

Signature of licensed or registered staff 持牌或註冊人員簽署	Name of licensed or registered staff (in block letters) 持牌或註冊職員姓名（正楷填寫）
Date(dd/mm/yy) 日期(日日/月月/年年)	C.E. No. 證監會中央編號 ：

THE BOARD RESOLUTION 董事會決議案

(the “Corporation” 「公司」)

MINUTES OF A MEETING OF THE BOARD OF DIRECTORS OF THE CORPORATION HELD AT 本公司董事會在 _____ [place/ 地點] on 於 _____ [date 日期] which quorum was present and acting throughout, the following Resolution were duly passed by the Board of Directors: 召開董事局會議，期間具備會議所需的合法人數，並且正式通過以下決議案：

IT WAS RESOLVED THAT 董事會決議：

- the Corporation does open and maintain the **(*Cash / Margin)** account with Aden Financial Group Limited (“Aden”) for dealing in securities, the Terms of Conditions of Client Agreement and Client information Statement which have been produced by Aden Financial Group Limited to the Corporation are hereby approved;
決議通過以公司的名義，在亞丁金融集團有限公司(“亞丁金融”) 開立一個 **(*現金 / 保證金)** 證券買賣戶口，並按照亞丁金融的客戶協議書及客戶資料表(統稱“開戶協議”) 內之條文所約束及運作。
- Authorized to sign the tabled the Client Information Statement, Client Agreement and any agreement relating to the opening and maintaining the account with Aden for trading in securities for and on behalf of the Corporation and to affix the Company Chop where necessary;
決議通過現時在本會議出示及填妥的開戶協議及授權公司任何董事代表公司簽署該開戶協議(並在有需要時加蓋公司的印章)。
- The person(s) appeared in the following “Authorized Trader List” to give Verbal or Written dealing instructions on behalf of Corporation to trade as aforementioned in accordance with the Client Agreement, the corporation will notify any change from time to time;
決議通過以下“授權交易人士”獲公司授權以口頭或書面方式運作公司的證券買賣戶口，公司並需將不時有關的更改作出及時通知。
- The person(s) appeared in the following “Authorized Signature List” to give deposit/withdrawal fund/share and any other instructions on behalf of Corporation as aforementioned in accordance with the Client Agreement. 決議通過以下 “授權簽字人士” 獲公司授權給與資金／股票的存入／提取指示和其他有關帳戶的指令。
- The person(s) appeared in the following “Authorized Settlement List” to give settlement instructions on behalf of Corporation as aforementioned in accordance with the Client Agreement. 決議通過以下 “授權交收人士” 獲公司授權給與交收指示的指令。
- If the corporation’s information or/and authorized person(s) have any change, the corporation will notify Aden from time to time. 如公司的資料或/及授權人士有任何更改，將及時通知亞丁金融。

*Delete as appropriate 刪去不適用者下述簽署人士茲證明上述各項乃董事局會議記錄中摘錄之正式決議案。

Signature of Director

董事簽署:

Name of Director

董事姓名:

Company Chop

公司印章

Signature of Director

董事簽署:

Name of Director

董事姓名:

Name of Company 公司名稱 _____ 帳戶號碼： _____

Authorized Person 獲授權人士			
i) Authorized Trader List 授權交易人士			
Verbal or written instructions dealing instructions given by any one of the following Authorized Person(s) will be valid: 以下任何一位獲授權人士所給予的口頭或書面交易指示均為有效：			
	<i>Authorized Person (1)</i> 獲授權人士 (1)	<i>Authorized Person (2)</i> 獲授權人士 (2)	<i>Authorized Person (3)</i> 獲授權人士 (3)
Name 姓 名：			
Date of Birth 出生日期			
HKID / Passport No. 香港身份證/ 護照號碼：			
Nationality 國籍			
Contact No. 聯絡電話號碼:			
Specimen Signature 簽名式樣：			
ii) Authorized Signature List 授權簽字人士			
Fund/Share Deposit/Withdrawal and any other instructions given by any _____ of the following Authorized person(s) will be valid: 以下 _____ 位獲授權人士所給予的資金／股票的存入／提取指示和其他有關帳戶的指示均為有效：			
	<i>Authorized Person (1)</i> 獲授權人士 (1)	<i>Authorized Person (2)</i> 獲授權人士 (2)	<i>Authorized Person (3)</i> 獲授權人士 (3)
Name 姓 名：			
Date of Birth 出生日期			
HKID / Passport No. 香港身份證/ 護照號碼：			
Nationality 國籍			
Contact No. 聯絡電話號碼:			
Specimen Signature 簽名式樣：			
iii) Authorized Settlement List 授權交收人士			
Settlement instructions given by any _____ of the following Authorized person(s) will be valid: 以下 _____ 位獲授權人士所給予的交收指示均為有效:			
	<i>Authorized Person (1)</i> 獲授權人士 (1)	<i>Authorized Person (2)</i> 獲授權人士 (2)	<i>Authorized Person (3)</i> 獲授權人士 (3)
Name 姓 名：			
Date of Birth 出生日期			
HKID / Passport No. 香港身份證護照號碼：			
Nationality 國籍			
Contact No. 聯絡電話號碼:			
Email 電子郵箱			
Specimen Signature 簽名式樣：			

	Document(s) Check list 文件檢查清單	HK Company 香港公司	Overseas Company 海外公司
<input type="checkbox"/>	Board Resolution 董事會決議	✓	✓
<input type="checkbox"/>	Certified true copies of the Hong Kong ID Card(s) or Passport(s) of all Authorized Persons, Directors and Ultimate beneficial owner(s). 所有獲授權代理人、董事及帳戶最終實質權益的人士之香港身份證或護照核證副本	✓	✓
<input type="checkbox"/>	Certified true copies of address proof within the last 3 months of all Authorized Persons, Directors and Ultimate beneficial owner(s). 所有獲授權代理人、董事及帳戶最終實質權益的人士之最近 3 個月的地址證明核證副本	✓	✓
<input type="checkbox"/>	Certified true copy of Register of Members and Register of Directors 董事名單及股東名單之核證副本	✓	✓
<input type="checkbox"/>	Certified true copy of Certificate of Incorporation 公司註冊證書之核證副本	✓	✓
<input type="checkbox"/>	Certified true copy of Memorandum and Articles of Association 組織章程大綱及細則之核證副本	✓	✓
<input type="checkbox"/>	Certified true copy of Certificate of Incumbency (issued within last 6 months) 董事在職證明之核證副本 (在過去 6 個月內簽發)		✓
<input type="checkbox"/>	Certified true copy of Business Registration Certificate 商業登記證之核證副本	✓	(if applicable 如適用)
<input type="checkbox"/>	Certified true copy of Latest Annual Return 最近的公司週年申報表之核證副本	✓	(if applicable 如適用)
<input type="checkbox"/>	Certified true copy of Latest Audited Accounts/ Certificate of Good Standing 最近期財政報告或良好記錄證書之核證副本	(if applicable 如適用)	✓
<input type="checkbox"/>	Company Group Chart (Signed by Director) 公司組織圖 (由董事簽署)	✓	(if applicable 如適用)
<input type="checkbox"/>	W-8BEN-E form for US market 開通美股需填 W-8BEN-E	(if applicable 如適用)	(if applicable 如適用)
<input type="checkbox"/>	Standing Authority (For Margin Client Only) 常設授權書	(if applicable 如適用)	(if applicable 如適用)
<input type="checkbox"/>	Letter of Guarantee (For Margin Client Only) 擔保書	(if applicable 如適用)	(if applicable 如適用)
<input type="checkbox"/>	Corporate FATCA Self-Certification 公司《海外帳戶稅收合規法案》自行認證	✓	✓
<input type="checkbox"/>	Client Risk Profiling Questionnaire – Investment Products (for Corporate Account) 投資產品 - 風險承受能力問卷 (公司客戶適用)	✓	✓

Note 注意:

Copies of those documents set out above must be certified as a true copy by certified public accountant (CPA), lawyer, or notary public. 所有提交本公司的文件副本須經由執業會計師、執業律師或註冊公證人認證為真確的副本。

For Office Use Only 內部填寫:

For Official Use Only 只供本行使用			
Introduced by 介紹人：		How Long Known to Client 與客戶相識年期：	
Name of Account Executive 客戶主任姓名：		AE No 客戶主任號碼：	
Credit Limit Suggested by AE 客戶主任建議之信用額：		Account Executive Signature 客戶主任簽署：	
Commission Rate 佣金比率： Telephone: _____ % (Min HK\$ _____) Internet/Mobile APP: _____ % (Min HK\$ _____)		Interest Rate 利息 Debit Interest Rate 欠款利息: _____ % Margin Interest Rate 保證金利息: _____ %	
Documents Checked By 文件查核：		Date 日期：	
Account Approved By 批准：		Date 日期：	
Data Input By 資料輸入：	Date 日期：	Data Checked By 資料查核：	Date 日期：

Standing Authority (For Margin Client Only) 常設授權書 (只供保證金客戶簽署)

To 致：Aden Financial Group Limited 亞丁金融集團有限公司

Standing Authority under Securities & Futures (Client Securities) Rules 根據《證券及期貨(客戶證券)規則》所設立的常設授權

This standing authority is in respect of the treatment of our securities collateral as set out below:

本常設授權是有關處置吾等之證券或證券抵押品，詳列如下：

Unless otherwise defined, the terms used in this standing authority shall have the same meanings as in the Securities & Futures Ordinance and Securities & Futures (Client Securities) Rules as amended from time to time. 除非另有說明，本授權書之名詞與《證券及期貨條例》及《證券及期貨(客戶證券)規則》不時修訂之定義具有相同意思。

This standing authority authorizes you to 本常設授權書授權貴公司：

1. apply any of our securities or securities collateral in accordance to Terms and Conditions of Client Agreement of Margin client paragraph 19; 依據證券保證金客戶協議之條款及守則第 19 條，貴公司可運用任何吾等的證券或證券抵押品;
2. deposit any of our securities collateral with an authorized financial institution as collateral for financial accommodation provided to you; 將任何吾等的證券抵押品存放於認可財務機構，作為該機構向 貴公司提供財務通融之抵押品；
3. deposit any of our securities collateral with Hong Kong Securities Clearing Company Limited ("HKSCC") as collateral for the discharge and satisfaction of your settlement obligations and liabilities. We understand that HKSCC will have a first fixed charge over our securities to the extent of your obligations and liabilities;

將任何吾等的證券抵押品存於香港中央結算有限公司(「中央結算」)，作為解除 貴公司在交收上的義務和清償 貴公司在交收上的法律責任的抵押品。吾等明白中央結算因應 貴公司的責任和義務而對吾等的證券設定第一固定押記；

4. deposit any of our securities collateral with any other recognized clearing house, or another intermediary licensed or registered for dealing in securities, as collateral for the discharge and satisfaction of your settlement obligations and liabilities; and

將任何吾等的證券抵押品存於任何其他認可結算所或任何其他獲發牌或獲註冊進行證券交易的中介人，作為解除 貴公司在交收上的責任和義務和清償 貴公司在交收上的法律責任的抵押品；及

5. apply or deposit any of our securities collateral in accordance with paragraphs 1,2,3, and/or 4 above if you provide financial accommodation to us in the course of dealing in securities and also provide financial accommodation to us in the course of any other regulated activity for which you are licensed or registered

如貴公司在進行證券交易及貴公司獲發牌或獲註冊進行的任何其他受規管活動的過程中向吾等提供財務通融，即可按照上述第(1)、第(2)、第(3)及/或第(4)段所述運用或存放任何吾等的證券抵押品。

You may do any of these things without giving us notice. We acknowledge that this standing authority shall not affect your right to dispose or initiate a disposal by our affiliates of our securities or securities collateral in settlement of any liability owned by or on behalf of us to you, the affiliates or a third person.

貴公司可不向吾等發出事前通知而採取上述行動。吾等確認本授權書不影響 貴公司為解除由吾等或代吾等對 貴公司、貴公司之聯繫實體或第三者所負的法律責任，而處置或促使 貴公司的聯繫實體處置吾等之證券或證券抵押品的權利。

This standing authority is given to you in consideration of your agreeing to continue to maintain the securities margin account(s) for us.

此賦予 貴公司之授權乃鑑於 貴公司同意繼續維持吾等之證券保證金帳戶。吾等明白吾等的證券可能受制於第三者之權利，貴公司須全數抵償該等權利後，方可將吾等的證券退回吾等。

We under that a third party may have rights to our securities, which you must satisfy before our securities can be returned to us. 吾等明白吾等的證券可能受制於第三者之權利，貴公司須全數抵償該等權利後，方可將吾等的證券退回給吾等。

This standing authority is valid for a period of not more than 12 months until 31-December this year. We understand that this standing authority may be revoked by giving you written notice. Such notice shall take effect upon the expiry of 14 days from the date of your actual receipt of such notice. 本常設授權書的有效期限為十二個月，自本授權書之日起計有效。吾等可以向 貴公司客戶服務部位於上述所列明之地址發出書面通知，撤回本授權書。

該等通知之生效日期為 貴公司真正收到該等通知後之 14 日起計。

We understand that this standing authority may be deemed to be renewed on a continuing basis without our consent if you issue us a written reminder at least 14 days prior to the expiry date of this standing authority, and we do not object to such expiry date.

吾等明白 貴公司若在本常設授權書的有效期限屆滿前 14 日之前，向吾等發出書面通知，提醒吾等本授權書即將屆滿，而吾等沒有在此授權屆滿前反對此授權續期，本授權書應當作在不需要吾等的書面同意下按持續的基準已被續期。

In the event of any difference in interpretation or meaning between the Chinese version and English version of this standing authority, we agree that the English version shall prevail. 倘若本授權書的中文本與英文本在解釋或意義方面有任何異議，吾等同意應以英文本為準。

We acknowledge that this standing authority and re-pledging practice of your Company has been fully explained to us and we understand and agree with the contents of this standing authority. 吾等就本常設授權書的內容及貴公司的轉按政策獲得解釋，並且吾等明白及同意本授權書的內容。

Client Signature 客戶簽署 Authorized Signature(s) and Business Chop 授權簽署及商業印章	Date 日期
--	---------

Letter of Guarantee

Name of Customer: _____ (the "Customer")

Name of Guarantor: _____ (the "Guarantor") with a HKID/Passport No. of _____, an individual residing at _____.

To: Aden Financial Group Limited ("ADEN")

303, 3/F, New East Ocean Center, No.9 Science Museum Road Tsim Sha Tsui, Kowloon

Dear Sir,

In consideration of Aden Financial Group Limited acting as brokers for the Customer, in executing orders to buy, sell and deal in any and all kinds of securities including, but not limited to, shares, stocks, warrants, options, bonds, debentures, notes, bills of exchange, certificates and commercial papers of any description whatsoever and wheresoever issued, dealt in or located (all of which are referred to as "Securities"), on margin or otherwise, the undersigned Guarantor hereby guarantees the payment to Aden Financial Group Limited of all commissions, fees, expenses or charges which may be incurred in the execution of such orders, the payment to Aden Financial Group Limited of the purchase price, or the delivery to Aden Financial Group Limited (as the case may be) of such Securities and the payment of any losses which Aden Financial Group Limited may sustain upon said Customer's account by reason of insufficient margin or otherwise.

This shall be a continuing guaranty for any such indebtedness which the principal shall incur to the broker in accordance with the rules and customs of any exchange upon which the principal's orders are executed and in accordance with any special agreements now or hereafter existing between the broker and the principal.

It is expressly understood that this guaranty shall continue for any present or future accounts that the Customer may have with Aden Financial Group Limited, and shall endure and continue in favour of Aden Financial Group Limited, its successors, by merger, consolidation or otherwise, and assigns.

This guaranty shall be binding upon and enforceable against the executors, administrators, successors, and assigns of the undersigned and the death of the undersigned shall not terminate liability hereunder, the estate of the undersigned to be liable for all transactions within the scope of this guaranty conducted in said account prior to the receipt by Aden Financial Group Limited of notice of the death of the undersigned. The estate of the undersigned shall also continue liable with respect to any losses which may be incurred in liquidating the account during a reasonable time subsequent to the receipt of such notice.

This guaranty shall be supplemental and additional to any other collateral, guaranty or guaranties furnished or to be furnished by the Customer, the undersigned or any other person or persons, so that the liability herein provided for may be calculated independently of any sum or sums which may be collected or collectible under any such security, guaranty or guaranties. This guaranty shall not be satisfied by any payment on account, or the acceptance of any note or other collateral by Aden Financial Group Limited, and Aden Financial Group Limited may, without notice to the undersigned, grant time or other indulgence or settle with the Customer, or release or surrender any collateral held by Aden Financial Group Limited on the Customer's account or any portion thereof.

Aden Financial Group Limited is hereby authorized to hold any and all property and monies in any account or accounts which the undersigned may have with Aden Financial Group Limited as collateral for any loss or debit balance due or owing by the Customer to Aden Financial Group Limited. Aden Financial Group Limited is further authorized from time to time, whenever Aden Financial Group Limited deems it necessary for its protection, to transfer any such collateral held to the account or accounts of the Customer without notice to the undersigned, free and clear of any further claims of the undersigned therein or thereto. Any collateral so held or transferred may be liquidated, hypothecated and rehypothecated in accordance with the terms of the hypothecation agreement of the Customer and/or the undersigned with Aden Financial Group Limited. And demand for the performance of this guaranty, the assertion or enforcement by Aden Financial Group Limited of such lien, or any such transfer or transfers of collateral held shall not release or affect such lien or release the undersigned as guarantor or otherwise affect this guaranty or the liability of the undersigned for any debit balance or loss incurred to Aden Financial Group Limited by the Customer, it being the intention of this instrument that Aden Financial Group Limited shall at all times have any and all remedies herein and by law provided to protect and compensate Aden Financial Group Limited against any loss or debit balance incurred to Aden Financial Group Limited by the Customer.

This guaranty shall not be affected or discharged by omission of Aden Financial Group Limited to take action upon the principal debt or any collateral held by Aden Financial Group Limited for its payment, and no notice need be given to the undersigned of any default on the part of the Customer.

The undersigned hereby waives notice of Aden Financial Group Limited's acceptance of this guaranty and of any obligation incurred under or upon the faith hereof.

Signature of Witness

Name of Witness: _____

Information of Guarantor: _____

Telephone Number: _____

Name of Employer: _____

