

## **COMP9020**

Foundations of Computer Science

Lecture 5: Relations

#### Administrivia

- No lecture next Monday; Quiz and Formatif due on Wednesday October 4
- First assignment released at the end of this week, due October 20
- Question(s) of the week
  - Haozhou Ni
  - Farzaneh Aghajari
  - Bowen Lu
- Feedback: Recording ending too soon

#### Relations and Functions

**Relations** are an abstraction used to capture the idea that the objects from certain domains (often the same domain for several objects) are *related*. These objects may

- influence one another (each other for binary relations; self(?) for unary)
- share some common properties
- correspond to each other precisely when some constraints are satisfied

Functions capture the idea of transforming inputs into outputs.

In general, functions and relations formalise the concept of interaction among objects from various domains; however, there must be a specified domain for each type of objects.

## Applications in Computer Science

- Relations are the building blocks of nearly all Computer Science structures
- Databases are collections of relations
- Any ordering is a relation
- Common data structures (e.g. graphs) are relations
- Functions/procedures/programs compute relations between their input and output

## Applications in Computer Science

Many binary relations (i.e. relationships between two entities) that appear in CS fall into three broad categories:

#### Functions (relating inputs to outputs)

- Most programming languages use function calls
- Programs are functions

#### Equivalence relations (generalizing "equality"):

- Programs that exhibit the same behaviour
- Logically equivalent statements
- The .equals() method in Java

#### Partial orders (generalizing "less than or equal to"):

- Object inheritance
- Simulation
- The .compareTo() method in Java

### Outline

#### Definition and Examples

Binary Relations

Properties of Binary Relations

**Functions** 

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#### Relations

#### **Definition**

An **n-ary relation** is a subset of the Cartesian product of n sets.

$$R \subseteq S_1 \times S_2 \times \ldots \times S_n$$

To show tuples related by R we write:

$$(x_1, x_2, \dots, x_n) \in R$$
 or  $R(x_1, x_2, \dots, x_n)$ 

If n = 2 we have a **binary** relation  $R \subseteq S \times T$  and to show pairs related by R we write:

$$(x,y) \in R$$
 or  $R(x,y)$  or  $xRy$ 

 $\mathcal{U} = S_1 \times S_2 \times ... \times S_n$  is the **domain** of R, and we say R is a **relation on**  $\mathcal{U}$  (or **on** S if  $S_1 = \cdots = S_n = S$  and n is clear).

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## Examples

#### **Examples**

- Equality: =
- Inequality:  $\leq$ ,  $\geq$ , <, >,  $\neq$
- Divides relation:
- Element of: ∈
- Subset, superset:  $\subseteq$ ,  $\subset$ ,  $\supseteq$ ,  $\supset$
- Congruence modulo  $n: m =_{(n)} p$

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### Database Examples

### **Example (Course enrolments)**

```
S= set of CSE students

(S can be a subset of the set of all students)

C= set of CSE courses

(likewise)

E= enrolments =\{\ (s,c): s \text{ takes } c\ \}

E\subseteq S\times C
```

In practice, almost always there are various 'onto' (nonemptiness) and 1-1 (uniqueness) constraints on database relations.

### **Example (Class schedule)**

 $C = \mathsf{CSE}$  courses

T = starting time (hour & day)

R = lecture rooms

S = schedule =

$$\{(c,t,r): c \text{ is at } t \text{ in } r\} \subseteq C \times T \times R$$

#### **Example (sport stats)**

 $R \subseteq \mathsf{competitions} \times \mathsf{results} \times \mathsf{years} \times \mathsf{athletes}$ 

## **Defining Relations**

Just as with sets R can be defined by

- explicit enumeration of interrelated k-tuples (ordered pairs in case of binary relations);
- properties that identify relevant tuples within the entire  $S_1 \times S_2 \times ... \times S_k$ ;
- construction from other relations (e.g. union, intersection, complement etc).

### Outline

Definition and Examples

#### **Binary Relations**

Properties of Binary Relations

**Functions** 

## Binary relations

A binary relation between S and T is a subset of  $S \times T$ : i.e. a set of ordered pairs.

Also: over S and T; from S to T; on S (if S = T).

### **Example (Special (Trivial) Relations)**

- **Identity**: (diagonal, equality)  $I = \{(x, x) : x \in S\}$
- Empty: ∅
- Universal:  $U = S \times S$

## Defining binary relations: Set-based definitions

#### Defining a relation $R \subseteq S \times T$ :

- Explicitly listing tuples: e.g.  $\{(1,1),(2,3),(3,2)\}$
- Set comprehension:  $\{(x,y) \in [1,3] \times [1,3] : 5|xy-1\}$
- Construction from other relations:

$$\{(1,1)\} \cup \{(2,3)\} \cup \{(2,3)\}^{\leftarrow}$$

## Defining binary relations: Matrix representation

Defining a relation  $R \subseteq S \times T$ :

Rows enumerated by elements of S, columns by elements of T:

#### **Examples**

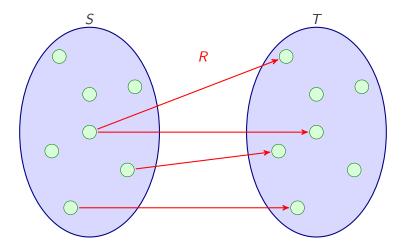
• The relation  $\{(1,1),(2,3),(3,2)\}\subseteq [1,3]\times [1,3]$ :

The relation

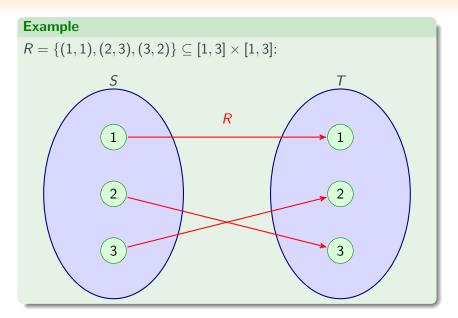
$$\{(1,1),(1,2),(1,3),(1,4),(2,2),(3,2)\}\subseteq [1,3]\times [1,4]:$$

## Defining binary relations: Graphical representation

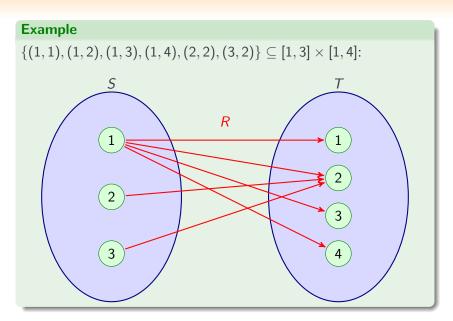
Defining a relation  $R \subseteq S \times T$ :



## Defining binary relations: Graphical representation



## Defining binary relations: Graphical representation



## Defining binary relations: Graph representation

If S = T we can define  $R \subseteq S \times S$  as a **directed graph** (week 5).

- Nodes: Elements of S
- Edges: Elements of R

#### **Example**

$$R = \{(1,1), (2,3), (3,2)\} \subseteq [1,3] \times [1,3]$$
:





## Operations for binary relations

Relations are sets, so the standard set operations  $(\cap, \cup, \setminus, \oplus, \text{ etc})$  can be used to build new relations.

Two operations that apply to binary relations uniquely:

• Converse: If  $R \subseteq S \times T$  is a relation, then  $R^{\leftarrow} \subseteq T \times S$ :

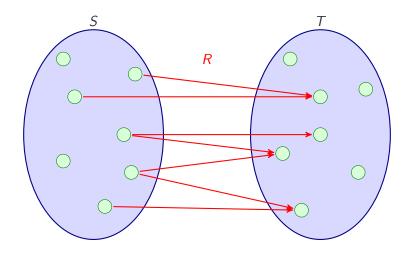
$$R^{\leftarrow} \stackrel{\text{def}}{=} \{(t,s) \in T \times S : (s,t) \in R\}$$

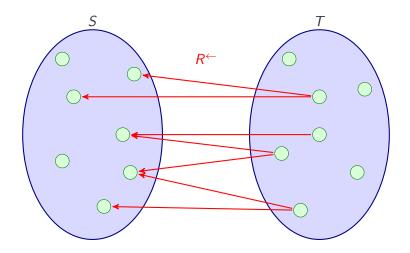
• Composition: If  $R_1 \subseteq S \times T$  and  $R_2 \subseteq T \times U$  then  $R_1$ ;  $R_2 \subseteq S \times U$ :

$$R_1; R_2 \stackrel{\text{def}}{=} \{(s,u) \in S \times U : \text{ there exists } t \in T \text{ such that } (s,t) \in R_1 \text{ and } (t,u) \in R_2\}.$$

#### **Fact**

$$(R^{\leftarrow})^{\leftarrow} = R$$





## Relational images

Given  $R \subseteq S \times T$ ,  $A \subseteq S$ , and  $B \subseteq T$ .

#### **Definition**

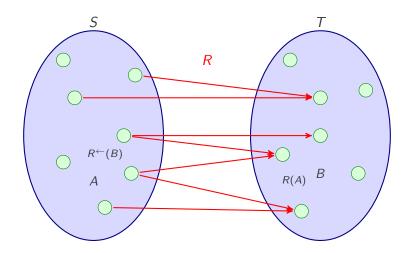
• Relational image of A, R(A):

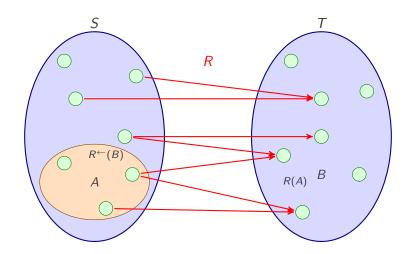
$$R(A) \stackrel{\text{def}}{=} \{ t \in T : (s, t) \in R \text{ for some } s \in A \}$$

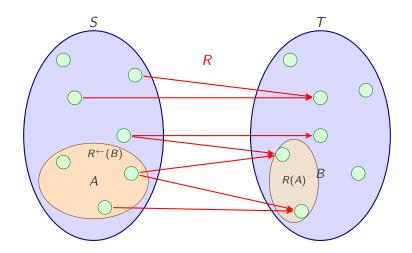
• Relational pre-image of B,  $R^{\leftarrow}(B)$ :

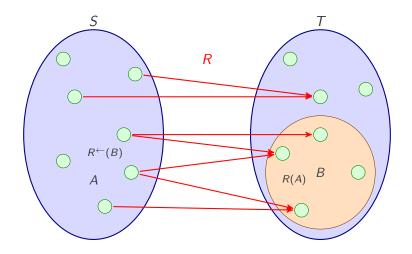
$$R^{\leftarrow}(B) \stackrel{\text{def}}{=} \{ s \in S : (s, t) \in R \text{ for some } t \in B \}$$

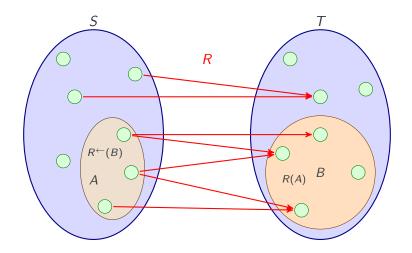
Observe that the relational pre-image is the relational image of the converse relation.











#### Exercises

#### **Exercises**

Let  $A = \{1, 2\}$ ,  $B = \{2, 3\}$ ,  $C = \{3, 4\}$ , X = [1, 4],  $M = \{A, B, C\}$ ,  $N = \{A, B, C, X\}$ .

- | on *X*:
- $\bullet \in \text{on } X \times M$ :
- $\bullet \subseteq^{\leftarrow}$  on N:
- $\bullet$   $|; \in :$
- $< (\{2\})$  (on X):

#### Exercises

#### **Exercises**

Let 
$$A = \{1, 2\}$$
,  $B = \{2, 3\}$ ,  $C = \{3, 4\}$ ,  $X = [1, 4]$ ,  $M = \{A, B, C\}$ ,  $N = \{A, B, C, X\}$ .

- | on  $X: \{(1,1),(1,2),(1,3),(1,4),(2,2),(2,4),(3,3),(4,4)\}$
- $\bullet \in \text{on } X \times M: \{(1,A),(2,A),(2,B),(3,B),(3,C),(4,C)\}$
- $\bullet \subseteq \leftarrow \text{ on } N: \{(A,A),(X,A),(B,B),(X,B),(C,C),(X,C),(X,X)\}$
- $|; \in :$  {(1, A), (1, B), (1, C), (2, A), (2, B), (2, C), (3, B), (3, C), (4, C)}
- $\bullet$  < ({2}) (on X): {3,4}

### Outline

Definition and Examples

Binary Relations

Properties of Binary Relations

**Functions** 

# Properties of Binary Relations $R \subseteq S \times T$

A binary relation  $R \subseteq S \times T$  is:

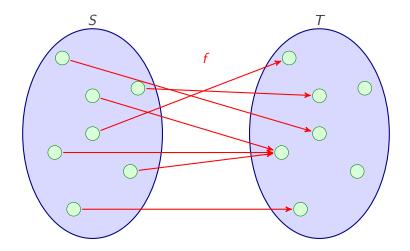
Definition		
(Fun)	functional	For all $s \in S$ there is
		at most one $t \in \mathcal{T}$ such that $(s,t) \in \mathcal{R}$
(Tot)	total	For all $s \in S$ there is
		at least one $t \in \mathcal{T}$ such that $(s,t) \in \mathcal{R}$
(Inj)	injective	For all $t \in \mathcal{T}$ there is
		at most one $s \in S$ such that $(s,t) \in R$
(Sur)	surjective	For all $t \in \mathcal{T}$ there is
		at least one $s \in S$ such that $(s,t) \in R$
(Bij)	bijective	Injective and surjective

## Functions and function properties

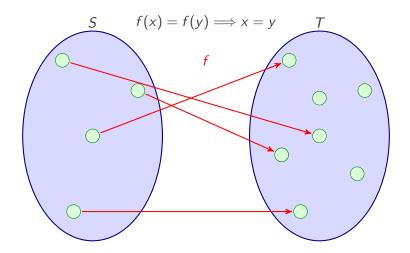
#### **Definition**

- partial function is a binary relation that is (Fun).
- A function is a binary relation that is (Fun) and (Tot).
- An injection is a function that is (Inj).
- A surjection is a function that is (Sur).
- A bijection is a function that is (Bij).

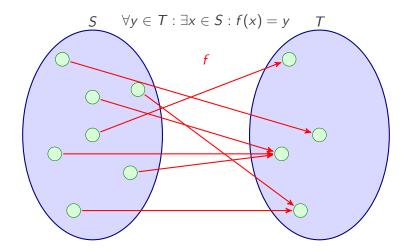
# Graphical representation: Function



## Graphical representation: Injection



## Graphical representation: Surjection



# Properties of Binary Relations $R \subseteq S \times S$

#### **Definition**

(R) reflexive For all  $x \in S$ :  $(x, x) \in R$ (AR) antireflexive For all  $x \in S$ :  $(x, x) \notin R$ (S) symmetric For all  $x, y \in S$ : If  $(x, y) \in R$ then  $(y, x) \in R$ (AS) antisymmetric For all  $x, y \in S$ : If (x, y) and  $(y, x) \in R$ then x = y(T)transitive For all  $x, y, z \in S$ : If (x, y) and  $(y, z) \in R$ then  $(x,z) \in R$ 

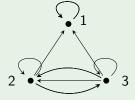
#### NB

- Properties have to hold for all elements
- (S), (AS), (T) are conditional statements they will hold if there is nothing which satisfies the 'if' part

# Relation properties: Examples

#### **Examples**

- (R) Reflexivity:  $(x,x) \in R$  for all x
- (AR) Antireflexivity:  $(x, x) \notin R$  for all x
  - **(S)** Symmetry: If  $(x, y) \in R$  then  $(y, x) \in R$  for all x, y
- (AS) Antisymmetry:  $(x, y) \in R$  and  $(y, x) \in R$  implies x = y for all x, y
  - (T) Transitivity:  $(x, y) \in R$  and  $(y, z) \in R$  implies  $(x, z) \in R$  for all x, y, z.





# Interaction of Properties

A relation can be both symmetric and antisymmetric. Namely, when R consists only of some pairs  $(x, x), x \in S$ .

A relation *cannot* be simultaneously reflexive and antireflexive (unless  $S = \emptyset$ ).

#### NB

nonreflexive nonsymmetric is not the same as { antireflexive/irreflexive antisymmetric

#### **Exercises**

RW: 3.1.1 The following relations are on  $S = \{1, 2, 3\}$ . Which of the properties (R), (AR), (S), (AS), (T) does each

satisfy?

(a)  $(m, n) \in R$  if m + n = 3?

(e)  $(m, n) \in R \text{ if } \max\{m, n\} = 3$ ?

#### **Exercises**

RW: 3.1.1 The following relations are on  $S = \{1, 2, 3\}$ . Which of the properties (R), (AR), (S), (AS), (T) does each

satisfy?

(a)  $(m, n) \in R$  if m + n = 3? (AR) and (S)

(e)  $(m, n) \in R \text{ if } \max\{m, n\} = 3?$  (S)

#### **Exercises**

RW: 3.1.10 Give examples of relations with specified properties. (a) (AS), (T), not (R)

(b) (S), not (R), not (T)

#### **Exercises**

RW: 3.1.10 Give examples of relations with specified properties.

- (a) (AS), (T), not (R)
  - Strict order of numbers *x* < *y*
  - $\leq$  but with some pairs (x, x) removed
  - Being a prime divisor:  $(p, n) \in R$  iff p is prime and p|n
    - Not reflexive:  $(1,1) \notin R$
    - Transitivity is meaningful only for the pairs (p, p), (p, n) p | n for p prime
- (b) (S), not (R), not (T)
  Simplest example inequality

#### **Exercises**

# RW: 3.6.10 (supp)

R is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$  (m,n) R(p,q) if m = (3) p or n = (5) q.

- (a) Is R reflexive?
- (b) Is R symmetric?
- (c) Is R transitive?

#### **Exercises**

# RW: 3.6.10 (supp)

R is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$  (m,n) R(p,q) if  $m =_{(3)} p$  or  $n =_{(5)} q$ .

- (a) Is R reflexive? Yes: m = (3) m so (m, n)R(m, n).
- (b) Is R symmetric? Yes: by symmetry of  $\cdot =_{(n)} \cdot .$
- (c) Is R transitive? No: Consider (1,1), (1,4) and (2,4).

#### **Exercises**

Complete the following table of common relations (over  $\ensuremath{\mathbb{Z}})$  and their properties:

	(R)	(AR)	(5)	( <i>AS</i> )	( <i>T</i> )
=					
$\leq$					
<					
Ø					
$\mathcal{U}=\mathbb{Z} imes\mathbb{Z}$					
$=_{(3)}$					

#### **Exercises**

Complete the following table of common relations (over  $\ensuremath{\mathbb{Z}}$ ) and their properties:

# Outline

Definition and Examples

Binary Relations

Properties of Binary Relations

Functions

# **Functions**

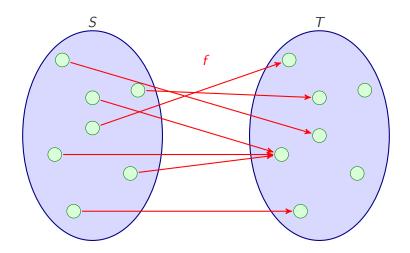
#### **Definition**

A **function**,  $f: S \to T$ , is a binary relation  $f \subseteq S \times T$  that satisfies (Fun) and (Tot). That is, for all  $s \in S$  there is *exactly one*  $t \in T$  such that  $(s, t) \in f$ .

We write f(s) for the unique element related to s.

We write  $T^S$  for the set of all functions from S to T.

# Graphical representation



#### **Functions**

 $f:S\longrightarrow T$  describes pairing of the sets: it means that f assigns to every element  $s\in S$  a unique element  $t\in T$ . To emphasise where a specific element is sent, we can write  $f:x\mapsto y$ , which means the same as f(x)=y

# Symbol S domain of f Dom(f) (inputs) T co-domain of f Codom(f) (possible outputs) f(S) image of f Im(f) (actual outputs) $= \{ f(x) : x \in Dom(f) \}$

# Important!

The domain and co-domain are critical aspects of a function's definition.

$$f: \mathbb{N} \to \mathbb{Z}$$
 given by  $f(x) \mapsto x^2$ 

and

$$g: \mathbb{N} \to \mathbb{N}$$
 given by  $g(x) \mapsto x^2$ 

are different functions even though they have the same behaviour!

# Converse of a function

# Question

 $f^{\leftarrow}$  is a relation; when is it a function?