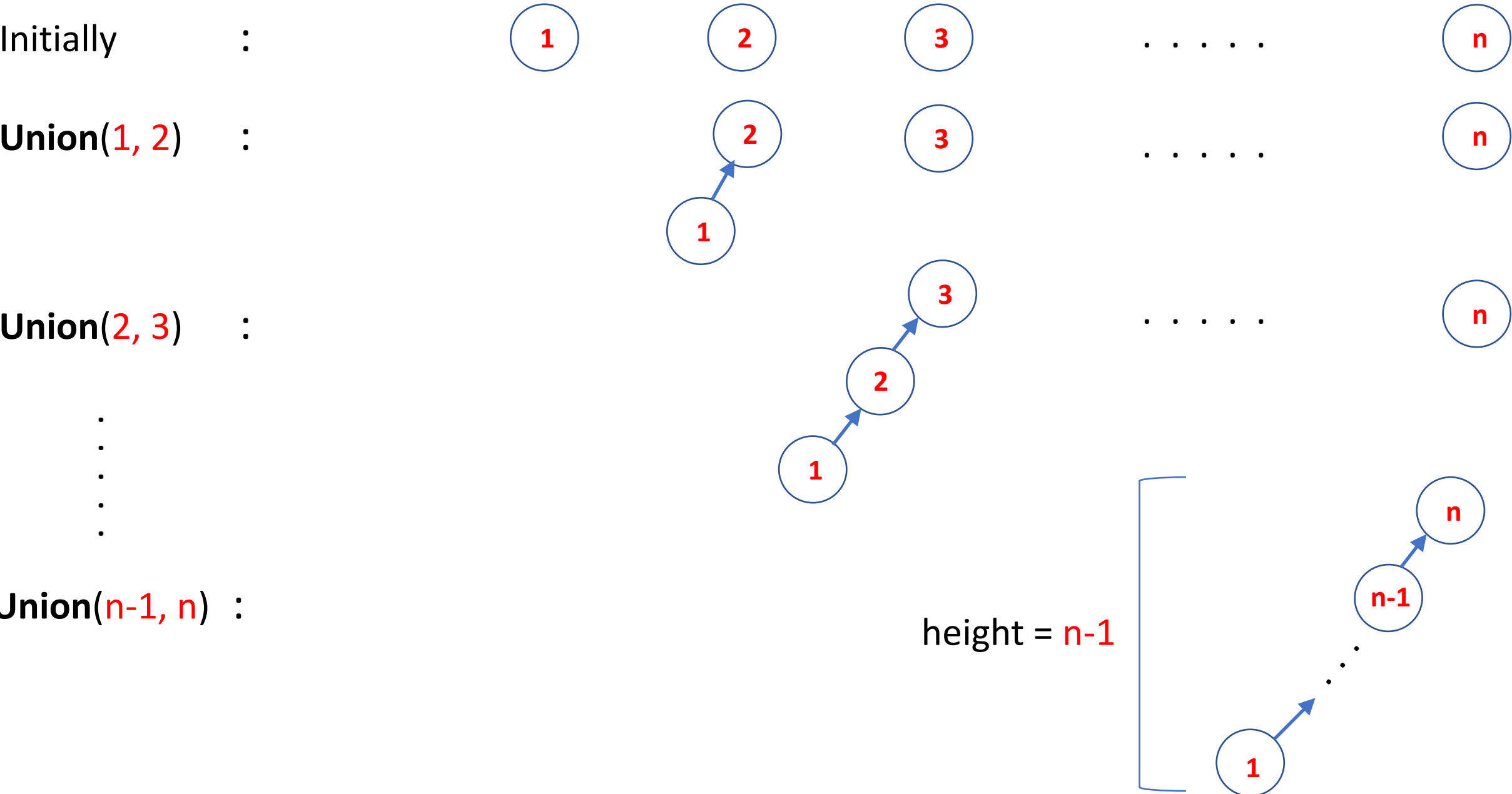
Disjoint Forest: Analysis of Time Complexity



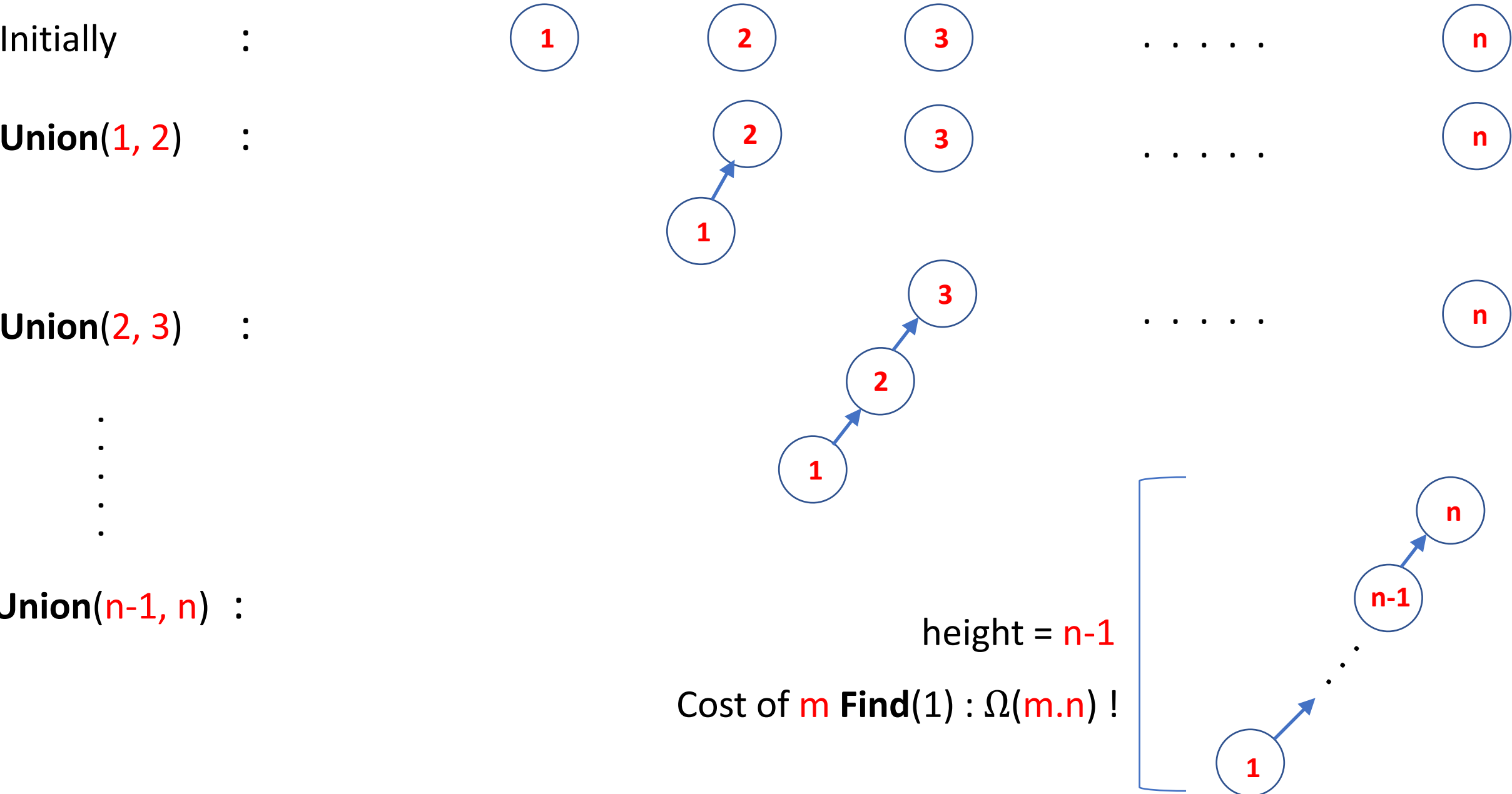
Disjoint Forest: Analysis of Time Complexity



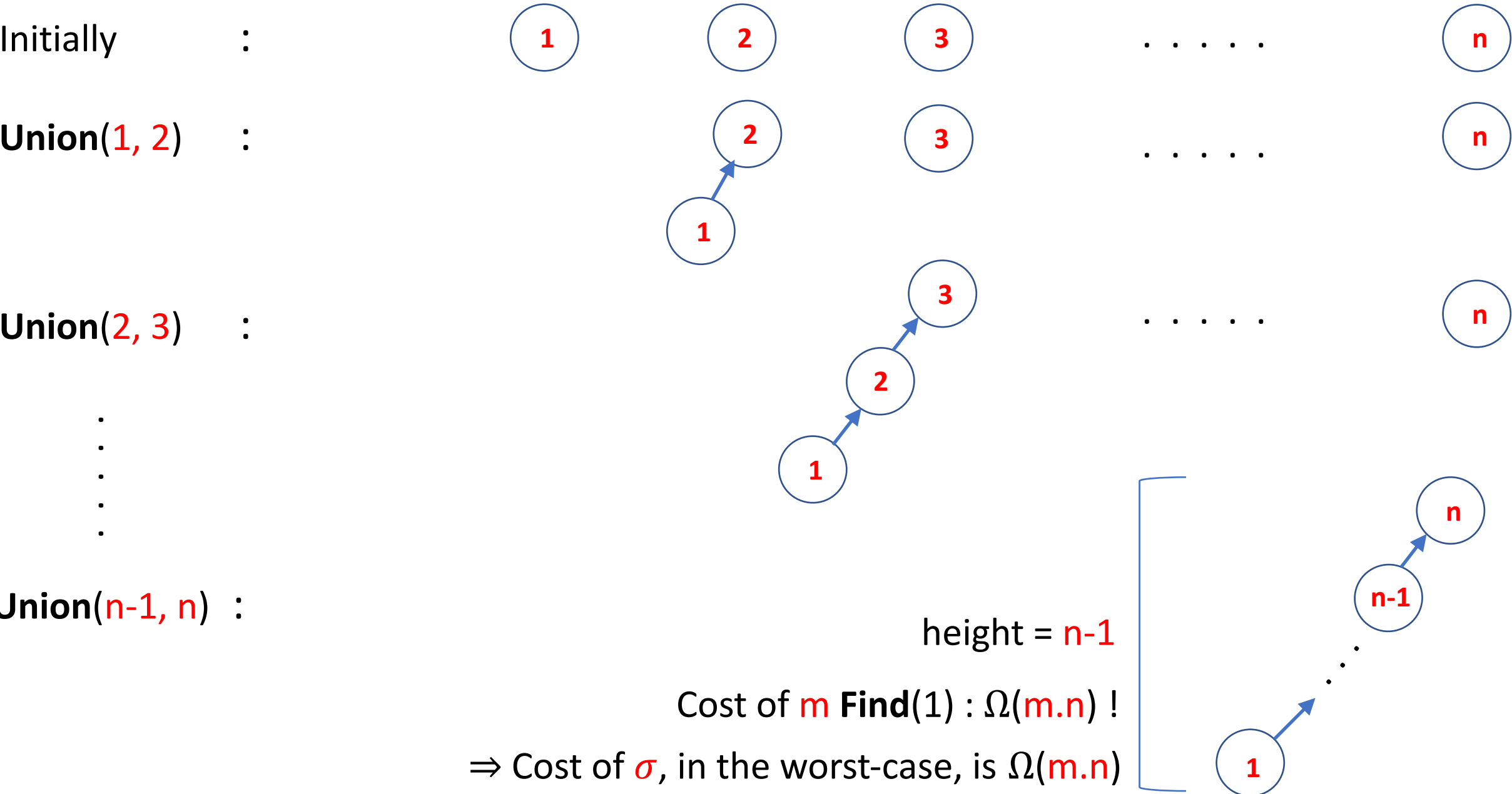
Disjoint Forest: Analysis of Time Complexity



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Disjoint Forest: Analysis of Time Complexity



Disjoint Forest: Analysis of Time Complexity

Worst-case cost of executing σ is $\Omega(m.n)$

Cost of each **Find** : $O(1 + \text{length of the Find path})$

To reduce cost of σ , reduce length of **Find** paths

Disjoint Forest: Analysis of Time Complexity

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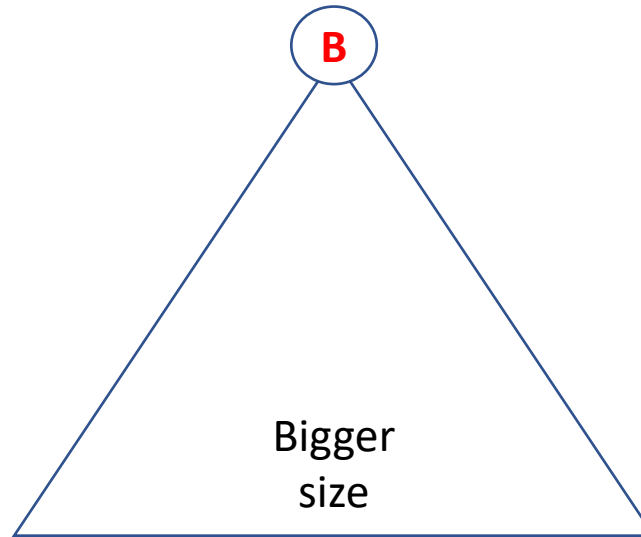
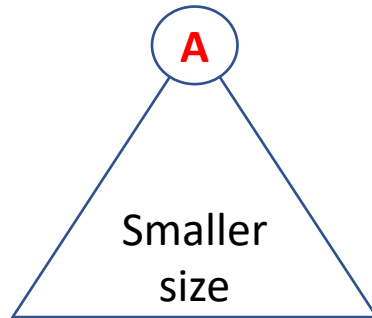
Cost of each **Find** : $O(1 + \text{length of the Find path})$

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\Rightarrow reduce **height** of the trees formed during the execution of σ

Heuristic 1: **W**eighted **U**nion (**WU**) by Size

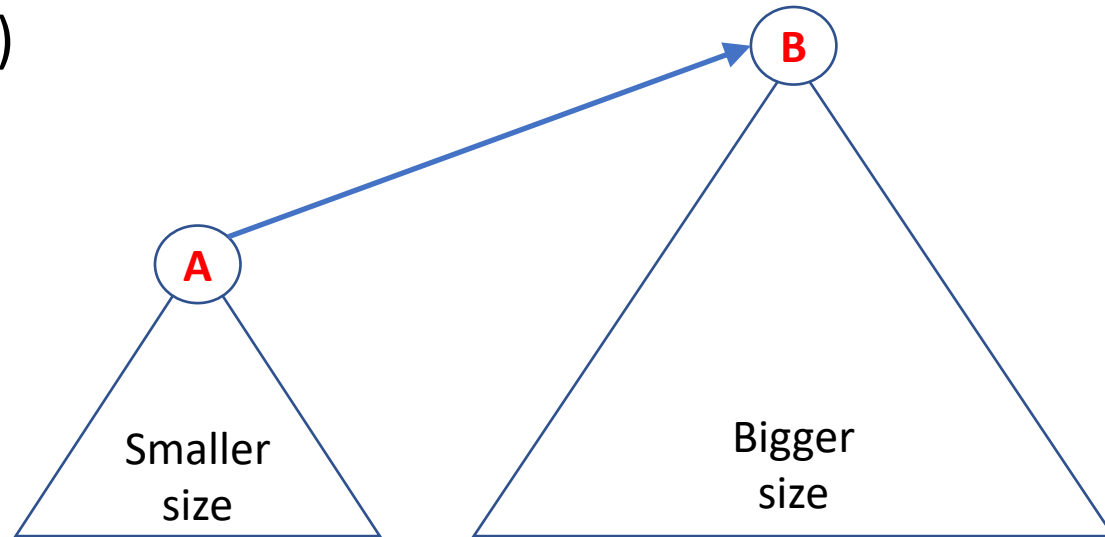
Union(**A**, **B**)



size: # of nodes in the tree

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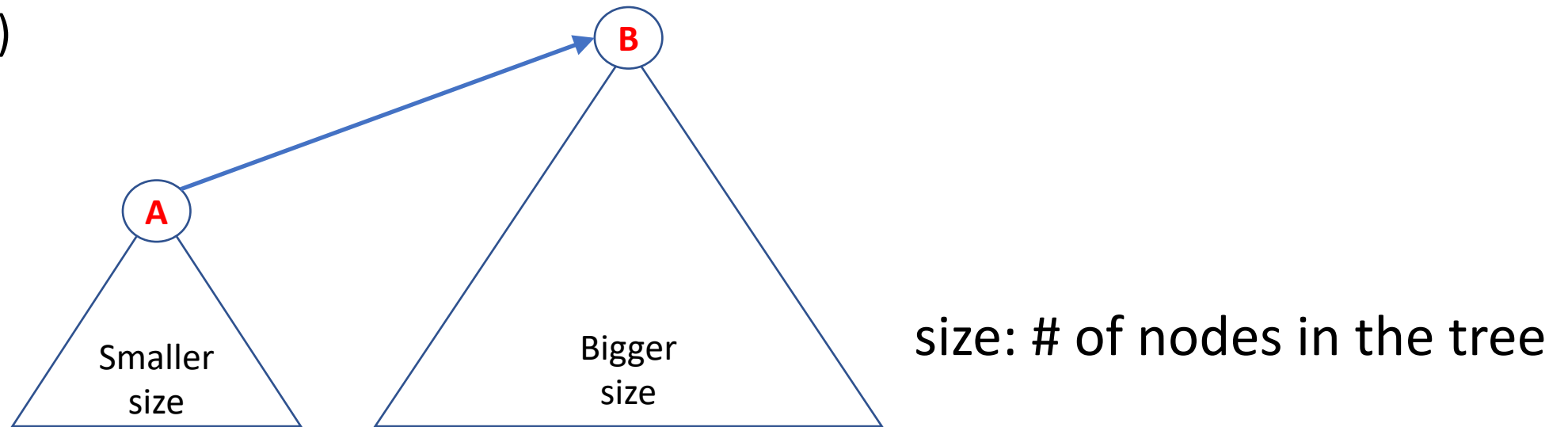


size: # of nodes in the tree

WU rule (by size): Smaller size tree becomes the child of the bigger size tree

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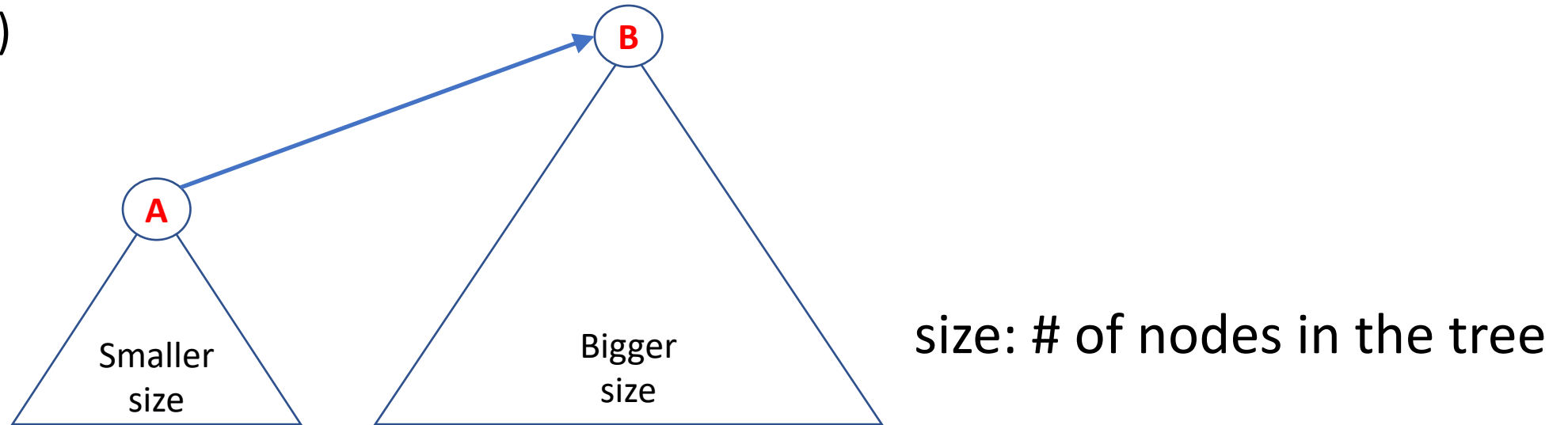
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With **WU**:

- Any tree **T** created during the execution of σ has height at most $\log_2 n$

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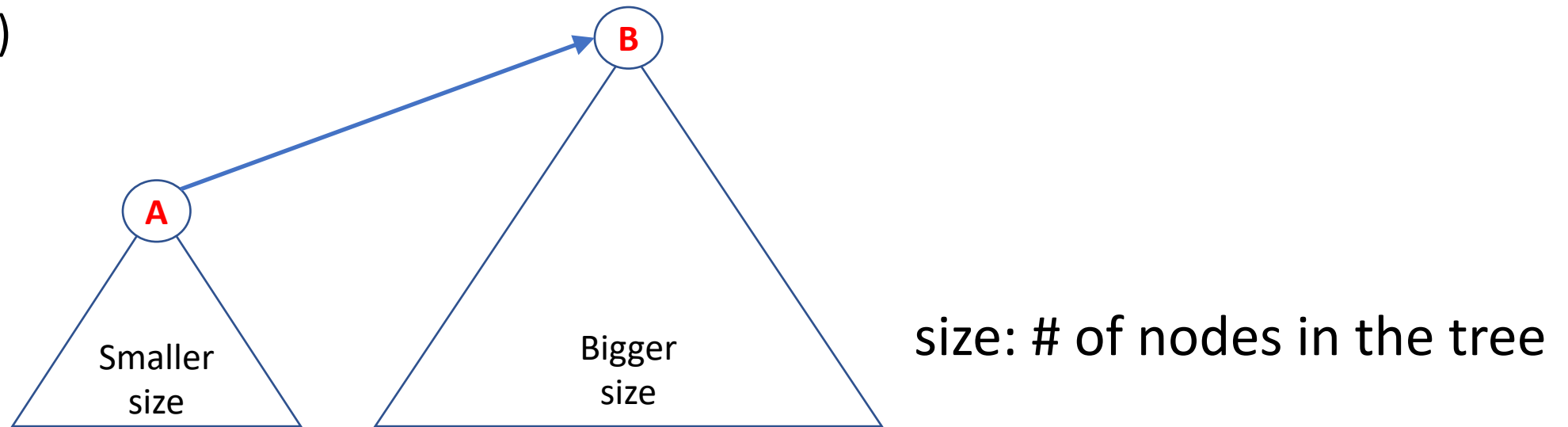
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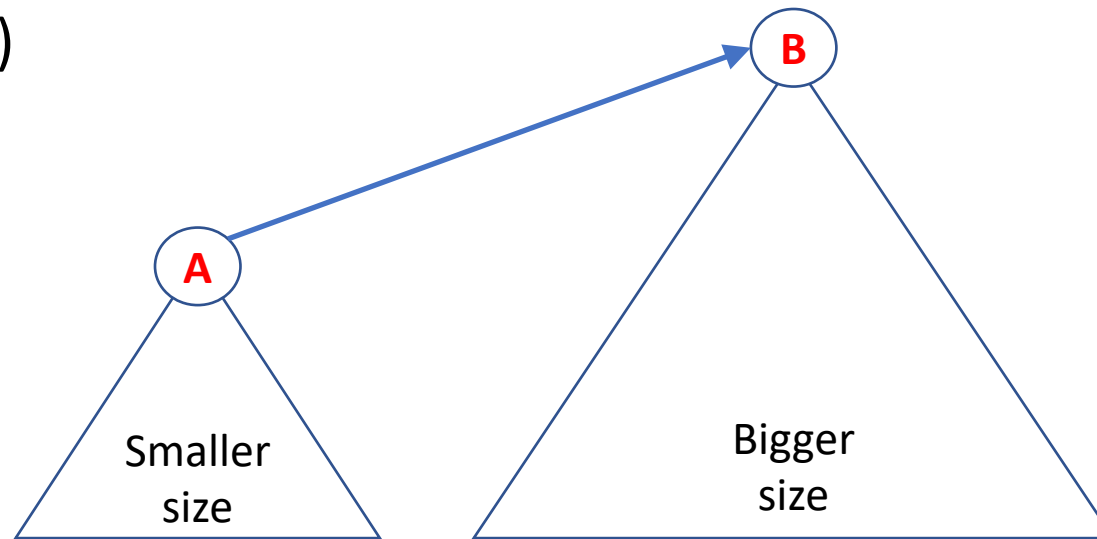
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- Any tree **T** created during the execution of σ has height at most $\log_2 n$
- The worst-case cost of executing σ is $O(m \log n)$

We now prove this!

Lemma: With **WU**, any tree **T** of height **h** created during the execution of σ has at least 2^h nodes, i.e., $|T| \geq 2^h$

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Since $|T| \leq n$, $2^h \leq |T| \leq n \Rightarrow h \leq \log_2 n$

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Proof Sketch: Induction on **h**

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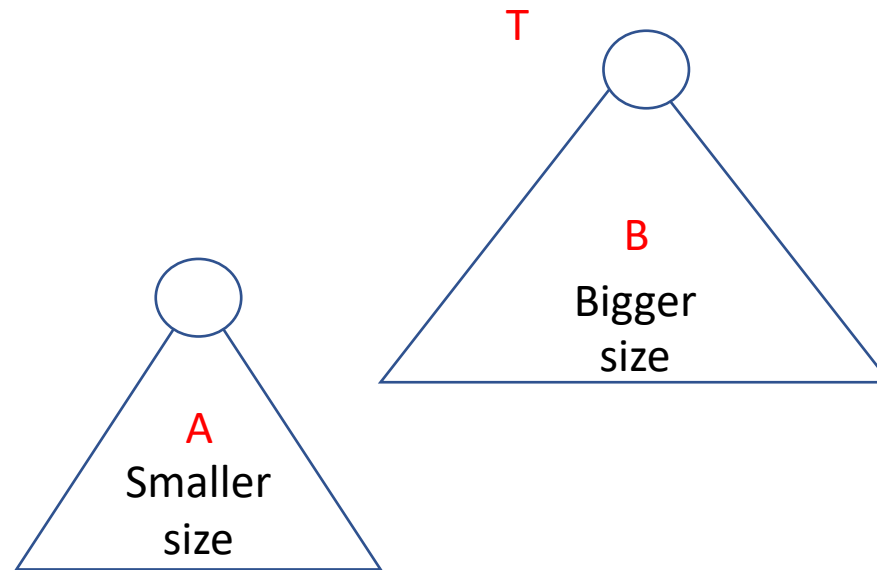
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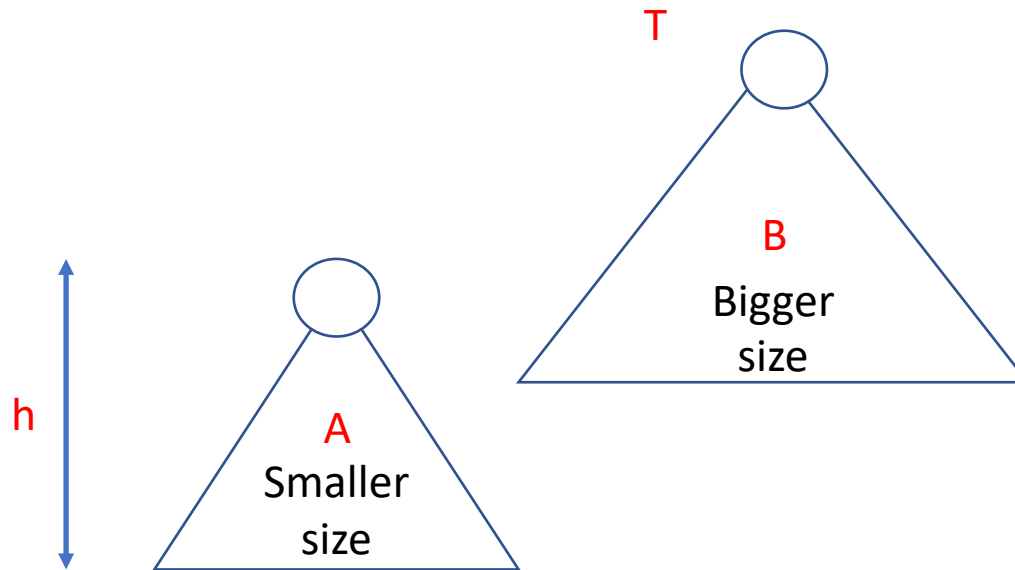
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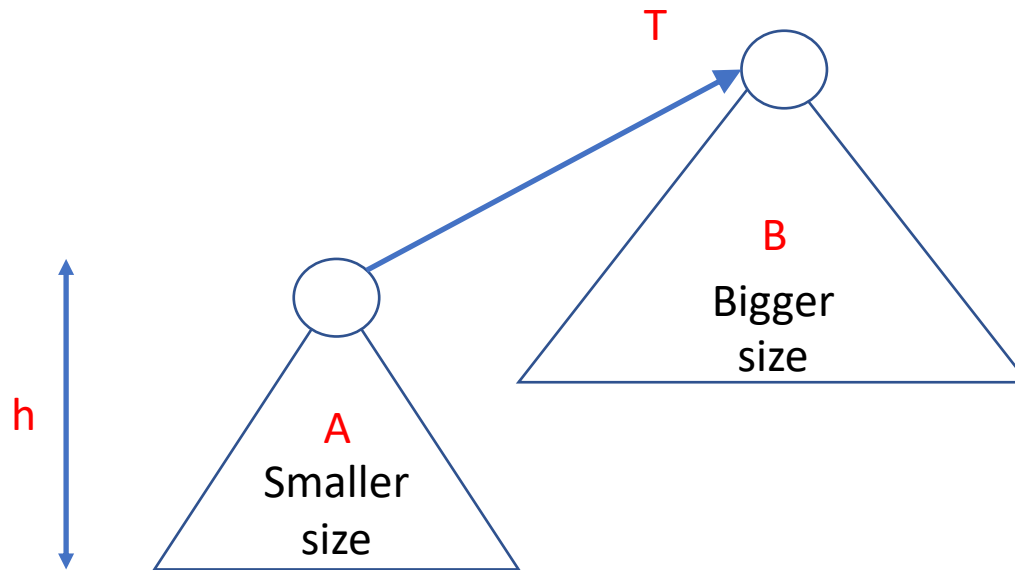
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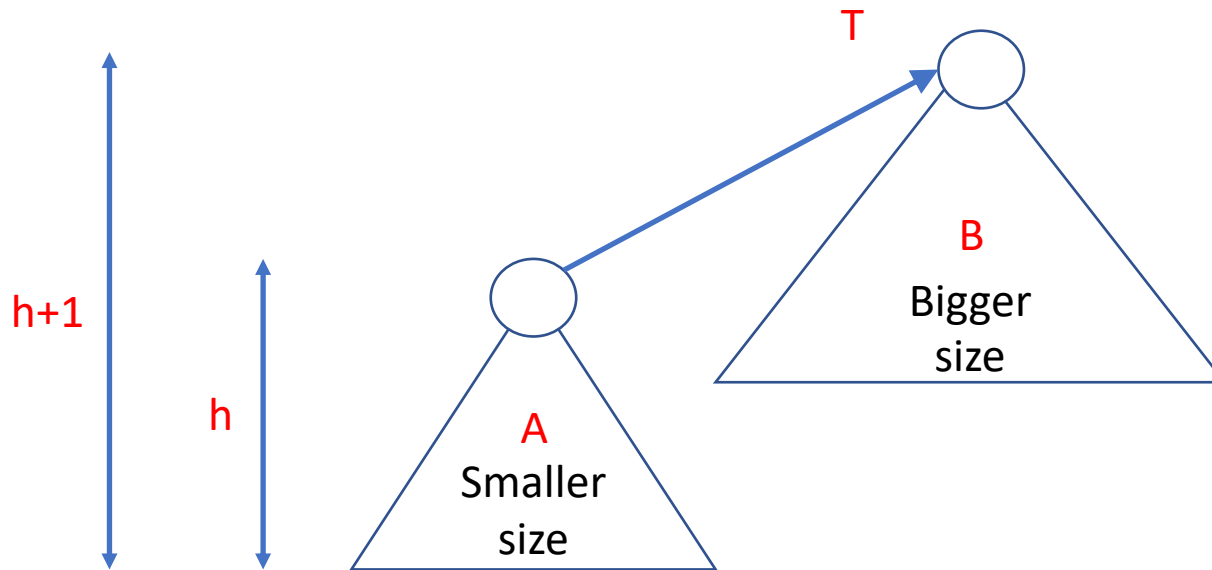
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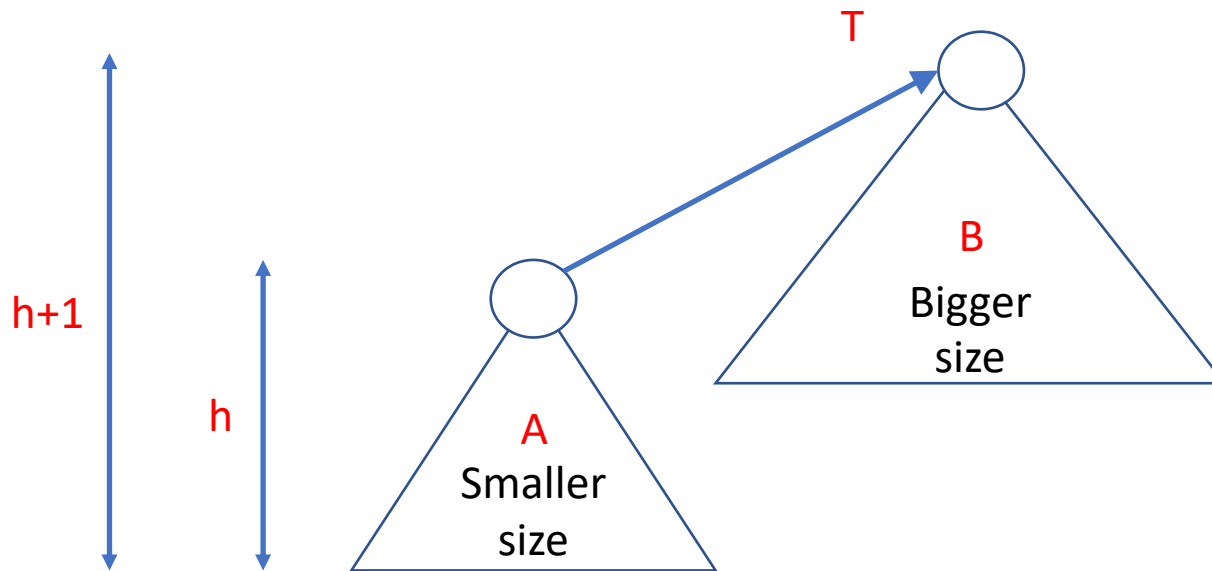
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- **h = 0.** Any tree of height zero has at least $2^0 = 1$ nodes
- Suppose the lemma holds for $h \geq 0$ (I.H.). We show it holds for **h+1**

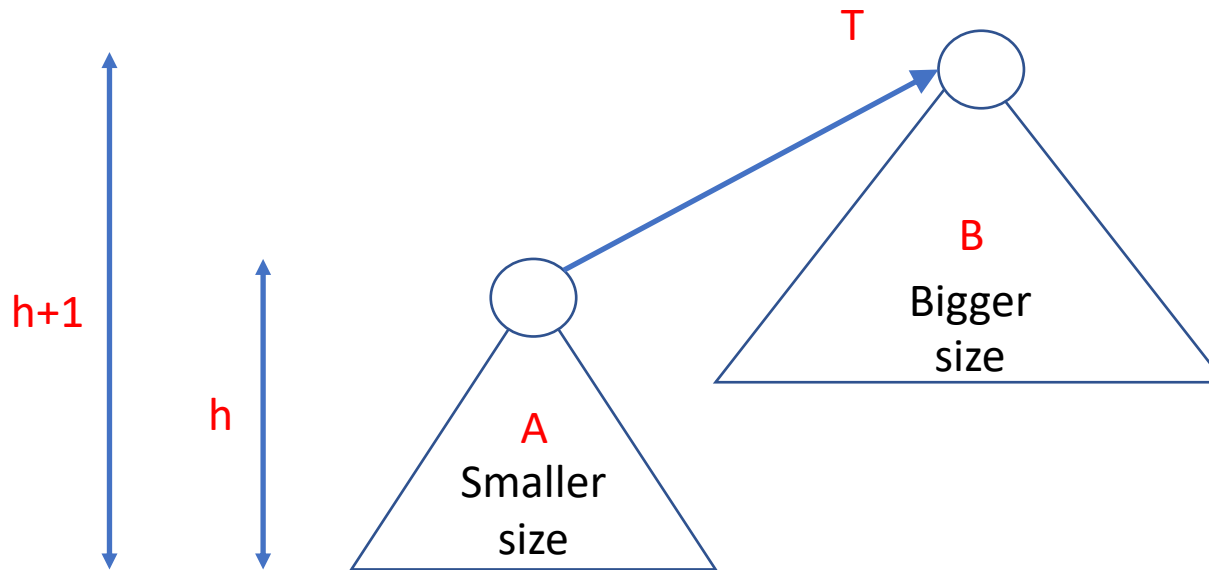


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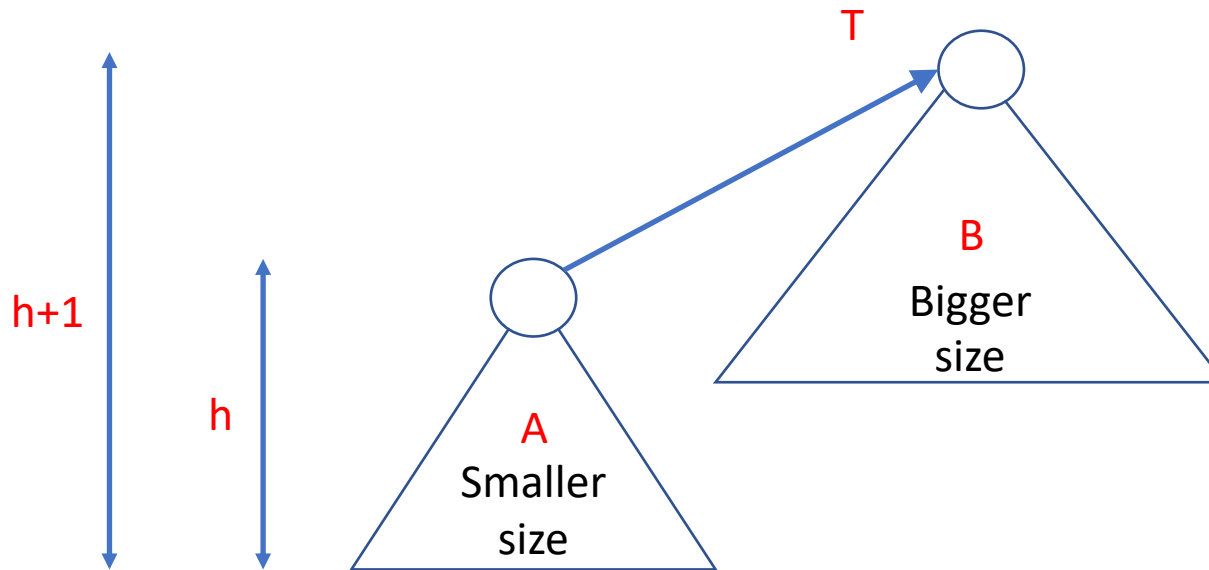
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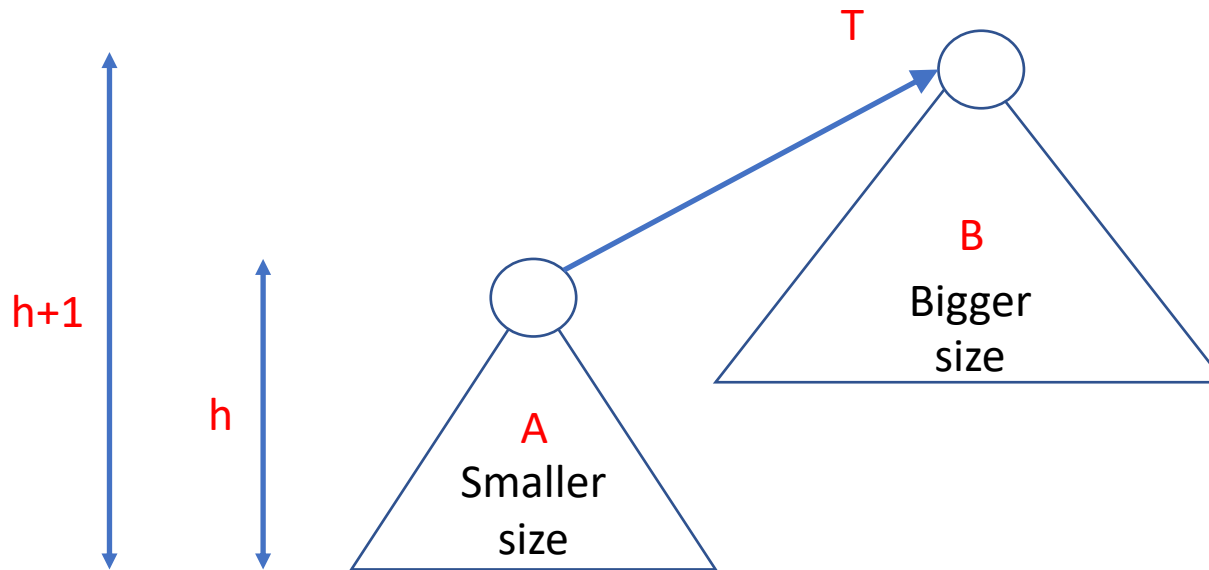
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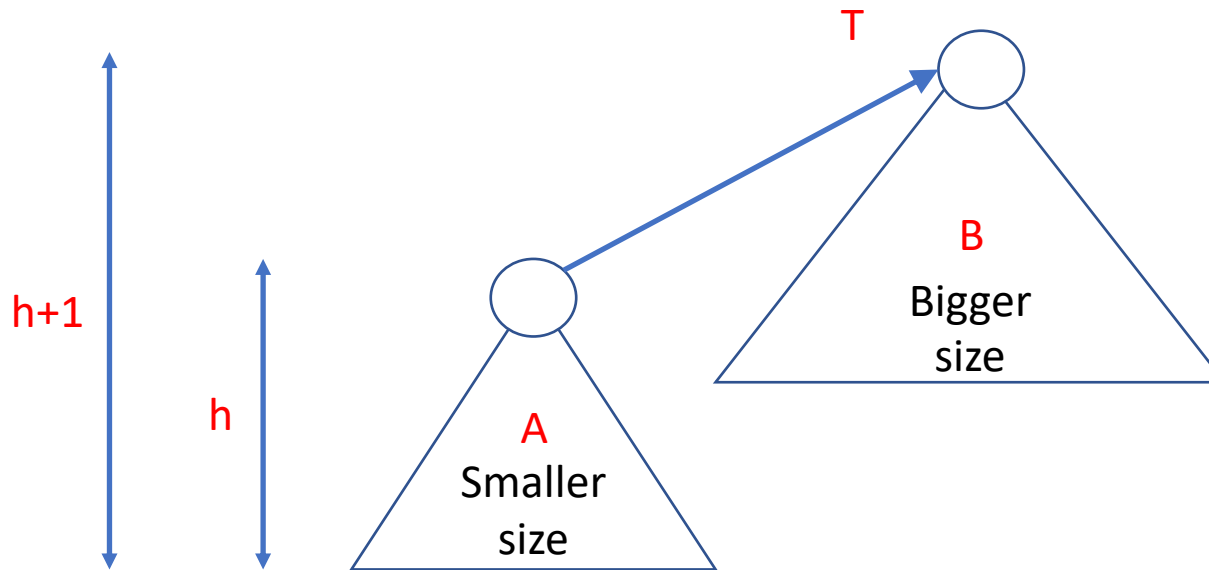
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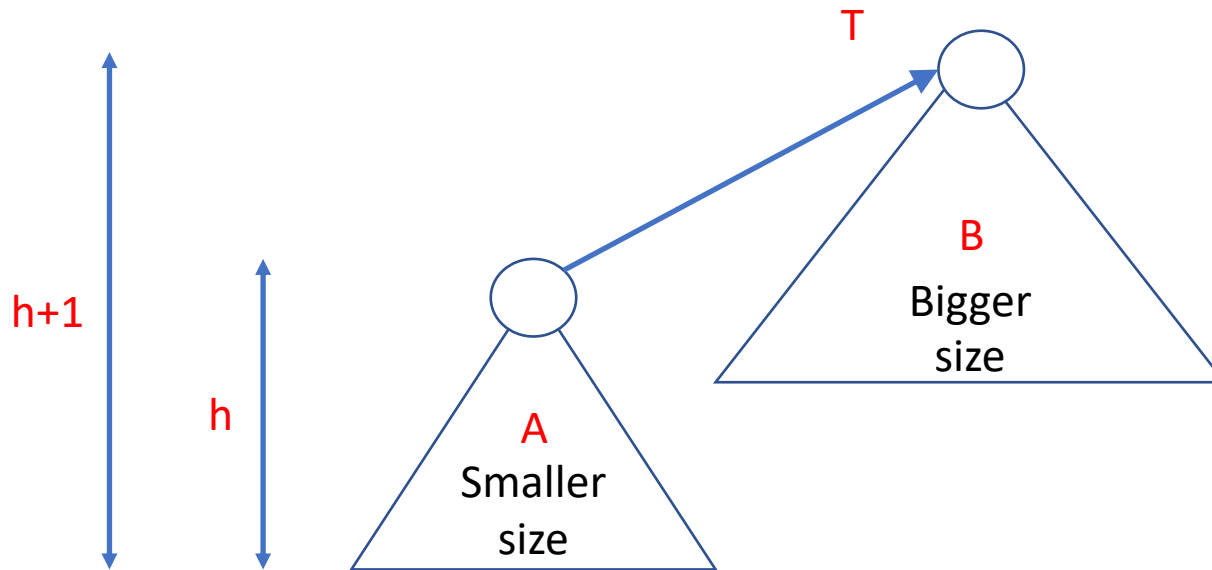
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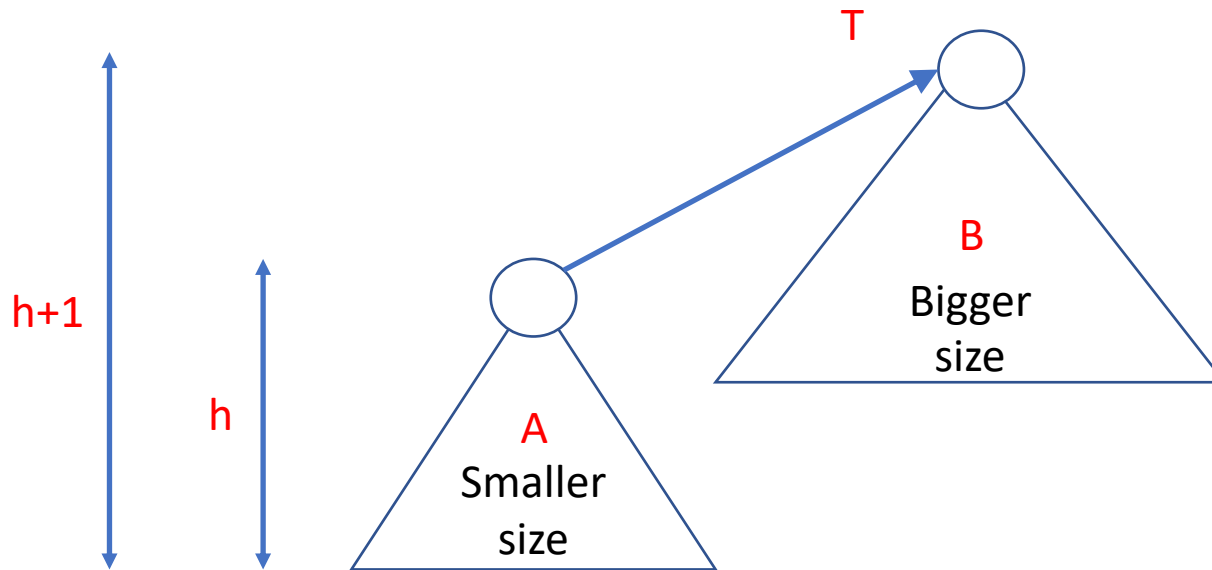
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