
CS2102

Database Systems

Last Lecture

R	A	B	C

$A \rightarrow B, B \rightarrow C$

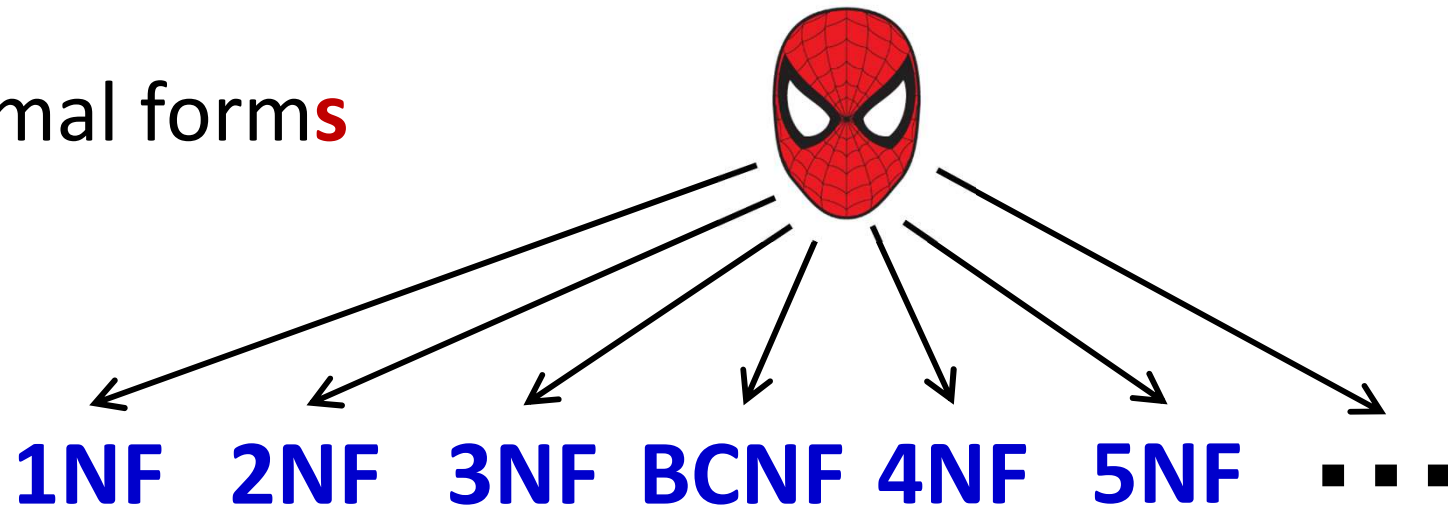
- Functional dependencies (FD)
 - Example above: $A \rightarrow B, B \rightarrow C$
- Superkeys of a table R
 - A set of attribute that can decide all other attributes in R
 - Example above: A, AB, AC, ABC are all superkeys of R
- Keys
 - A superkey that is **minimal**
 - Example above: A is the only key of R
- Finding superkeys/keys from R
 - Using closures, based on the given FDs

Coming Next

- Normal forms

Coming Next

- Normal forms



Normal Forms

- Conditions that a “good” table should satisfy
- Various normal forms
(in increasing order of strictness)

- ☐ 1st NF

- ☐ 2nd NF

→ Easy to satisfy

May have high redundancy

- ☐ 3rd NF (3NF)

- ☐ Boyce-Codd NF (BCNF)

- ☐ 4th NF

- ☐ 5th NF

- ☐ 6th NF

→ Very little redundancy

Not always possible to satisfy



Normal Forms

- Conditions that a “good” table should satisfy
- Various normal forms (in increasing order of strictness)
 - ❑ 1st NF
 - ❑ 2nd NF
 - ❑ 3rd NF (3NF)
 - ❑ Boyce-Codd NF (BCNF)
 - ❑ 4th NF
 - ❑ 5th NF
 - ❑ 6th NF



Get rid of most redundancies
Always possible to satisfy

Roadmap

- We will focus on 3NF and BCNF since they are the most commonly used NF
- We will start from BCNF since it is conceptually simpler

Non-trivial and Decomposed FD

- To simplify our discussions of BCNF and 3NF, we will focus on **non-trivial** and **decomposed** FDs
- Decomposed FD: an FD whose right hand side has only one attribute
 - E.g., $A \rightarrow C$, $BC \rightarrow D$, $DEF \rightarrow E$
- Note: a non-decomposed FD can always be transformed into an equivalent set of decomposed FDs
 - E.g., $BC \rightarrow DE \iff BC \rightarrow D \text{ and } BC \rightarrow E$

Non-trivial and Decomposed FD

- To simplify our discussions of BCNF and 3NF, we will focus on **non-trivial** and **decomposed** FDs
- Non-trivial and decomposed FD: a decomposed FD whose right hand side does not appear in the left hand side
 - E.g., $A \rightarrow C$, $BC \rightarrow D$
- We will check normal forms based on the non-trivial and decomposed FDs on a table
- How do we derive such FDs?

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 1: Consider all attribute subsets in R

□ {A}	{B}	{C}
□ {AB}	{AC}	{BC}

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 2: Compute the closure of each subset

□ {A}	{B}	{C}
□ {AB}	{AC}	{BC}

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 2: Compute the closure of each subset

□ $\{A\}^+ =$	$\{B\}^+ =$	$\{C\}^+ =$
□ $\{AB\}^+ =$	$\{AC\}^+ =$	$\{BC\}^+ =$

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 2: Compute the closure of each subset
 - $\{A\}^+ = \{ABC\}$, $\{B\}^+ = \{ABC\}$, $\{C\}^+ = \{C\}$
 - $\{AB\}^+ = \{ABC\}$, $\{AC\}^+ = \{ABC\}$, $\{BC\}^+ = \{ABC\}$

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 3: From each closure, remove the "trivial" attributes
 - $\{A\}^+ = \{ABC\}$, $\{B\}^+ = \{ABC\}$, $\{C\}^+ = \{C\}$
 - $\{AB\}^+ = \{ABC\}$, $\{AC\}^+ = \{ABC\}$, $\{BC\}^+ = \{ABC\}$

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 3: From each closure, remove the "trivial" attributes
 - $\{A\}^+ = \{A, B, C\}$, $\{B\}^+ = \{A, B, C\}$, $\{C\}^+ = \{C\}$
 - $\{AB\}^+ = \{A, B, C\}$, $\{AC\}^+ = \{A, B, C\}$, $\{BC\}^+ = \{A, B, C\}$

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 4: Derive non-trivial and decomposed FDs from each closure
 - $\{A\}^+ = \{ABC\}$, $\{B\}^+ = \{ABC\}$, $\{C\}^+ = \{C\}$
 - $\{AB\}^+ = \{ABC\}$, $\{AC\}^+ = \{ABC\}$, $\{BC\}^+ = \{ABC\}$

Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example: $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Step 4: Derive non-trivial and decomposed FDs from each closure
 - $A \rightarrow B$, $A \rightarrow C$, $B \rightarrow A$, $B \rightarrow C$
 - $AB \rightarrow C$, $AC \rightarrow B$, $BC \rightarrow A$

BCNF: Definition

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey on its left hand side
- Example: R(A, B, C), with $A \rightarrow B$, $B \rightarrow A$, $B \rightarrow C$ given
- Non-trivial and decomposed FDs on R:
 - $A \rightarrow B$, $A \rightarrow C$, $B \rightarrow A$, $B \rightarrow C$
 - $AB \rightarrow C$, $AC \rightarrow B$, $BC \rightarrow A$
- Keys: A, B
- For each of the above FD, the left hand side is a superkey
- So R satisfies BCNF

BCNF: Definition

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey on its left hand side
- Example: R(A, B, C), with $A \rightarrow B$, $B \rightarrow C$ given
- Key: A
- Observe that
 - $B \rightarrow C$ is a non-trivial and decomposed FD
 - The left hand side of $B \rightarrow C$ is not a superkey
- So R does **not** satisfy BCNF

BCNF: Intuition

- BCNF requires that if there is any non-trivial and decomposed FD $A_1A_2...A_n \rightarrow B$, then $A_1A_2...A_n$ must be a superkey
- In other words, all attributes B can depend **only** on superkeys
- Any dependency on non-superkeys is prohibited by BCNF



BCNF: Intuition

- In other words, any attribute B can depend **only** on superkeys
- Why does this make sense?
- Suppose that B depends on a non-superkey $C_1C_2...C_n$
- Since $C_1C_2...C_n$ is not a superkey, the same $C_1C_2...C_n$ may appear multiple times in the table
- Whenever this happens, the same B would appear multiple times in the table
- This leads to redundancy
- BCNF prevents this from happening

BCNF: Intuition

Name	<u>NRIC</u>	<u>Phone</u>	Address
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Key: {NRIC, Phone}
- We have $\text{NRIC} \rightarrow \text{Name}$, which violates BCNF
- Since NRIC is not a superkey, the same NRIC can appear multiple times in the table
- Every time the same NRIC is repeated, the corresponding Name and Address are also be repeated
- This leads to redundancy
- BCNF prevents this

Coming Next

- How do we check whether a table is in BCNF?

BCNF Check

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey on its left hand side
- Algorithm for checking BCNF
 - Compute the closure of each attribute subset
 - Derive the keys of R (using closures)
 - Derive all non-trivial and decomposed FDs on R (again, using closures)
 - Check the non-trivial and decomposed FDs to see if they satisfy the BCNF requirement
 - If all of them satisfy the requirement, then R is in BCNF

BCNF Check: Example

- $R(A, B, C, D)$ with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 1. Compute the closure for each subset of the attributes in R
 - $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACD\}$, $\{D\}^+ = \{AD\}$
 - $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{ACD\}$, $\{AD\}^+ = \{AD\}$
 - $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
 - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ACD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$

2. Derive the keys of R

- $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACD\}$, $\{D\}^+ = \{AD\}$
- $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{ACD\}$, $\{AD\}^+ = \{AD\}$
- $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
- $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$

2. Derive the keys of R: AB, BC, BD

- $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACD\}$, $\{D\}^+ = \{AD\}$
- $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{ACD\}$, $\{AD\}^+ = \{AD\}$
- $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
- $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$

2. Derive the keys of R: AB, BC, BD

3. Derive the non-trivial and decomposed FDs on R

- $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACD\}$, $\{D\}^+ = \{AD\}$
- $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{ACD\}$, $\{AD\}^+ = \{AD\}$
- $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
- $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{ACD\}$, $\{AD\}^+ = \{AD\}$
 - $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
 - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ACD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $AB \rightarrow C$, $AB \rightarrow D$, $AC \rightarrow D$
 - $\{BC\}^+ = \{ABCD\}$, $\{BD\}^+ = \{ABCD\}$, $\{CD\}^+ = \{ACD\}$
 - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ACD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $AB \rightarrow C$, $AB \rightarrow D$, $AC \rightarrow D$
 - $BC \rightarrow A$, $BC \rightarrow D$, $BD \rightarrow A$, $BD \rightarrow C$, $CD \rightarrow A$
 - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ACD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $AB \rightarrow C$, $AB \rightarrow D$, $AC \rightarrow D$
 - $BC \rightarrow A$, $BC \rightarrow D$, $BD \rightarrow A$, $BD \rightarrow C$, $CD \rightarrow A$
 - $ABC \rightarrow D$, $ABD \rightarrow C$, $BCD \rightarrow A$

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $AB \rightarrow C$, $AB \rightarrow D$, $AC \rightarrow D$
 - $BC \rightarrow A$, $BC \rightarrow D$, $BD \rightarrow A$, $BD \rightarrow C$, $CD \rightarrow A$
 - $ABC \rightarrow D$, $ABD \rightarrow C$, $BCD \rightarrow A$
 4. For each non-trivial and decomposed FD, check whether its left hand side is a super-key

BCNF Check: Example

- R(A, B, C, D) with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 2. Derive the keys of R: AB, BC, BD
 3. Derive the non-trivial and decomposed FDs on R
 - $C \rightarrow A$, $C \rightarrow D$, $D \rightarrow A$
 - $AB \rightarrow C$, $AB \rightarrow D$, $AC \rightarrow D$ Not in BCNF
 - $BC \rightarrow A$, $BC \rightarrow D$, $BD \rightarrow A$, $BD \rightarrow C$, $CD \rightarrow A$
 - $ABC \rightarrow D$, $ABD \rightarrow C$, $BCD \rightarrow A$
 4. For each non-trivial and decomposed FD, check whether its left hand side is a super-key

BCNF Check

- The previous algorithm
 - Compute the closure of each attribute subset
 - Derive the keys of R (using closures)
 - Derive all non-trivial and decomposed FDs on R (again, using closures)
 - Check the non-trivial and decomposed FDs to see if they satisfy BCNF requirement
 - If all of them satisfy the requirement, then R is in BCNF
- Observation:
 - The three steps in blue are quite tedious
 - We will simplify them by combining them together, again using closures

Simplified BCNF Check: How?

- What we need: check if there is a non-trivial and decomposed FD $A_1A_2...A_k \rightarrow B_1$, such that $A_1A_2...A_k$ is not a superkey
- Question: if $A_1A_2...A_k$ is not a superkey, what would its closure $\{A_1A_2...A_k\}^+$ look like?
- First, the closure should contain B_1 , since $A_1A_2...A_k \rightarrow B_1$
 - i.e., the closure contains **more** attributes than $\{A_1A_2...A_k\}$ does
- Second, the closure should not contain all attributes in the table, since $A_1A_2...A_k$ is not a superkey
 - i.e., the closure contains **not all** attributes in the table
- Conclusion: we have a violation of BCNF, iff we have a closure that satisfies the "**more but not all**" condition

Simplified BCNF Check: Algorithm

- Conclusion: we have a violation of BCNF, iff we have a closure that satisfies the "more but not all" condition
- Simplified Algorithm for BCNF check:
 - Compute the closure of each attribute subset
 - Check if there is a closure $\{A_1A_2...A_k\}^+$, such that
 - The closure contains some attribute not in $\{A_1A_2...A_k\}$
 - The closure does not contain all attributes in the table
 - i.e., a "**more but not all**" closure
 - If such a closure exists, then R is NOT in BCNF

Simplified BCNF Check: Example

- $R(A, B, C, D)$ with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$

Simplified BCNF Check: Example

- $R(A, B, C, D)$ with FDs $AB \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 1. Compute the closure of each attribute subset
 - $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACD\}$, $\{D\}^+ = \{AD\}$
- Stop right there...
- Take a look at $\{C\}^+ = \{ACD\}$
 - $\{C\}^+$ contains more attributes than $\{C\}$ does
 - $\{C\}^+$ does not contain all attributes in R
- "More but not all", which is a violation of BCNF
- So R is not in BCNF

Exercise

- $R(A, B, C, D)$ with FDs $B \rightarrow C, B \rightarrow D$
- Is R in BCNF?

Exercise

Not in BCNF

- $R(A, B, C, D)$ with FDs $B \rightarrow C, B \rightarrow D$
 - Compute the closure of each attribute subset
 - $\{A\}^+ = \{A\}, \{B\}^+ = \{BCD\}, \{C\}^+ = \{C\}, \{D\}^+ = \{D\}$
 - $\{B\}^+ = \{BCD\}$ stratifies the "more but not all" property
 - So it indicates a violation of BCNF

Exercise

- $R(A, B, C, D)$ with FDs $A \rightarrow B$, $B \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
- Is R in BCNF?

Exercise

In BCNF

- $R(A, B, C, D)$ with FDs $A \rightarrow B$, $B \rightarrow C$, $C \rightarrow D$, and $D \rightarrow A$
 - Compute the closure for each subset of the attributes in R
 - $\{A\}^+ = \{ABCD\}$, $\{B\}^+ = \{ABCD\}$, $\{C\}^+ = \{ABCD\}$, $\{D\}^+ = \{ABCD\}$
 - The other closures are all $\{ABCD\}$
 - There would be no closure satisfying the "more but not all" property
 - So there is no violation of BCNF

Exercise

- $R(A, B, C, D, E)$ with FDs $AB \rightarrow C$, $C \rightarrow E$, $E \rightarrow A$, and $E \rightarrow D$
- Is R in BCNF?

Exercise

Not In BCNF

- $R(A, B, C, D, E)$ with FDs $AB \rightarrow C$, $C \rightarrow E$, $E \rightarrow A$, and $E \rightarrow D$
 - Compute the closure for each subset of the attributes in R
 - $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{ACDE\}$, $\{D\}^+ = \{D\}$, $\{E\}^+ = \{ADE\}$
 - $\{E\}^+ = \{ADE\}$ satisfies the "more but not all" property
 - So $\{E\}^+ = \{ADE\}$ indicates a violation of BCNF

Exercise

- $R(A, B, C, D)$ with FDs $AB \rightarrow D$, $BD \rightarrow C$, $CD \rightarrow A$, and $AC \rightarrow B$
- Is R in BCNF?

Exercise

In BCNF

- $R(A, B, C, D)$ with FDs $AB \rightarrow D$, $BD \rightarrow C$, $CD \rightarrow A$, and $AC \rightarrow B$
 - Compute the closure for each subset of the attributes in R
 - $\{A\}^+ = \{A\}$, $\{B\}^+ = \{B\}$, $\{C\}^+ = \{C\}$, $\{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{BD\}^+ = \{CD\}^+ = \{AC\}^+ = \{ABCD\}$,
 - $\{AD\}^+ = \{AD\}$, $\{BC\}^+ = \{BC\}$
 - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ACD\}^+ = \{ABCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$
 - There is no closure satisfying the "more but not all" property
 - So there is no violation of BCNF

Roadmap

- Now we know how to check whether a table is in BCNF
- But if a table is not in BCNF, how can we improve it?
- We can **decompose** it into smaller tables
 - This is also called a **normalization**

BCNF Decomposition

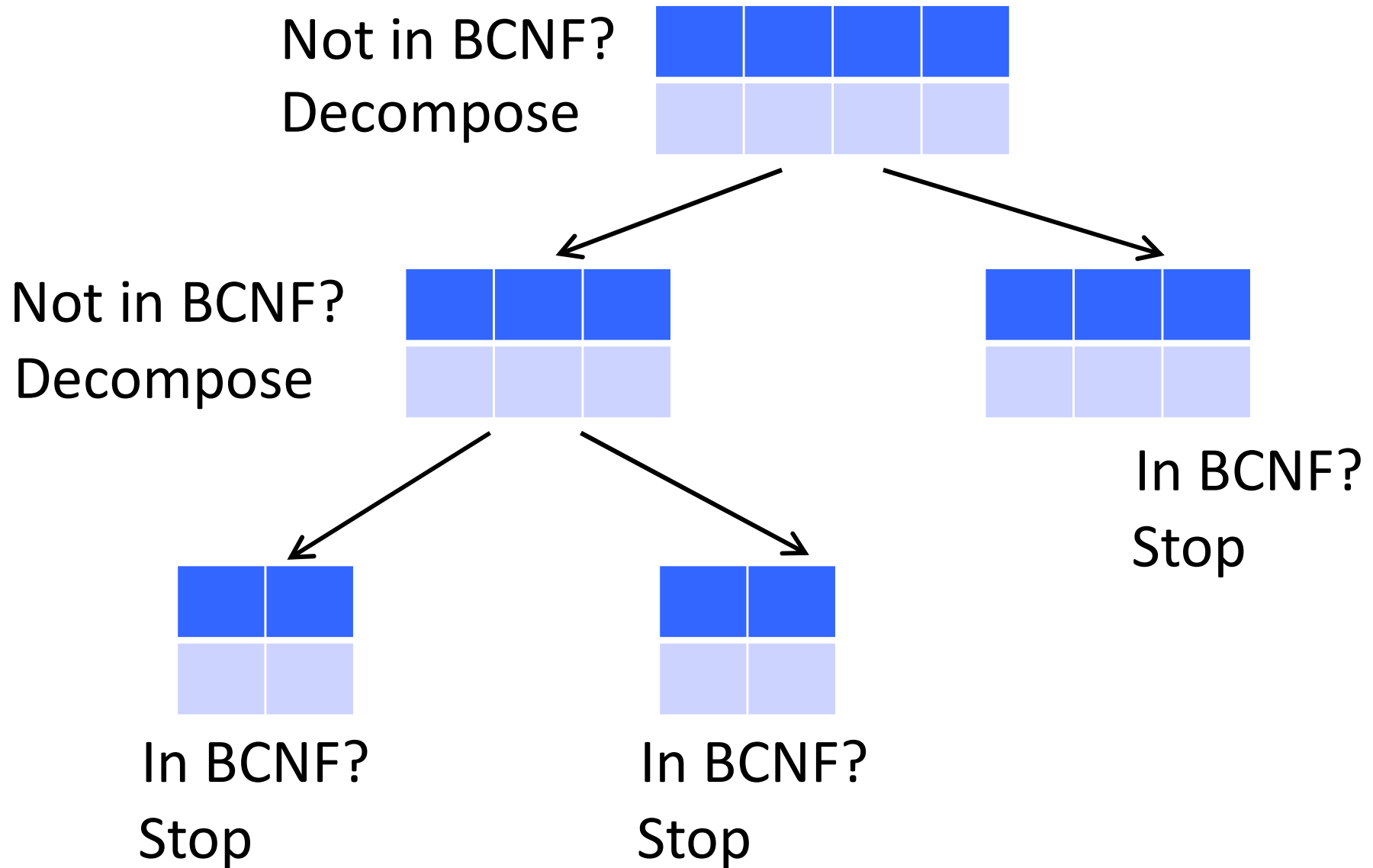
Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Decomposing non-BCNF tables into smaller ones in BCNF

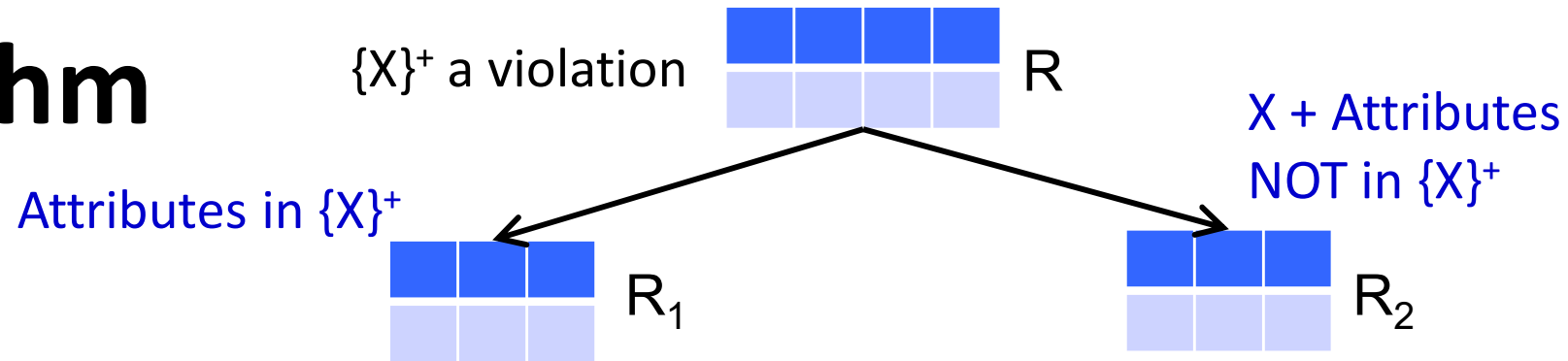
Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

Decompose, until all are in BCNF



Algorithm



■ Input: a table R

1. Find a subset X of the attributes in R , such that its closure $\{X\}^+$ (i) contains more attributes than X does, but (ii) does not contain all attributes in R
2. Decompose R into two tables R_1 and R_2 , such that
 - R_1 contains all attributes in $\{X\}^+$
 - R_2 contains all attributes in X as well as the attributes not in $\{X\}^+$
3. If R_1 is not in BCNF, further decompose R_1 ;
If R_2 is not in BCNF, further decompose R_2

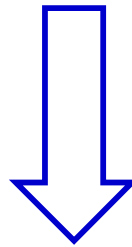
BCNF Decomposition: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- FD: $\text{NRIC} \rightarrow \text{Name}, \text{HomeAddress}$
 1. Find a subset X of the attributes in R , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R
- $\{\text{NRIC}\}^+ = \{\text{Name}, \text{NRIC}, \text{HomeAddress}\}$
 2. Decompose R into two tables R_1 and R_2 , such that
 - R_1 contains all attributes in X^+
 - R_2 contains all attributes in X as well as the attributes not in X^+
- $R_1(\text{Name}, \text{NRIC}, \text{HomeAddress}), R_2(\text{NRIC}, \text{PhoneNumber})$
 3. Check if R_1 and R_2 are in BCNF, and so on. (Spoiler: they are in BCNF)

BCNF Decomposition: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris



Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

BCNF Decomposition: Example

- $R(A, B, C, D)$ with FDs $A \rightarrow B$, $B \rightarrow C$

1. Find a subset X of the attributes in R , such that its closure $\{X\}^+$ (i) contains more attributes than X , but (ii) does not contain all attributes in R

- $\{A\}^+ = \{A, B, C\}$

2. Decompose R into two tables R_1 and R_2 , such that

- R_1 contains all attributes in $\{X\}^+$
- R_2 contains all attributes in X as well as the attributes not in $\{X\}^+$

- $R_1(A, B, C)$, $R_2(A, D)$

3. Check if R_1 and R_2 are in BCNF

- R_1 : No, R_2 : Yes

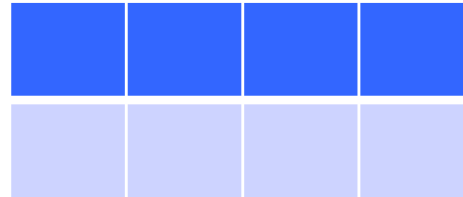
4. Further decompose R_1

BCNF Decomposition: Example

- $R(A, B, C, D)$ with FDs $A \rightarrow B, B \rightarrow C$
- $R_1(A, B, C), R_2(A, D)$
- Further decompose R_1
 1. Find a subset X of the attributes in R_1 , such that its closure $\{X\}^+$
(i) contains more attributes than X , but (ii) does not contain all attributes in R
 - $\{A\}^+ = \{A, B, C\}, \{B\}^+ = \{B, C\}$
 2. Decompose R_1 into two tables R_3 and R_4 , such that
 - R_3 contains all attributes in $\{X\}^+$
 - R_4 contains all attributes in X as well as the attributes not in $\{X\}^+$
 - $R_3(B, C), R_4(A, B)$
- 3. Check if R_1 and R_2 are in BCNF
 - R_3 : Yes, R_4 : Yes
 - Final results: $R_3(B, C), R_4(A, B), R_2(A, D)$

Decompose, until all are in BCNF

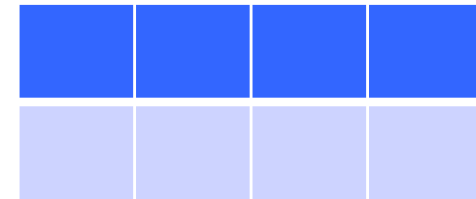
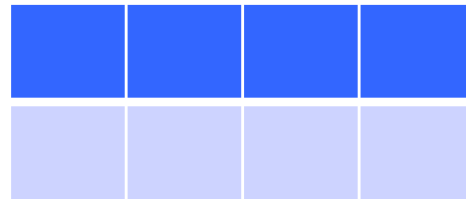
$\{X\}^+$ violation?
Decompose



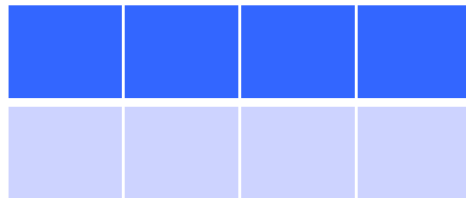
Attributes in $\{X\}^+$

X + Attributes
NOT in $\{X\}^+$

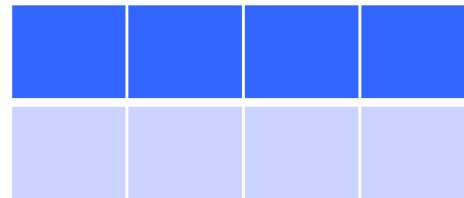
$\{X'\}^+$ violation?
Decompose



Attributes in $\{X'\}^+$



In BCNF then
Stop



In BCNF then
Stop

Notes

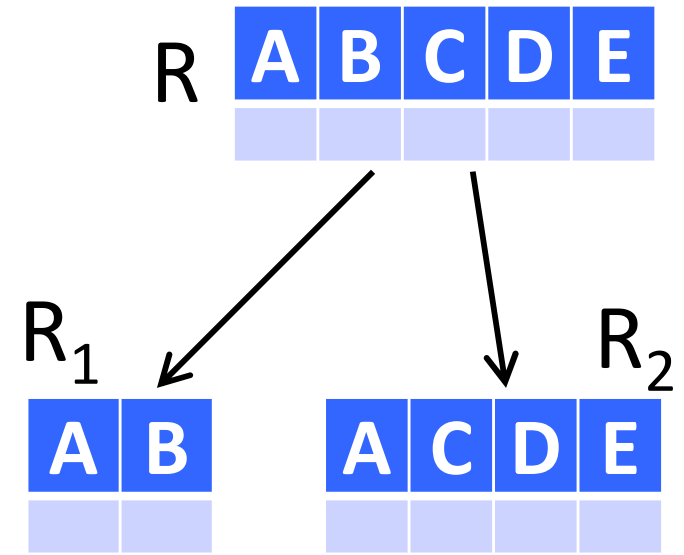
- The BCNF decomposition of a table may not be unique
- If a table has only two attributes, then it must be in BCNF
 - Therefore, you do not need to check tables with only two attributes

BCNF Decomposition: One More Issue

- Recall that, whenever we decompose a table R into two smaller tables R_1 and R_2 , we need to check whether R_1 and R_2 satisfies BCNF
- This requires us to check the closures on R_1 and R_2
- We will explain how this can be done using an example

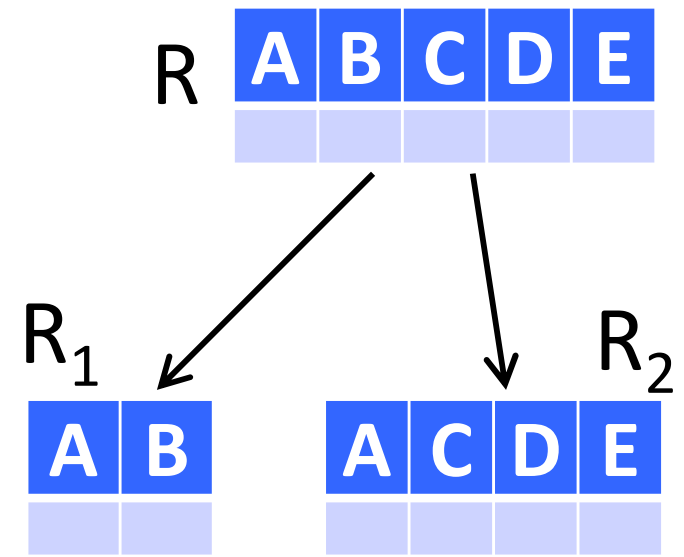
BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Step 1: Check if there is closure that indicates a violation of BCNF
 - $\{A\}^+ = \{A, B\}$
- Step 2: Decompose the table into two
 - First one: include all attributes in the closure, i.e., $\{A, B\}$
 - Second one: include A and all attributes NOT in the closure, i.e., $\{A, C, D, E\}$
- Now we need to check whether R_1 and R_2 are in BCNF
- R_1 is in BCNF; but what about R_2 ?



BCNF Decomposition: One More Issue

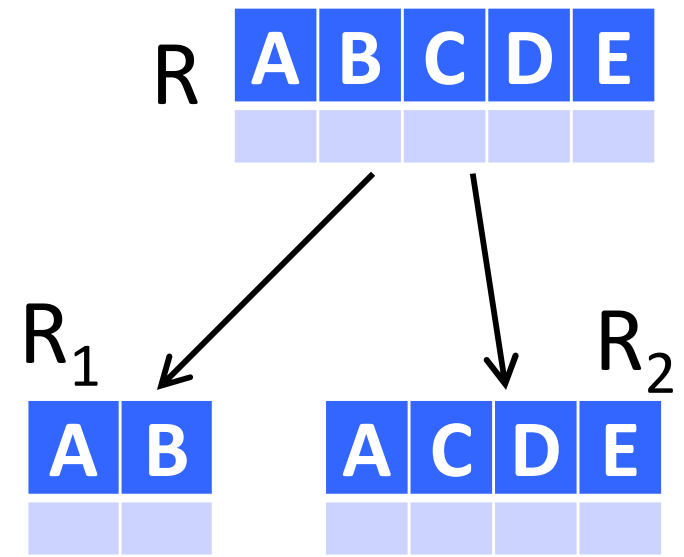
- Given: $A \rightarrow B$, $BC \rightarrow D$
- To check whether R_2 is in BCNF, we need to derive the closures for R_2
- But we don't know what FDs are there on R_2
- Solution:
 - Derive the closures on R
 - Then, **project** them onto R_2



BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 1: enumerate the attribute subsets in R_2

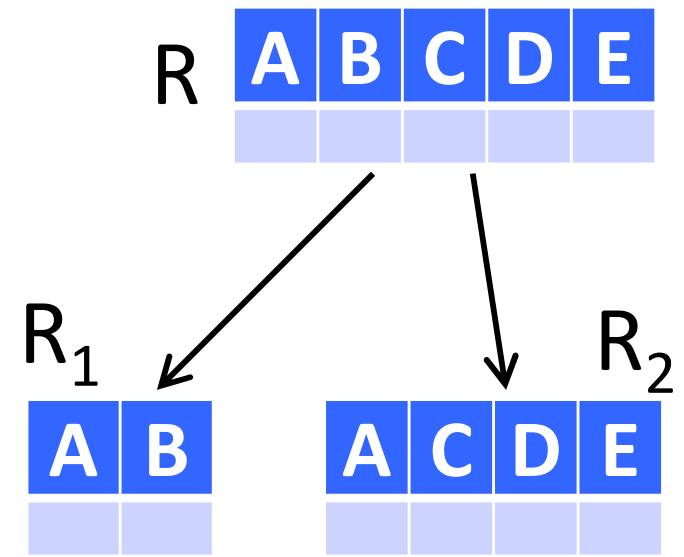
- | | |
|---------|-------|
| ■ {A} | {C} |
| ■ {D} | {E} |
| ■ {AC} | {AD} |
| ■ {AE} | {CD} |
| ■ {CE} | {DE} |
| ■ {ACD} | {ACE} |
| ■ {ADE} | {CDE} |



BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 2: derive the closures of these attribute subsets on R

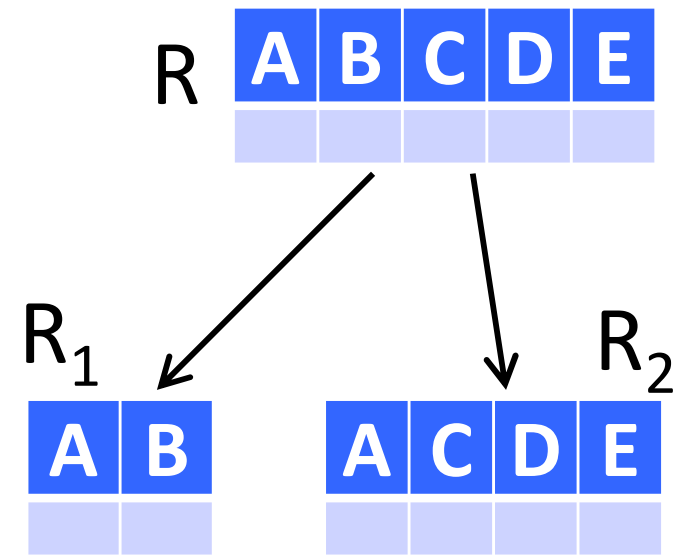
- | | |
|---------|-------|
| ■ {A} | {C} |
| ■ {D} | {E} |
| ■ {AC} | {AD} |
| ■ {AE} | {CD} |
| ■ {CE} | {DE} |
| ■ {ACD} | {ACE} |
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BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 2: derive the closures of these attribute subsets on R

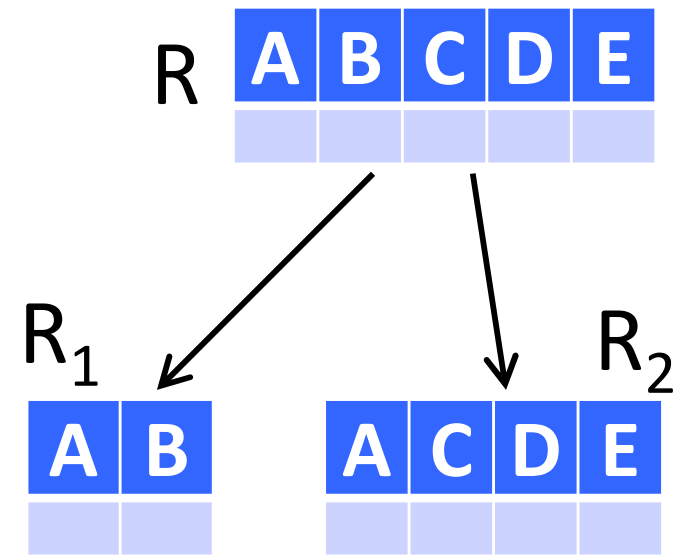
- $\{A\}^+ = \{AB\}$
- $\{D\}^+ = \{D\}$
- $\{AC\}^+ = \{ABCD\}$
- $\{AE\}^+ = \{ABE\}$
- $\{CE\}^+ = \{CE\}$
- $\{ACD\}^+ = \{ABCD\}$
- $\{ADE\}^+ = \{ABCDE\}$
- $\{C\}^+ = \{C\}$
- $\{E\}^+ = \{E\}$
- $\{AD\}^+ = \{ABD\}$
- $\{CD\}^+ = \{CD\}$
- $\{DE\}^+ = \{DE\}$
- $\{ACE\}^+ = \{ABCDE\}$
- $\{CDE\}^+ = \{CDE\}$



BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 3: Project these closures onto R_2 , by removing irrelevant attributes

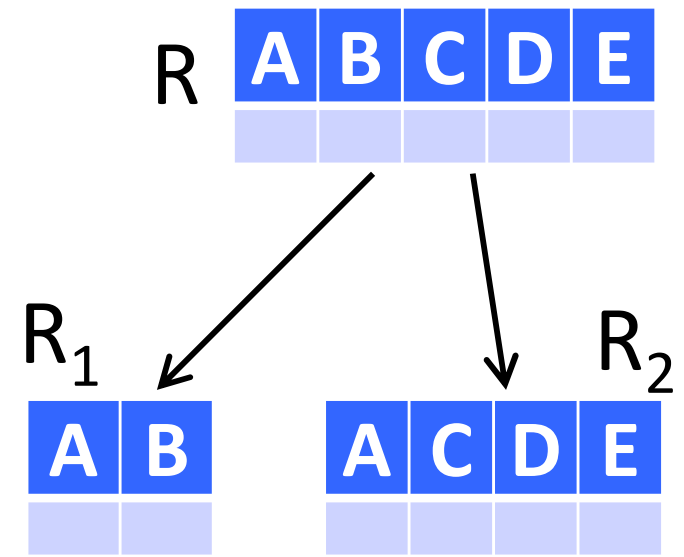
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- $\{CD\}^+ = \{CD\}$
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BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
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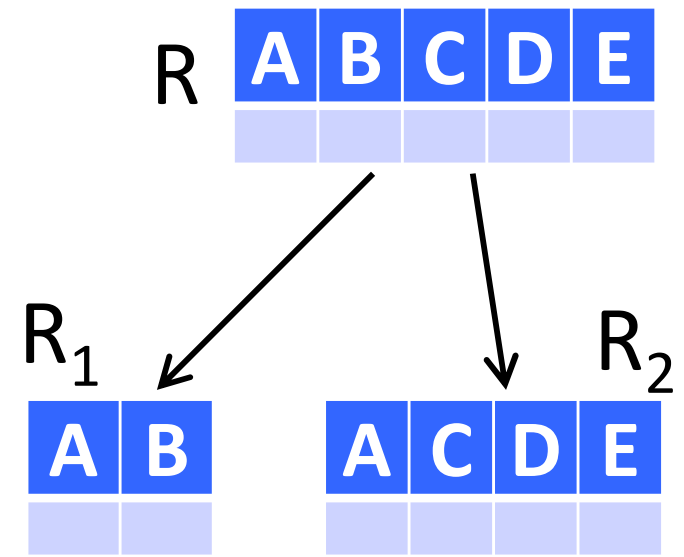
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BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 3: Project these closures onto R_2 , by removing irrelevant attributes

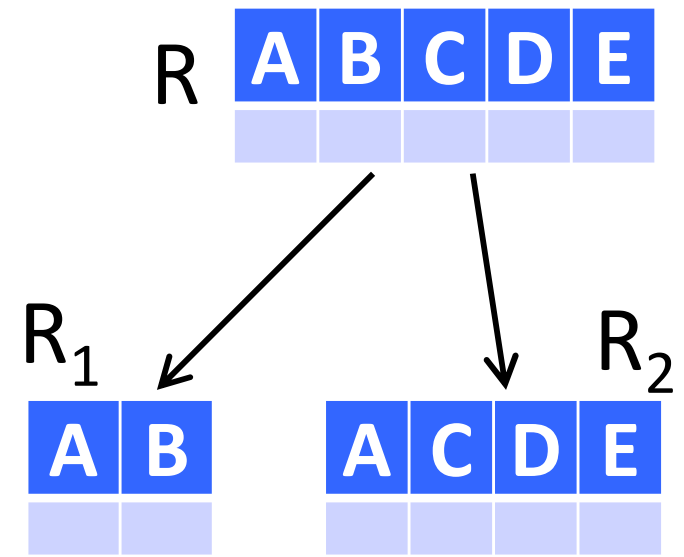
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BCNF Decomposition: One More Issue

- Given: $A \rightarrow B$, $BC \rightarrow D$
- Deriving closures for R_2
 - Step 3: Project these closures onto R_2 , by removing irrelevant attributes

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- $\{ACE\}^+ = \{ACDE\}$
- $\{CDE\}^+ = \{CDE\}$



- This closure violates BCNF
- So R_2 is not in BCNF

Projection of Closures/FDs

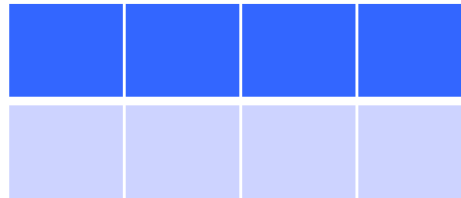
- In general, if we are to derive the closures on a table R_i that is decomposed from a table R , we can
 - First, enumerate the attribute subsets of R_i
 - For each subset, derive its closure on R
 - Project each closure onto R_i by removing those attributes that do not appear in R_i
- These projected closures can then be used to
 - Decide whether R_i is in BCNF
 - Further decompose R_i (if R_i violates BCNF)

Question

- Why does the BCNF decomposition algorithm work?
- Why can it eliminate violations of BCNF?

BCNF Decomposition Algorithm

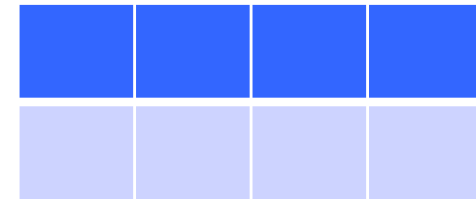
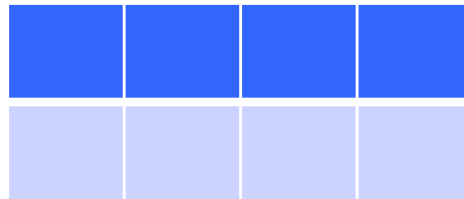
$X \rightarrow Y$ violation?
Decompose



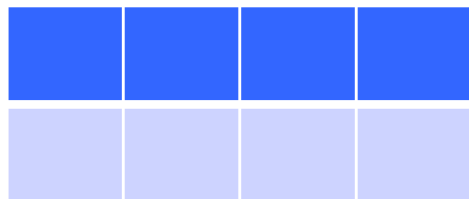
Attributes in $\{X\}^+$

X + Attributes
NOT in $\{X\}^+$

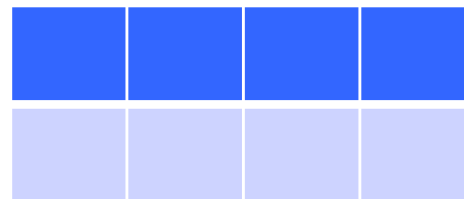
$X' \rightarrow Y'$ violation?
Decompose



Attributes in $\{X'\}^+$

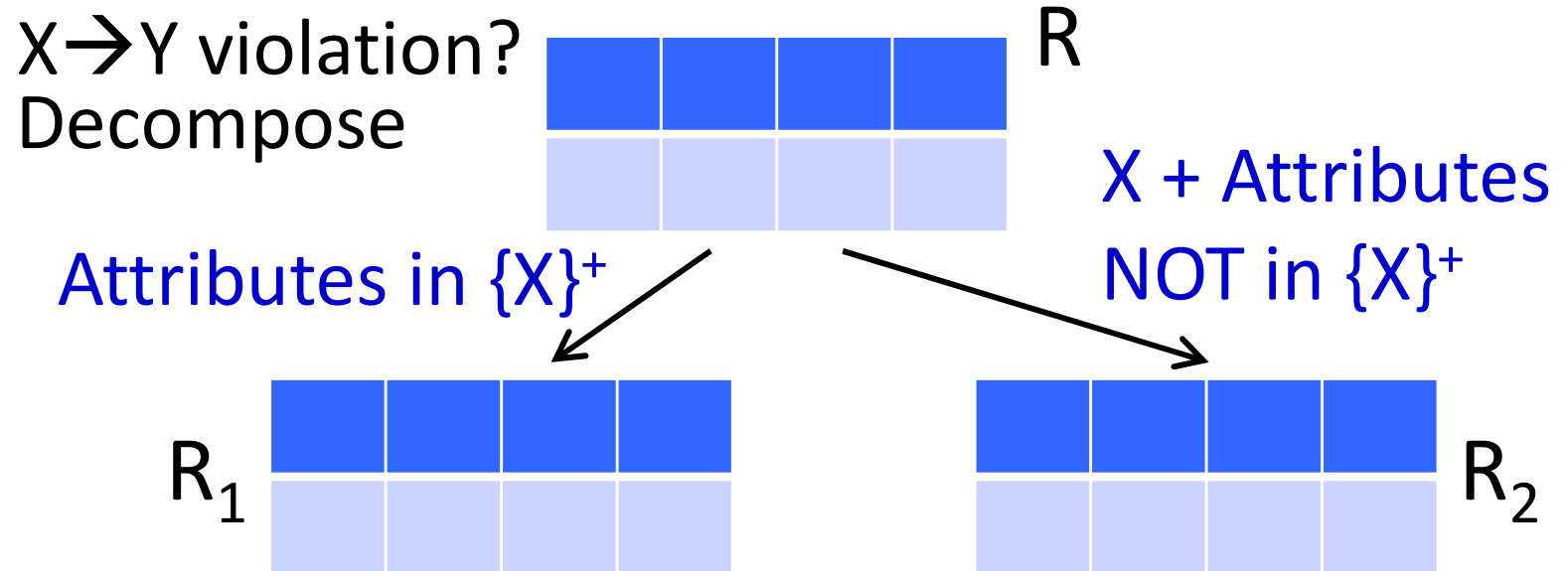


In BCNF then
Stop

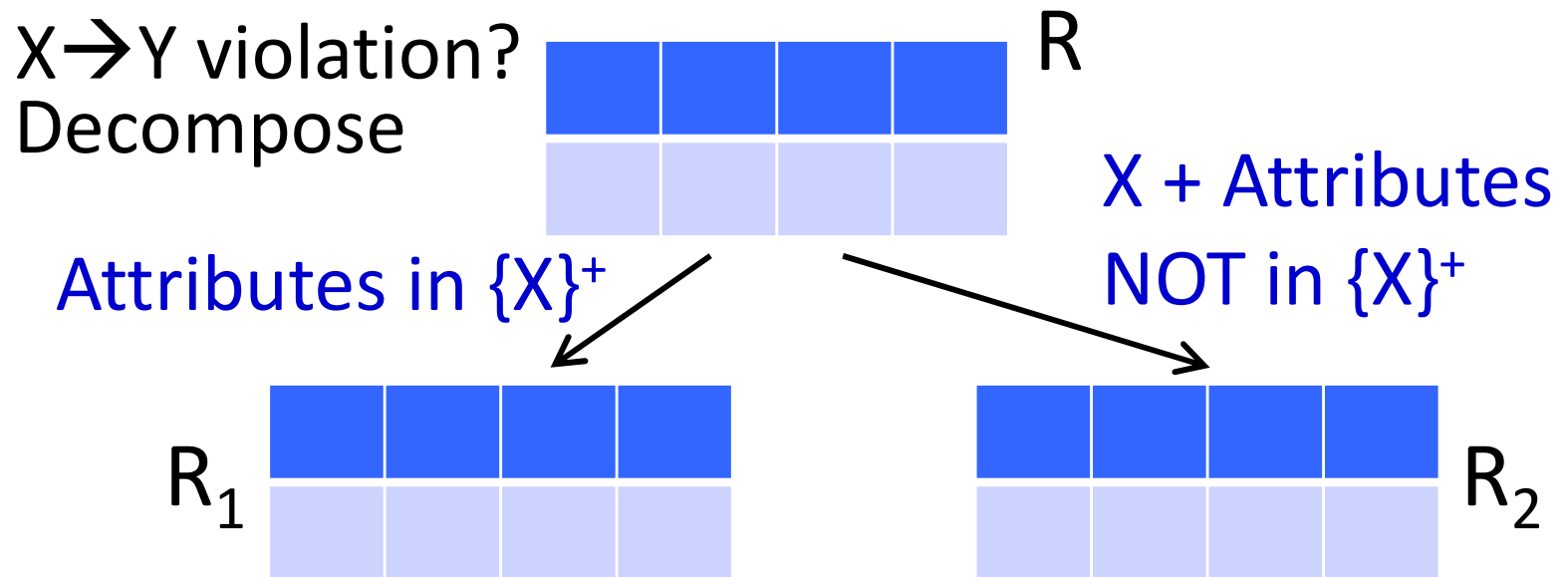


In BCNF then
Stop

BCNF Decomposition Algorithm

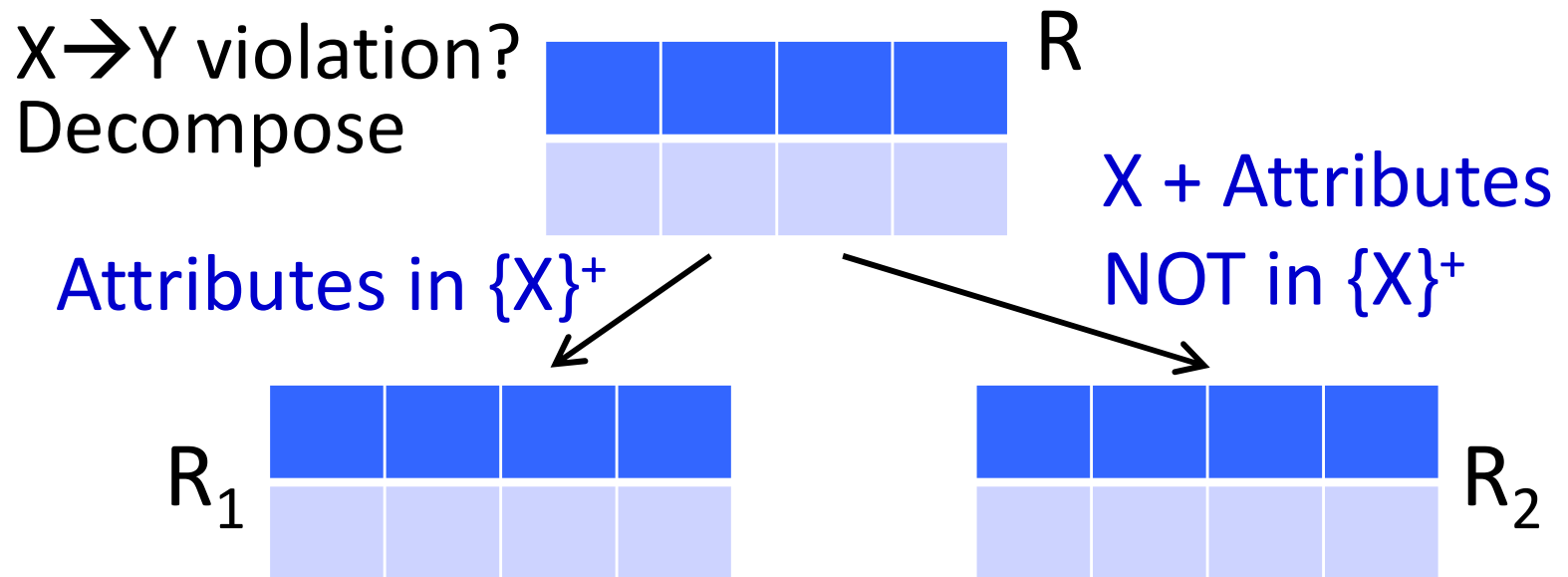


BCNF Decomposition Algorithm



- $X \rightarrow Y$ is no longer a BCNF violation on R_1
- $X \rightarrow Y$ is no longer an FD on R_2
- So this decomposition step gets rid of one BCNF violation

BCNF Decomposition Algorithm



- In general, each decomposition step removes at least one BCNF violation
- Recursive decomposition \Rightarrow all violations will be removed in the end

Exercise

- $R(A, B, C, D)$ with FDs $A \rightarrow B, A \rightarrow C$
 1. Find a subset X of the attributes in R , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R
 2. Decompose R into two tables R_1 and R_2 , such that
 - R_1 contains all attributes in X^+
 - R_2 contains all attributes in X as well as the attributes not in X^+
 3. If R_1 is not in BCNF, further decompose R_1 ;
If R_2 is not in BCNF, further decompose R_2

Exercise

- $R(A, B, C, D)$ with FDs $A \rightarrow B, A \rightarrow C$

1. Find a subset X of the attributes in R , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R

- $\{A\}^+ = \{A, B, C\}$

2. Decompose R into two tables R_1 and R_2 , such that

- R_1 contains all attributes in X^+
- R_2 contains all attributes in X as well as the attributes not in X^+

- $R_1(A, B, C), R_2(A, D)$

3. Check if R_1 and R_2 are in BCNF

- Yes. Final results: $R_1(A, B, C), R_2(A, D)$

Exercise

- $R(A, B, C, D)$ with FDs $BC \rightarrow D$, $D \rightarrow A$, $A \rightarrow B$
 1. Find a subset X of the attributes in R , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R
 2. Decompose R into two tables R_1 and R_2 , such that
 - R_1 contains all attributes in X^+
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Exercise

- $R(A, B, C, D)$ with FDs $BC \rightarrow D$, $D \rightarrow A$, $A \rightarrow B$

1. Find a subset X of the attributes in R , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R

- $\{A\}^+ = \{A, B\}$

2. Decompose R into two tables R_1 and R_2 , such that

- R_1 contains all attributes in X^+
- R_2 contains all attributes in X as well as the attributes not in X^+

- $R_1(A, B)$, $R_2(A, C, D)$

3. Check if R_1 and R_2 are in BCNF

- R_1 : Yes. R_2 : No

- Further decompose R_2

Exercise

- $R(A, B, C, D)$ with FDs $BC \rightarrow D$, $D \rightarrow A$, $A \rightarrow B$
- $R_1(A, B)$, $R_2(A, C, D)$
- Further decompose R_2
 1. Find a subset X of the attributes in R_2 , such that its closure X^+ (i) contains more attributes than X , but (ii) does not contain all attributes in R_2
 - $\{A\}^+ = \{A\}$, $\{C\}^+ = \{C\}$, $\{D\}^+ = \{A, D\}$,
 2. Decompose R_1 into two tables R_3 and R_4 , such that
 - R_3 contains all attributes in X^+
 - R_4 contains all attributes in X as well as the attributes not in X^+
 - $R_3(A, D)$, $R_4(C, D)$
- 3. Check if R_3 and R_4 are in BCNF
 - Yes. Final results: $R_1(A, B)$, $R_3(A, D)$, $R_4(C, D)$

Properties of BCNF

- Good properties
 - No update or deletion or insertion anomalies
 - Small redundancy
 - The original table can always be reconstructed from the decomposed tables

Table Reconstruction

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

■ SELECT * FROM R1, R2
WHERE R1.NRIC = R2.NRIC

This is called a
“Lossless Join”

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

R1

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

R2

Lossless Join Decomposition

- Say we decompose a table R into two tables R_1 and R_2
- The decomposition guarantees lossless join, whenever the common attributes in R_1 and R_2 constitute a superkey of R_1 or R_2
- Example
 - $R(A, B, C)$ decomposed into $R_1(A, B)$ and $R_2(B, C)$, with B being a superkey of R_2
 - $R(A, B, C, D)$ decomposed into $R_1(A, B, C)$ and $R_2(B, C, D)$, with BC being a superkey of R_1

Why BCNF guarantees lossless join?

- Decompose R into two tables R_1 and R_2 , such that
 - R_1 contains all attributes in $\{X\}^+$
 - R_2 contains all attributes in X as well as the attributes not in $\{X\}^+$
- X is the set of common attributes between R_1 and R_2
- X is a superkey of R_1

Properties of BCNF

■ Good properties

- ❑ No update or deletion or insertion anomalies
- ❑ Small redundancy
- ❑ The original table can always be reconstructed from the decomposed tables

■ Bad properties

- ❑ Dependencies may not be preserved
- ❑ We will talk about it in the next lecture