

信号与图像处理基础

Basics of Digital Image Processing

中国科学技术大学 自动化系

曹 洋





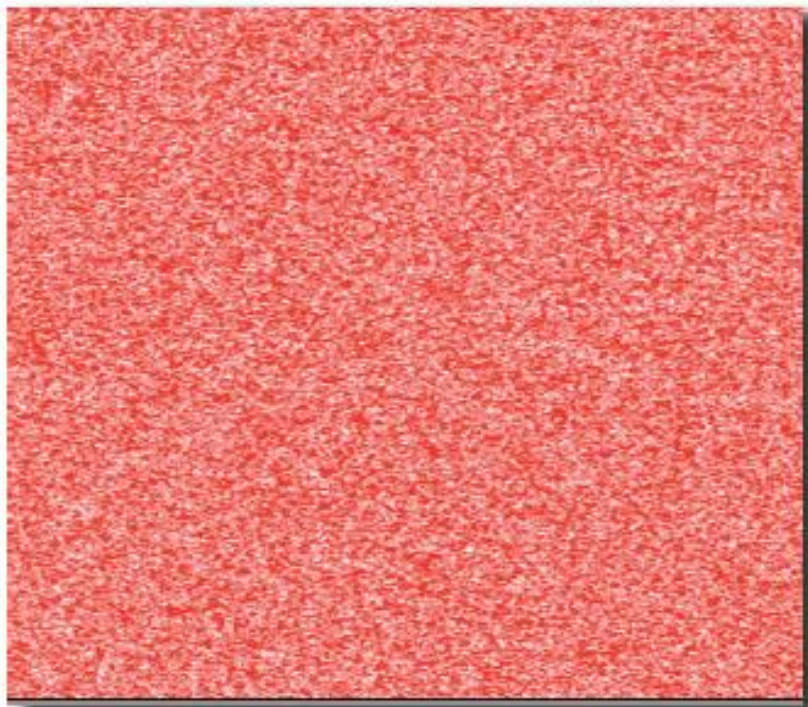
数字图像处理基础

- 图像结构
- 图像的空间变换
- 图像的亮度变换



图像结构

- 图像结构

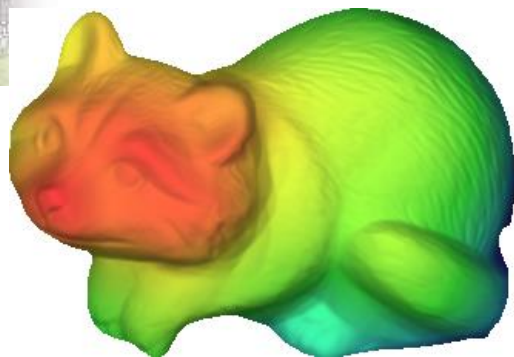


图像并不是一组随机像素的集合

图像结构



Far
Near



Z
表面形状



$S(Z, L)$
渐变图



L
光照图



R
反射图

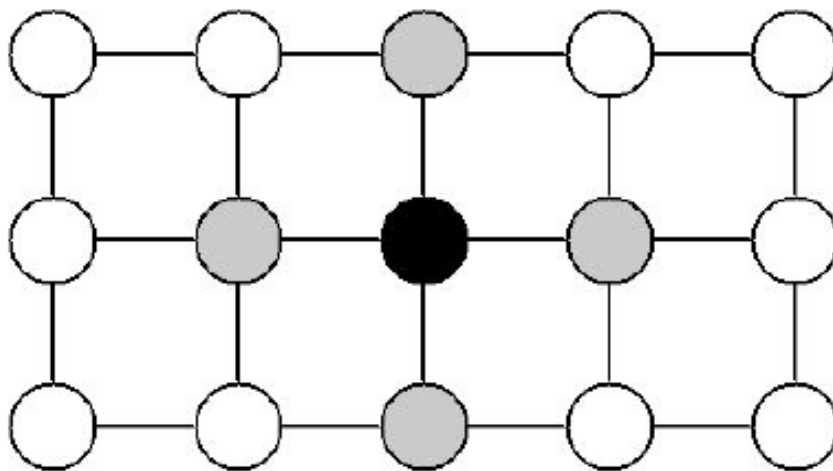


$I = R + S(Z, L)$
获取图像



图像结构

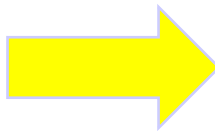
- 图像结构-邻域特性



4-adjacency, 8-adjacency, m-adjacency

图像结构

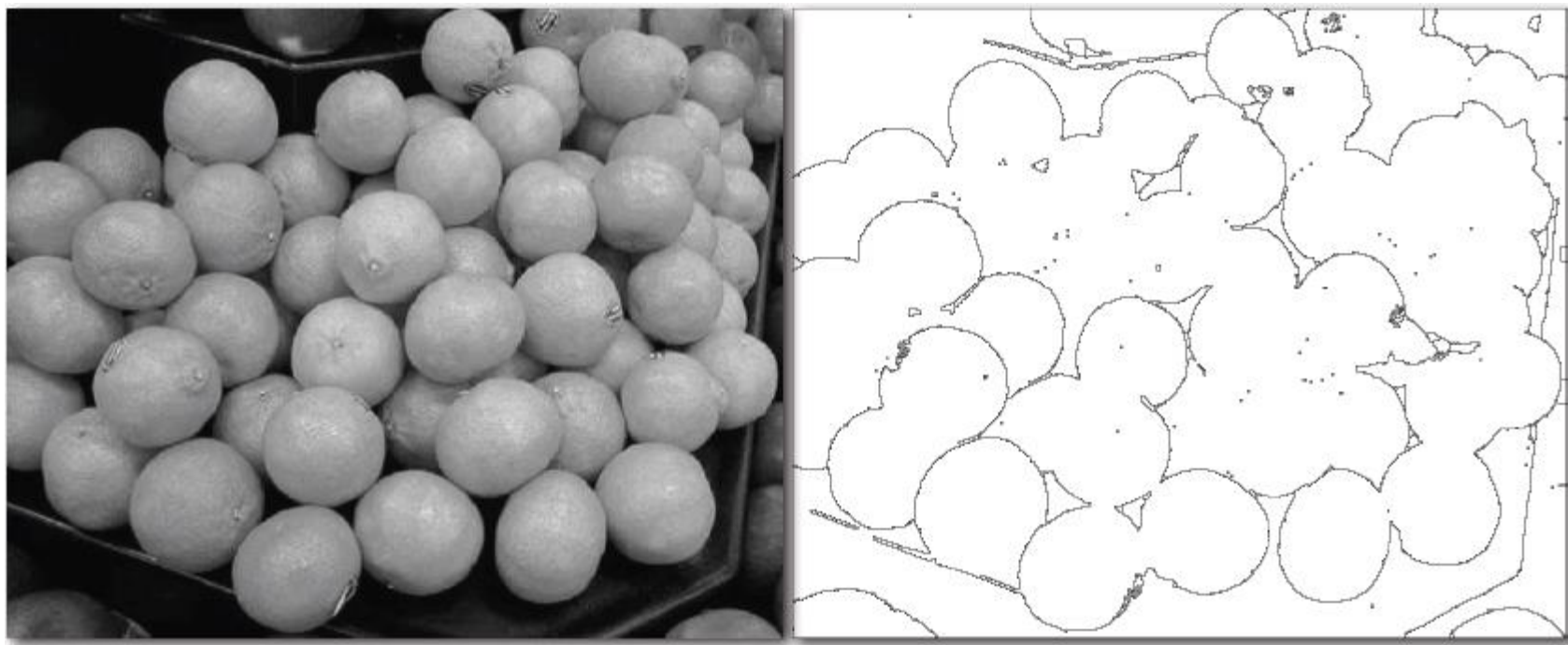
- 图像结构-边缘





图像结构

- 图像结构-轮廓



Region = Connected set of pixels
Contour = Region boundary

图像结构

- 图像结构- 前景/背景





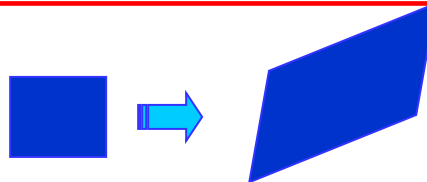
图像的空间变换

- 图像的空间变换

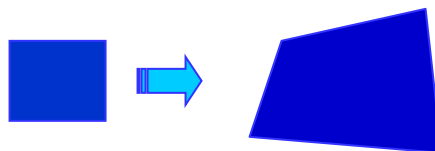
• Similarity
(translation,
scale, rotation)

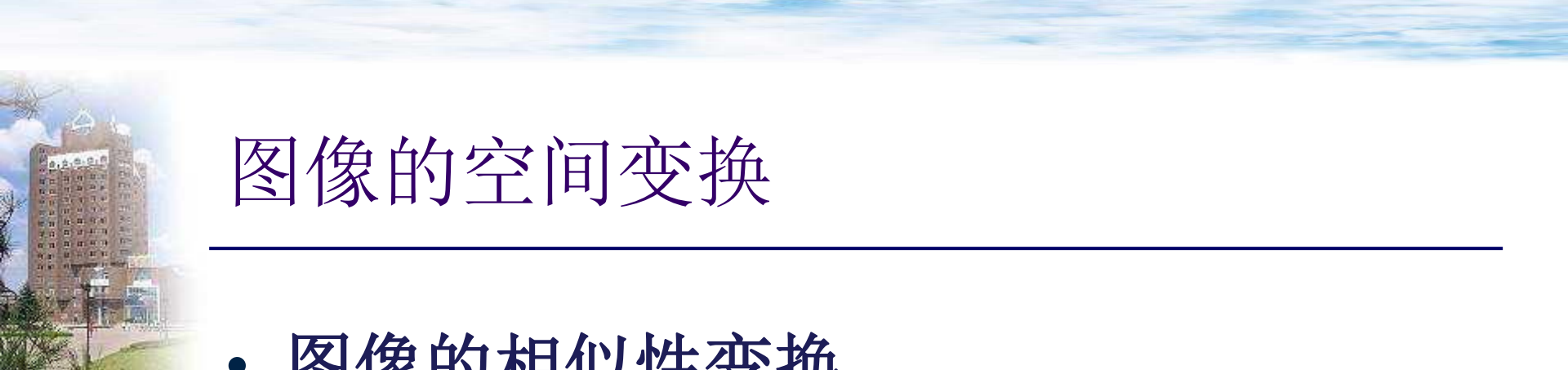


• Affine



• Projective
(homography)





图像的空间变换

- 图像的相似性变换



$$(x', y') = T\{(x, y)\}$$

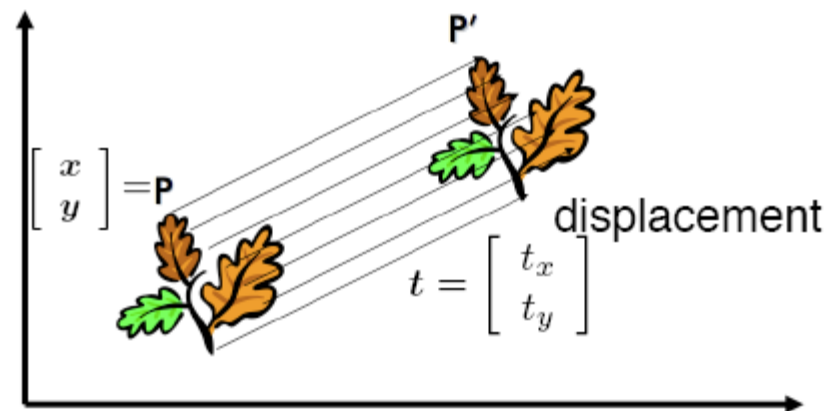
$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = T \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

homogeneous
coordinates



图像的空间变换

- 图像的平移变换



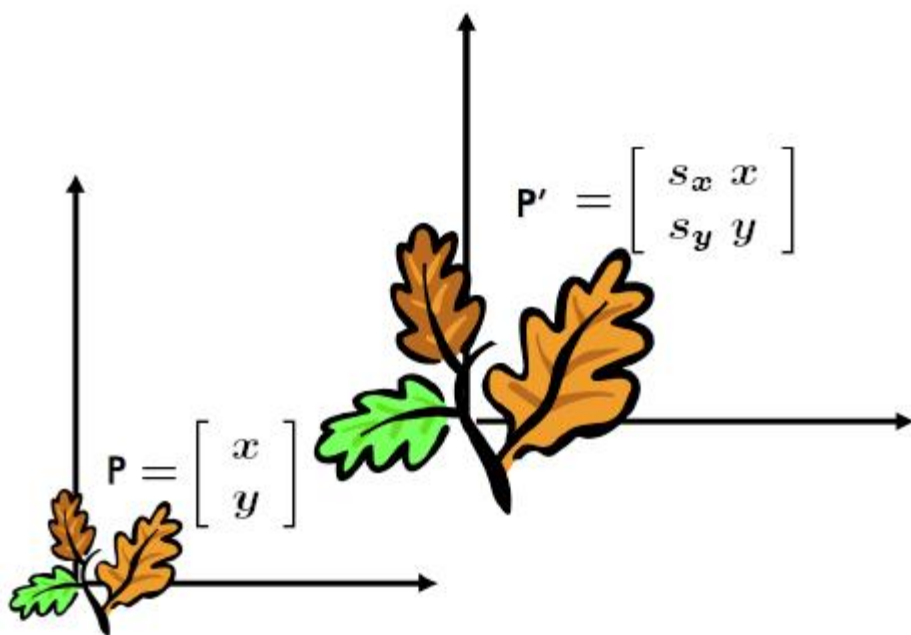
$$P' = P + t$$

$$\Rightarrow P' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}}_{\text{translation matrix}} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



图像的空间变换

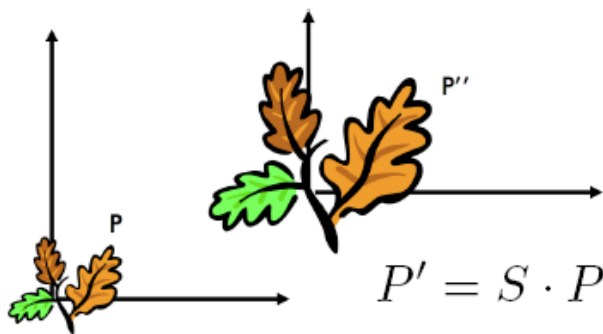
- 图像的尺度变换



$$\begin{bmatrix} s_x & x \\ s_y & y \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{\text{scaling matrix}} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

图像的空间变换

- 图像的平移+尺度变换



Is the ordering important?

$$\begin{aligned} P' &= S \cdot P \\ P'' &= T \cdot P' \end{aligned} \Rightarrow P'' = (T \cdot S) \cdot P$$

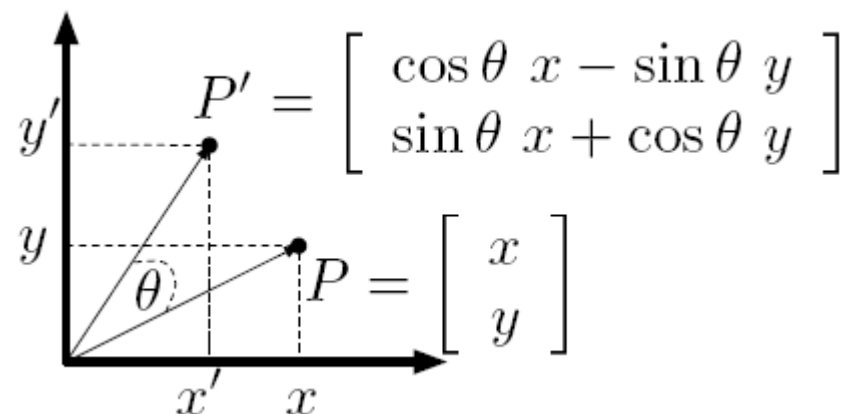
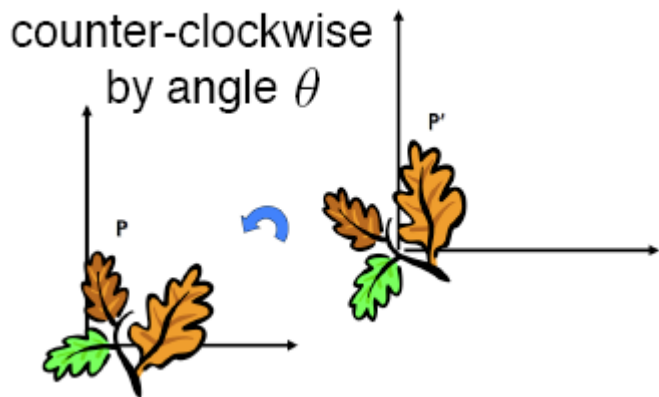
$$\begin{bmatrix} x'' \\ y'' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$A = \begin{bmatrix} s_x & 0 & t_x \\ 0 & s_y & t_y \\ 0 & 0 & 1 \end{bmatrix} \quad \text{scaling + translation matrix}$$

图像的空间变换

- 图像的旋转变换

counter-clockwise
by angle θ



$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{\text{rotation matrix}} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



图像的空间变换

- 图像的旋转+平移+尺度变换

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{\text{rotation matrix}} \underbrace{\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}}_{\text{translation matrix}} \underbrace{\begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{\text{scaling matrix}} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} R & S & t \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



图像的空间变换

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} t_{11} & t_{12} & t_{13} \\ t_{21} & t_{22} & t_{23} \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

至少需要多少个点对才能保证求出矩阵 \mathbf{T} ？



图像的空间变换

$$\begin{bmatrix} t_{11} & t_{12} & t_{13} \\ t_{21} & t_{22} & t_{23} \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix}$$

$$x \cdot t_{11} + y \cdot t_{12} + 1 \cdot t_{13} + 0 \cdot t_{21} + 0 \cdot t_{22} + 0 \cdot t_{23} = x'$$

$$0 \cdot t_{11} + 0 \cdot t_{12} + 0 \cdot t_{13} + x \cdot t_{21} + y \cdot t_{22} + 1 \cdot t_{23} = y'$$

$$\begin{bmatrix} x & y & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x & y & 1 \end{bmatrix} \begin{bmatrix} t_{11} \\ t_{12} \\ t_{13} \\ t_{21} \\ t_{22} \\ t_{23} \end{bmatrix} = \begin{bmatrix} x' \\ y' \end{bmatrix}$$



图像的空间变换

$$\begin{bmatrix} x_1 & y_1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_1 & y_1 & 1 \\ x_2 & y_2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_2 & y_2 & 1 \\ \vdots & & & & & \\ x_N & y_N & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_N & y_N & 1 \end{bmatrix} \begin{bmatrix} t_{11} \\ t_{12} \\ t_{13} \\ t_{21} \\ t_{22} \\ t_{23} \end{bmatrix} = \begin{bmatrix} x'_1 \\ y'_1 \\ x'_2 \\ y'_2 \\ \vdots \\ x'_N \\ y'_N \end{bmatrix}$$



图像的空间变换

$$\underbrace{\begin{bmatrix} x_1 & y_1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_1 & y_1 & 1 \\ x_2 & y_2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_2 & y_2 & 1 \\ \vdots & & & & & \\ x_N & y_N & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & x_N & y_N & 1 \end{bmatrix}}_A \underbrace{\begin{bmatrix} t_{11} \\ t_{12} \\ t_{13} \\ t_{21} \\ t_{22} \\ t_{23} \end{bmatrix}}_X = \underbrace{\begin{bmatrix} x'_1 \\ y'_1 \\ x'_2 \\ y'_2 \\ \vdots \\ x'_N \\ y'_N \end{bmatrix}}_B$$



图像的空间变换

$$AX = B$$

$$\text{if } \det(A) \neq 0 \Rightarrow X = A^{-1}B$$

or

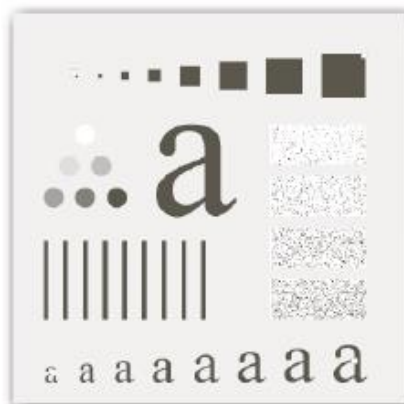
$$\text{if } \det(A^T A) \neq 0 \Rightarrow X = (A^T A)^{-1} A^T B$$

最小二乘法的矩阵形式

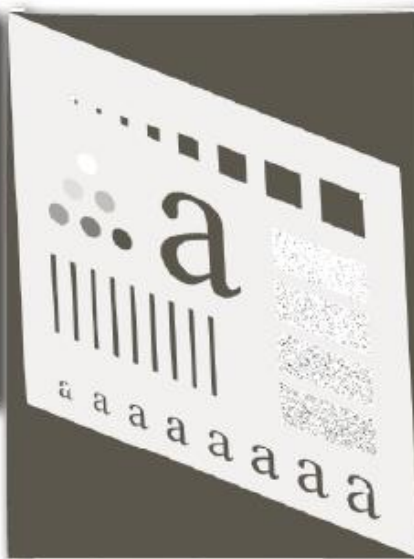


图像的空间变换

输入图像
(变换前)



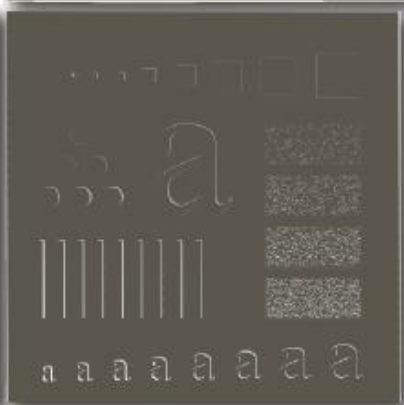
输出图像
(变换后)



反变换图像








估计误差





图像的空间变换

Transformation	Matrix	# DoF	Preserves	Icon
translation	$\begin{bmatrix} I & & t \end{bmatrix}_{2 \times 3}$	2	orientation	
rigid (Euclidean)	$\begin{bmatrix} R & & t \end{bmatrix}_{2 \times 3}$	3	lengths	
similarity	$\begin{bmatrix} sR & & t \end{bmatrix}_{2 \times 3}$	4	angles	
affine	$\begin{bmatrix} A \end{bmatrix}_{2 \times 3}$	6	parallelism	
projective	$\begin{bmatrix} \tilde{H} \end{bmatrix}_{3 \times 3}$	8	straight lines	

图像的空间变换

- 基于图像插值的空间变换



original



resampling



shrinking



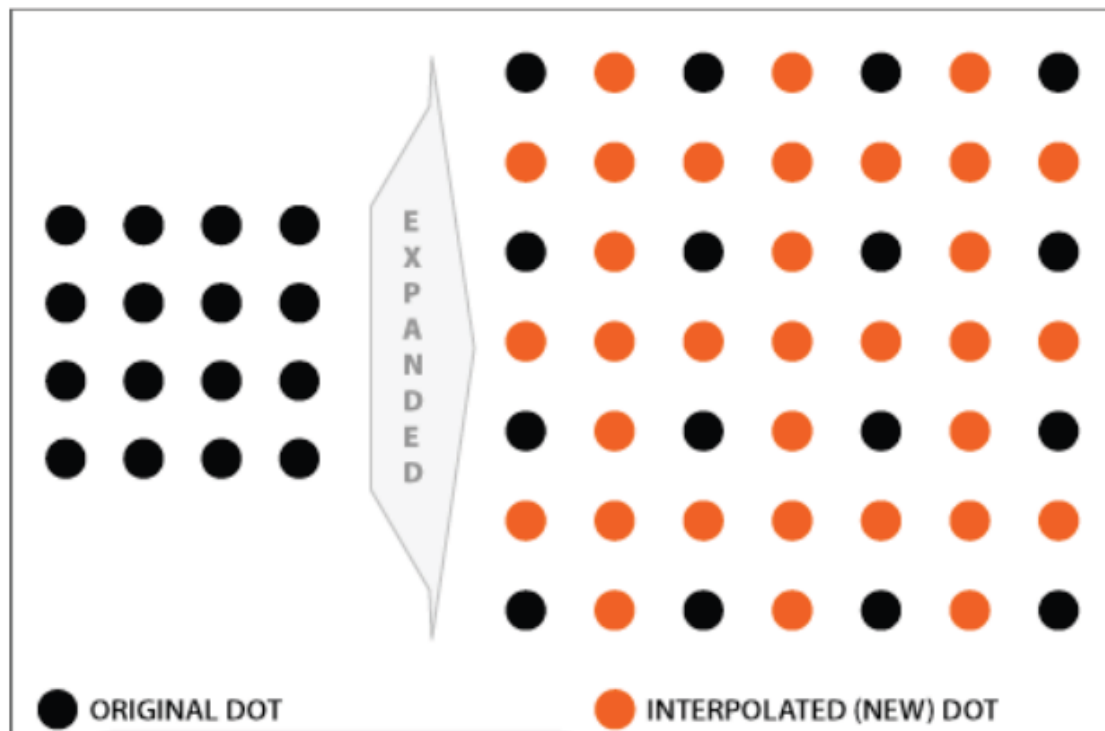
zooming



图像的空间变换

- 基于图像插值的空间变换

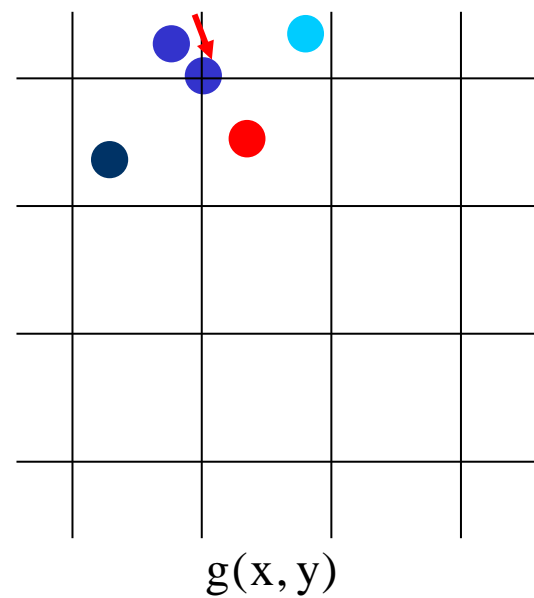
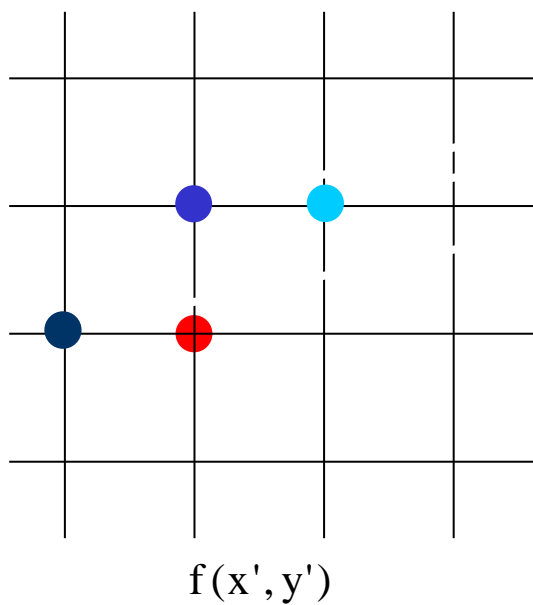
IMAGE EXPANDED TO LARGER DIMENSIONS





图像的空间变换

- 图像插值-最近邻插值

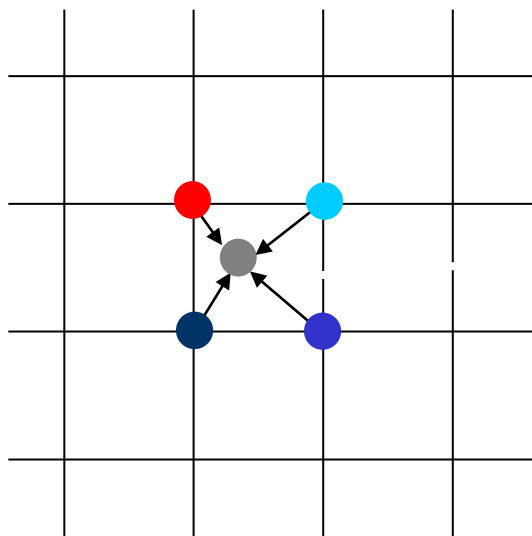


前向映射

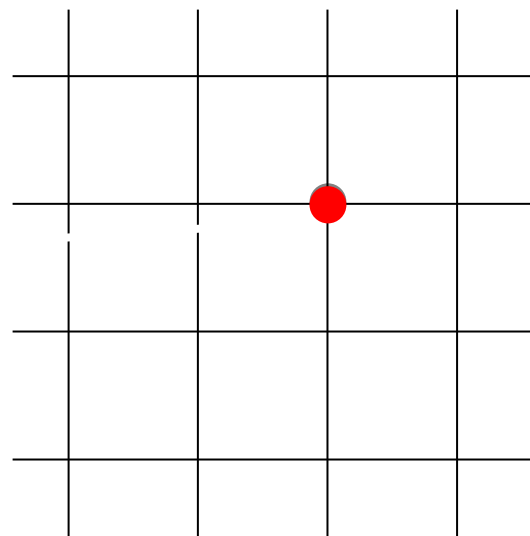


图像的空间变换

- 图像插值-最近邻插值



$f(x', y')$



$g(x, y)$

后向映射



图像的空间变换

- 图像插值-双线性插值

$$f(x, y) = ax + by + cxy + d$$

coefficients that need to be estimated





图像的空间变换

- 图像插值-双线性插值

$$f(x, y) = ax + by + cxy + d$$

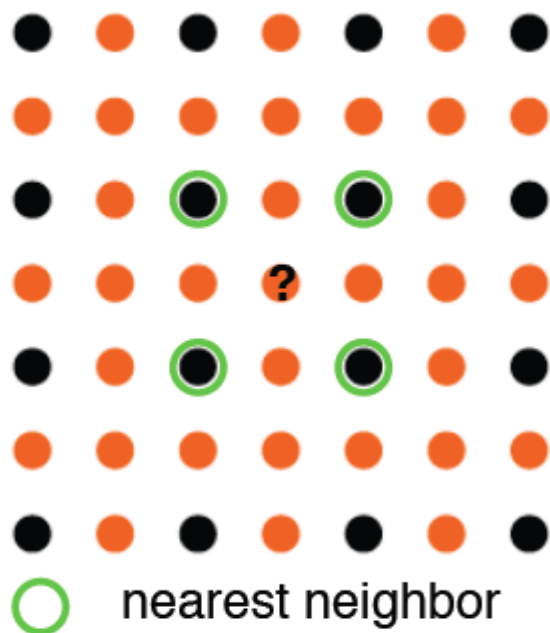
coefficients that need to be estimated





图像的空间变换

- 图像插值-双线性插值



$$f(x, y) = ax + by + cxy + d$$

coefficients that need to be estimated



图像的空间变换

- 图像插值-双线性插值

$$f(x, y) = ax + by + cxy + d$$

coefficients that need to be estimated

$$ax_1 + by_1 + cx_1y_1 + d = f(x_1, y_1)$$

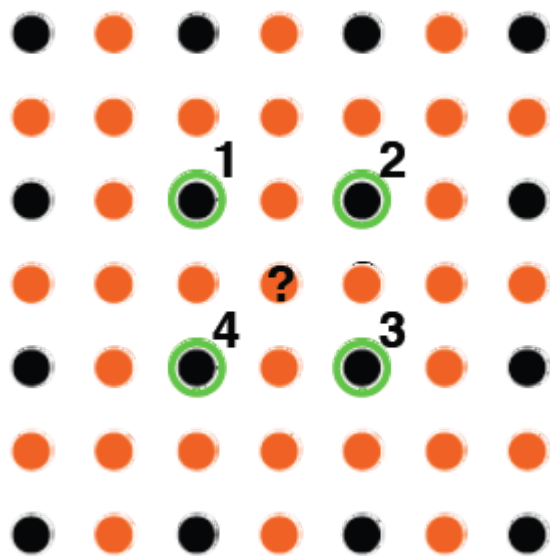
$$ax_2 + by_2 + cx_2y_2 + d = f(x_2, y_2)$$

$$ax_3 + by_3 + cx_3y_3 + d = f(x_3, y_3)$$

$$ax_4 + by_4 + cx_4y_4 + d = f(x_4, y_4)$$



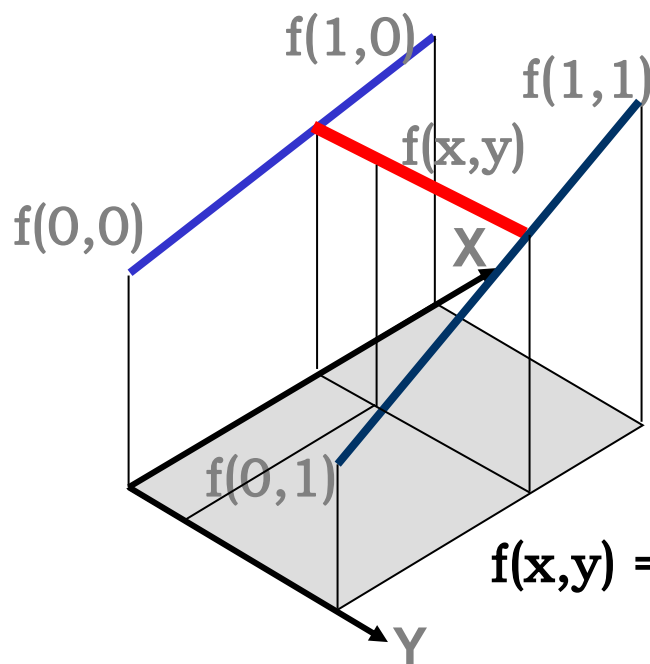
a, b, c, d



 nearest neighbor

图像的空间变换

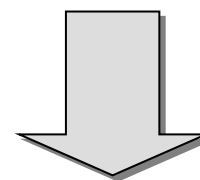
- 图像插值-双线性插值



$$f(x,0) = f(0,0) + x[f(1,0) - f(0,0)]$$

$$f(x,1) = f(0,1) + x[f(1,1) - f(0,1)]$$

$$f(x,y) = f(x,0) + y[f(x,1) - f(x,0)]$$



$$f(x,y) = [f(1,0) - f(0,0)]x + [f(0,1) - f(0,0)]y + [f(1,1) + f(0,0) - f(0,1) - f(1,0)]xy + f(0,0)$$



图像的空间变换

- 最近邻插值 **vs** 双线性插值

zoomed in images



nearest neighbor



bilinear



图像的空间变换

- 图像插值

$$f(x, y) = \sum_{i=0}^N \sum_{j=0}^N a_{ij} x^i y^j$$

new locations

new locations

estimated from the known neighboring locations

- Bilinear $N = 1$
- Bicubic $N = 3$

图像的亮度变换

- 图像的亮度变换



- gamma



- brightness



original



+ brightness



+ gamma



histogram mod



- contrast



original



+ contrast



histogram EQ



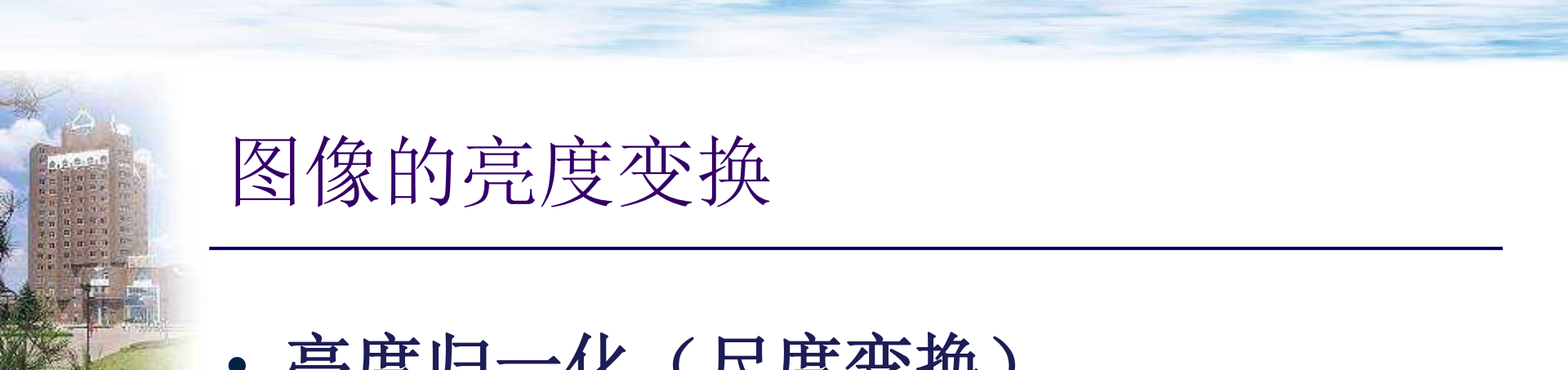
图像的亮度变换

- 图像的亮度变换

$$g(x, y) = T\{f(x, y)\}$$

transformed
input

input



图像的亮度变换

- 亮度归一化（尺度变换）

$$g(x, y) = K \frac{f(x, y) - \min[f(x, y)]}{\max[f(x, y)] - \min[f(x, y)]}$$



input

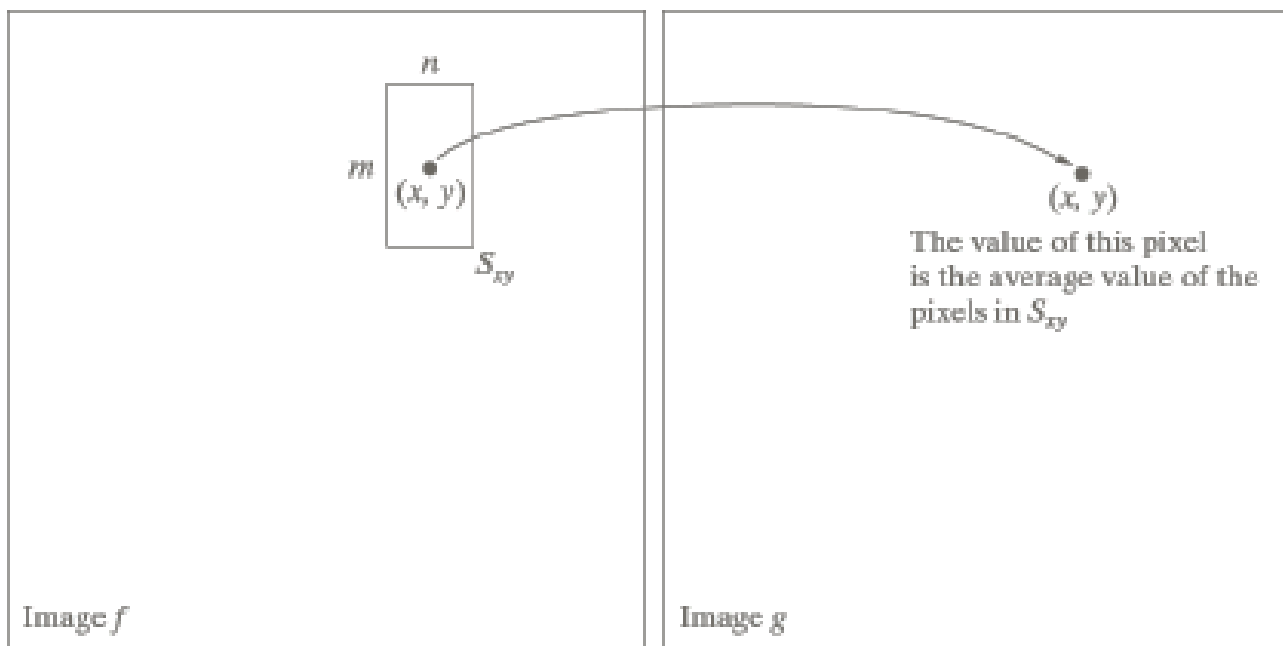


output

图像的亮度变换

- 亮度局部平均（平滑处理）

$$g(x, y) = \frac{1}{mn} \sum_{(x', y') \in N_{xy}} f(x', y')$$

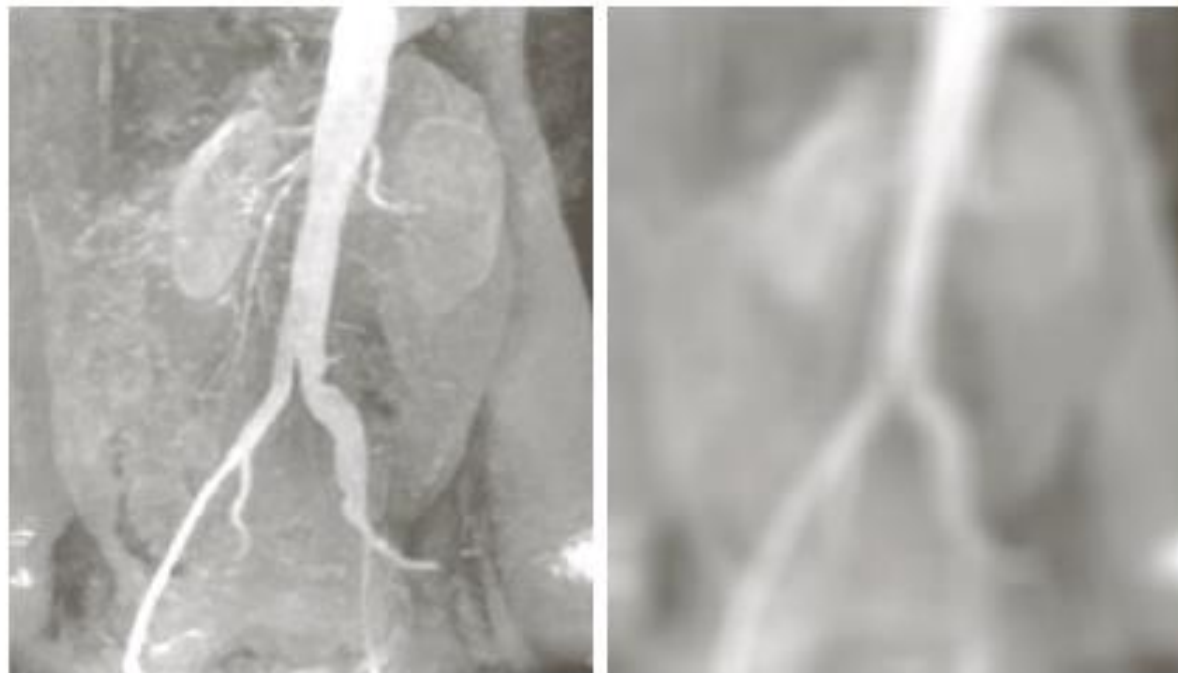




图像的亮度变换

- 亮度局部平均（平滑处理）

$$g(x, y) = \frac{1}{mn} \sum_{(x', y') \in N_{xy}} f(x', y')$$

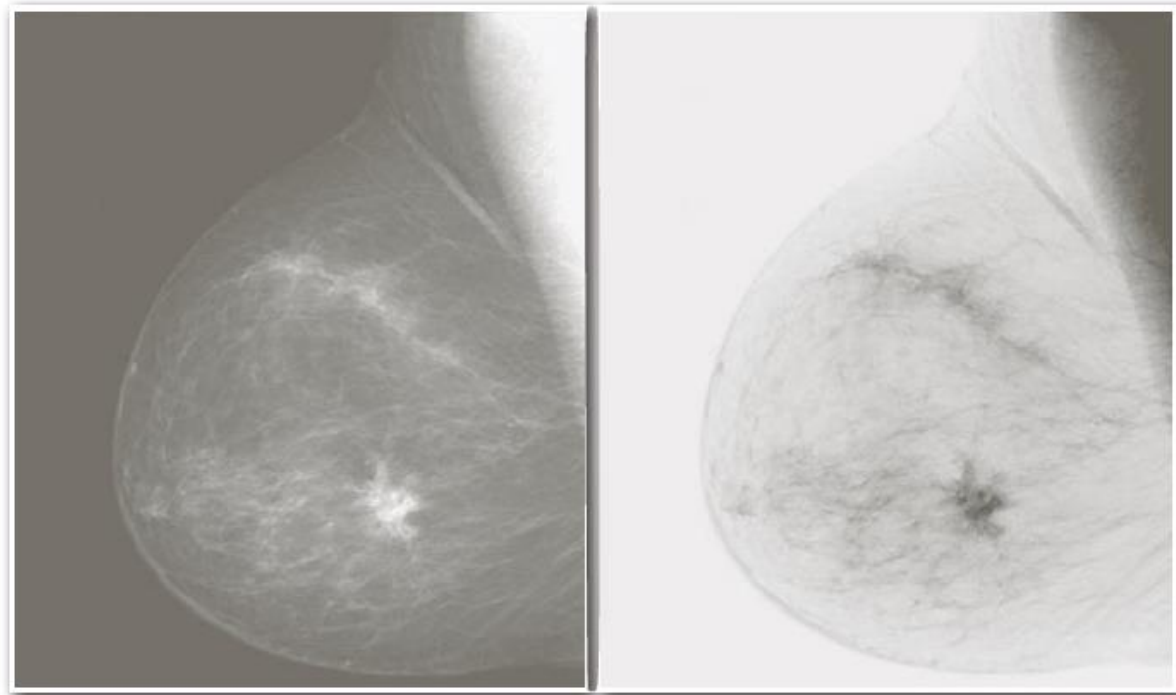




图像的亮度变换

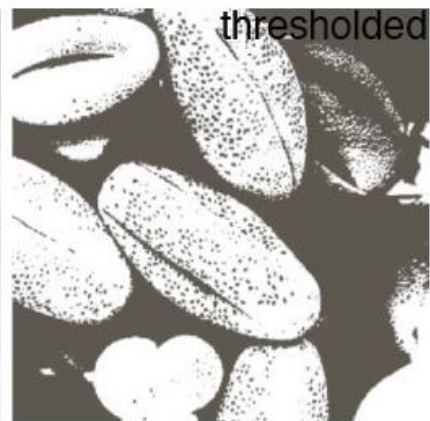
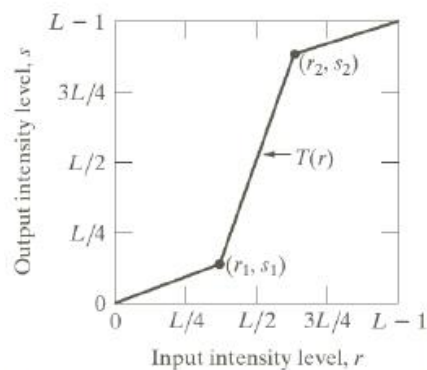
- 图像取反

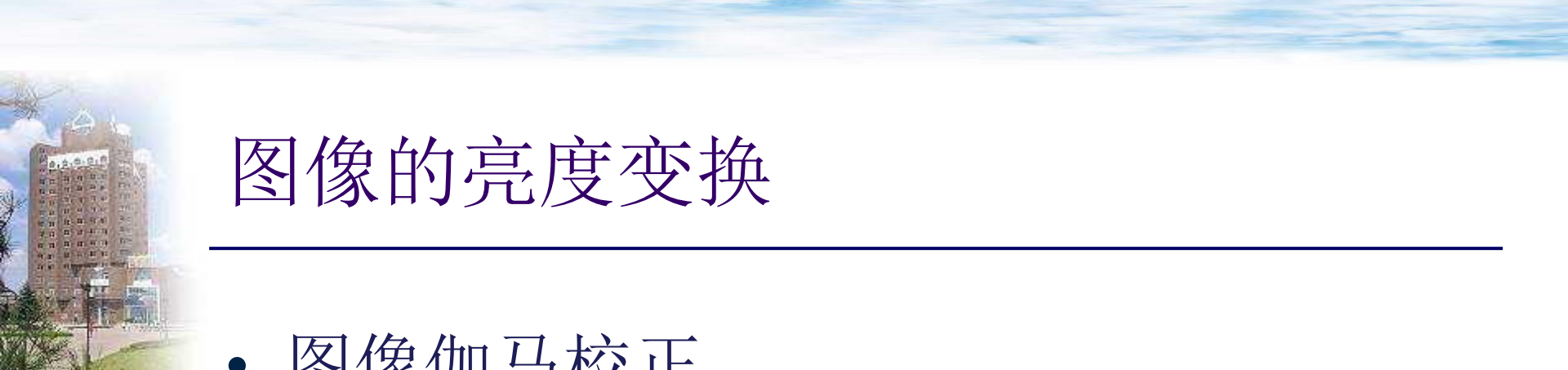
$$g(x, y) = L - 1 - f(x, y)$$



图像的亮度变换

- 图像亮度拉伸

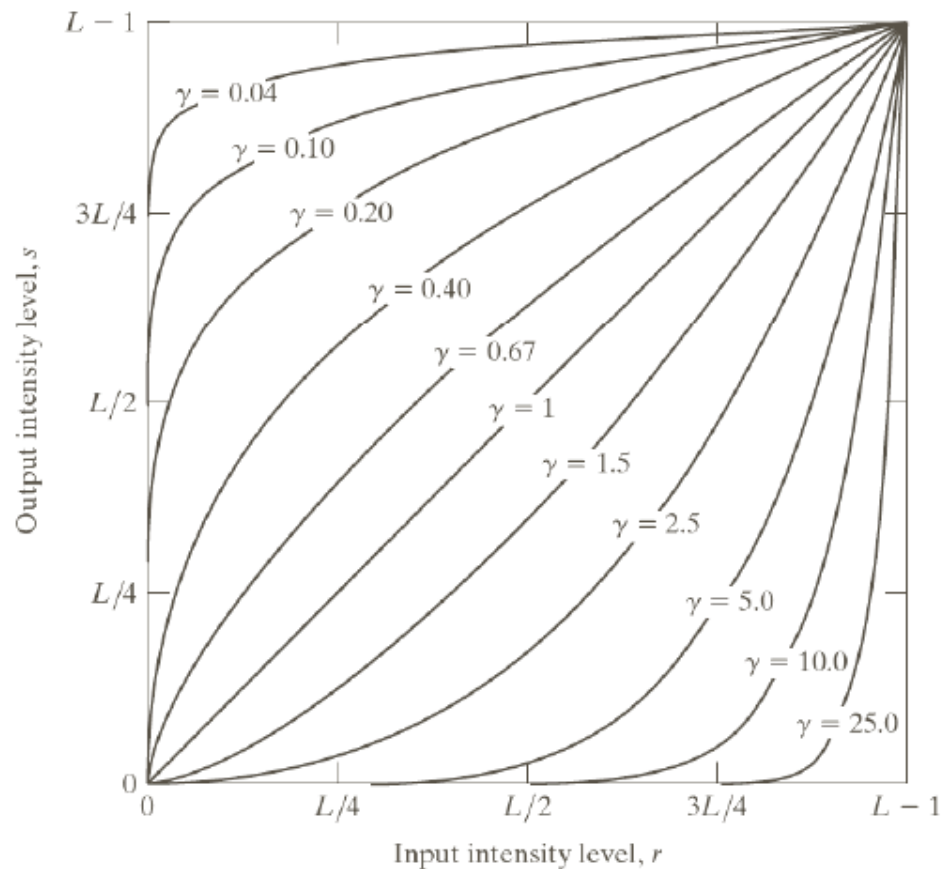




图像的亮度变换

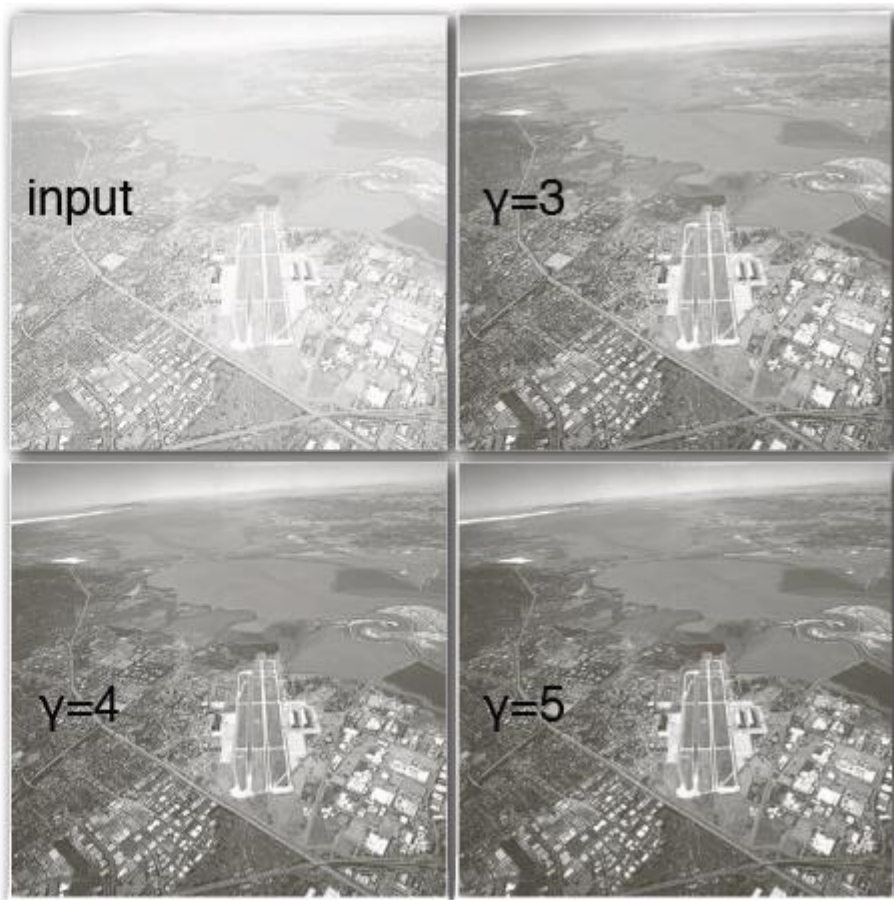
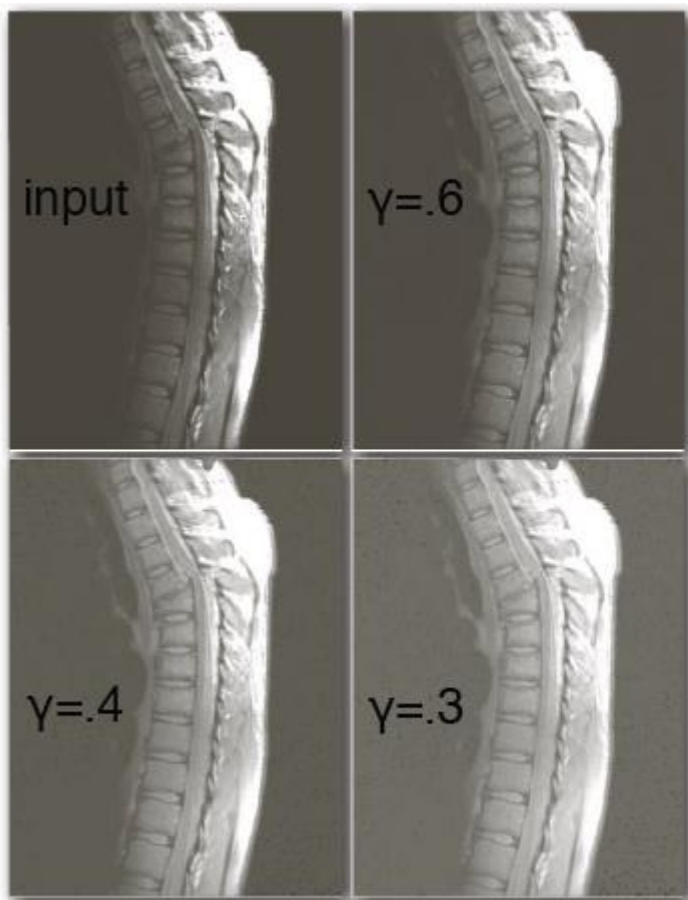
- 图像伽马校正

$$s = cr^\gamma$$



图像的亮度变换

- 图像伽马校正



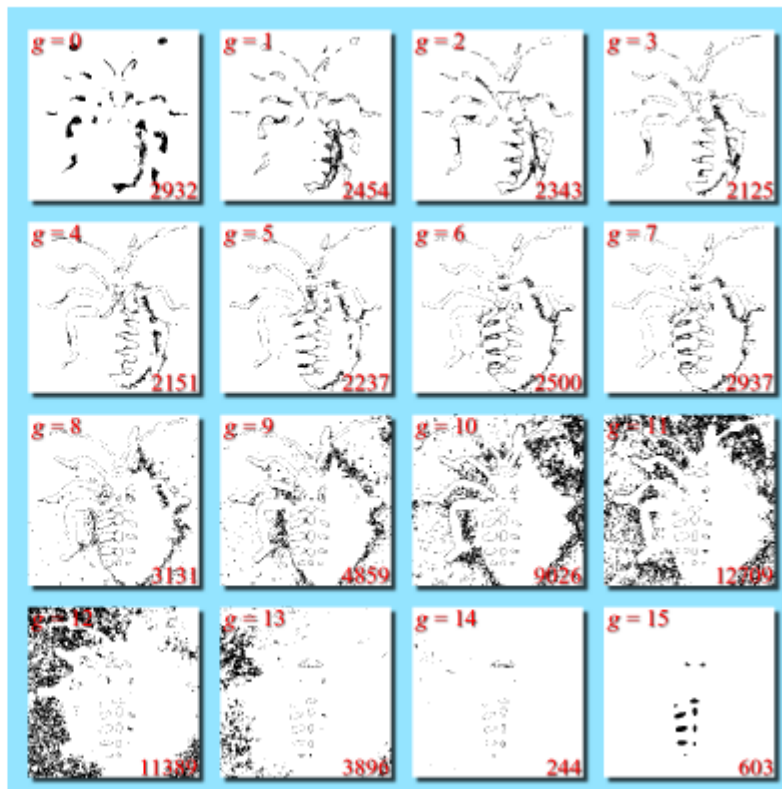
图像的亮度变换

- 图像直方图



16-level (4-bit) image

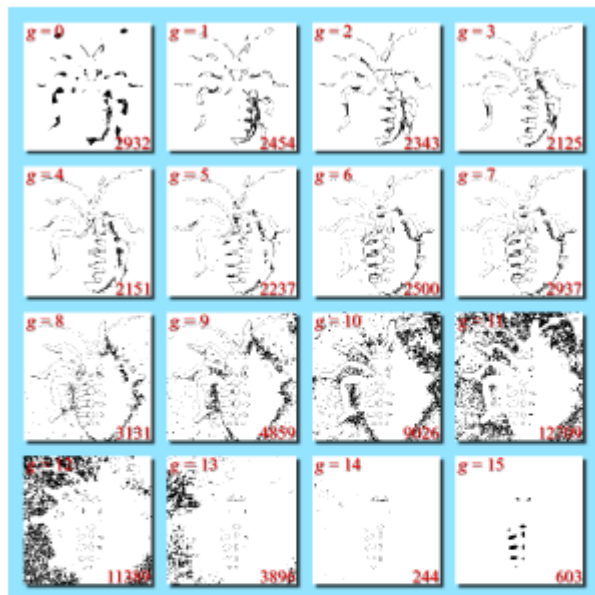
lower RHC: number of pixels with intensity g



black marks pixels with intensity g

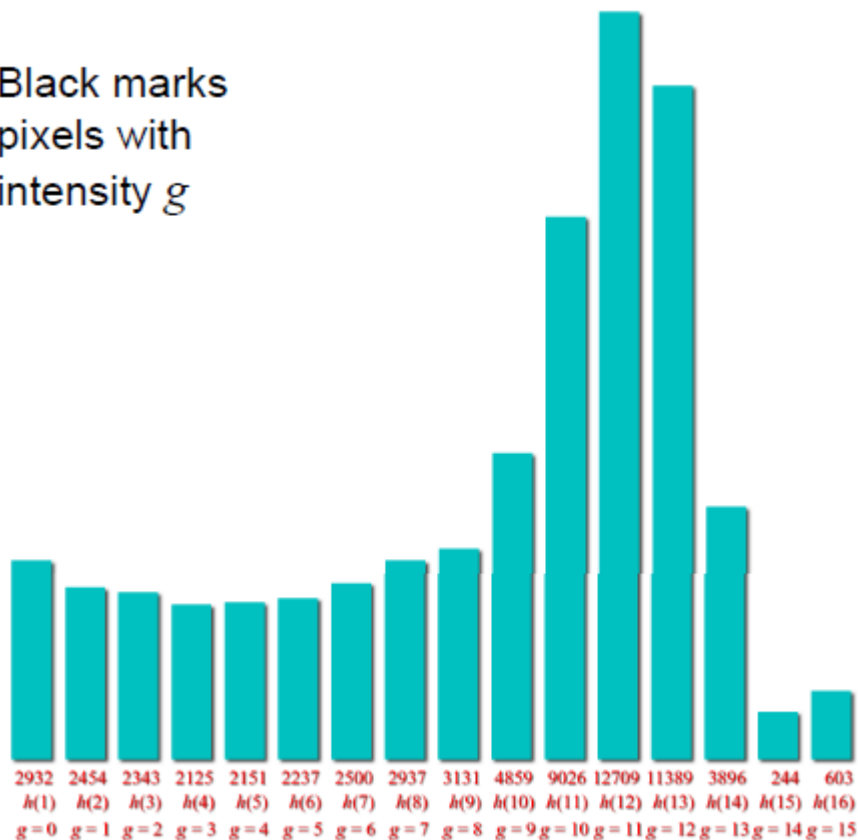
图像的亮度变换

- 图像直方图



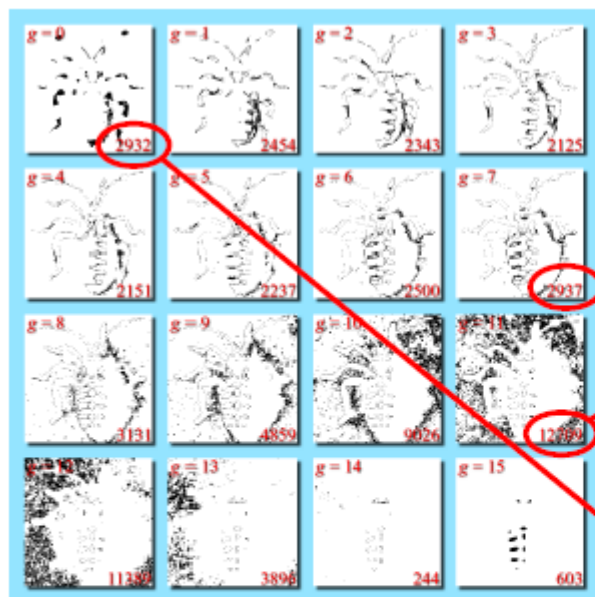
Black marks
pixels with
intensity g

Plot of histogram:
number of pixels with intensity g



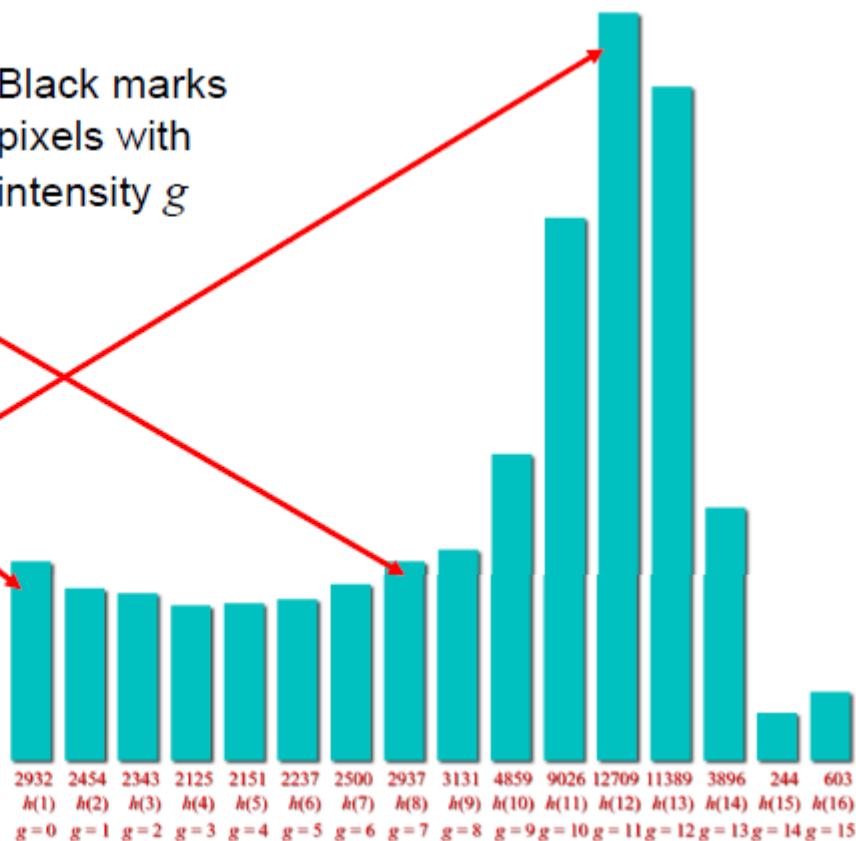
图像的亮度变换

- 图像直方图



Black marks
pixels with
intensity g

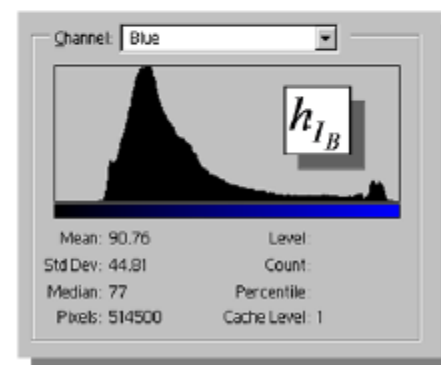
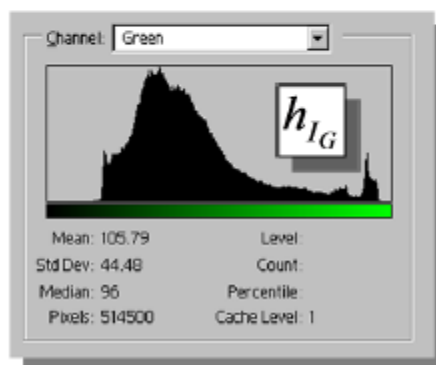
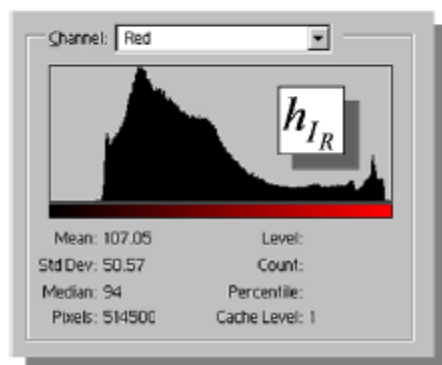
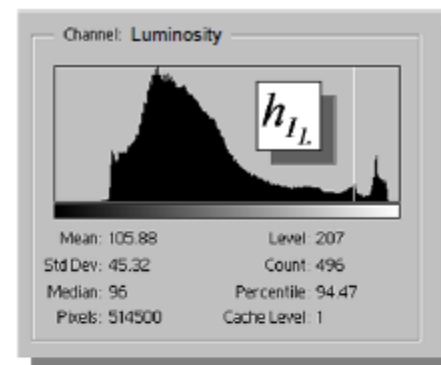
Plot of histogram:
number of pixels with intensity g



图像的亮度变换

- 彩色图像直方图

There is one histogram per color band R, G, & B. Luminosity histogram is from 1 band $\text{band} = (R+G+B)/3$





图像的亮度变换

- 直方图均衡化



input



output

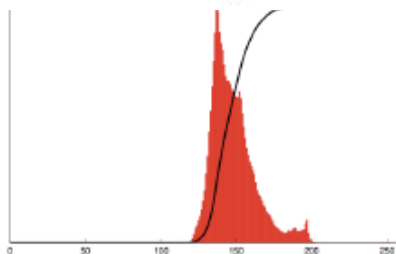
- 通过增加直方图分布区域，提高图像对比度
- 会产生**artifact**

图像的亮度变换

- 直方图均衡化



input



intensity-level histogram (red)
cumulative histogram (black)

output

$$h(r_i \leq r < r_{i+1}) = \frac{n_i}{n}$$

bin i

number of pixels within bin i

total number of pixels

图像的亮度变换

- 直方图均衡化



input

output

$$s = T(r)$$

output
intensity

input
intensity

$p(s)$

$p(r)$

flat
distribution
of intensities

flat histogram
of intensities

narrow histogram
of intensities

narrow
distribution
of intensities



图像的亮度变换

- 直方图均衡化(PDF 和CDF)

PDF: Probability Distribution Function 概率密度函数

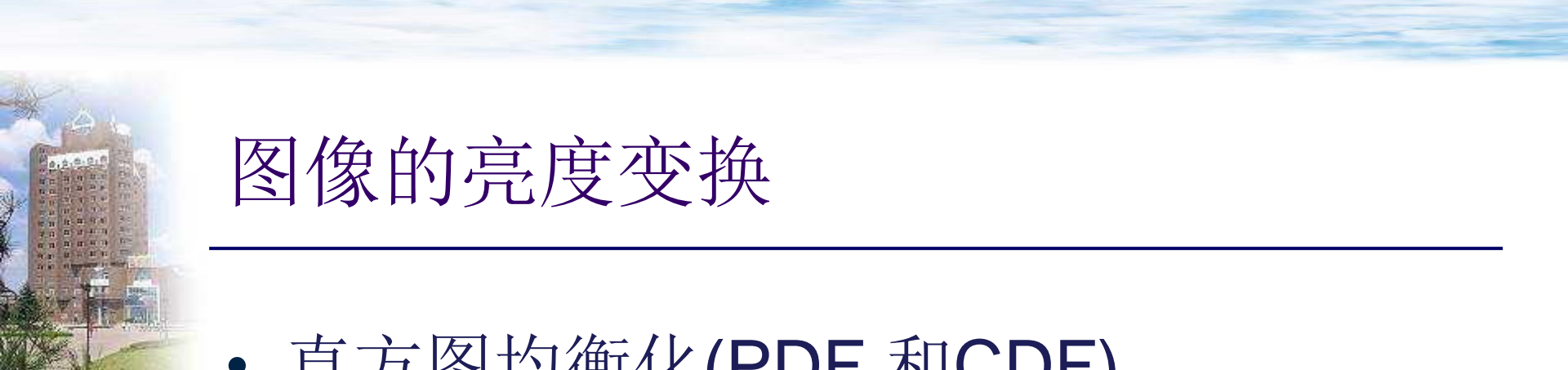
CDF: Cumulative Distribution Function 累积分布函数

$$P(X \leq x_0) = \int_{-\infty}^{x_0} p_X(x) dx$$

cumulative
distribution
function

probability
density
function

example: $p_X(x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp \left[-\frac{(x - \mu)^2}{2\sigma^2} \right]$ Gauss

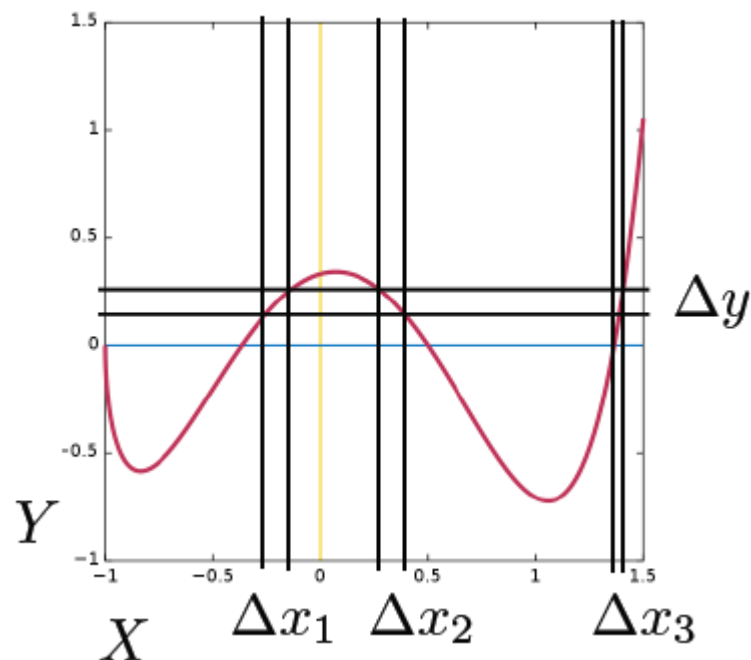


图像的亮度变换

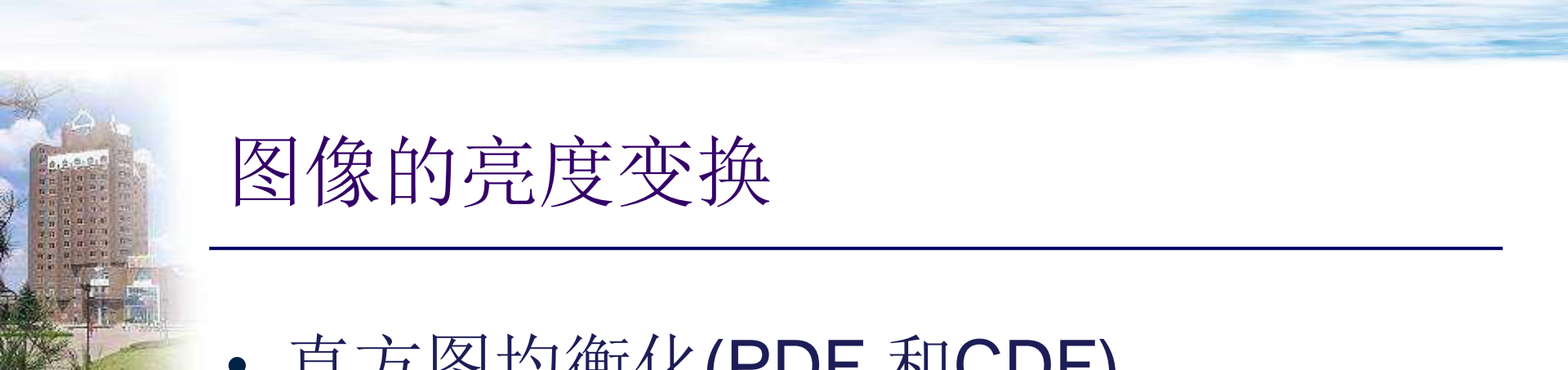
- 直方图均衡化(PDF 和CDF)

X, Y random variables

$$Y = T(X)$$



$$P_Y(y < Y \leq y + \Delta y) = \sum_i P_X(x_i < X \leq x_i + \Delta x_i)$$

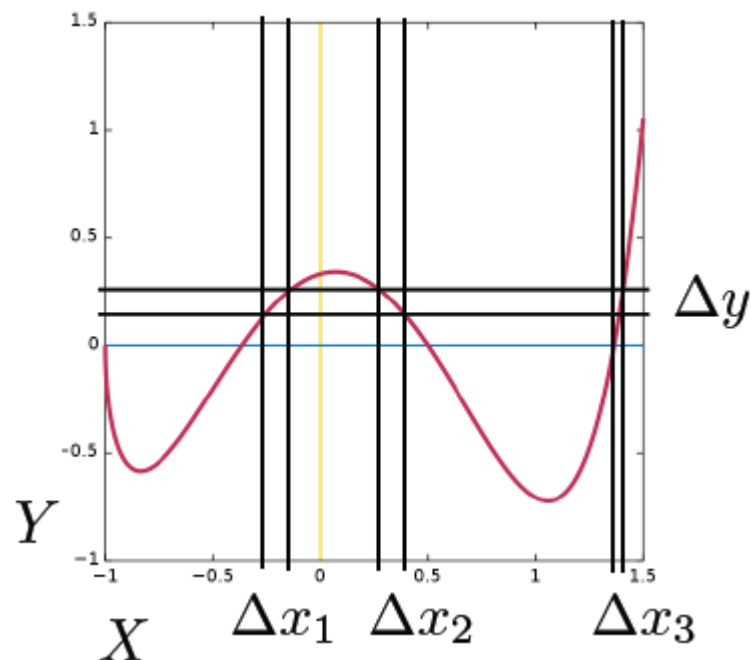


图像的亮度变换

- 直方图均衡化(PDF 和CDF)

X, Y random variables

$$Y = T(X)$$



$$p_Y(y)dy = \sum_i p_X(x_i)dx$$

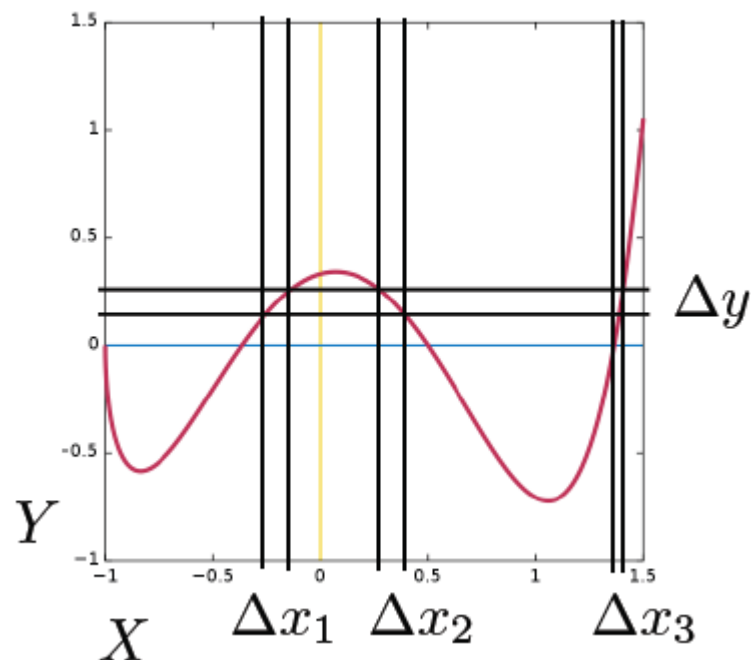


图像的亮度变换

- 直方图均衡化(PDF 和CDF)

X, Y random variables

$$Y = T(X)$$



$$p_Y(y)dT(x) = \sum_i p_X(x_i)dx$$

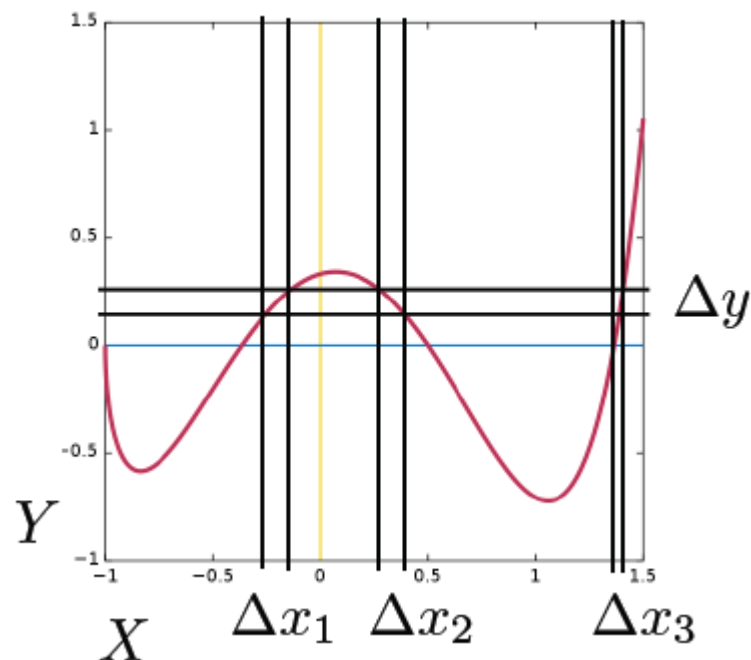


图像的亮度变换

- 直方图均衡化(PDF 和CDF)

X, Y random variables

$$Y = T(X)$$



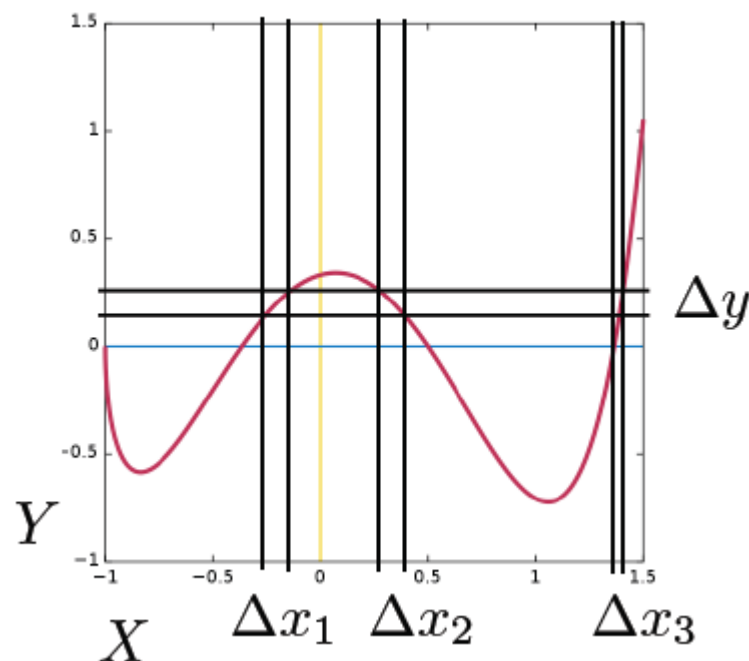
$$dT(x) = \sum_i \frac{p_X(x_i)}{p_Y(y)} dx$$

图像的亮度变换

- 直方图均衡化(PDF 和CDF)

X, Y random variables

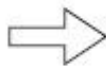
$$Y = T(X)$$



$$T(x) = \int_{-\infty}^x \frac{p_X(w)}{p_Y(w)} dw$$

图像的亮度变换

- 直方图均衡化



input

output

$$s = T(r)$$

output
intensity

input
intensity

$p(s)$

$p(r)$

flat
distribution
of intensities

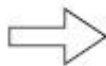
flat histogram
of intensities

narrow histogram
of intensities

narrow
distribution
of intensities

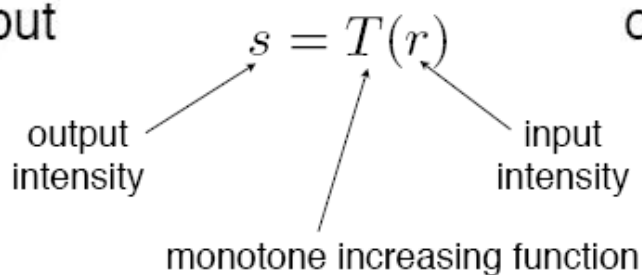
图像的亮度变换

- 直方图均衡化



input

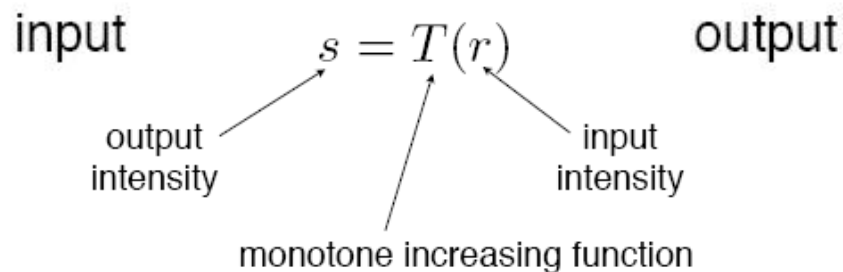
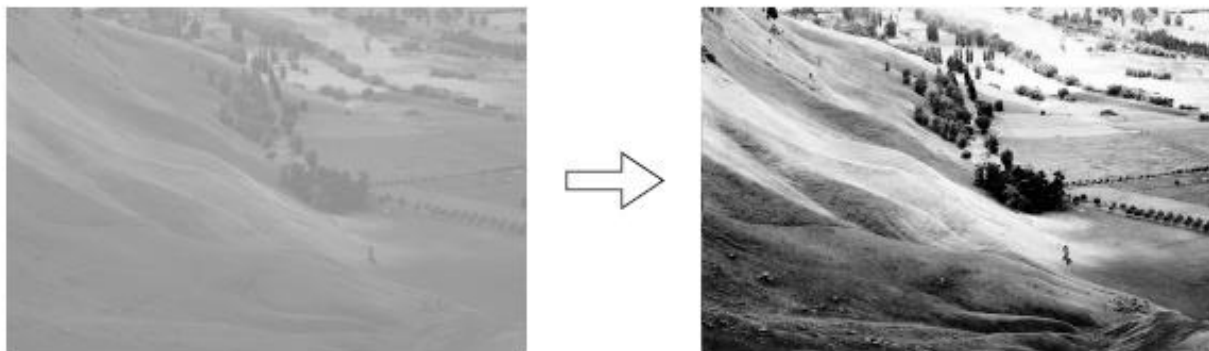
output



$$s = T(r) = \int_{-\infty}^r \frac{p_r(w)}{p_s(w)} dw$$

图像的亮度变换

- 直方图均衡化



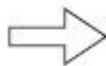
$$s = T(r) = \int_{-\infty}^r \frac{p_r(w)}{p_s(w)} dw = 1$$

图像的亮度变换

- 直方图均衡化



input



output

$$s = T(r) = \int_{-\infty}^r p_r(w) dw$$

continuous pdf

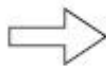


图像的亮度变换

- 直方图均衡化



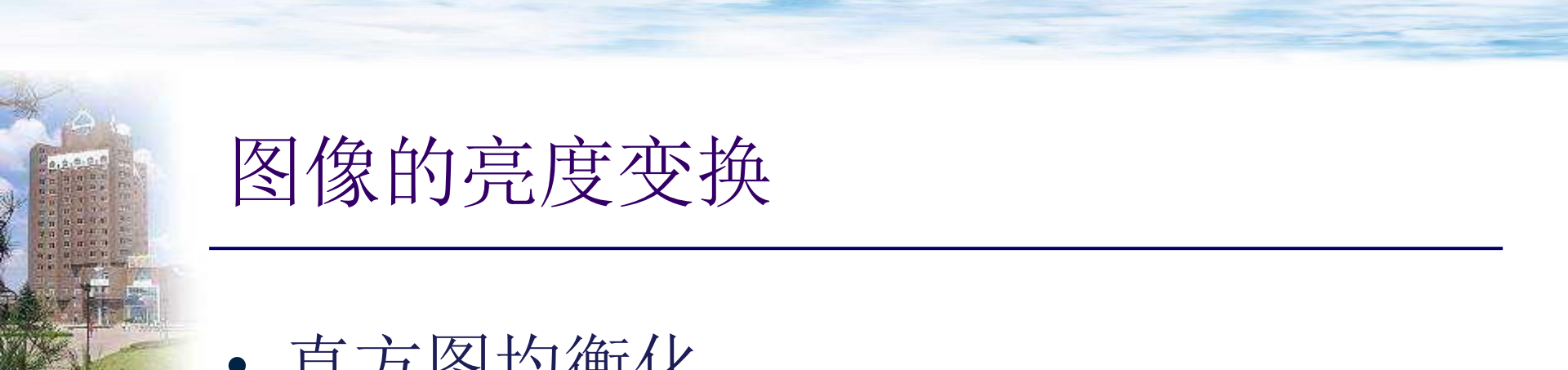
input



output

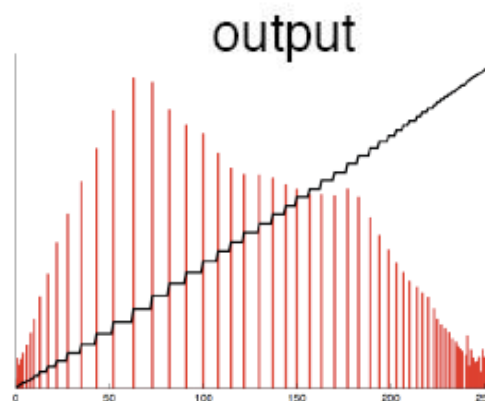
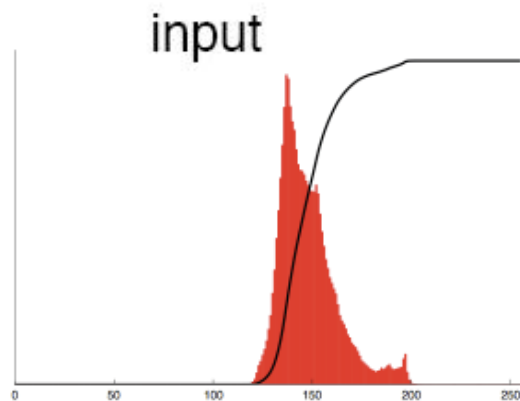
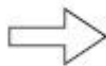
$$s = T(r) = \sum_{i=-\infty}^r P_r(i)$$

discrete probability mass

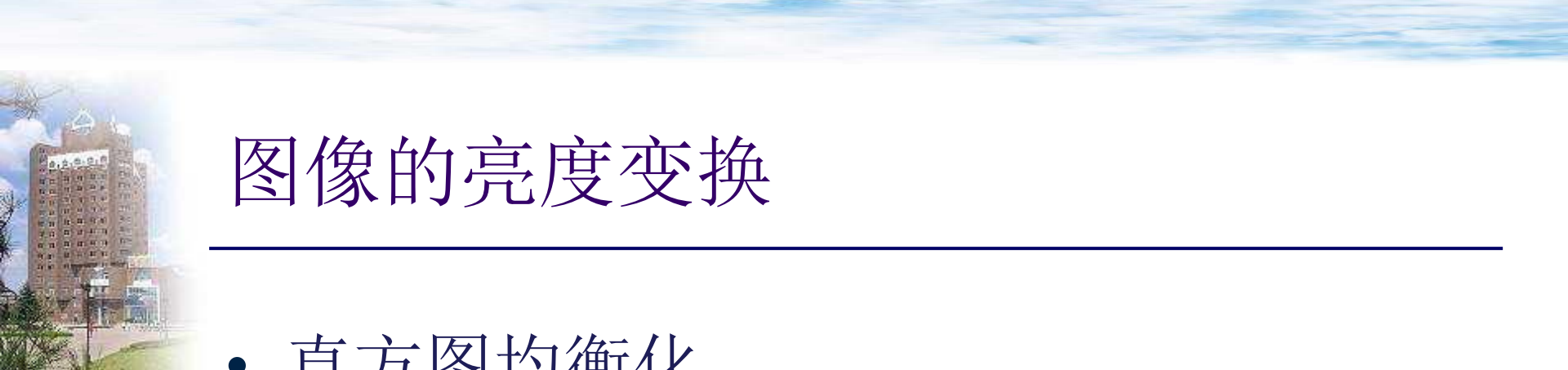


图像的亮度变换

- 直方图均衡化

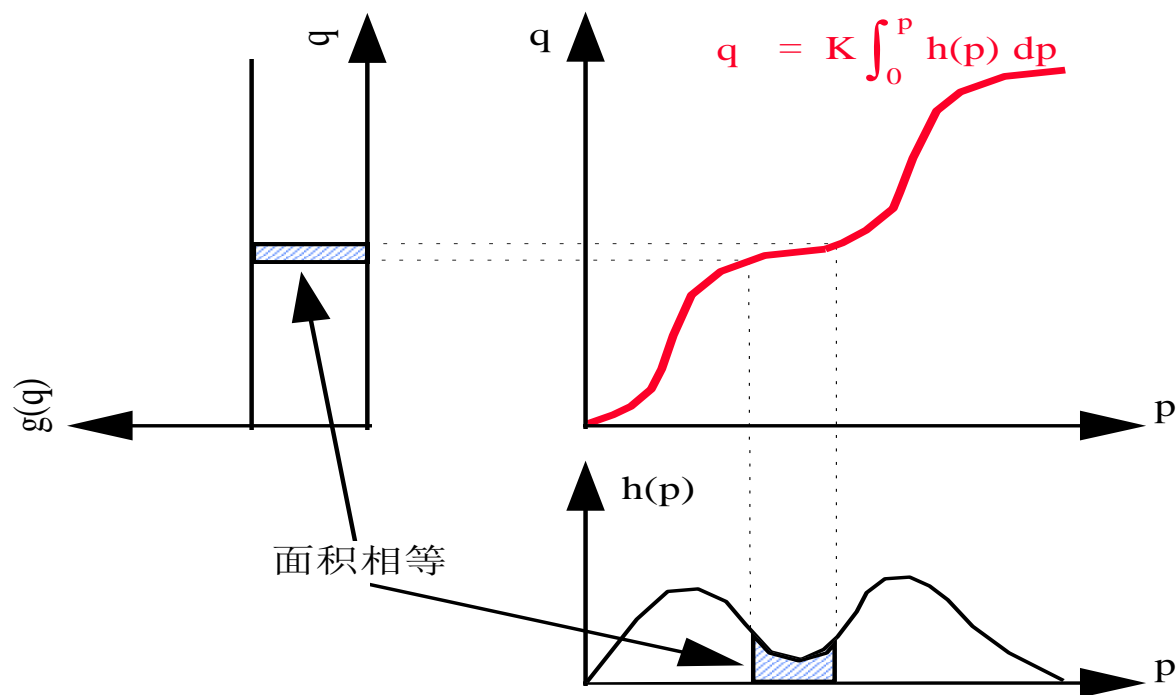


intensity-level histogram (red); cumulative histogram (black)



图像的亮度变换

- 直方图均衡化



图像的亮度变换

- 直方图均衡化

用 p 表示输入图像灰度、 q 表示变换后的输出图像灰度，则根据对均衡化处理的要求，变换后的图像灰度分布 $g(q)$ 应为

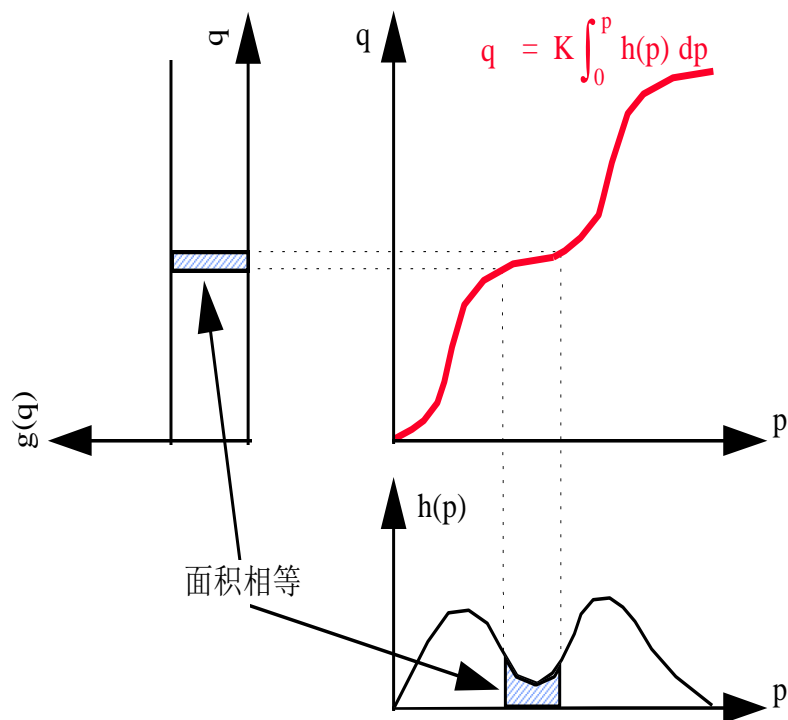
$$g(q) = \frac{N/K}{N} = \frac{1}{K}$$

这里， K 是图像的量化灰度级数，而 N 为图像中像素的总数目。因此， N/K 为图像中灰度级为 q 的像素数目。

根据 $h(p)$ 和 $g(q)$ 的分布可确定输出灰度 q 和输入灰度 p 之间的映射关系。

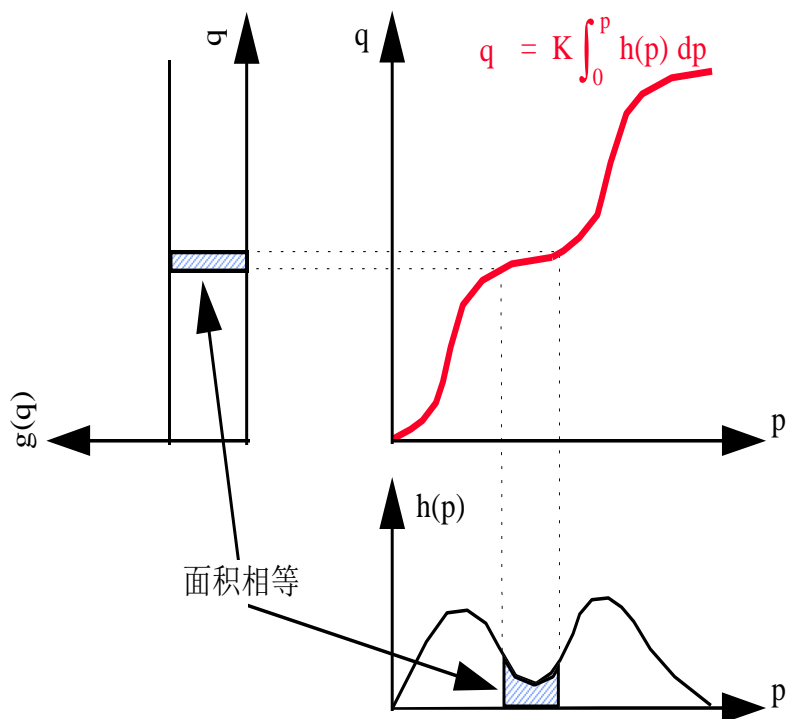
设所需的灰度变换关系为

$$q = f(p)$$



图像的亮度变换

- 直方图均衡化



对灰度变换式两端求微分，有

$$dq = f'(p)dp$$

即

$$f'(p) = \frac{dq}{dp}$$

这里， dp 和 dq 分别表示输入灰度和输出灰度的微分区间。由于要求对输入图像进行灰度均衡化变换前后，其相应的微分区间 dp 和 dq 中所包含的像素数应相等，故有

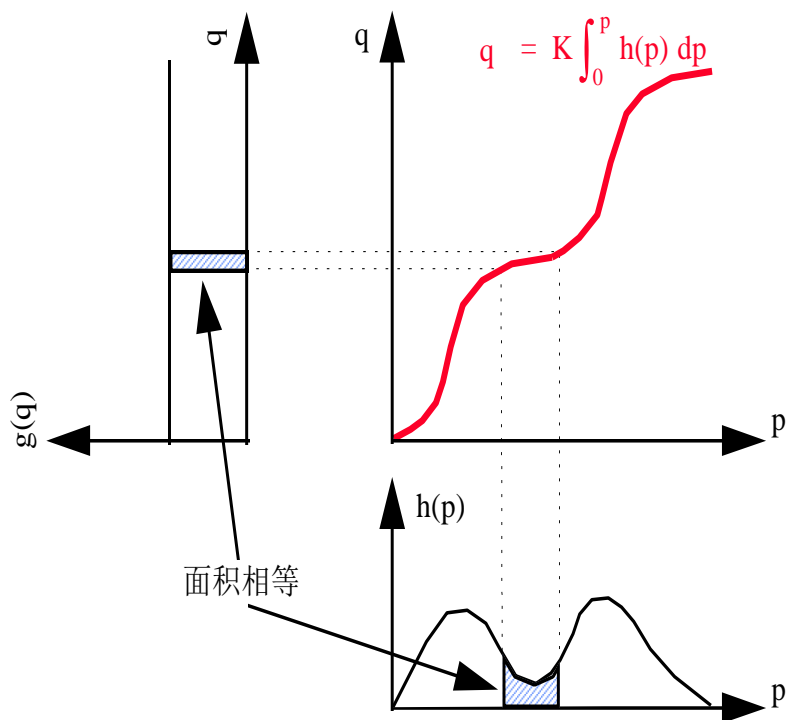
$$h(p)dp = g(q)dq$$

或

$$\frac{dq}{dp} = \frac{h(p)}{g(q)}$$

图像的亮度变换

- 直方图均衡化



因此，有

$$f'(p) = \frac{dq}{dp} = \frac{h(p)}{g(q)} = Kh(p)$$

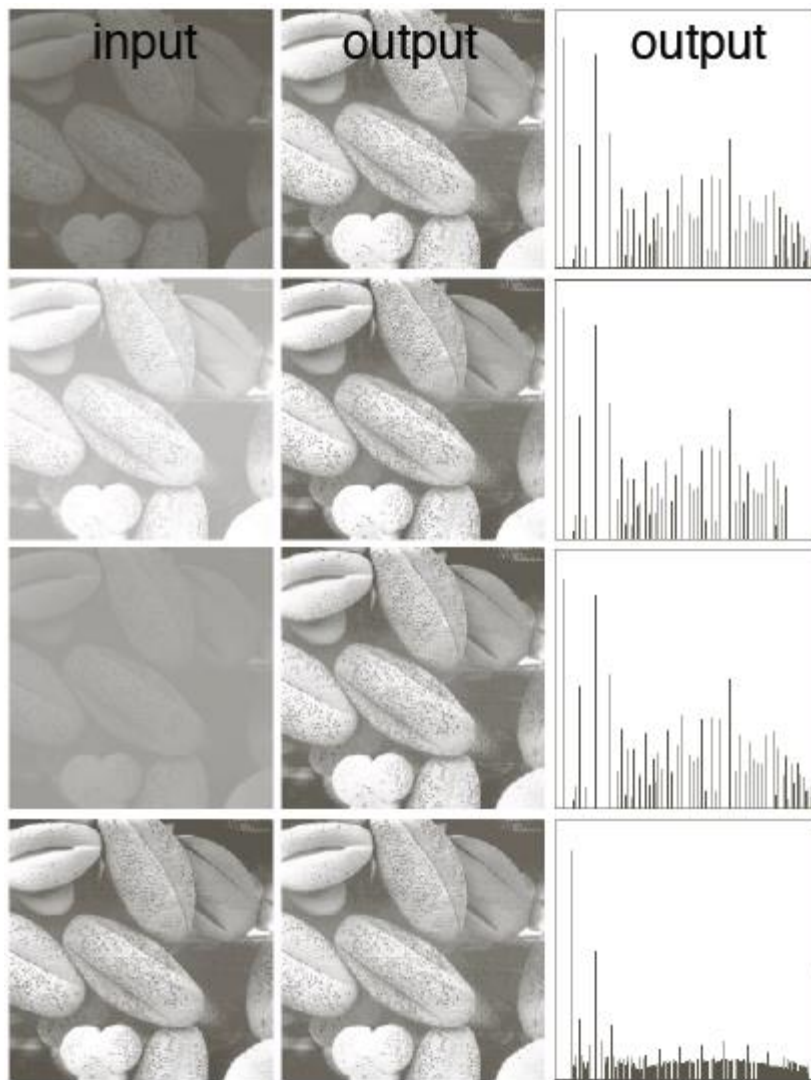
求上式关于 p 的积分，有

$$f(p) = K \int_0^p h(p) dp$$

由于 $h(p)$ 为正值函数，所以， $f(p)$ 是单值的、并且是单调增加的函数，满足所需要的变换特性。



实例：直方图均衡化

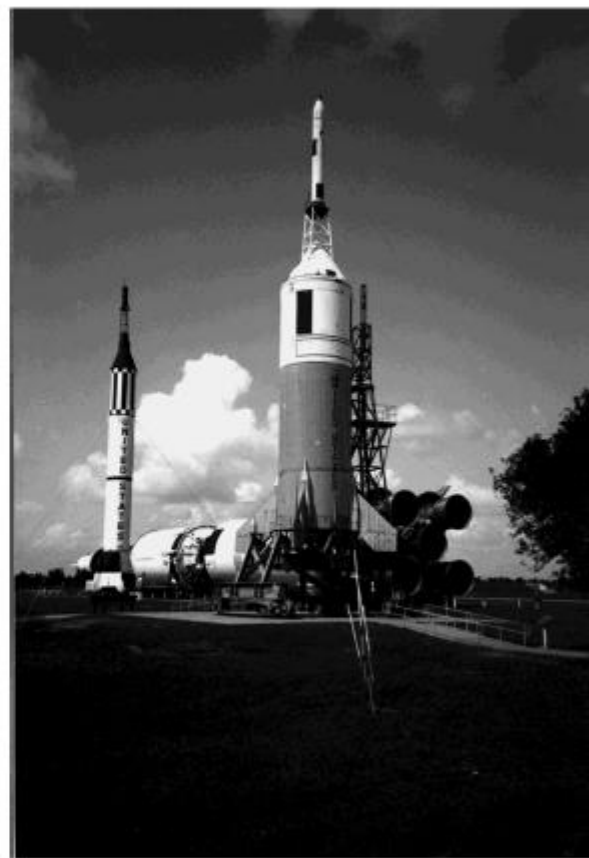
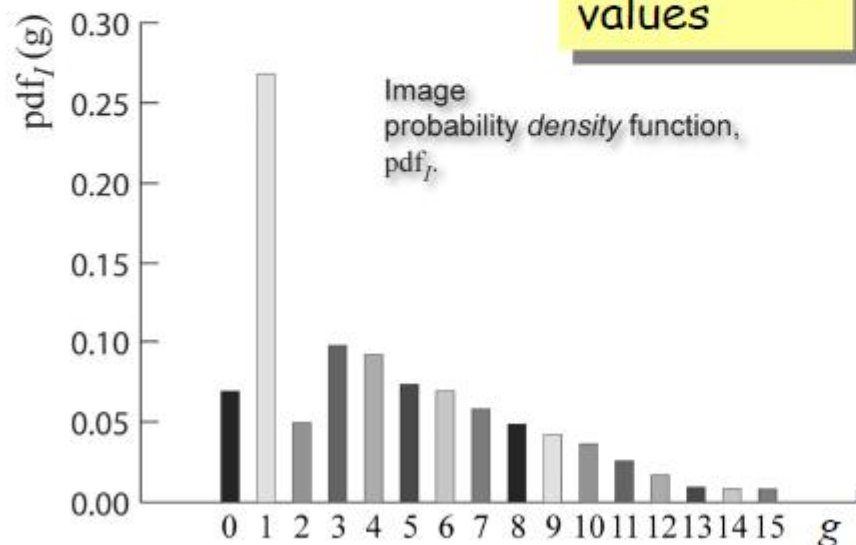


图像的亮度变换

- 直方图规定化

Example: Histogram Matching

Image pdf

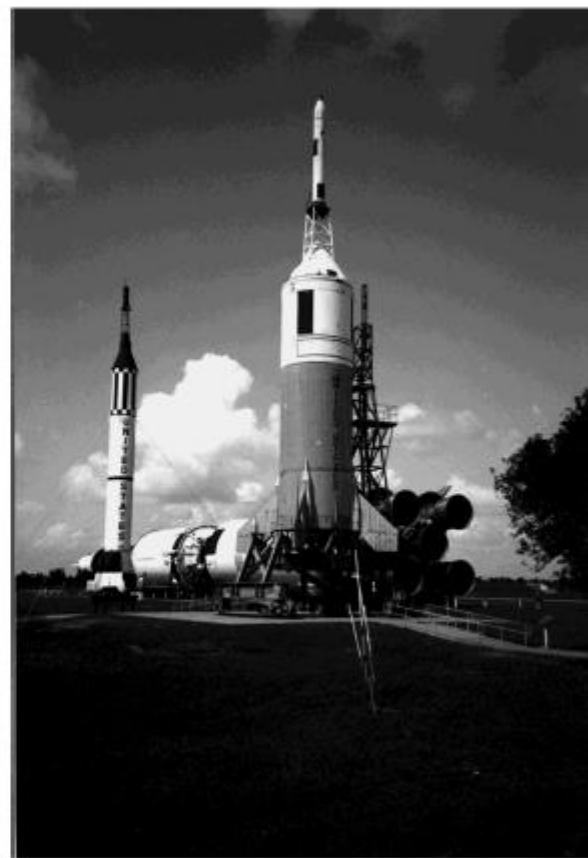
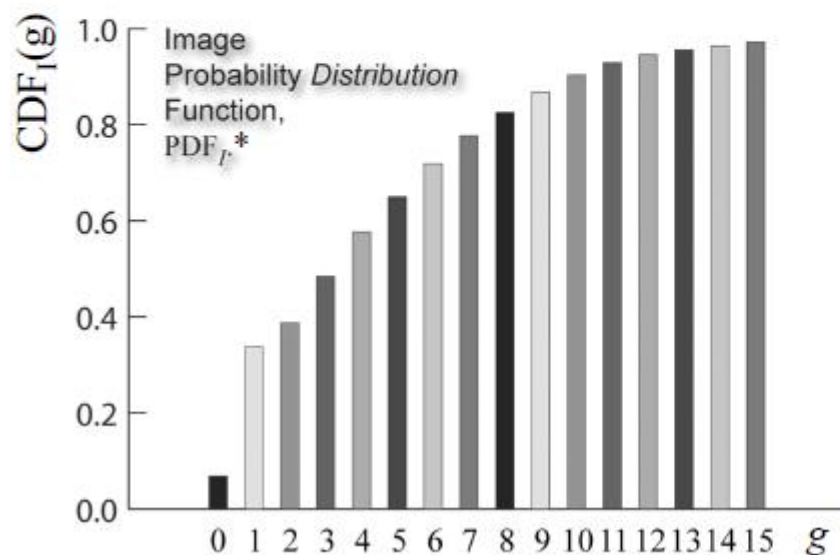


图像的亮度变换

- 直方图规定化

Example: Histogram Matching

Image CDF

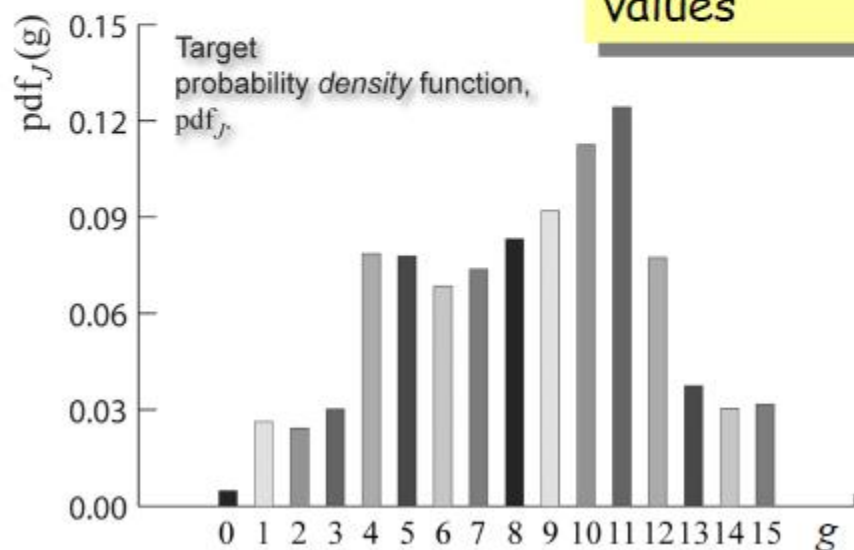


图像的亮度变换

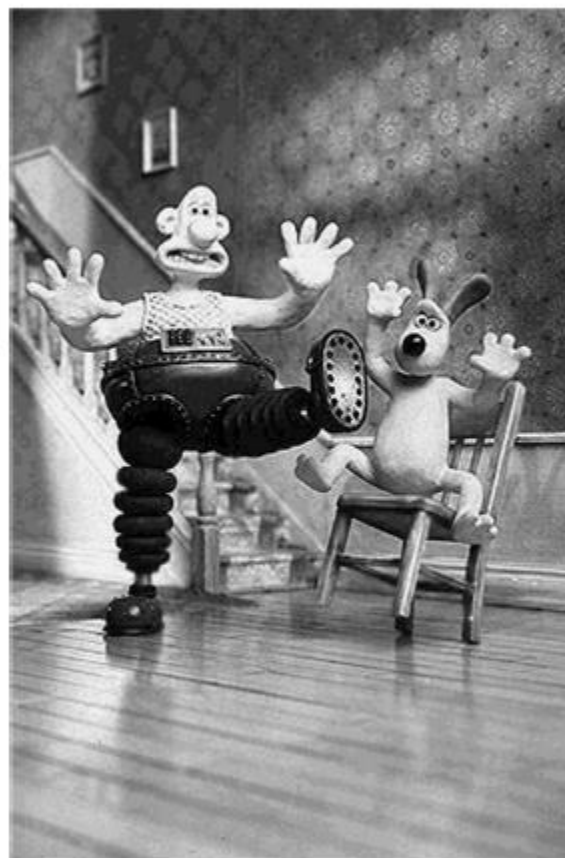
- 直方图规定化

Example: Histogram Matching

Target pdf



Target with
16 intensity
values

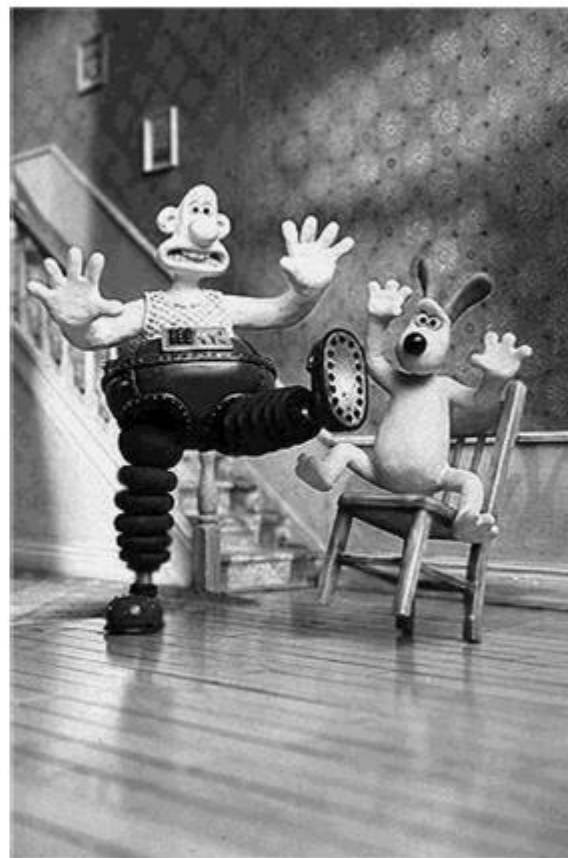
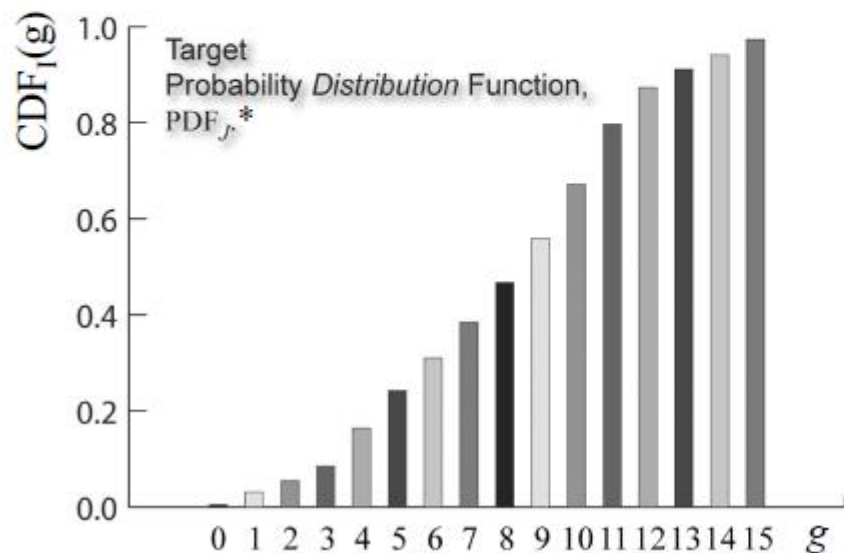


图像的亮度变换

- 直方图规定化

Example: Histogram Matching

Target CDF





图像的亮度变换

- 直方图规定化



original



target



remapped

图像的亮度变换

- 直方图规定化



original



target



luminosity remapped