

Minimum Spanning Trees

*Introduction*¹

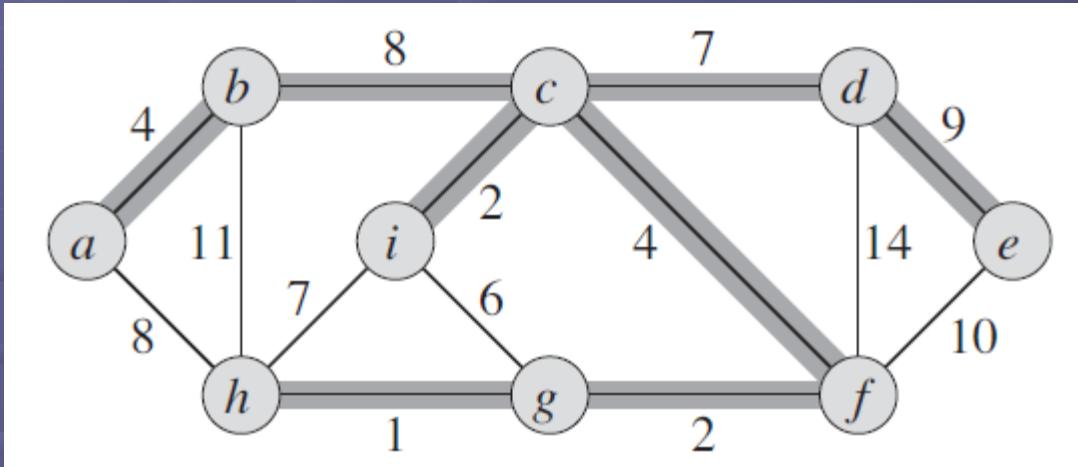
☞ Electronic circuit designs

- Interconnect a set of n pins
- Model this wiring problem with a connected, undirected graph $G = (V, E)$.
- Minimize

$$w(T) = \sum_{(u,v) \in T} w(u, v)$$

- T is a spanning tree of G
- Minimum spanning tree (minimum-weight spanning tree)

*Introduction*²



- ☞ Two greedy algorithms $O(E \lg V)$
 - Kruskal's algorithm
 - Prim's algorithm
 - Using Fibonacci heaps, $O(E + V \lg V)$.

Growing a Minimum Spanning Tree¹

☞ A generic method

- Grows the minimum spanning tree one edge at a time.
- Maintaining the following loop invariant:
 - Prior to each iteration, A is a subset of some minimum spanning tree.
 - An edge (u, v) , such that $A \cup \{(u, v)\}$ is also a subset of a minimum spanning tree.

GENERIC-MST(G, w)

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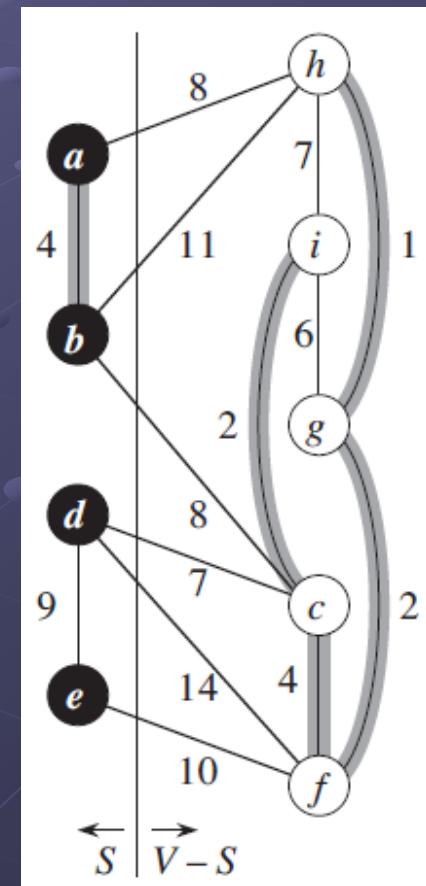
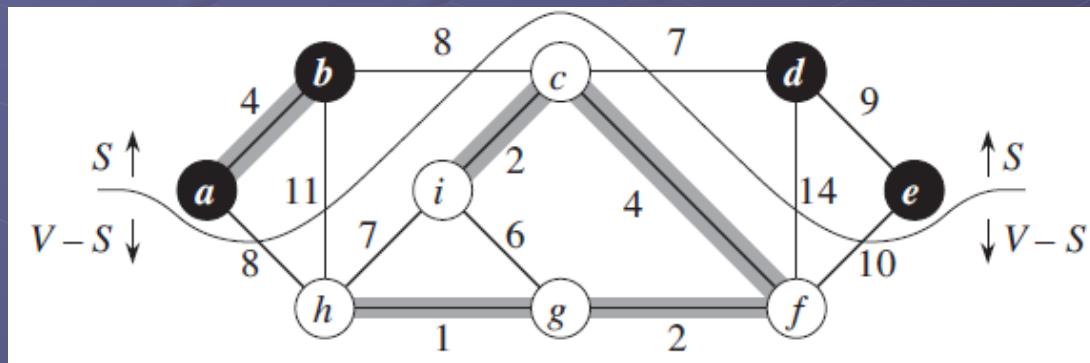
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

Growing a Minimum Spanning Tree²

- ☞ A ***cut*** $(S, V - S)$ of an undirected graph $G = (V, E)$ is a partition of V .
- ☞ An edge $(u, v) \in E$ ***crosses*** the cut $(S, V - S)$ iff
 - Its endpoints is in S and the other is in $V - S$.
- ☞ A cut ***respects*** a set A of edges if
 - No edge in A crosses the cut.
- ☞ An edge is a ***light edge*** crossing a cut if
 - Its weight is the minimum of any edge crossing the cut.

Growing a Minimum Spanning Tree³

☞ Two ways to view a cut.

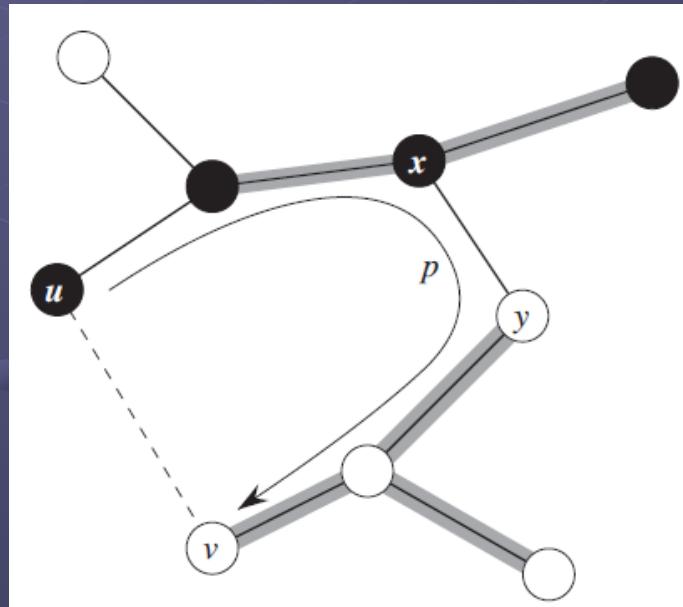


Growing a Minimum Spanning Tree⁴

Theorem 23.1 (A rule for recognizing safe edges)

Let $G = (V, E)$ be a connected, undirected graph with a real-valued weight function w defined on E . Let A be a subset of E that is included in some minimum spanning tree for G , let $(S, V - S)$ be any cut of G that respects A , and let (u, v) be a light edge crossing $(S, V - S)$. Then, edge (u, v) is safe for A .

■ Proof:



Growing a Minimum Spanning Tree⁵

☞ This theorem gives a description of the working of the GENERIC-MST

- As the method proceeds, the set A is always acyclic.
- The graph $G_A = (V, A)$ is a forest.
- When the method begins: A is empty and the forest contains $|V|$ trees, one for each vertex.

☞ Corollary 23.2

Let $G = (V, E)$ be a connected, undirected graph with a real-valued weight function w defined on E . Let A be a subset of E that is included in some minimum spanning tree for G , and let $C = (V_C, E_C)$ be a connected component (tree) in the forest $G_A = (V, A)$. If (u, v) is a light edge connecting C to some other component in G_A , then (u, v) is safe for A .

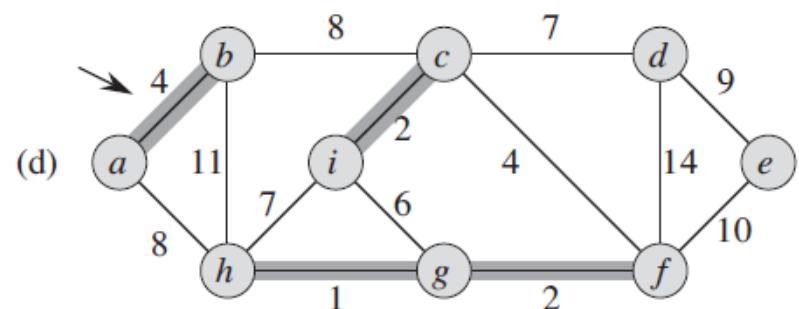
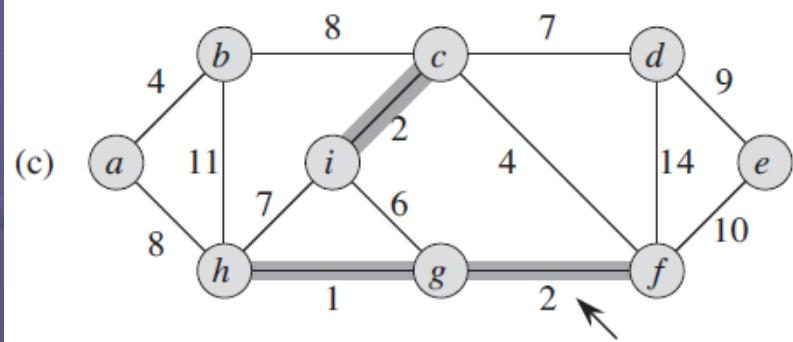
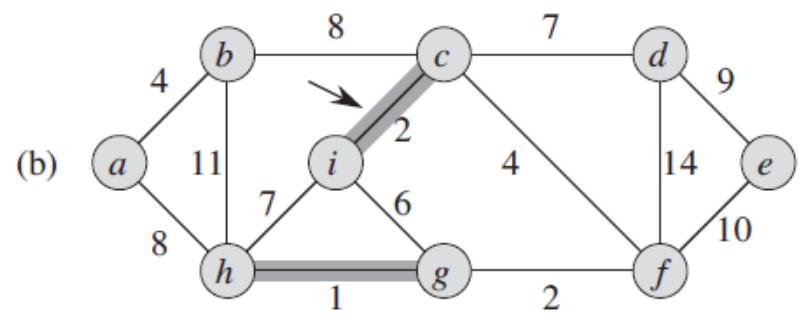
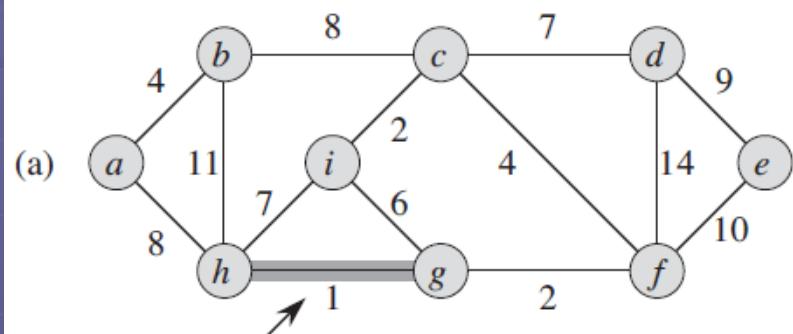
Kruskal's Algorithm¹

- ☞ Find a safe edge to add to the growing forest by finding an edge (u, v) of least weight.

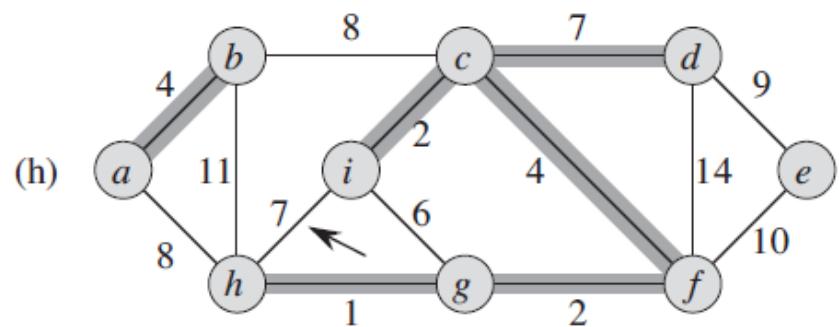
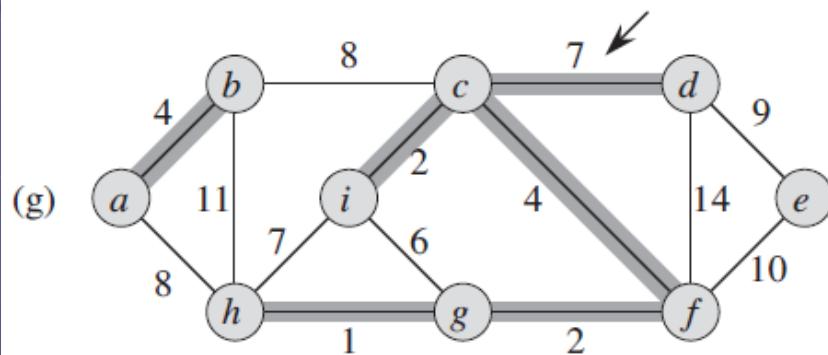
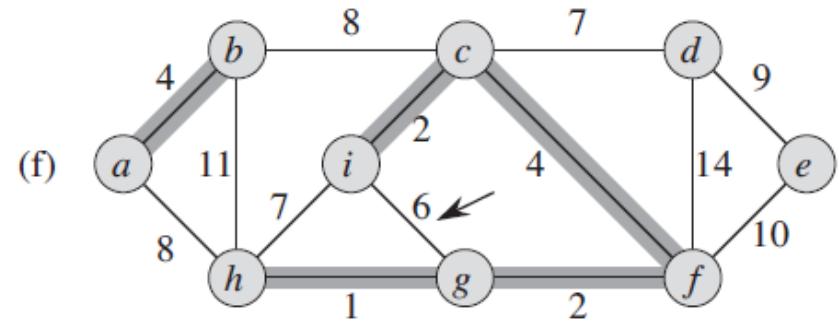
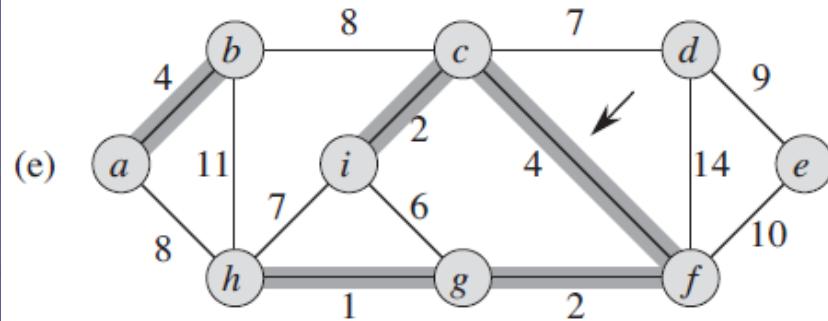
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MST-KRUSKAL( $G, w$ )
```

```
1   $A = \emptyset$ 
2  for each vertex  $v \in G.V$ 
3      MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7           $A = A \cup \{(u, v)\}$ 
8          UNION( $u, v$ )
9  return  $A$ 
```

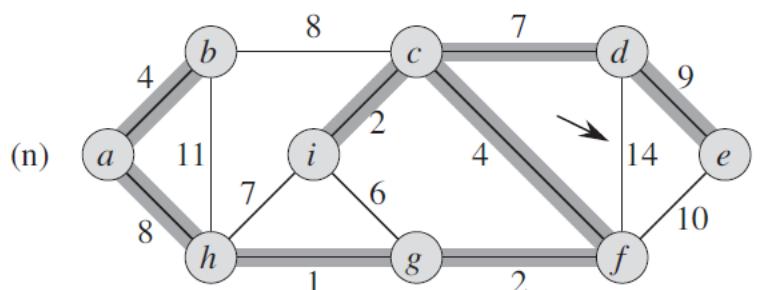
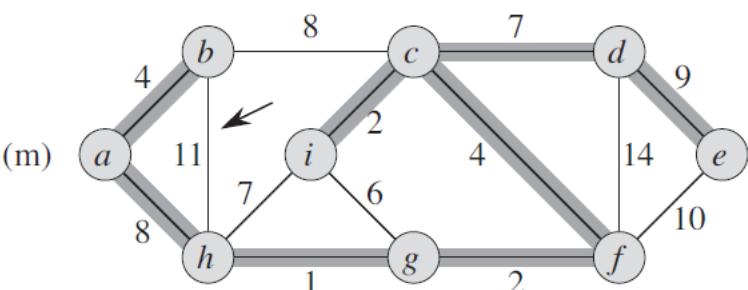
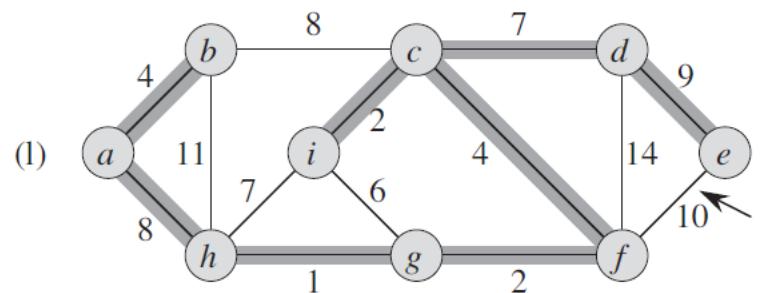
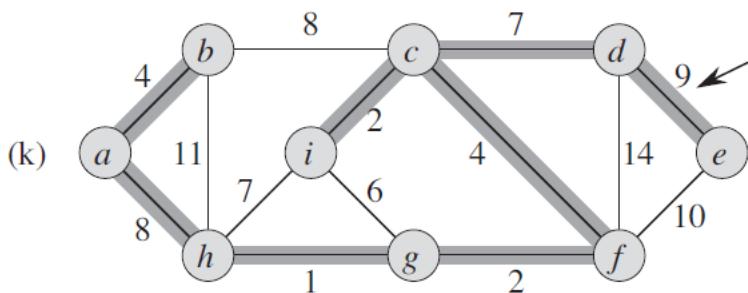
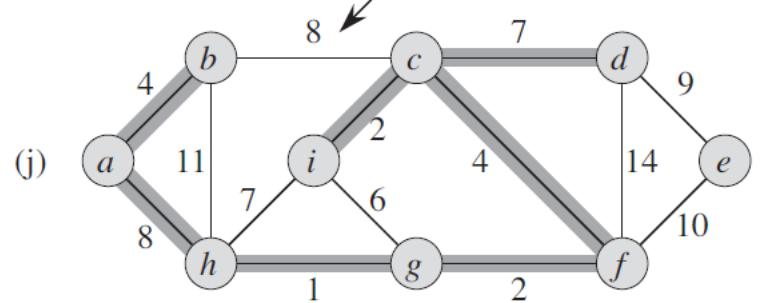
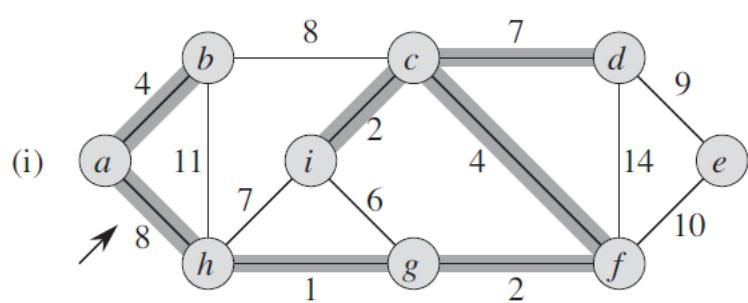
Kruskal's Algorithm²



Kruskal's Algorithm³



Kruskal's Algorithm⁴



Prim's Algorithm¹

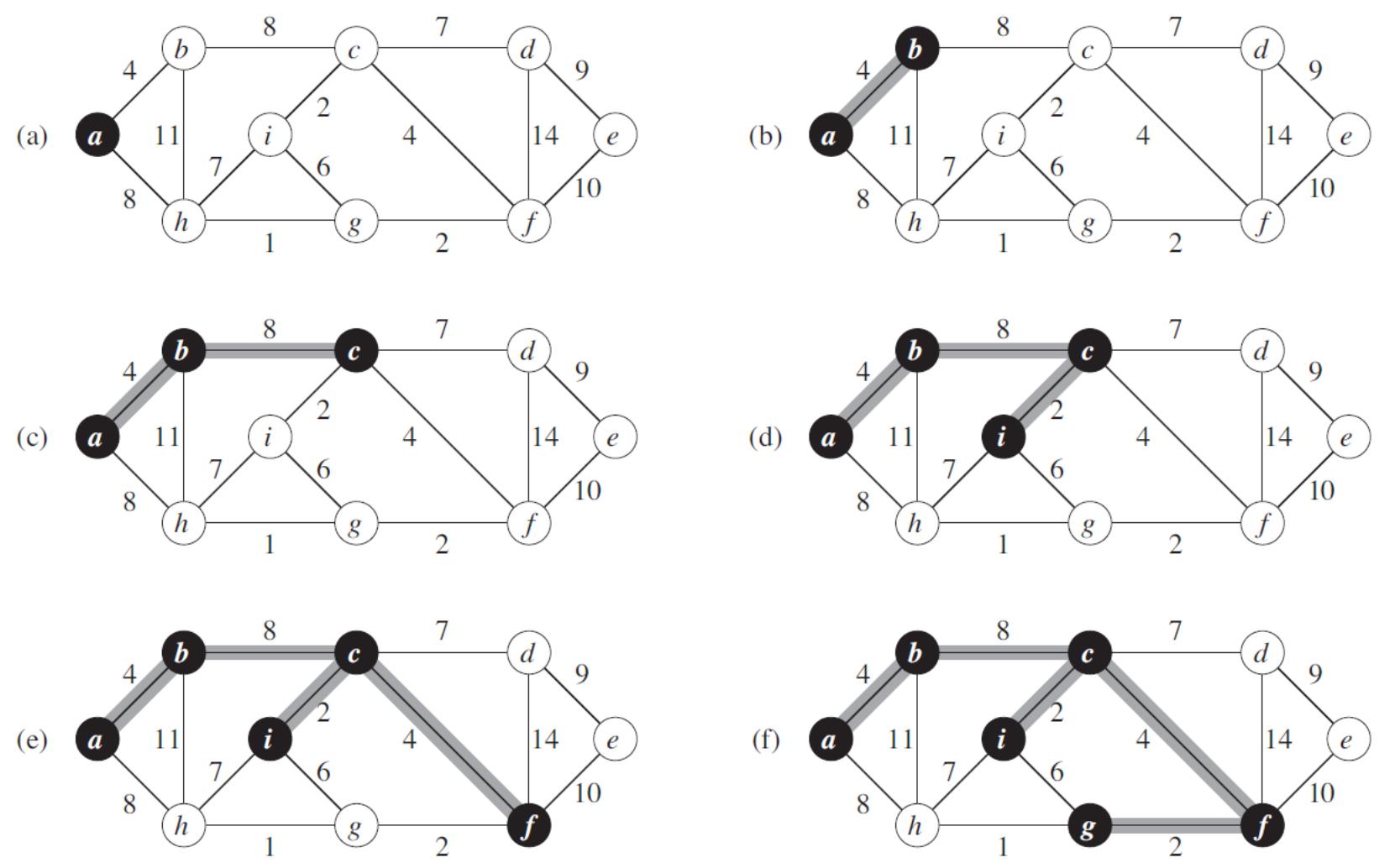
☞ Prim's algorithm has a property

■ The edges in the set A always form a single tree.

MST-PRIM(G, w, r)

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

Prim's Algorithm²



Prim's Algorithm³

