Physical Operators and the Volcano Model

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In the **basic scenarios** of database systems—such as last week's exercises—users can retrieve all table data using simple commands (e.g., SELECT * FROM t;) or extract specific results through filtering conditions (e.g., SELECT name, age FROM t WHERE gpa > 3.0;). These operations appear straightforward but face the following implementation challenges:

1. Generalization of functionality:

The database must support **arbitrary complex query compositions** (e.g., multi-layer filtering, joins, aggregation, sorting). A single code logic cannot cover all scenarios.

2. Execution efficiency and resource management:

Hardcoding all functionality results in bloated code, uncontrollable memory usage (e.g., full table loads), and difficulty in optimizing localized logic.

3. Modularity and extensibility:

Adding new command types (such as Count, Delete) should avoid invasive modifications to the core engine.

To address these problems, the Volcano Model proposes the idea of **physical operator abstraction**. We will introduce the concepts of physical operators and the Volcano Model step by step.

I. Physical Operators

In the database execution engine, **composite operations** follow the **single responsibility principle**: each independent operation is abstracted into a **physical operator**, each handling a specific task (such as scanning, filtering, projecting), and returning processed tuples to upper layers via a unified interface.

Example:

```
SELECT name, age FROM t WHERE gpa > 3.0;
```

This query can be decomposed into three cooperating physical operators:

1. **ScanOperator**

• Role: Reads raw data row-by-row from table t in the storage engine.

2. FilterOperator

• Role: Applies the predicate gpa > 3.0 to filter qualified tuples.

3. ProjectOperator

• Role: Trims fields, retaining only name and age columns.

Standardized Interfaces for Physical Operators

To enable **composability**, all physical operators must follow a unified iterator interface. In Java, the core methods are defined as follows:

```
public interface PhysicalOperator {
   void Begin() throws DBException;
                                                 // Initialize (e.g., open
file, initialize child operators)
   boolean hasNext() throws DBException;
                                                // Check if more data exists
   void Next() throws DBException;
                                                 // Process next data item
   Tuple Current();
                                                 // Retrieve current tuple
   void Close();
                                                 // Clean up resources (e.g.,
close file)
   ArrayList<ColumnMeta> outputSchema();
                                                // Define output metadata
(column names, types)
}
```

Key data structures to emphasize:

1. Tuple:

The core data unit passed between physical operators. Essentially an abstract representation of a data row and acts as a bridge between binary data and programming language types. DBMSs like MySQL, Vastbase, PostgreSQL, etc., use Tuple to pass data upward in their Volcano-style execution.

2. ColumnMeta:

Records the set of columns to return. If projecting the entire table, it returns all columns; if only some, it must return the corresponding subset.

II. Volcano Model

The Volcano Model is a classic architecture in query execution, also known as the **Iterator Model**. It processes data tuple-by-tuple, decomposing SQL statements into physical operators and arranging them into a top-down layered structure (e.g., Projection \rightarrow Filter \rightarrow Join \rightarrow Scan). Upper-level operators invoke lower-level ones via next() or hasNext() and receive data via current().

Example SQL:

```
SELECT t.age, t.name FROM t WHERE t.gpa > 3.0;
```

Logical operator tree:

```
ProjectOperator(selectItems=[t.age, t.name])

LogicalFilterOperator(condition=t.gpa > 3.0)

TableScanOperator(table=t)
```

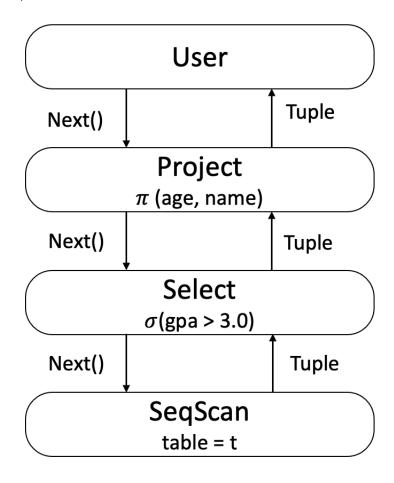
Physical operator plan:

```
ProjectOperator(selectItems=[t.age, t.name])

L FilterOperator(condition=t.gpa > 3.0)

L SeqScanOperator(table=t)
```

Execution relationship in the Volcano Model (illustrated below):



Exercise

For the **DELETE** statement, create relevant physical operators and build the Volcano Model accordingly. Implement it for two scenarios:

```
• DELETE FROM t WHERE t.id = 3;
```

• DELETE FROM t;

Design a general Volcano Model that supports both modes and implement it.