

Balanced BST

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 - ✓ High-level procedure
 - ✓ Examples to illustrate this procedure

Balanced BST

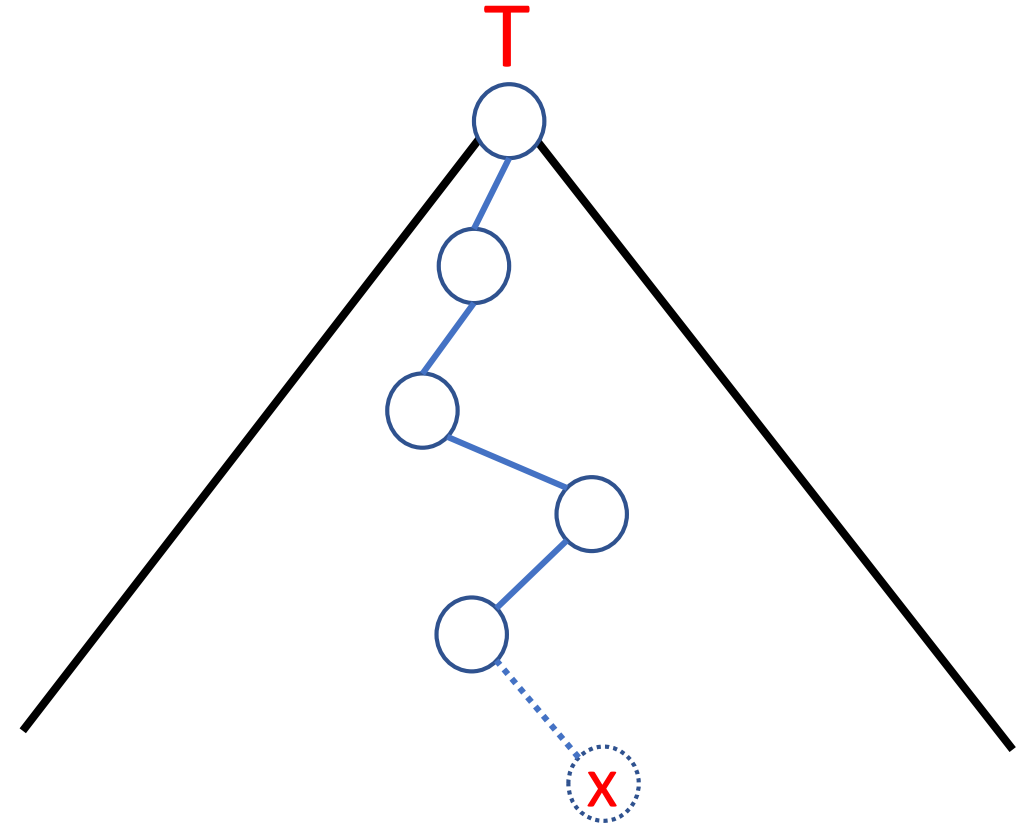
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 - ✓ Examples to illustrate this procedure
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- This lecture:
 - ✓ We show this algorithm works in all cases

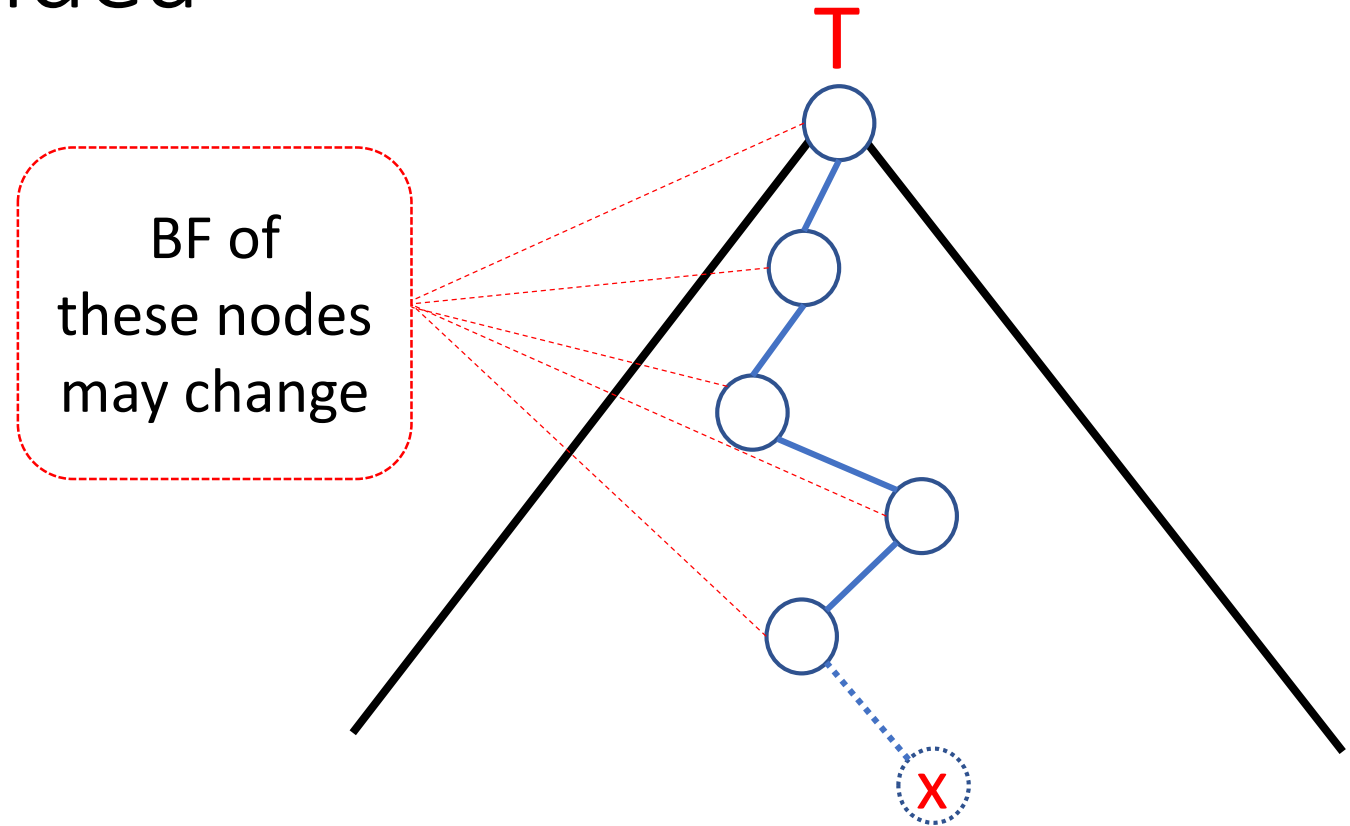
Insert(T, x) : General idea

- Insert x into T as in any BST :
 - x is now a leaf



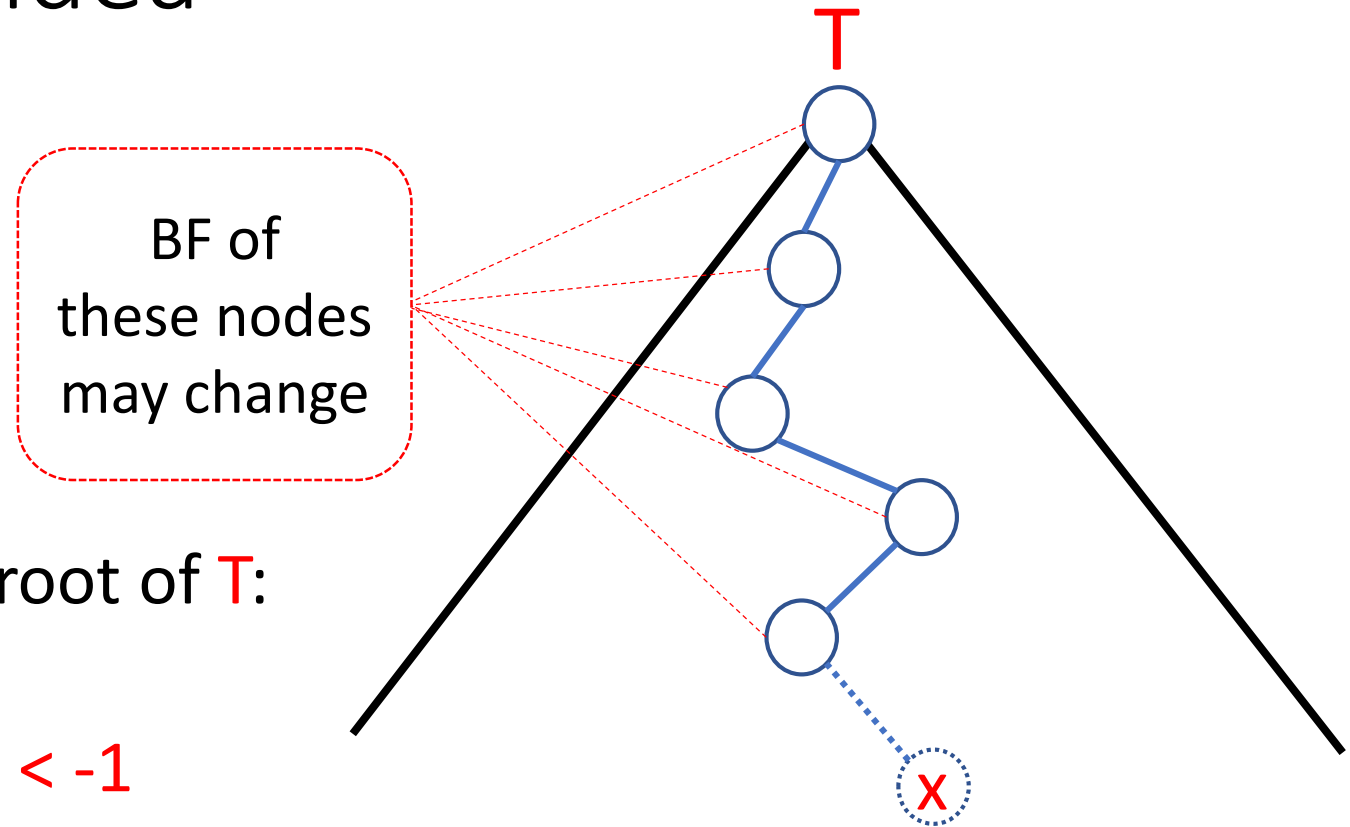
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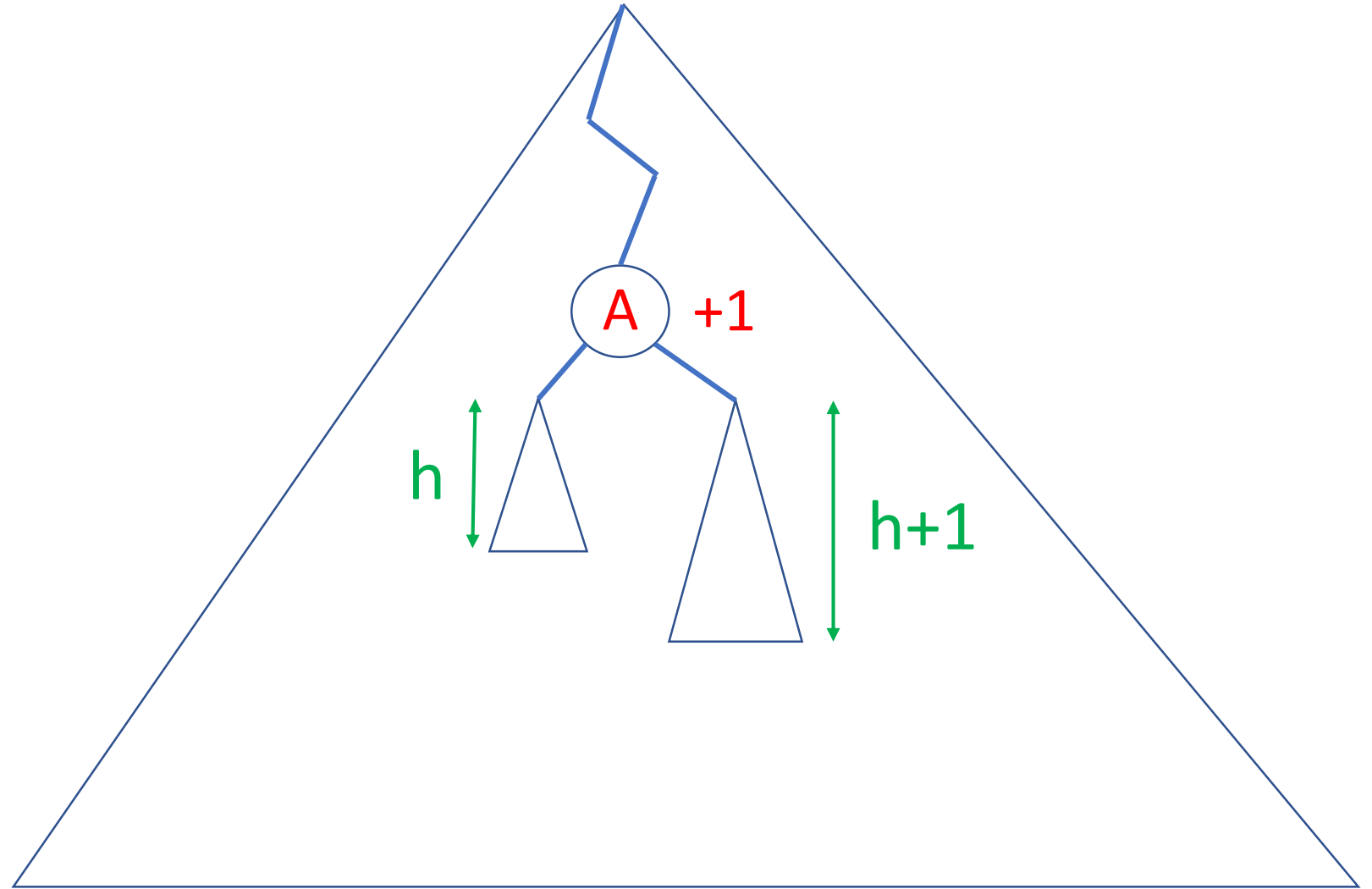


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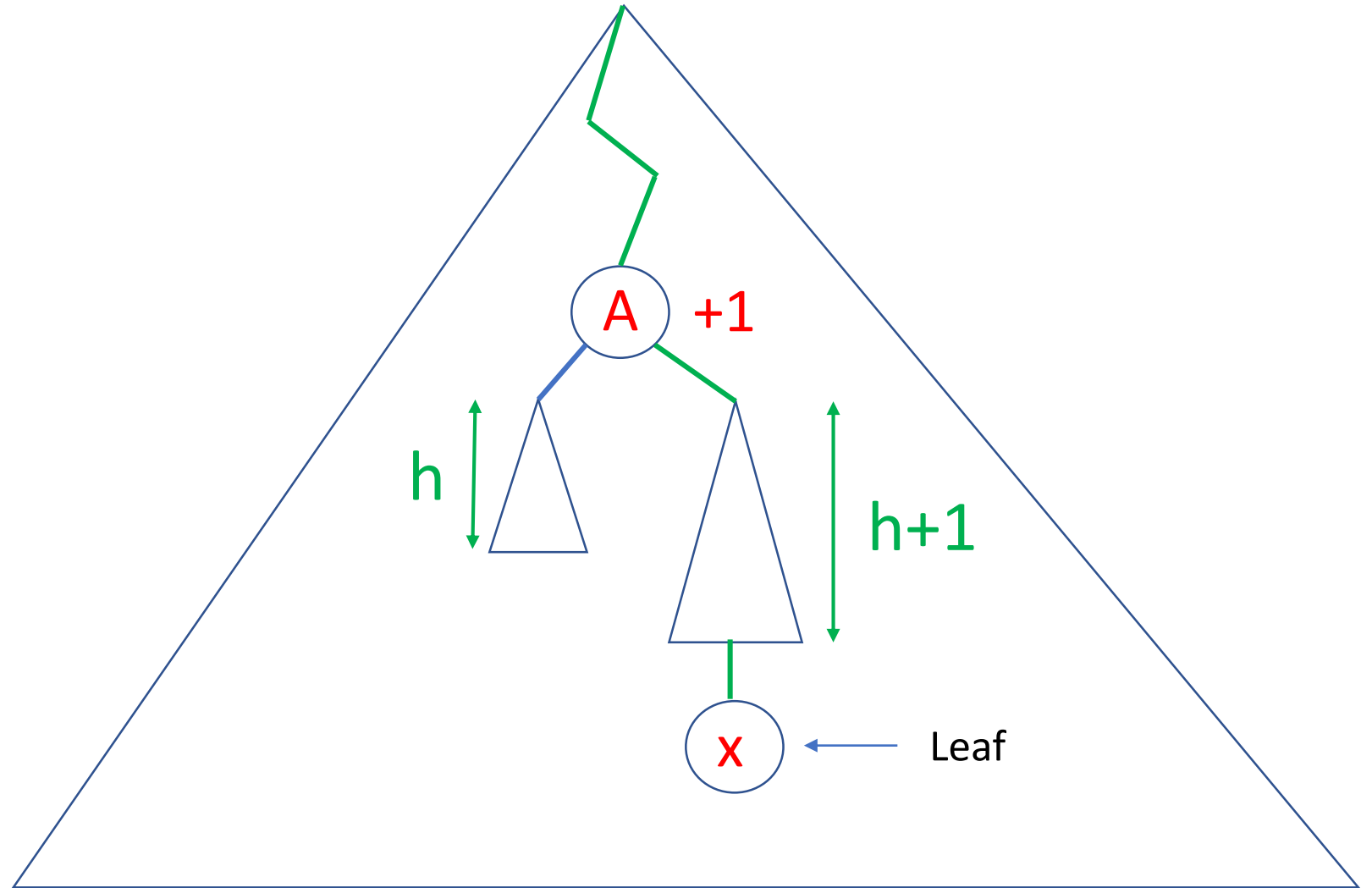
- Insert x into T as in any BST :
 - x is now a leaf
- For each node v from x to the root of T :
 - Adjust $BF(v)$
 - Rebalance if $BF(v) > 1$ or $BF < -1$



Inserting x into an AVL Tree T

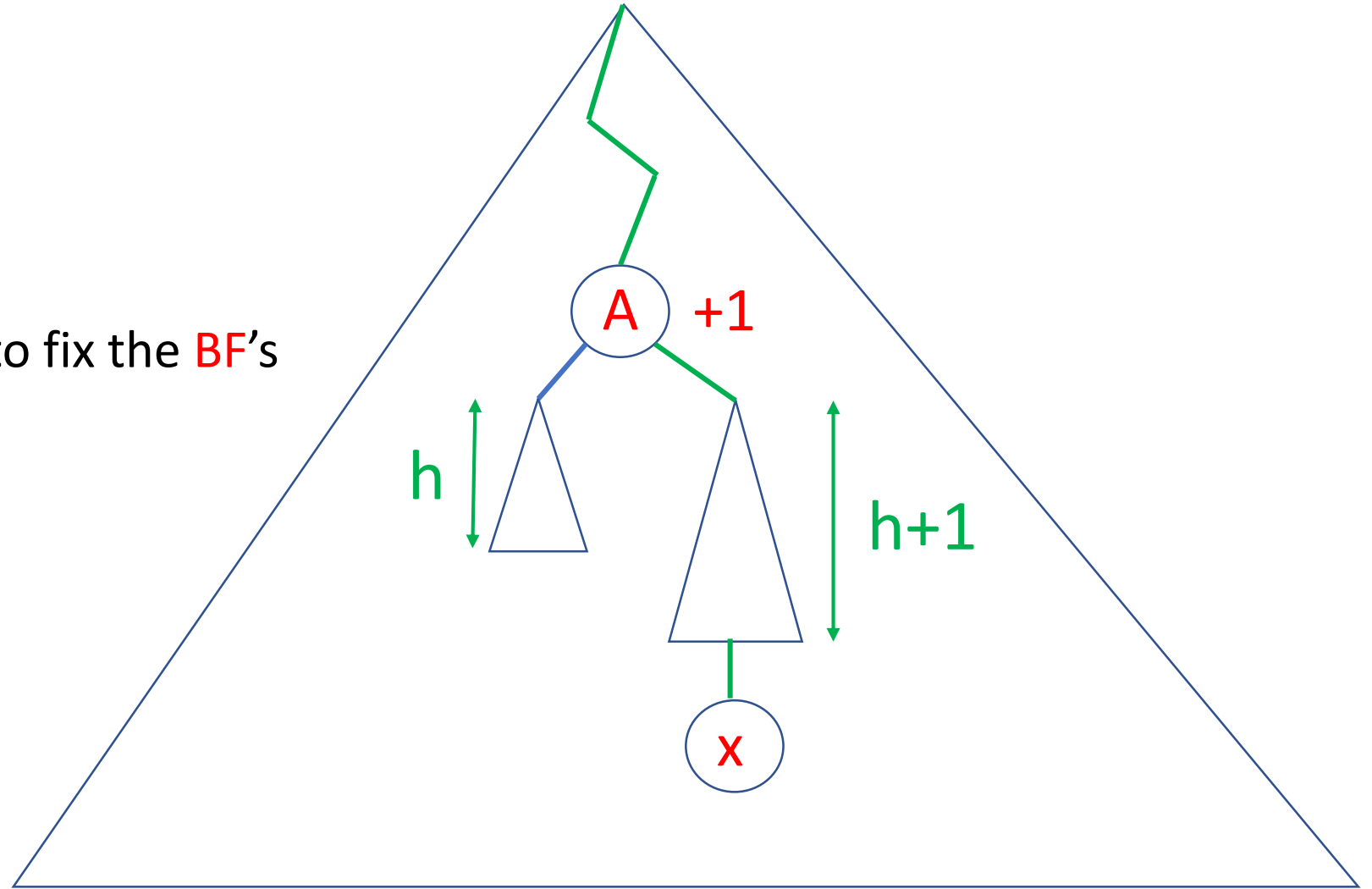


Inserting x into an AVL Tree T



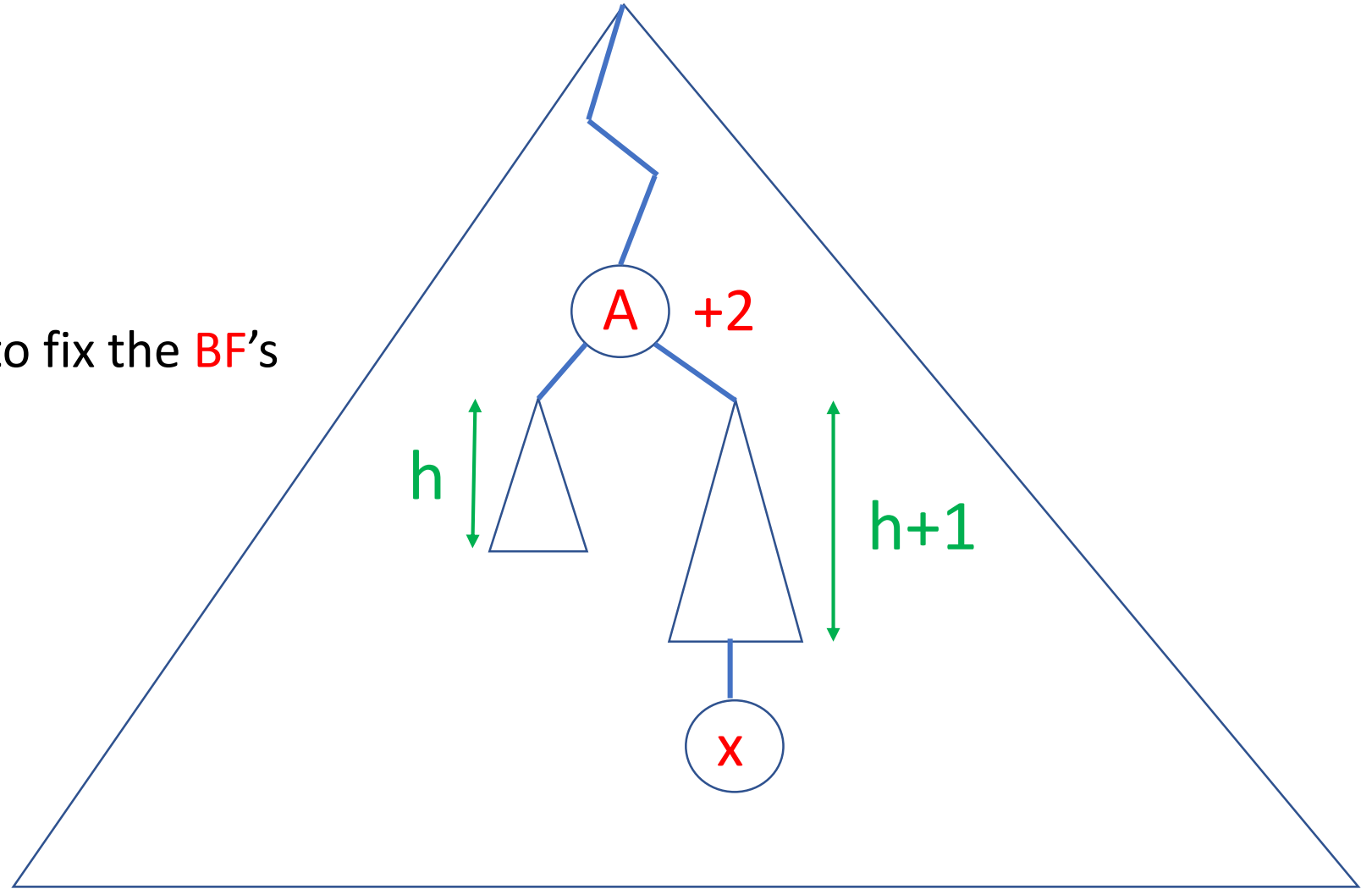
Inserting x into an AVL Tree T

Go up from x to the root of T to fix the **BF's**

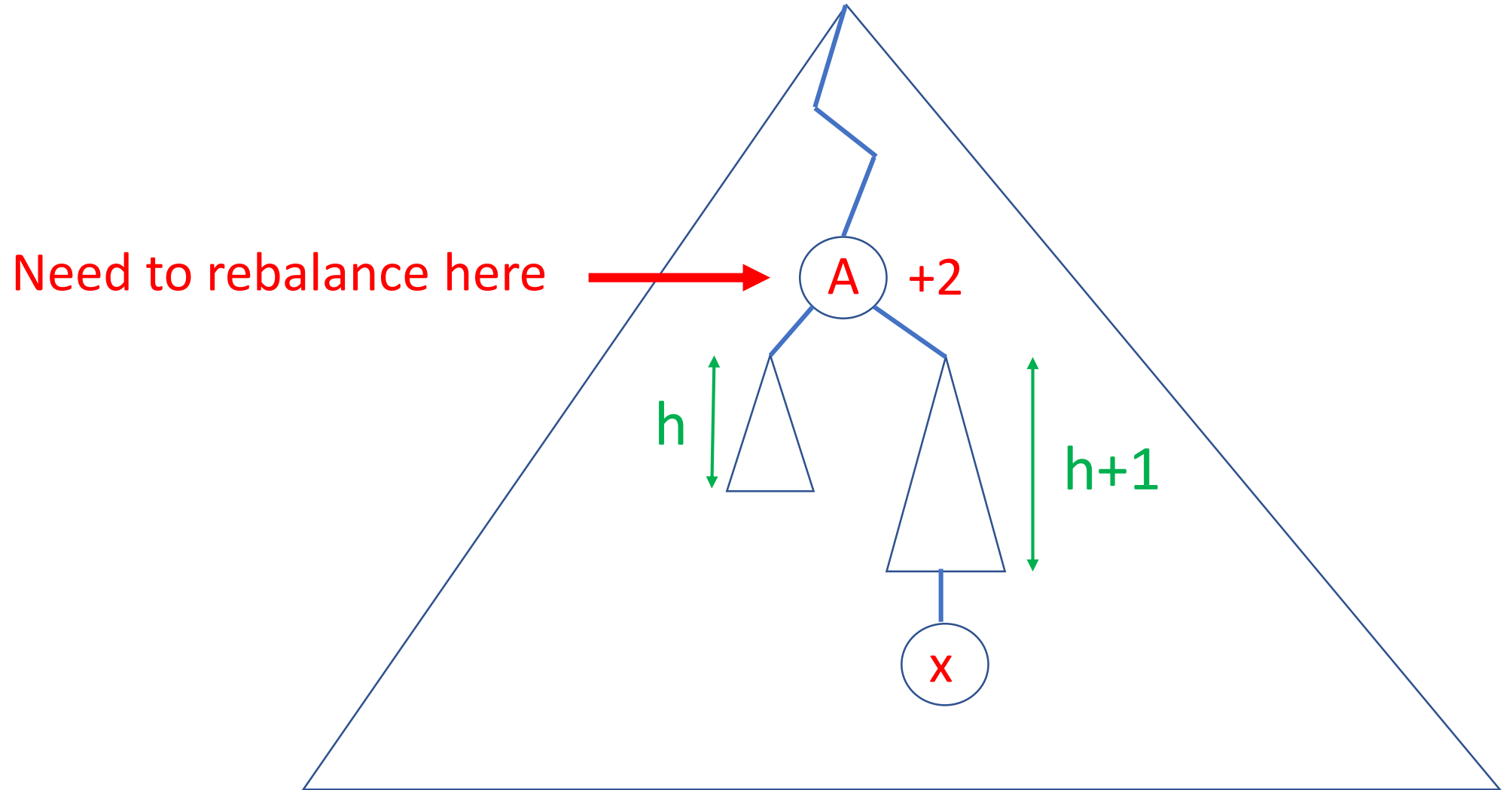


Inserting x into an AVL Tree T

Go up from x to the root of T to fix the **BF's**

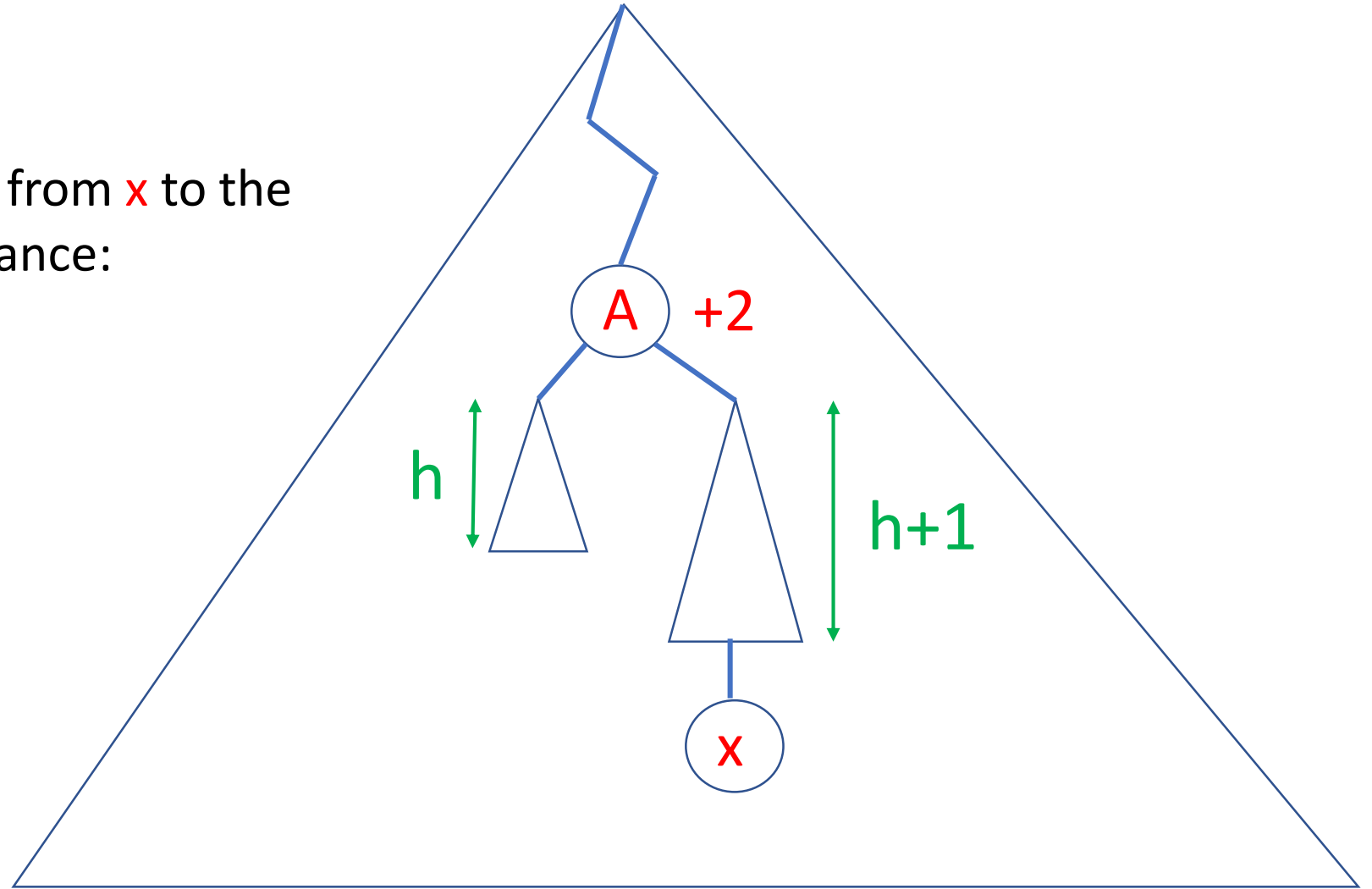


Inserting x into an AVL Tree T

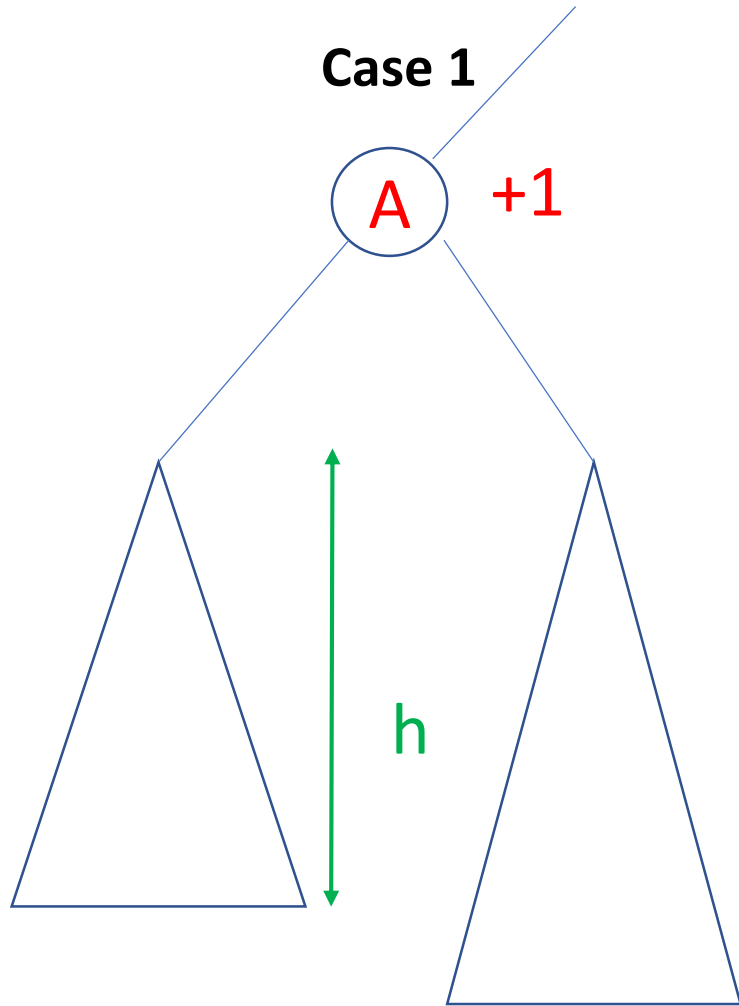


Inserting x into an AVL Tree T

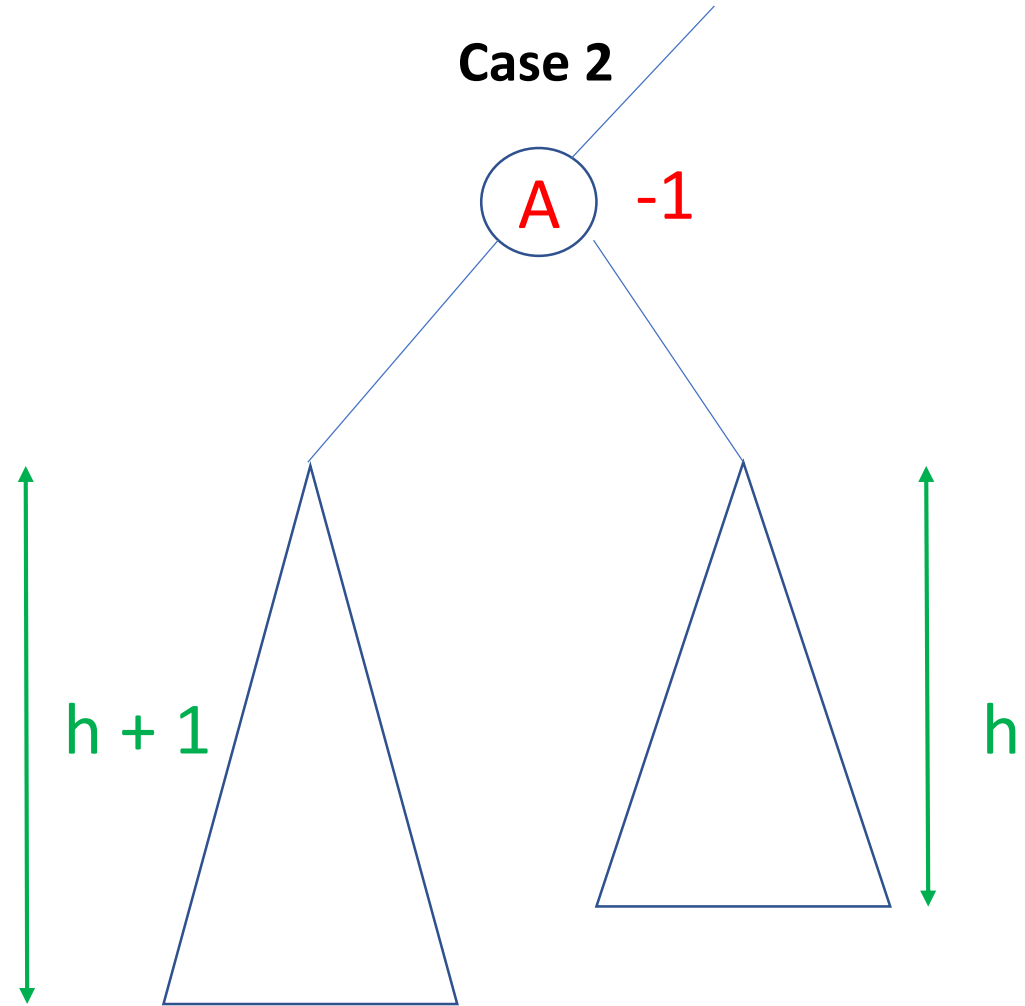
A is the **first** node on the path from x to the root of T that loses its AVL balance:
 $BF(A)$ becomes $+2$ or -2 .



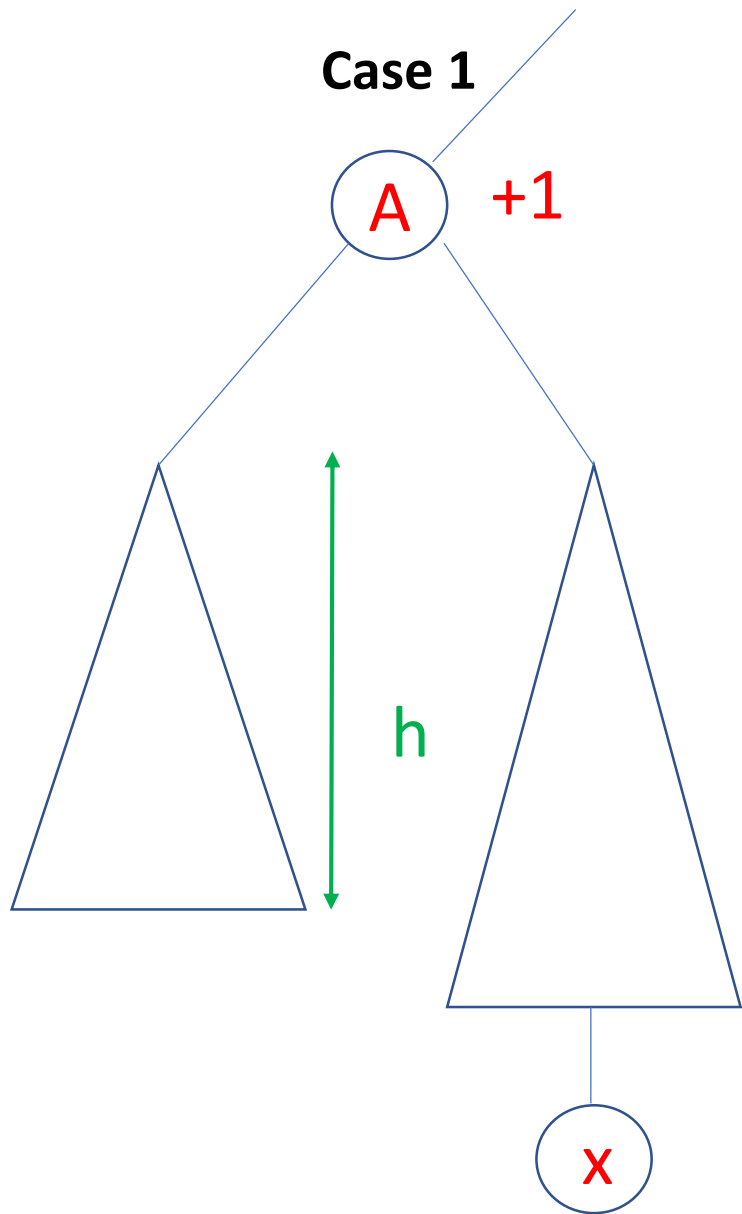
Case 1



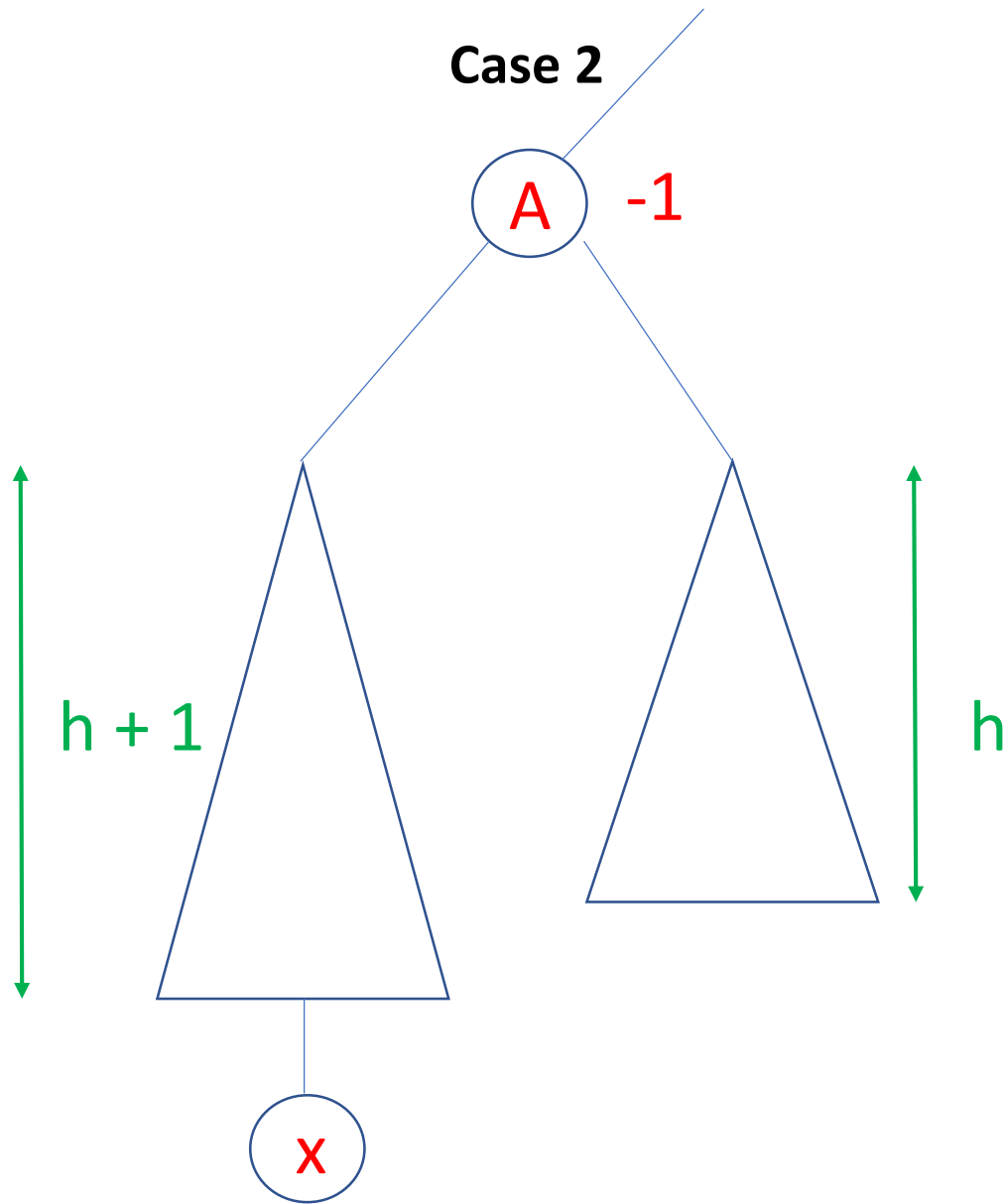
Case 2

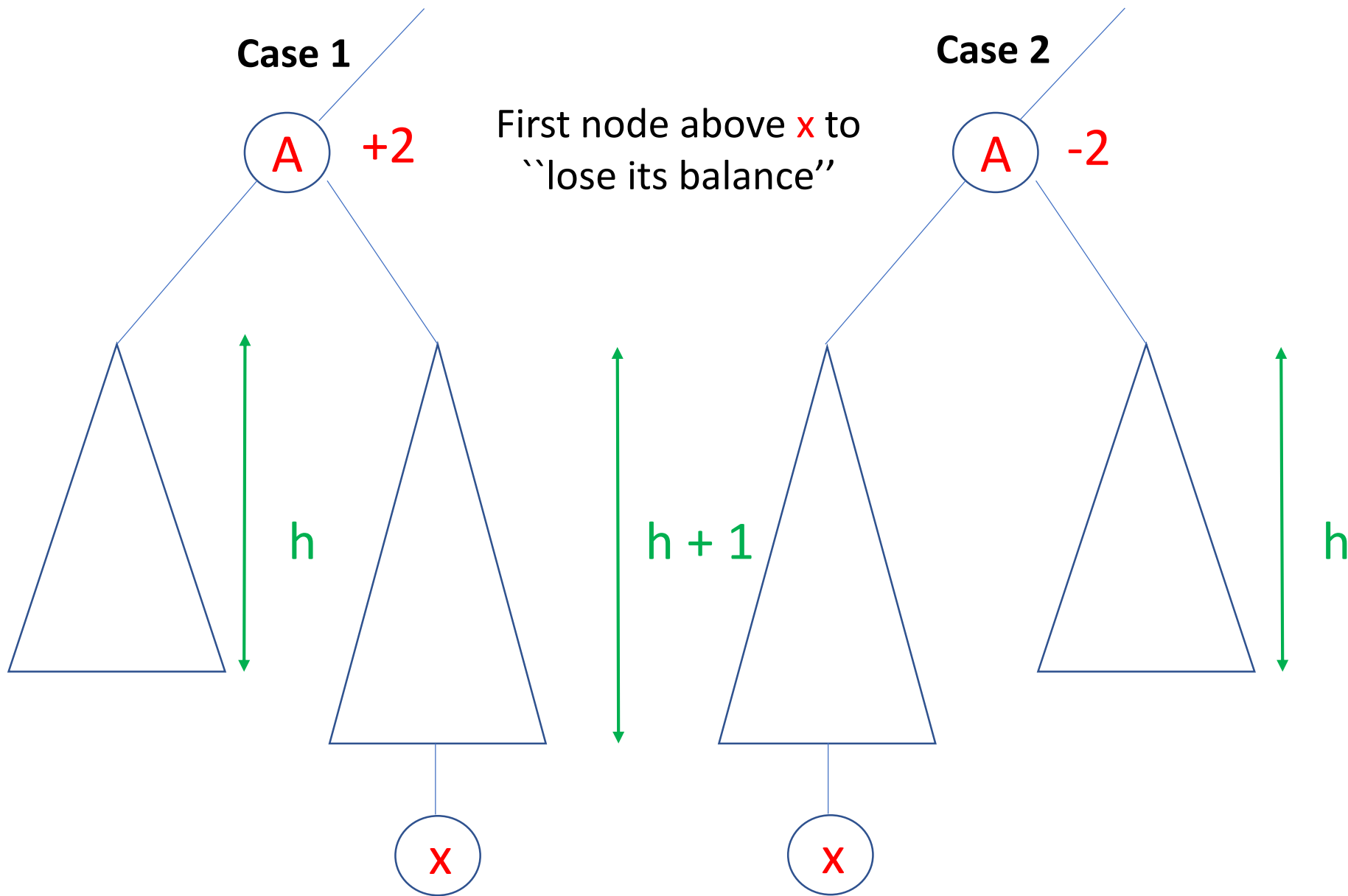


Case 1

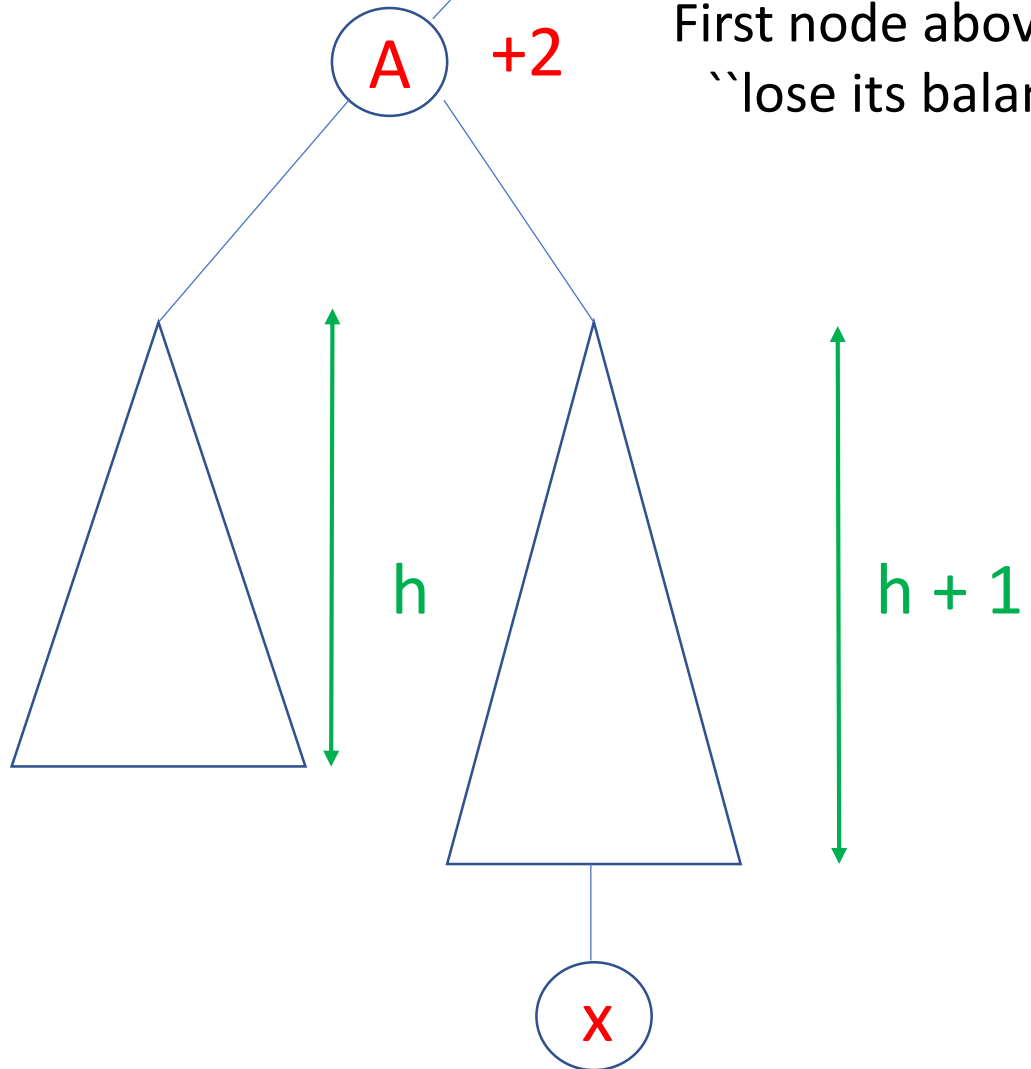


Case 2



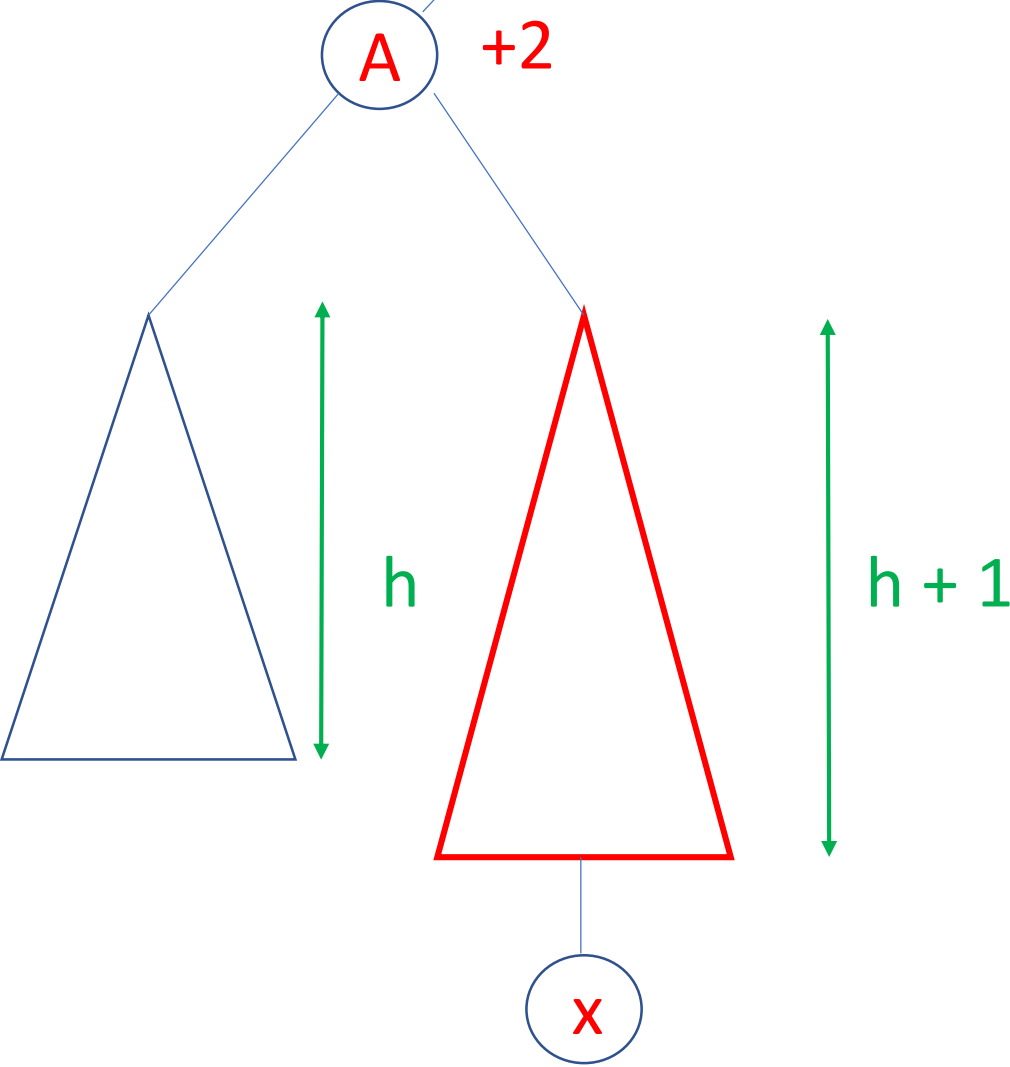


Case 1

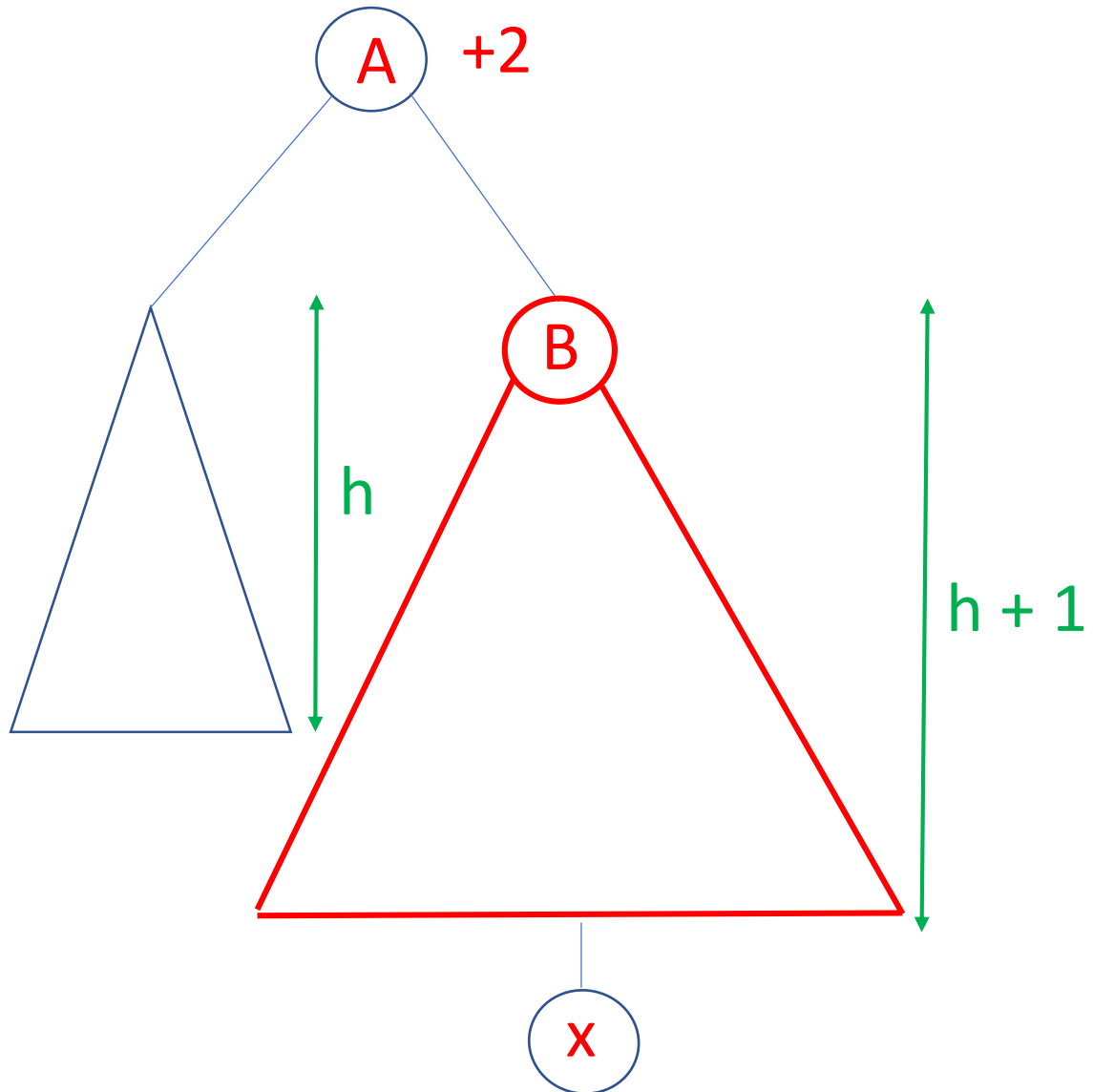


First node above **x** to
“lose its balance”

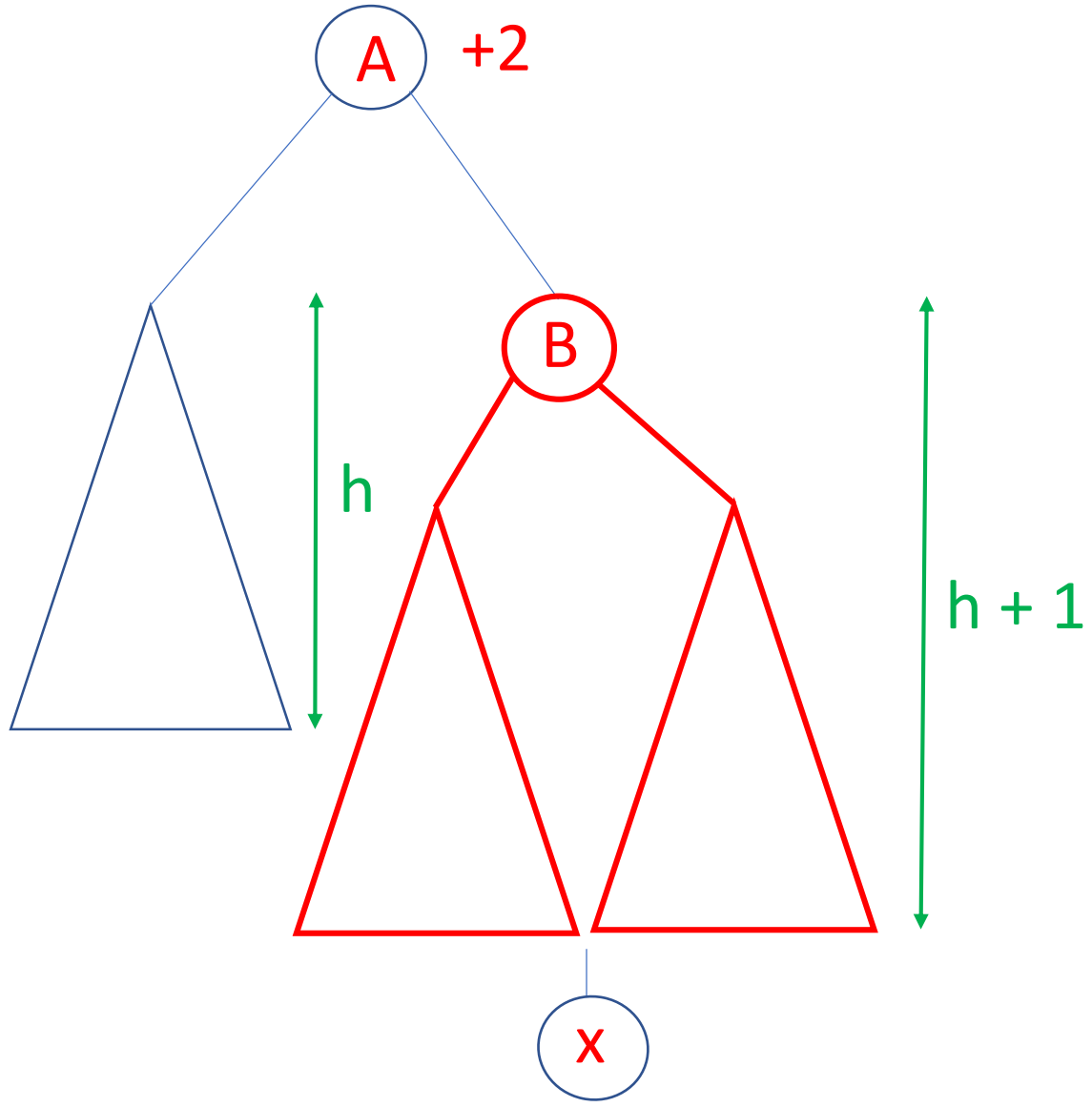
Case 1



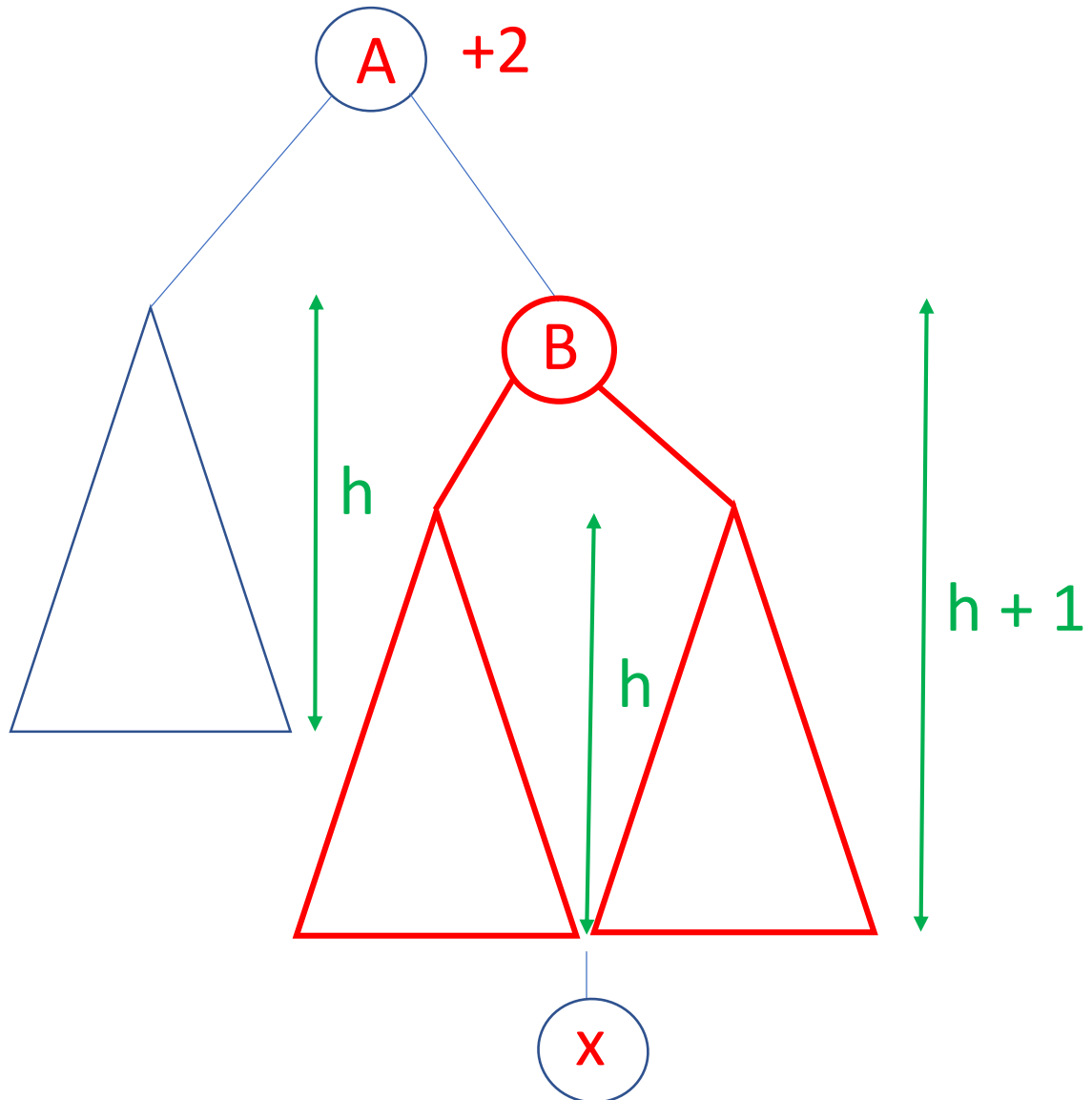
Case 1



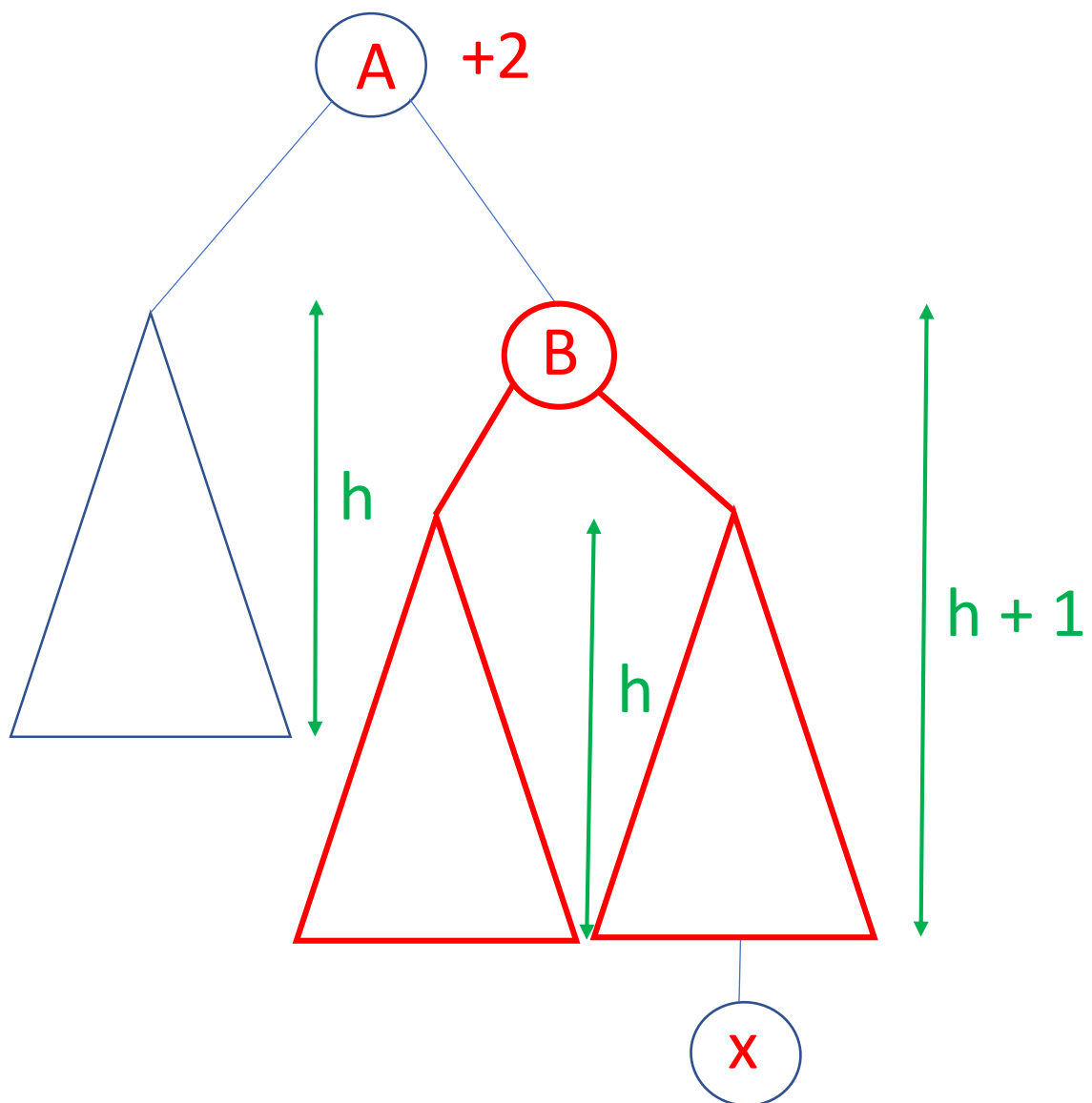
Case 1



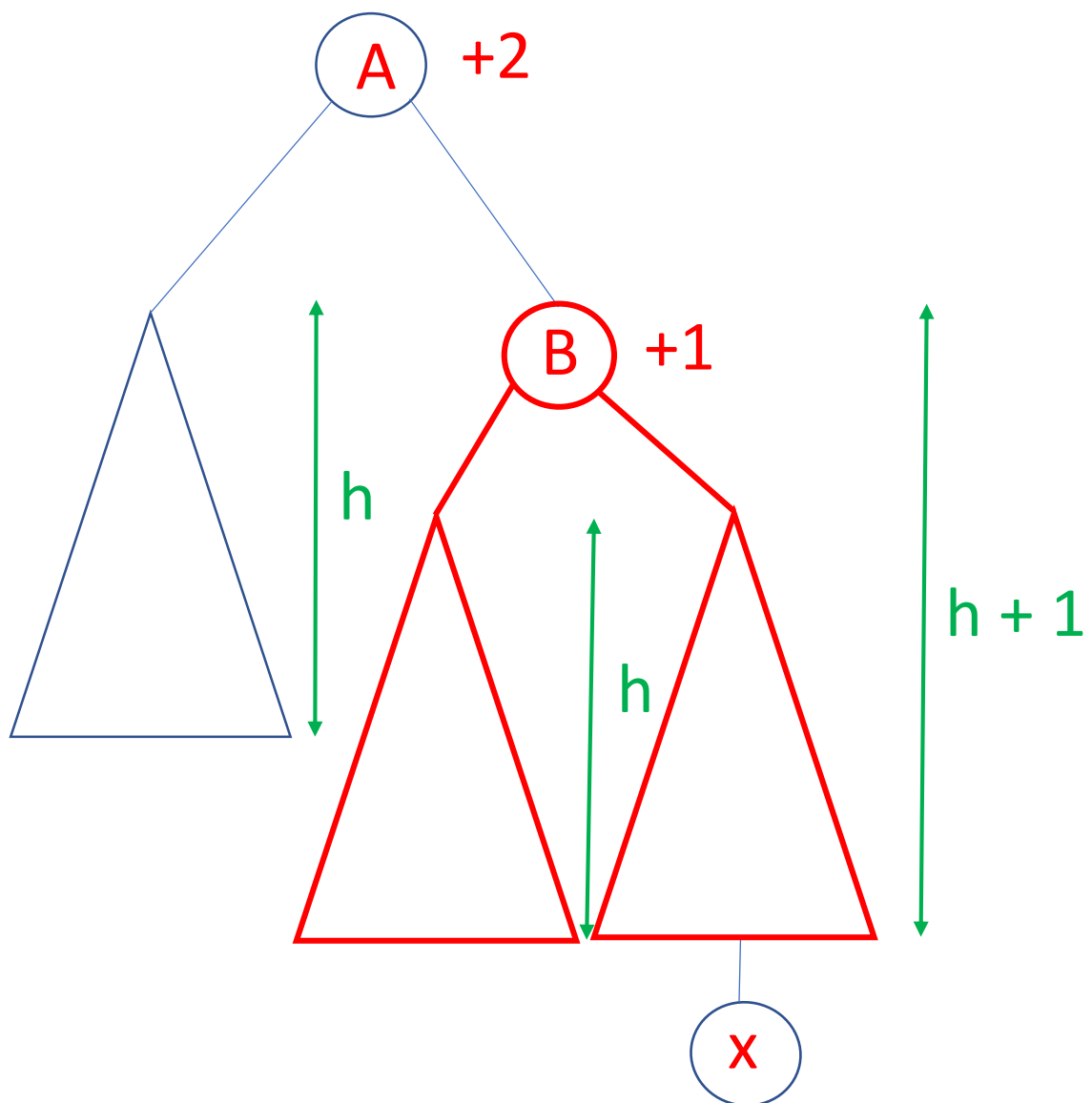
Case 1



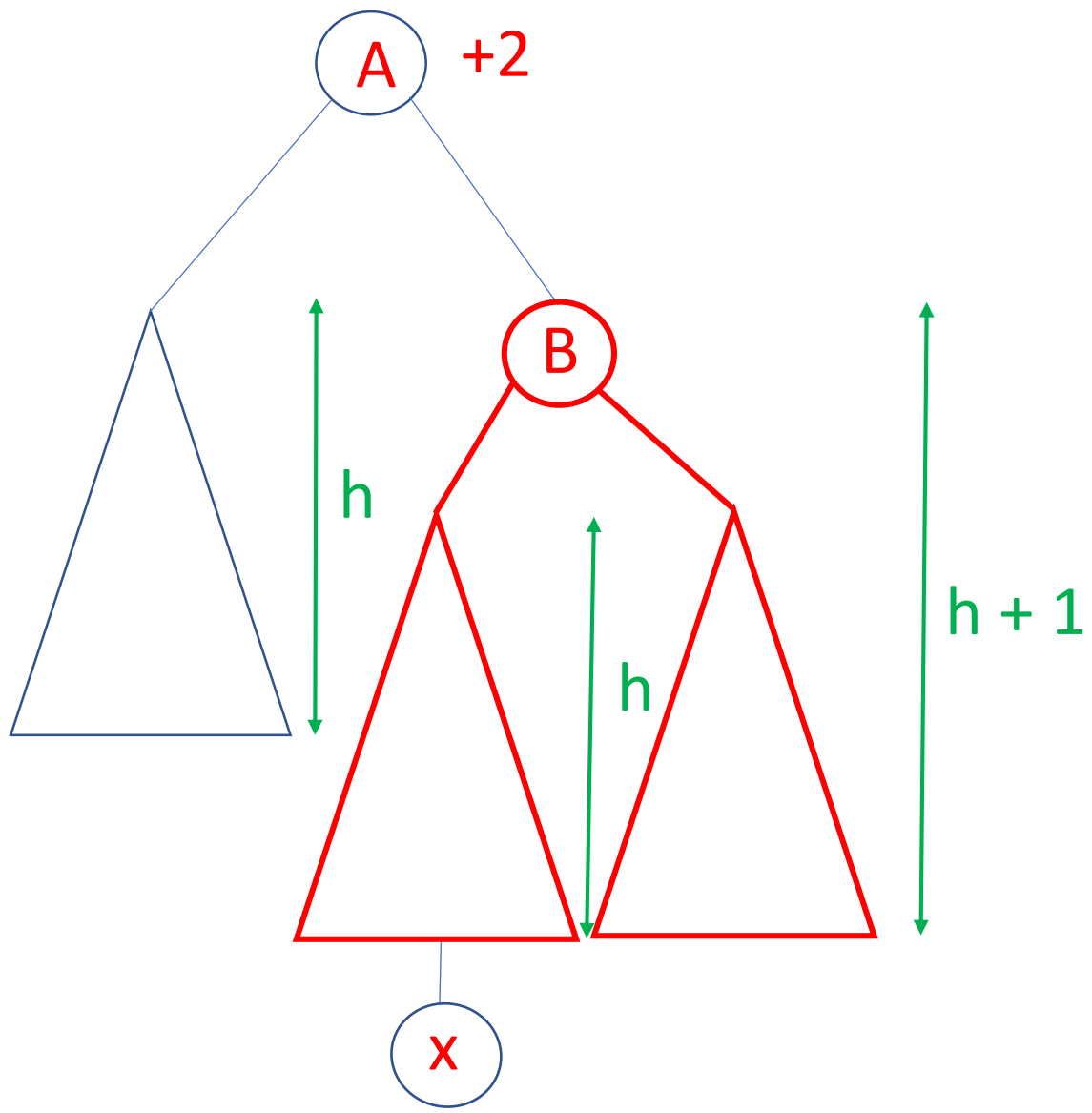
Case 1 (a)



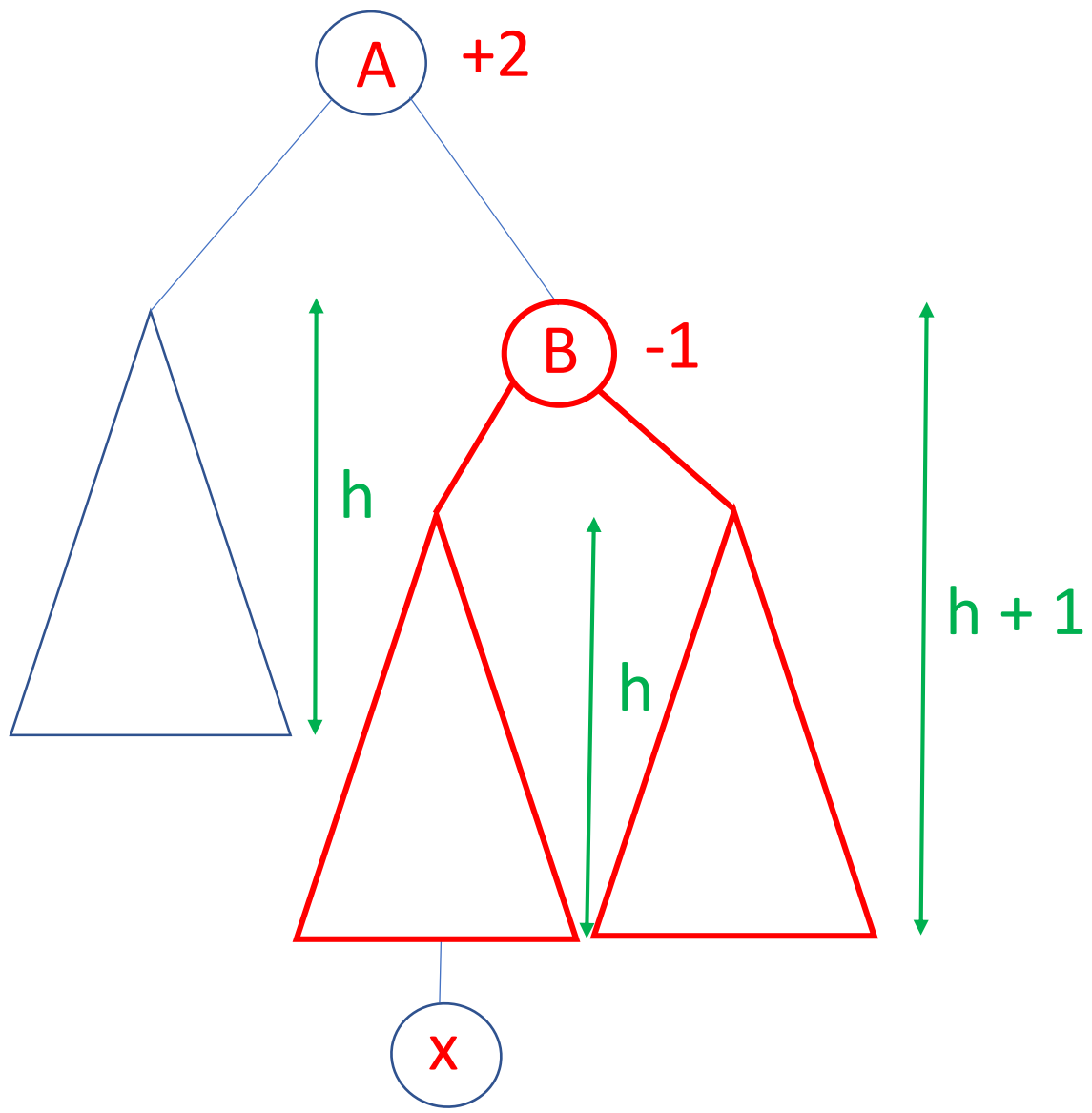
Case 1 (a)



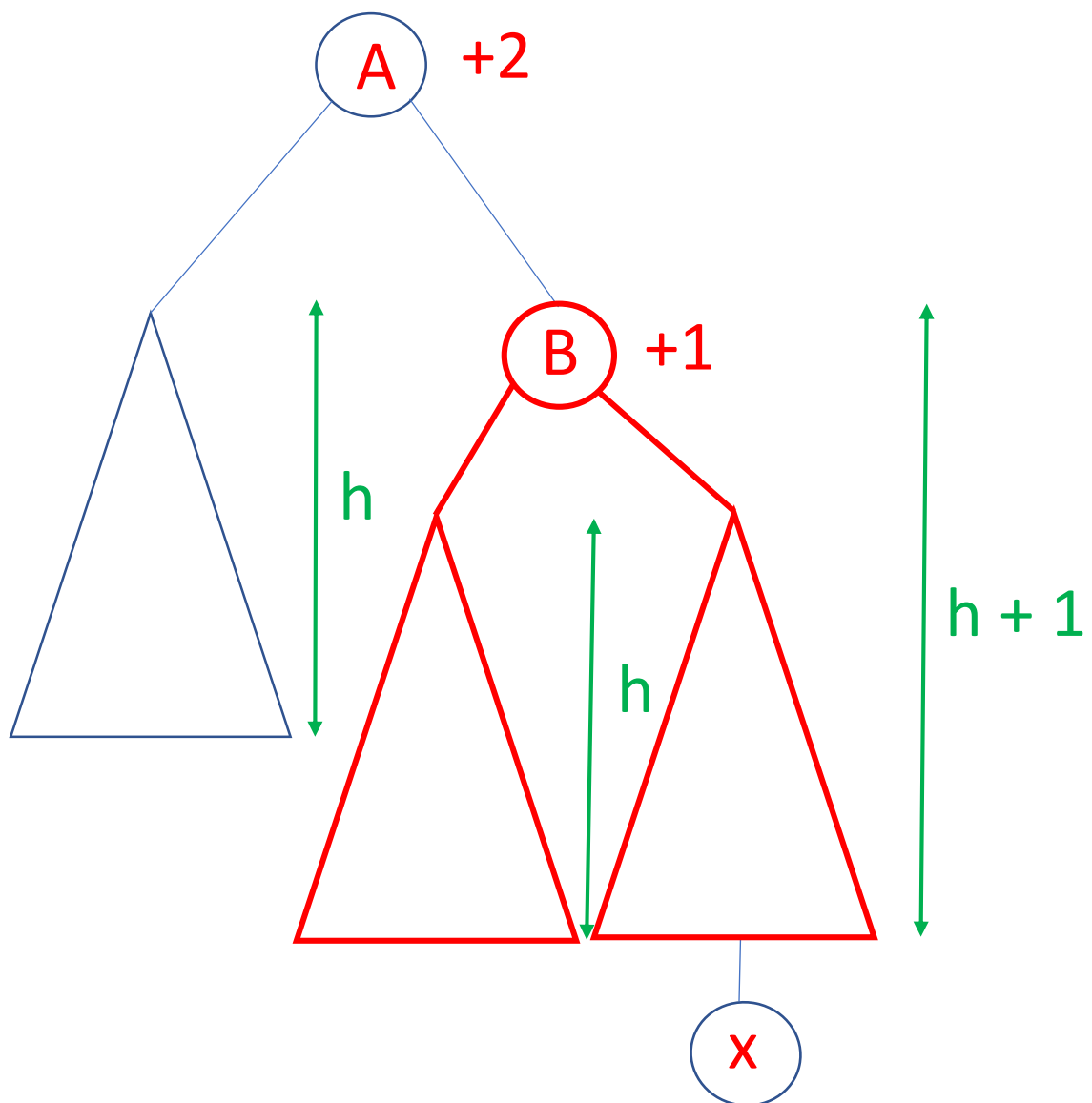
Case 1 (b)



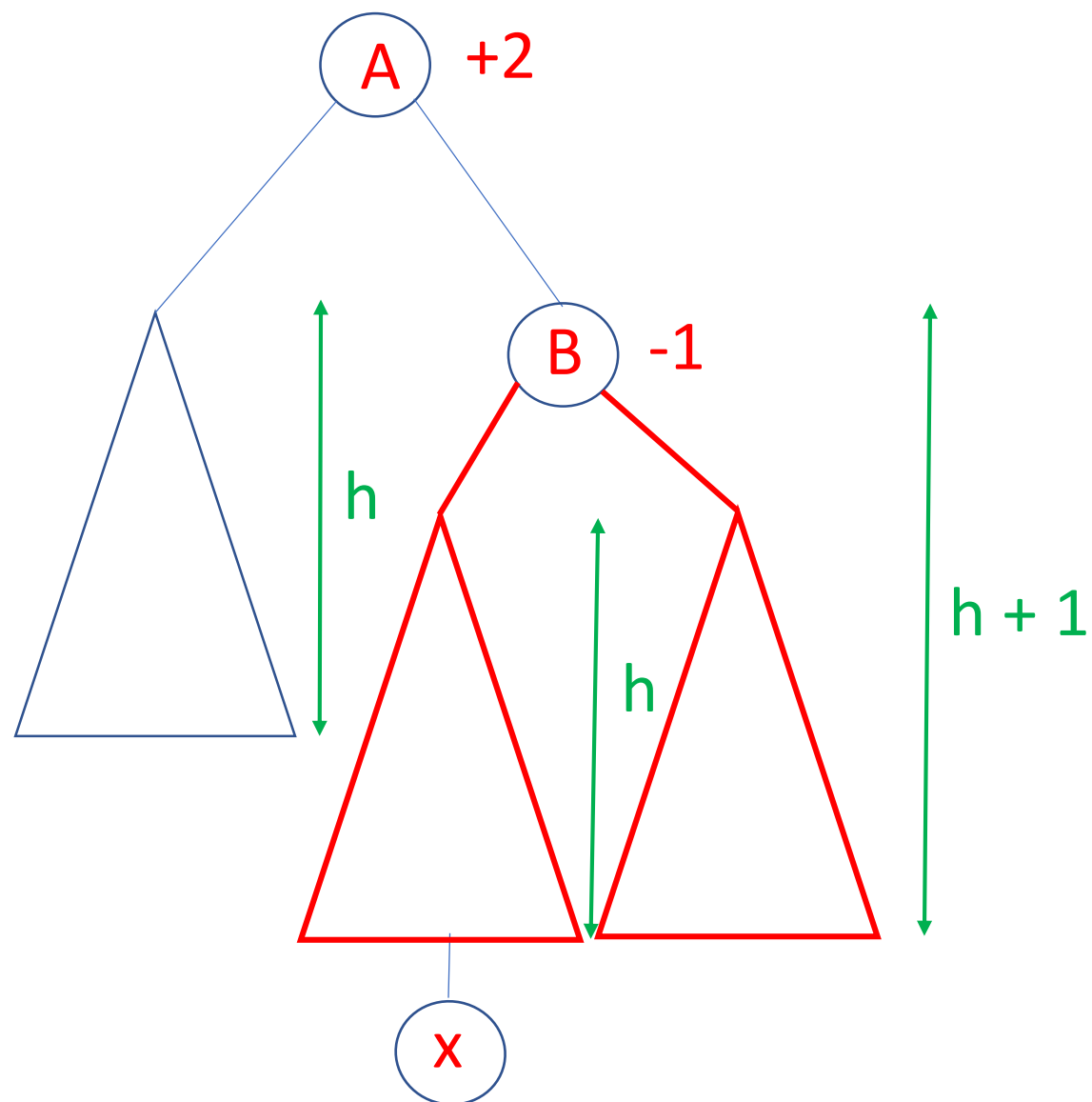
Case 1 (b)



Case 1 (a)



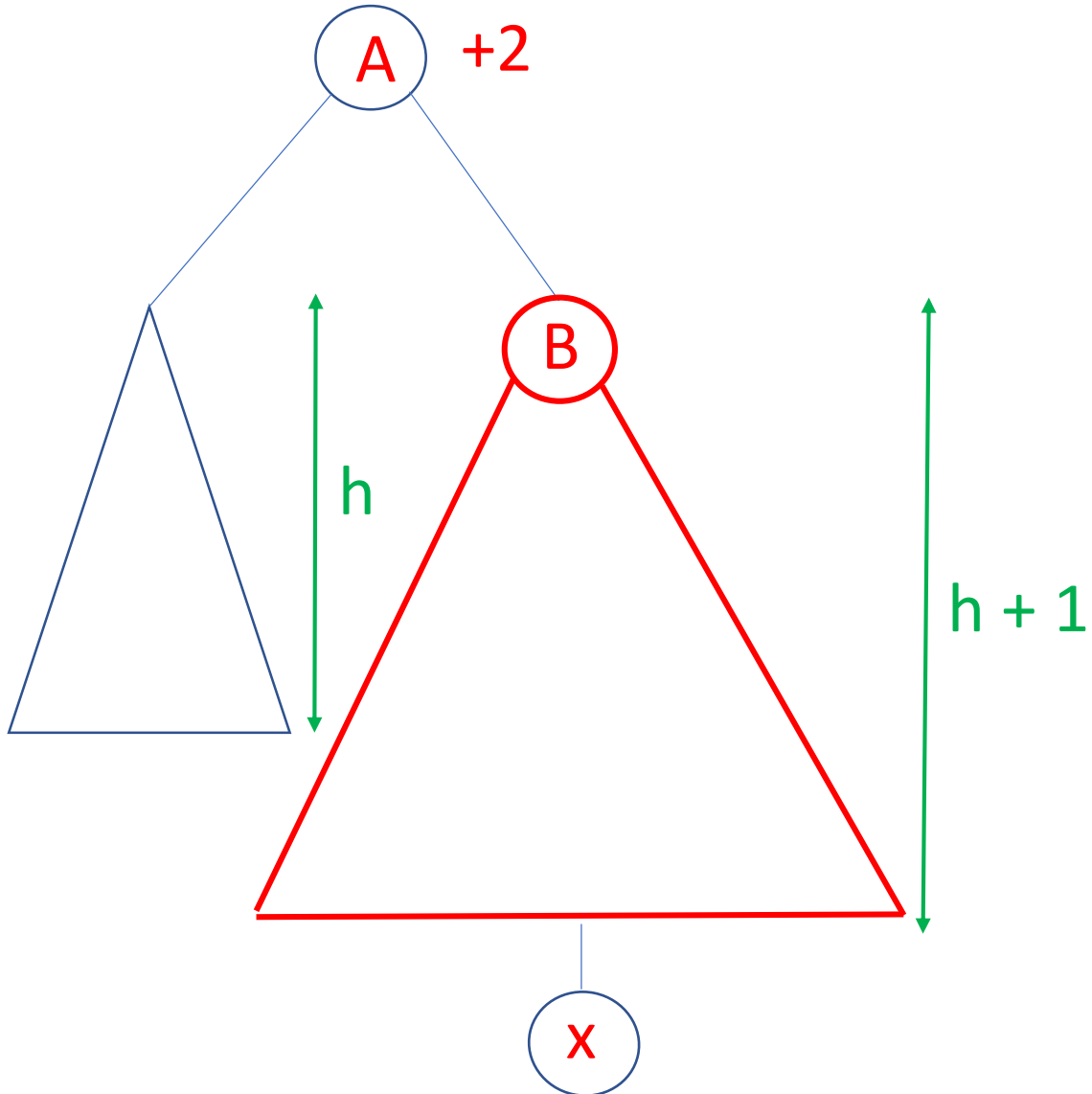
Case 1 (b)



We (sneakily :-) skipped a step in the argument!

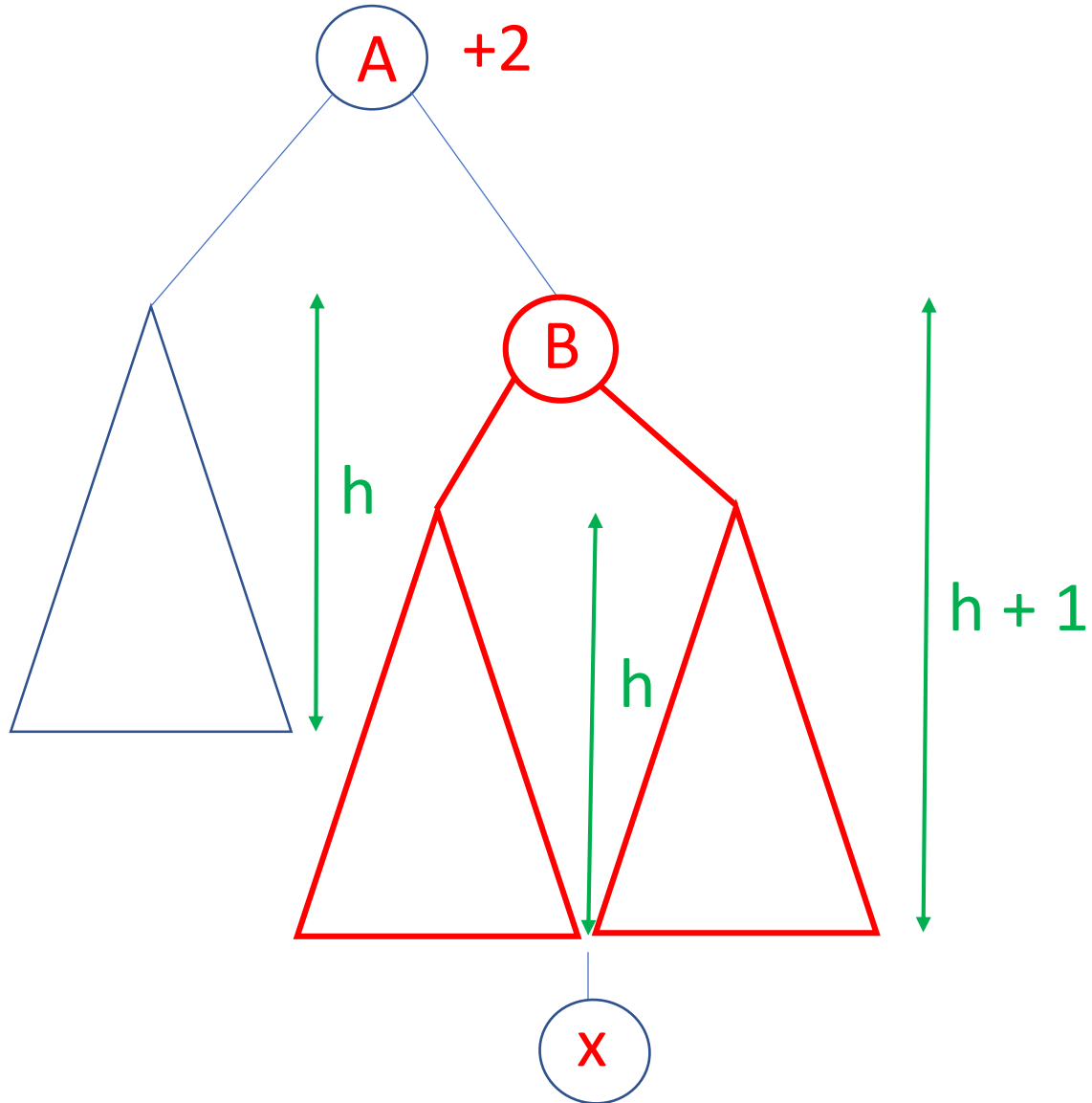
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Case 1



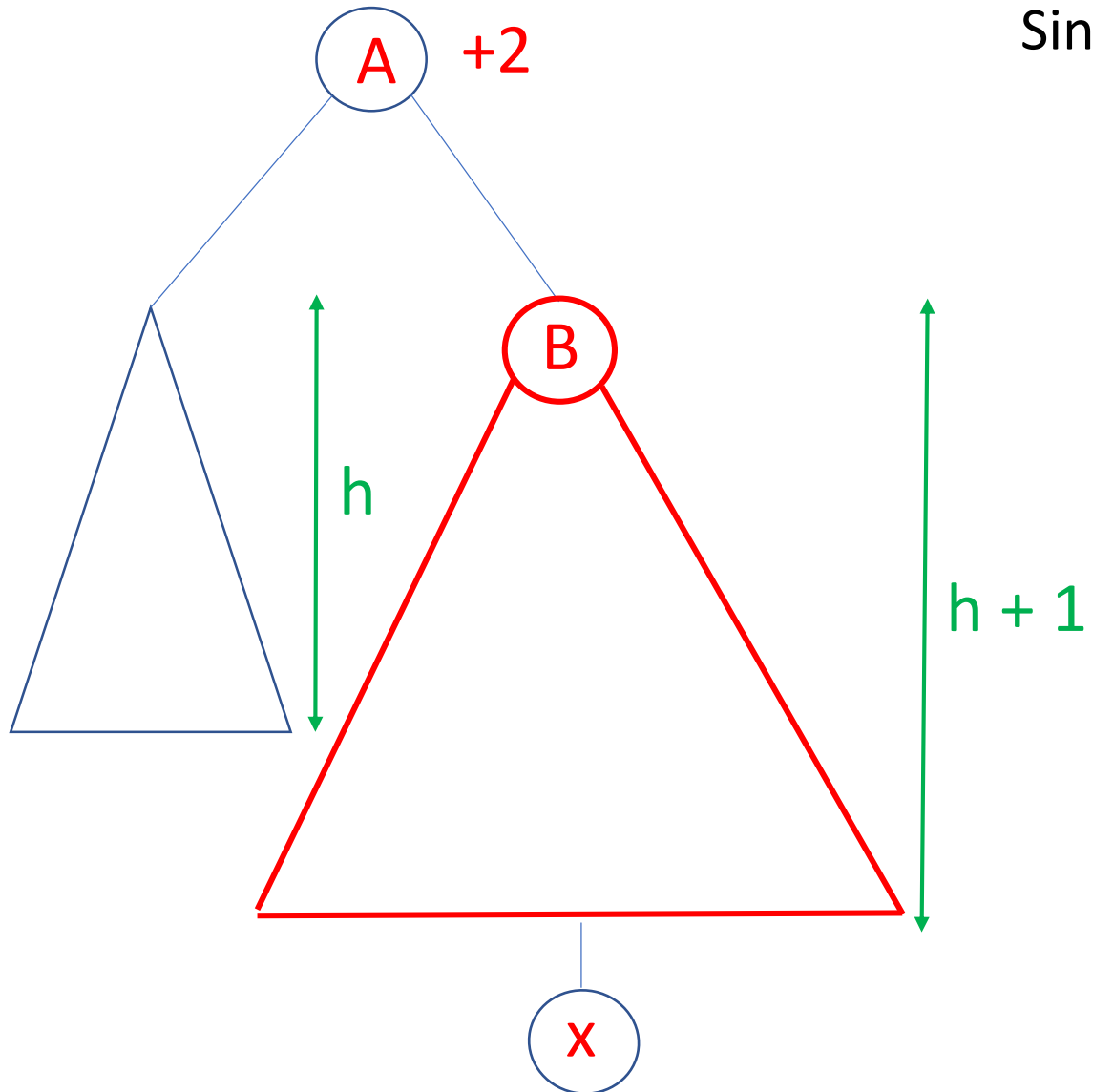
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Case 1



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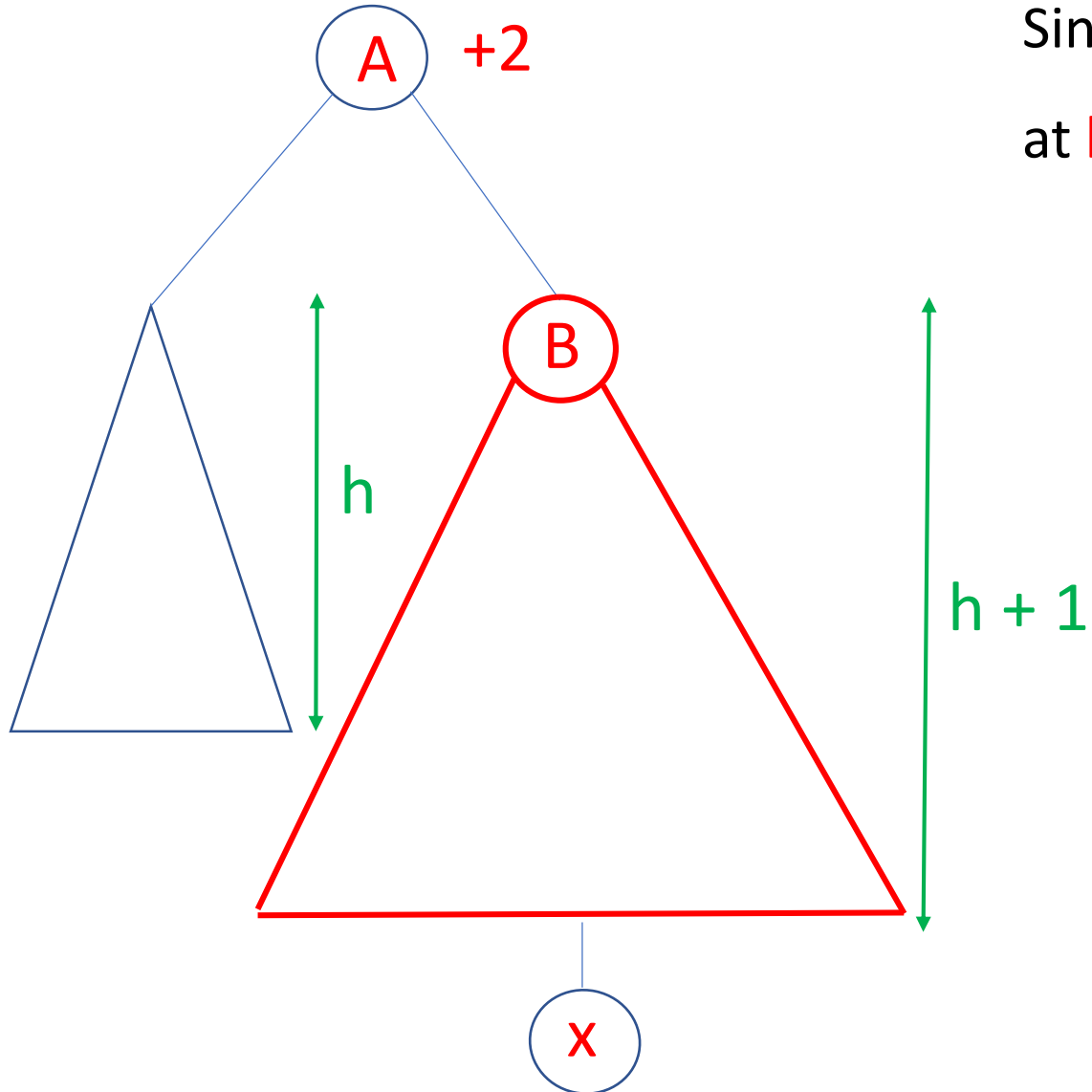
Case 1



Since **B** has height **$h+1$** :

We (sneakily :-) skipped a step in the argument!

Case 1

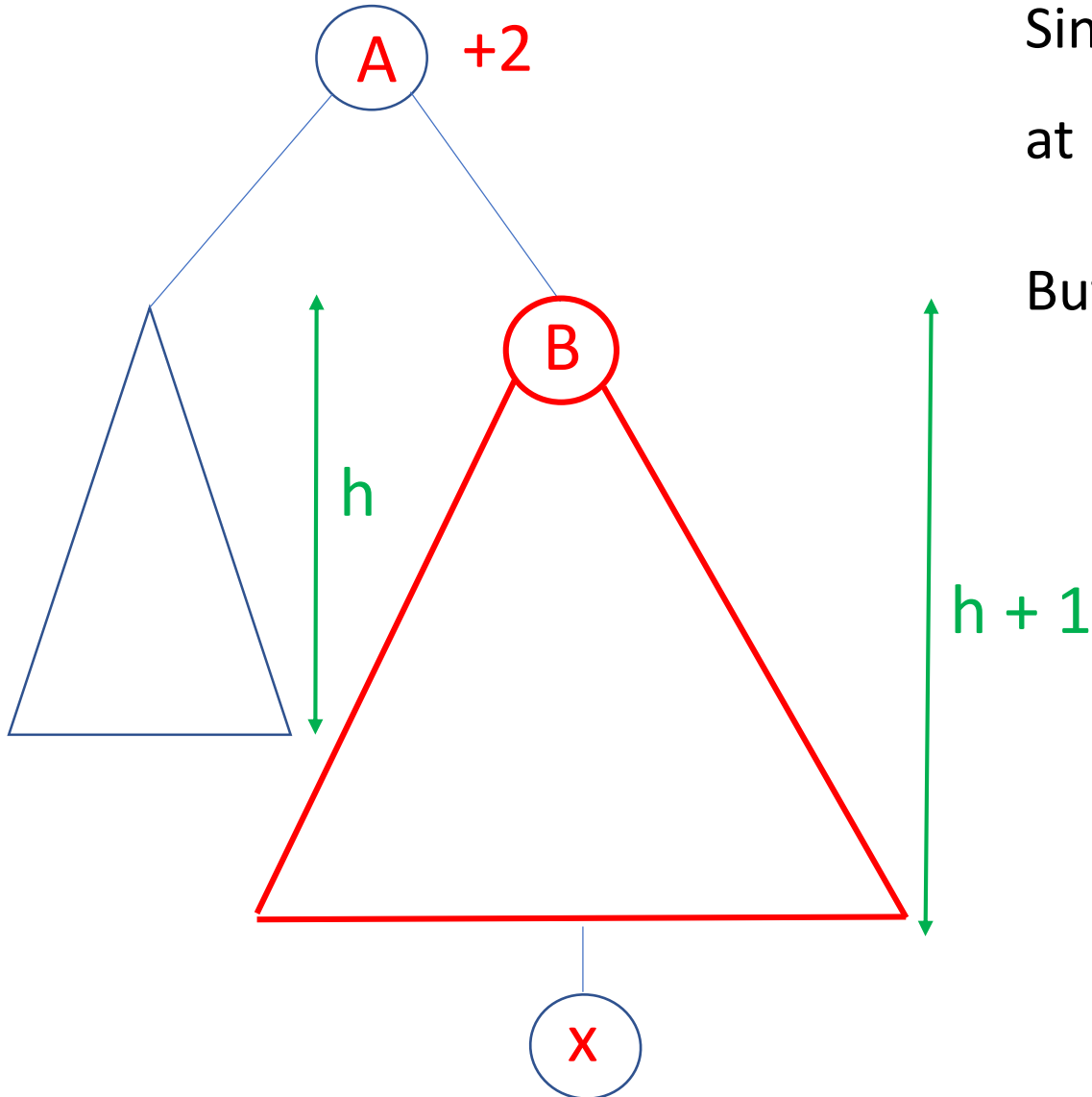


Since **B** has height **$h+1$** :

at **least one** subtrees of **B** has height **h** .

We (sneakily :-) skipped a step in the argument!

Case 1

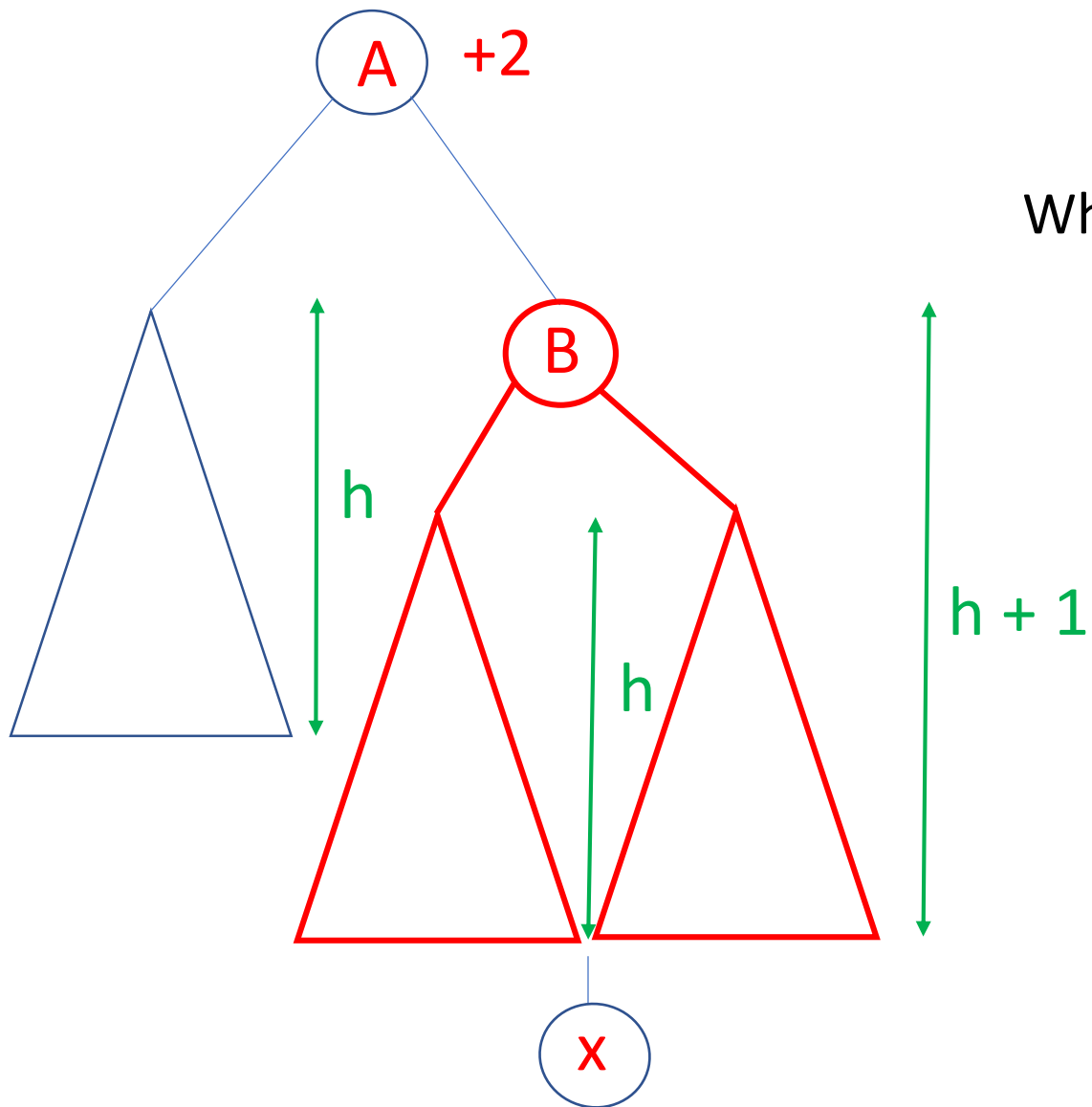


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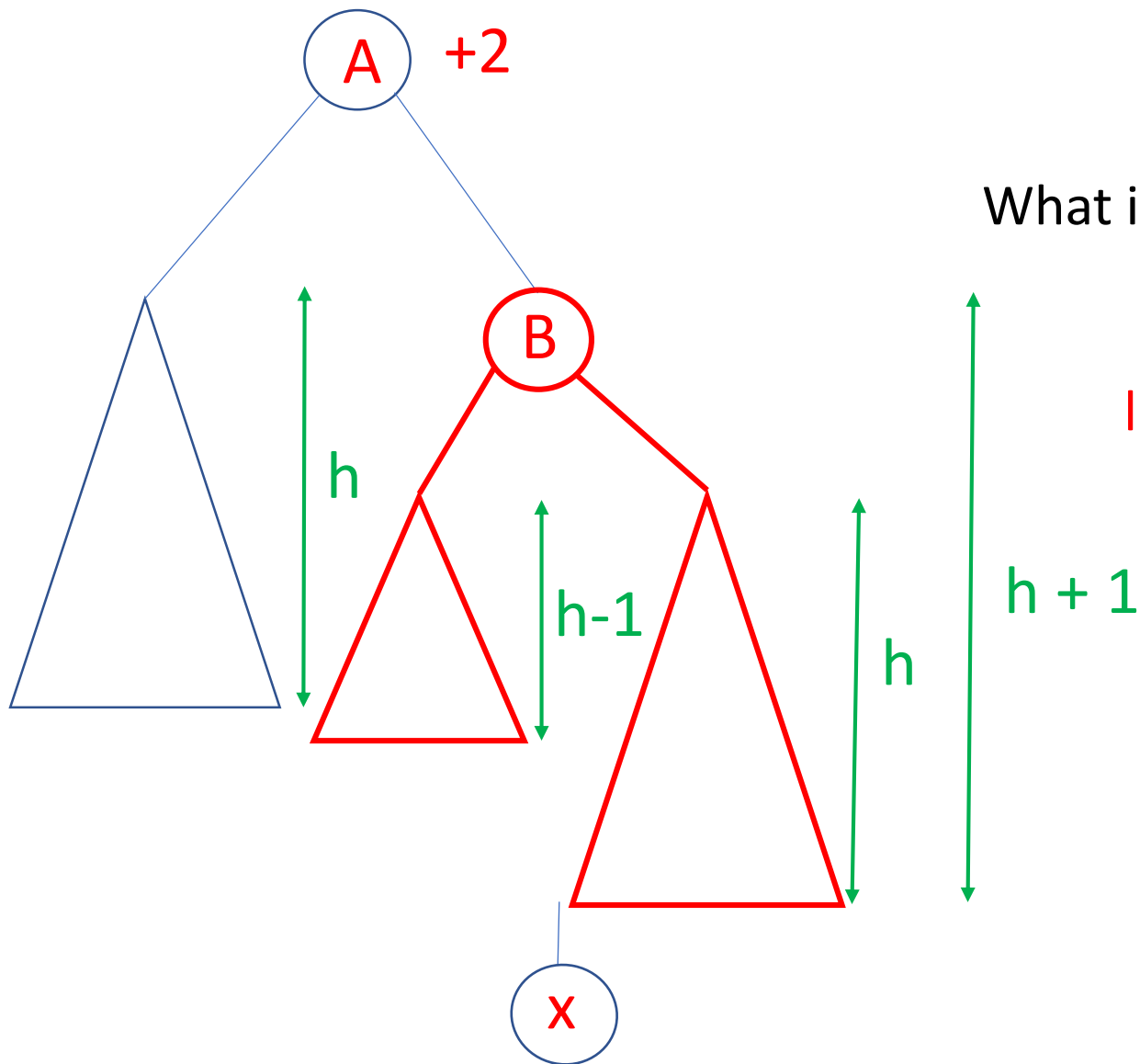
But why **both** subtrees of **B** have the **same** height **h** ?

Case 1



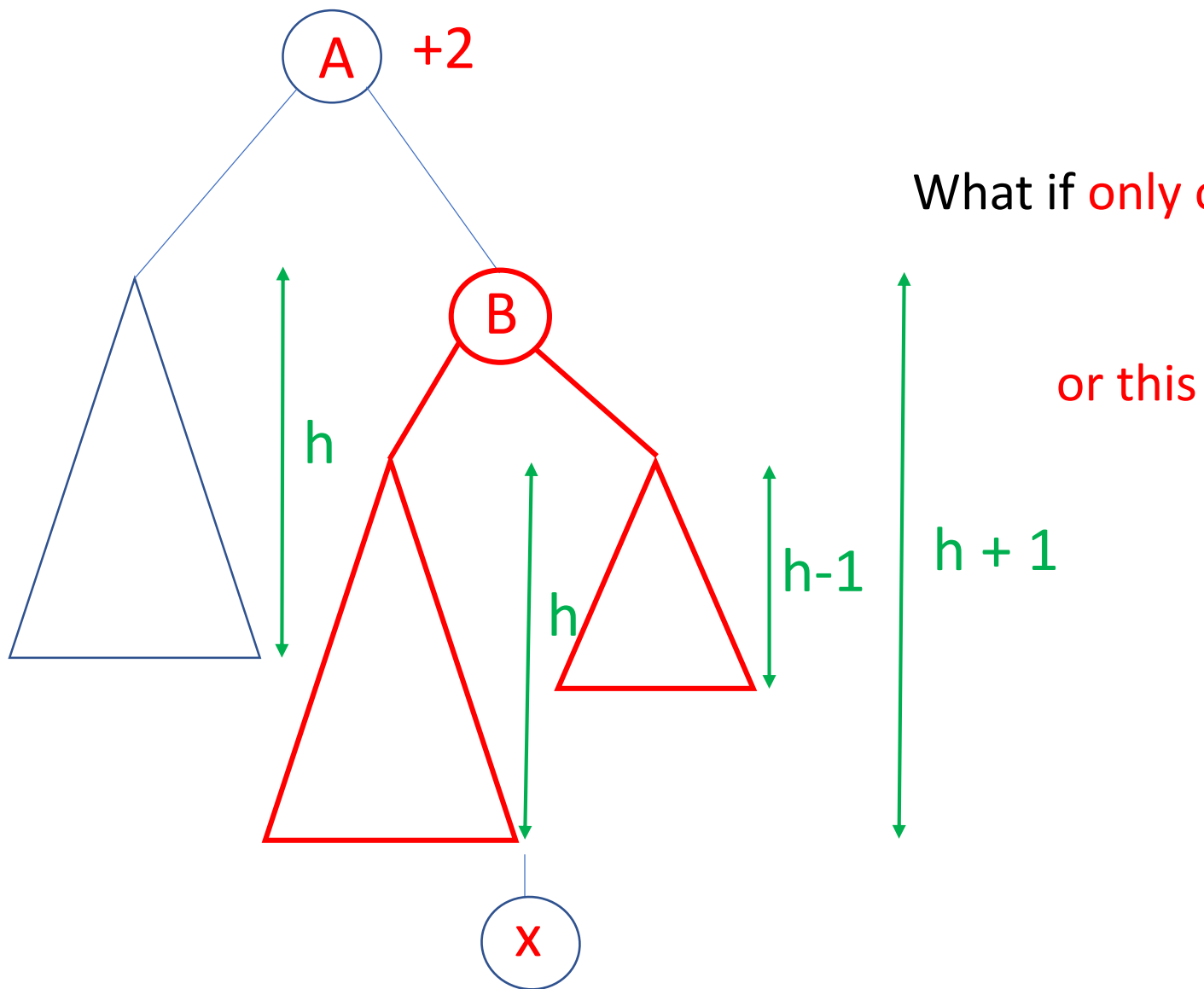
Why **both** subtrees of **B** have the **same** height **h**?

Case 1

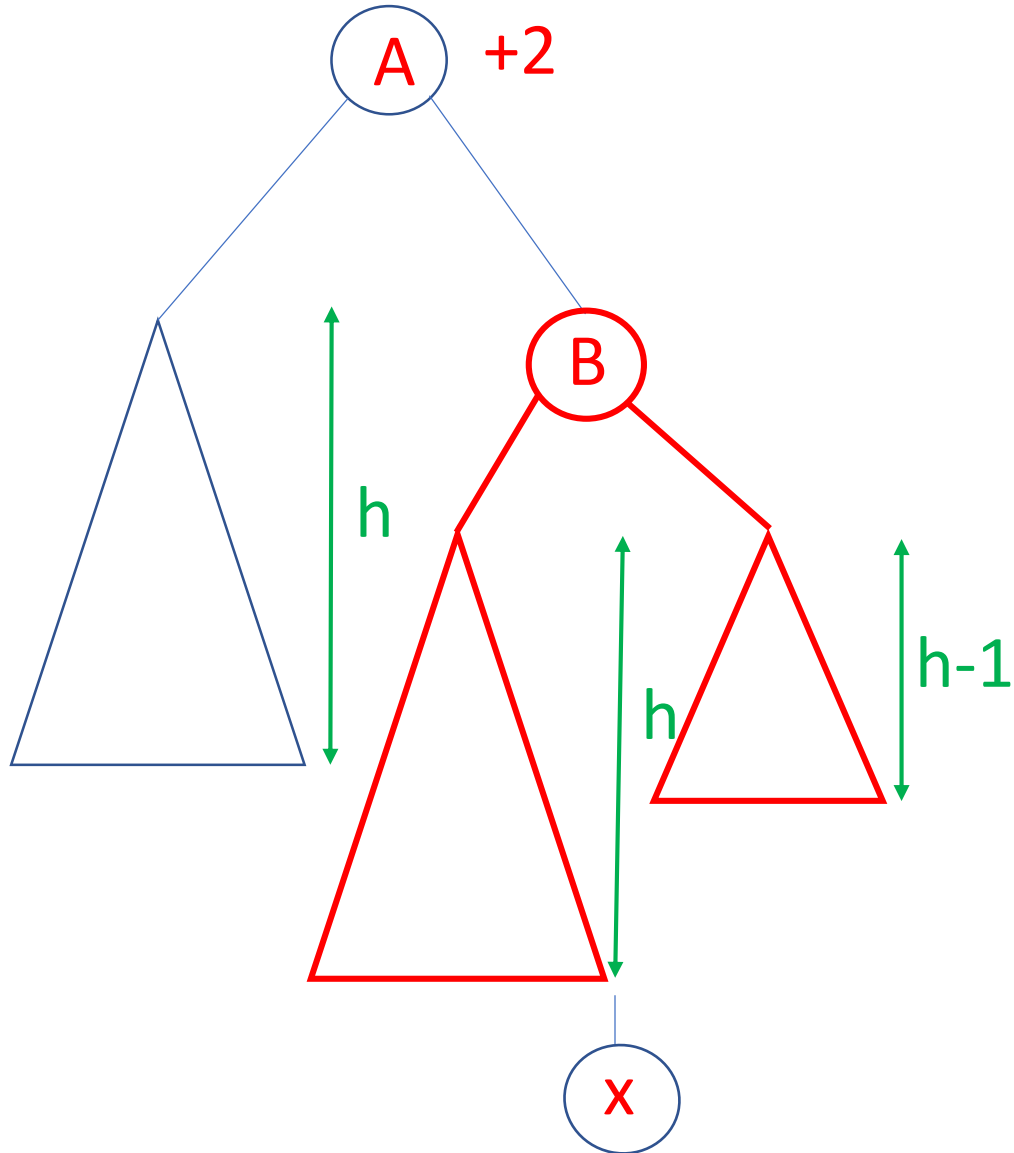


What if **only one** of them has height **h** ?

Case 1



Case 1



What if **only one** of them has height **h** ?

or this

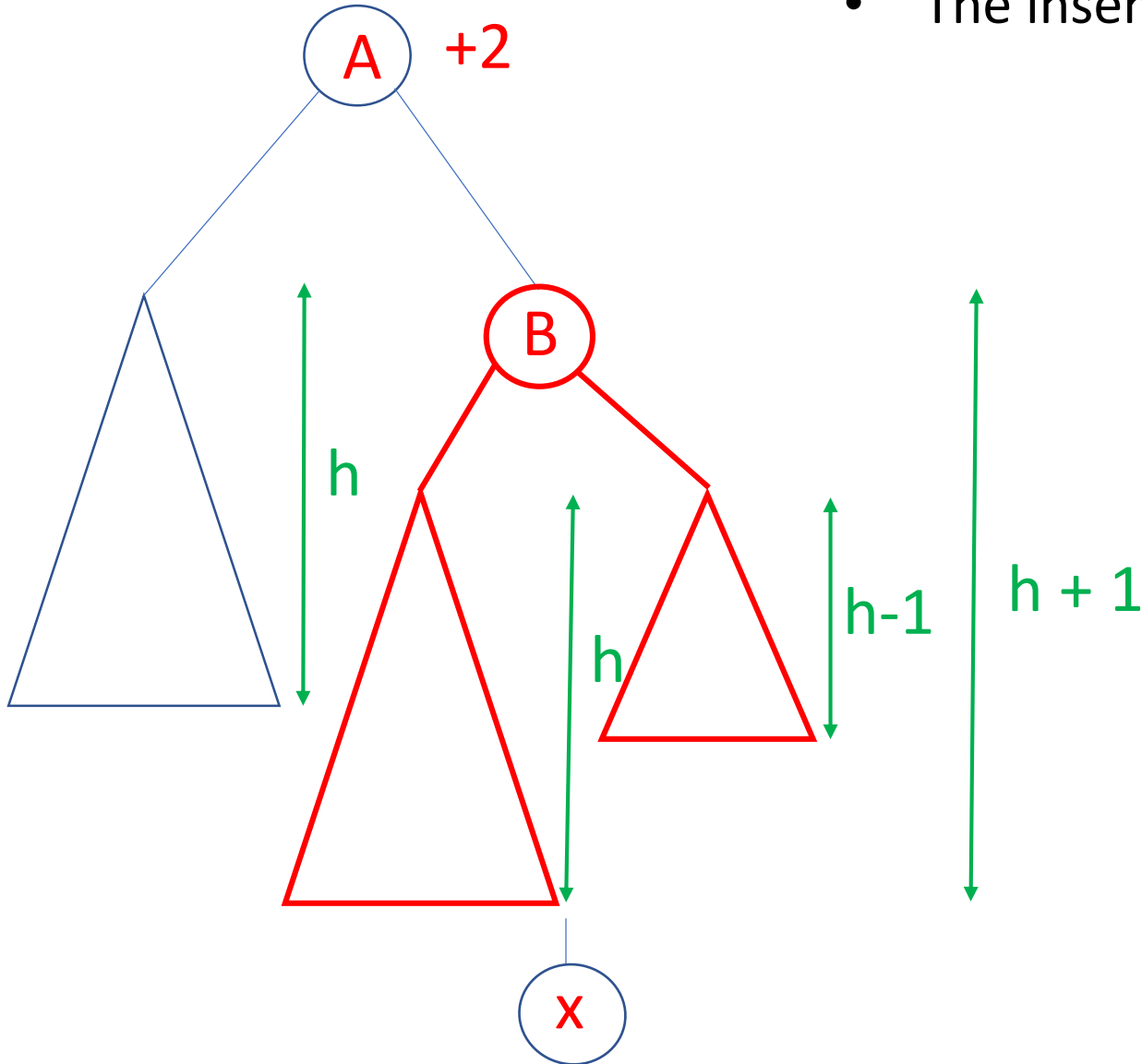
$h + 1$

But this is **impossible!**

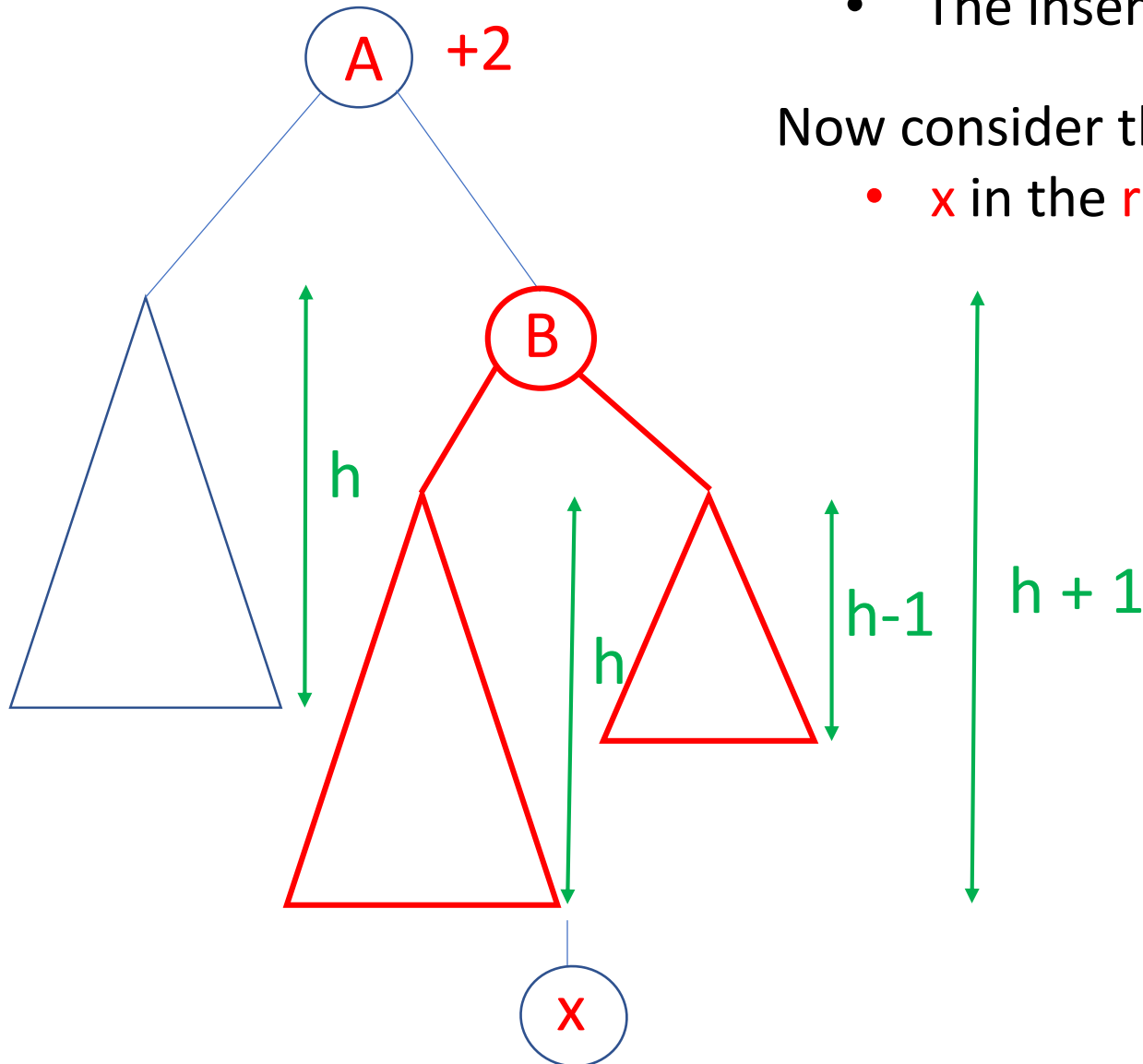
Case 1

To see why it is impossible, note that:

- The insertion of **x** must have increased the height of **B**



Case 1



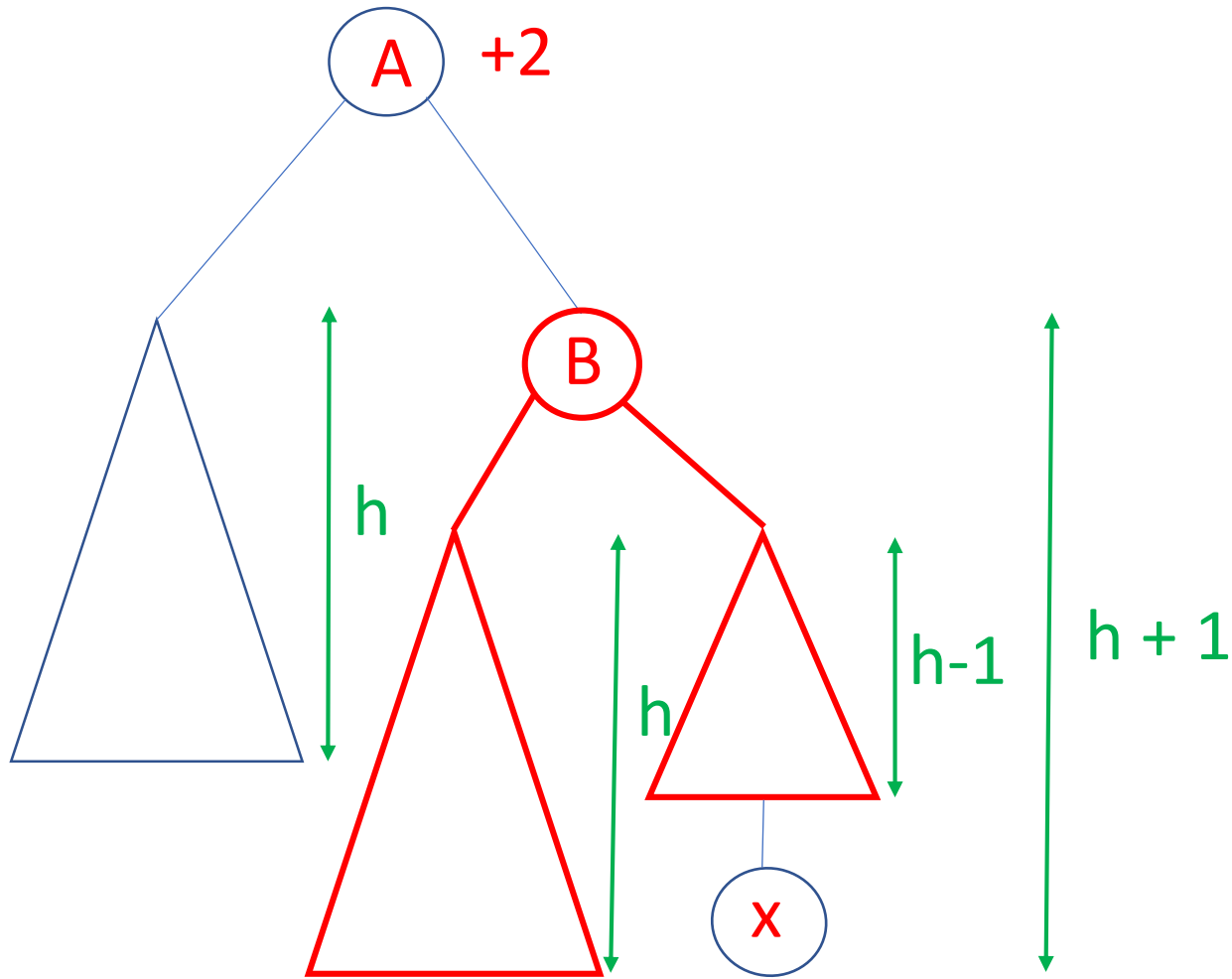
To see why it is impossible, note that:

- The insertion of **x** must have increased the height of **B**

Now consider the two possible cases:

- **x** in the **right** or **left** subtree of **B**

Case 1

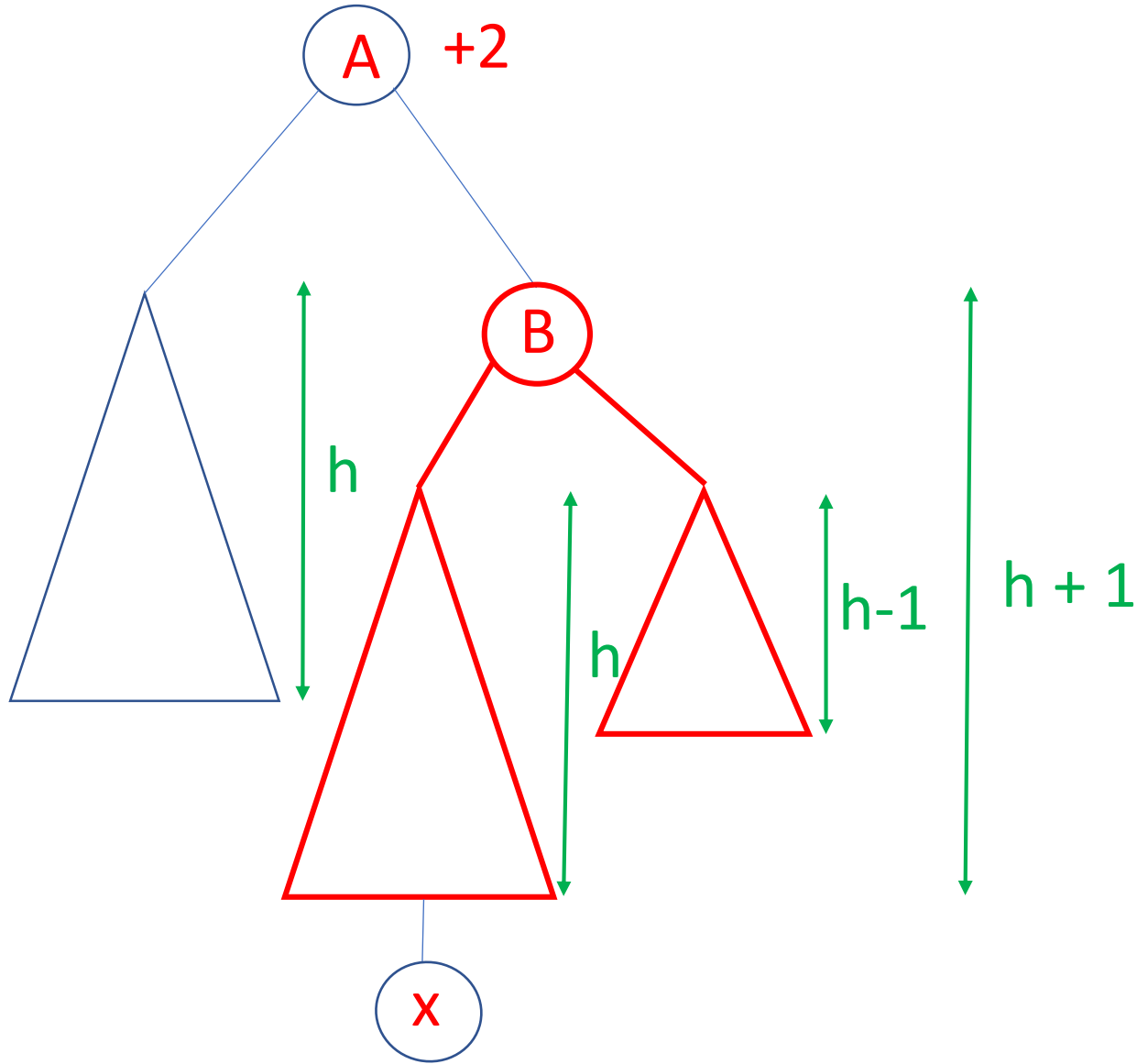


x in the **right** subtree of **B**

But this is impossible!

Do you see why?

Case 1

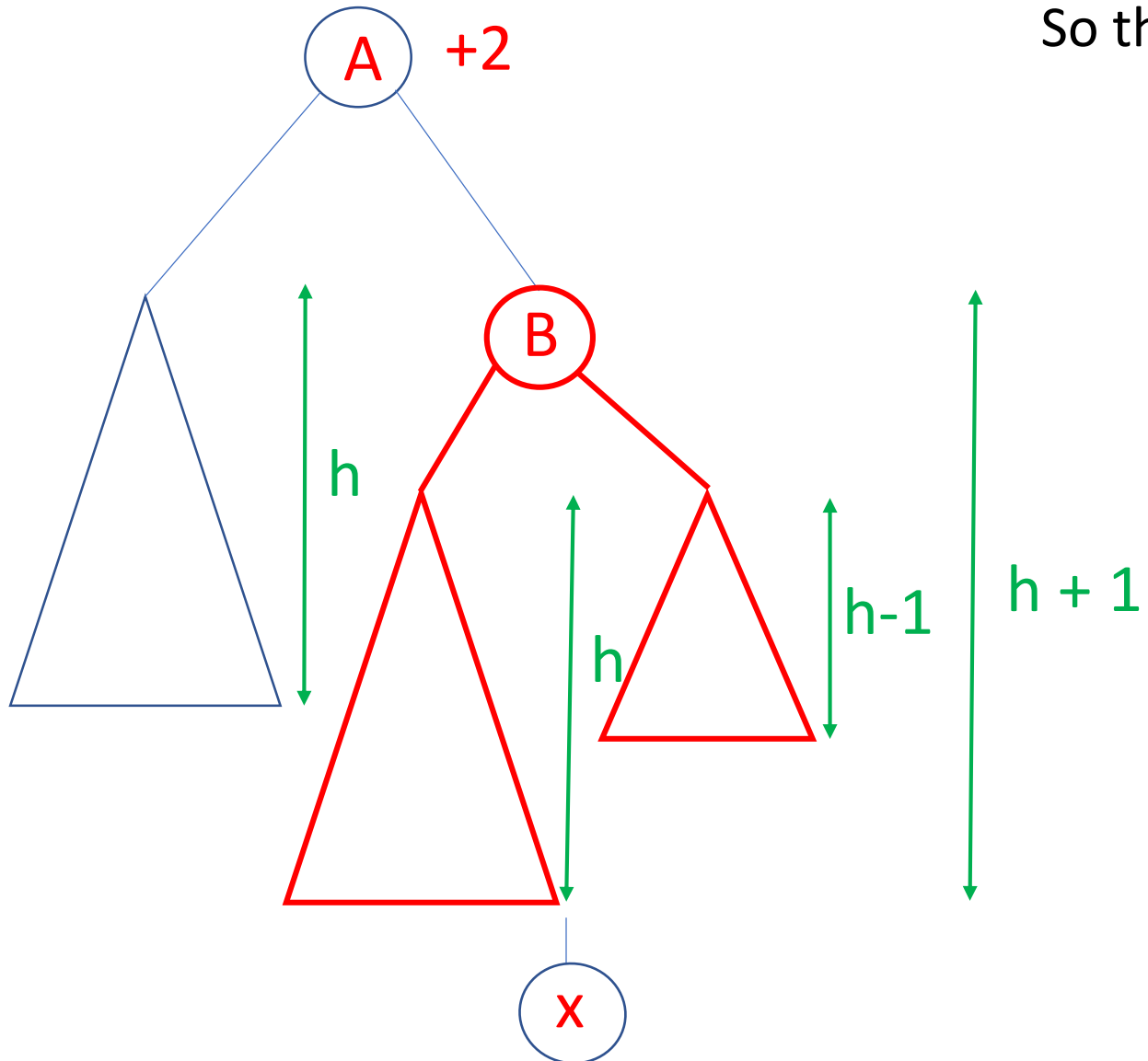


x in the **left** subtree of **B**

But this is also impossible!

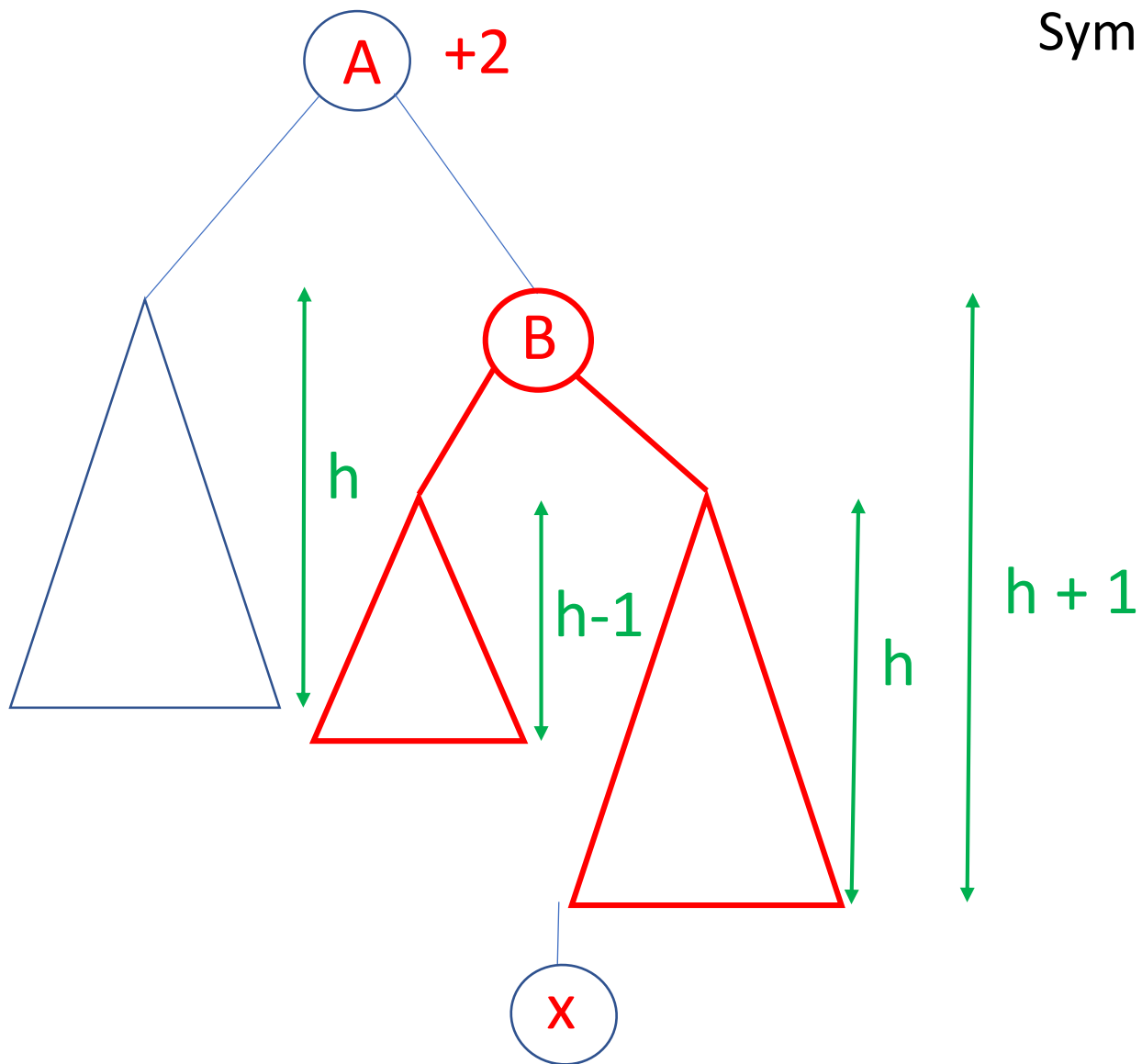
Do you see why?

Case 1



So this case is impossible!

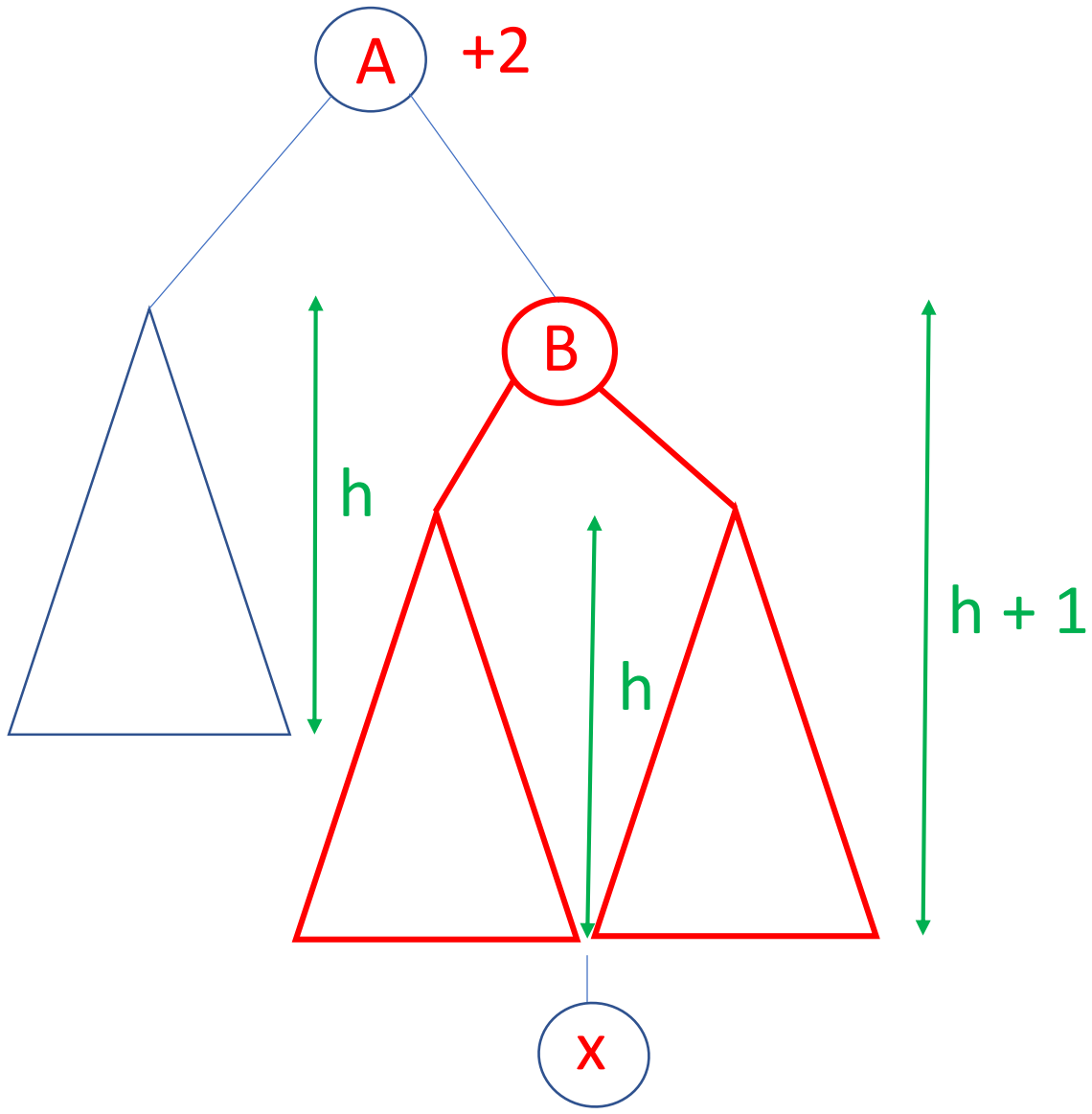
Case 1



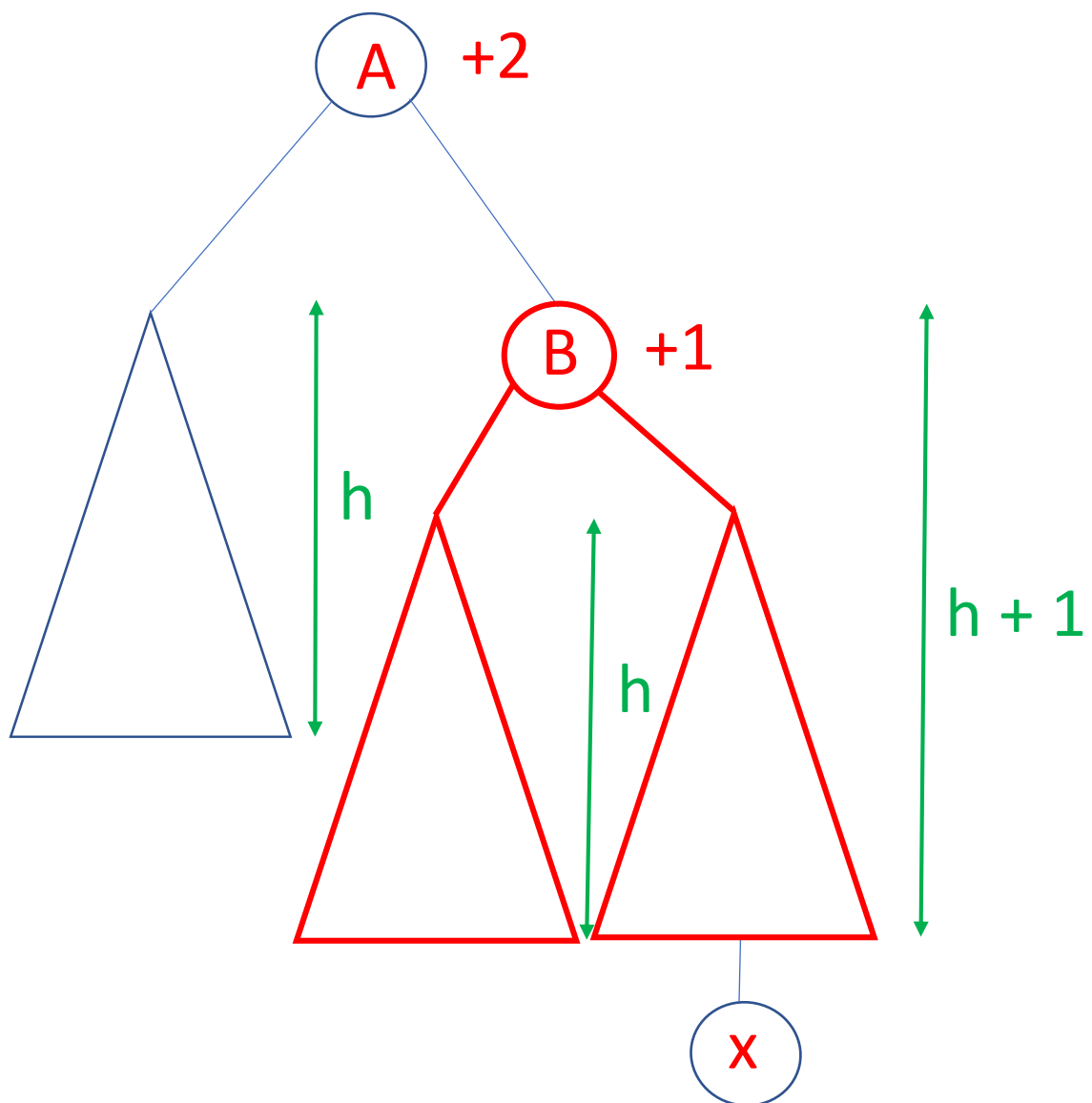
Symmetrically: this case is also impossible!

Case 1

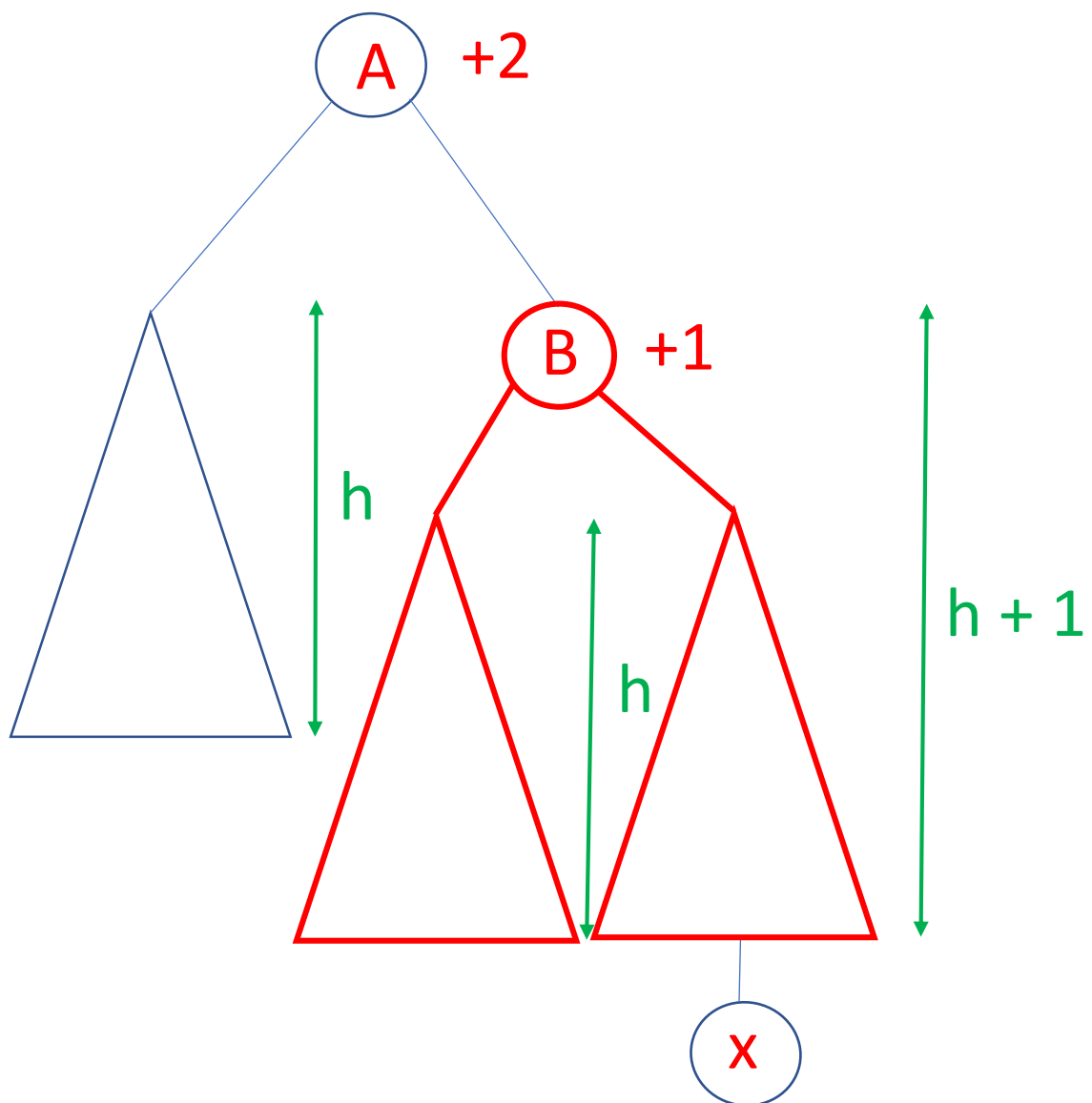
So this is indeed the **only** possible case 1



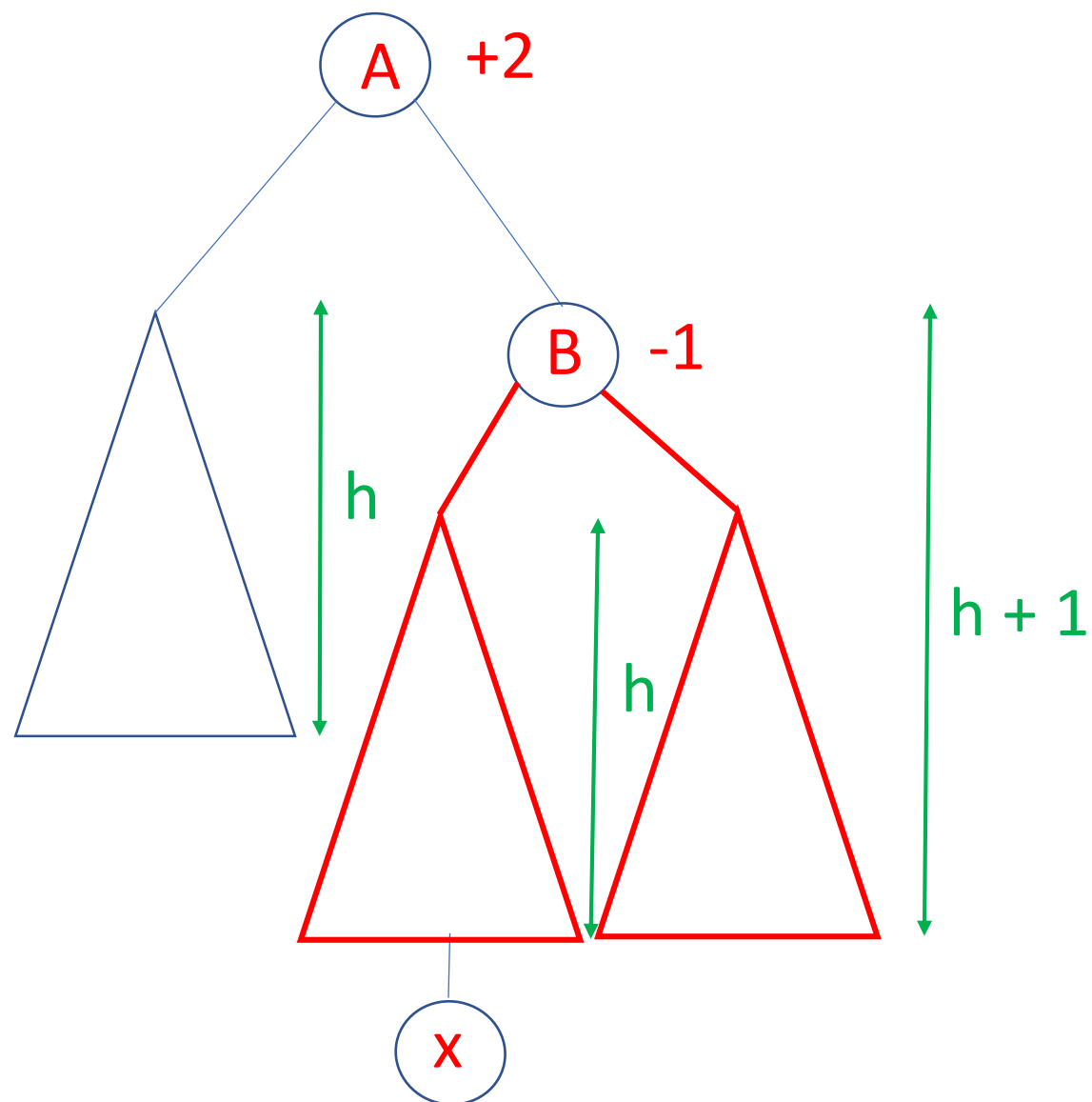
Case 1 (a)



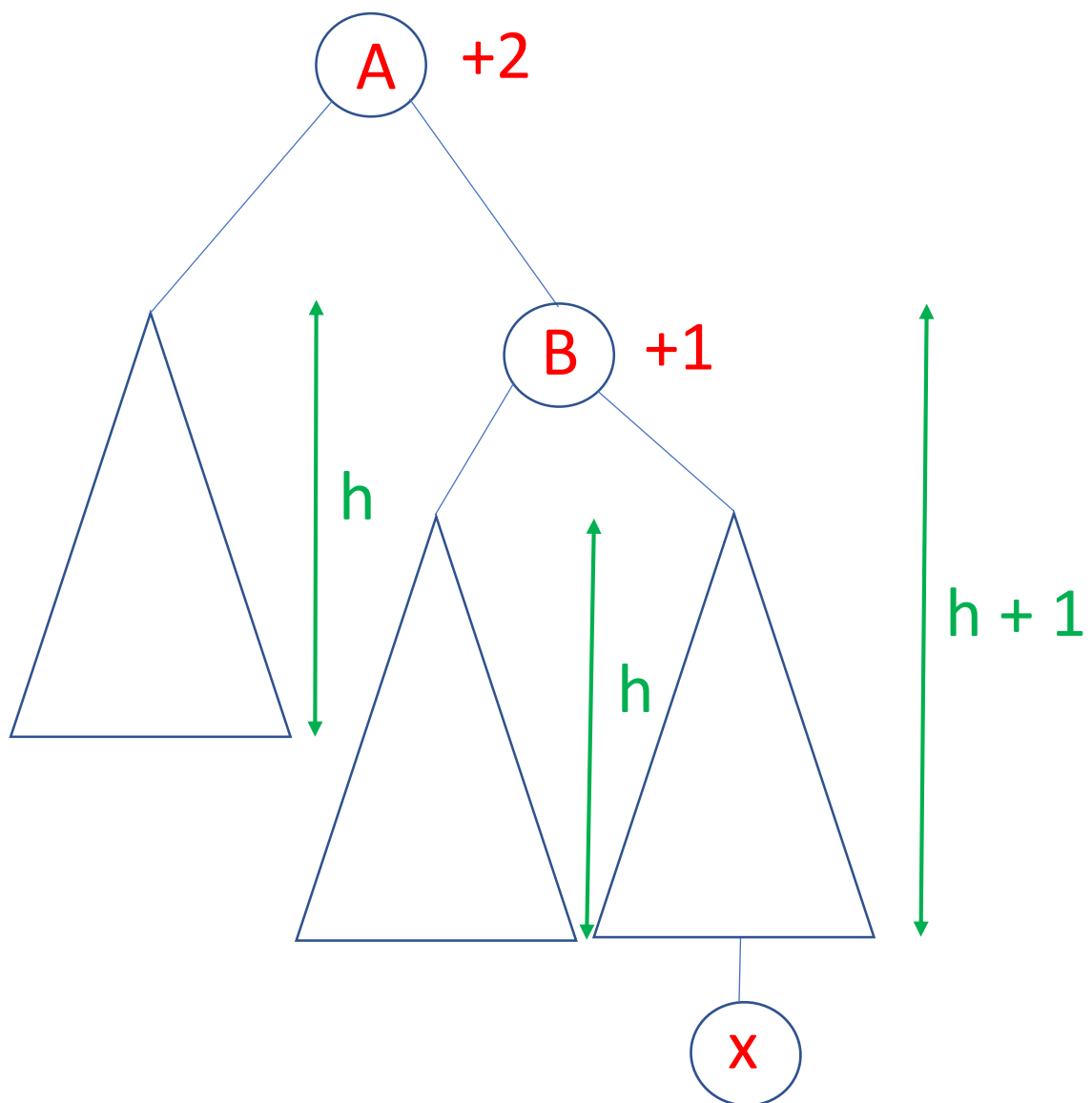
Case 1 (a)



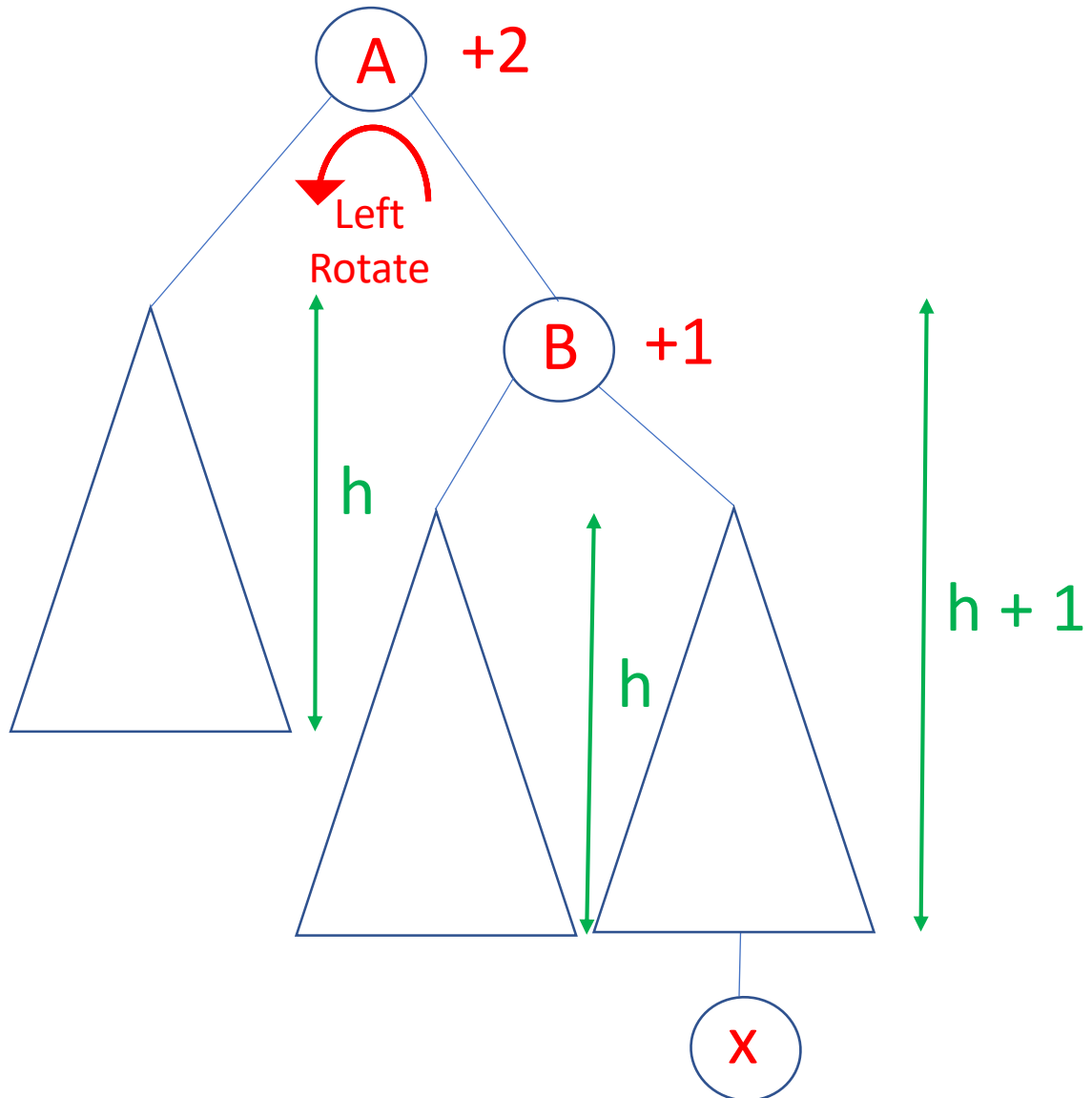
Case 1 (b)



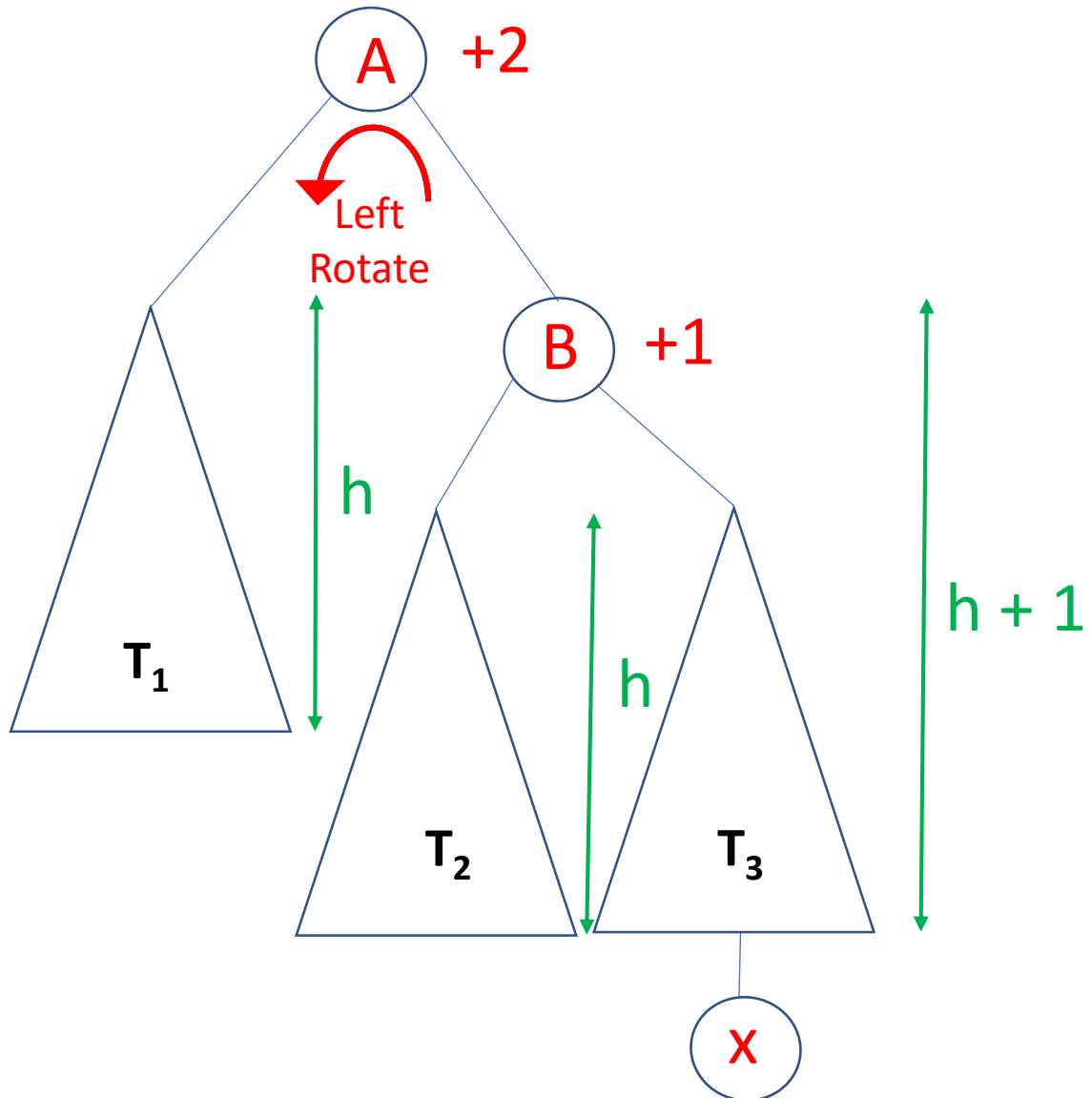
Case 1 (a)



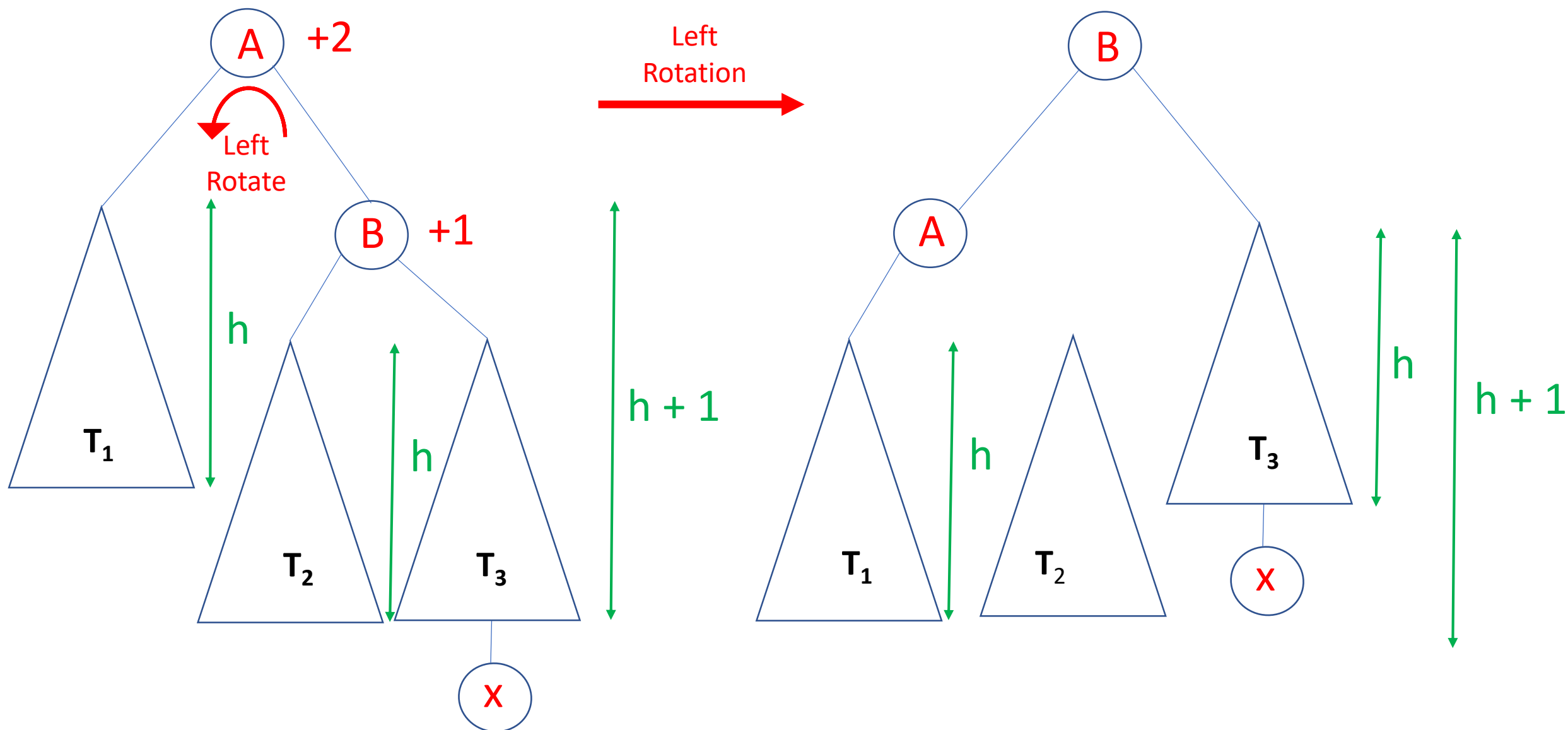
Case 1 (a)



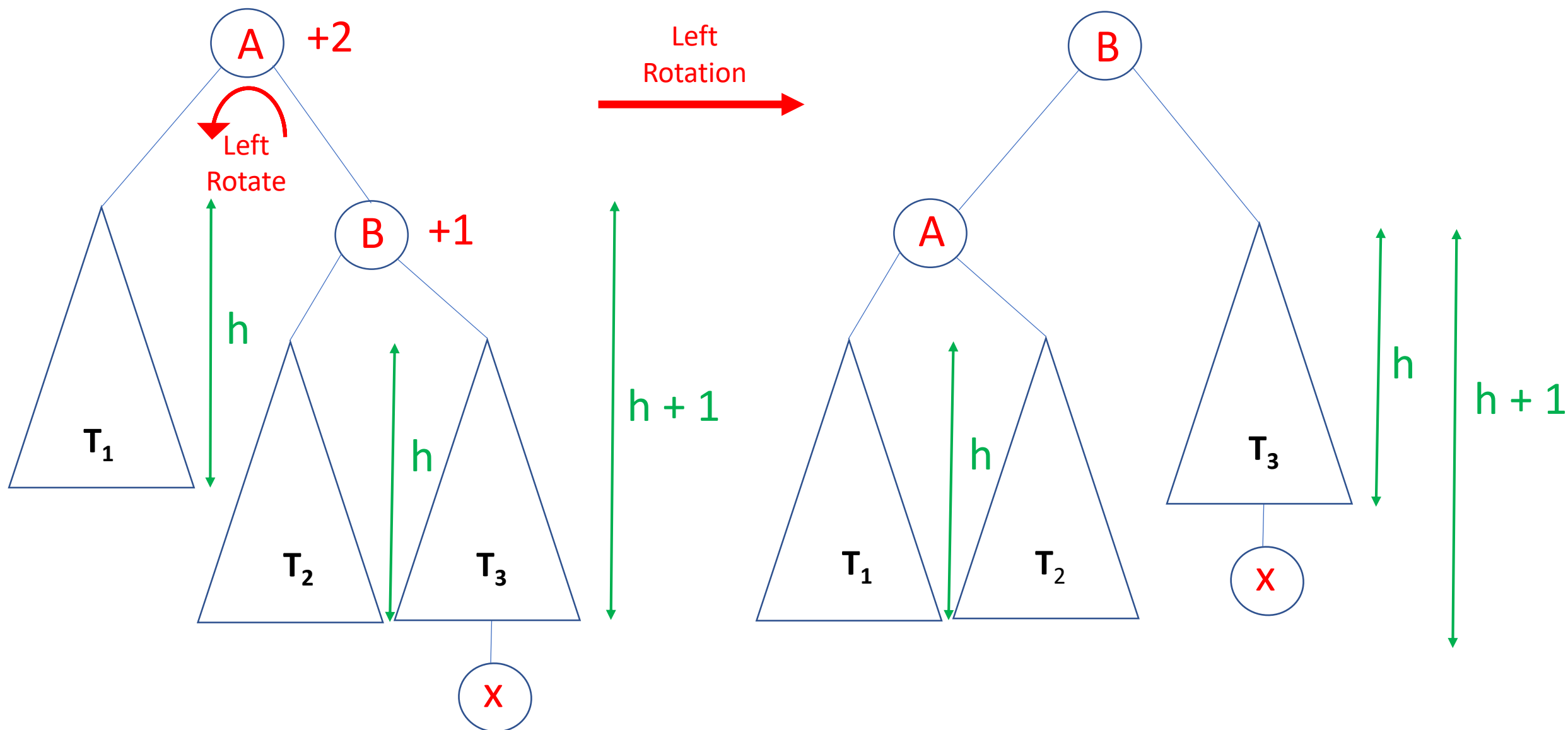
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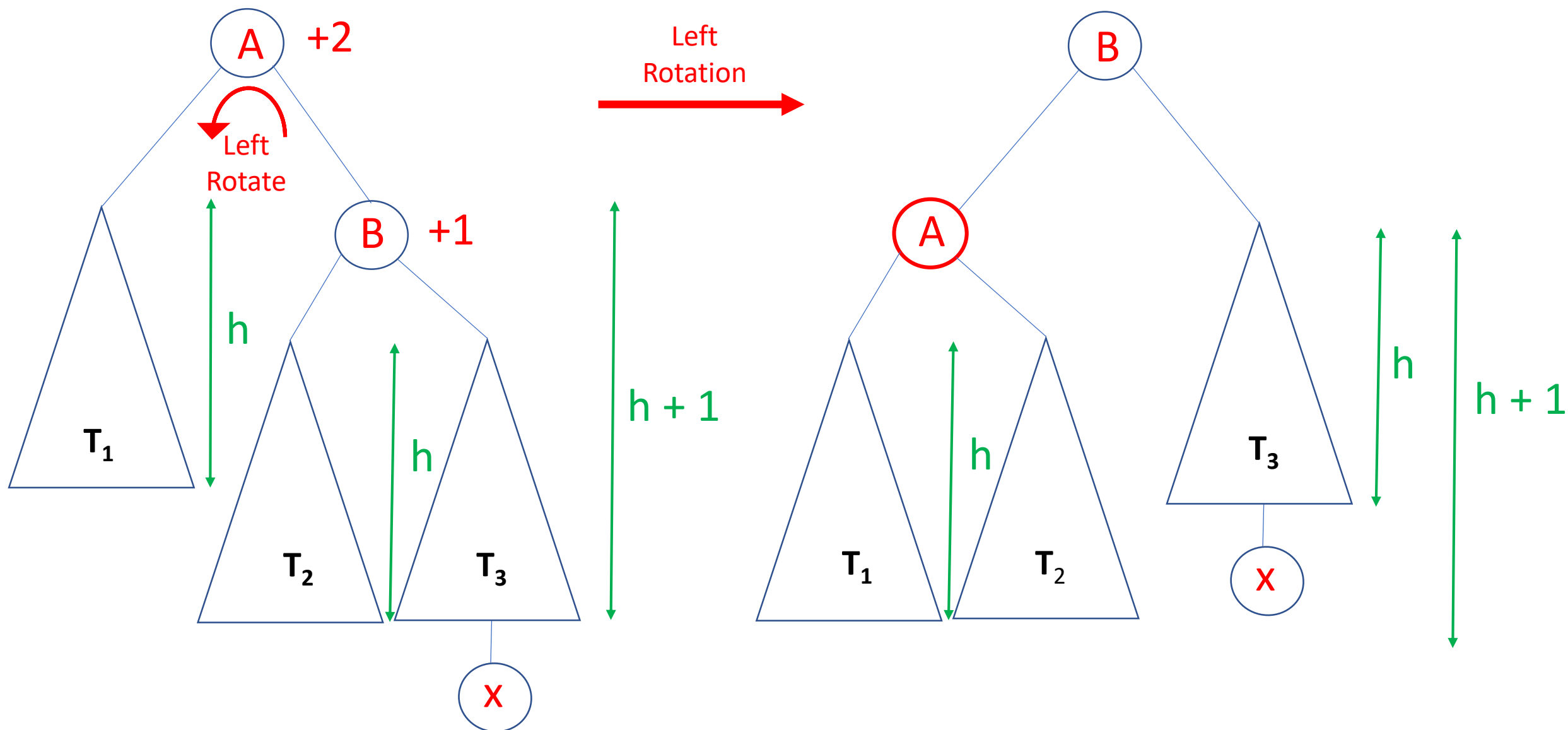
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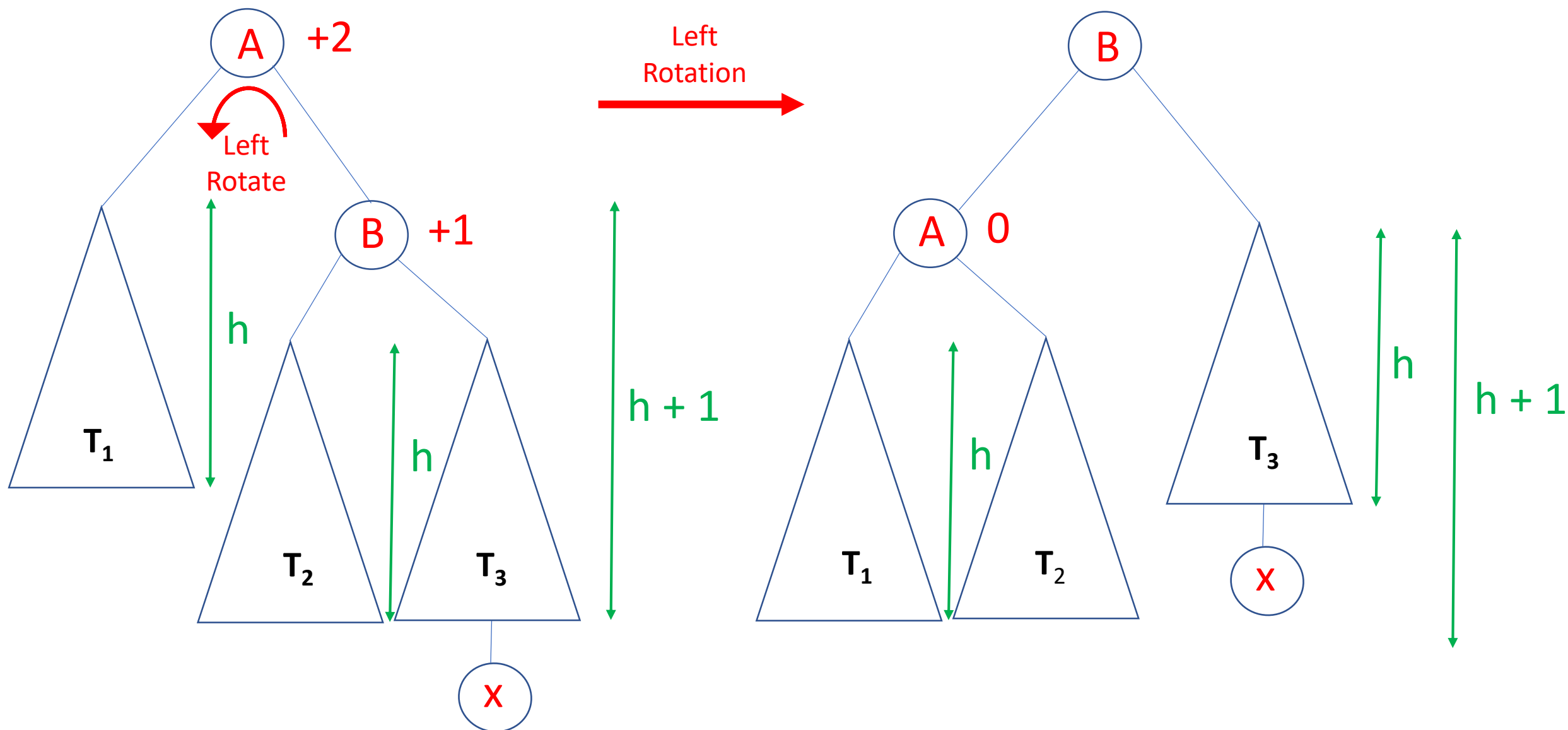
Case 1 (a)



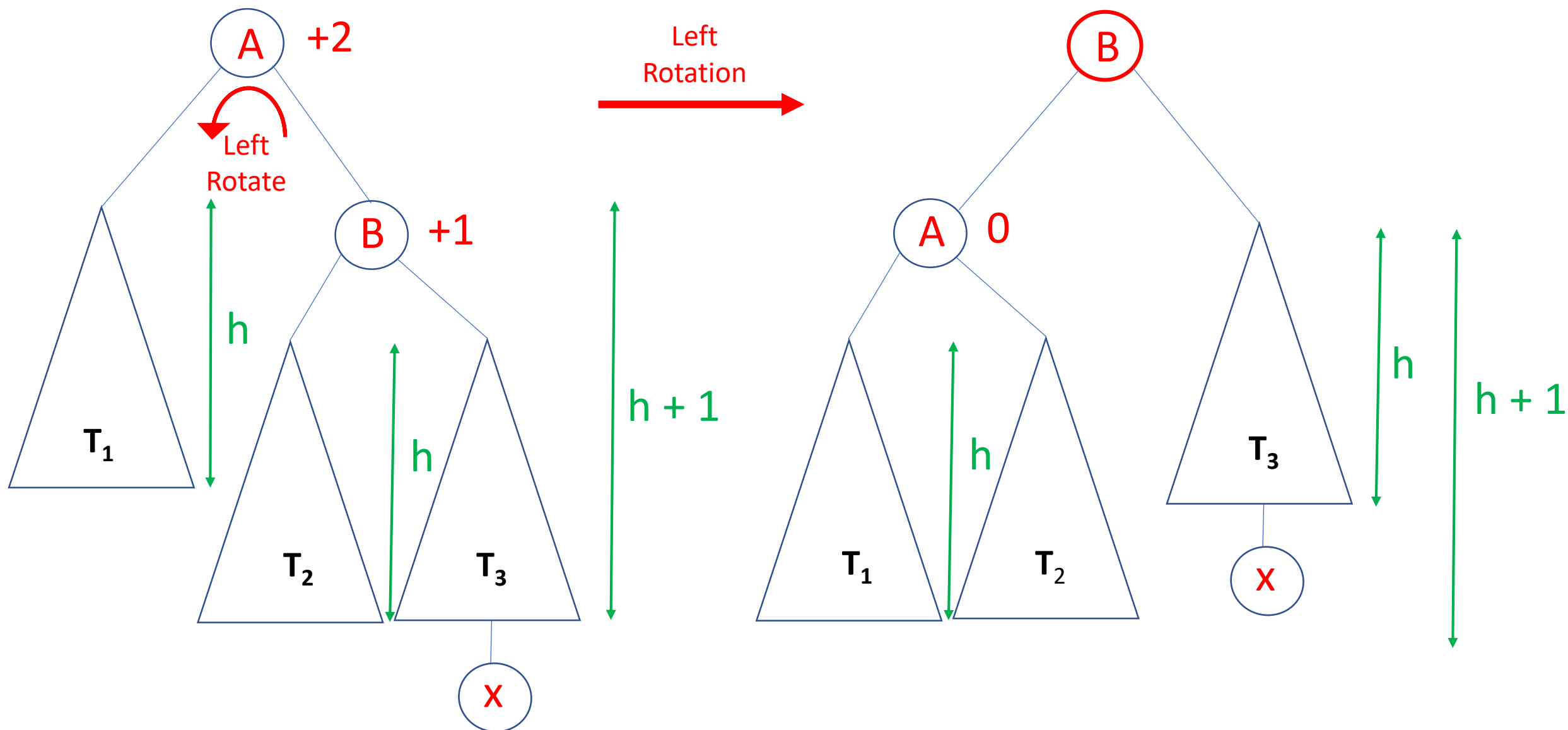
Case 1 (a)



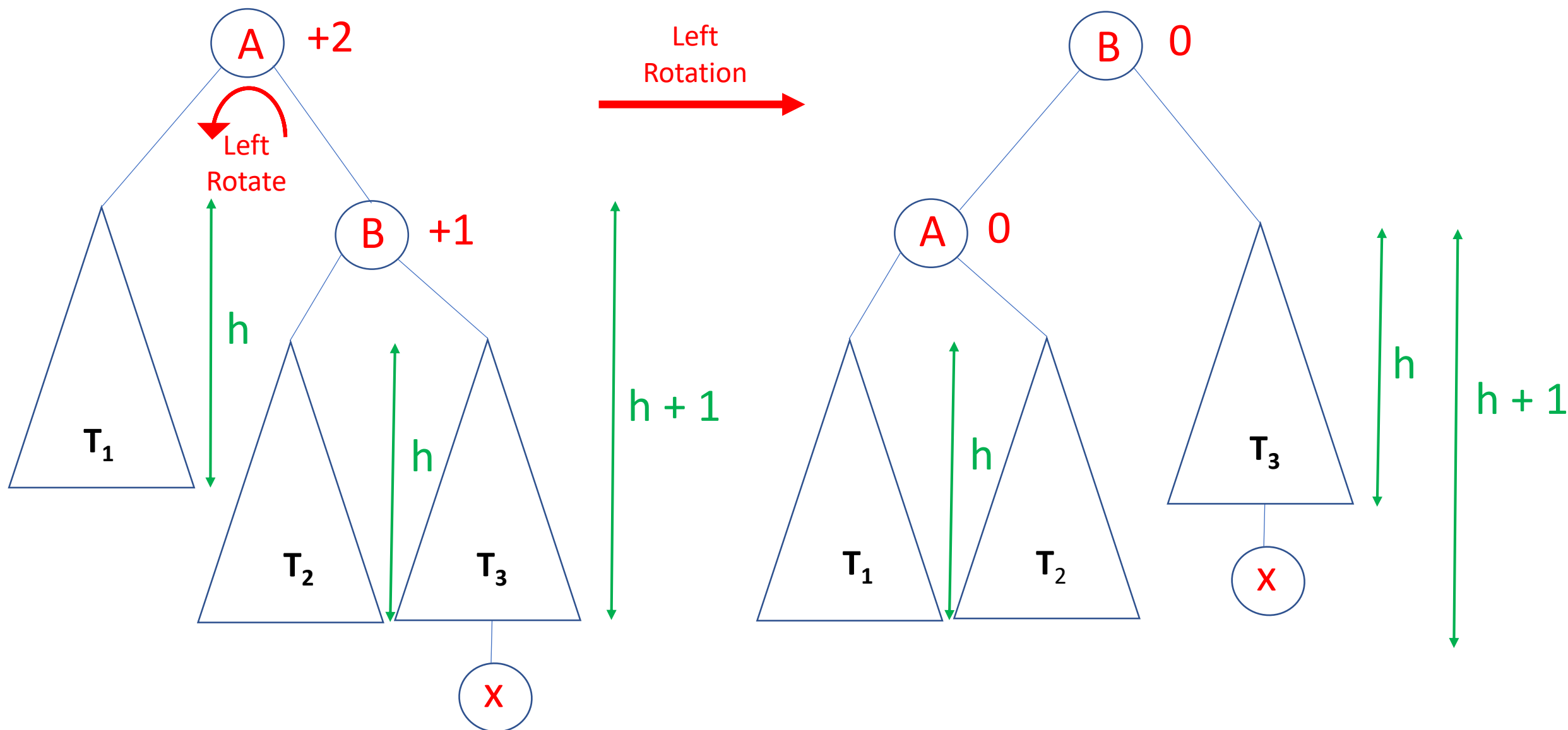
Case 1 (a)



Case 1 (a)

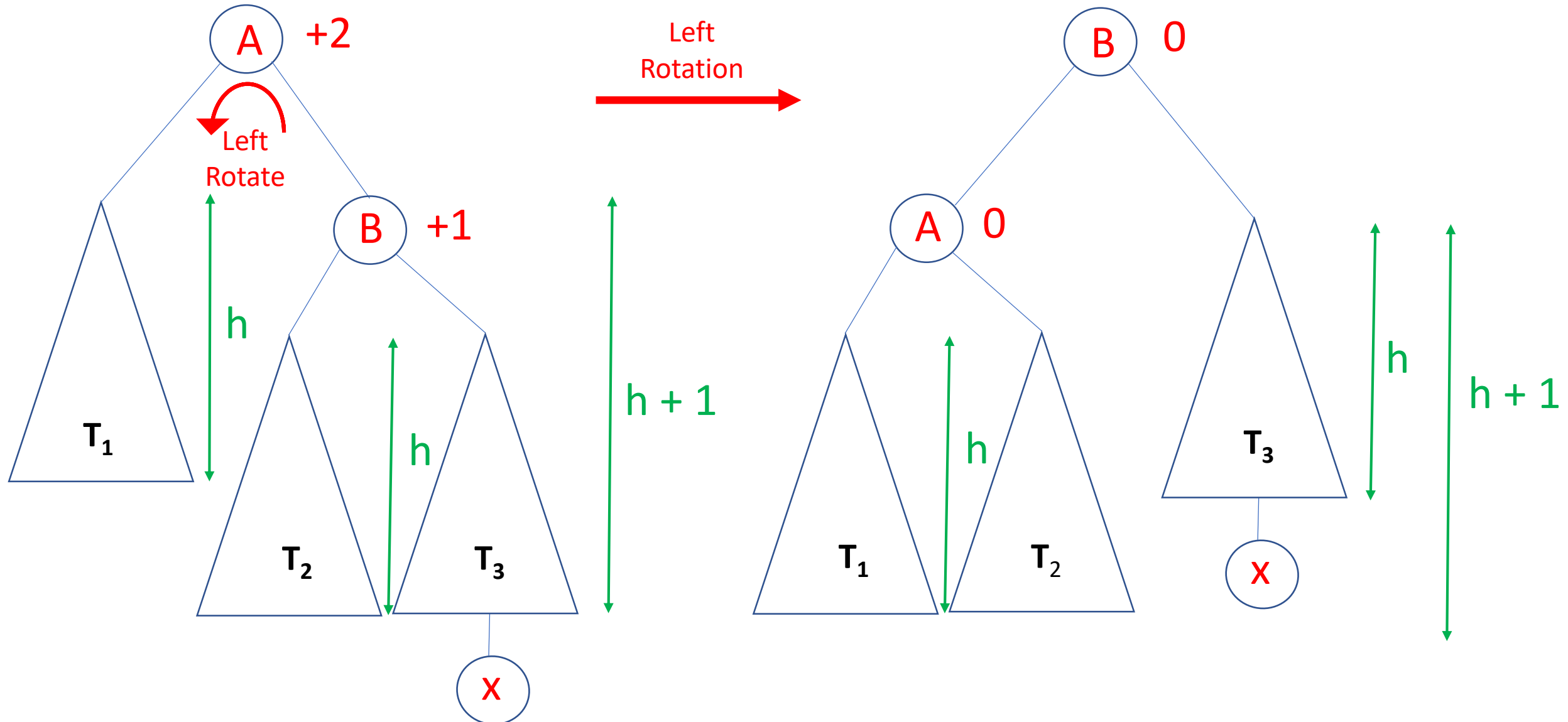


Case 1 (a)



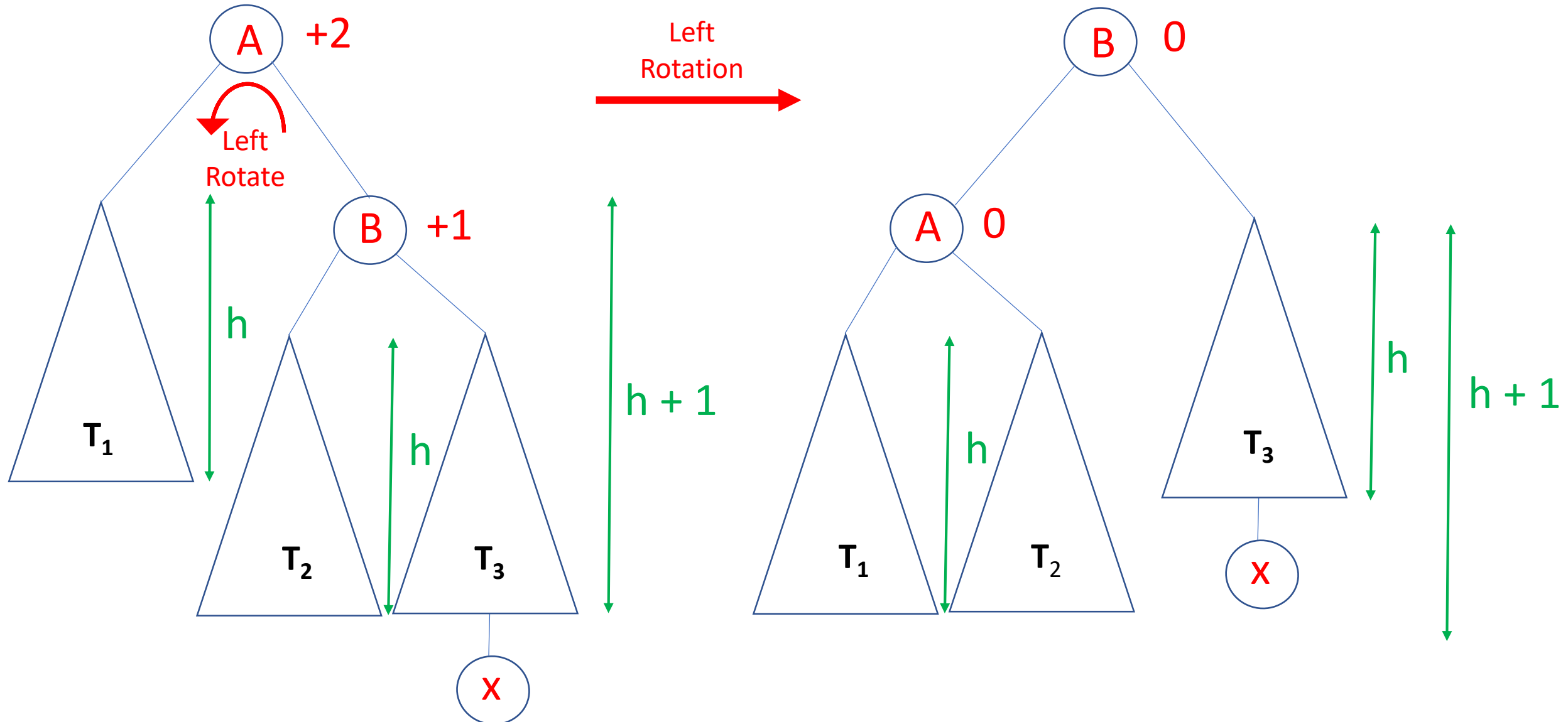
Rotation: (1) Rebalances the subtree

Case 1 (a)



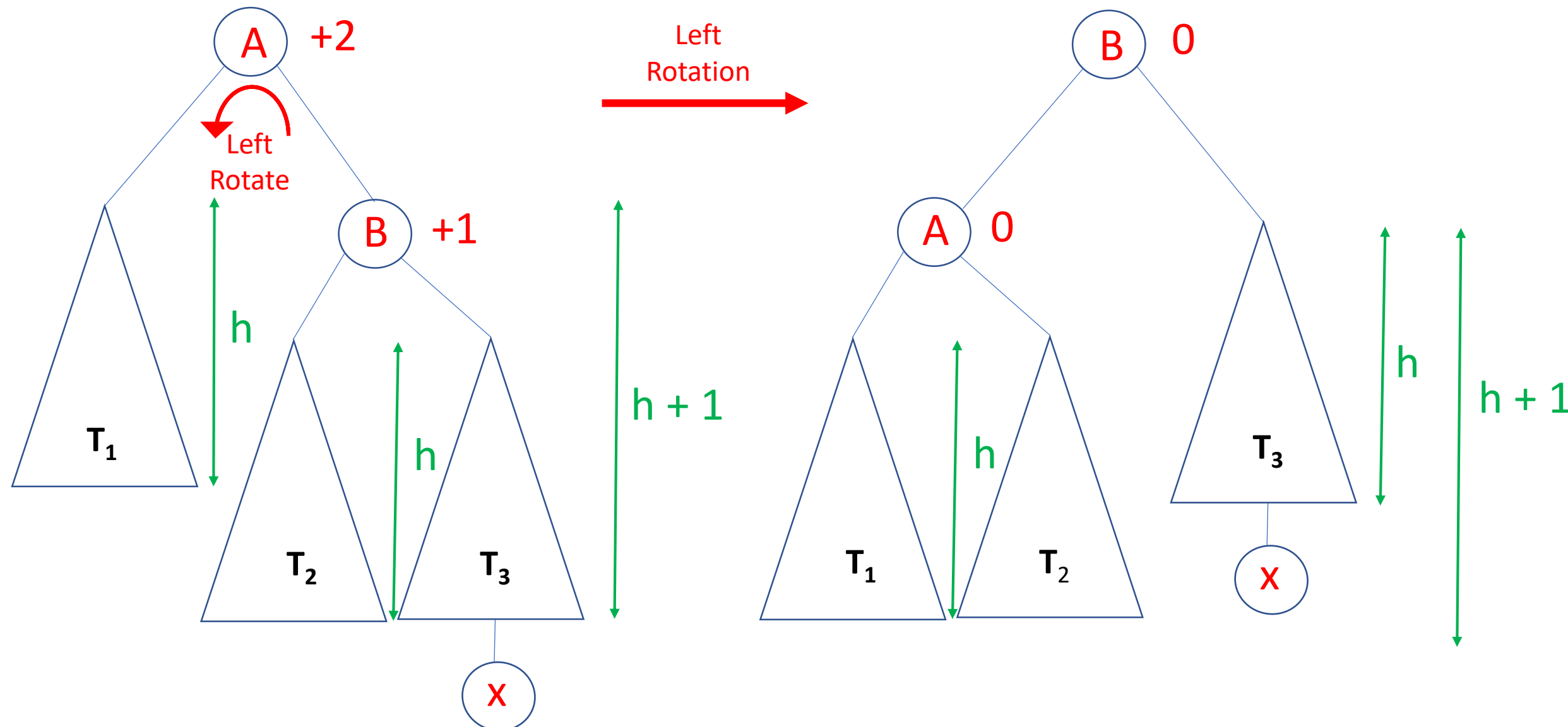
Rotation: (2) Preserves **BST** property

Case 1 (a)



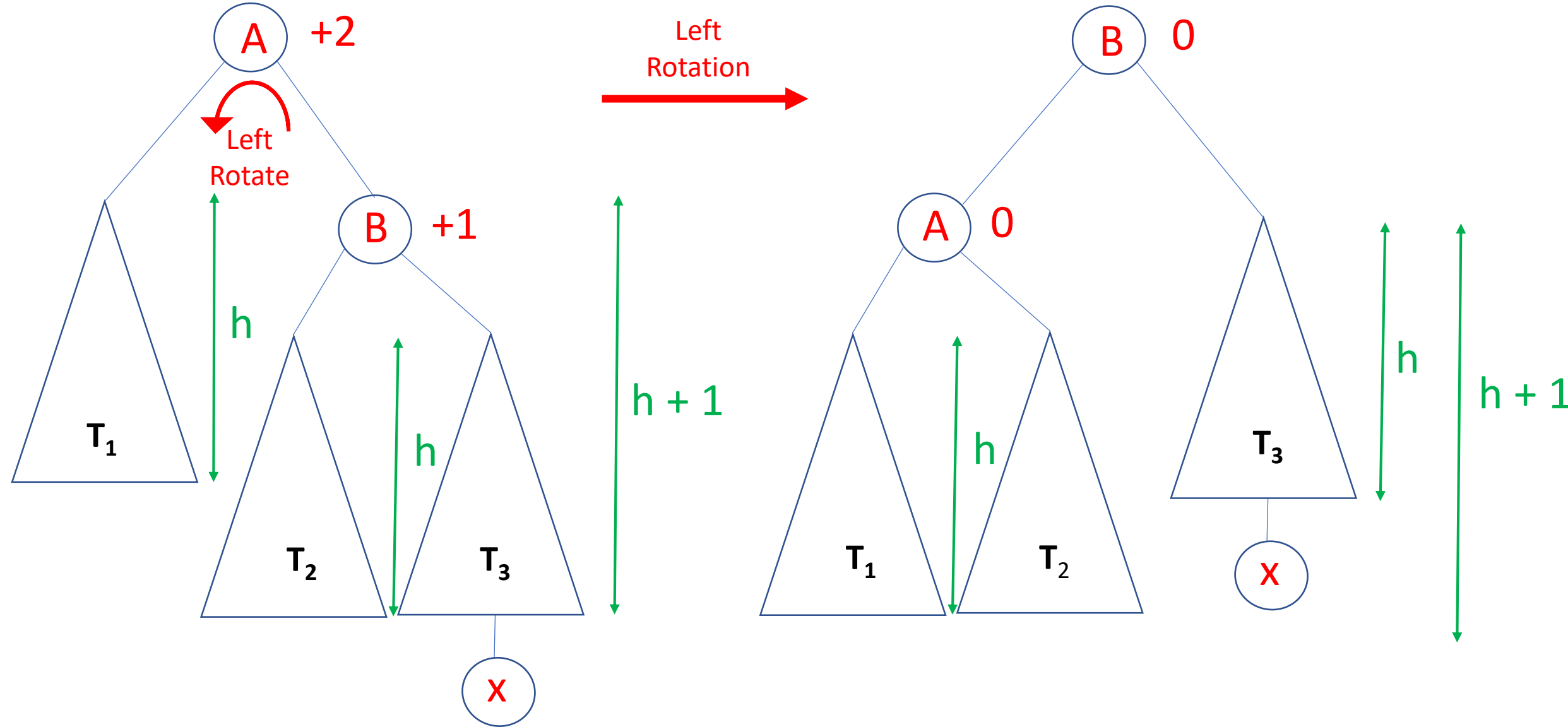
Rotation: (2) Preserves **BST property** (order:)

Case 1 (a)



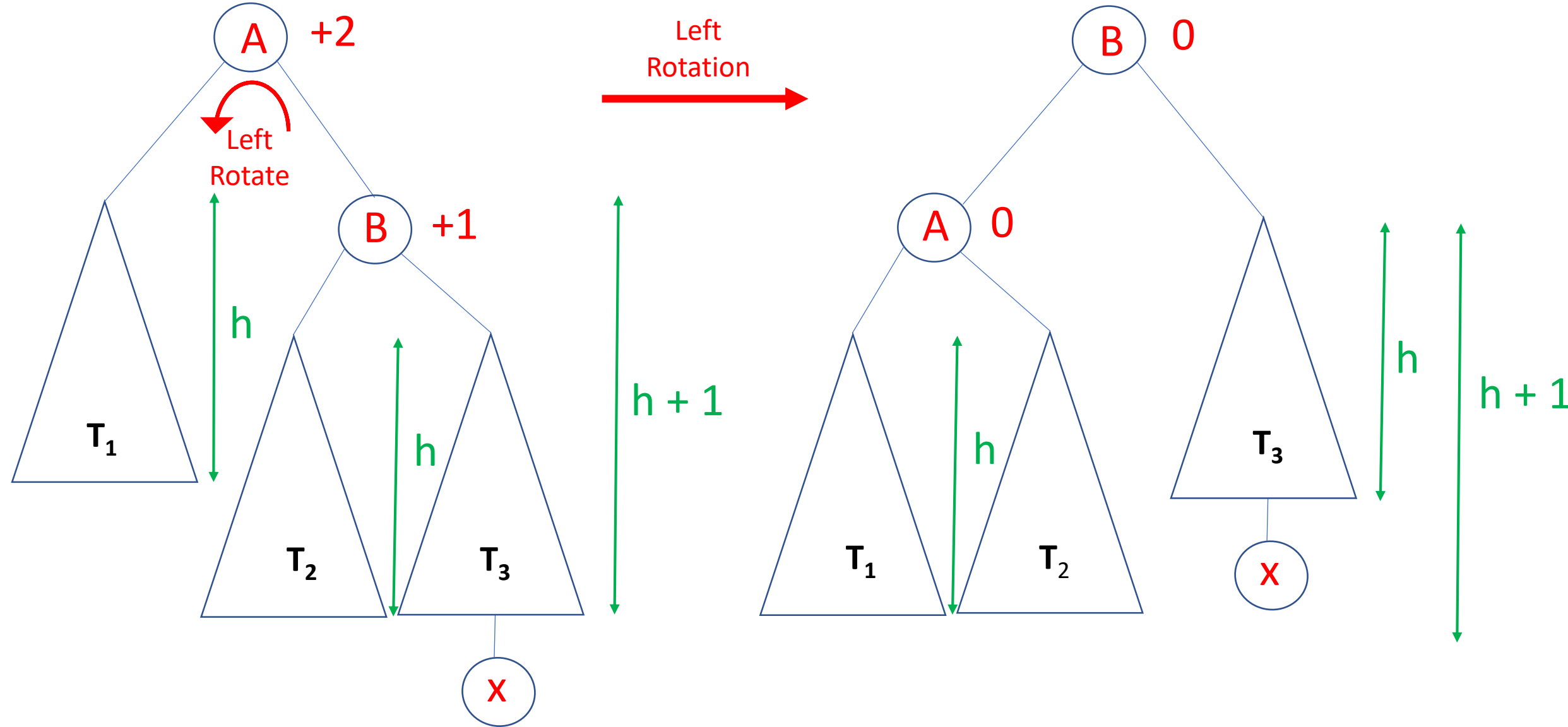
Rotation: (2) Preserves **BST property** (order: T_1)

Case 1 (a)



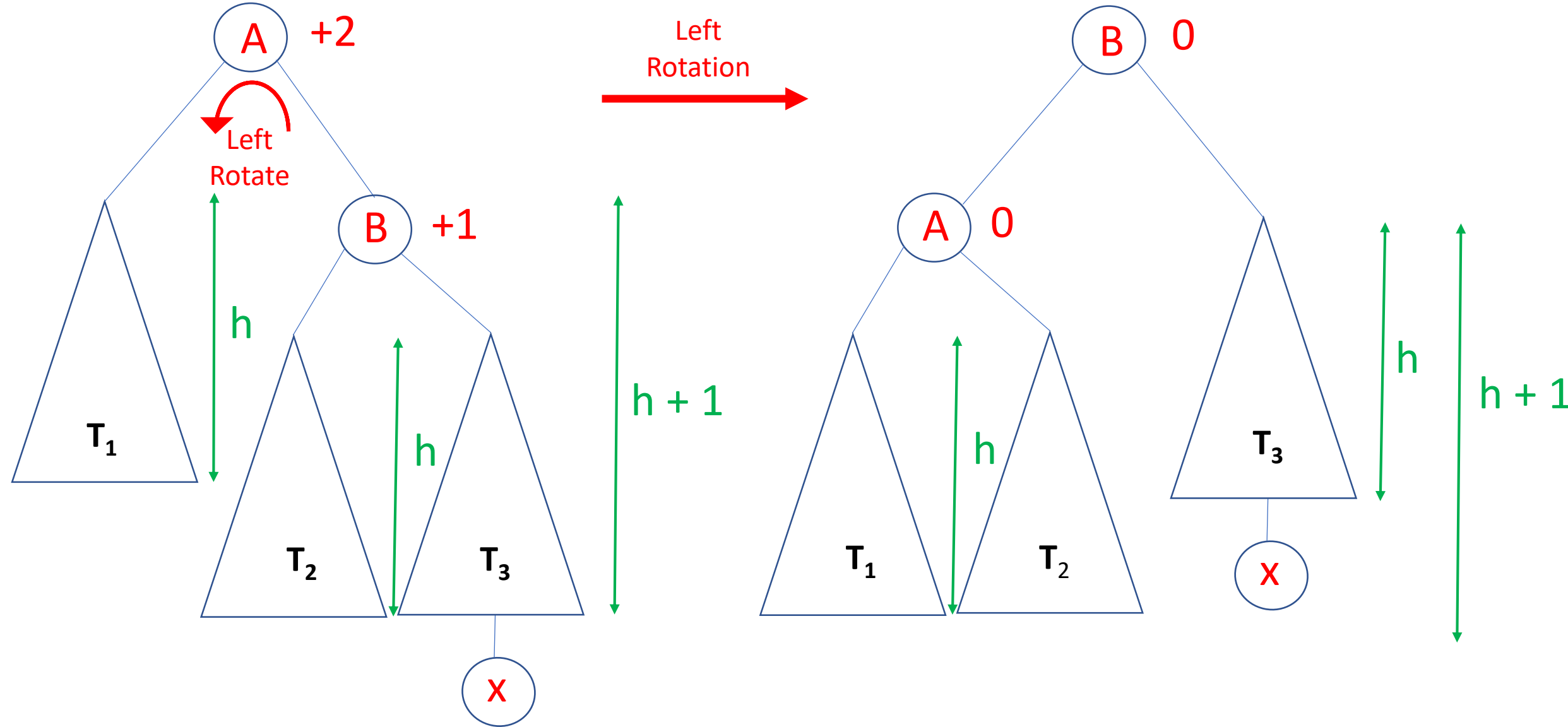
Rotation: (2) Preserves **BST property** (order: T_1 **A**)

Case 1 (a)



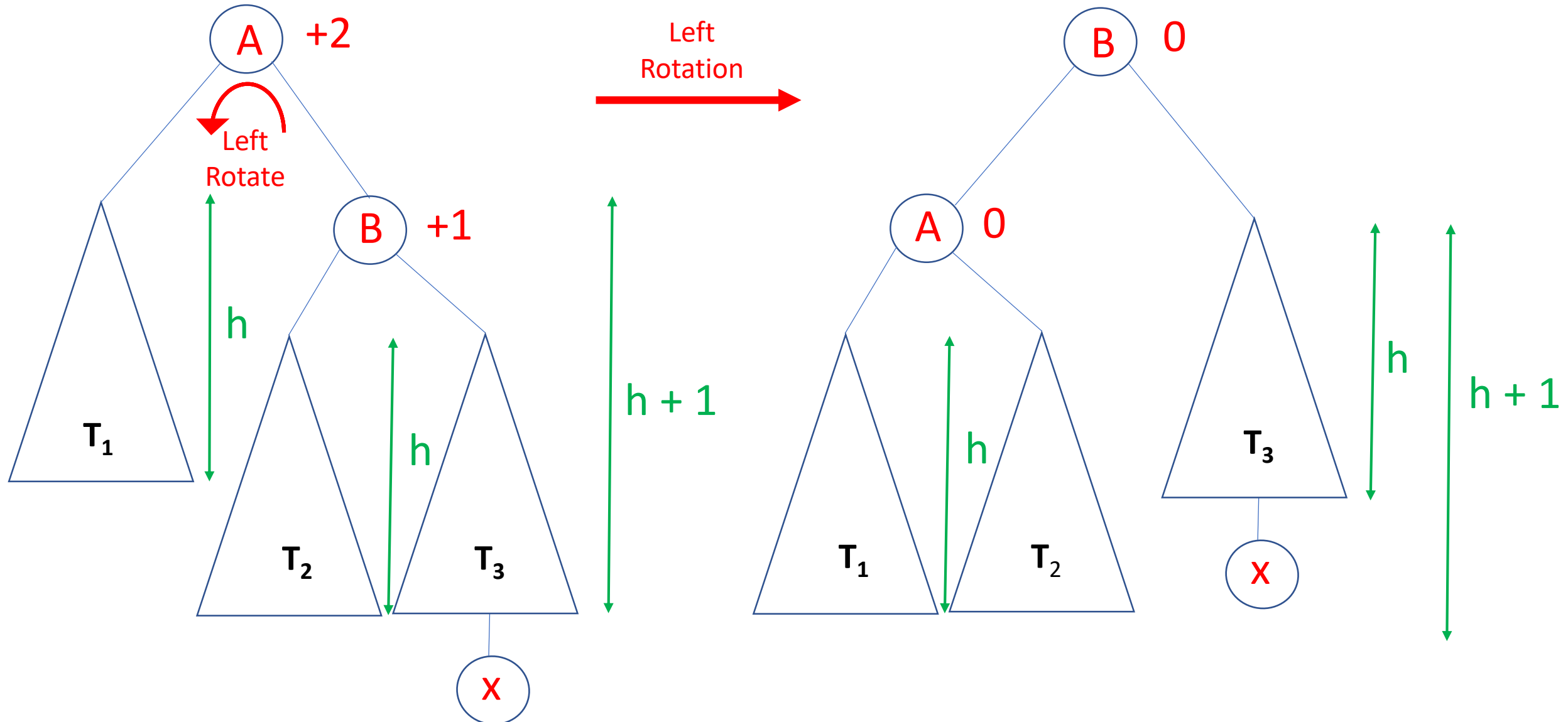
Rotation: (2) Preserves **BST property** (order: T_1 **A** T_2)

Case 1 (a)



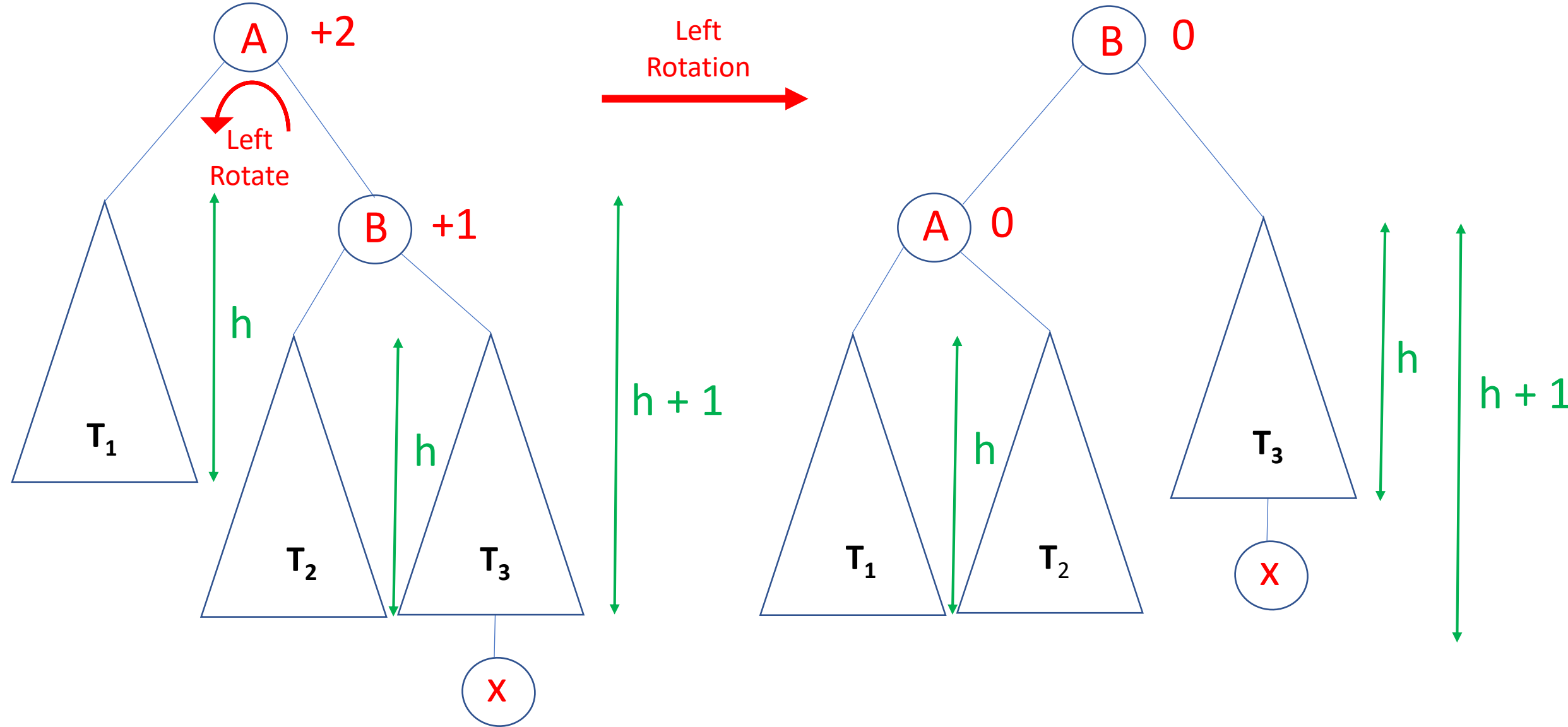
Rotation: (2) Preserves **BST property** (order: T_1 **A** T_2 **B**)

Case 1 (a)



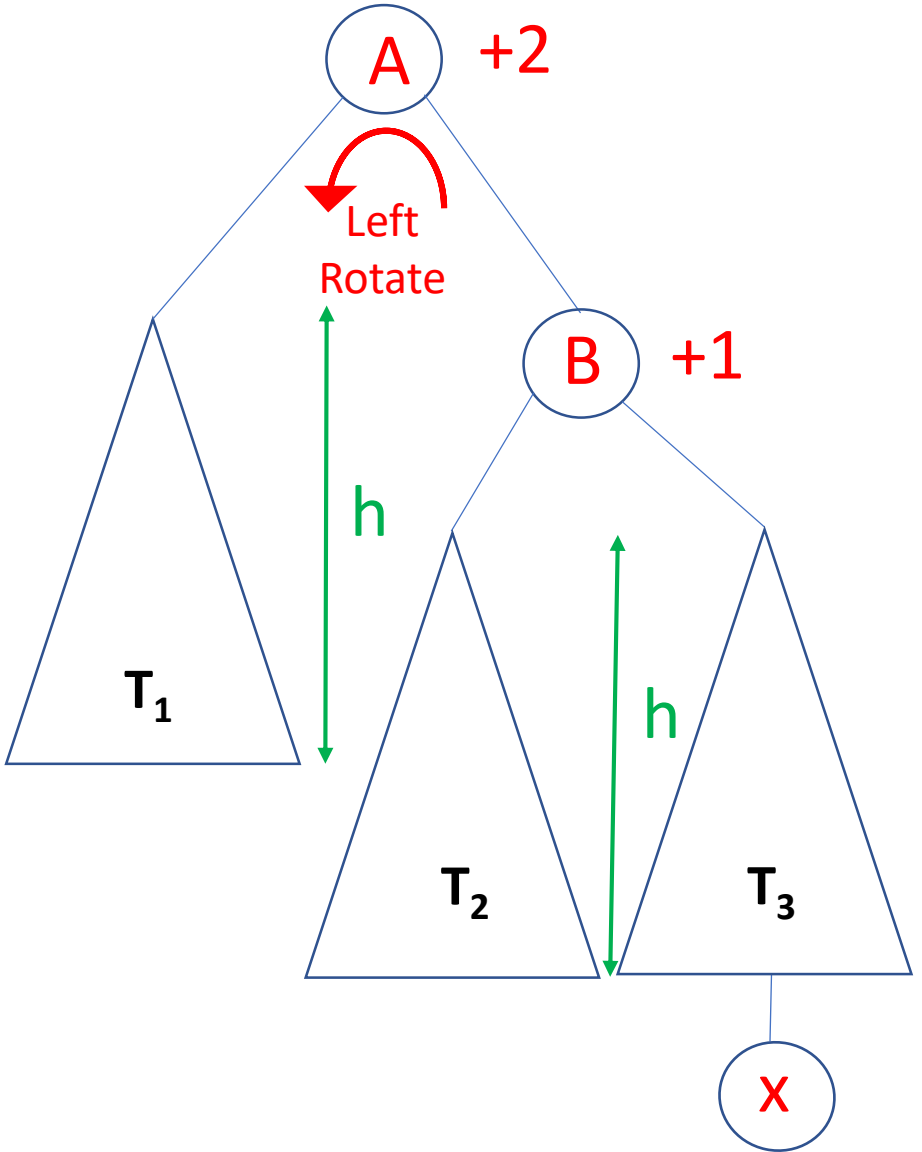
Rotation: (2) Preserves **BST property** (order: T_1 **A** T_2 **B** T_3)

Case 1 (a)

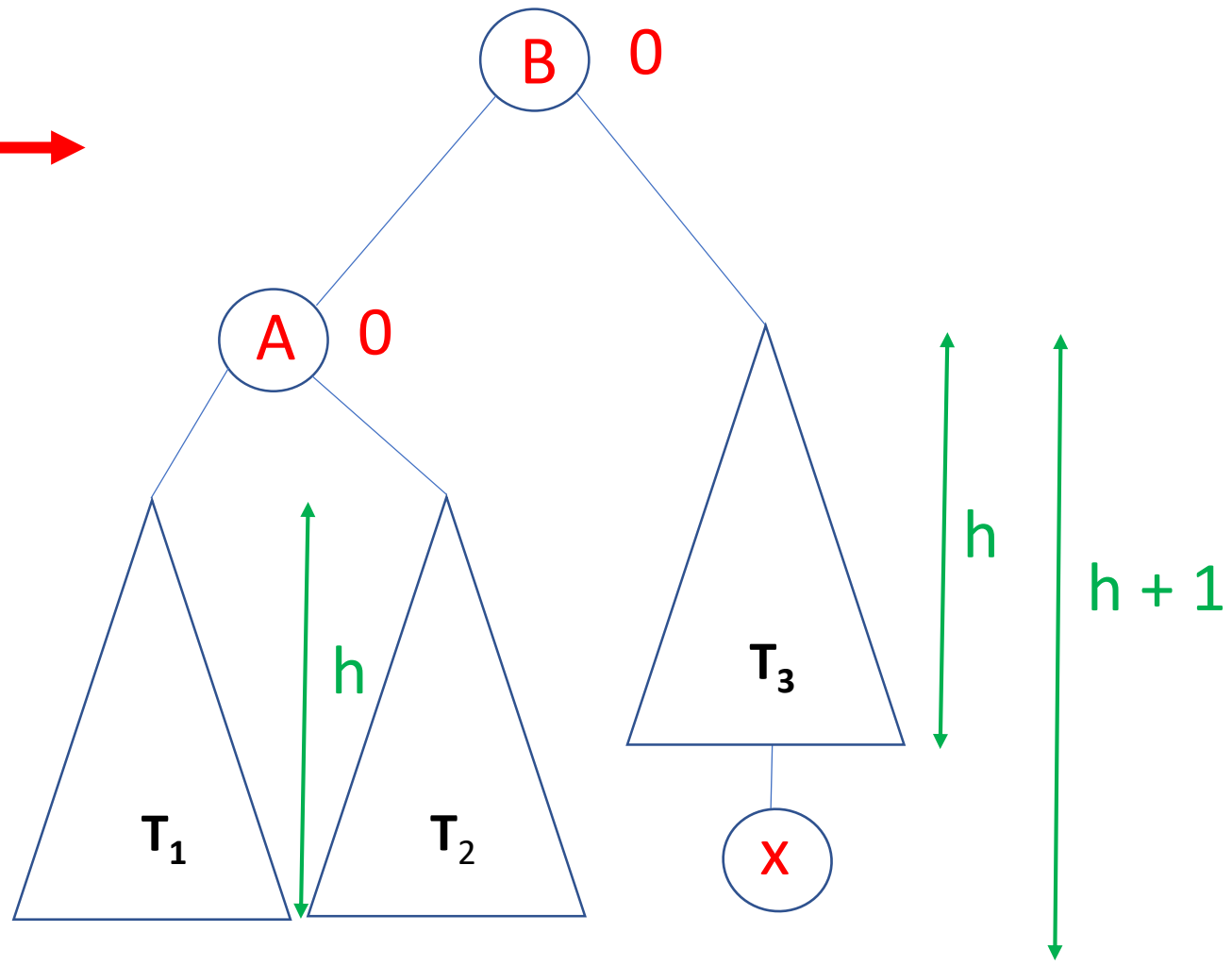


Rotation: (3) Bonus?

Case 1 (a)

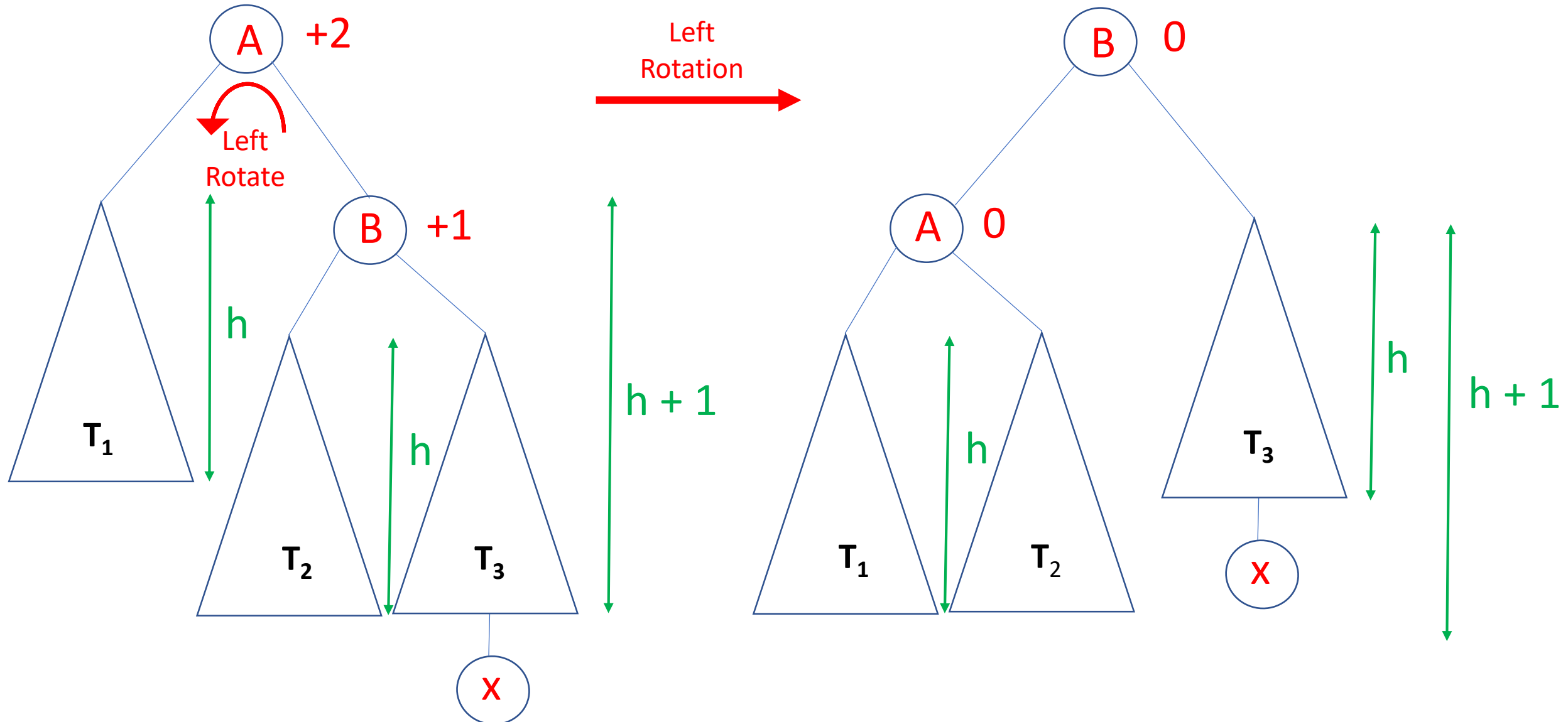


Left
Rotation
→

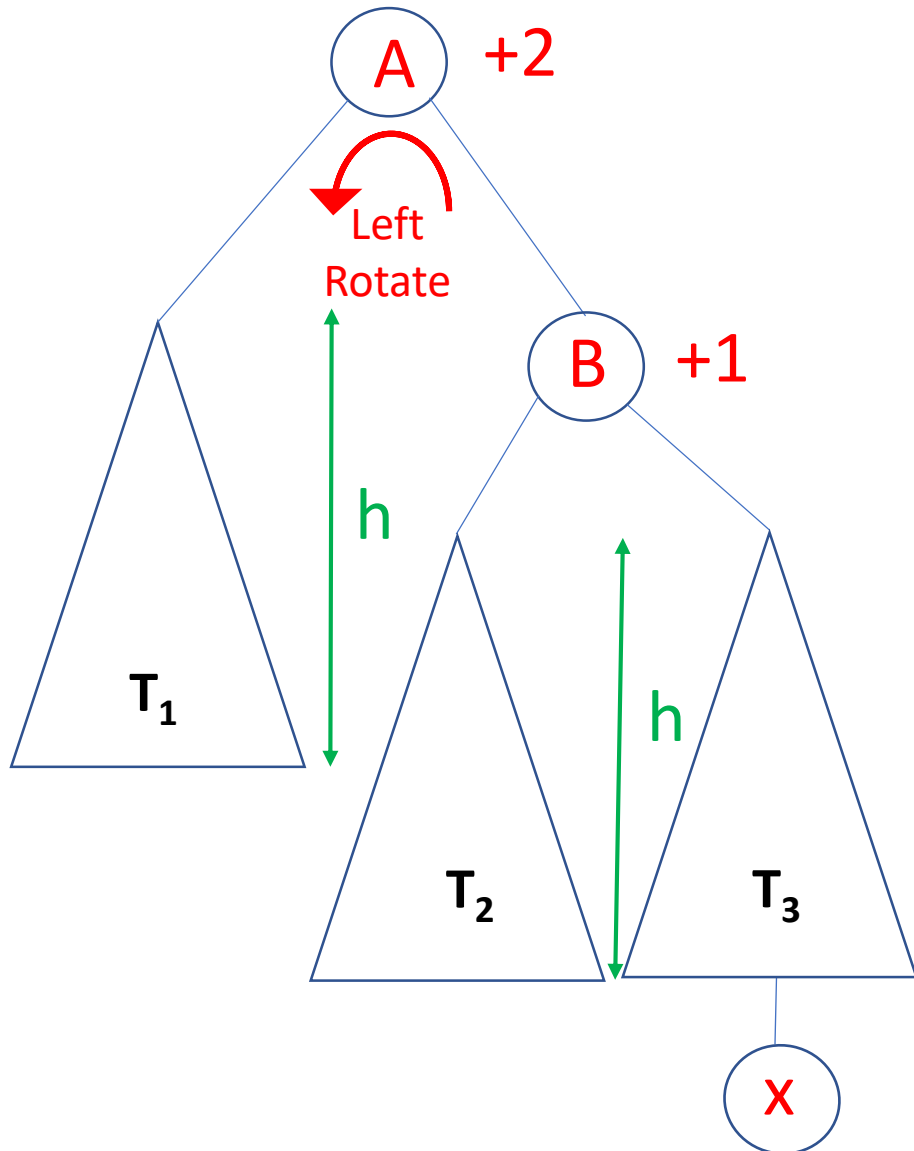


Rotation: (3) Bonus? YES!

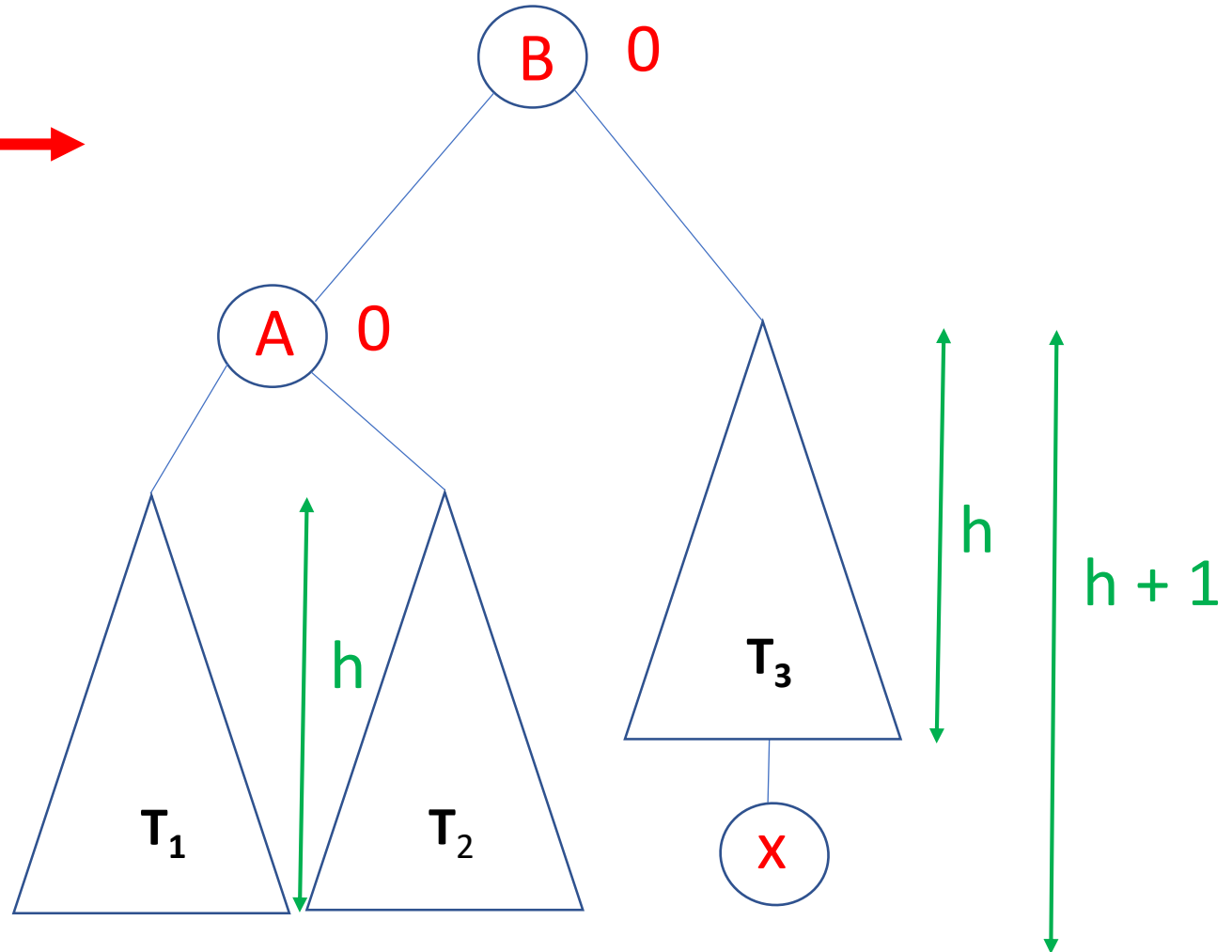
Case 1 (a)



Case 1 (a)

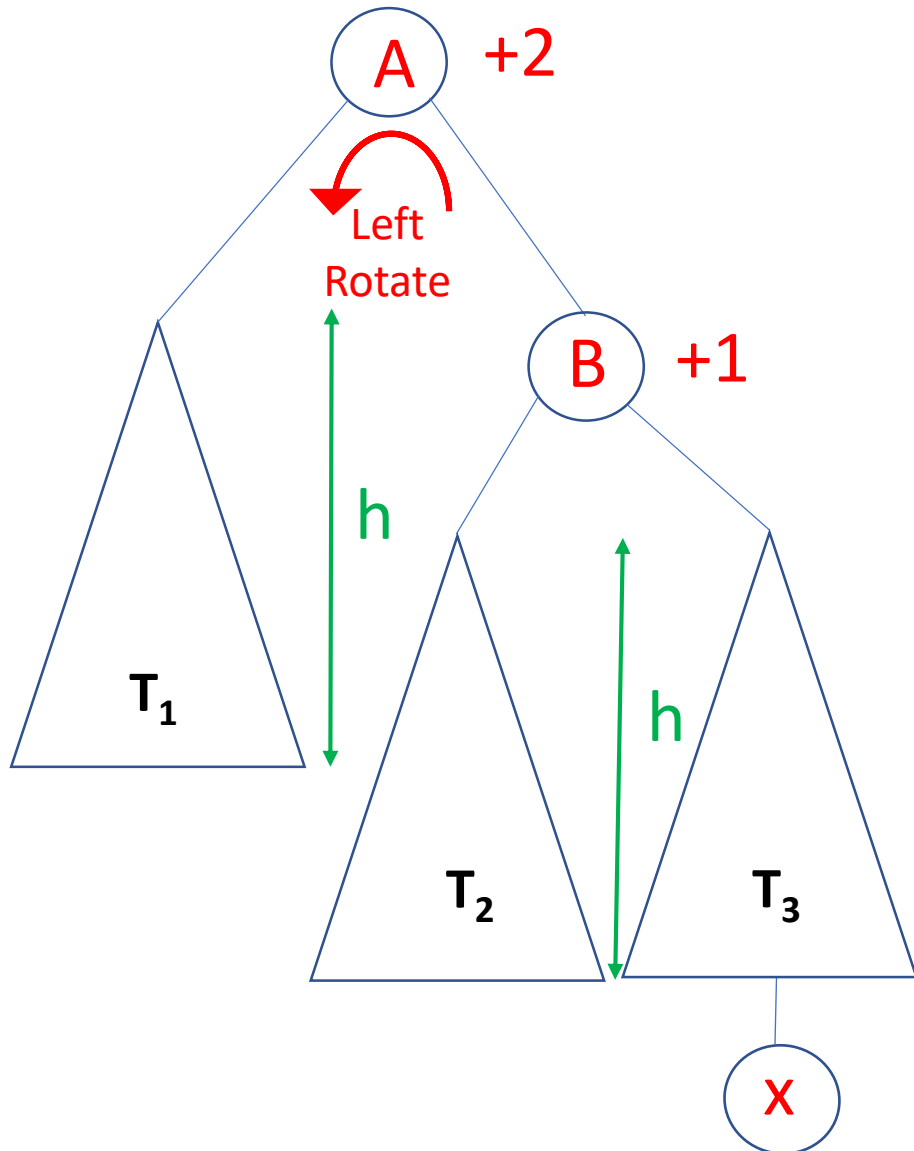


Left
Rotation

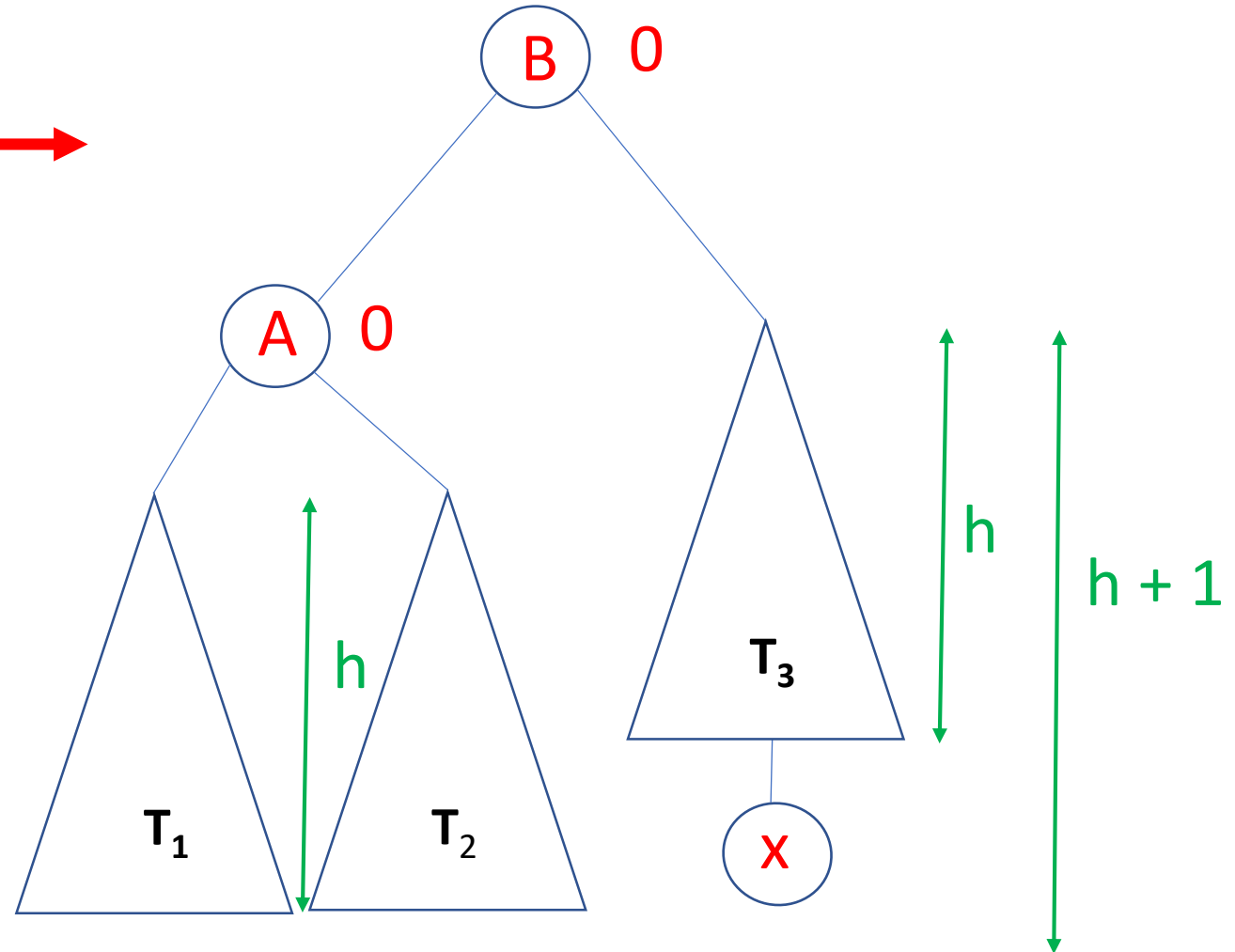


Rotation: (3) Bonus: **height** of this subtree is the **same** both **before** and **after** the insertion of **x**

Case 1 (a)



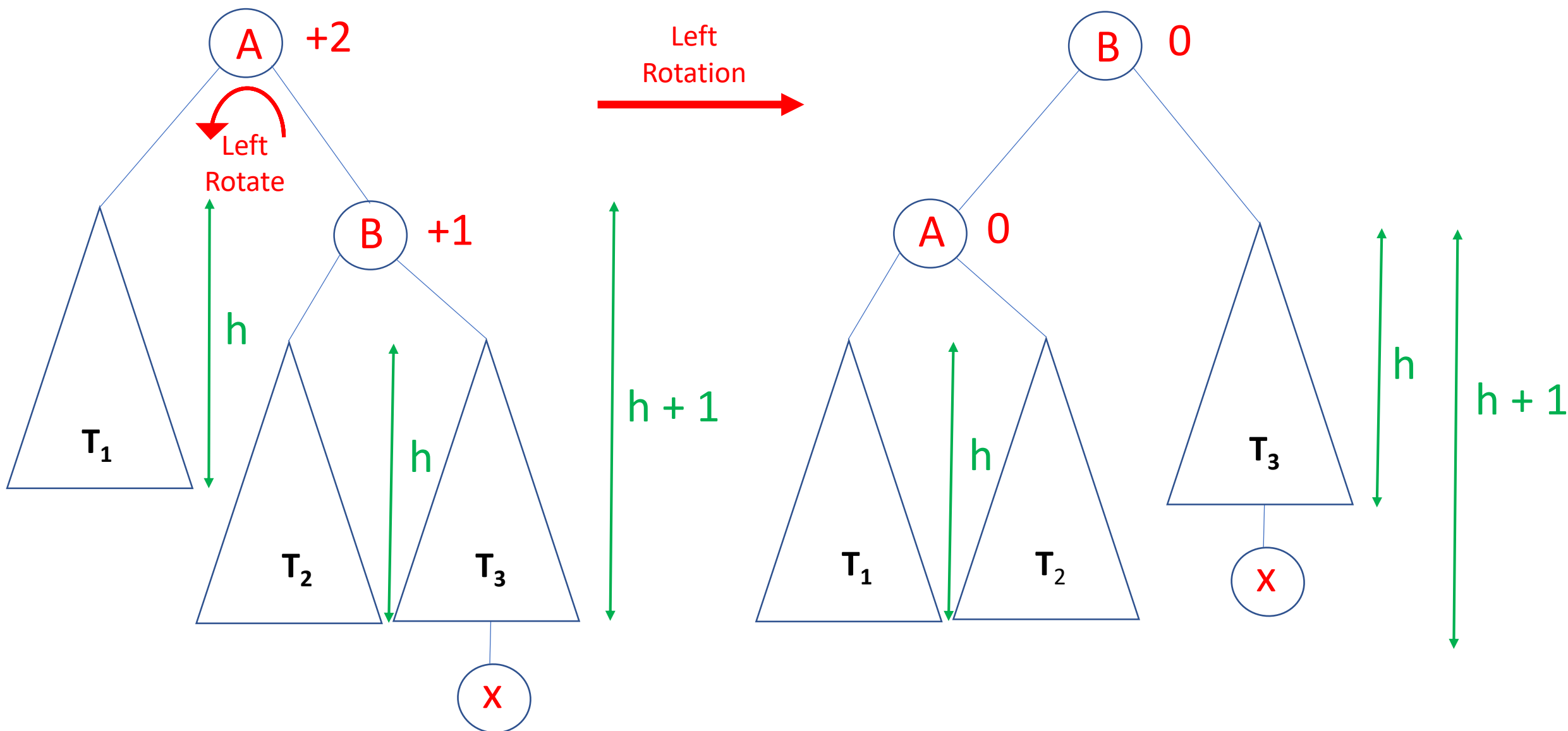
Left
Rotation →



Rotation: (3) Bonus: **height** of this subtree is the **same** (**h+2**) both **before** and **after** the insertion of **x**

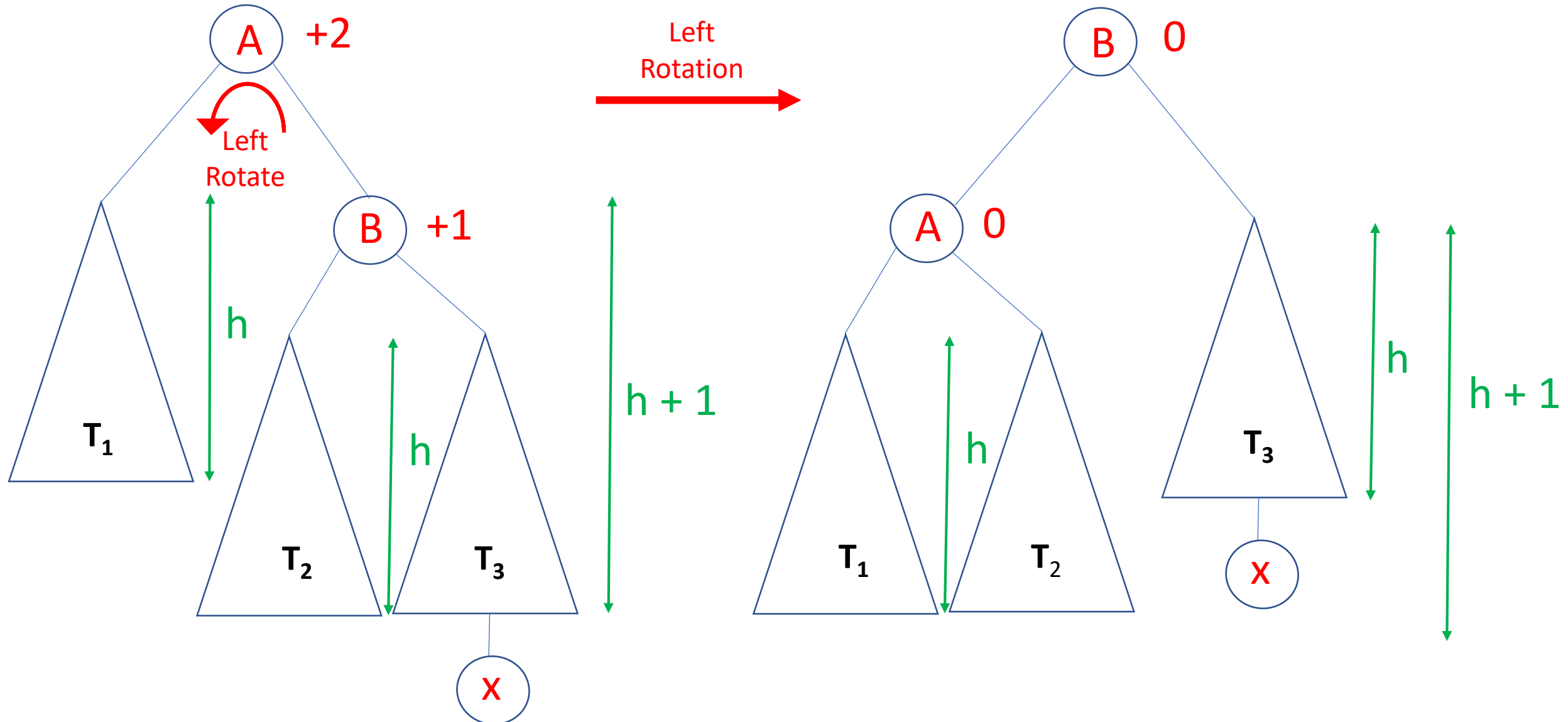
Rotation: (3) Bonus \rightarrow insertion algorithm is done

Case 1 (a)



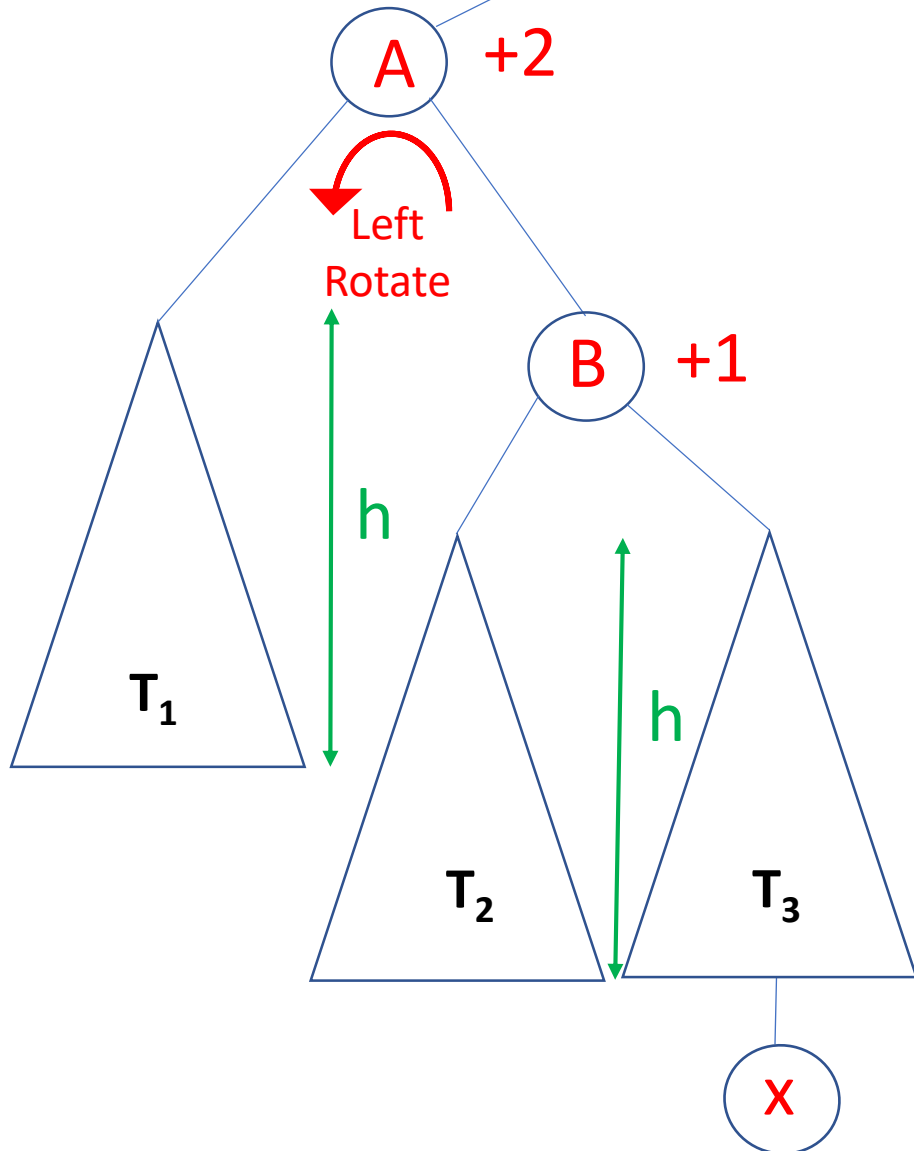
Rotation: What is the time complexity?

Case 1 (a)

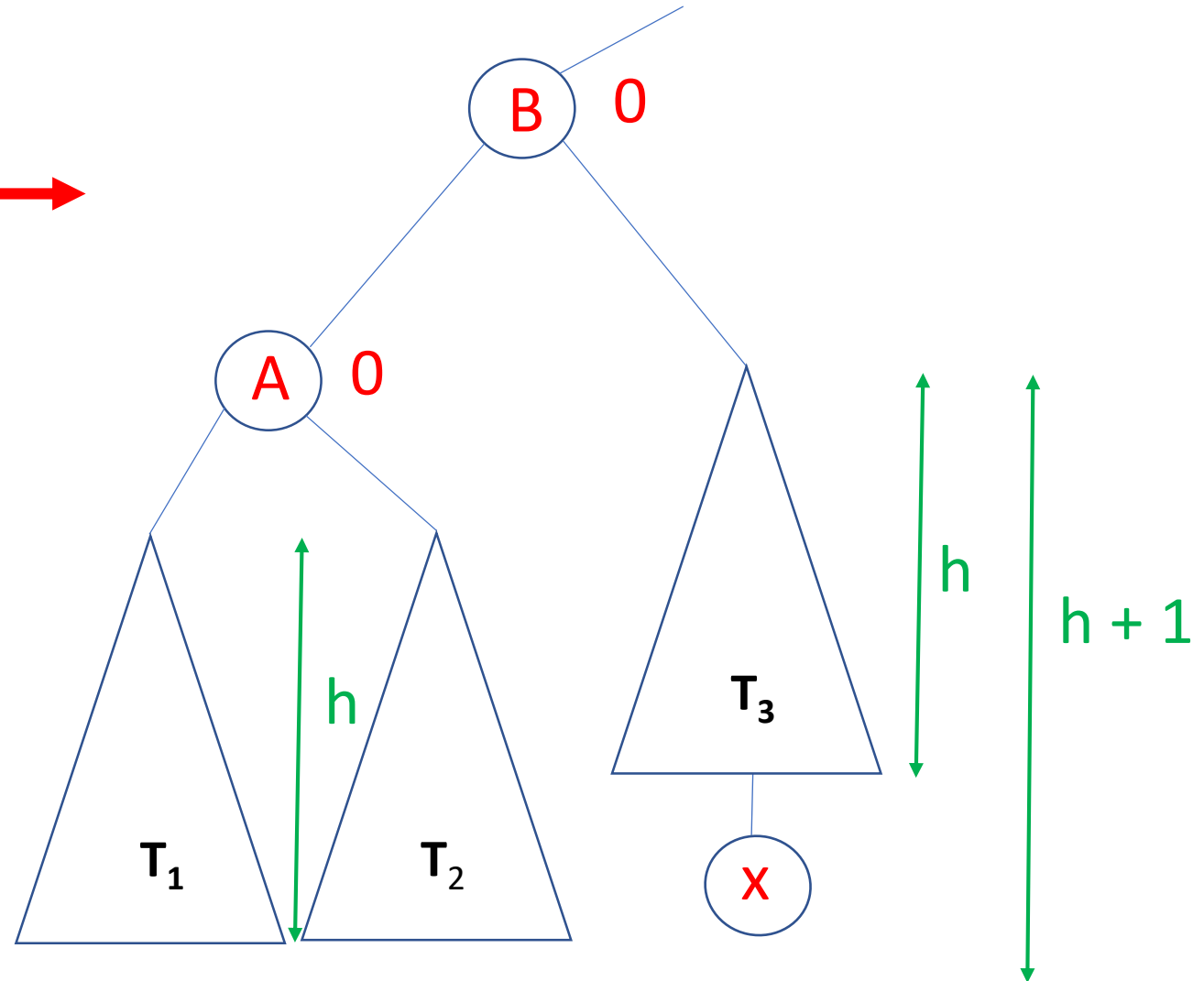


Rotation: What is the time complexity?
How many pointers did we change?

Case 1 (a)

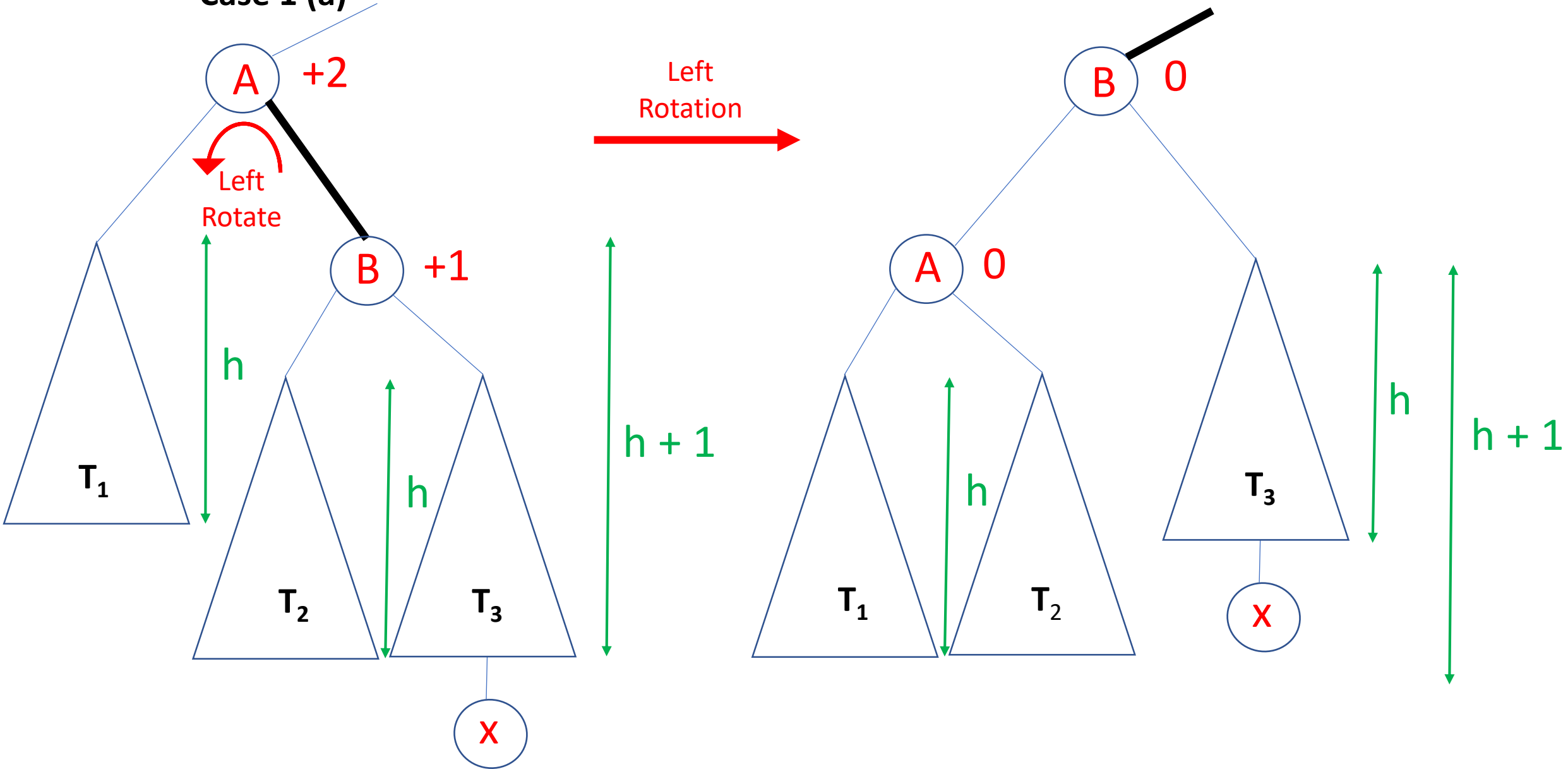


Left
Rotation



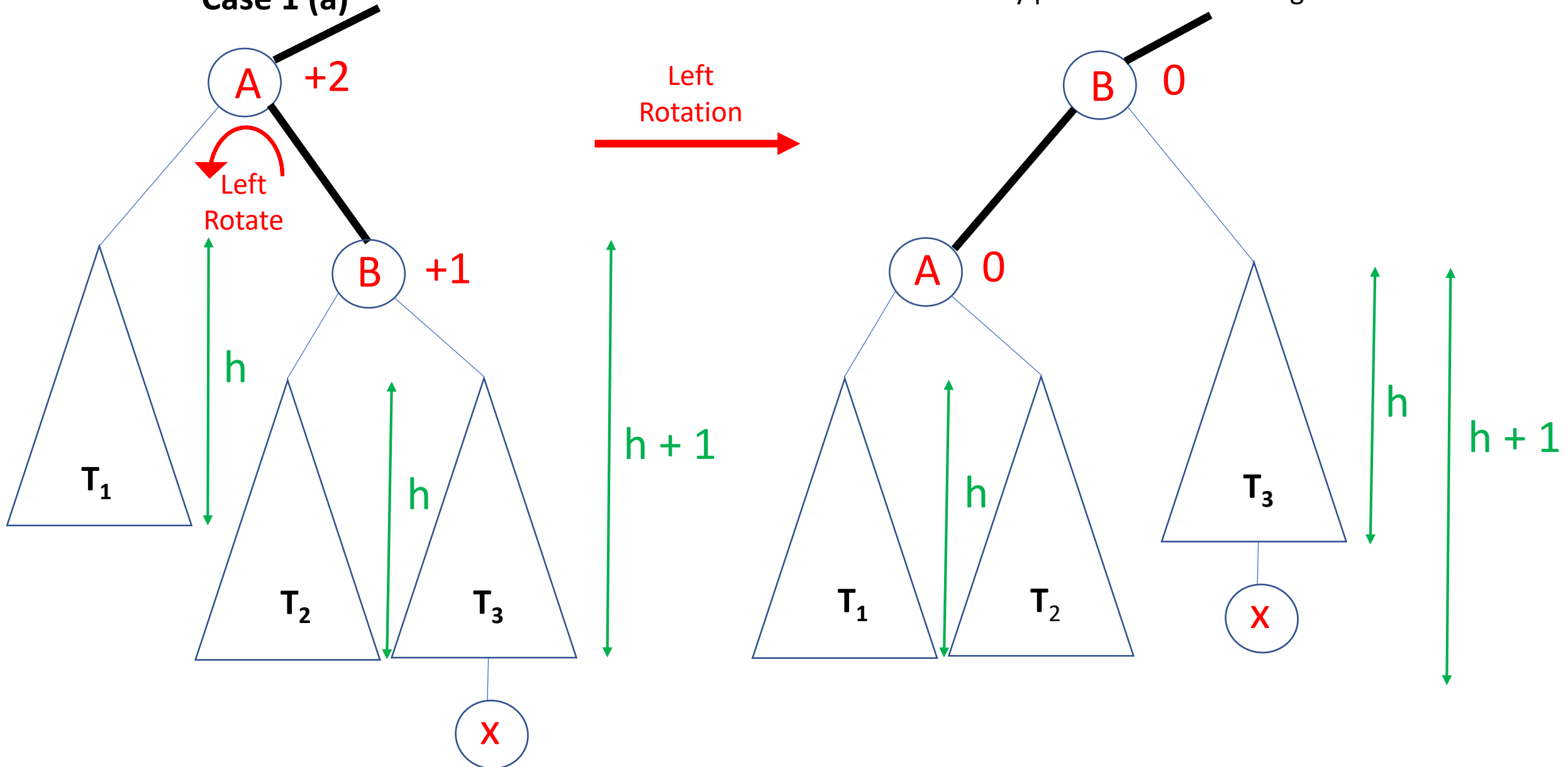
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Case 1 (a)



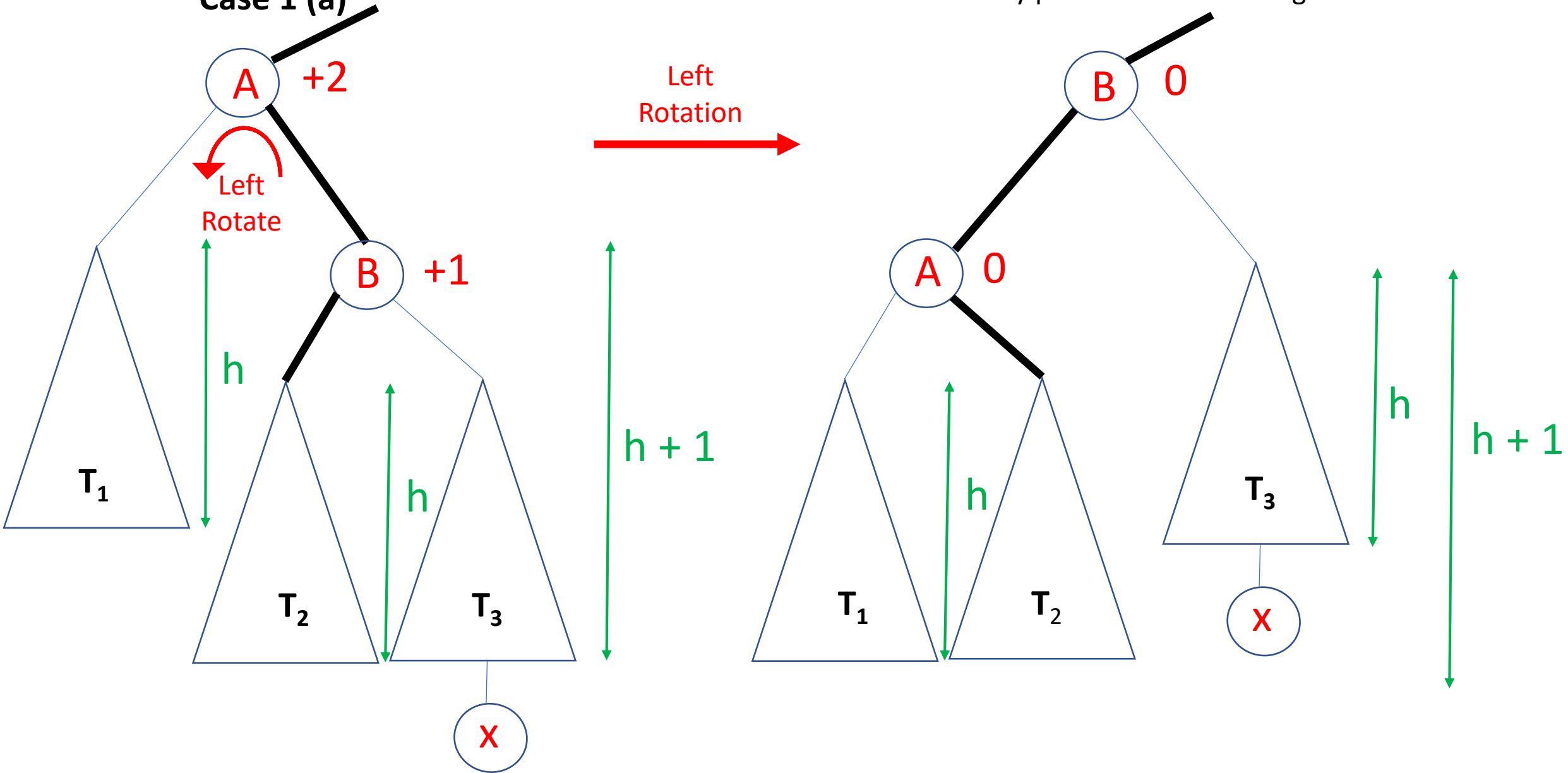
Rotation: What is the time complexity?
How many pointers did we change?

Case 1 (a)



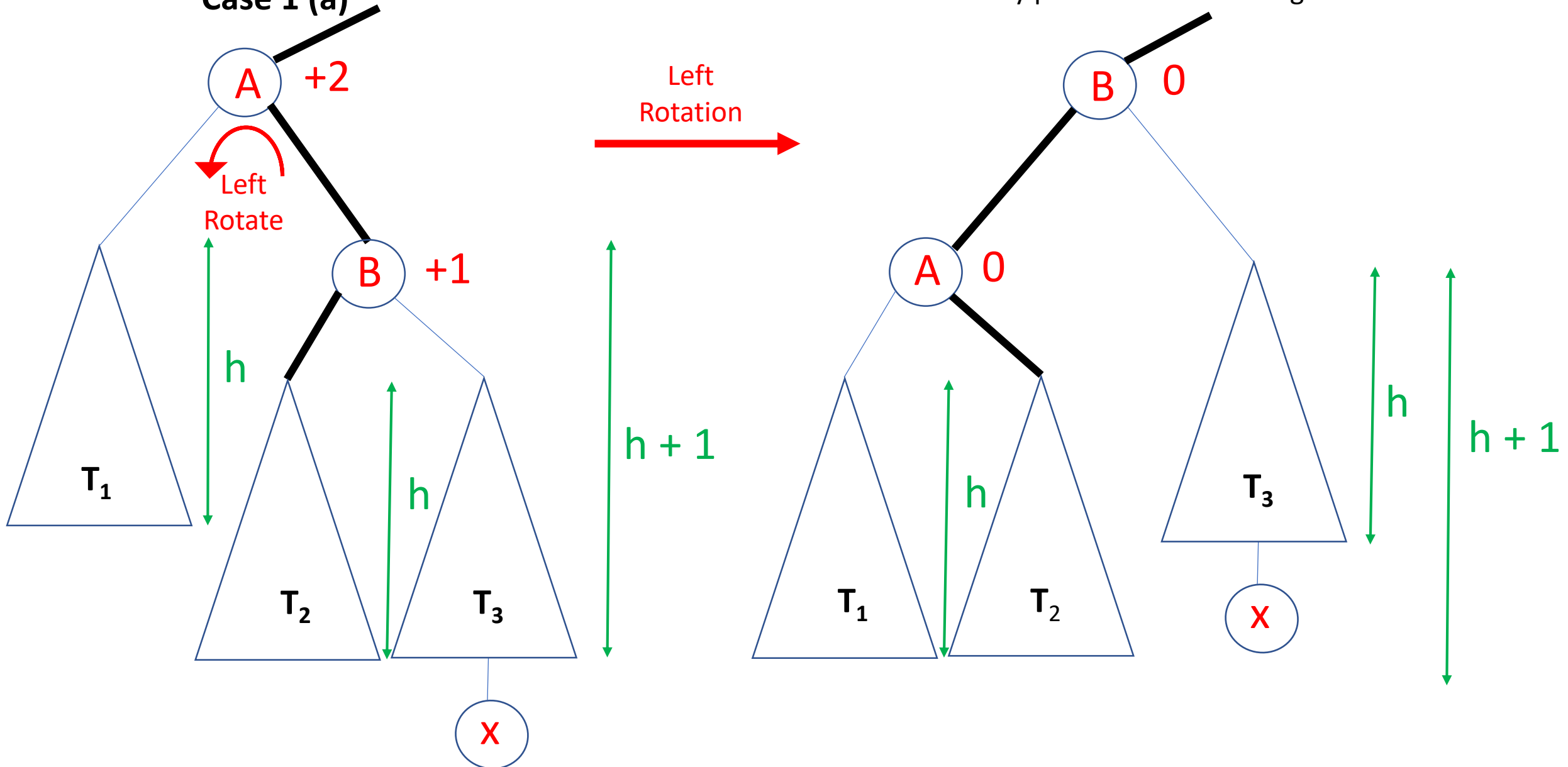
Rotation: What is the time complexity?
How many pointers did we change?

Case 1 (a)



Rotation: What is the time complexity?
How many pointers did we change? **Just 3**

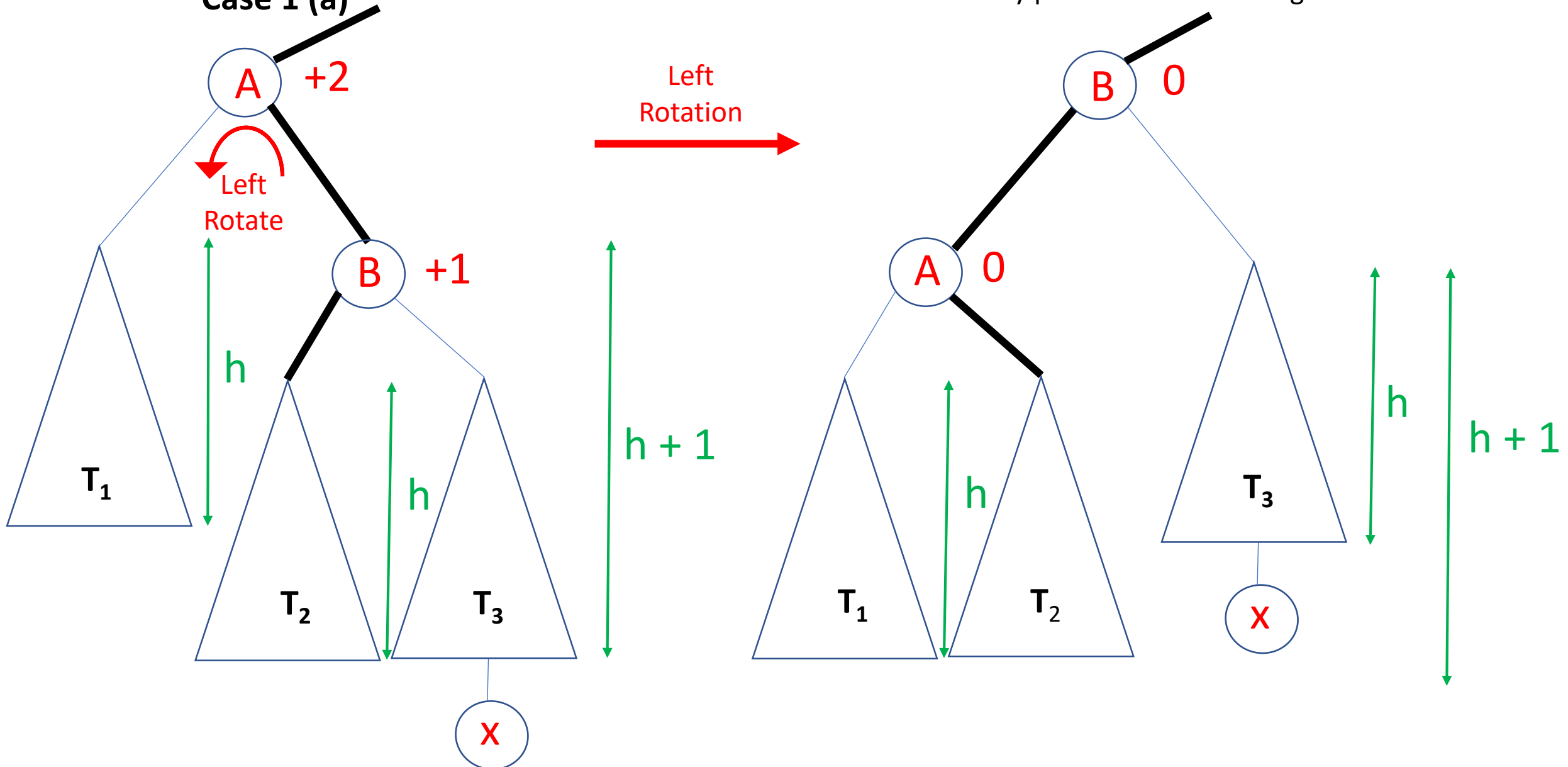
Case 1 (a)



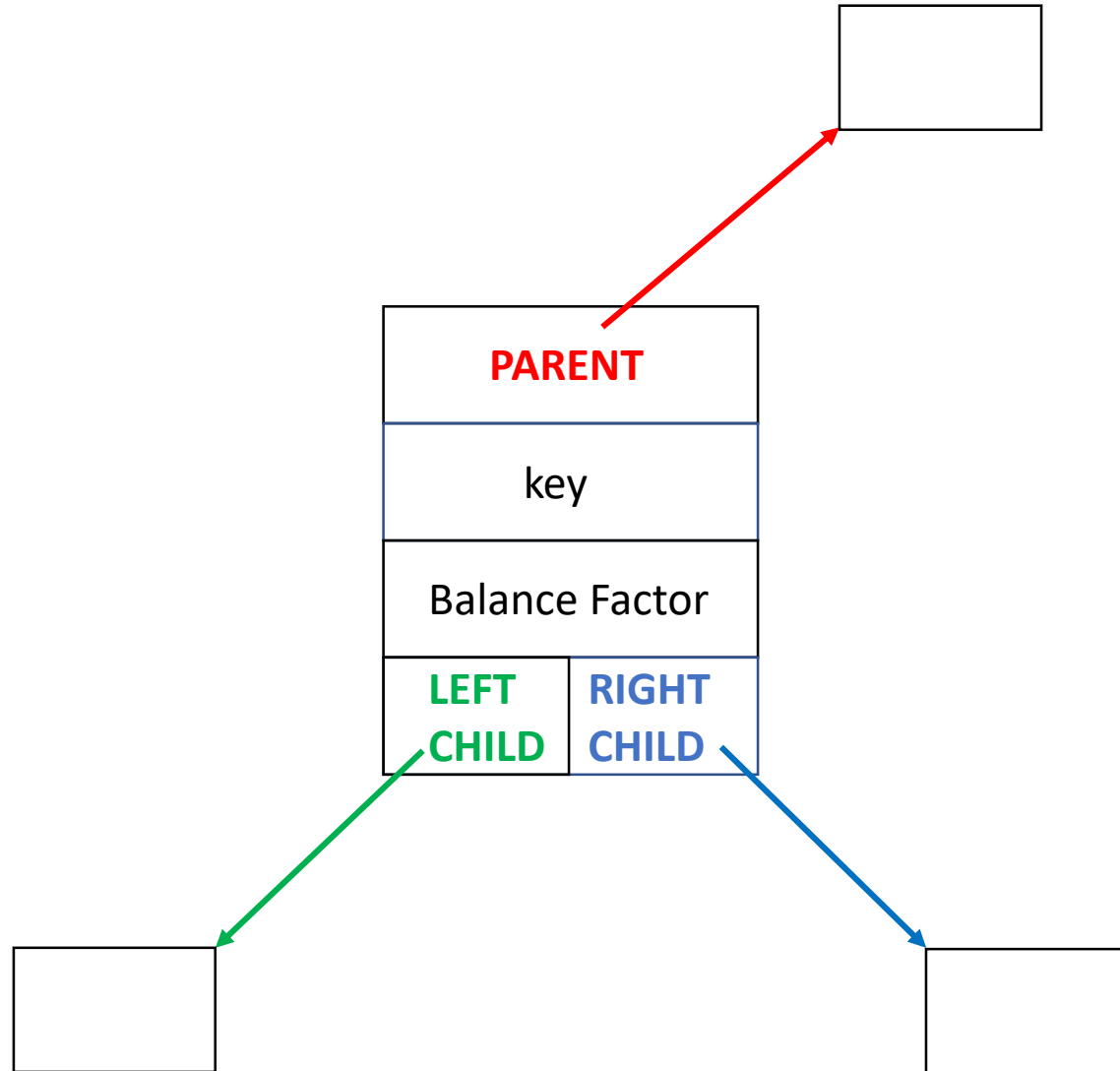
Rotation: What is the time complexity? $\Theta(1)$

How many pointers did we change? **Just 3**

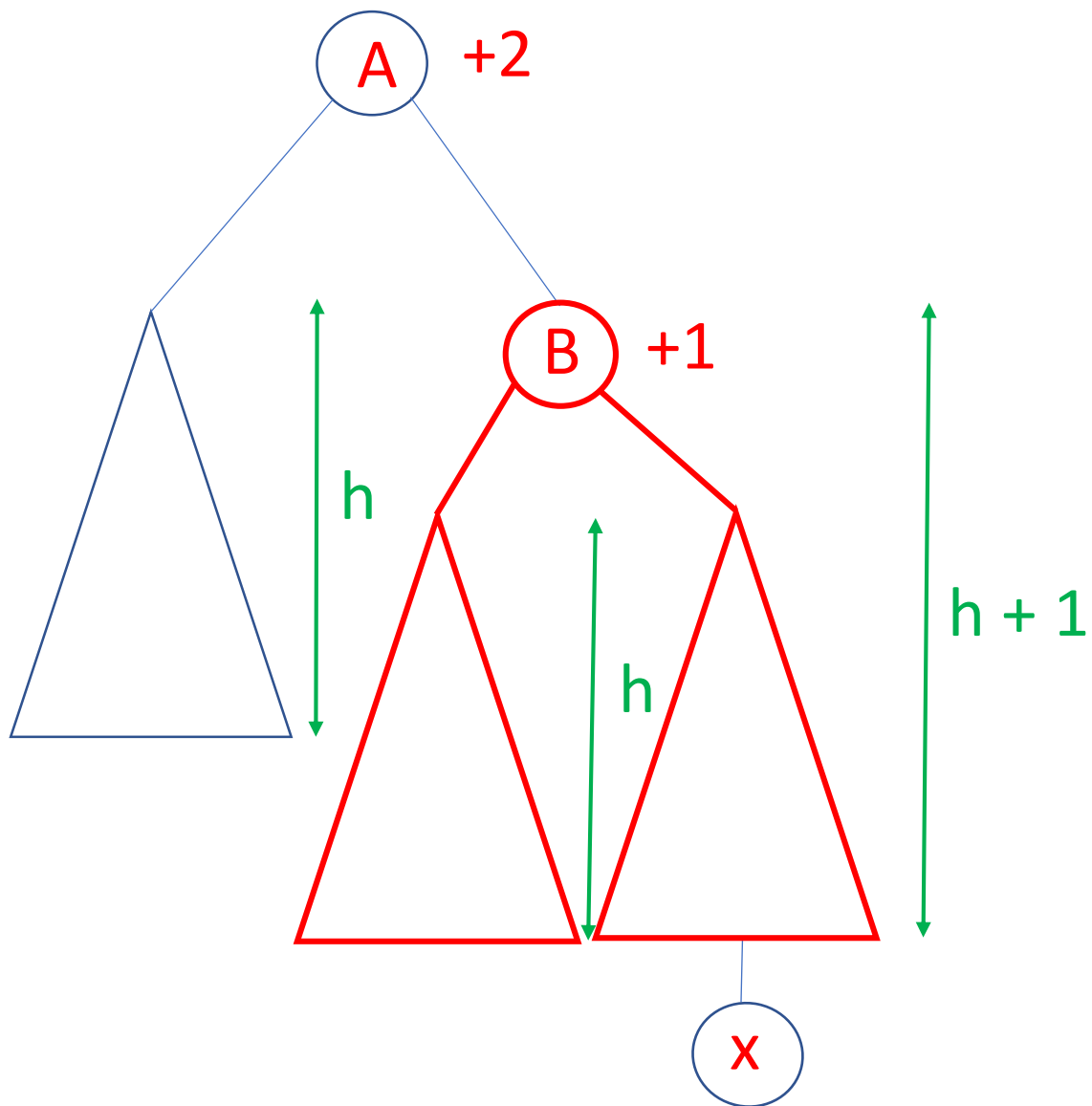
Case 1 (a)



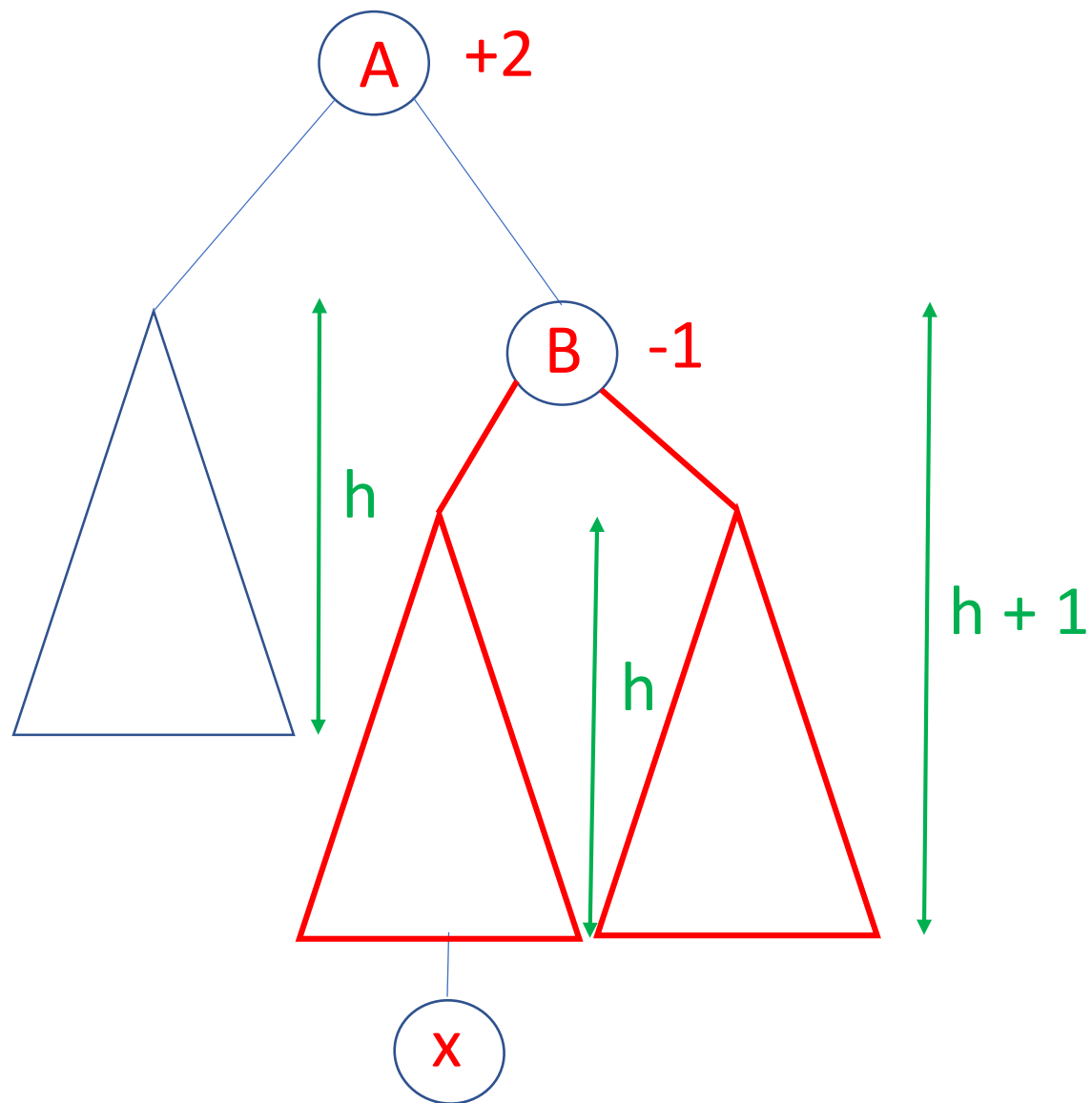
Contents of an AVL node



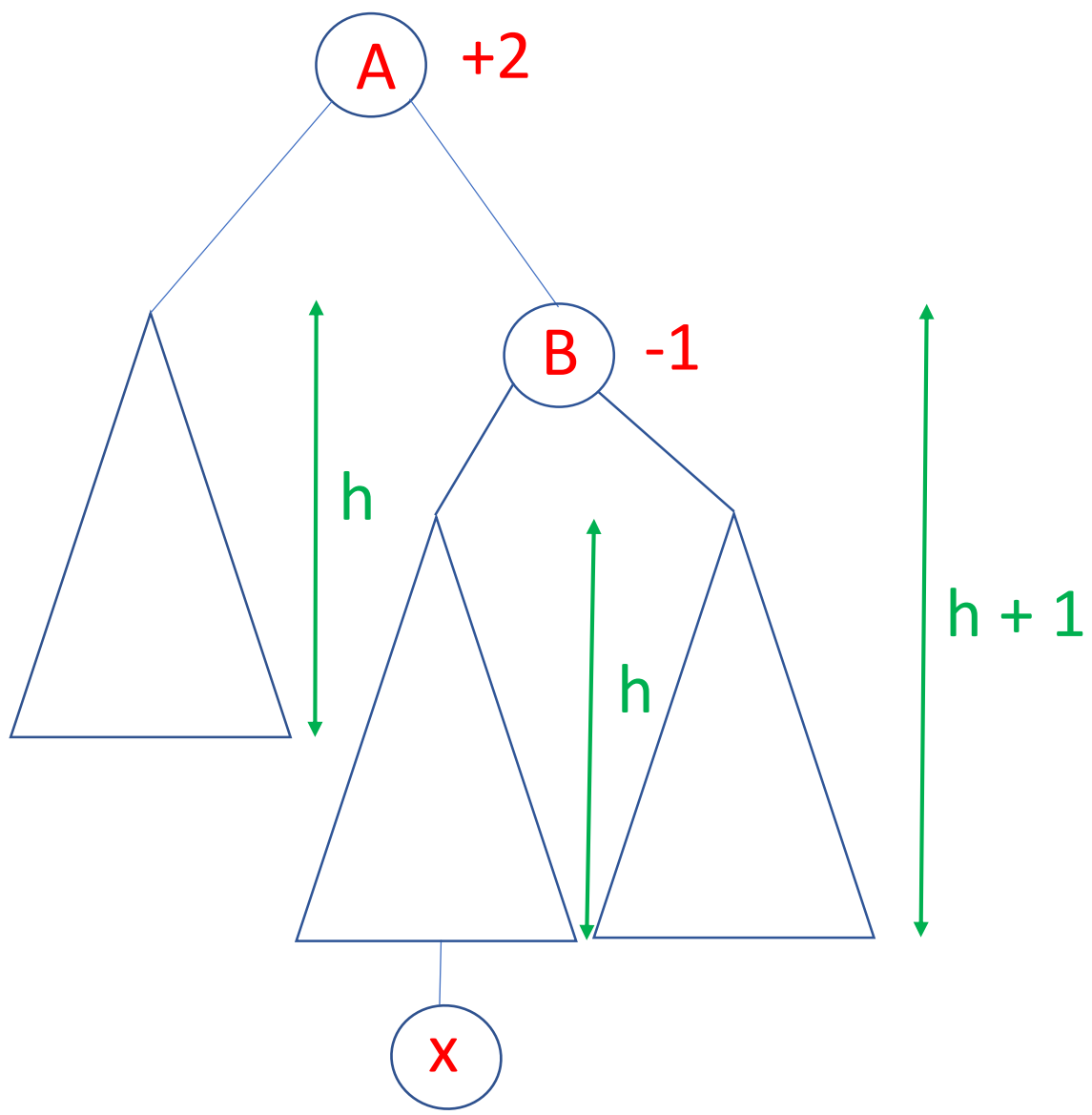
Case 1 (a)



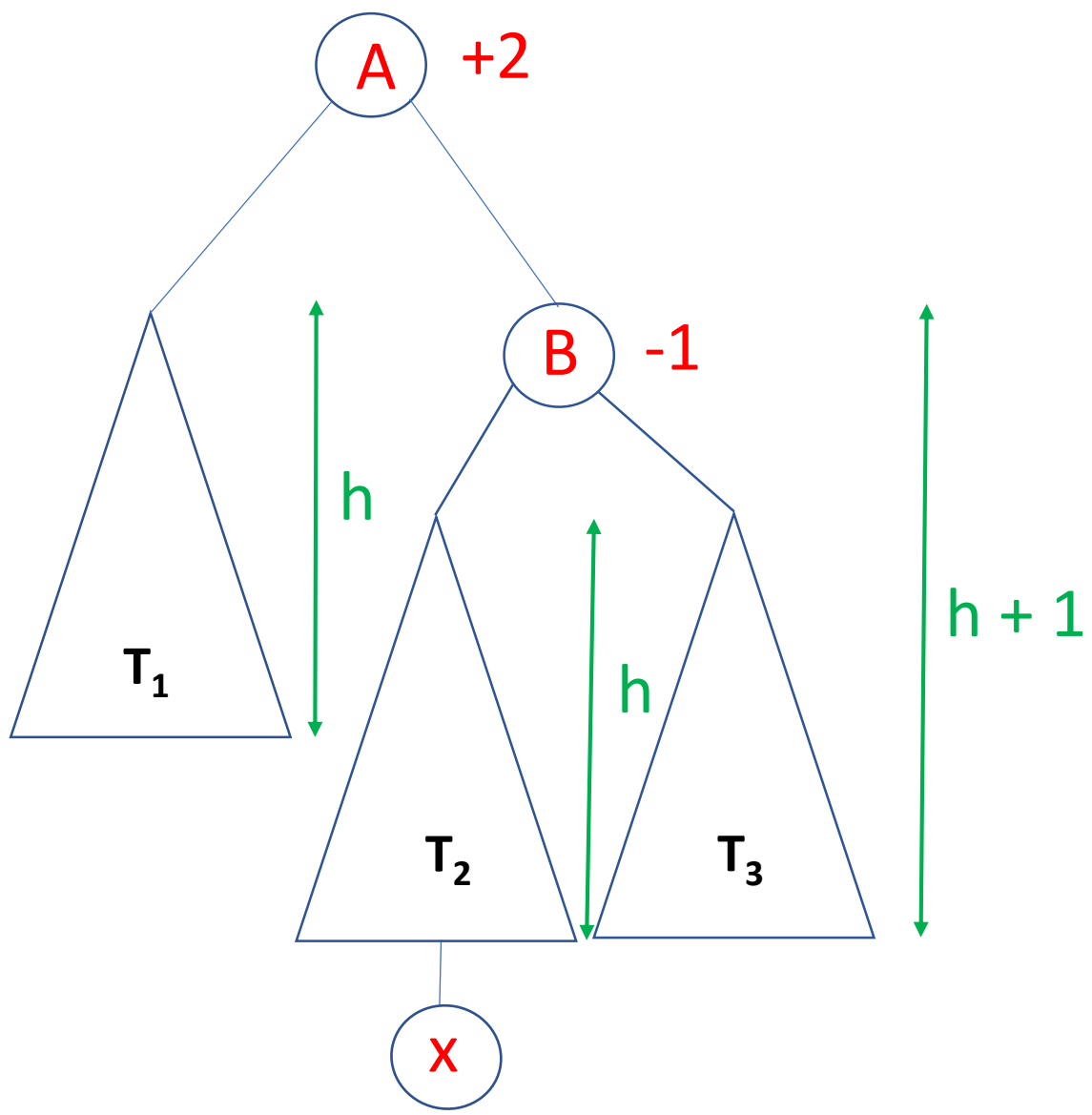
Case 1 (b)



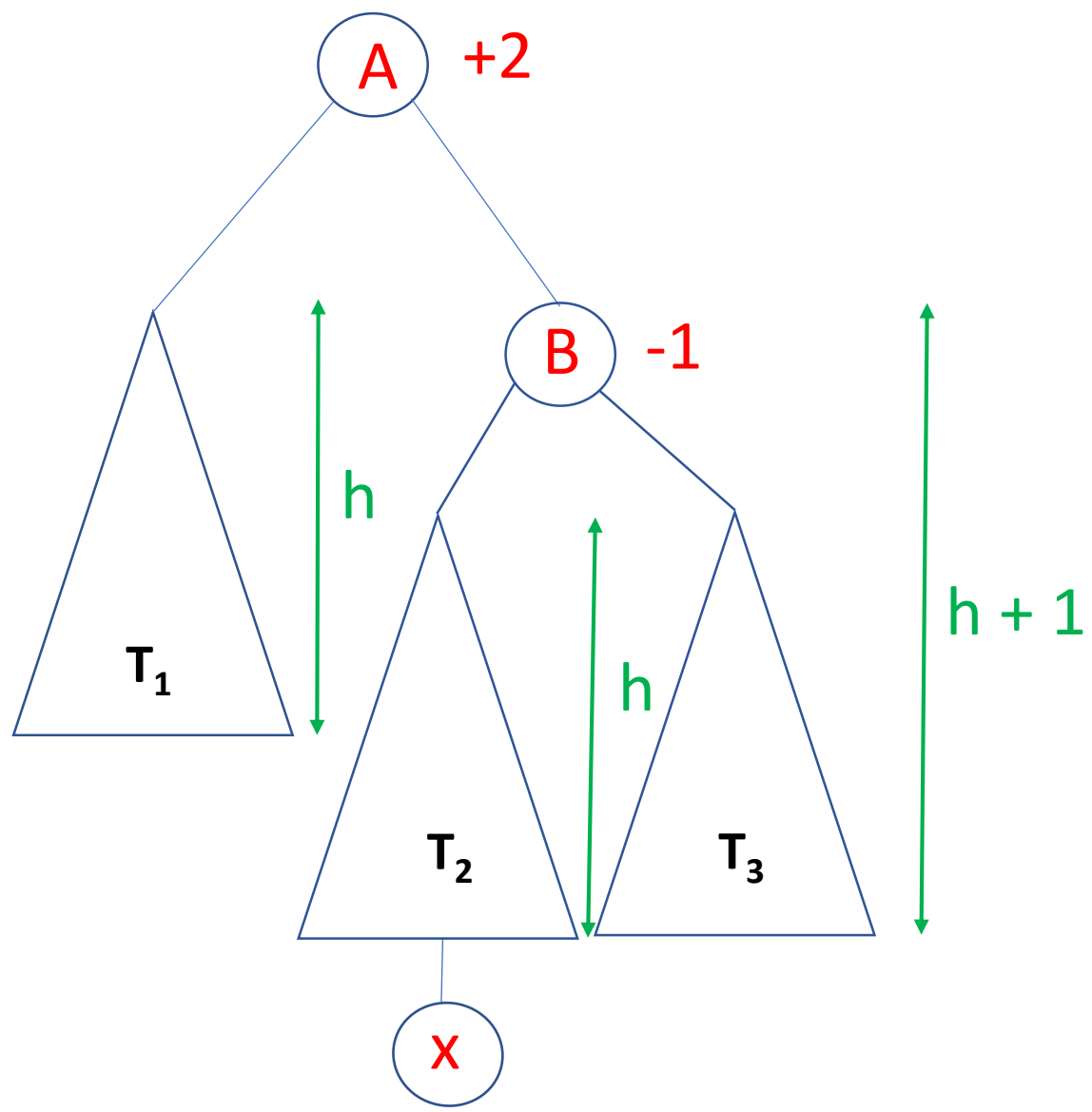
Case 1 (b)



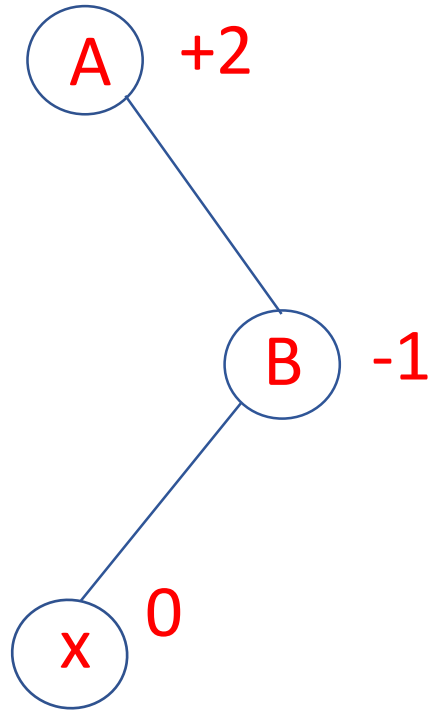
Case 1 (b)



Case 1 (b) : **special case $h = -1$**

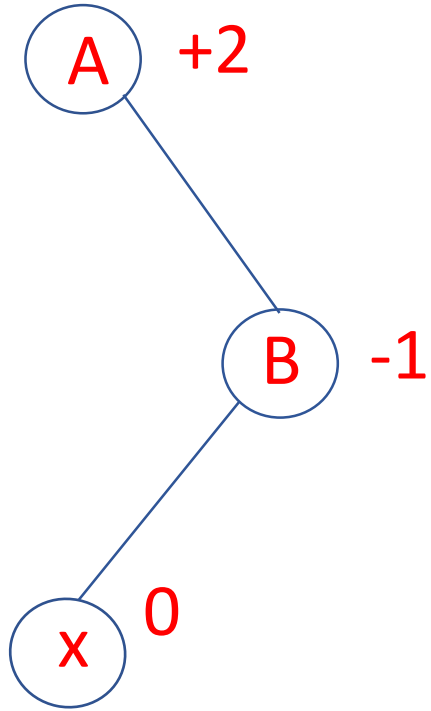


Case 1 (b) : special case $h = -1$



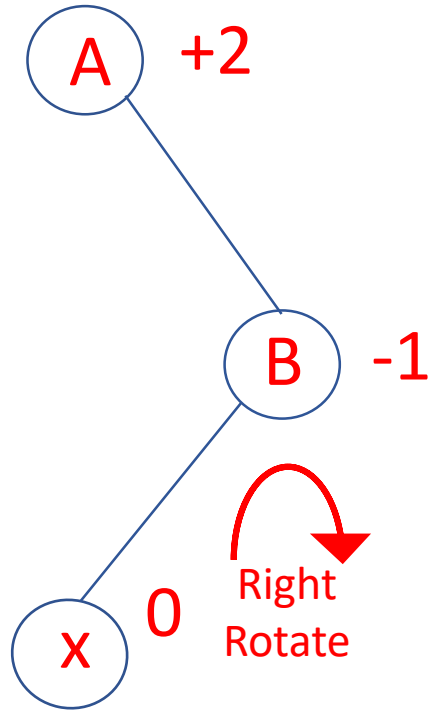
Order of keys: A x B

Case 1 (b) : special case $h = -1$



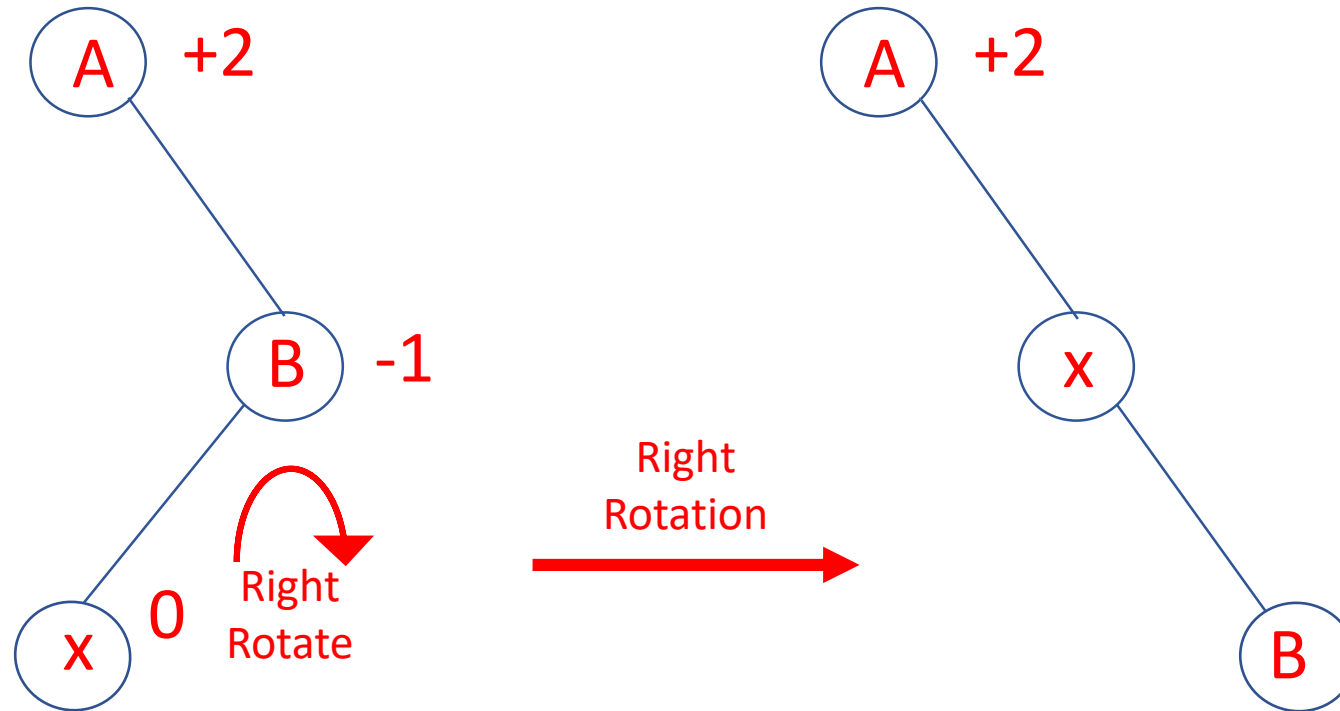
Order of keys: A x B

Case 1 (b) : special case $h = -1$



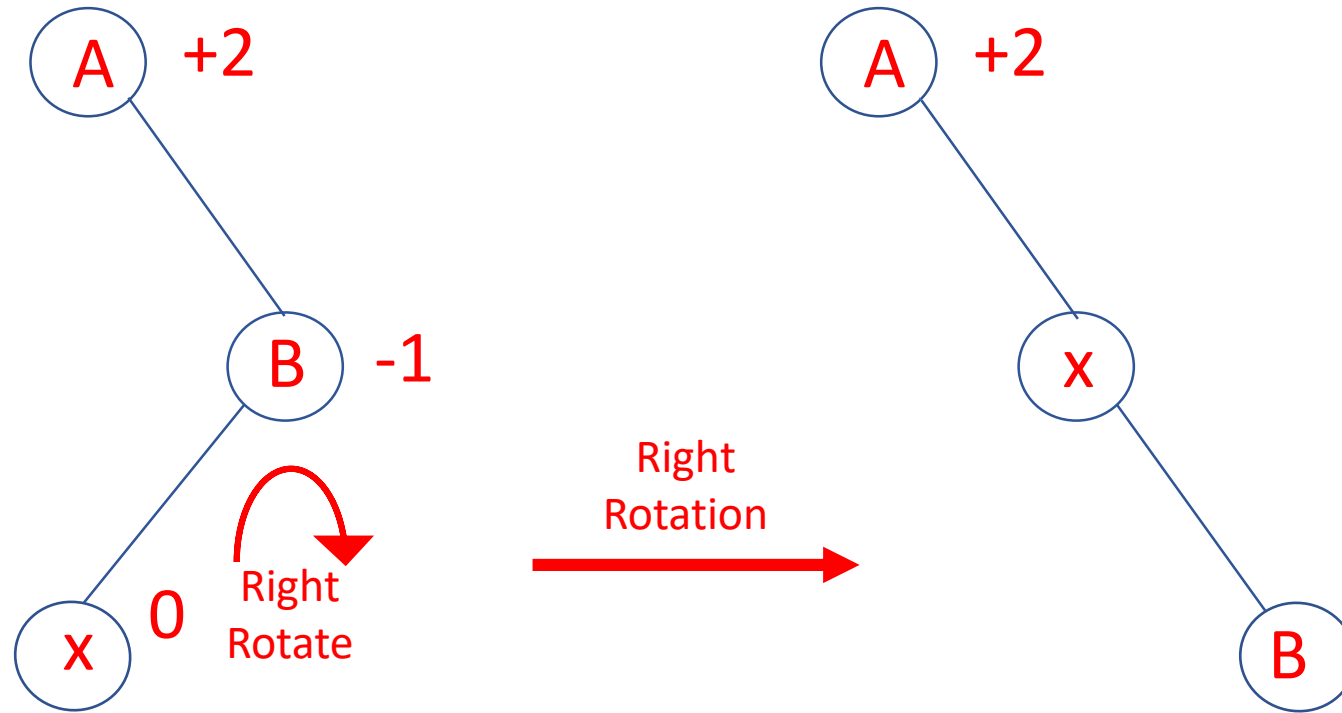
Order of keys: A x B

Case 1 (b) : special case $h = -1$



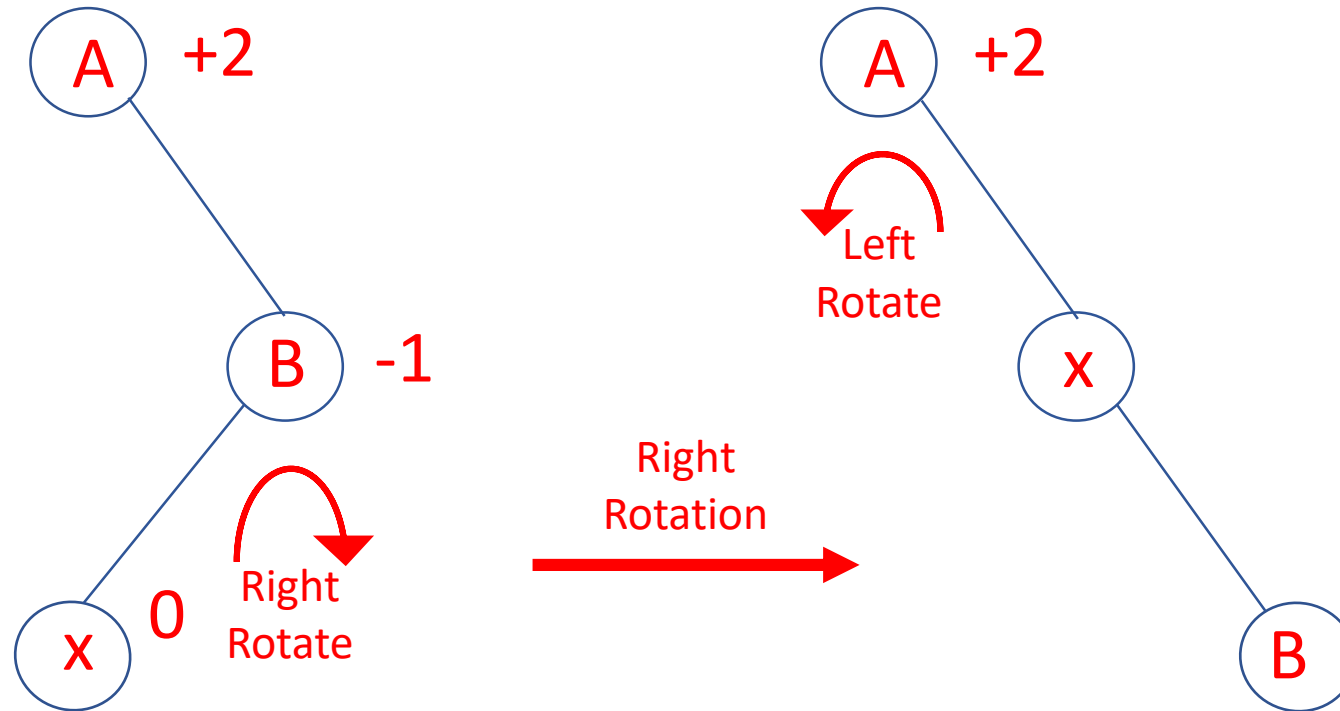
Order of keys: A x B

Case 1 (b) : special case $h = -1$



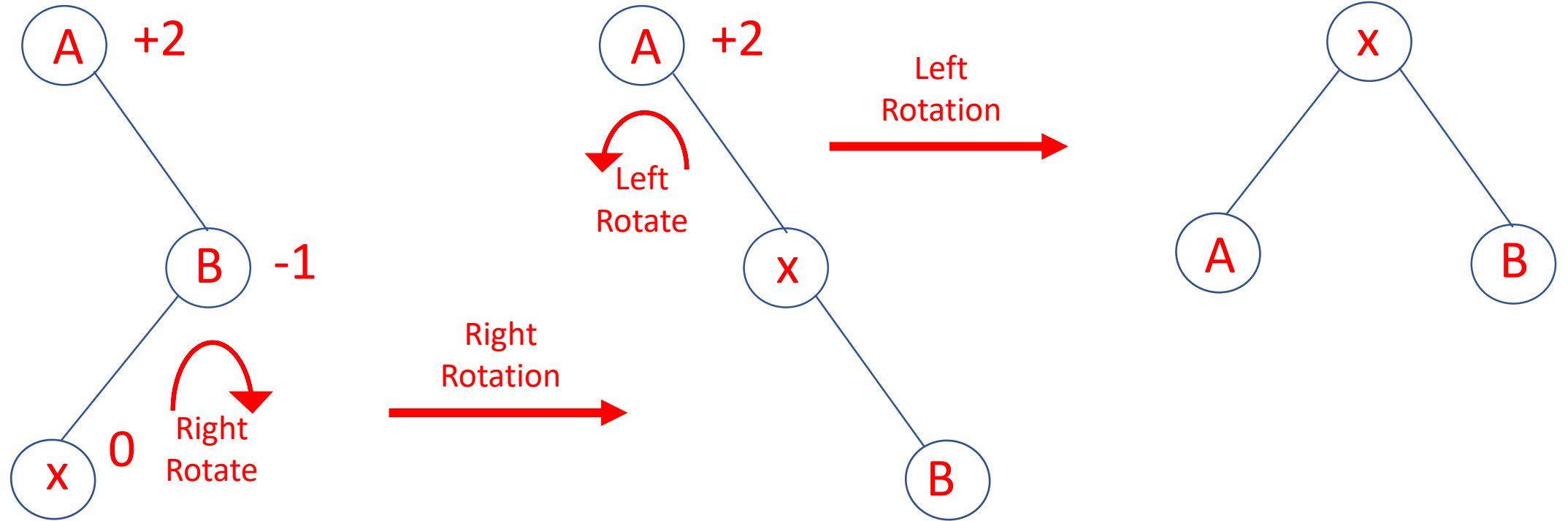
Order of keys: A x B

Case 1 (b) : special case $h = -1$



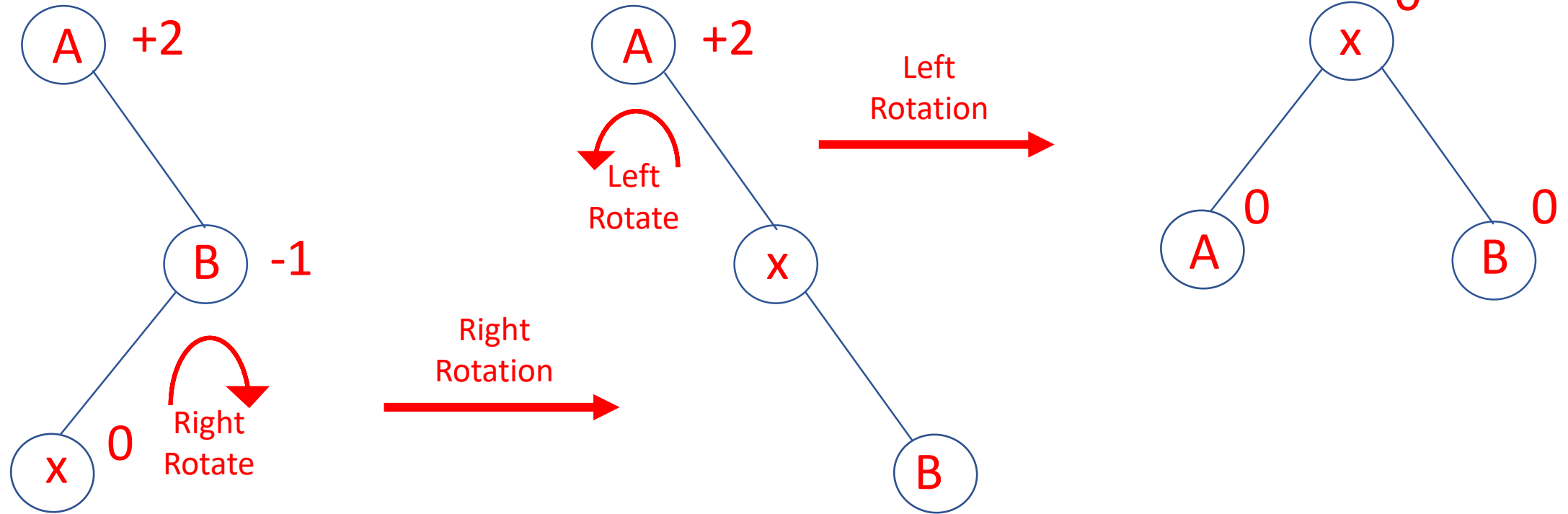
Order of keys: A x B

Case 1 (b) : special case $h = -1$



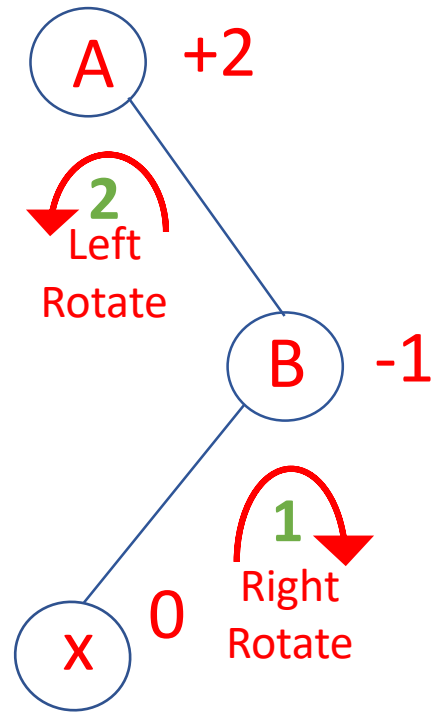
Order of keys: A x B

Case 1 (b) : special case $h = -1$

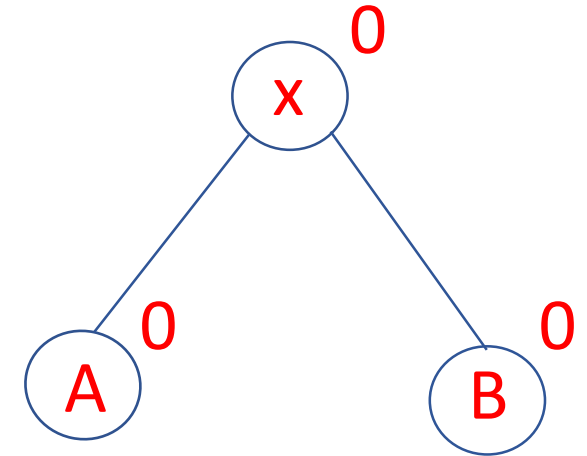


Order of keys: A x B

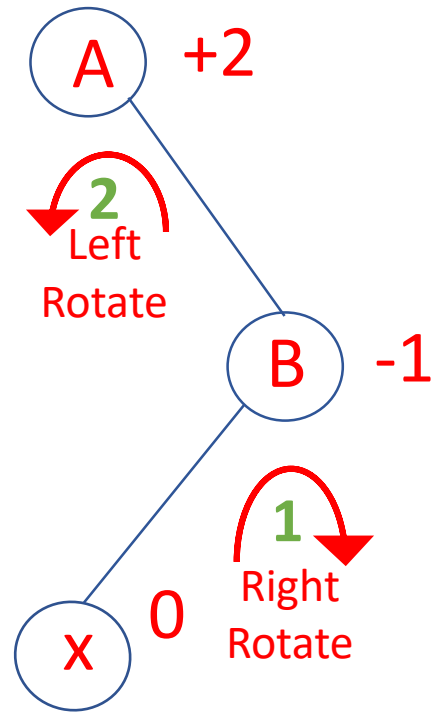
Case 1 (b) : special case $h = -1$



Double
Right-Left
Rotation

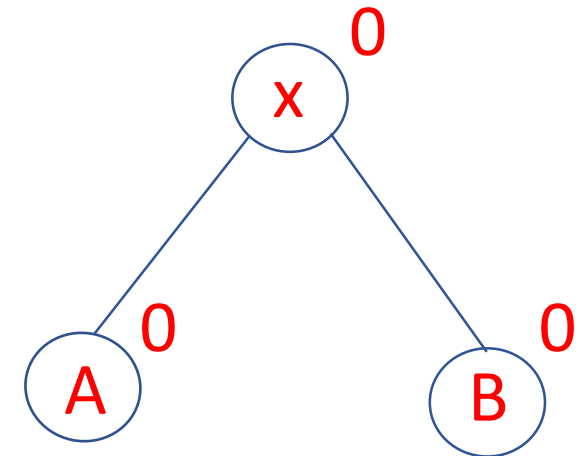


Case 1 (b) : special case $h = -1$



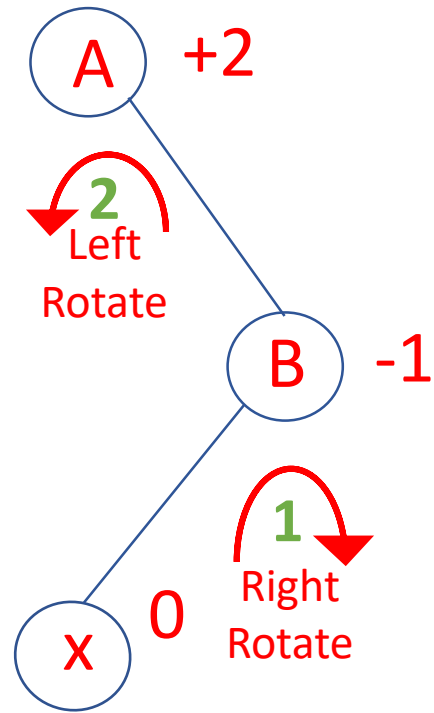
Rotation: (1) Rebalances the subtree

Double
Right-Left
Rotation
→

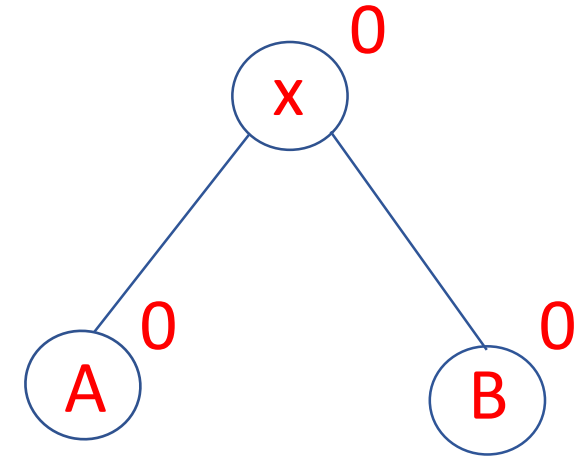


Rotation: (2) Preserves **BST property** (order: $A \times B$)

Case 1 (b) : special case $h = -1$

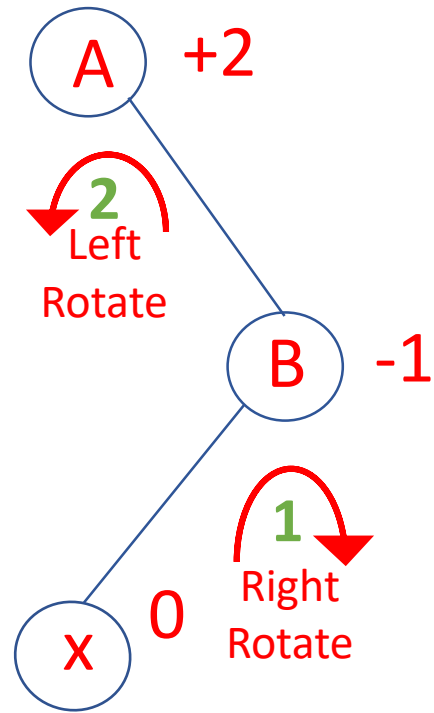


Double
Right-Left
Rotation
→

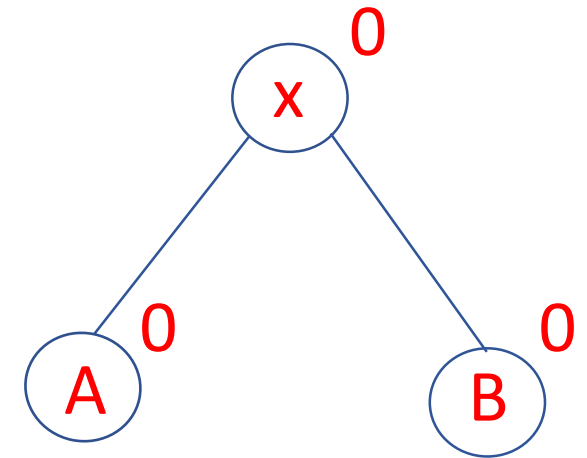



Rotation: (3) Bonus?

Case 1 (b) : special case $h = -1$

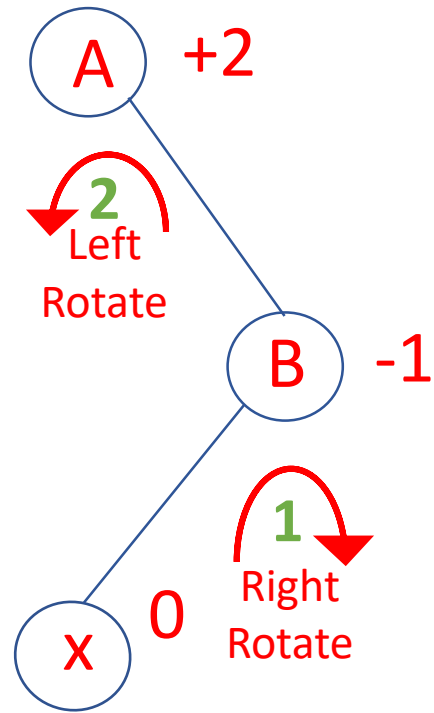


Double
Right-Left
Rotation

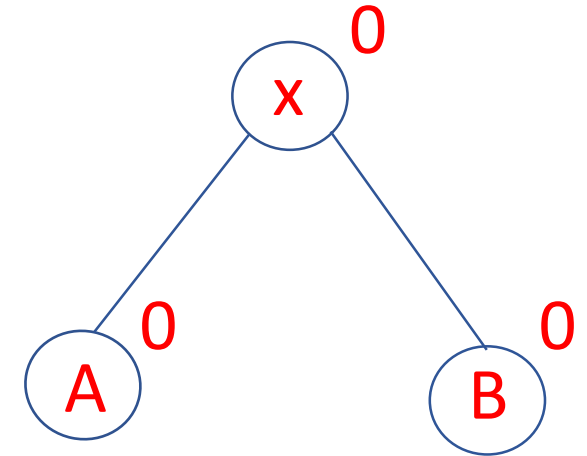


Rotation: (3) Bonus? YES!

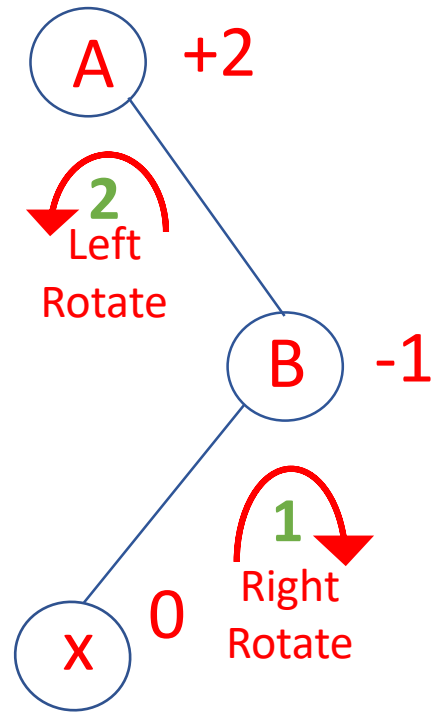
Case 1 (b) : special case $h = -1$



Double
Right-Left
Rotation

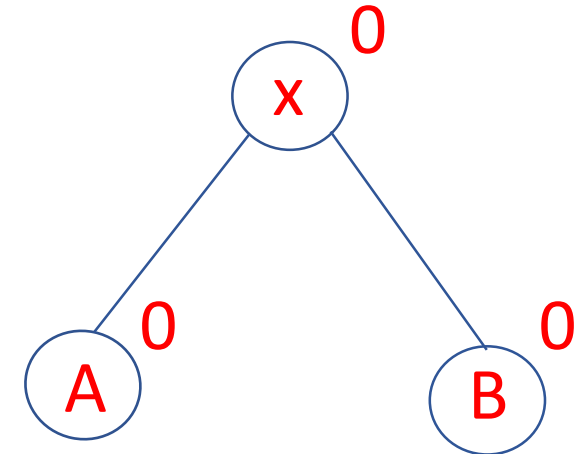


Case 1 (b) : special case $h = -1$

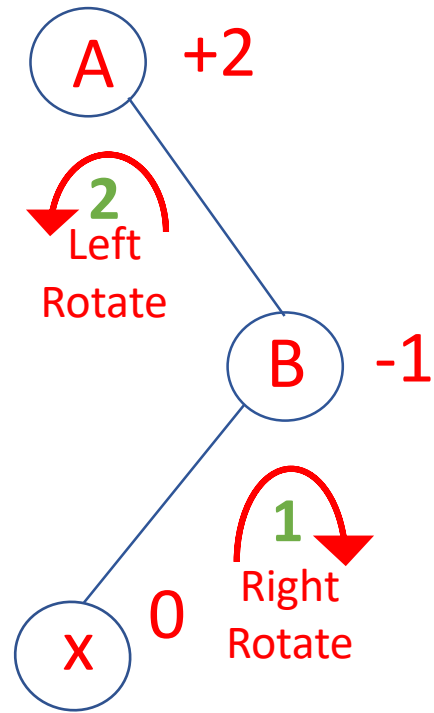


Rotation: (3) Bonus: height of this subtree is the same both before and after the insertion of x

Double
Right-Left
Rotation
→

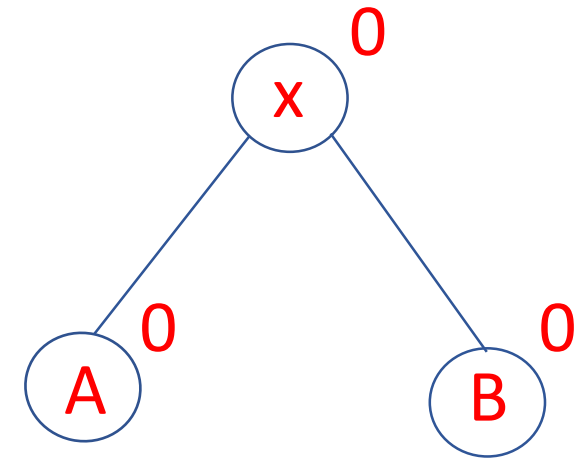


Case 1 (b) : special case $h = -1$

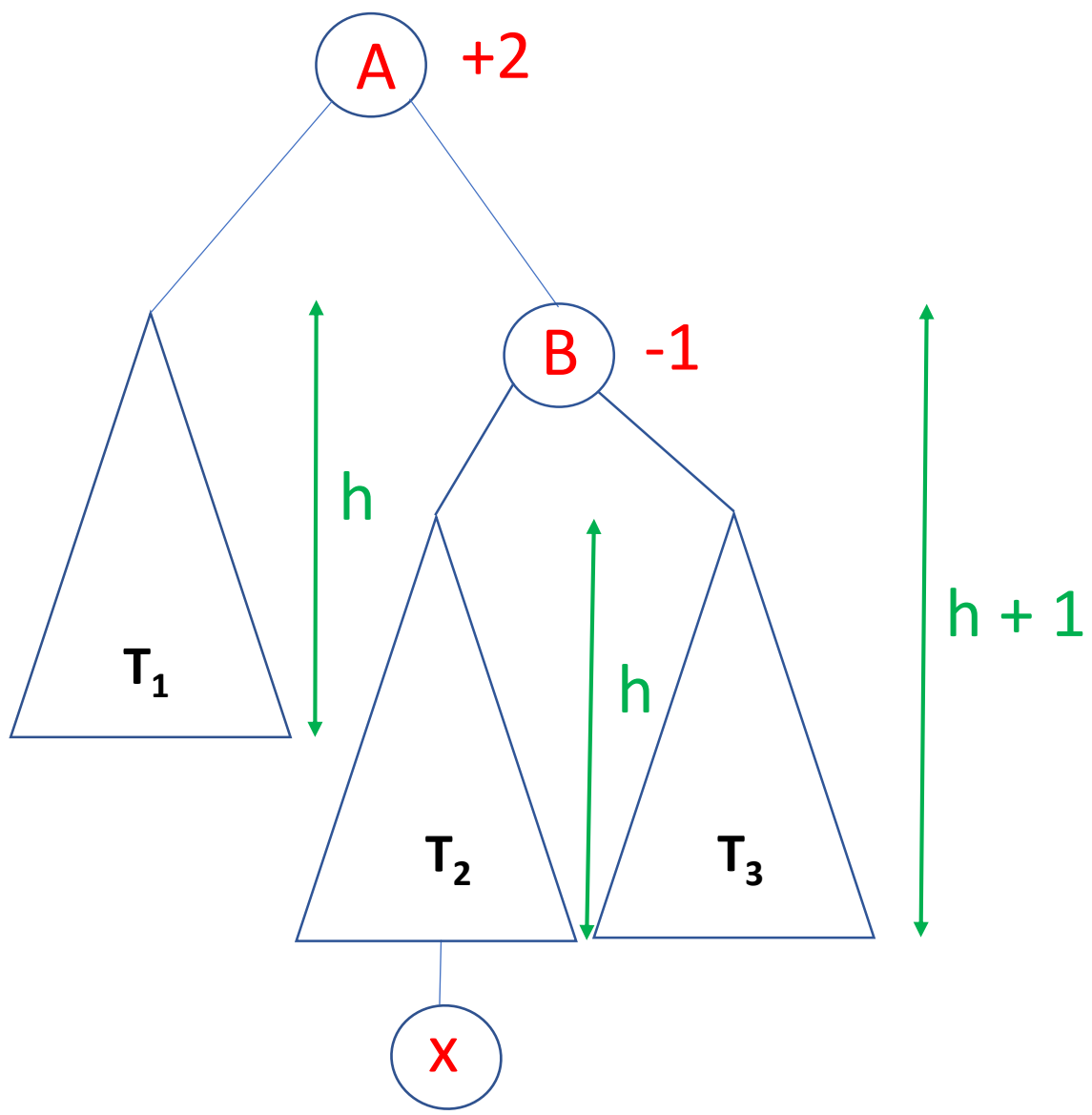


Rotation: (3) Bonus → insertion algorithm is done

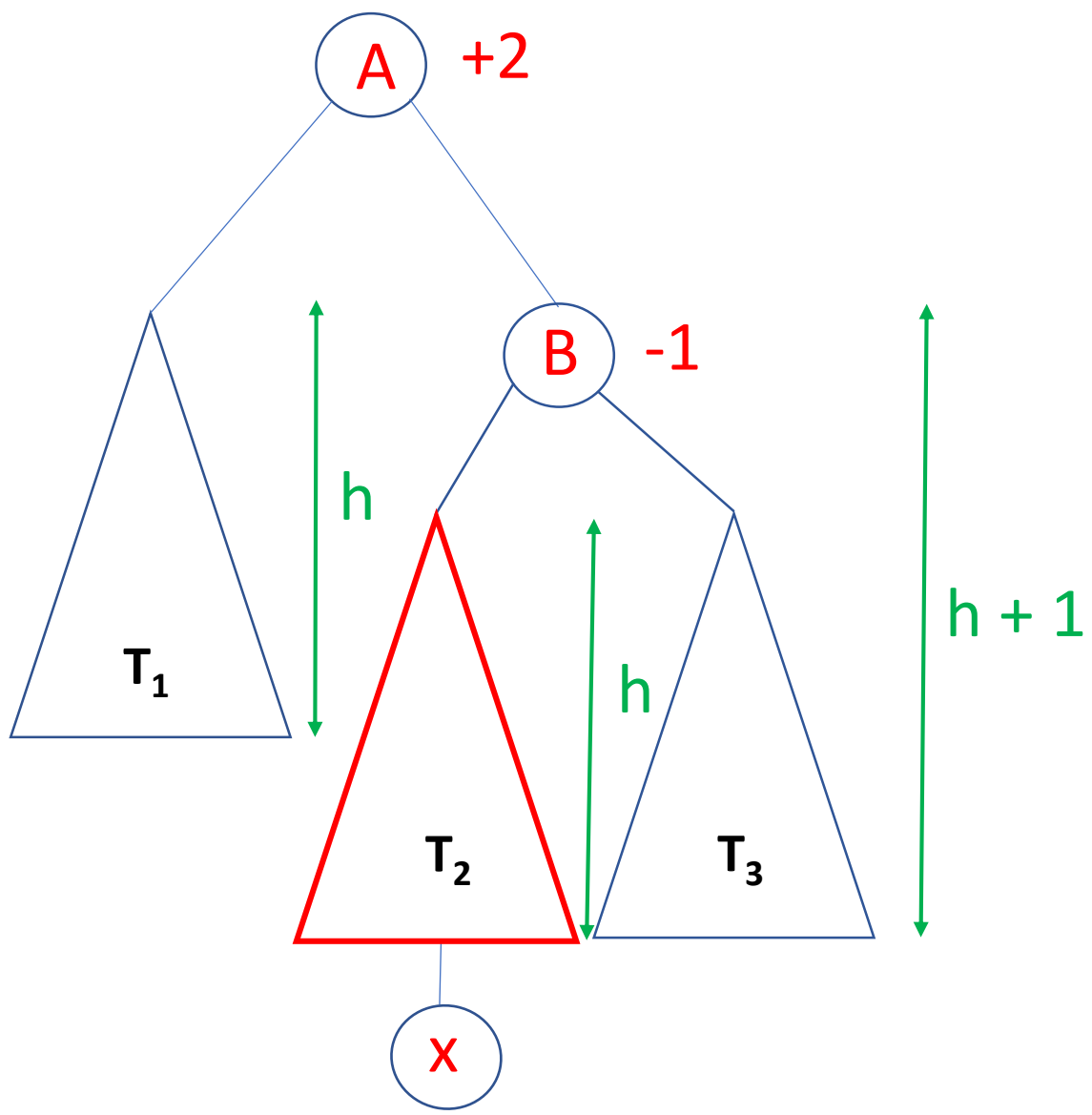
Double
Right-Left
Rotation



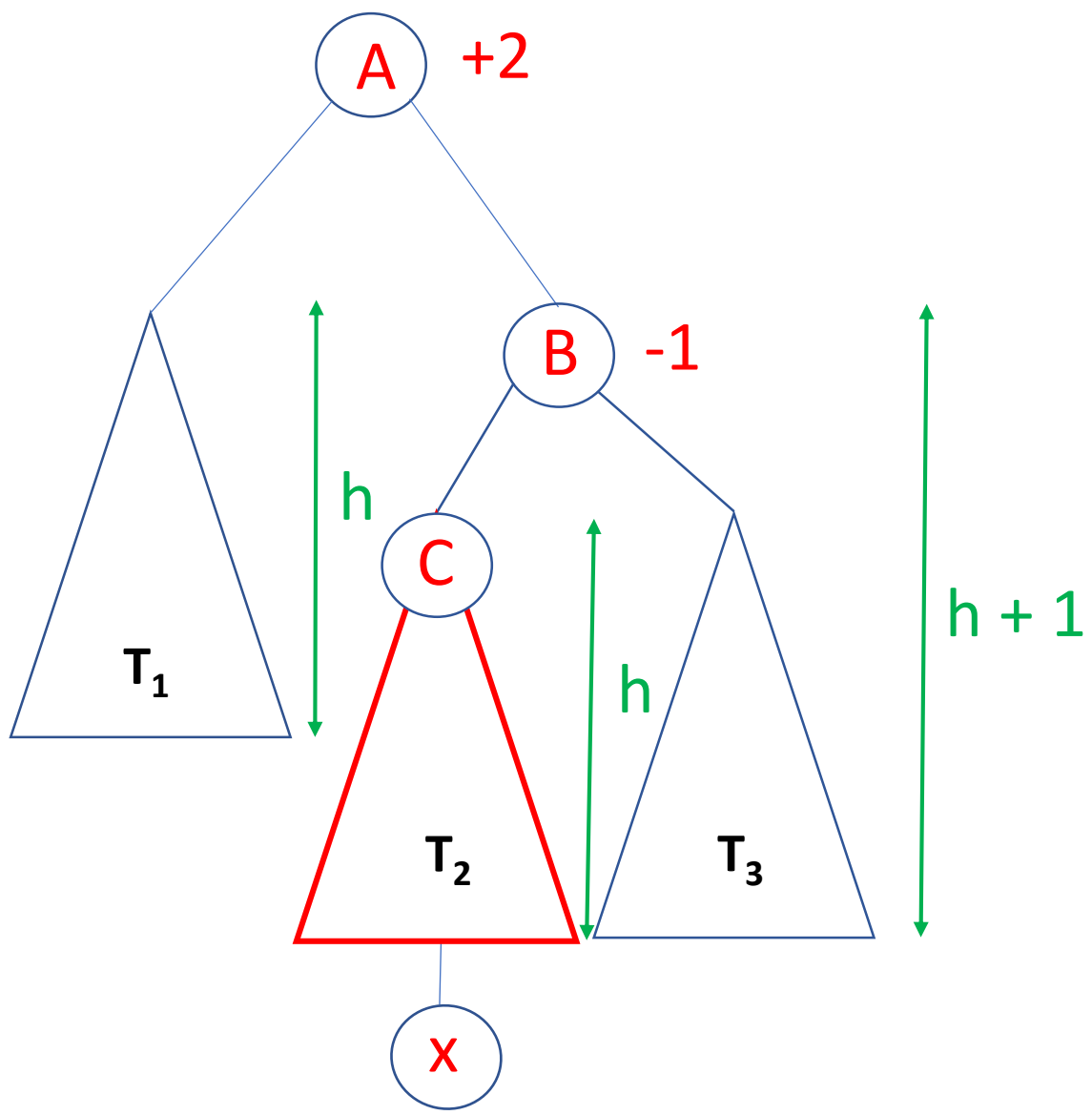
Case 1 (b) : $h > -1$



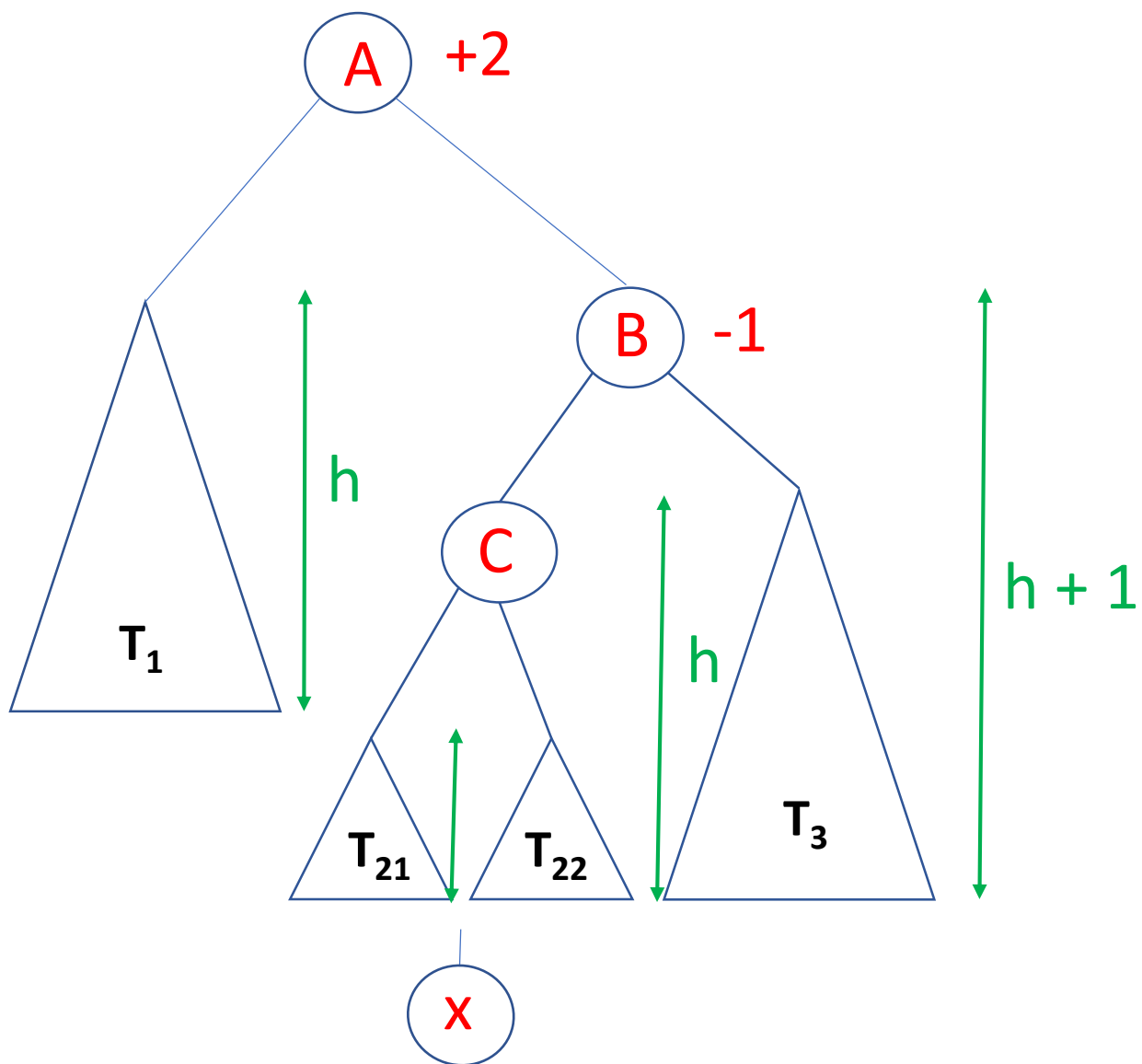
Case 1 (b) : $h > -1$



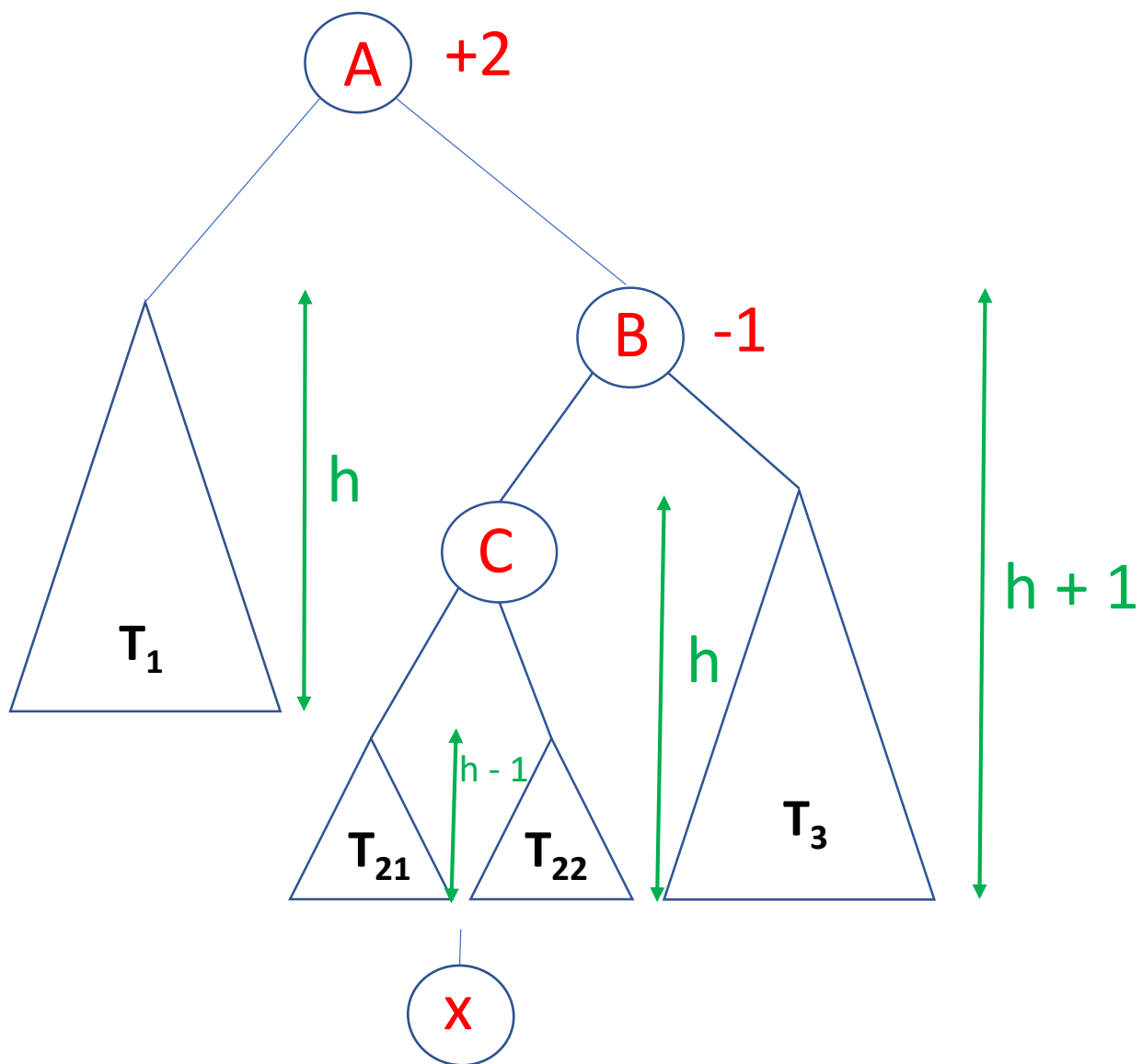
Case 1 (b) : $h > -1$



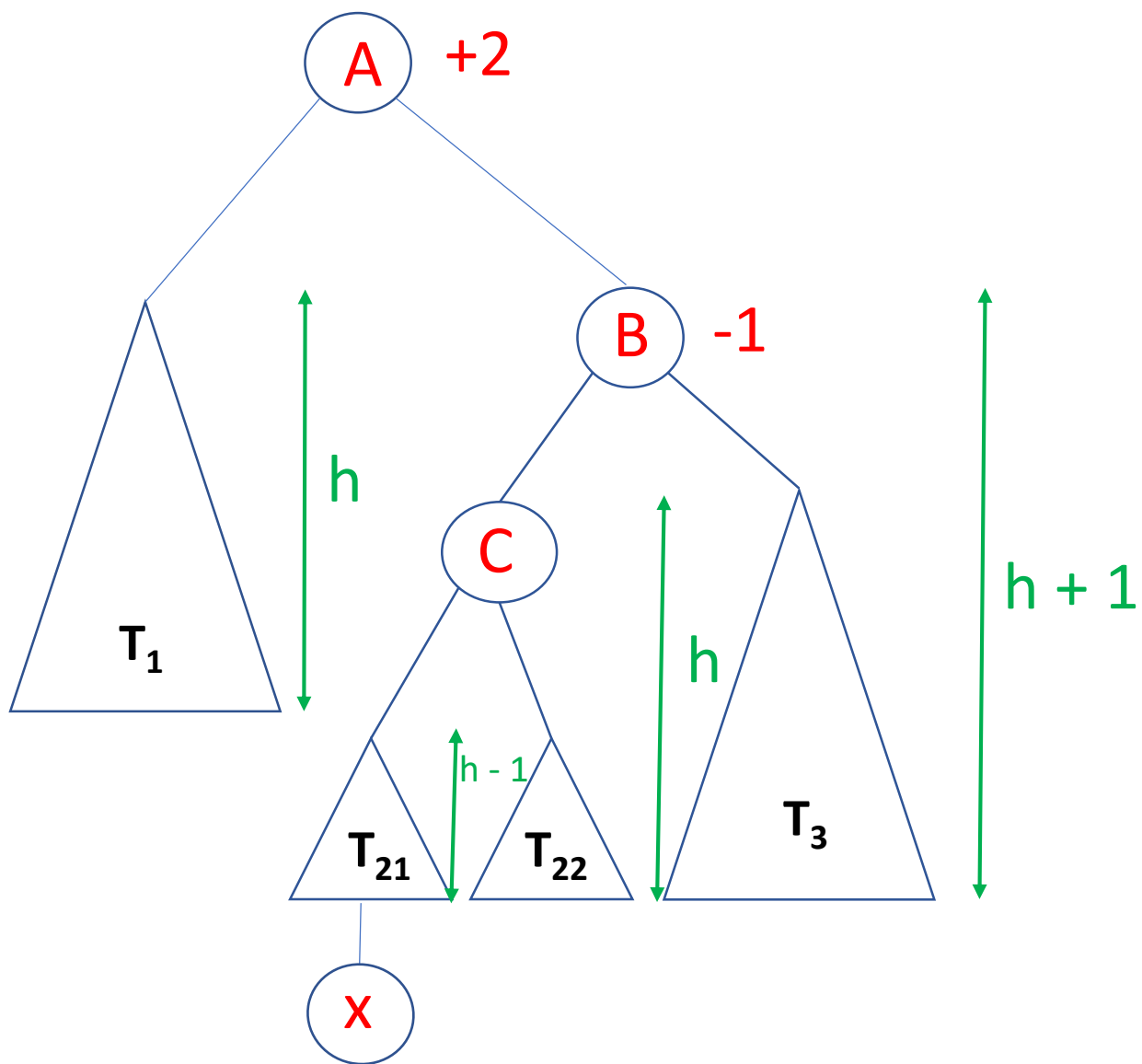
Case 1 (b) : $h > -1$



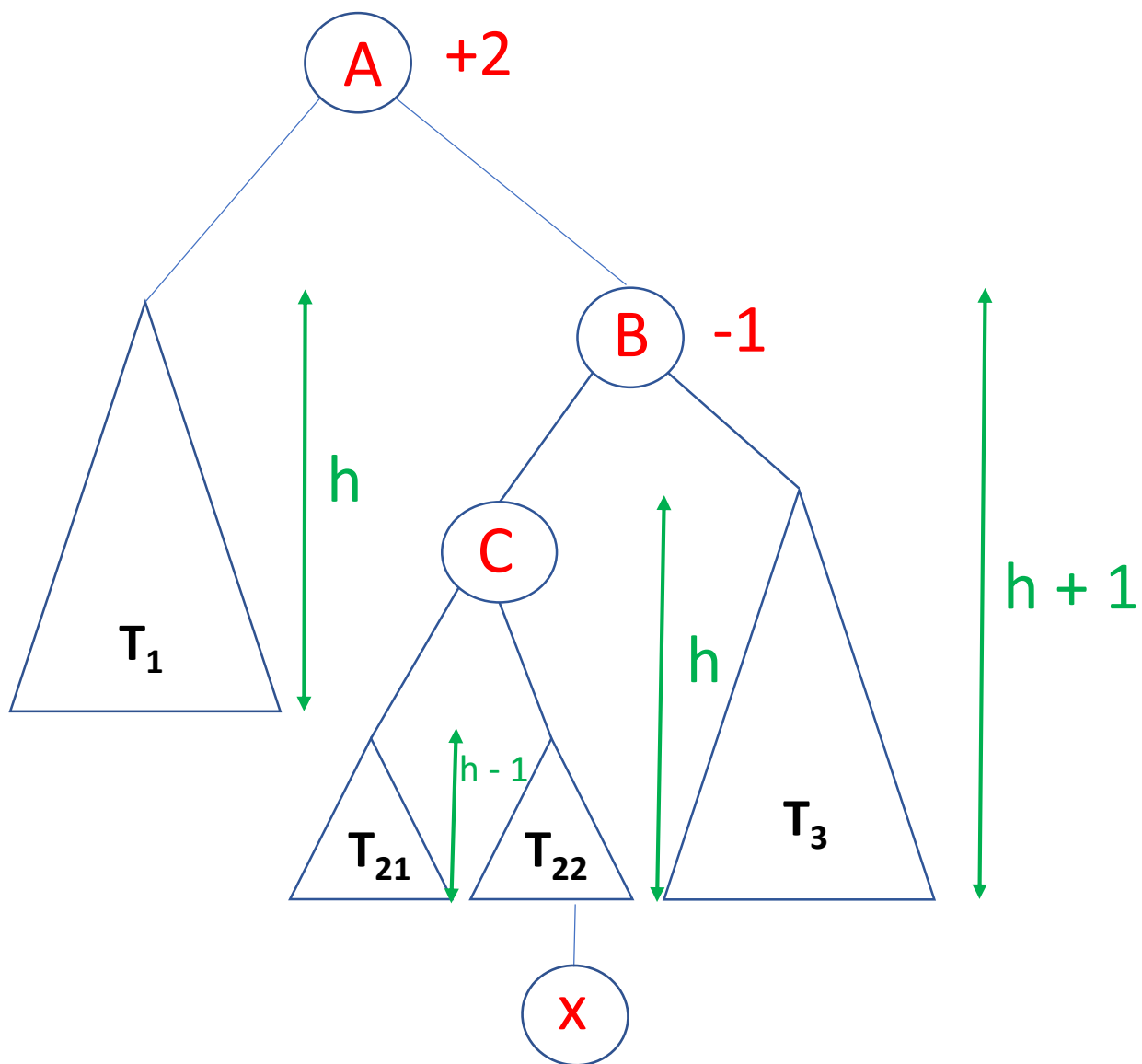
Case 1 (b) : $h > -1$



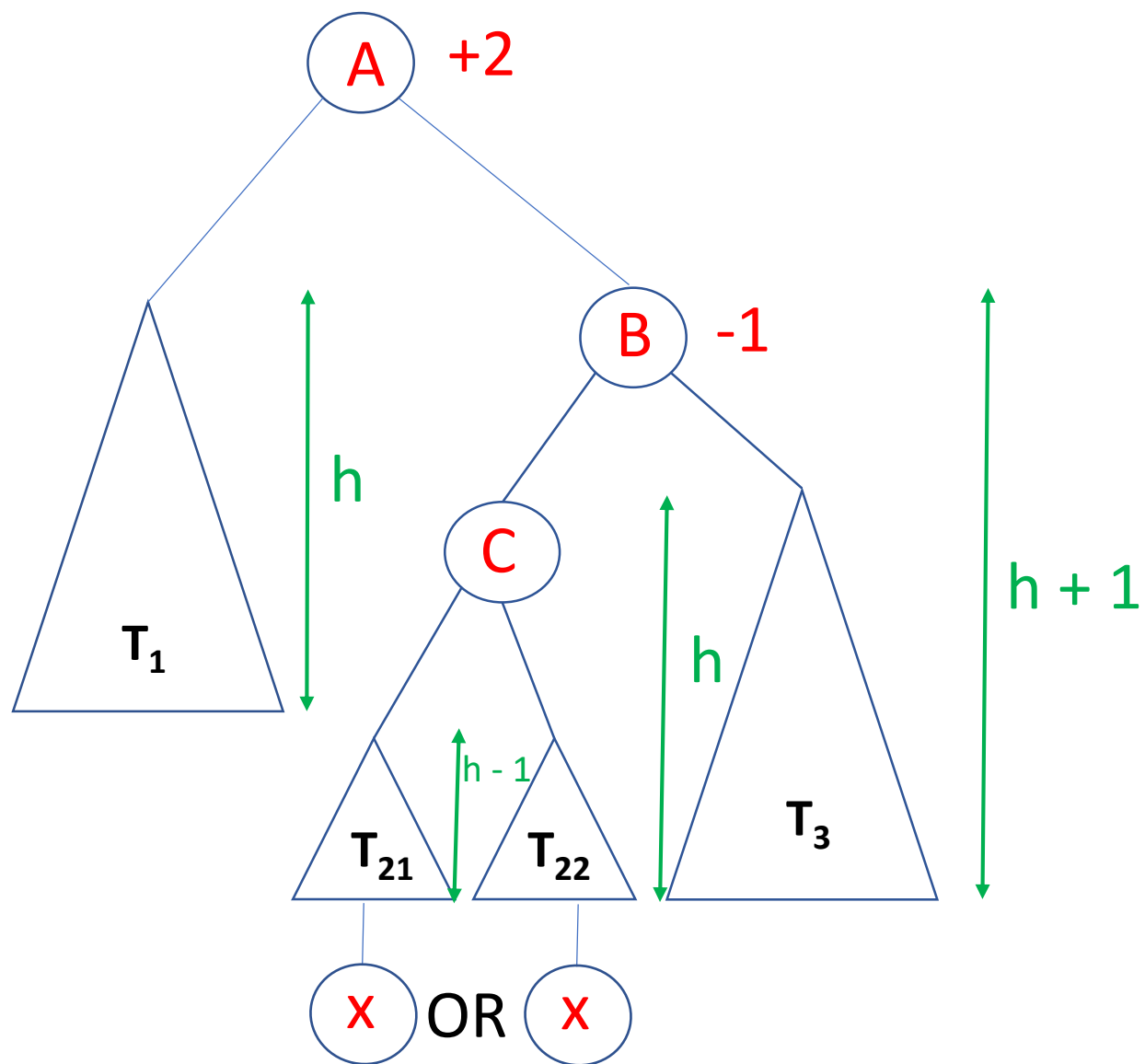
Case 1 (b) : $h > -1$



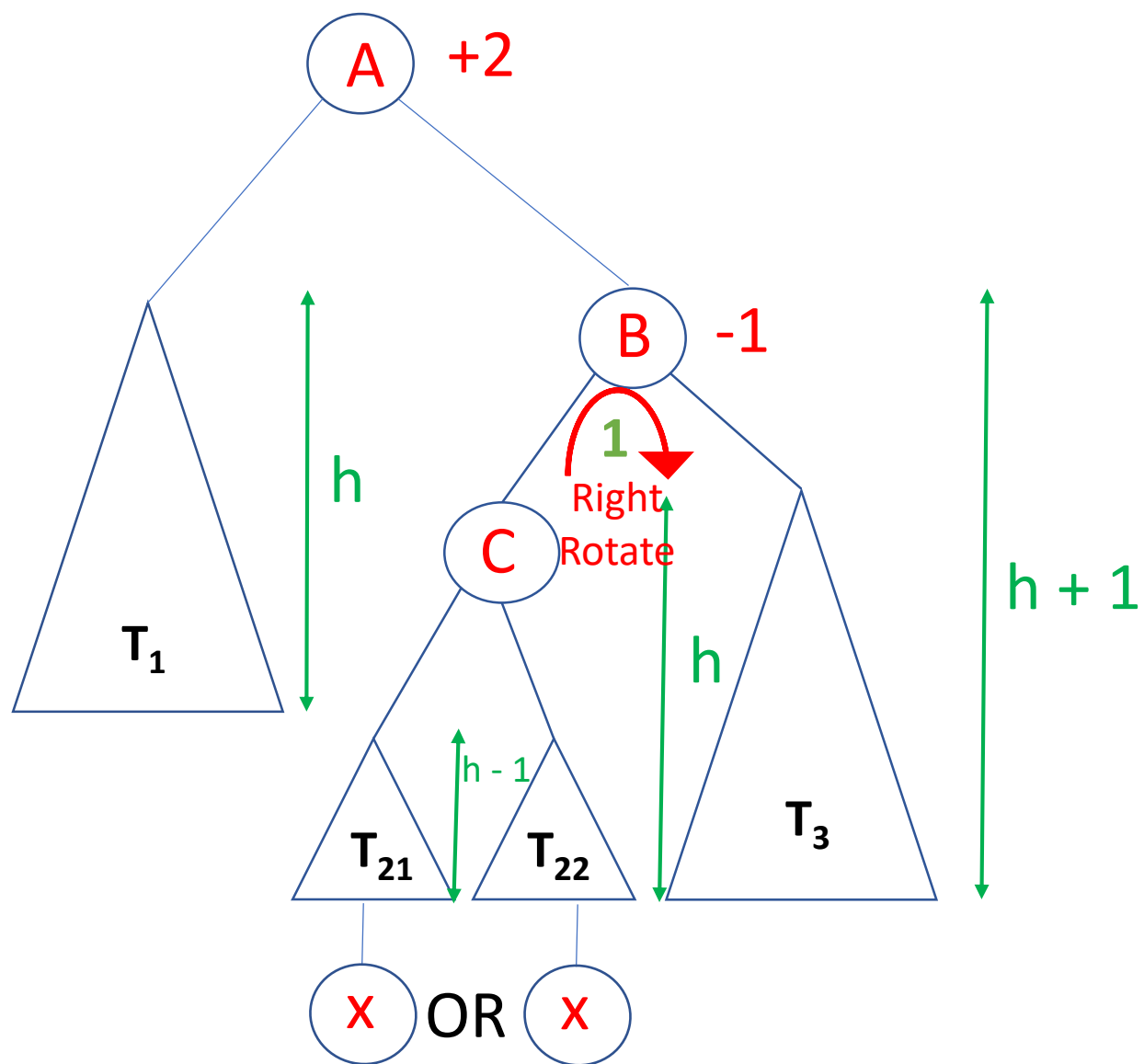
Case 1 (b) : $h > -1$



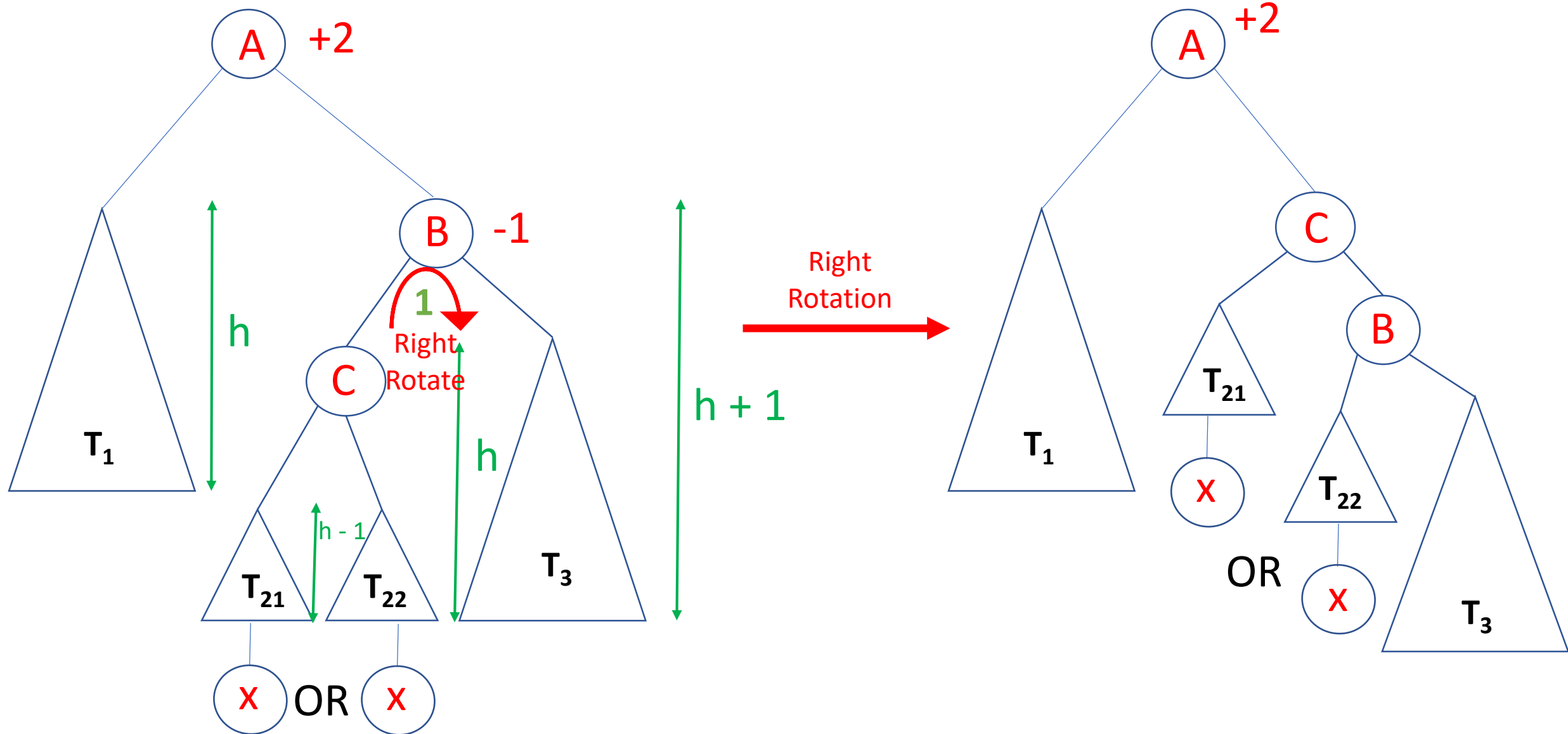
Case 1 (b) : $h > -1$



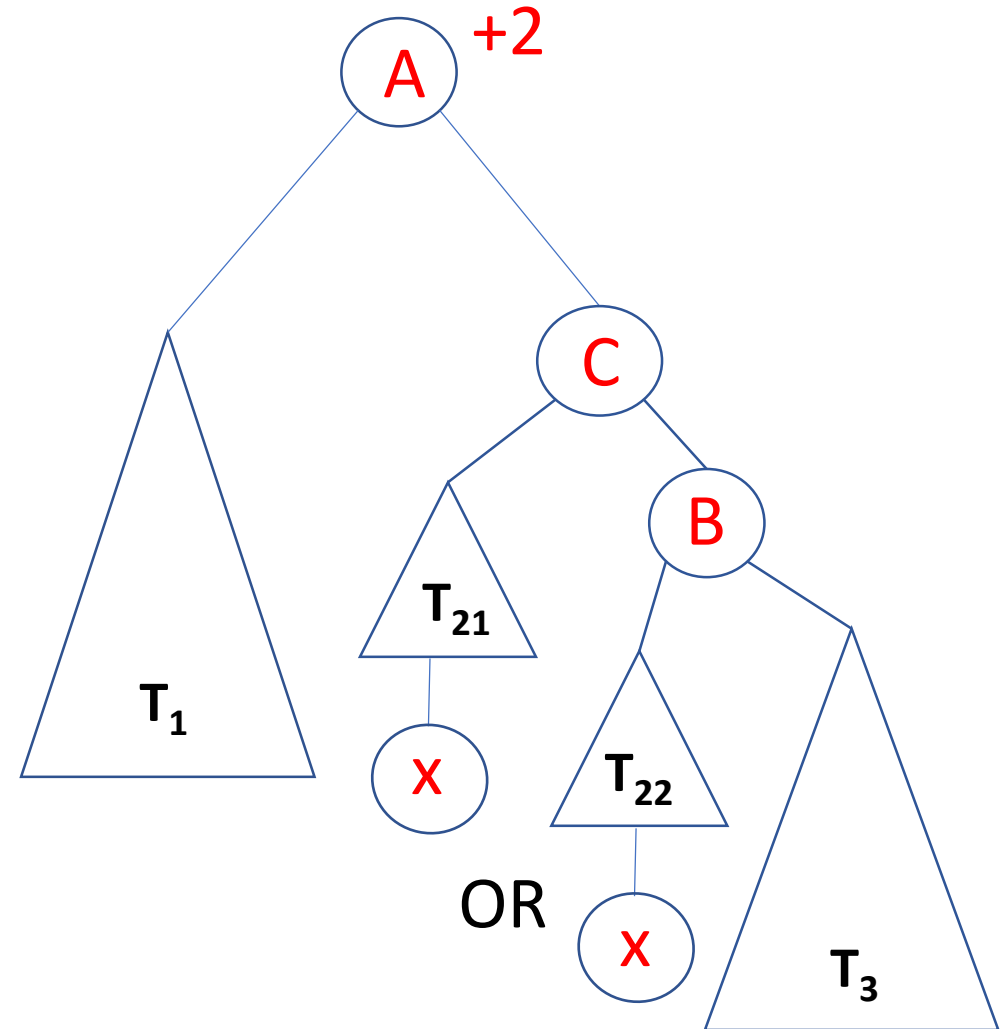
Case 1 (b) : $h > -1$



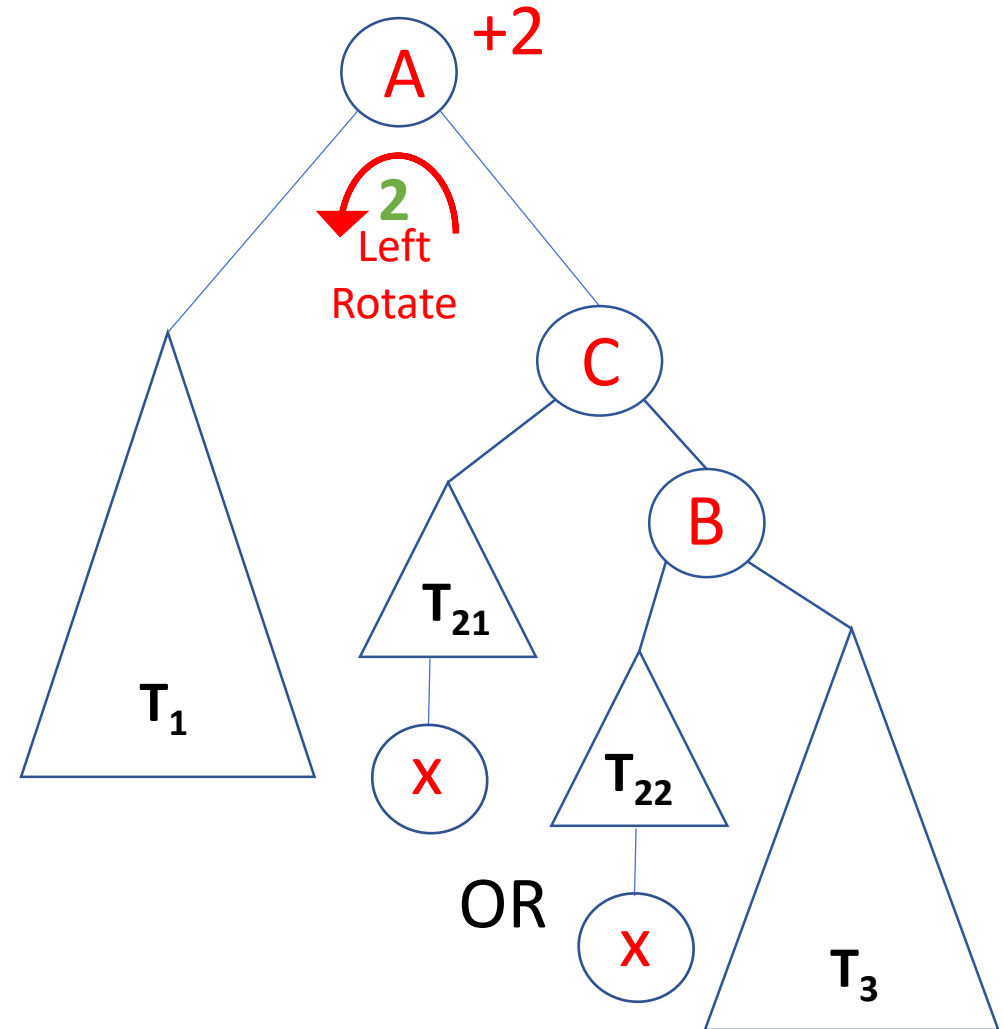
Case 1 (b) : $h > -1$



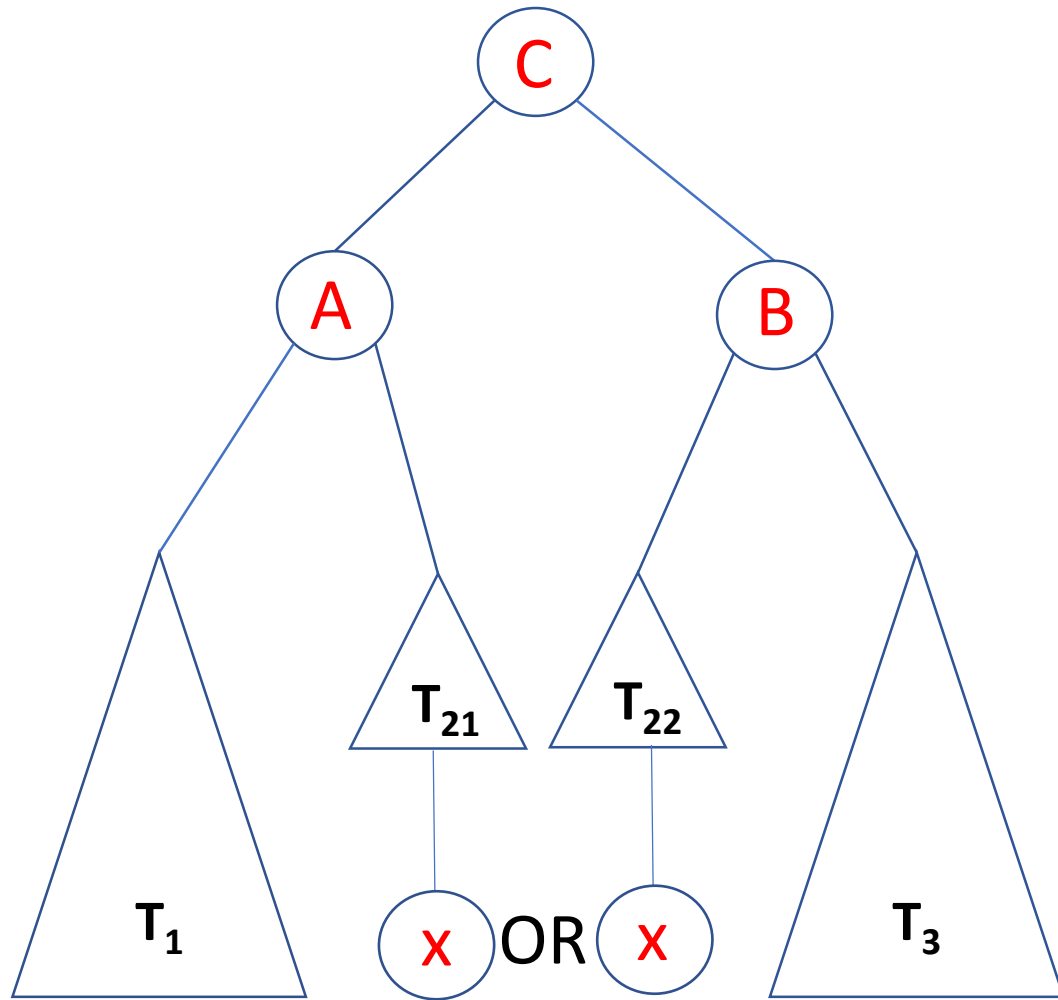
Case 1 (b) : $h > -1$



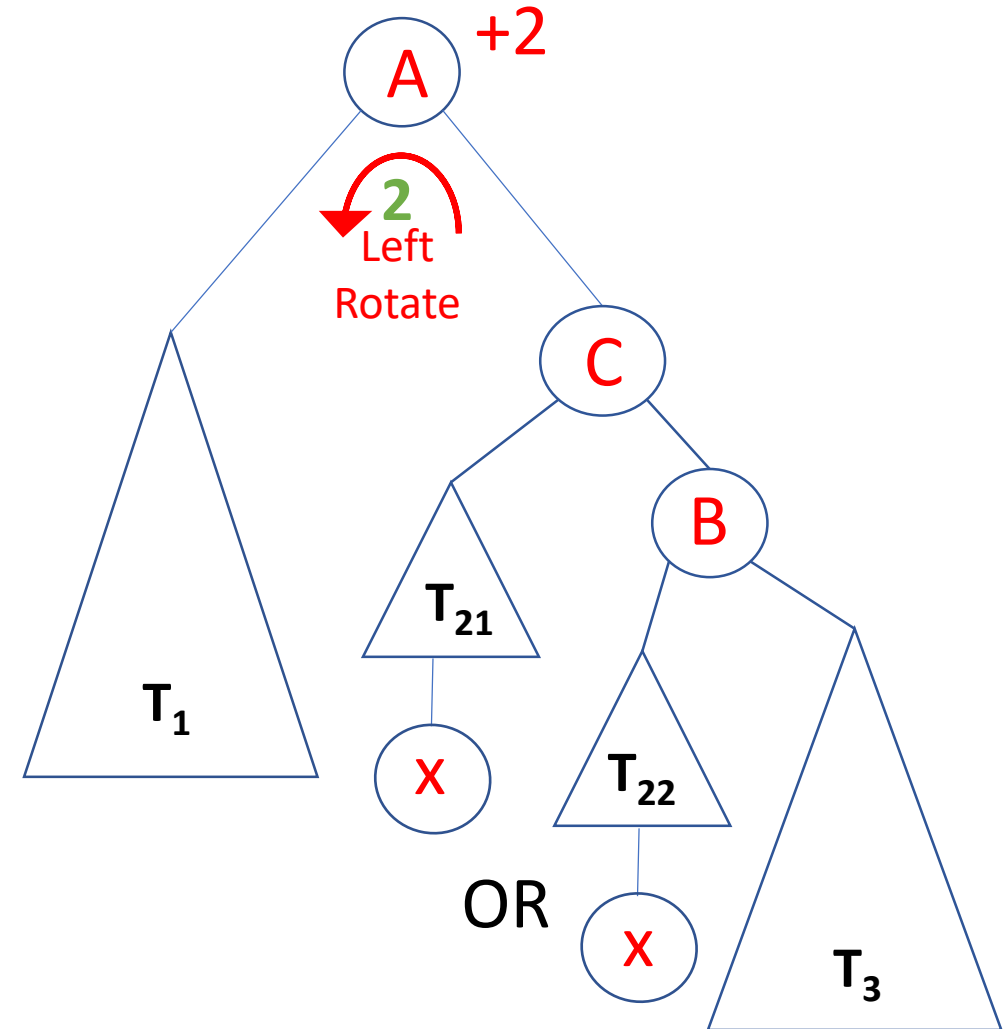
Case 1 (b) : $h > -1$



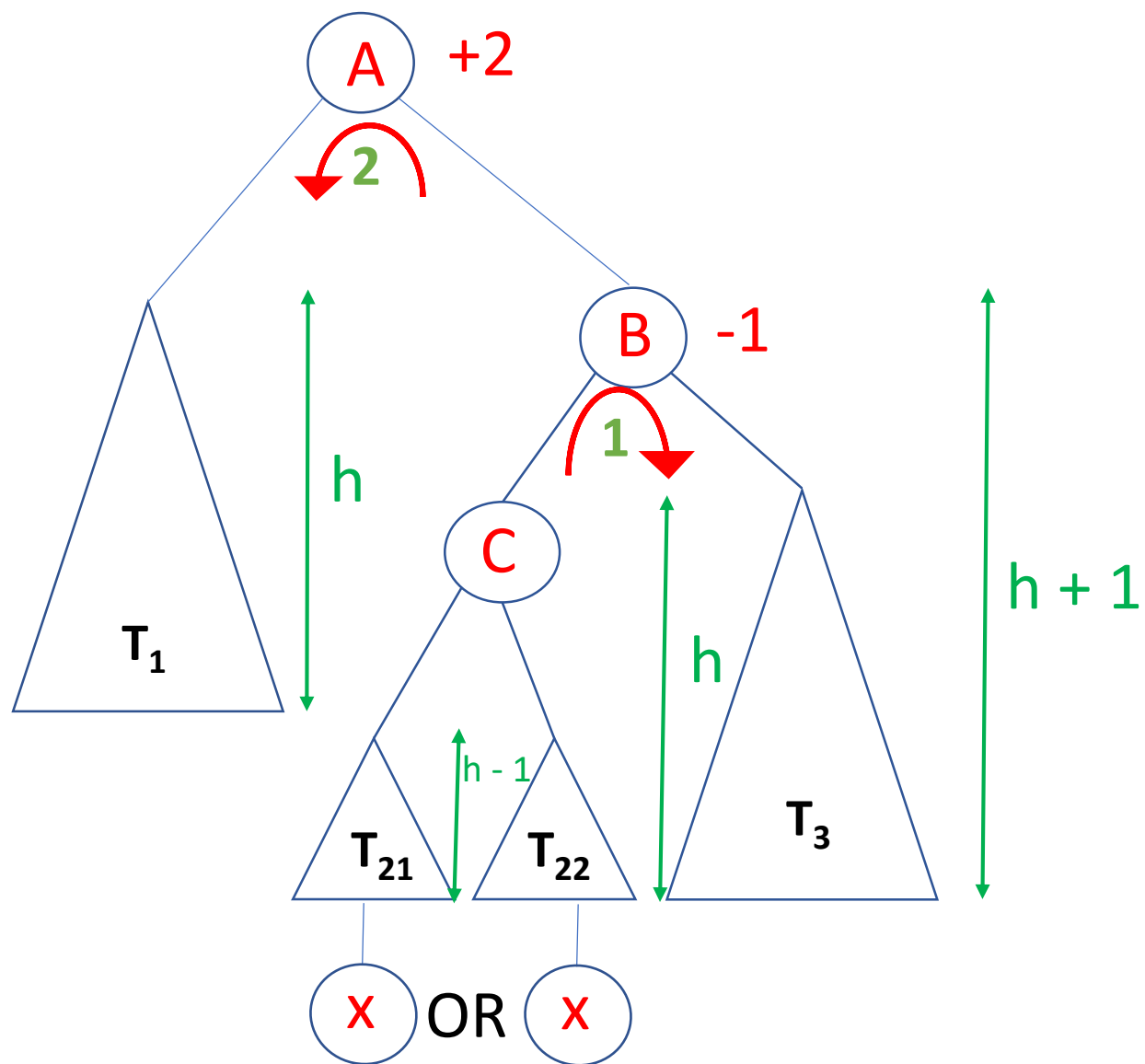
Case 1 (b) : $h > -1$



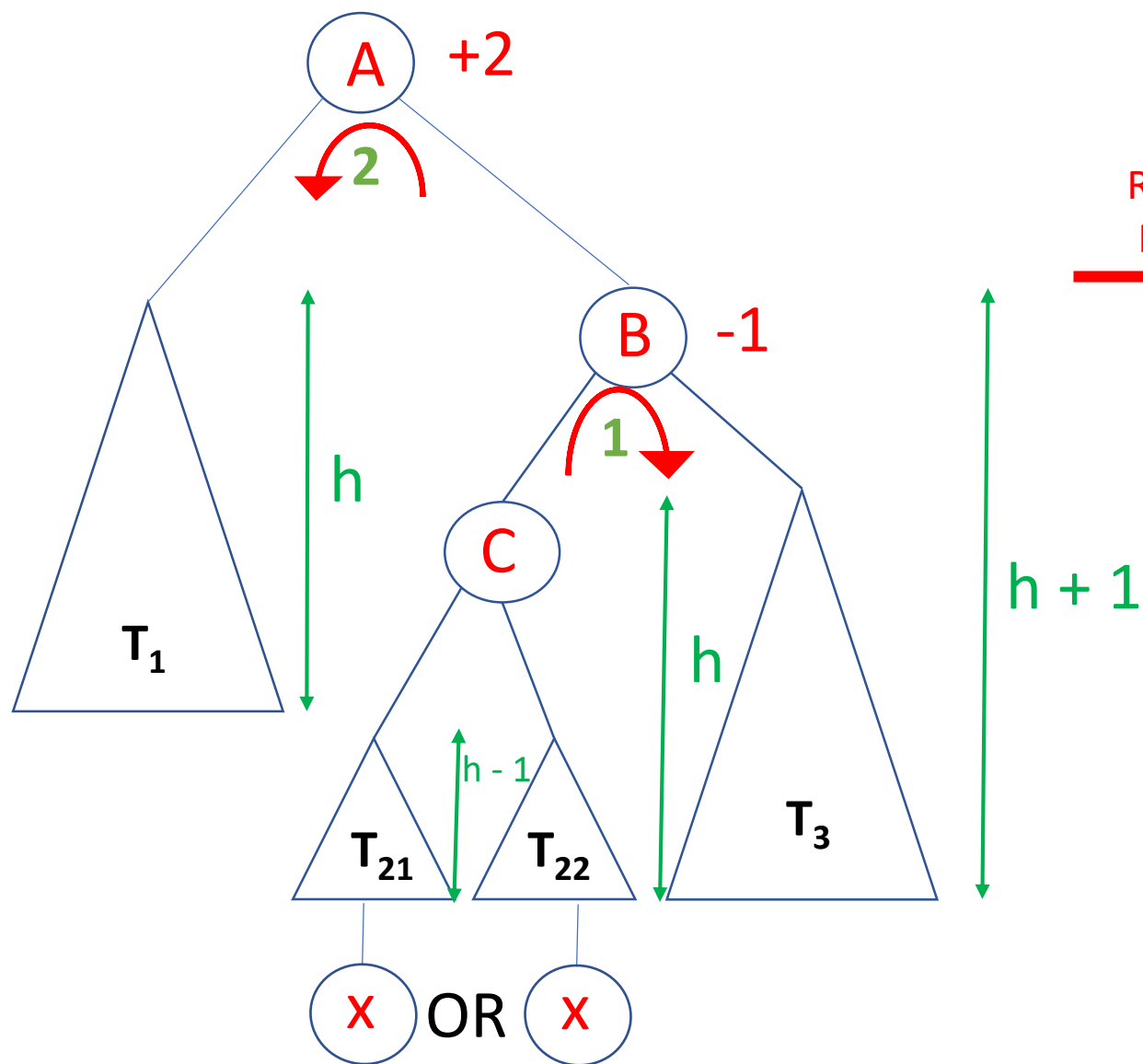
Left
Rotation
←



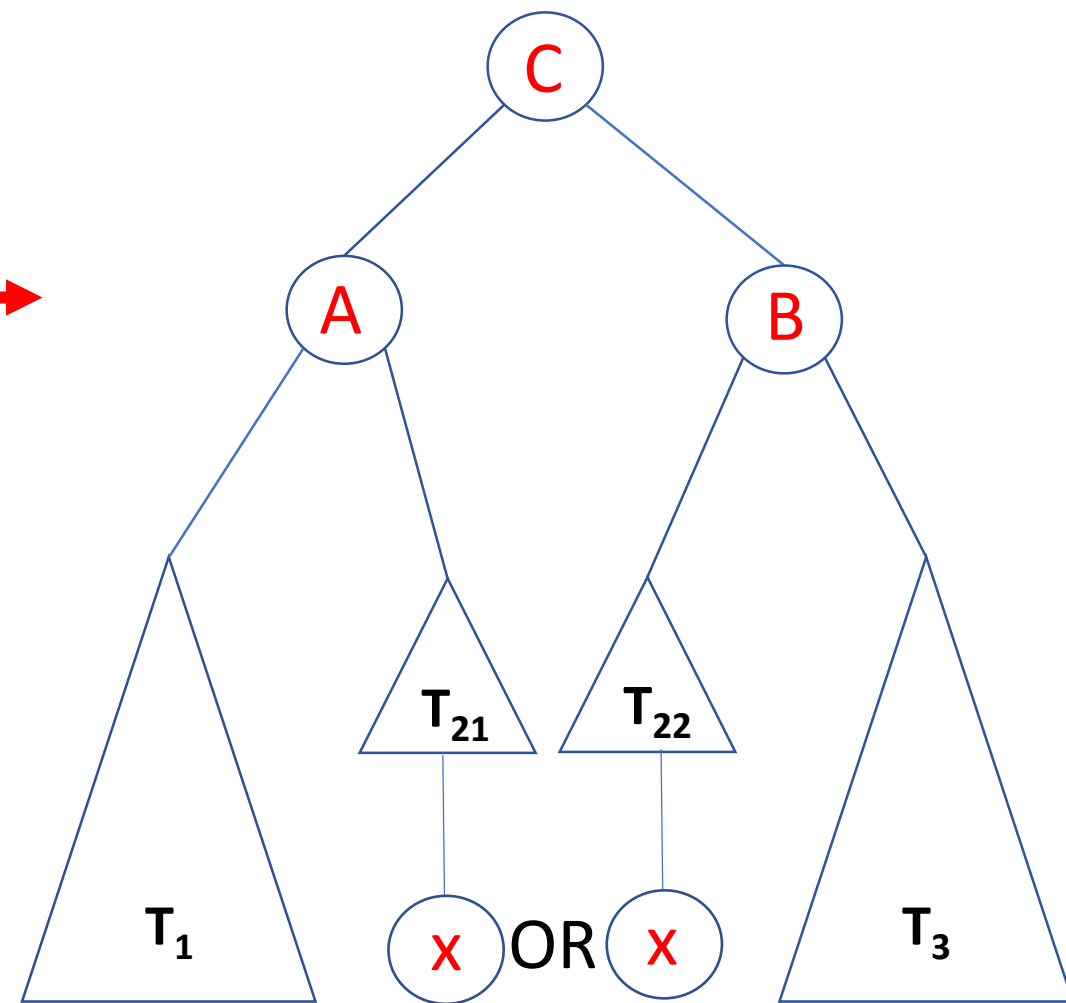
Case 1 (b) : $h > -1$



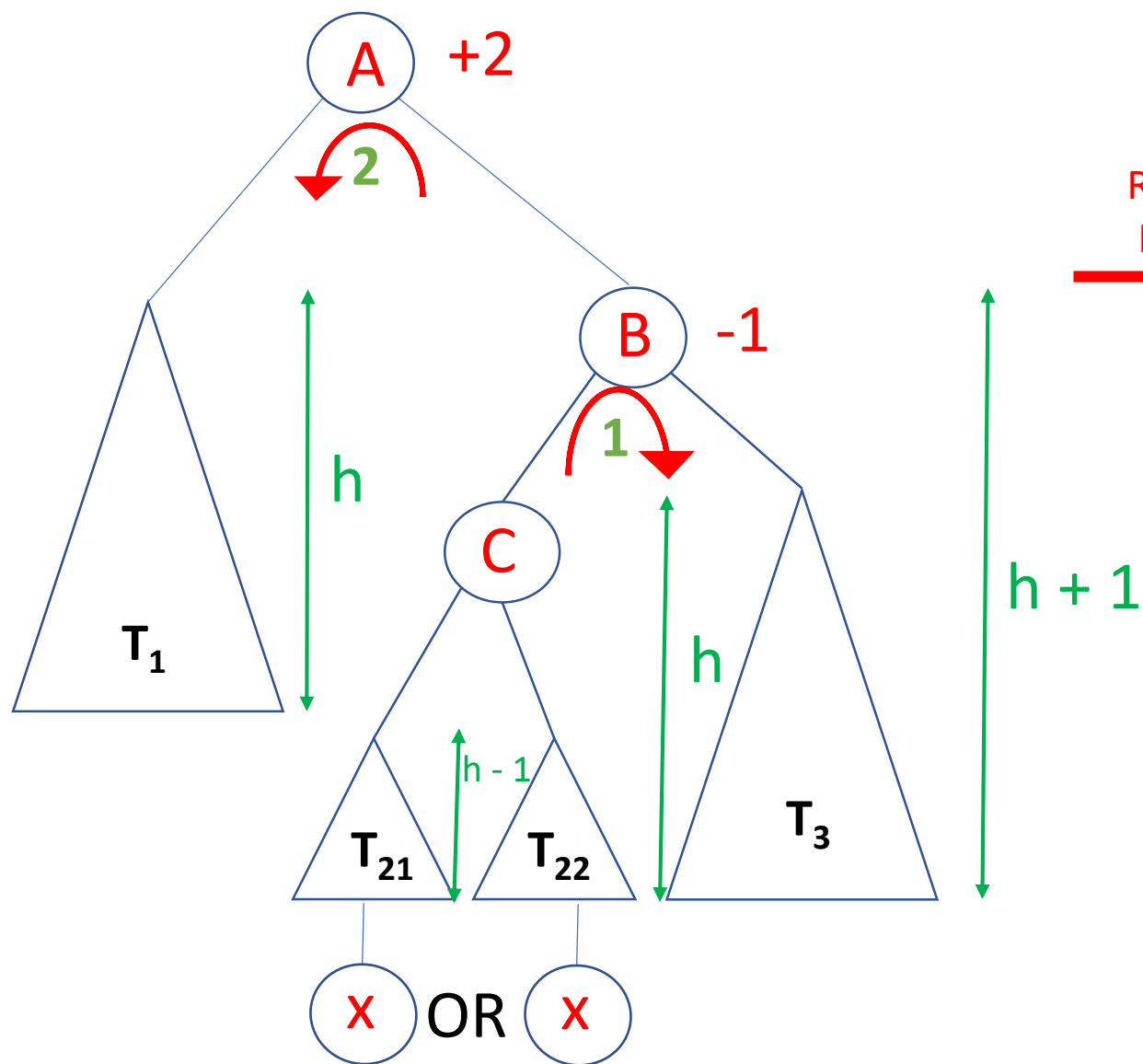
Case 1 (b) : $h > -1$



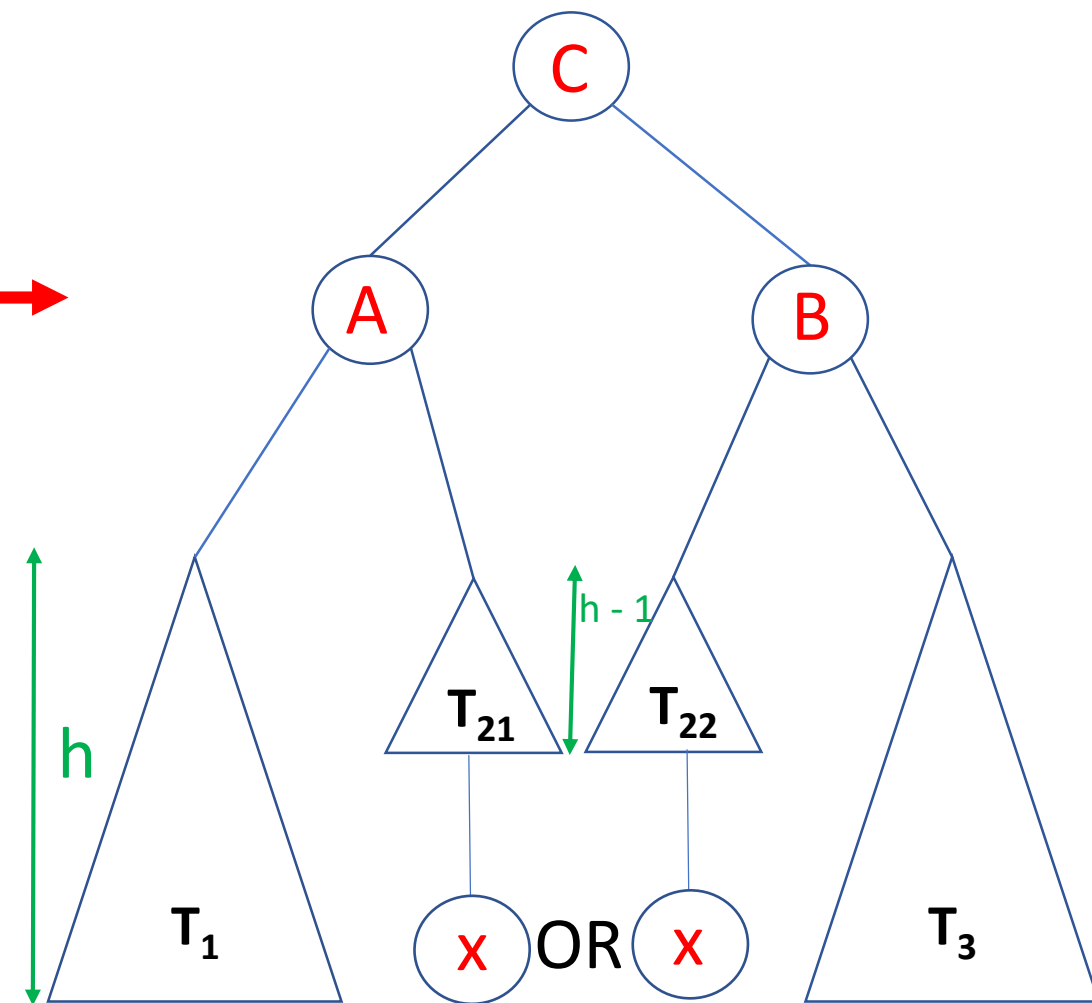
Double
Right-Left
Rotation



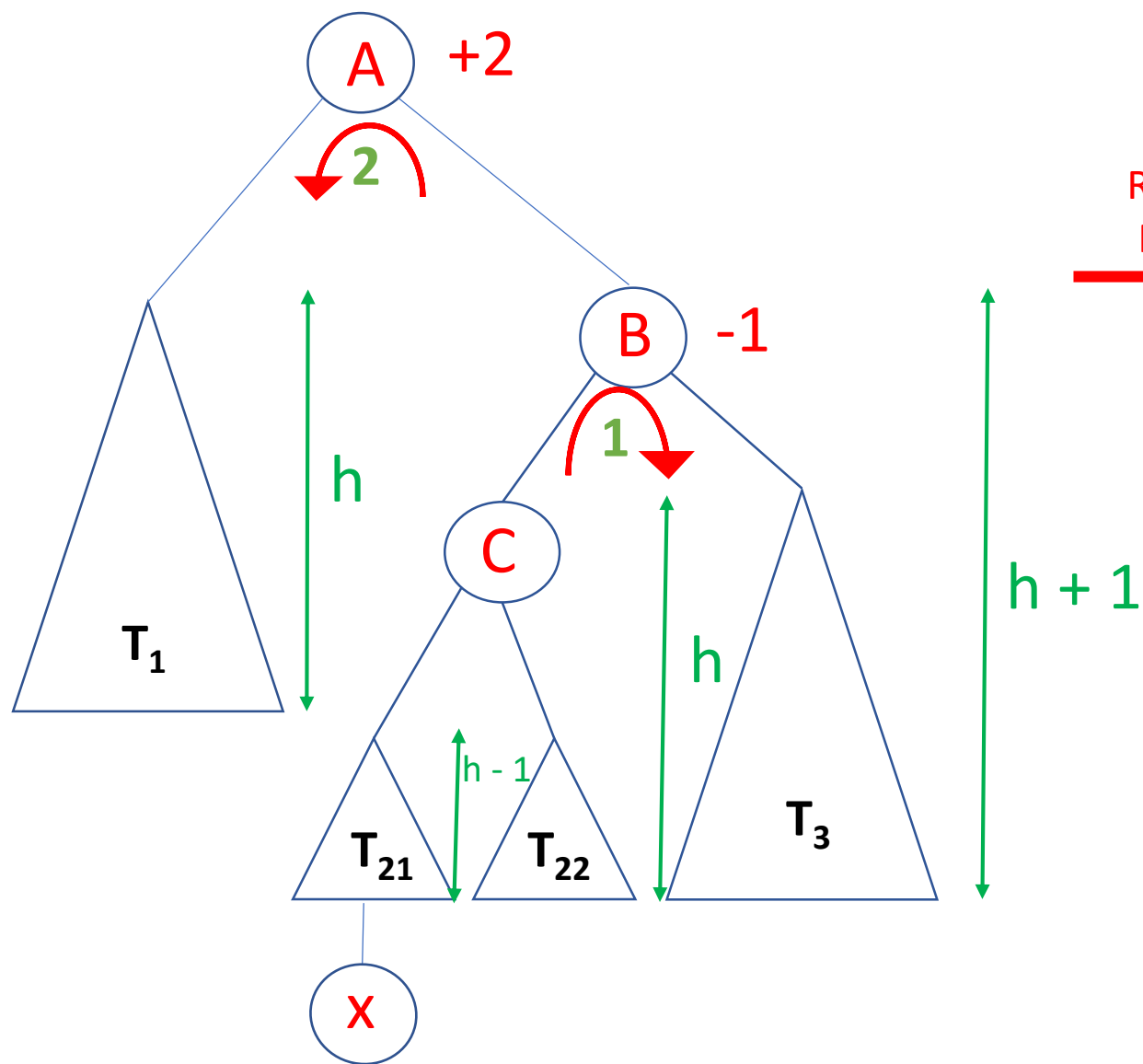
Case 1 (b) : $h > -1$



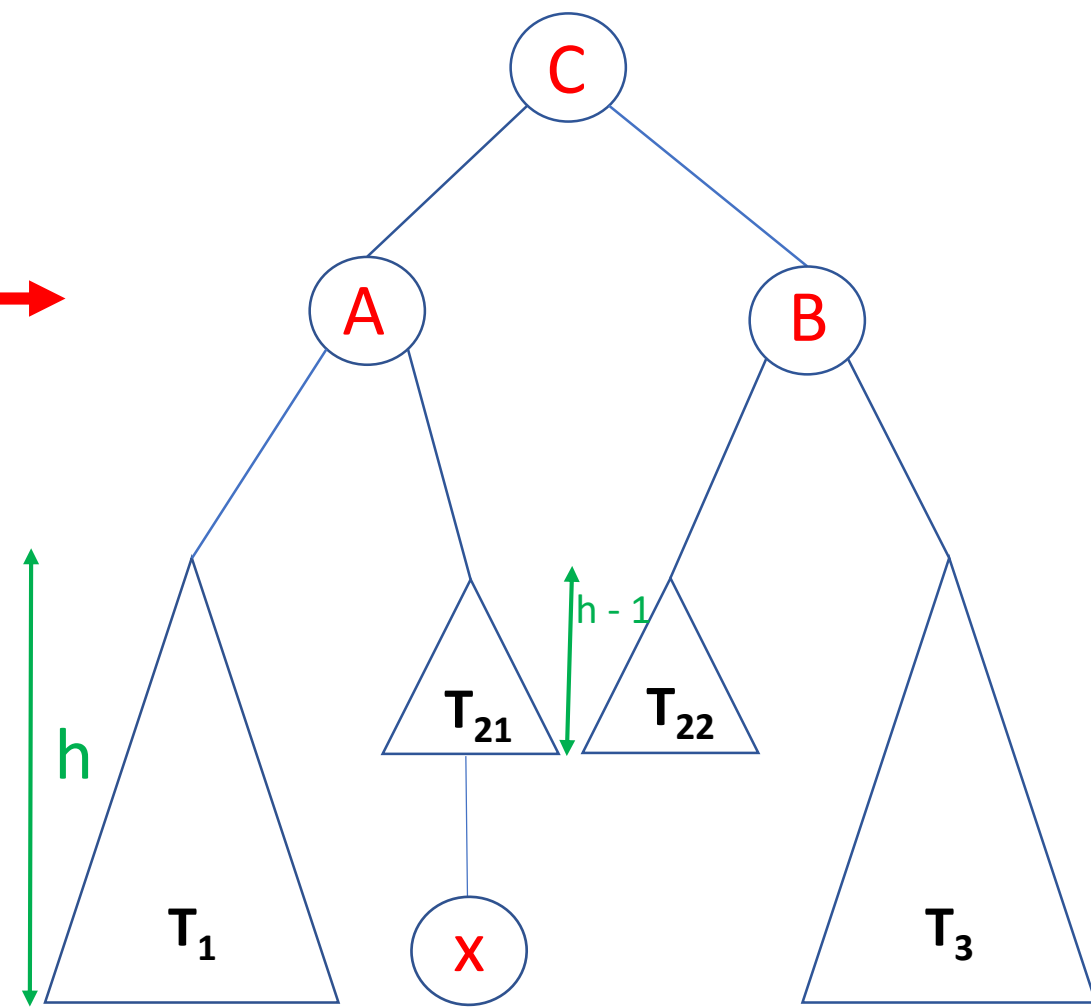
Double
Right-Left
Rotation



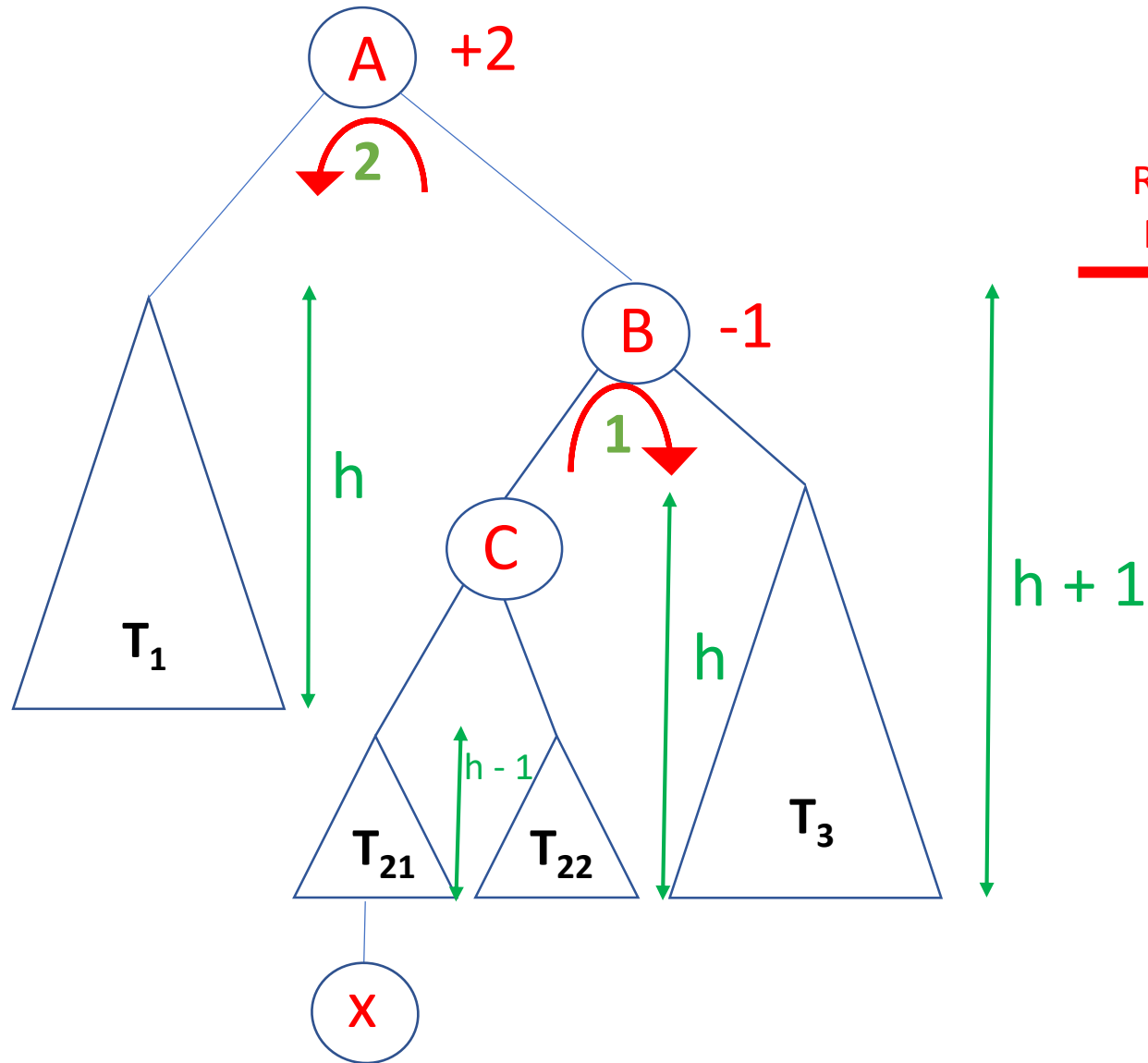
Case 1 (b) : $h > -1$



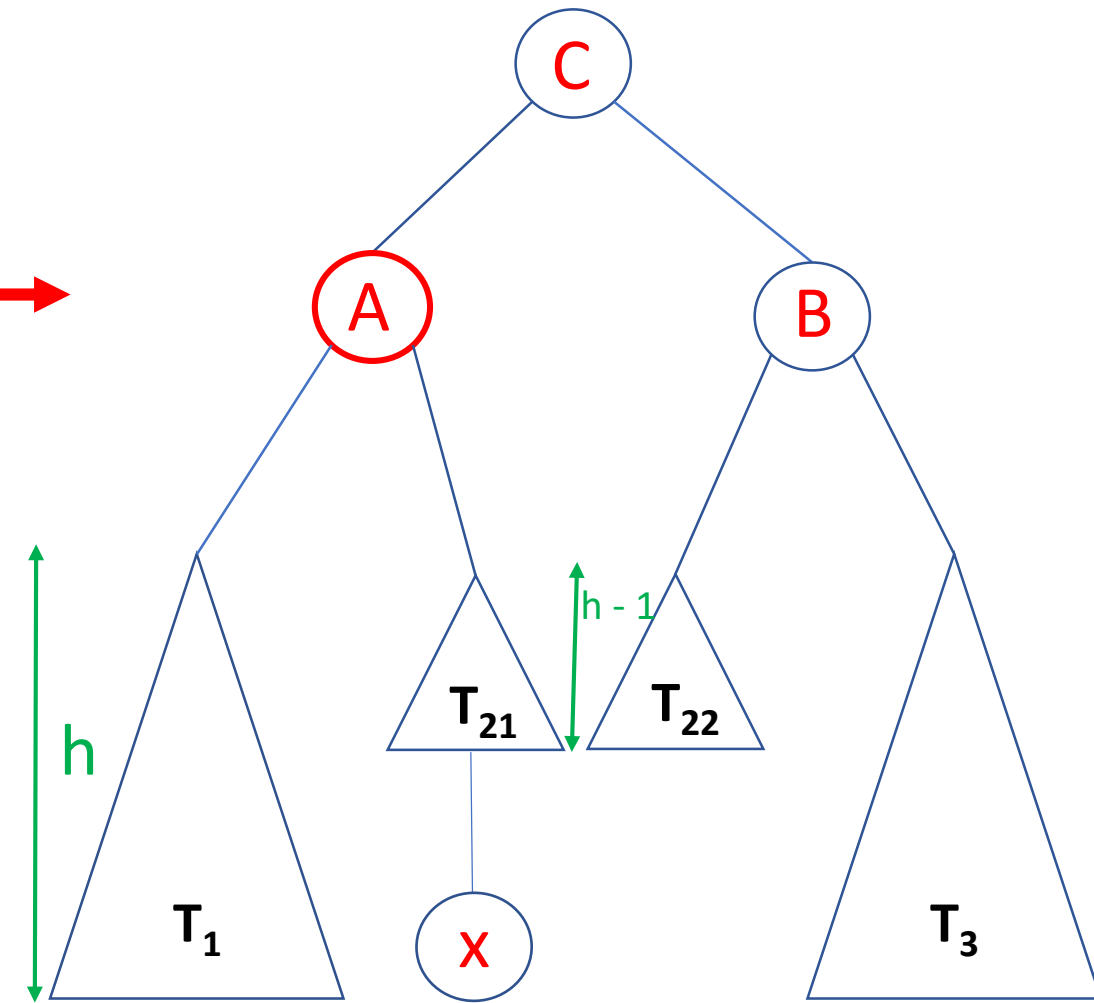
Double
Right-Left
Rotation



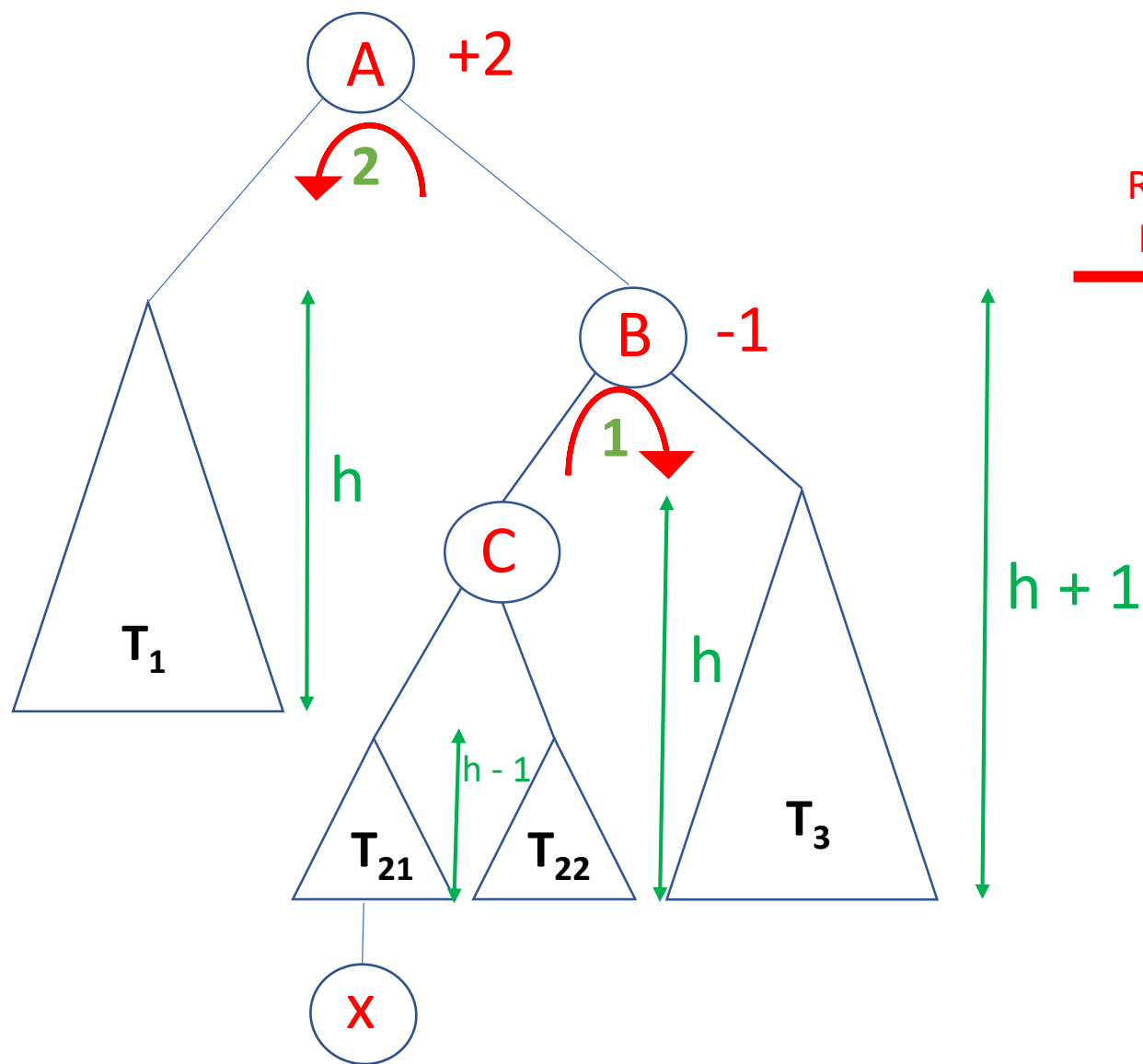
Case 1 (b) : $h > -1$



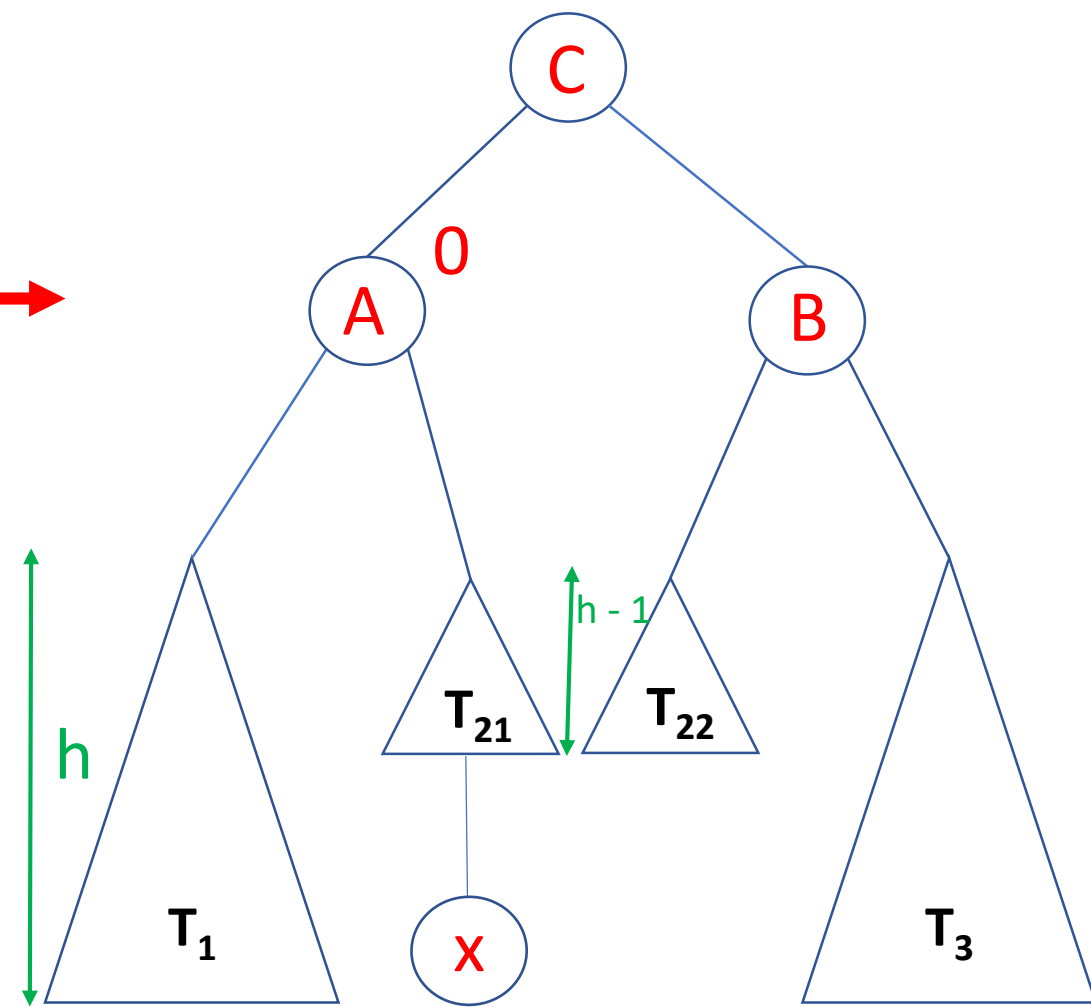
Double
Right-Left
Rotation



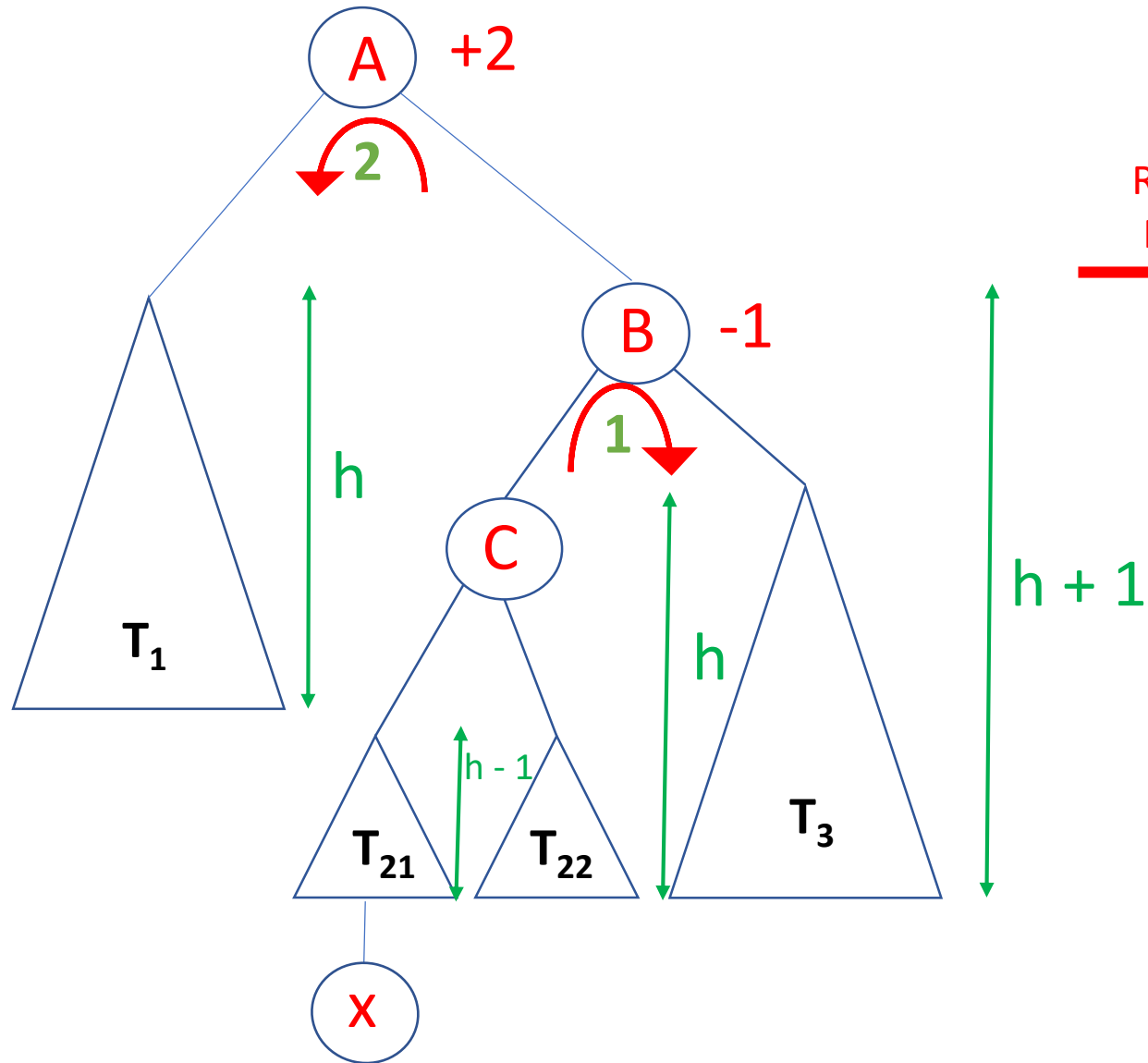
Case 1 (b) : $h > -1$



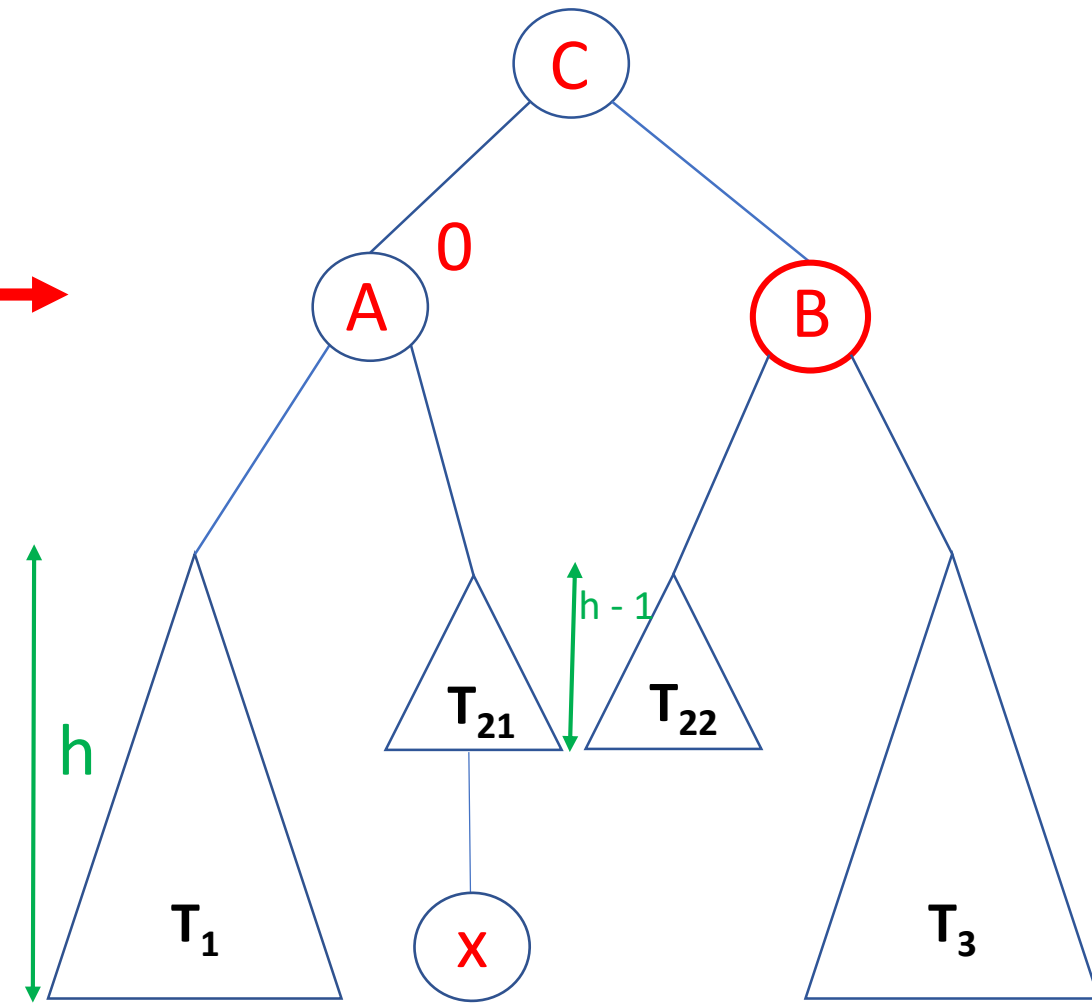
Double
Right-Left
Rotation



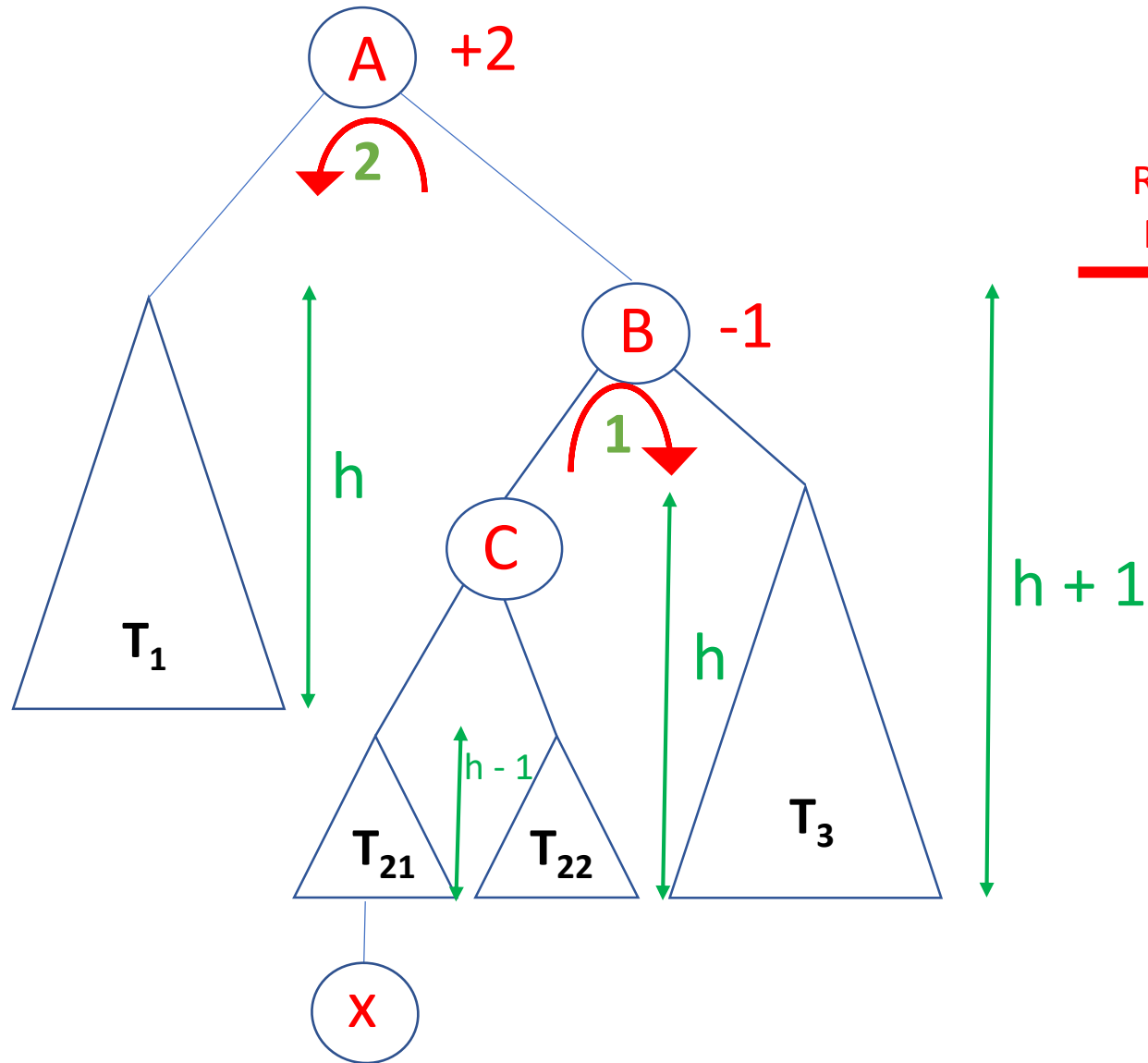
Case 1 (b) : $h > -1$



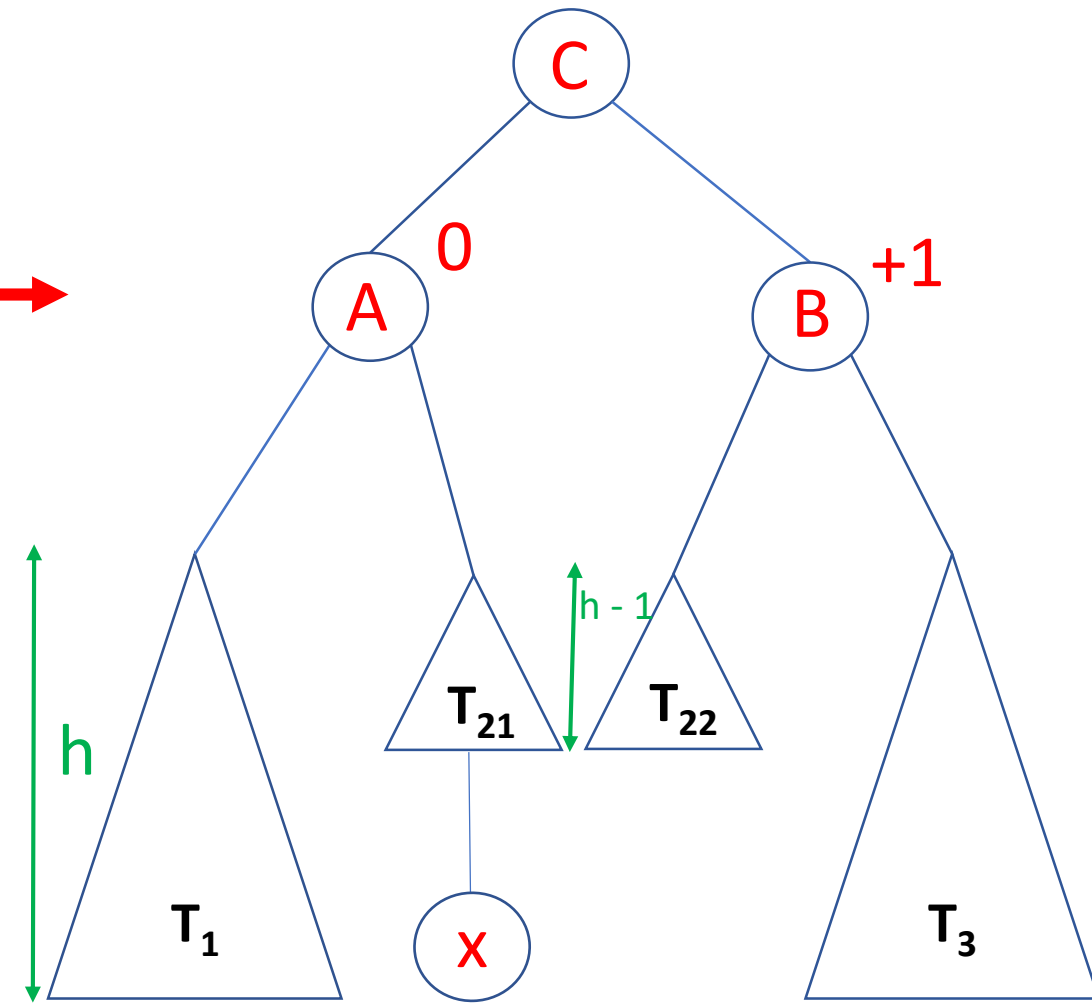
Double
Right-Left
Rotation



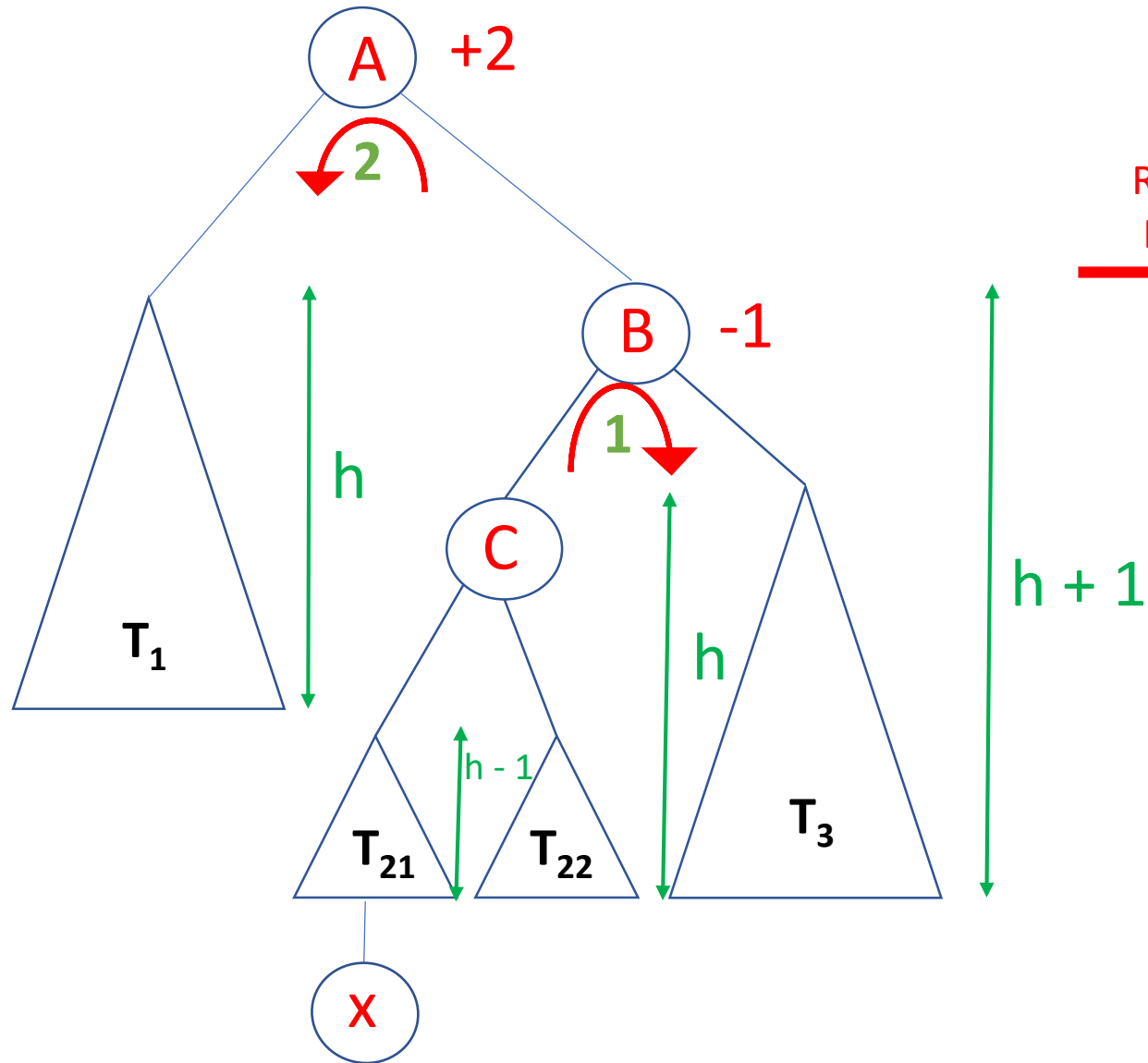
Case 1 (b) : $h > -1$



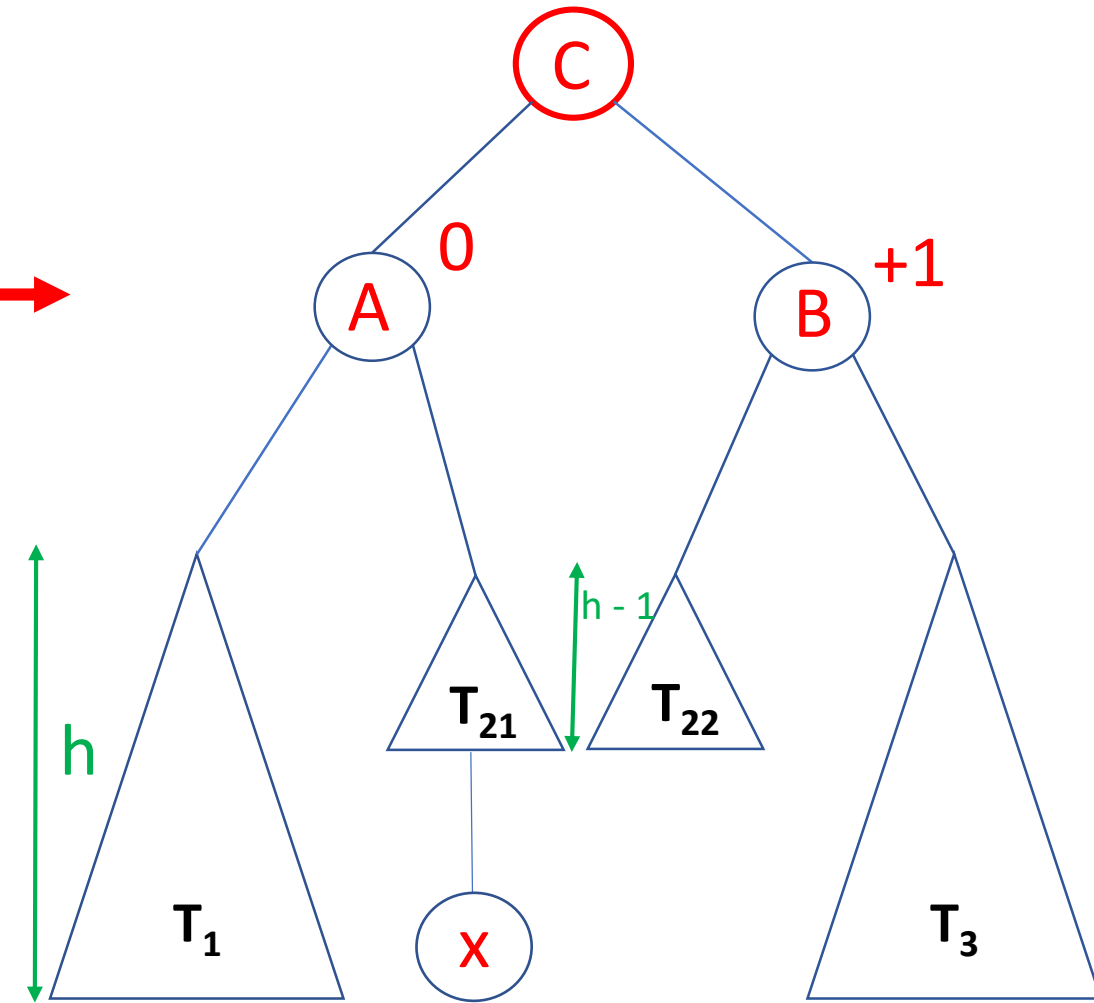
Double
Right-Left
Rotation



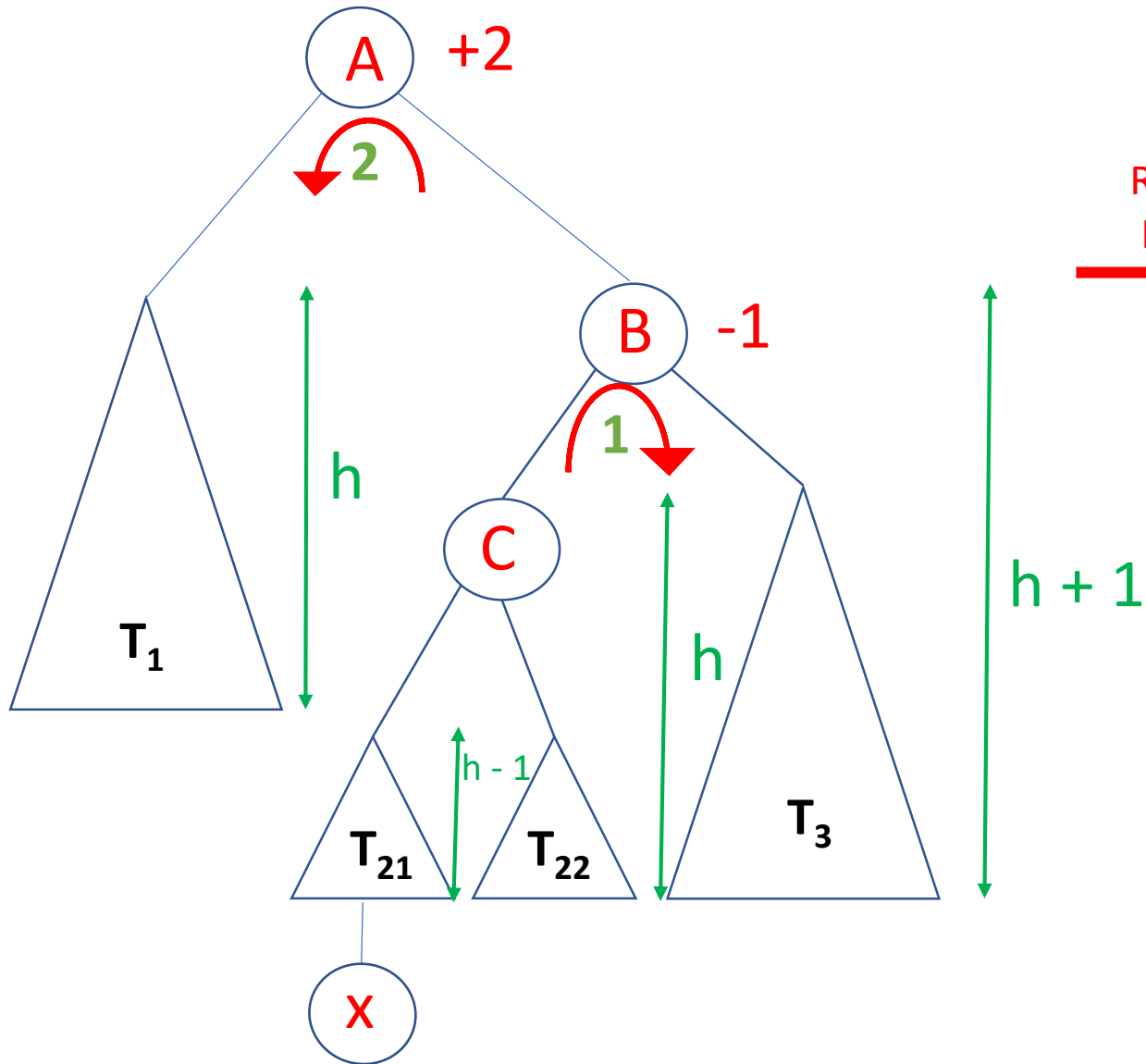
Case 1 (b) : $h > -1$



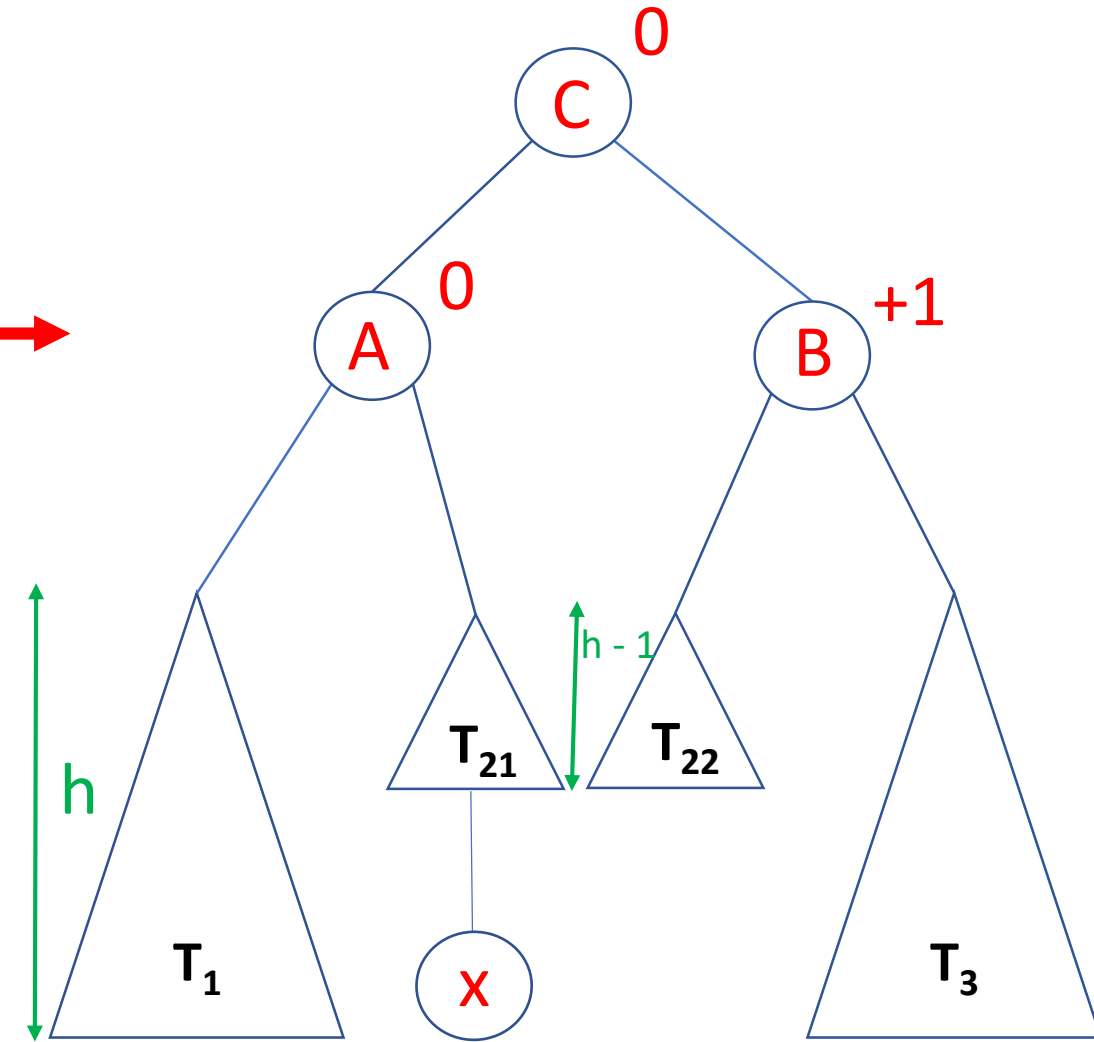
Double
Right-Left
Rotation



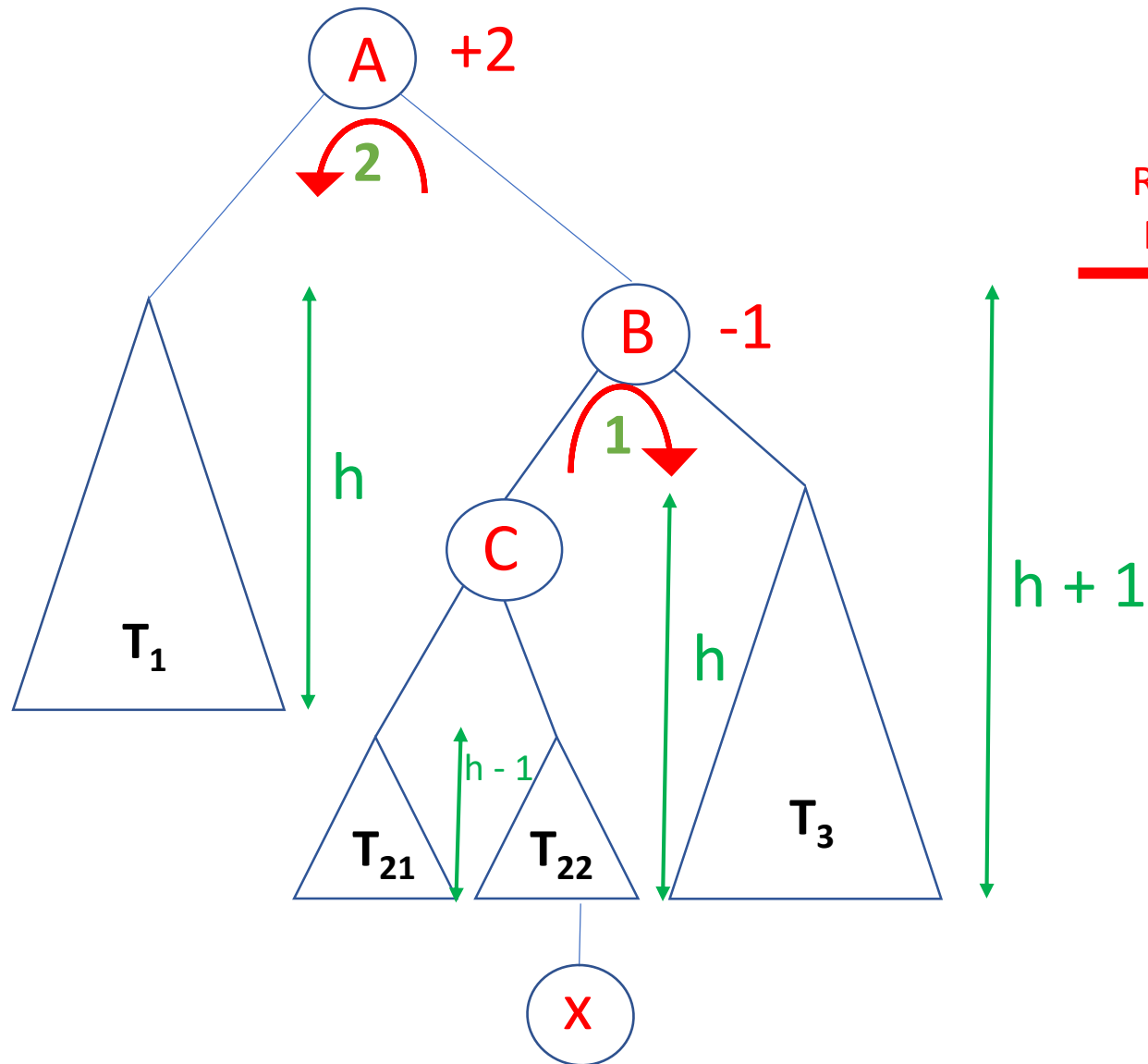
Case 1 (b) : $h > -1$



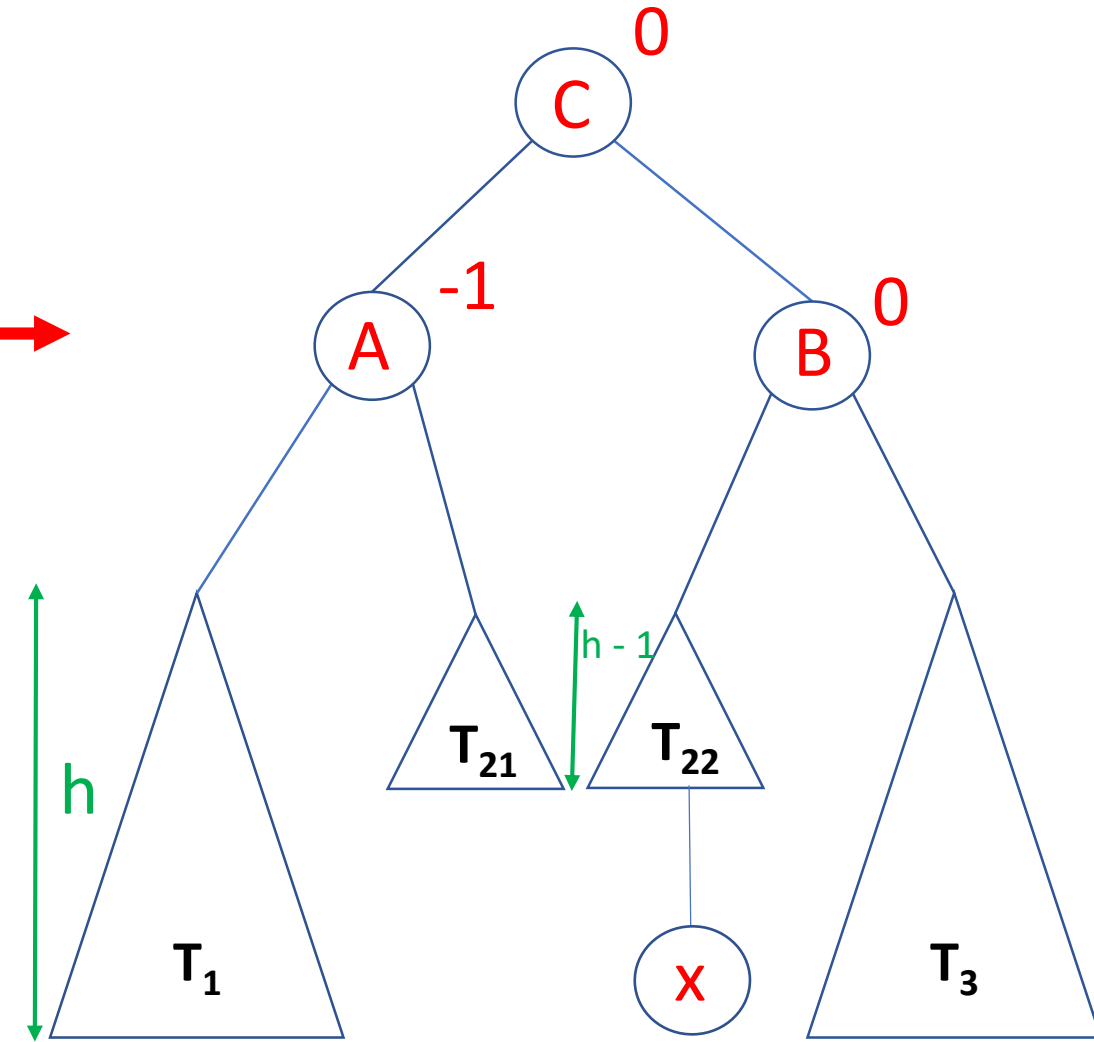
Double
Right-Left
Rotation



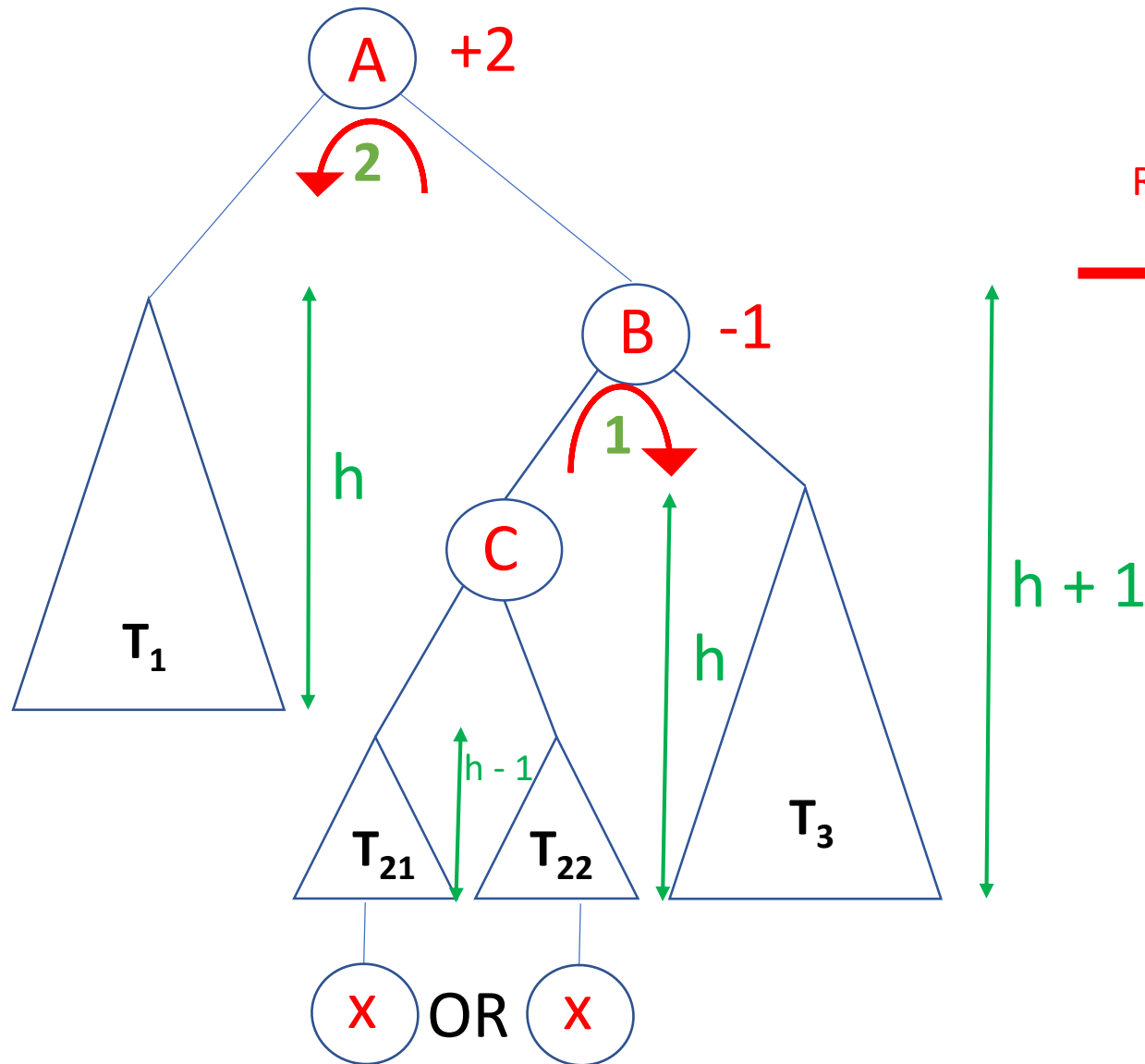
Case 1 (b) : $h > -1$



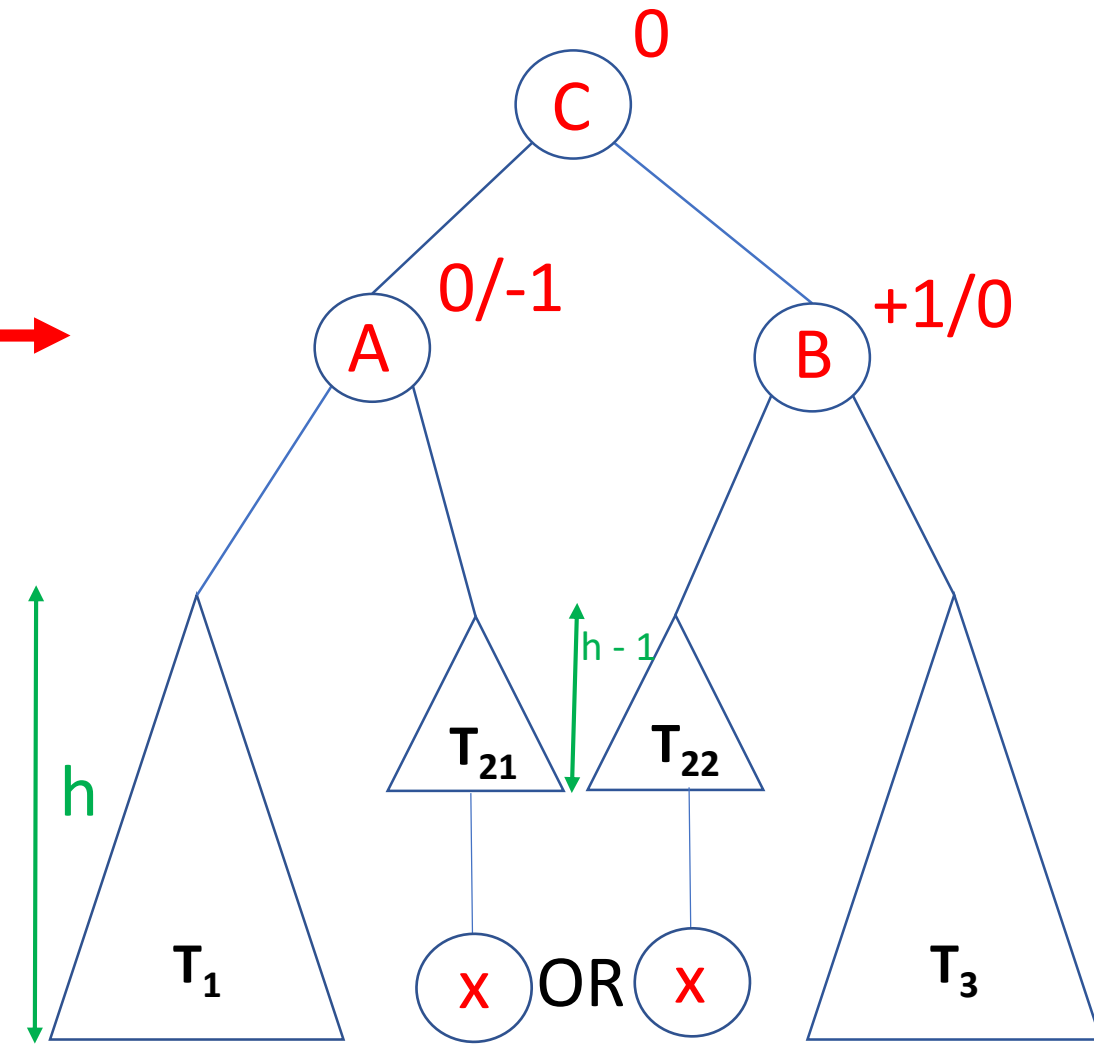
Double
Right-Left
Rotation



Case 1 (b) : $h > -1$

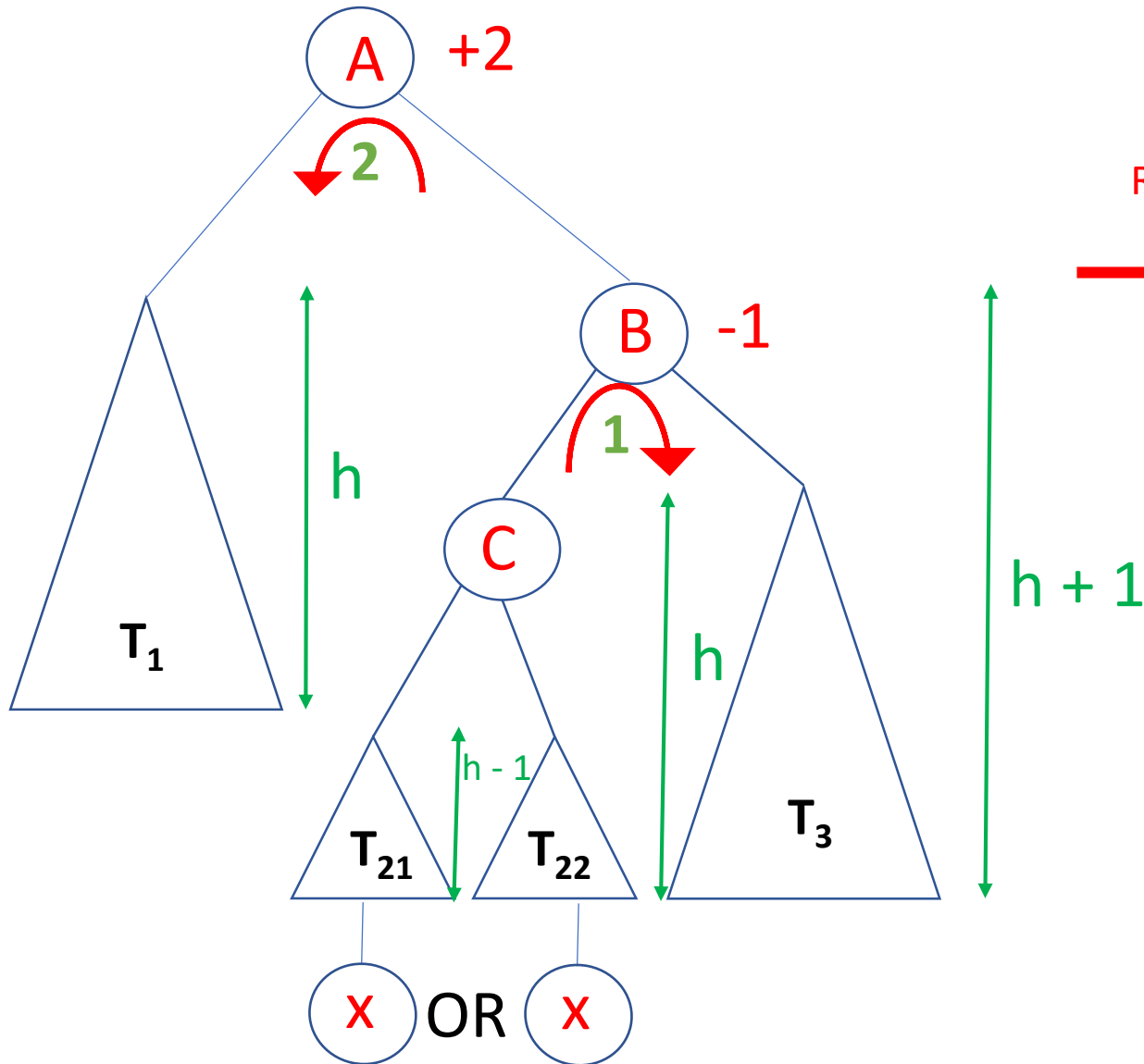


Double
Right-Left
Rotation

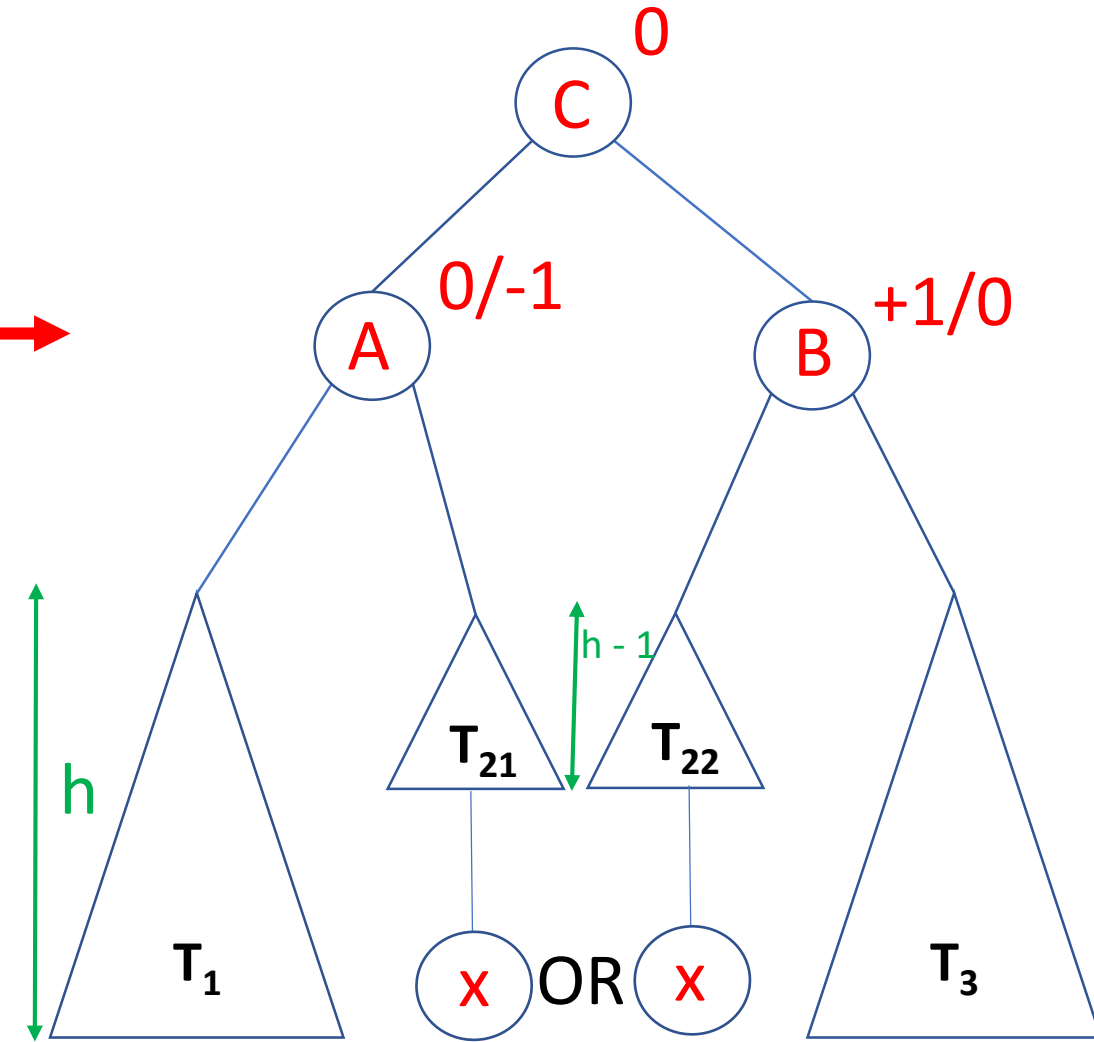


Rotation: (1) Rebalances the subtree

Case 1 (b) : $h > -1$

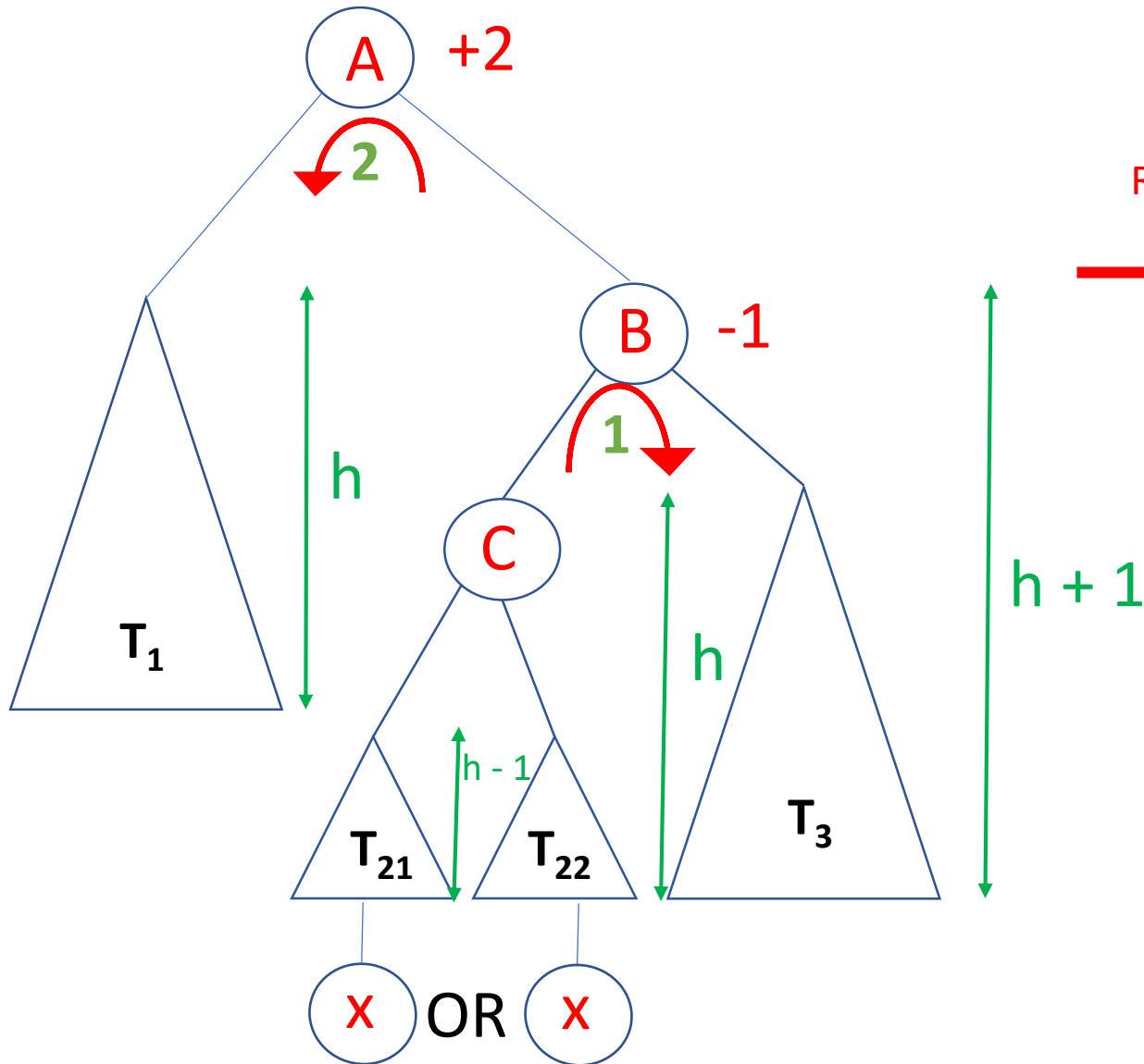


Double
Right-Left
Rotation

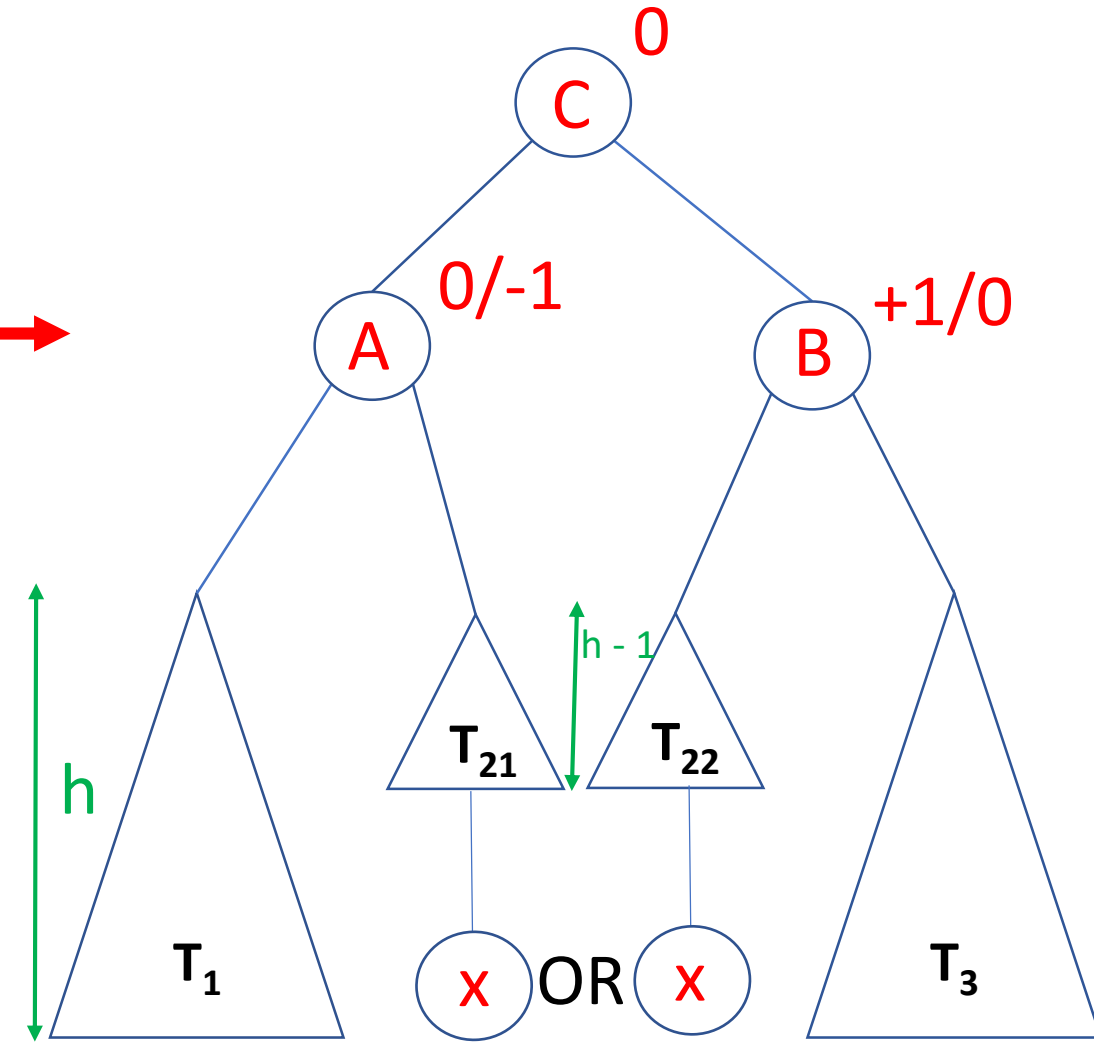


Rotation: (2) Preserves **BST** property

Case 1 (b) : $h > -1$

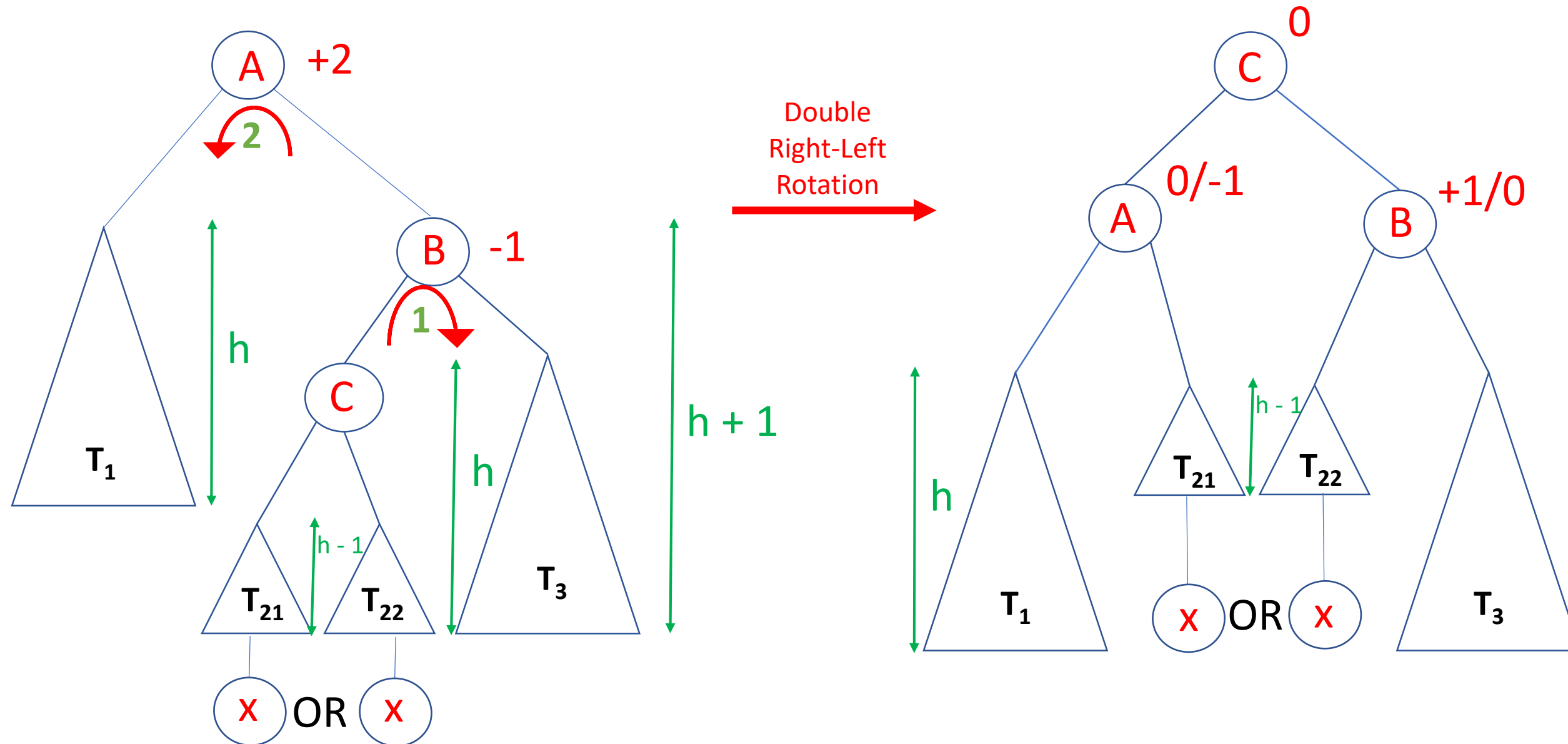


Double
Right-Left
Rotation



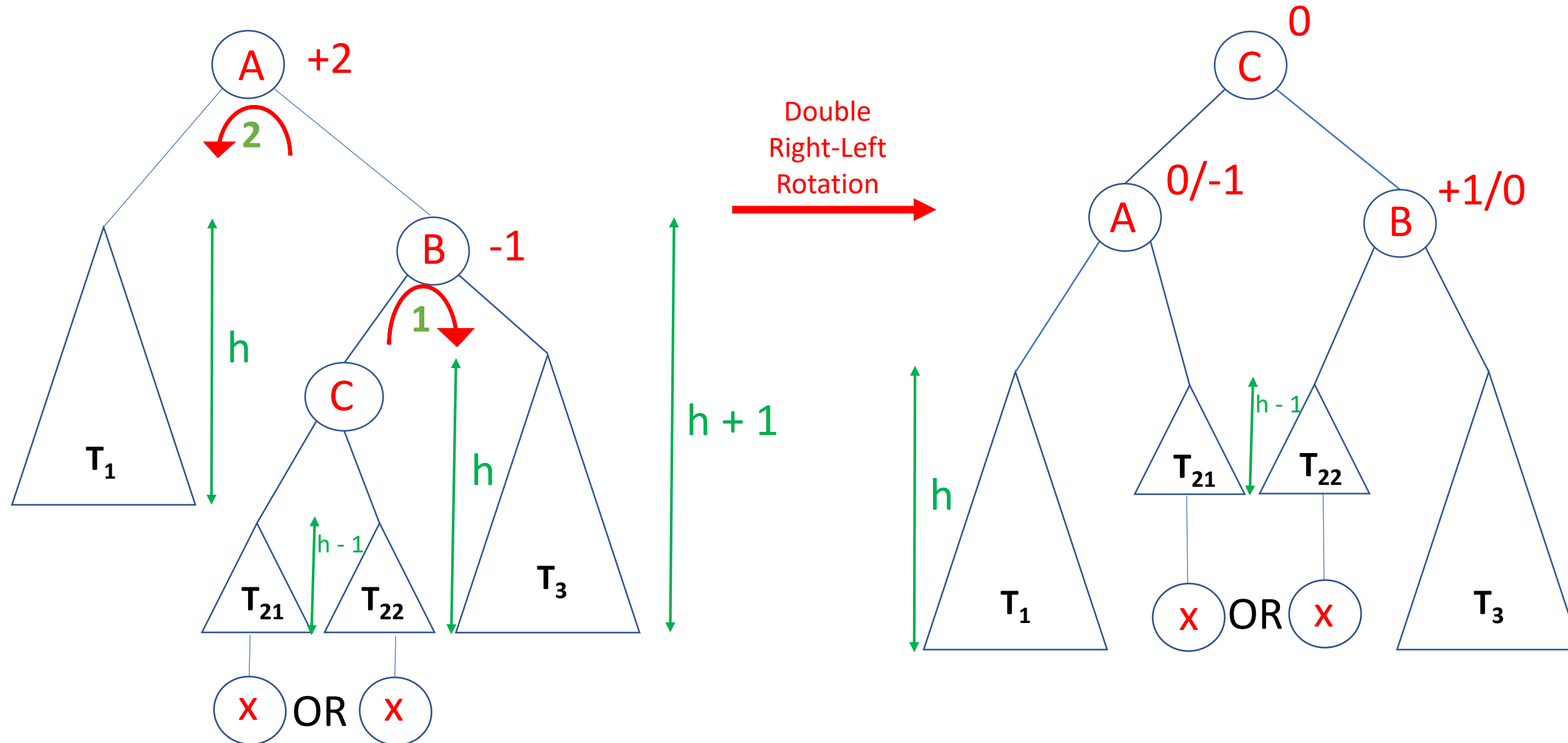
Rotation: (2) Preserves **BST property** (order:)

Case 1 (b) : $h > -1$



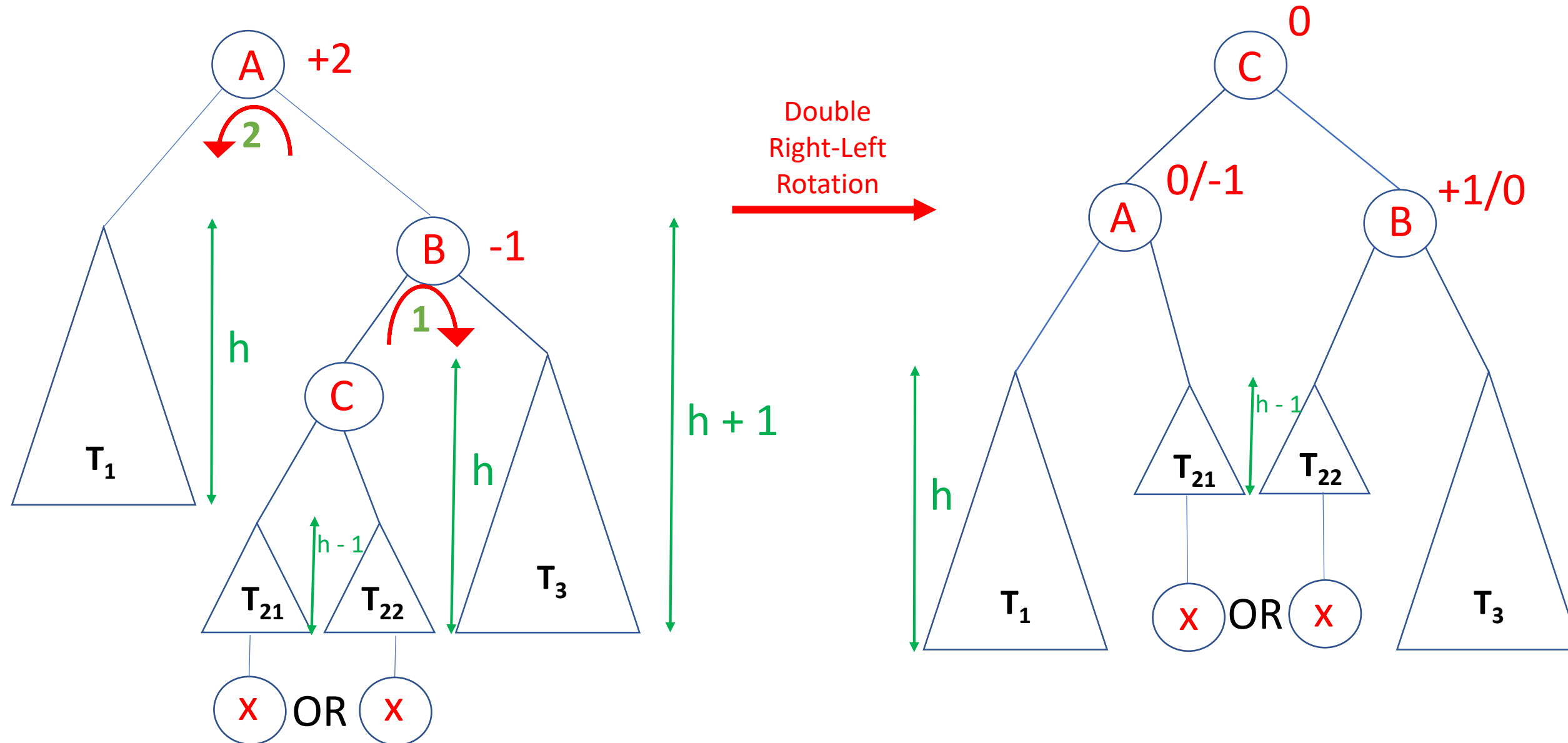
Rotation: (2) Preserves **BST property** (order: T_1)

Case 1 (b) : $h > -1$



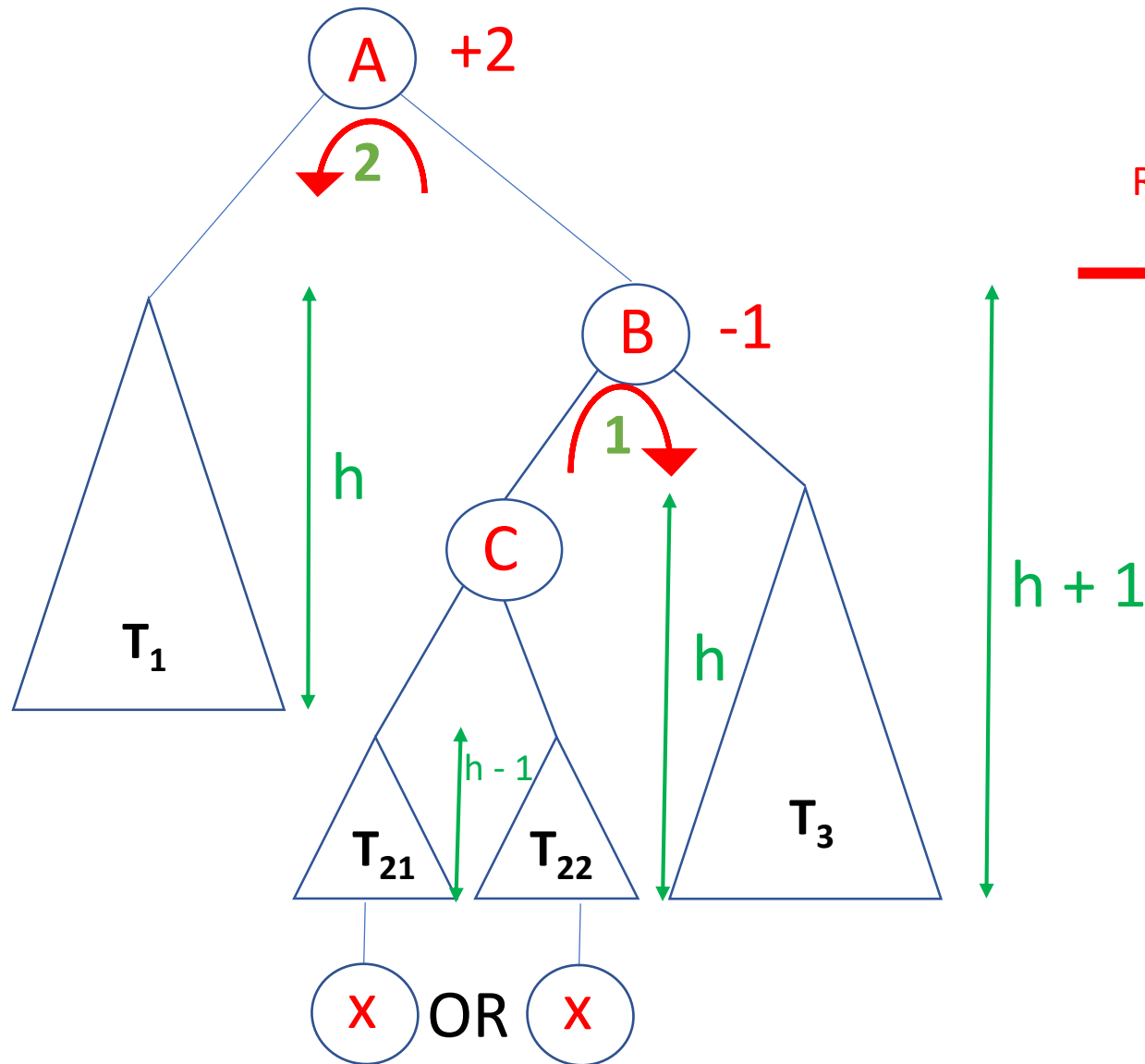
Rotation: (2) Preserves **BST property** (order: T_1 A)

Case 1 (b) : $h > -1$

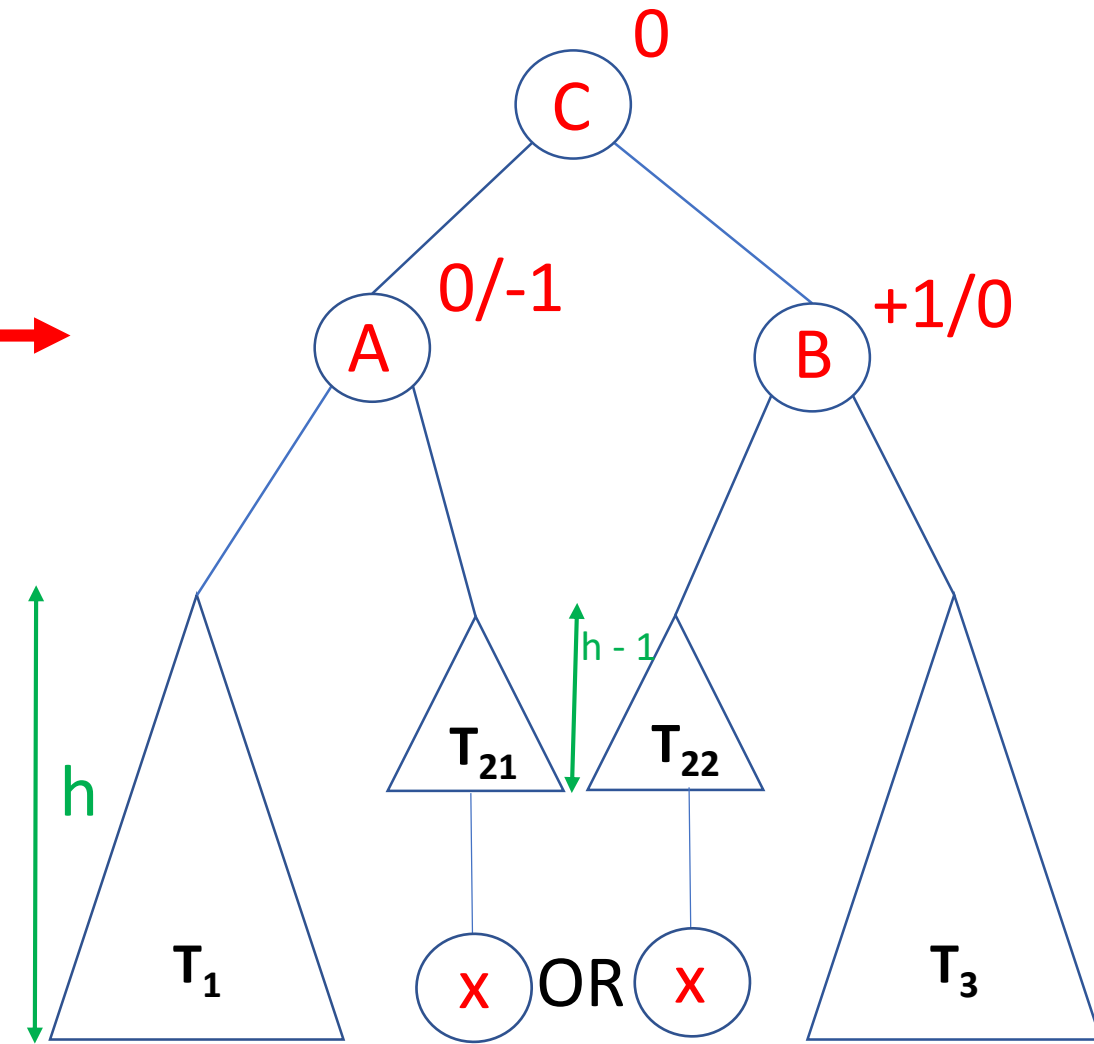


Rotation: (2) Preserves **BST property** (order: T_1 **A** T_{21})

Case 1 (b) : $h > -1$

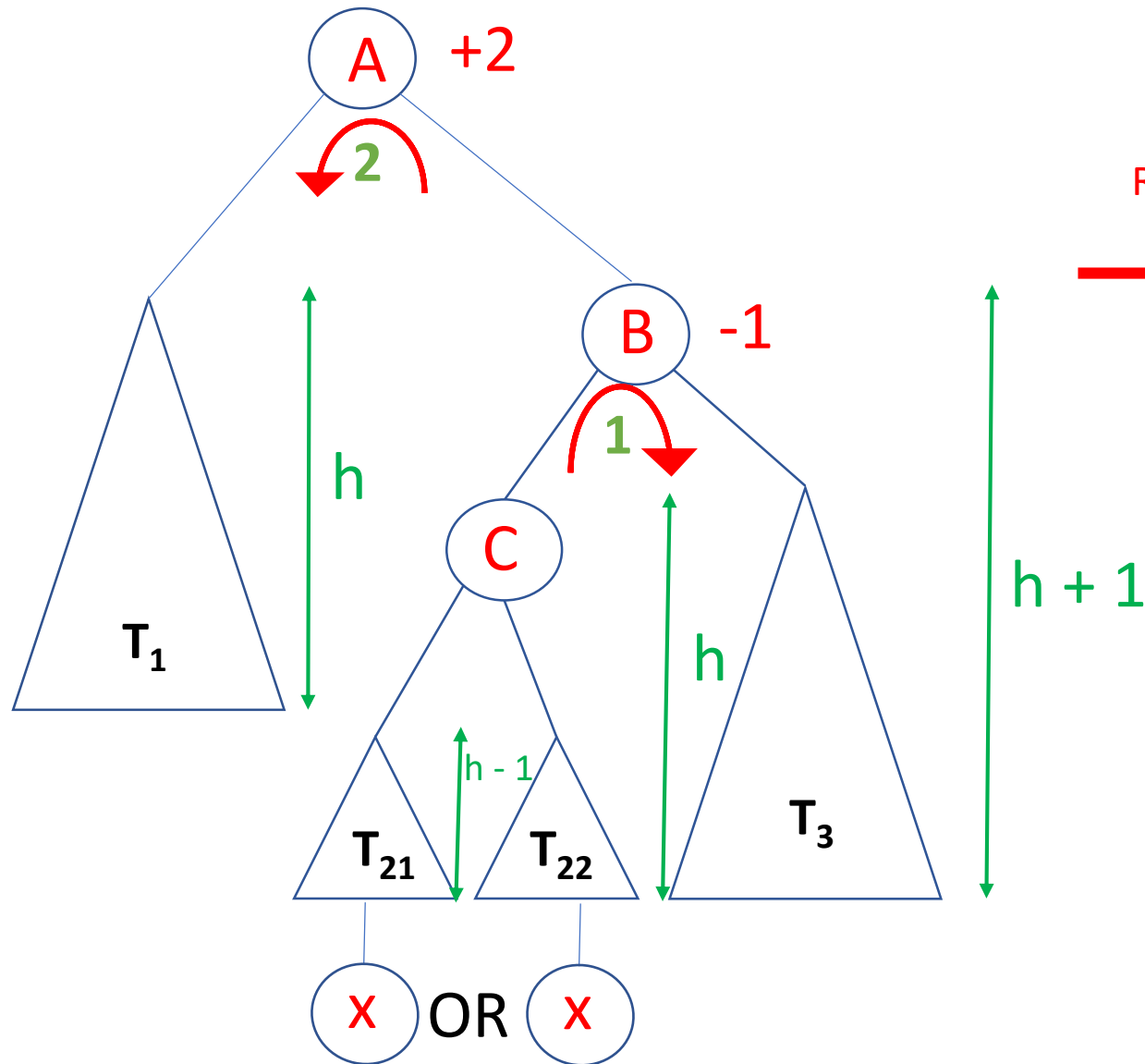


Double
Right-Left
Rotation

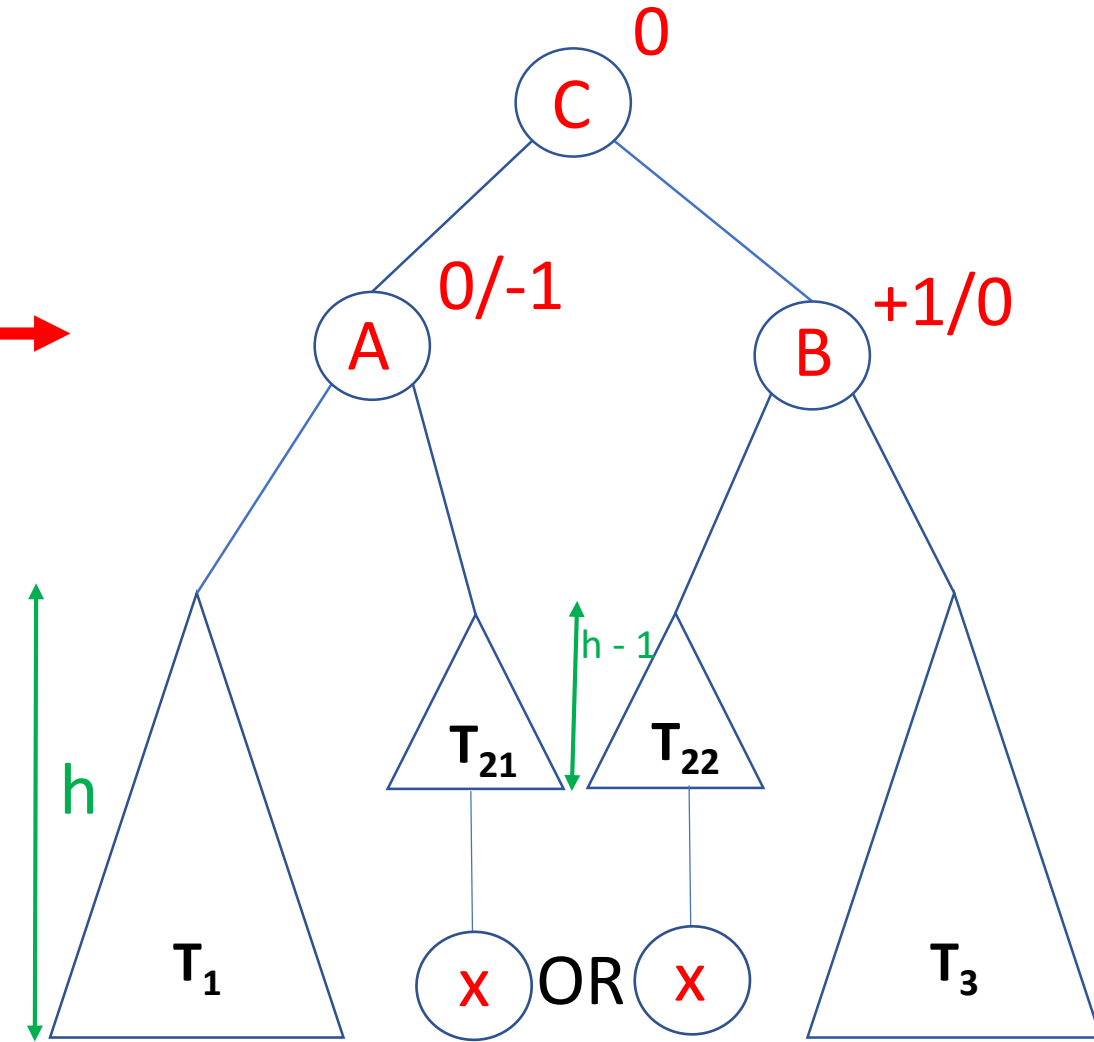


Rotation: (2) Preserves **BST property** (order: T_1 **A** T_{21} **C**)

Case 1 (b) : $h > -1$

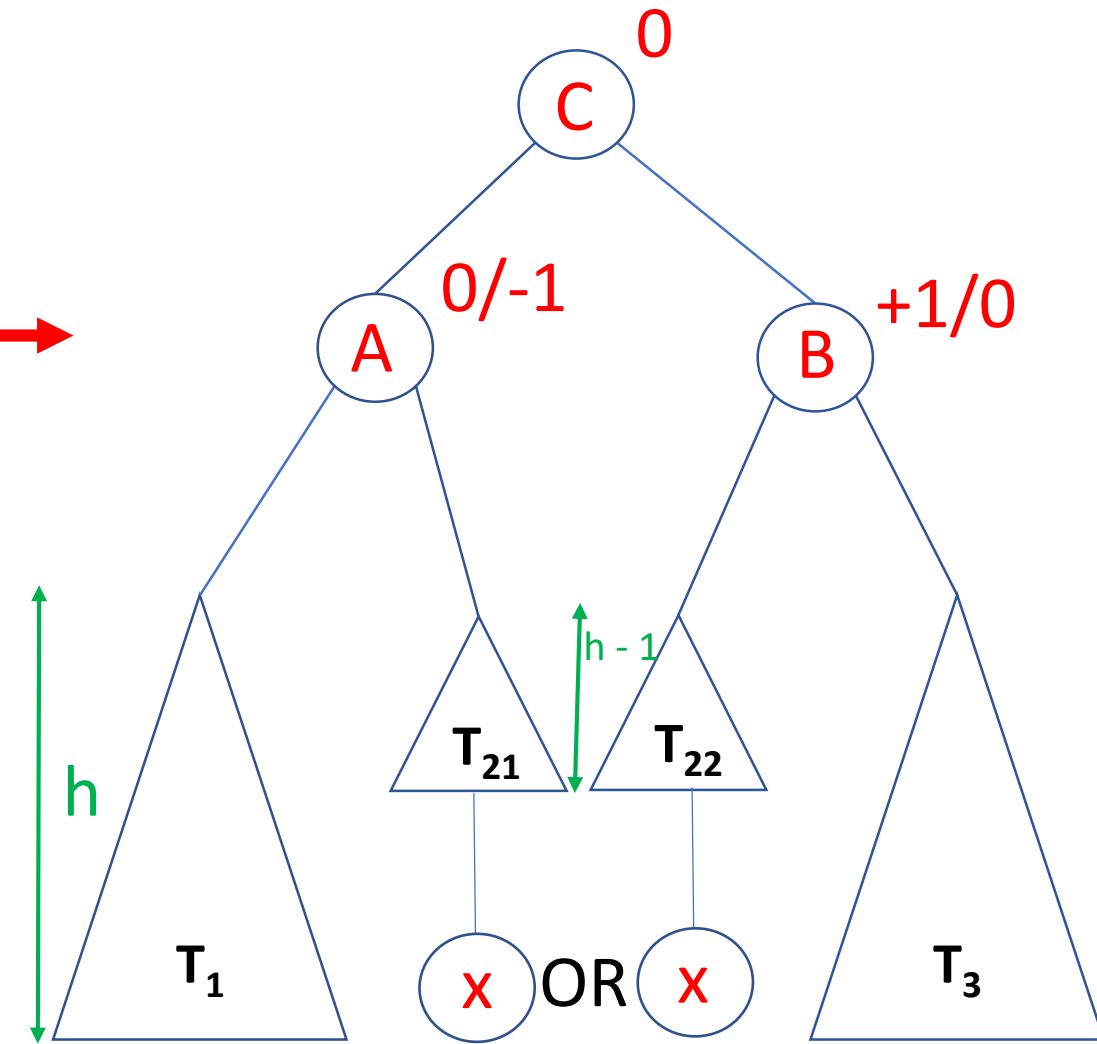
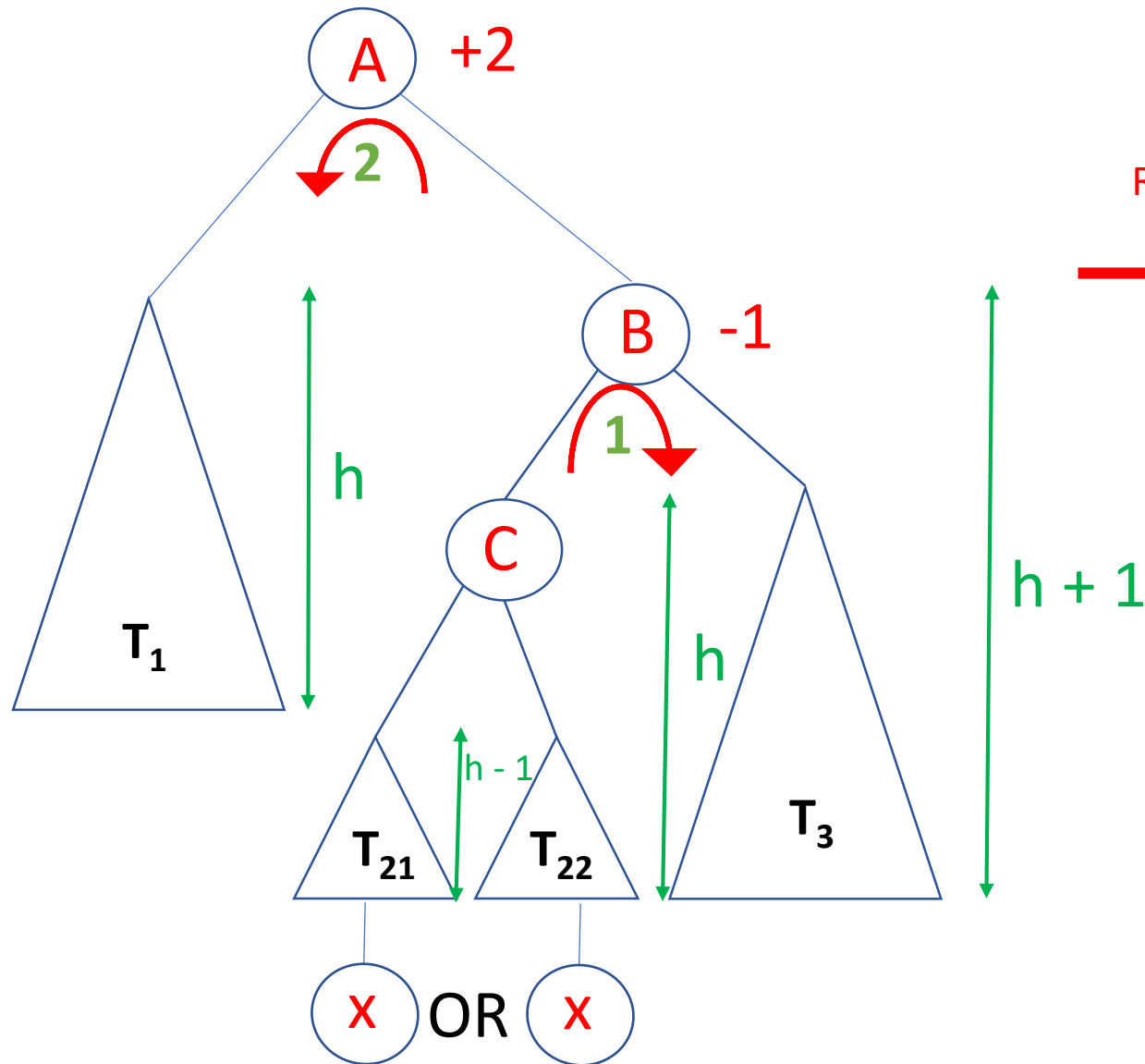


Double
Right-Left
Rotation



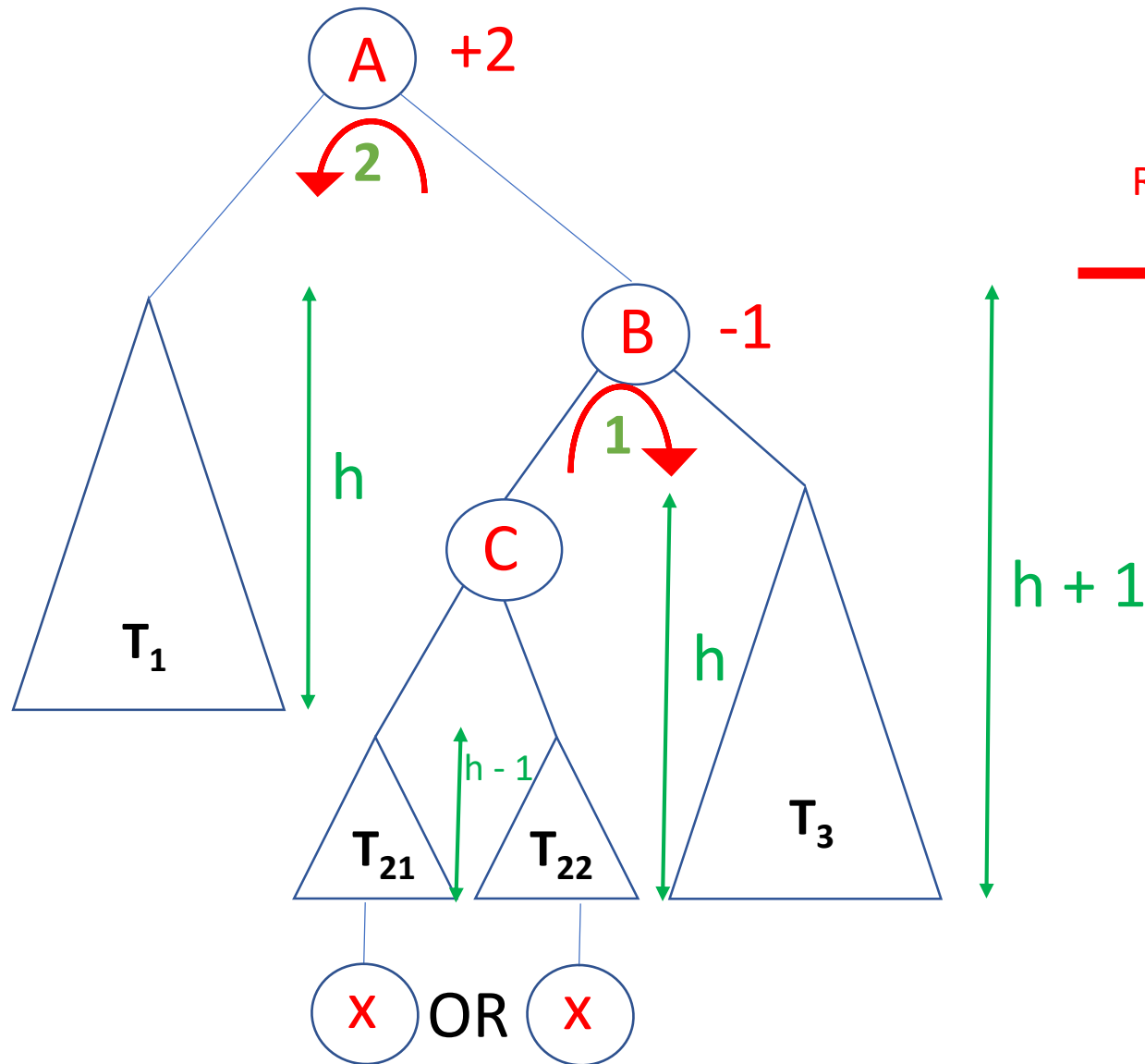
Rotation: (2) Preserves **BST property** (order: T_1 **A** T_{21} **C** T_{22})

Case 1 (b) : $h > -1$

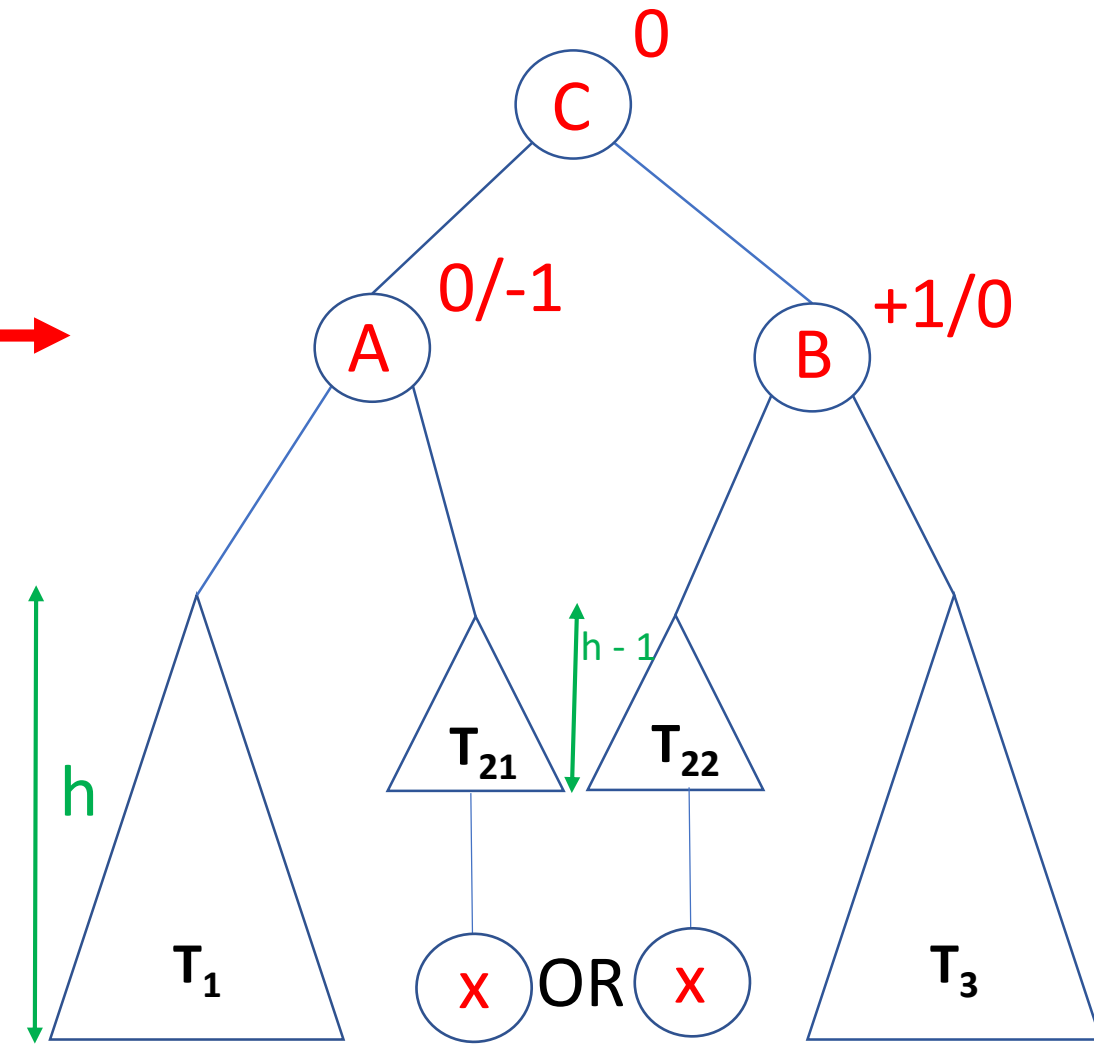


Rotation: (2) Preserves **BST property** (order: T_1 **A** T_{21} **C** T_{22} **B**)

Case 1 (b) : $h > -1$

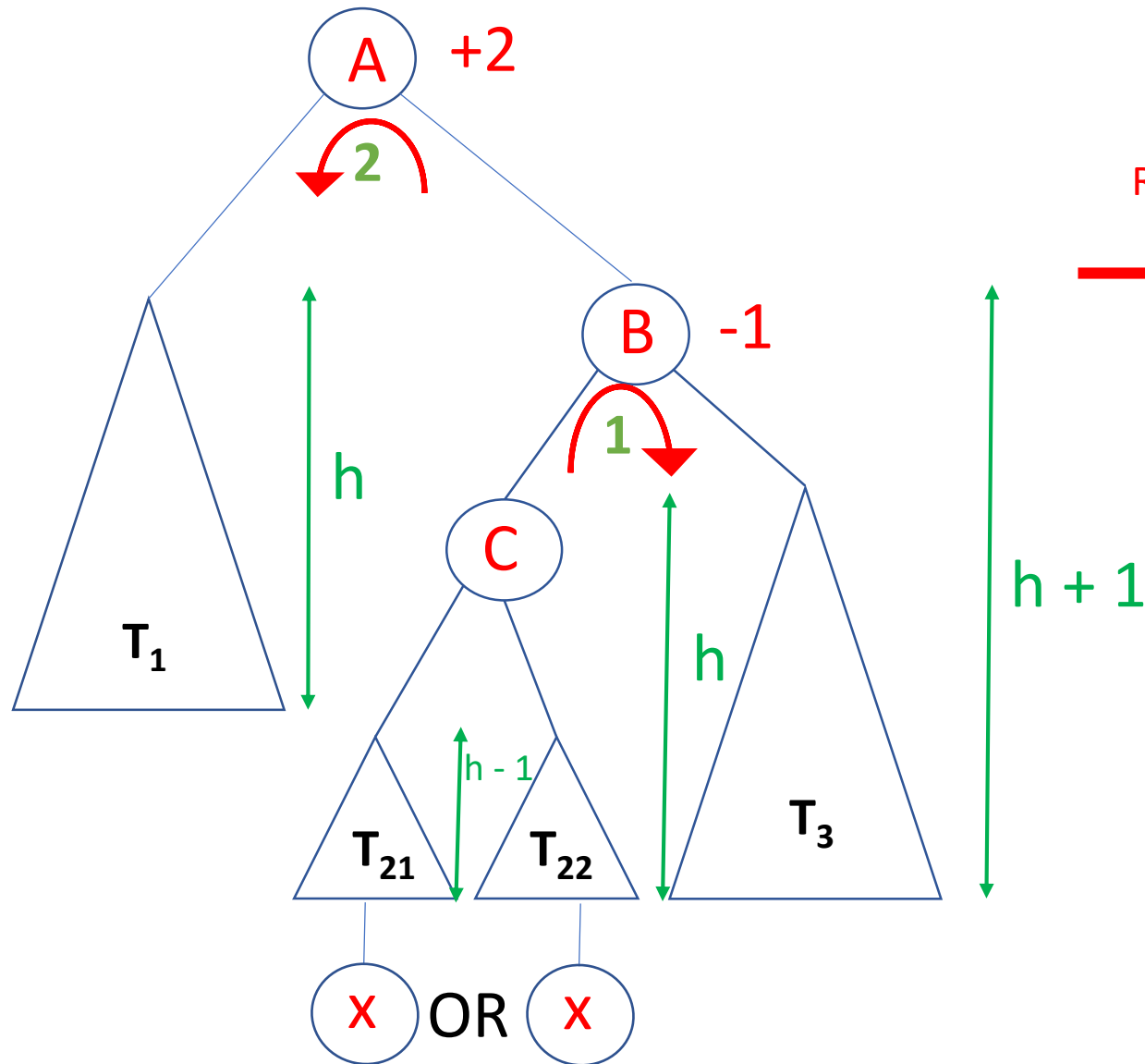


Double
Right-Left
Rotation

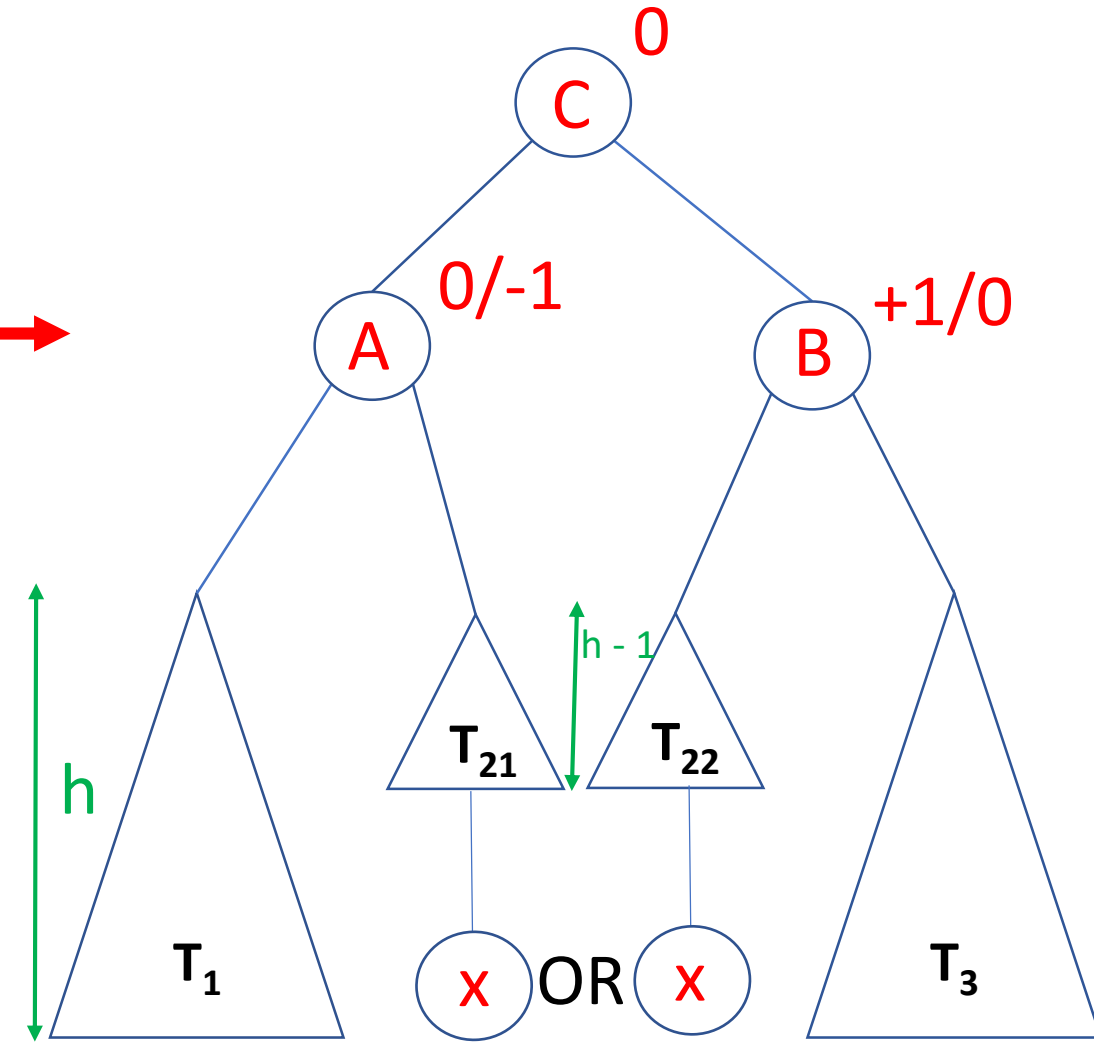


Rotation: (2) Preserves **BST property** (order: T_1 **A** T_{21} **C** T_{22} **B** T_3)

Case 1 (b) : $h > -1$

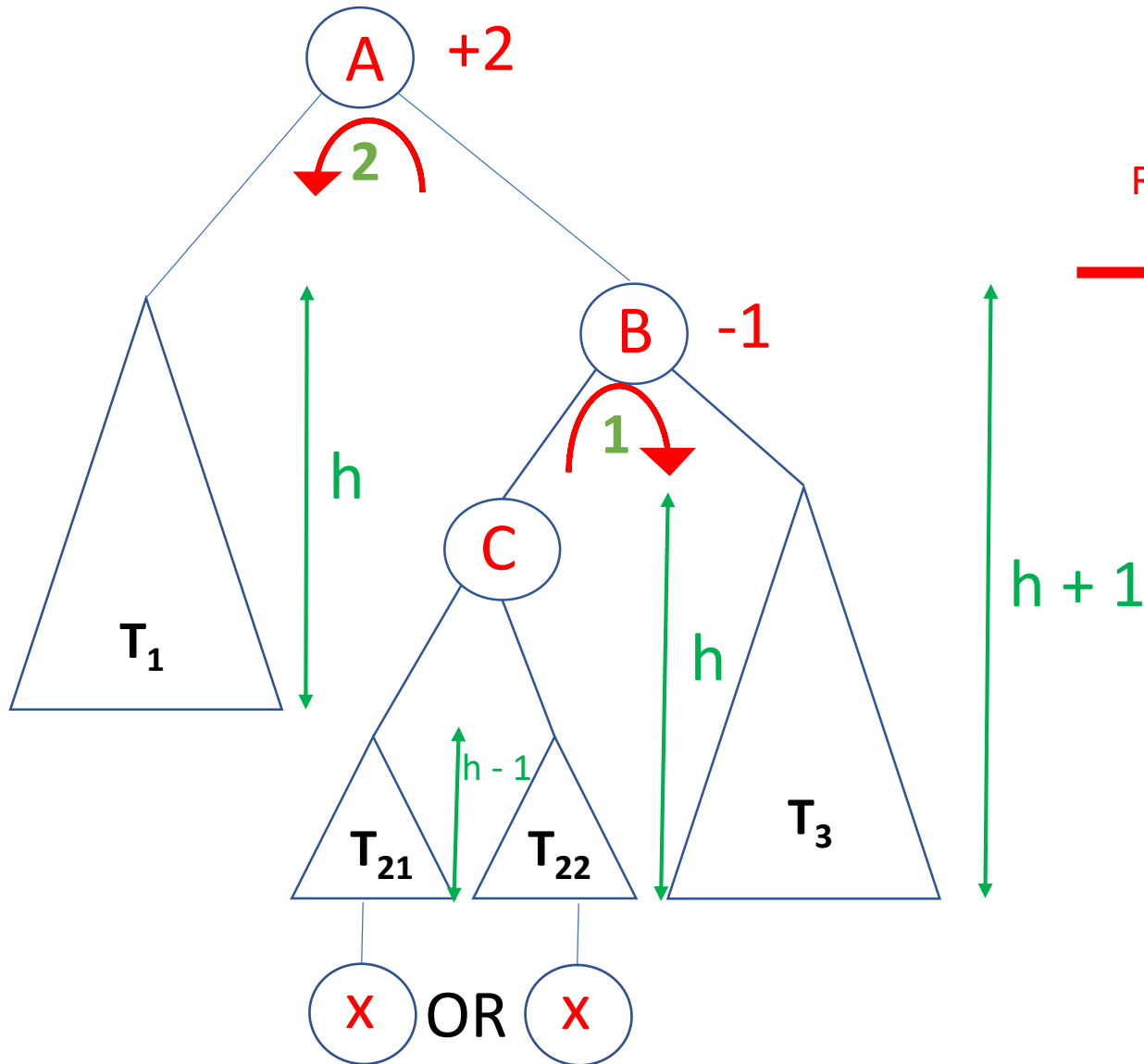


Double
Right-Left
Rotation

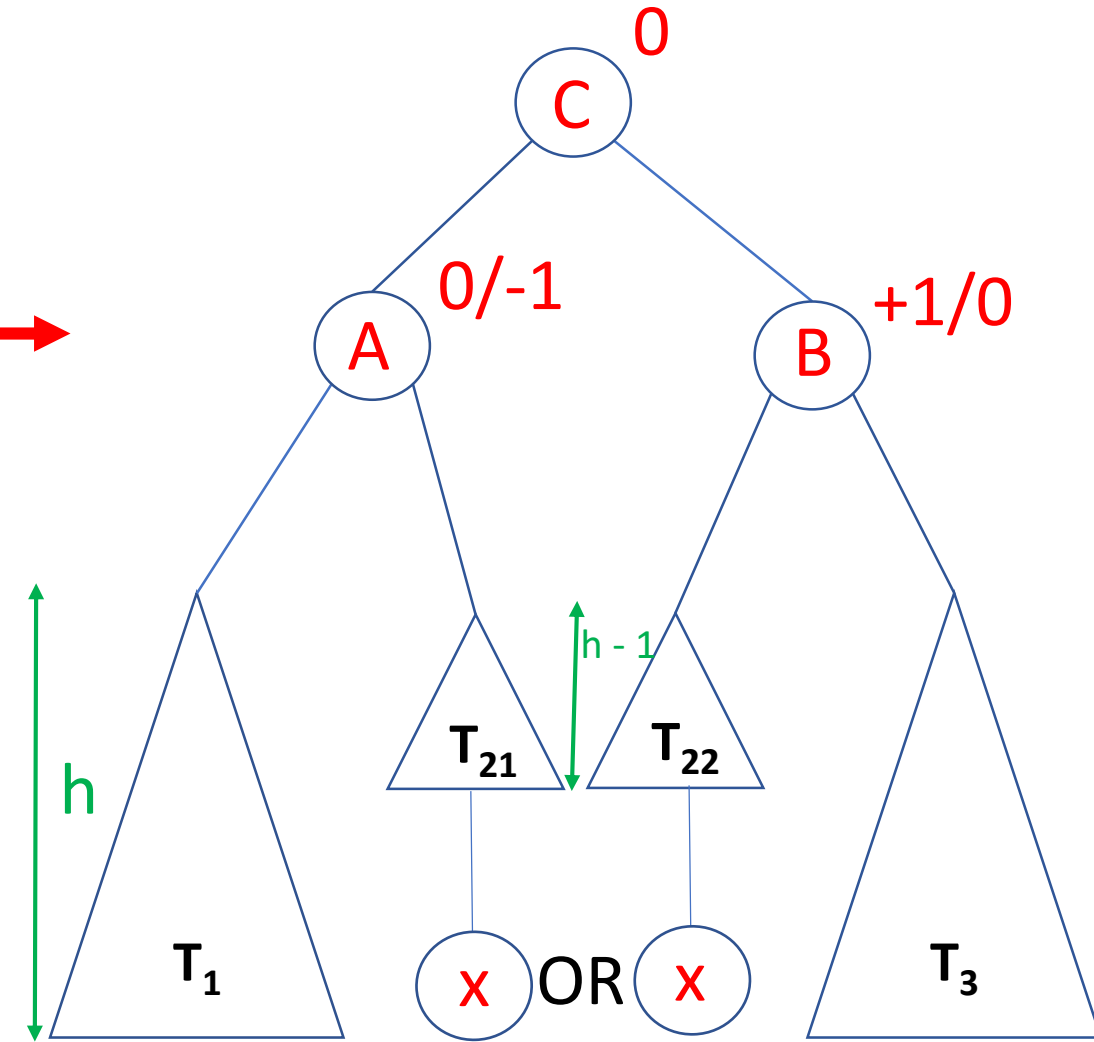


Rotation: (3) Bonus?

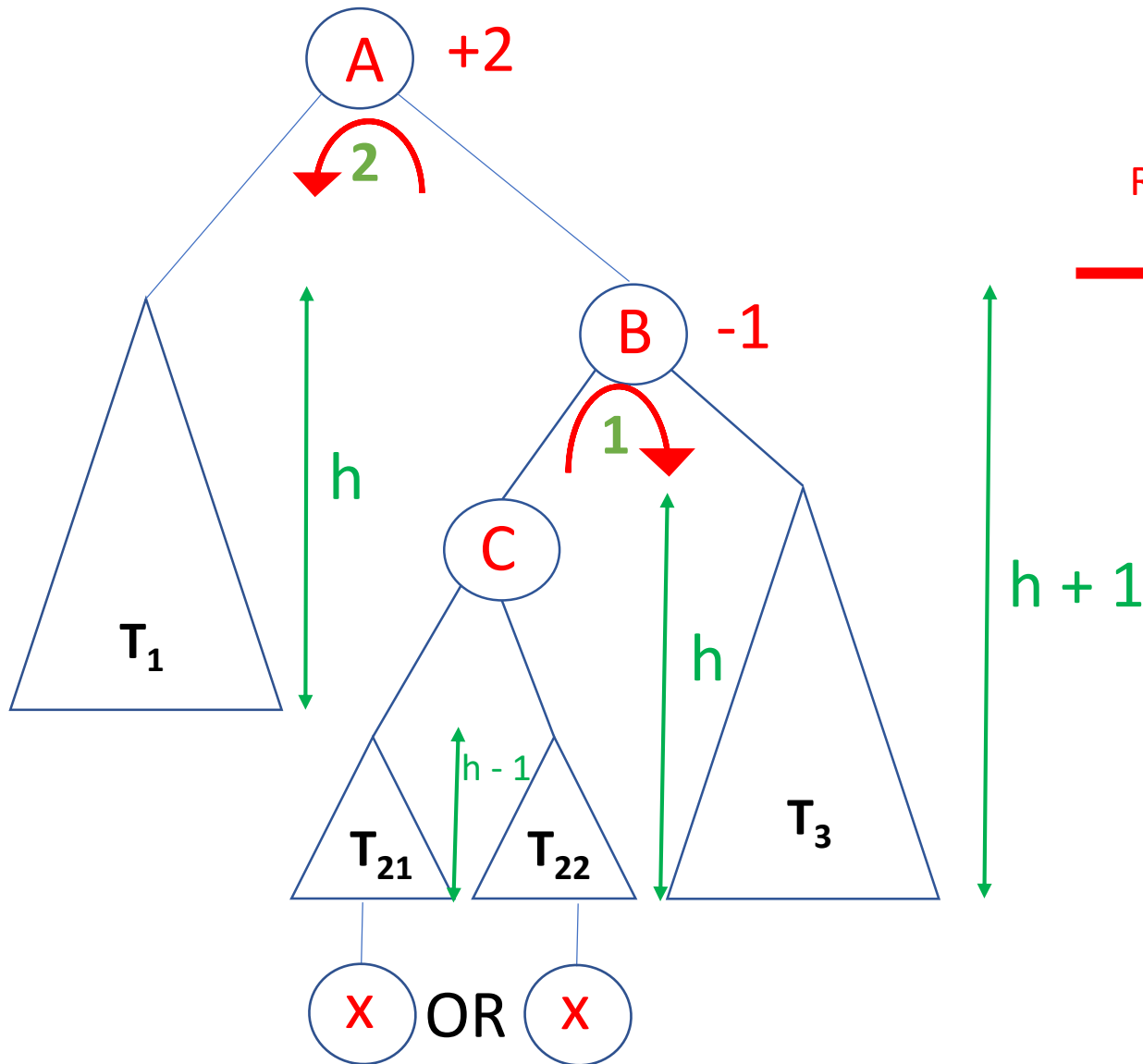
Case 1 (b) : $h > -1$



Double
Right-Left
Rotation

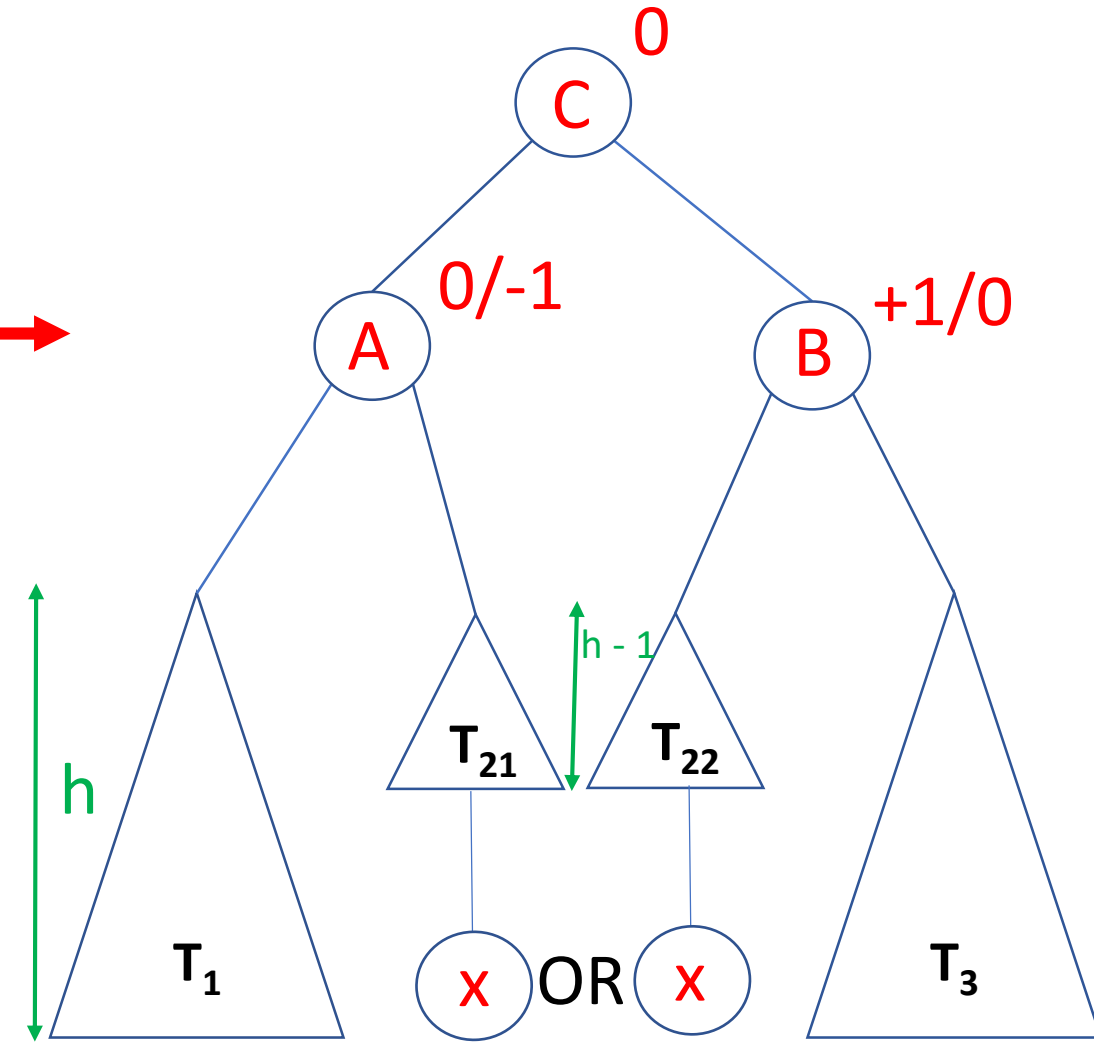


Case 1 (b) : $h > -1$

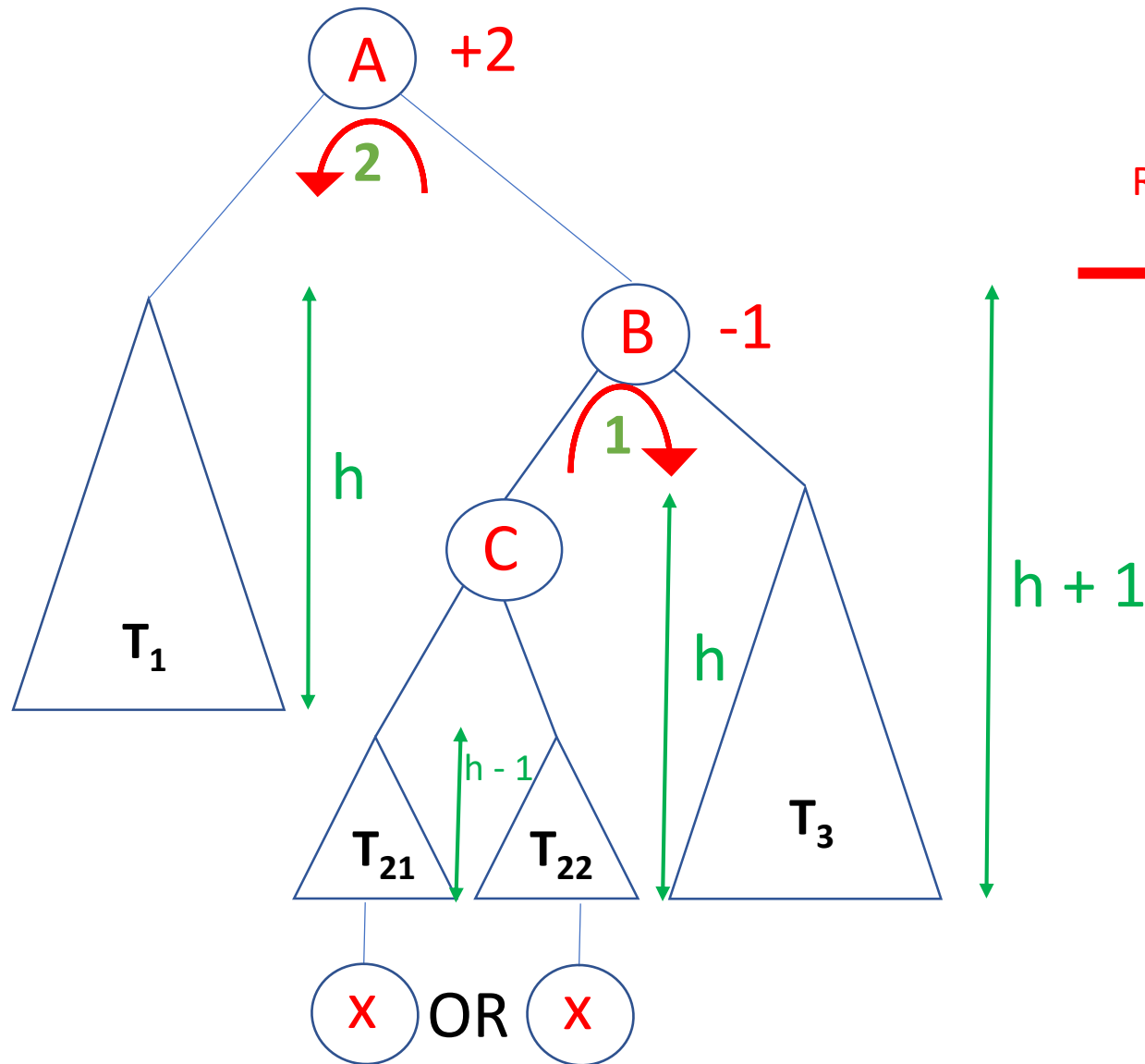


Rotation: (3) Bonus: **height** of this subtree is the **same** both **before** and **after** the insertion of **x**

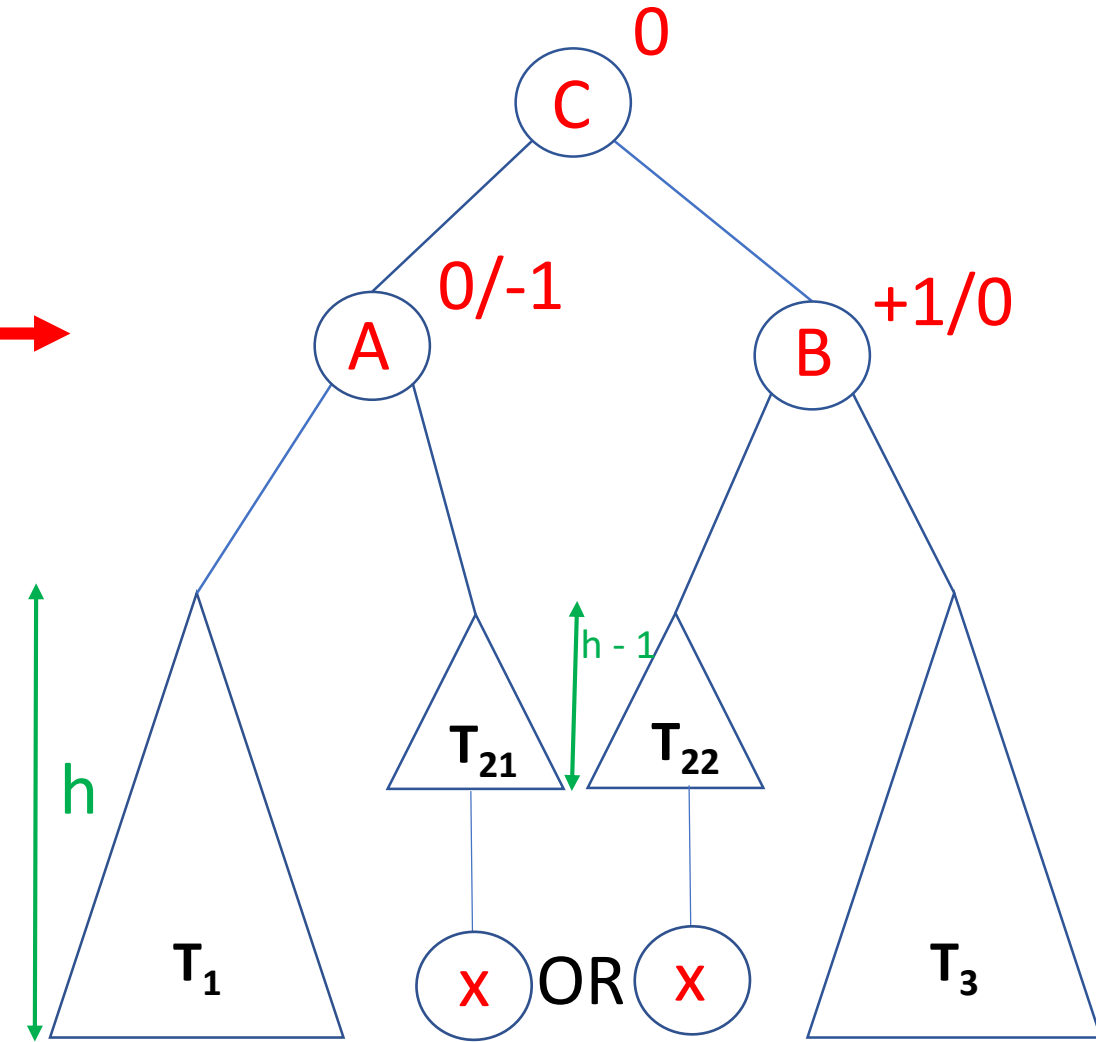
Double
Right-Left
Rotation



Case 1 (b) : $h > -1$

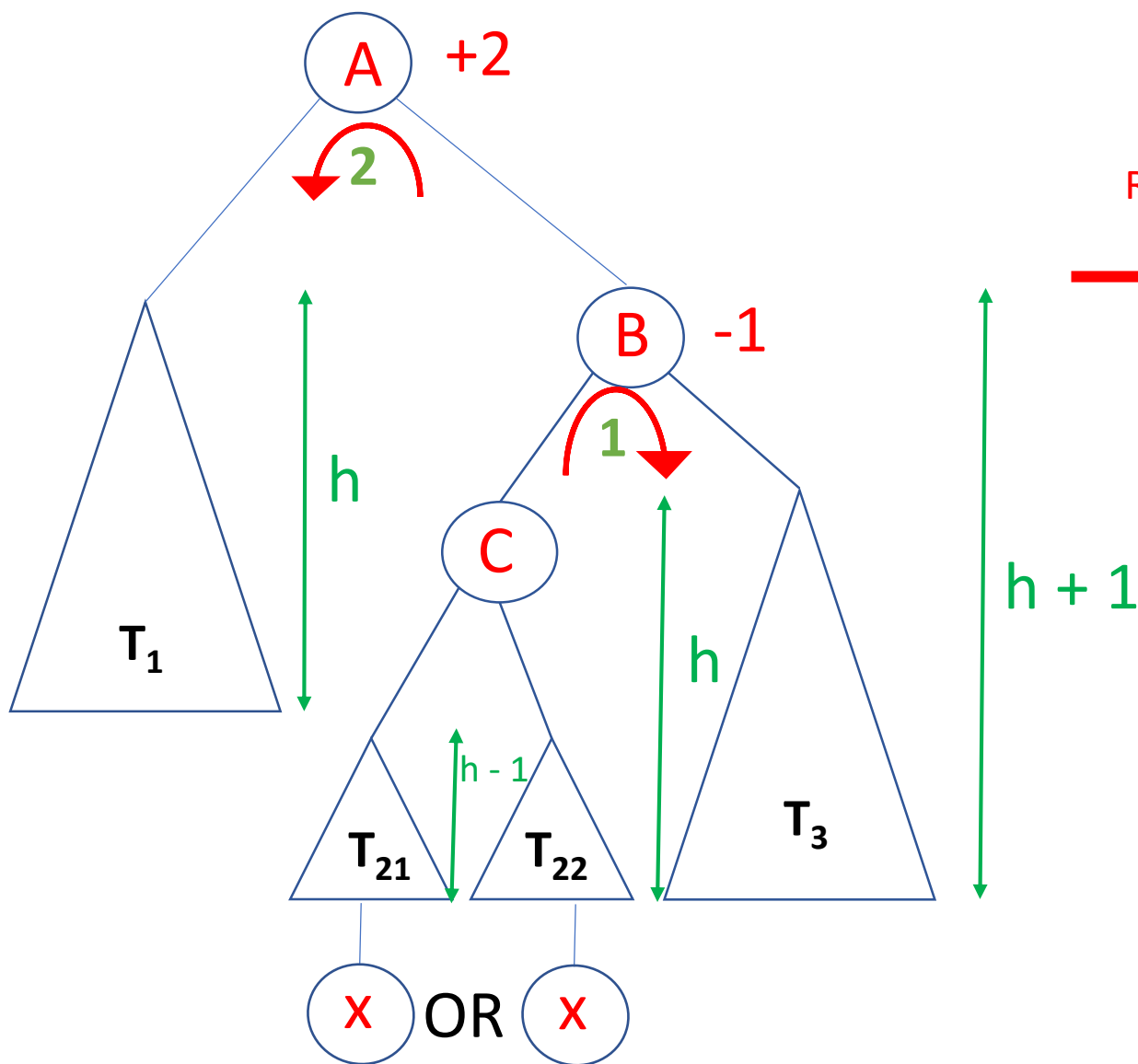


Rotation: (3) Bonus: **height** of this subtree is the **same** ($h+2$) both **before** and **after** the insertion of **x**

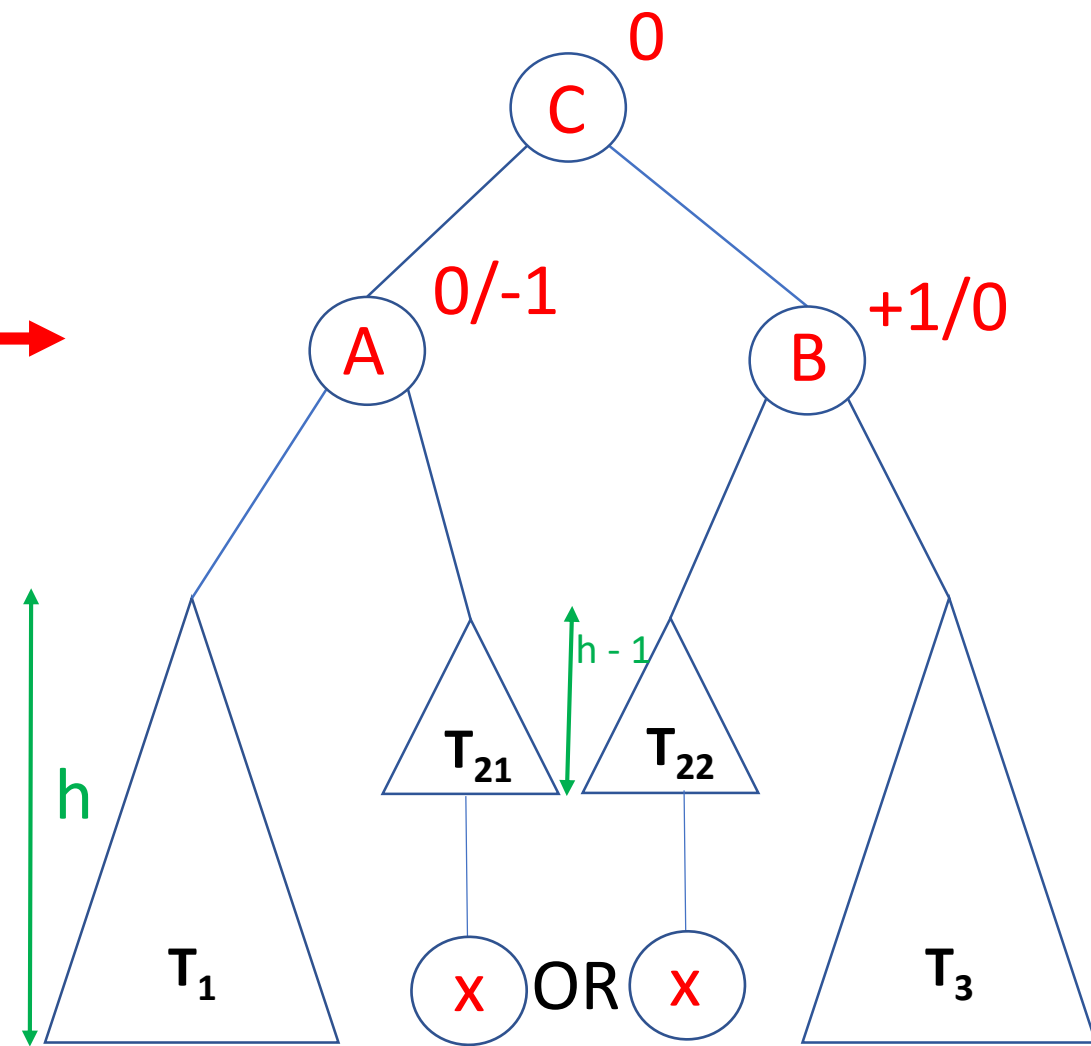


Rotation: (3) Bonus → insertion algorithm is done

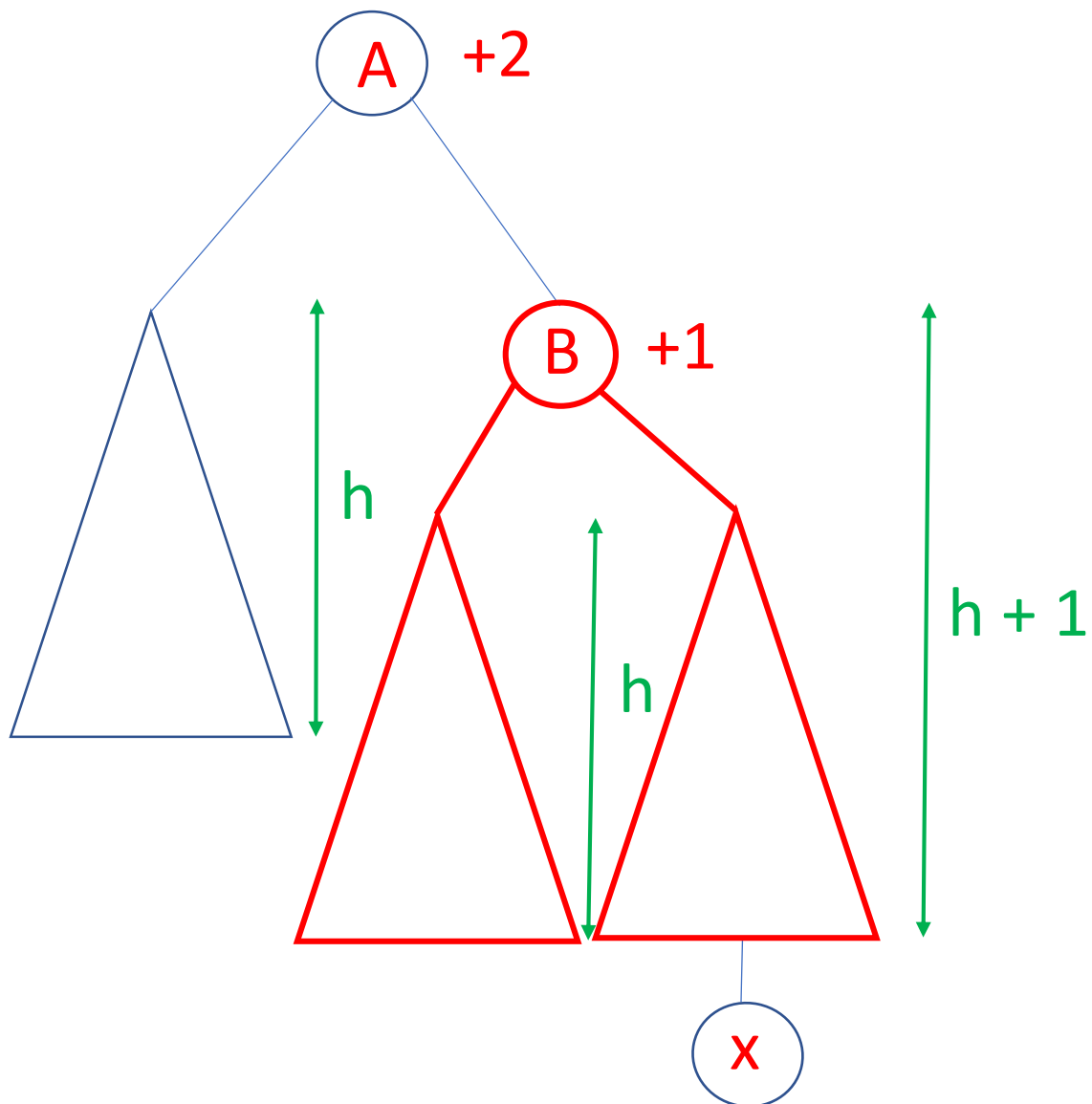
Case 1 (b) : $h > -1$



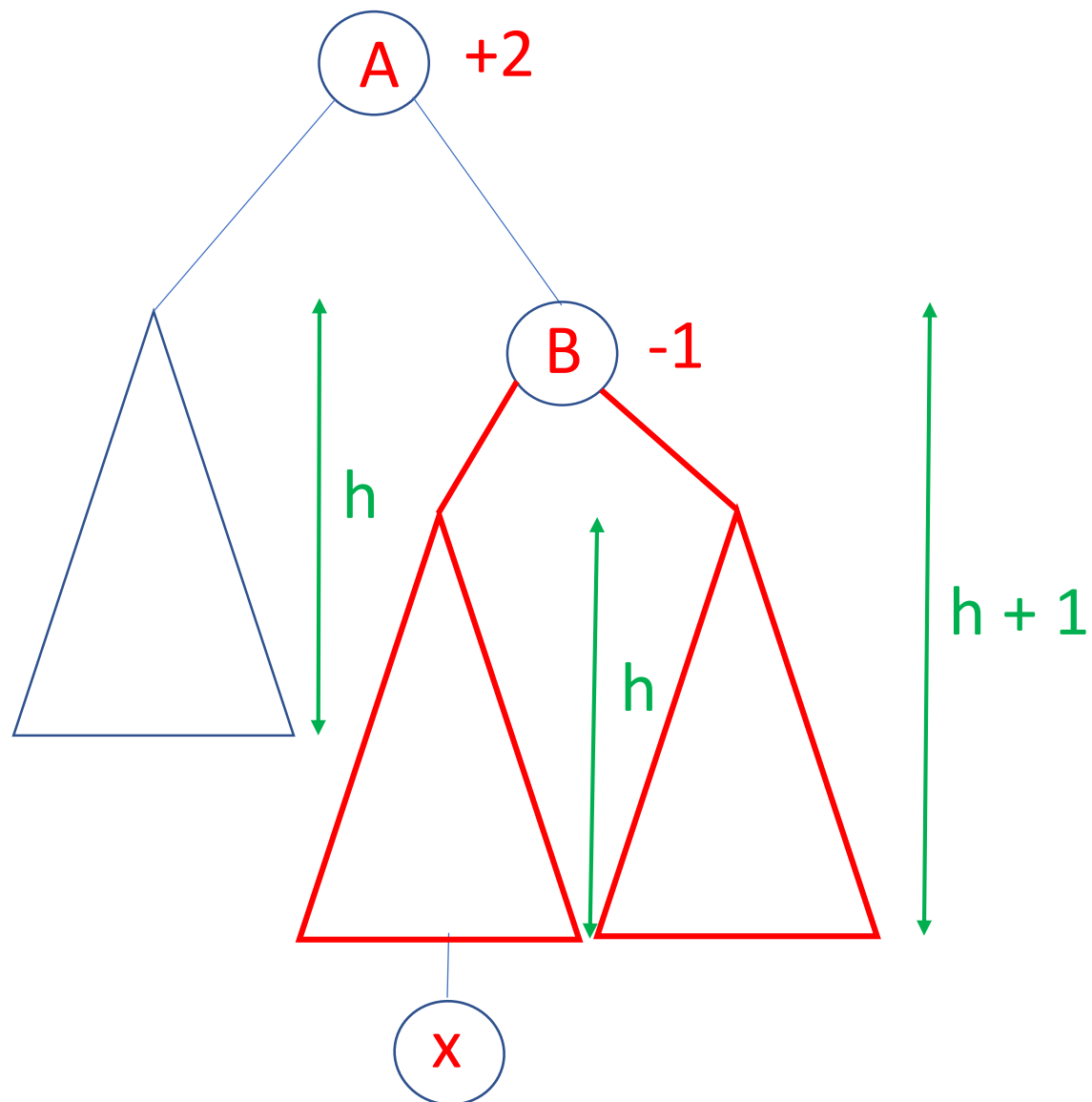
Double
Right-Left
Rotation



Case 1 (a)



Case 1 (b)



Insert(T , x)

- Insert x into T as in any BST:
 - x is now a leaf
- Go up from x to the root and for each node v in this path
 - Adjust the BF:
- Rebalance if necessary:

Insert(T , x)

- Insert x into T as in any BST:
 - x is now a leaf
 - Set $BF(x)$ to 0
- Go up from x to the root and for each node v in this path
 - Adjust the BF:
- Rebalance if necessary:

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 - x is now a leaf
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- Go up from x to the root and for each node v in this path
 - Adjust the BF:
 - if x is in right subtree of v :
- Rebalance if necessary:

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 - Adjust the BF:
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 - if $BF(v) = -2$

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Symmetric to above case

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]

$O(\log n)$

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$O(\log n)$

$O(1)$

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$O(\log n)$

$O(1)$

$O(1)$

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 - if $BF(v) = +2$
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Do Left Rotation, update BFs of rotated nodes, and stop
 - if $BF(v.right) = -1$
Do Right-Left Rotation, update BFs of rotated nodes, and stop
 - if $BF(v) = -2$
Symmetric to above case
-
- $O(\log n)$
- $O(1)$
- $O(1)$
- $O(\log n)$

Delete(**T**, **x**)

- Same general idea as **Insert**(**T**, **x**):
 - Delete **x**, go up to adjust BF's and rebalance (with single or double rotations) if necessary

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- To see how **Delete**(**T**, **x**) works:
 - Read the AVL notes posted on the course website
 - Go to the tutorial this week!