

# *Divide-and-Conquer*

# Divide-and-Conquer Paradigm

- ☞ Applying three steps at each level of the recursion:
  - **Divide** the problem into a number of subproblems.
    - Each subproblem is an instance of the same problem.
  - **Conquer** the subproblems by solving them recursively.
    - If the subproblem sizes are small enough, just solve the subproblems in a straightforward manner.
  - **Combine** the solutions to the subproblems into the solution for the original problem.
- ☞ Recursive case
- ☞ Base case

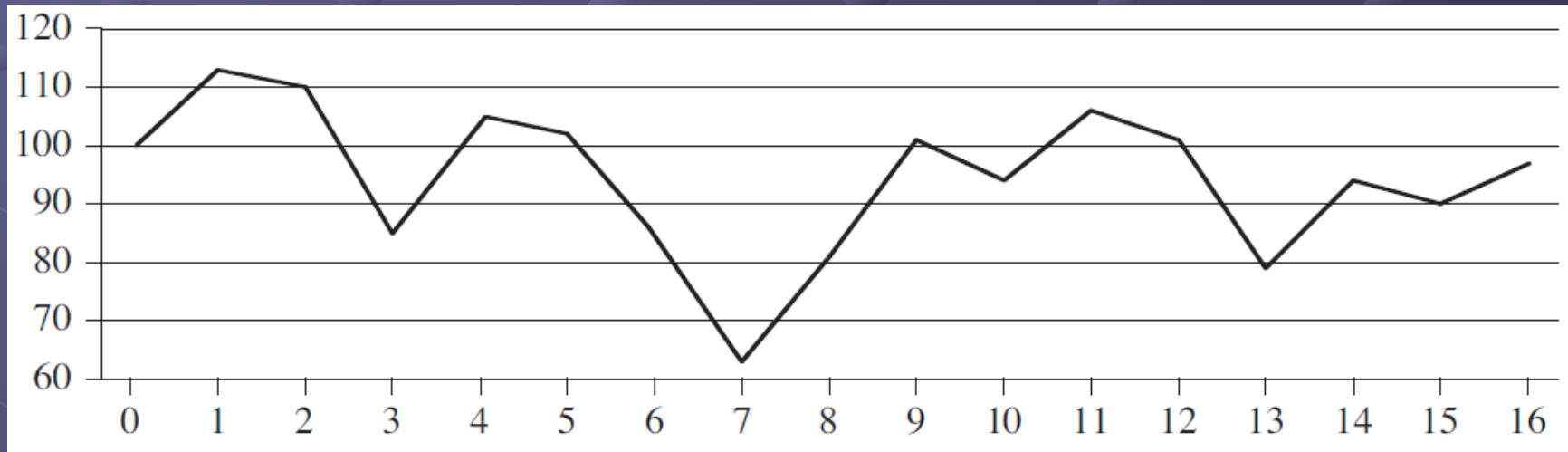
# Recurrences

- ☞ A **recurrence** is an equation or inequality that describes a function in terms of its value on smaller inputs.
- ☞ Three methods for solving recurrences:
  - Substitution method
  - Recursion-tree method
  - Master method
- ☞ Technicalities in recurrences
  - Neglect certain technical details when we state and solve recurrences.
    - For example: Integer arguments to functions, boundary conditions is ignored, omit floors, ceilings.

# The Maximum-Subarray Problem<sup>1</sup>

## Example: Stock buying and selling

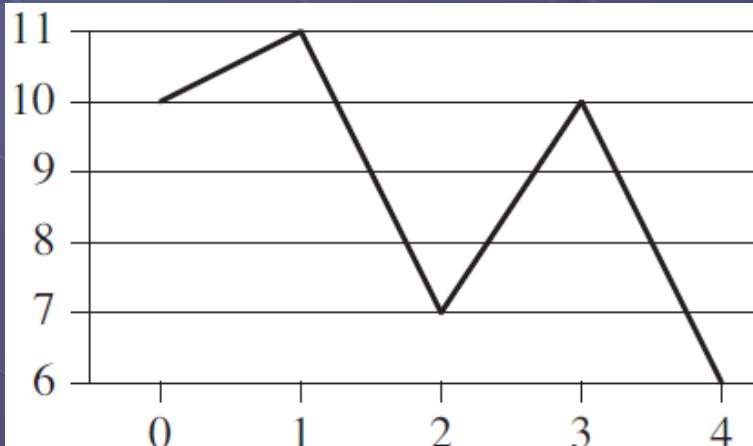
- To buy one unit of stock only one time and sell it at a later time.
- Buying and selling after the close of trading for the day.
- Goal: To maximize your profit.



# The Maximum-Subarray Problem<sup>2</sup>

☞ “buy low, sell high”

- To buy at the lowest price, then sell at the highest price.
  - Either buying at the lowest price or selling at the highest price.
- ☞ But the maximum profit does not always start at the lowest price or end at the highest price.



Day	0	1	2	3	4
Price	10	11	7	10	6
Change		1	-4	3	-4

# The Maximum-Subarray Problem<sup>3</sup>

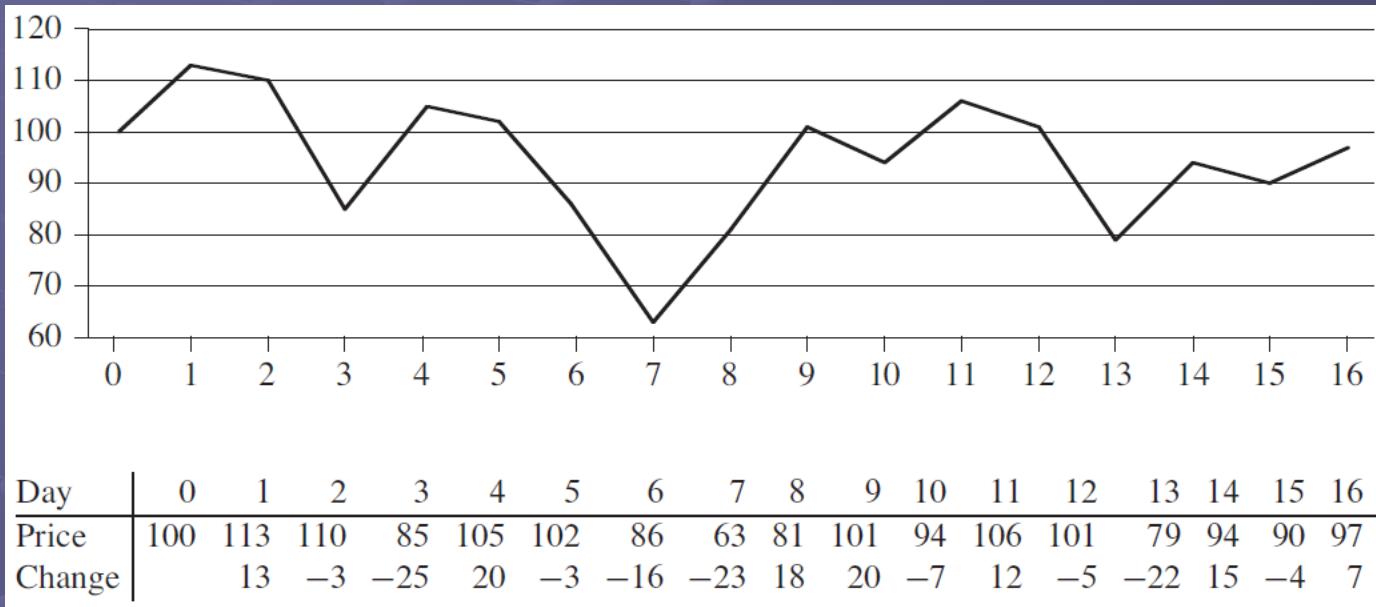
## ☞ A brute-force solution

- Try every possible pair of buy and sell dates in which the buy date precedes the sell date.
- A period of  $n$  days has  $\binom{n}{2}$  such pairs of dates, which is  $\Theta(n^2)$ .

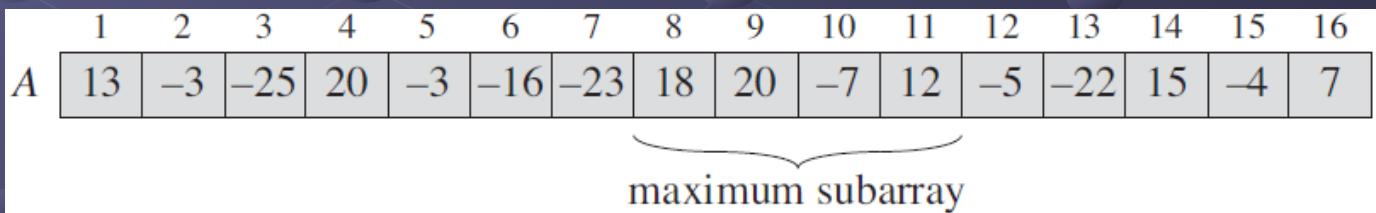
## ☞ A transformation

- Look at the input in a slightly different way.
- To find a sequence of days over which the net change from the first day to the last is maximum.
- This contiguous subarray is called ***maximum subarray***.

# The Maximum-Subarray Problem<sup>4</sup>

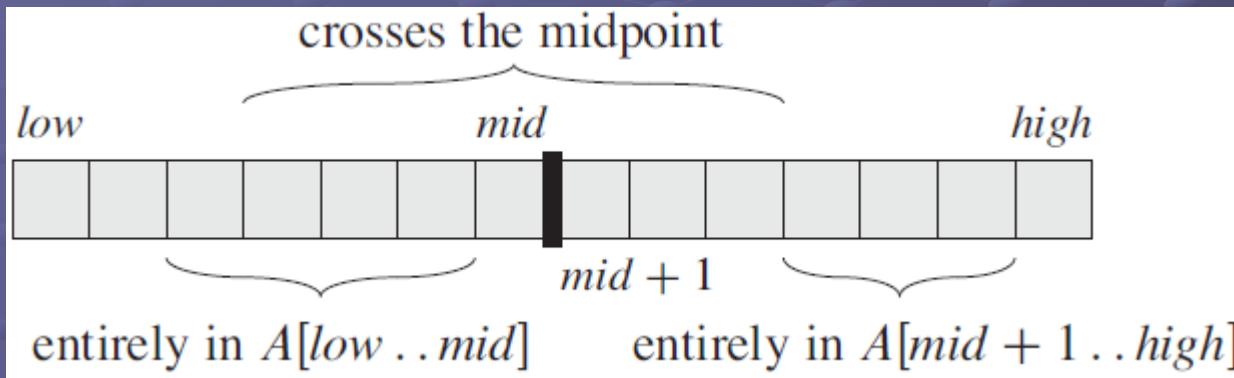


- The maximum-subarray problem is interesting only when the array contains some negative numbers.

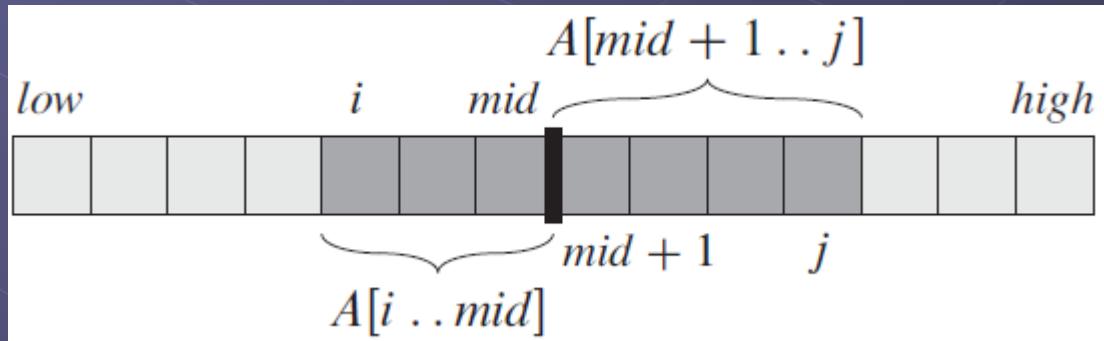


# The Maximum-Subarray Problem<sup>5</sup>

- ☞ A solution using divide-and-conquer



- ☞ Any subarray of  $A[low \dots high]$  crossing the midpoint



# The Maximum-Subarray Problem<sup>6</sup>

FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )

```
1  left-sum = -∞
2  sum = 0
3  for  $i = mid$  downto  $low$ 
4      sum = sum +  $A[i]$ 
5      if sum > left-sum
6          left-sum = sum
7          max-left = i
8  right-sum = -∞
9  sum = 0
10 for  $j = mid + 1$  to  $high$ 
11     sum = sum +  $A[j]$ 
12     if sum > right-sum
13         right-sum = sum
14         max-right = j
15 return (max-left, max-right, left-sum + right-sum)
```

# The Maximum-Subarray Problem<sup>7</sup>

FIND-MAXIMUM-SUBARRAY( $A, low, high$ )

```

1  if  $high == low$ 
2      return ( $low, high, A[low]$ )           // base case: only one element
3  else  $mid = \lfloor (low + high)/2 \rfloor$ 
4      ( $left-low, left-high, left-sum$ ) =
            FIND-MAXIMUM-SUBARRAY( $A, low, mid$ )
5      ( $right-low, right-high, right-sum$ ) =
            FIND-MAXIMUM-SUBARRAY( $A, mid + 1, high$ )
6      ( $cross-low, cross-high, cross-sum$ ) =
            FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )
7      if  $left-sum \geq right-sum$  and  $left-sum \geq cross-sum$ 
8          return ( $left-low, left-high, left-sum$ )
9      elseif  $right-sum \geq left-sum$  and  $right-sum \geq cross-sum$ 
10         return ( $right-low, right-high, right-sum$ )
11     else return ( $cross-low, cross-high, cross-sum$ )

```

# The Maximum-Subarray Problem<sup>8</sup>

## ☞ Analyzing the divide-and-conquer algorithm

$$\begin{aligned}T(n) &= \Theta(1) + 2T(n/2) + \Theta(n) + \Theta(1) \\&= 2T(n/2) + \Theta(n)\end{aligned}$$

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

# Strassen's Algorithm for Matrix Multiplication<sup>1</sup>

## ☞ Square matrix multiplication

- Let  $A = (a_{ij})$  and  $B = (b_{ij})$  be  $n \times n$  matrices, then the product  $C = A \cdot B = (c_{ij})$ , we have

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

$$T(n) = \Theta(n^3)$$

```

SQUARE-MATRIX-MULTIPLY( $A, B$ )
1    $n = A.\text{rows}$ 
2   let  $C$  be a new  $n \times n$  matrix
3   for  $i = 1$  to  $n$ 
4       for  $j = 1$  to  $n$ 
5            $c_{ij} = 0$ 
6           for  $k = 1$  to  $n$ 
7                $c_{ij} = c_{ij} + a_{ik} \cdot b_{kj}$ 
8   return  $C$ 

```

# Strassen's Algorithm for Matrix Multiplication<sup>2</sup>

- A simple divide-and-conquer algorithm

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \quad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}, \quad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \cdot \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$$

$$C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22}$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21}$$

$$C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22}$$

# Strassen's Algorithm for Matrix Multiplication<sup>3</sup>

SQUARE-MATRIX-MULTIPLY-RECURSIVE( $A, B$ )

```
1   $n = A.\text{rows}$ 
2  let  $C$  be a new  $n \times n$  matrix
3  if  $n == 1$ 
4       $c_{11} = a_{11} \cdot b_{11}$ 
5  else partition  $A$ ,  $B$ , and  $C$  as in equations (4.9)
6       $C_{11} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{11})$ 
           +  $\text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{12}, B_{21})$ 
7       $C_{12} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{12})$ 
           +  $\text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{12}, B_{22})$ 
8       $C_{21} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{11})$ 
           +  $\text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{22}, B_{21})$ 
9       $C_{22} = \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{12})$ 
           +  $\text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{22}, B_{22})$ 
10 return  $C$ 
```

# Strassen's Algorithm for Matrix Multiplication<sup>4</sup>

## ☞ Analysis

$$\begin{aligned}T(n) &= \Theta(1) + 8T(n/2) + \Theta(n^2) \\&= 8T(n/2) + \Theta(n^2)\end{aligned}$$

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 8T(n/2) + \Theta(n^2) & \text{if } n > 1. \end{cases}$$

$$T(n) = \Theta(n^3)$$

# *Strassen's Algorithm for Matrix Multiplication<sup>5</sup>*

## ☞ Strassen's method

- Instead of performing eight recursive multiplications of  $n/2 \times n/2$  matrices, it performs only seven.
- Replace one matrix multiplication by several new additions of  $n/2 \times n/2$  matrices.
- It consists of four steps:
  - Divide matrices A, B and C into  $n/2 \times n/2$  submatrices. This step takes  $\Theta(1)$  time by index calculation.

# Strassen's Algorithm for Matrix Multiplication<sup>6</sup>

- Create 10 matrices  $S_1, S_2, \dots, S_{10}$ , each of which is  $n/2 \times n/2$  and is sum or difference of two matrices created in step 1.

$$\begin{aligned}S_1 &= B_{12} - B_{22}, \\S_2 &= A_{11} + A_{12}, \\S_3 &= A_{21} + A_{22}, \\S_4 &= B_{21} - B_{11}, \\S_5 &= A_{11} + A_{22}, \\S_6 &= B_{11} + B_{22}, \\S_7 &= A_{12} - A_{22}, \\S_8 &= B_{21} + B_{22}, \\S_9 &= A_{11} - A_{21}, \\S_{10} &= B_{11} + B_{12}.\end{aligned}$$

# Strassen's Algorithm for Matrix Multiplication<sup>7</sup>

- Recursively compute seven matrix products  $P_1, P_2, \dots, P_7$ . Each matrix  $P_i$  is  $n/2 \times n/2$ .

$$P_1 = A_{11} \cdot S_1 = A_{11} \cdot B_{12} - A_{11} \cdot B_{22},$$

$$P_2 = S_2 \cdot B_{22} = A_{11} \cdot B_{22} + A_{12} \cdot B_{22},$$

$$P_3 = S_3 \cdot B_{11} = A_{21} \cdot B_{11} + A_{22} \cdot B_{11},$$

$$P_4 = A_{22} \cdot S_4 = A_{22} \cdot B_{21} - A_{22} \cdot B_{11},$$

$$P_5 = S_5 \cdot S_6 = A_{11} \cdot B_{11} + A_{11} \cdot B_{22} + A_{22} \cdot B_{11} + A_{22} \cdot B_{22},$$

$$P_6 = S_7 \cdot S_8 = A_{12} \cdot B_{21} + A_{12} \cdot B_{22} - A_{22} \cdot B_{21} - A_{22} \cdot B_{22},$$

$$P_7 = S_9 \cdot S_{10} = A_{11} \cdot B_{11} + A_{11} \cdot B_{12} - A_{21} \cdot B_{11} - A_{21} \cdot B_{12}.$$

# Strassen's Algorithm for Matrix Multiplication<sup>8</sup>

- Compute the desired submatrices  $C_{11}$ ,  $C_{12}$ ,  $C_{21}$ , and  $C_{22}$ .

$$C_{11} = P_5 + P_4 - P_2 + P_6$$

$$\begin{array}{c} A_{11} \cdot B_{11} + A_{11} \cdot B_{22} + A_{22} \cdot B_{11} + A_{22} \cdot B_{22} \\ \quad - A_{22} \cdot B_{11} \qquad \qquad + A_{22} \cdot B_{21} \\ - A_{11} \cdot B_{22} \qquad \qquad \qquad - A_{12} \cdot B_{22} \\ \hline - A_{22} \cdot B_{22} - A_{22} \cdot B_{21} + A_{12} \cdot B_{22} + A_{12} \cdot B_{21} \end{array}$$

$$A_{11} \cdot B_{11}$$

$$+ A_{12} \cdot B_{21} ,$$

$$C_{12} = P_1 + P_2$$

$$\begin{array}{c} A_{11} \cdot B_{12} - A_{11} \cdot B_{22} \\ \quad + A_{11} \cdot B_{22} + A_{12} \cdot B_{22} \end{array}$$

$$A_{11} \cdot B_{12}$$

$$+ A_{12} \cdot B_{22} ,$$

# Strassen's Algorithm for Matrix Multiplication<sup>9</sup>

$$\begin{aligned} C_{21} = & P_3 + P_4 \\ & A_{21} \cdot B_{11} + A_{22} \cdot B_{11} \\ & \quad - A_{22} \cdot B_{11} + A_{22} \cdot B_{21} \\ \hline & A_{21} \cdot B_{11} \qquad \qquad \qquad + A_{22} \cdot B_{21} , \end{aligned}$$

$$\begin{aligned} C_{22} = & P_5 + P_1 - P_3 - P_7 \\ & A_{11} \cdot B_{11} + A_{11} \cdot B_{22} + A_{22} \cdot B_{11} + A_{22} \cdot B_{22} \\ & \quad - A_{11} \cdot B_{22} \qquad \qquad \qquad + A_{11} \cdot B_{12} \\ & \quad \quad \quad - A_{22} \cdot B_{11} \qquad \qquad \qquad - A_{21} \cdot B_{11} \\ & - A_{11} \cdot B_{11} \qquad \qquad \qquad - A_{11} \cdot B_{12} + A_{21} \cdot B_{11} + A_{21} \cdot B_{12} \\ \hline & \qquad \qquad \qquad A_{22} \cdot B_{22} \qquad \qquad \qquad + A_{21} \cdot B_{12} , \end{aligned}$$

# Strassen's Algorithm for Matrix Multiplication<sup>10</sup>

## ☞ Analysis of Strassen's algorithm

- Step 3 requires to perform seven multiplications of  $n/2 \times n/2$  matrices.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 7T(n/2) + \Theta(n^2) & \text{if } n > 1. \end{cases}$$

$$T(n) = \Theta(n^{\lg 7})$$

# Substitution Method for Solving Recurrences

- ☞ For cases which is easy to guess the form of the answer.
- ☞ Two steps:
  - Guess the form of the solution.
  - Use mathematical induction to find the constants and show that the solution works. (i.e. Find the constant  $c > 0$ , s.t.  $T(n) \leq c n \lg n$ .

$$\begin{cases} T(n) = 2T(\lfloor n/2 \rfloor) + n \\ T(1) = 1 \end{cases}$$

Guess  $T(n) = O(n \lg n)$

Assume  $T(\lfloor n/2 \rfloor) \leq c \lfloor n/2 \rfloor \lg \lfloor n/2 \rfloor$

# *Substitution Method*

$$\begin{aligned} T(n) &\leq 2(c \lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)) + n \\ &\leq cn \lg(n/2) + n \\ &= cn \lg n - cn \lg 2 + n \\ &= cn \lg n - cn + n \\ &\leq cn \lg n , \end{aligned}$$

Initial  $T(1) \leq c \cdot 1 \lg 1 = 0$  (Contraction)

However  $4 = T(2) \leq c \cdot 2 \lg 2$ , if  $c \geq 2$ .

It is straightforward to extend boundary conditions to make the inductive assumption work for small  $n$ .

# Substitution Method

## ☞ Making a good guess

- There is no general way.
- If a recurrence is similar to one you have seen before, then guessing a similar solution is reasonable.

$$T(n) = 2T(\lfloor n/2 \rfloor + 17) + n$$

Guess  $T(n) = O(n \lg n)$

- To prove loose upper and lower bounds on the recurrence and then reduce the range of uncertainty.

$$T(n) = 2T(\lfloor n/2 \rfloor) + n \begin{cases} \text{lower bound} & T(n) = \Omega(n) \\ \text{upper bound} & T(n) = O(n^2) \end{cases}$$

# Substitution Method

## ☞ Subtleties

- After making a guess, but the math doesn't seem to work out in the induction.

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$$

Guess  $O(n)$ , and try to show  $T(n) \leq cn$ .

$$\begin{aligned} T(n) &\leq c\lfloor n/2 \rfloor + c\lceil n/2 \rceil + 1 \\ &= cn + 1 \quad \text{does not imply} \quad T(n) \leq cn \end{aligned}$$

- By subtracting a lower-order term often permits the math to go through.

New Guess  $T(n) \leq cn - d$ , where  $d \geq 0$  is a constant.

# Substitution Method

$$\begin{aligned}T(n) &\leq (c\lfloor n/2 \rfloor - d) + (c\lceil n/2 \rceil - d) + 1 \\&= cn - 2d + 1 \\&\leq cn - d\end{aligned}$$

- We can prove something stronger for a given value by assuming something stronger for smaller values.

## Avoiding pitfalls

- It is easy to err in the use of asymptotic notation.

$$\begin{aligned}T(n) &\leq 2(c\lfloor n/2 \rfloor) + n \\&\leq cn + n \\&= O(n) \quad \Leftarrow \text{wrong!!}\end{aligned}$$

# Substitution Method

- The error is that we haven't proved the exact form of the inductive hypothesis, that is,  $T(n) \leq cn$ .

## ☞ Changing variables

- A little algebraic manipulation can make an unknown recurrence similar to one you have seen before.

$$T(n) = 2T(\lfloor \sqrt{n} \rfloor) + \lg n$$

Renaming  $m = \lg n$  yields

$$T(2^m) = 2T(2^{m/2}) + m$$

Rename  $S(m) = T(2^m)$

$$S(m) = 2S(m/2) + m = O(m \lg m) = O(\lg n \lg \lg n)$$

# The Recursion-Tree Method<sup>1</sup>

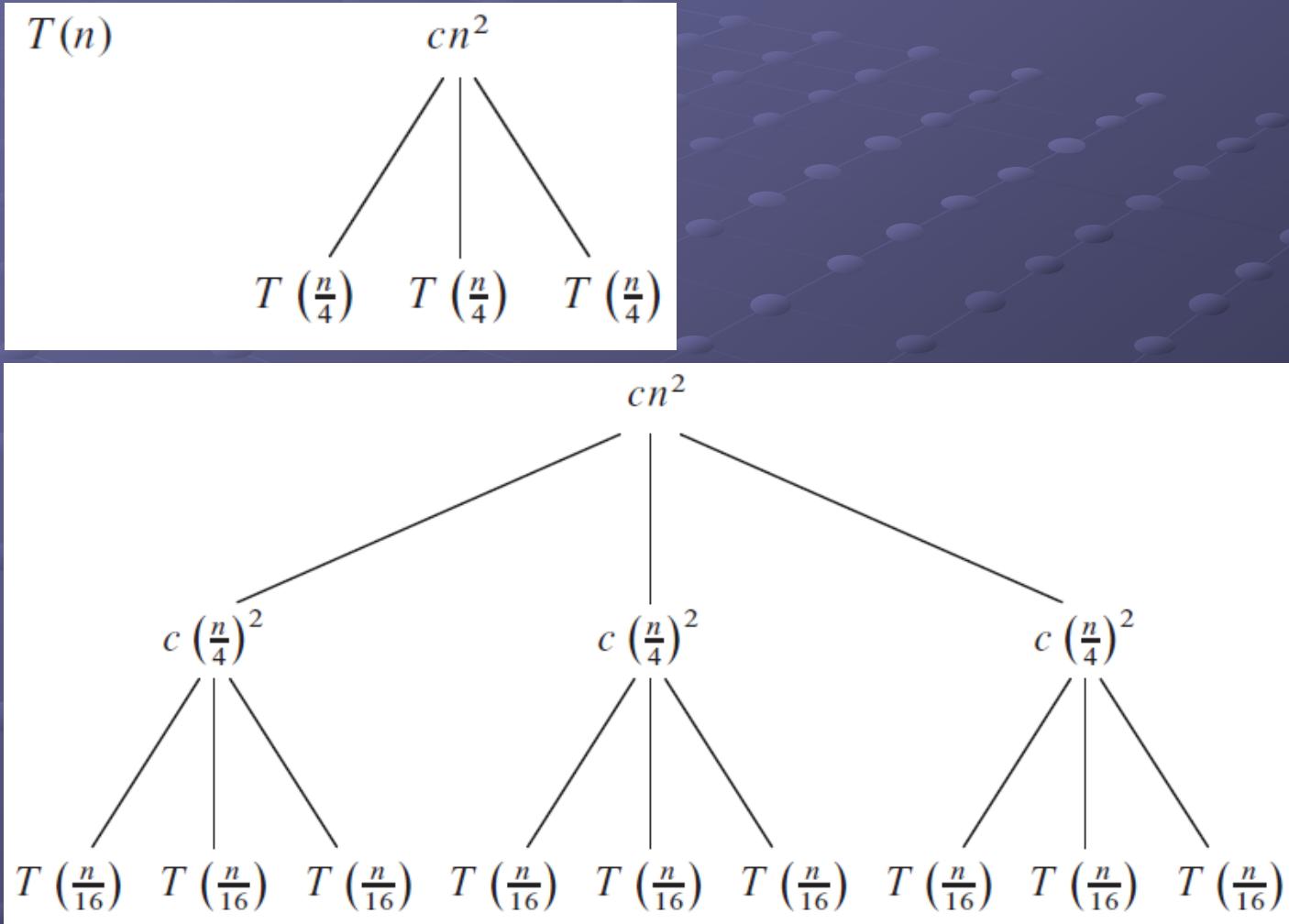
- ☞ It is sometimes difficult to come up with a good guess.
  - A recursion tree is best used to generate a good guess.
  - When using recursion tree, you can often tolerate a small amount of “sloppiness”.
- ☞ Example:

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

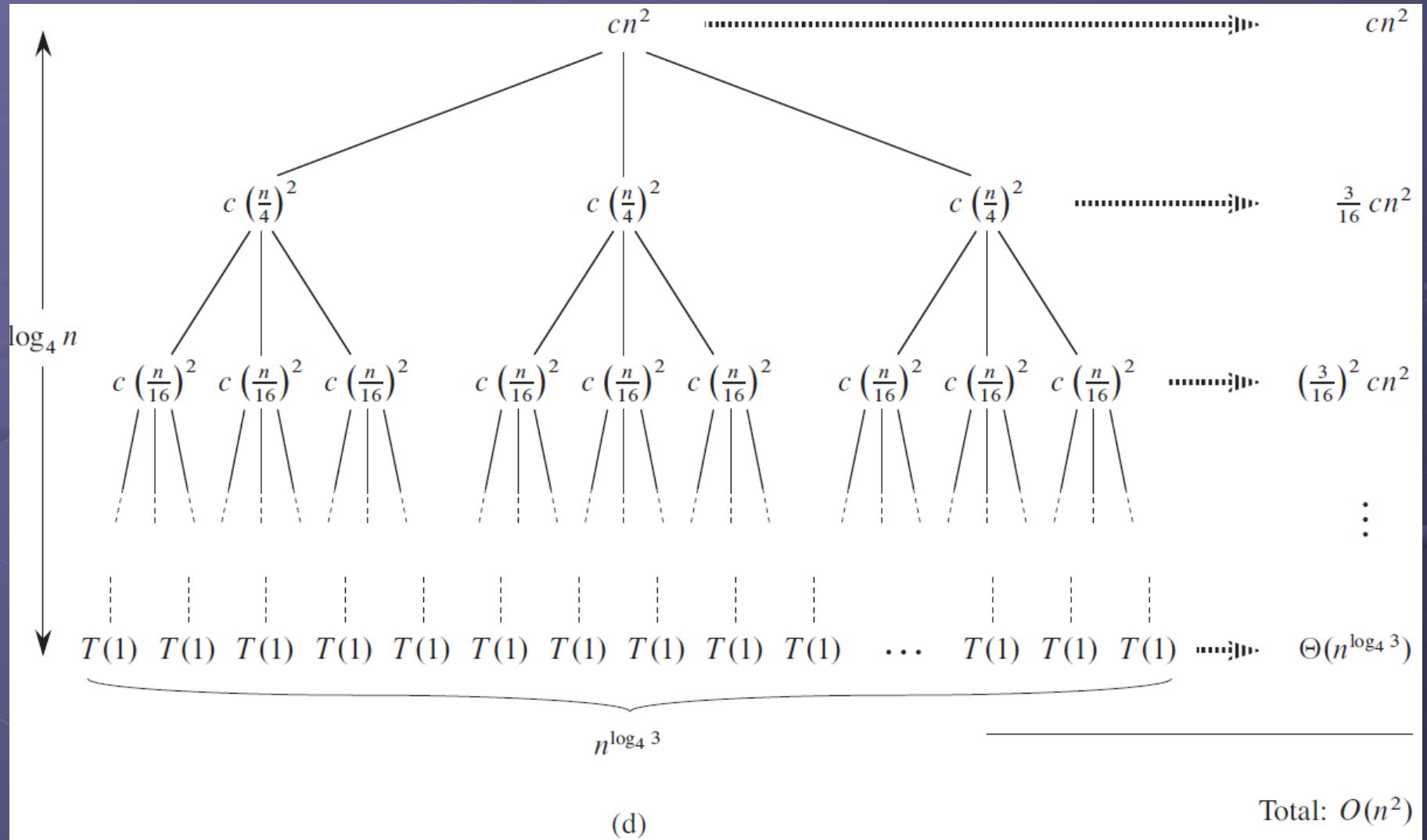
- Assuming  $n$  is an exact power of 4.

$$T(n) = 3T(n/4) + cn^2$$

# The Recursion-Tree Method<sup>2</sup>



# The Recursion-Tree Method<sup>3</sup>



# The Recursion-Tree Method<sup>4</sup>

- ☞ The subproblem size for a node at depth  $i$  is  $n/4^i$ .
  - The subproblem size hits  $n = 1$  when  $n/4^i = 1$  or  $i = \log_4 n$ .
  - The tree has  $\log_4 n + 1$  levels.
- ☞ Each level has three times more nodes than the level above.
  - The number of nodes at depth  $i$  is  $3^i$ .
  - Each node at depth  $i$ , for  $i = 0, 1, 2, \dots, \log_4 n - 1$ , has a cost of  $c(n/4^i)^2$ .
  - The total cost over all nodes at depth  $i$ , for  $i = 0, 1, 2, \dots, \log_4 n - 1$ , is  $3^i c(n/4^i)^2 = (3/16)^i cn^2$ .

# The Recursion-Tree Method<sup>5</sup>

- ☞ The bottom level, at depth  $\log_4 n$ , has  $3^{\log_4 n} = n^{\log_4 3}$  nodes, each contribute cost  $T(1)$ .
- For a total cost of  $n^{\log_4 3} T(1)$ , which is  $\Theta(n^{\log_4 3})$ .

$$\begin{aligned}
 T(n) &= \\
 & cn^2 + \frac{3}{16} cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \cdots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + \Theta(n^{\log_4 3}) \\
 &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\
 &= \frac{(3/16)^{\log_4 n - 1}}{(3/16)^{-1}} cn^2 + \Theta(n^{\log_4 3})
 \end{aligned}$$

# The Recursion-Tree Method<sup>6</sup>

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_4 n-1} \left(\frac{3}{16}\right)^i cn^2 + \Theta\left(n^{\log_4 3}\right) \\ &< \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta\left(n^{\log_4 3}\right) \\ &= \frac{1}{1-(3/16)} cn^2 + \Theta\left(n^{\log_4 3}\right) \\ &= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3}) \\ &= O(n^2) \end{aligned}$$

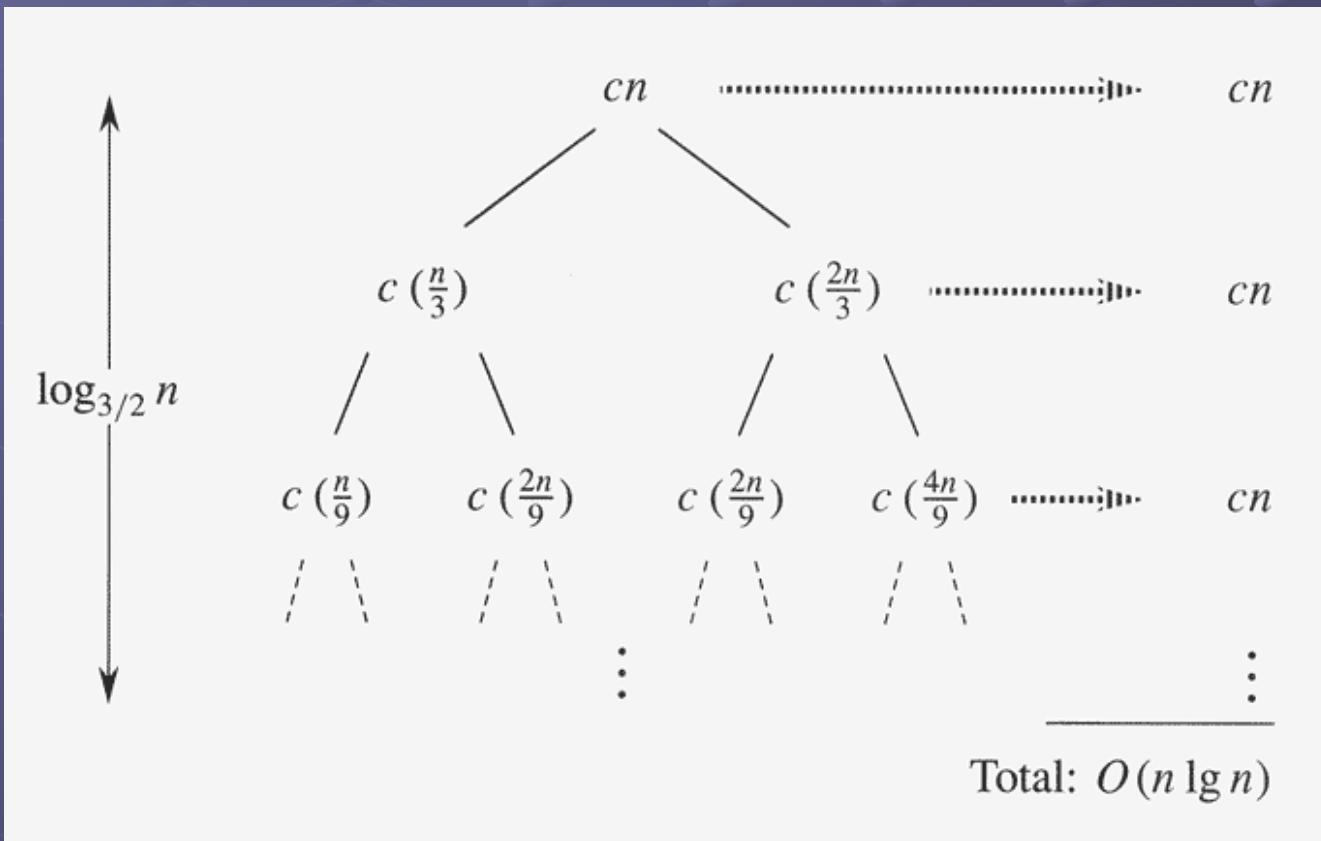
# The Recursion-Tree Method<sup>7</sup>

- ☞ Use substitution method to verify the guess.
- Thus, we have derived a guess of  $T(n) = O(n^2)$ .

$$\begin{aligned} T(n) &\leq 3T(\lfloor n/4 \rfloor) + cn^2 \\ &\leq 3d\lfloor n/4 \rfloor^2 + cn^2 \\ &\leq 3d(n/4)^2 + cn^2 \\ &= \frac{3}{16}dn^2 + cn^2 \\ &\leq dn^2, \quad \text{as long as } d \geq (16/13)c. \end{aligned}$$

# The Recursion-Tree Method<sup>8</sup>

☞ Example:  $T(n) = T(n/3) + T(2n/3) + O(n)$ .



# The Recursion-Tree Method<sup>9</sup>

☞ Guess  $O(n \lg n)$  as an upper bound.

$$\begin{aligned} T(n) &\leq T(n/3) + T(2n/3) + cn \\ &\leq d(n/3)\lg(n/3) + d(2n/3)\lg(2n/3) + cn \\ &= (d(n/3)\lg n - d(n/3)\lg 3) + (d(2n/3)\lg n \\ &\quad - d(2n/3)\lg(3/2)) + cn \\ &= dn\lg n - d((n/3)\lg 3 + (2n/3)\lg(3/2)) + cn \\ &= dn\lg n - d((n/3)\lg 3 + (2n/3)\lg 3 - (2n/3)\lg 2 + cn \\ &= dn\lg n - dn(\lg 3 - 2/3) + cn \\ &\leq dn\lg n, \quad \text{as long as } d \geq c/(\lg 3 - (2/3)) \end{aligned}$$

# The Master Method<sup>1</sup>

☞ A “cookbook” method for solving recurrences of the following form:

$$T(n) = aT(n/b) + f(n)$$

- $a \geq 1$  and  $b > 1$  are constants.
- $f(n)$  is an asymptotically function.

☞ For example: The Strassen’s algorithm

- $a = 7, b = 2$ , and  $f(n) = \Theta(n^2)$ .

☞ The master method depends on the *Master Theorem*

# The Master Method<sup>2</sup>

## **Theorem 4.1 (Master theorem)**

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# The Master Method<sup>3</sup>

- ☞ Intuitively, comparing the function  $f(n)$  with the function  $n^{\log_b a}$ , the solution to recurrence is determined by the larger of the two functions.
  - In case 1, the function  $n^{\log_b a}$  is the larger, then the solution is  $T(n) = \Theta(n^{\log_b a})$ .
    - *Polynomially smaller*:  $f(n)$  also must be asymptotically smaller than  $n^{\log_b a}$  by a factor  $n^\varepsilon$ , for  $\varepsilon > 0$ .
  - In case 3, the function  $f(n)$  is the larger, then the solution is  $T(n) = \Theta(f(n))$ .
    - *Polynomially larger*

# The Master Method<sup>4</sup>

- In case 2, the two functions are the same size, we multiply by a logarithmic factor, the solution is  $T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(f(n) \lg n)$ .
- ☞ The three cases do not cover all the possibilities for  $f(n)$ .
- Gap between cases 1 and 2
  - $f(n)$  is smaller than  $n^{\log_b a}$  but not polynomially smaller.
- Gap between cases 2 and 3
  - $f(n)$  is larger than  $n^{\log_b a}$  but not polynomially larger.

# *The Master Method<sup>5</sup>*

- ☞ The master method cannot be used to solve the recurrence, if
  - $f(n)$  falls into one of the above gaps.
  - The regularity condition in case 3 fails to hold.
    - Regularity condition:

$$af(n/b) \leq cf(n)$$

# The Master Method<sup>6</sup>

## ☞ Examples:

■  $T(n) = 9T(n/3) + n.$

$$a = 9, \quad b = 3, \quad f(n) = n \quad \Rightarrow \quad n^{\log_b a} = n^{\log_3 9} = \Theta(n^2)$$

$$f(n) = O(n^{\log_3 9 - \varepsilon}), \text{ where } \varepsilon = 1 \quad \Rightarrow \quad T(n) = \Theta(n^2)$$

■  $T(n) = T(2n/3) + 1.$

$$a = 1, b = 3/2, f(n) = 1 \quad \Rightarrow \quad n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$$

$$f(n) = \Theta(n^{\log_b a}) = \Theta(1) \quad \Rightarrow \quad T(n) = \Theta(\lg n).$$

# The Master Method<sup>7</sup>

■  $T(n) = 3T(n/4) + n \lg n.$

$$a = 3, b = 4, f(n) = n \lg n \Rightarrow n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$$

$$f(n) = \Omega(n^{\log_4 3 + \varepsilon}), \text{ where } \varepsilon \approx 0.2.$$

Check

$$af(n/b) = 3(n/4) \lg(n/4) \leq (3/4)n \lg n = cf(n)$$

for  $c = 3/4$ , and sufficiently large  $n$

$$\Rightarrow T(n) = \Theta(n \lg n)$$

# The Master Method<sup>8</sup>

- ☞ The master method does not apply to the recurrence  $T(n) = 2T(n/2) + n \lg n$ .

$$a = 2, b = 2, f(n) = n \lg n, \text{ and } n^{\log_b a} = n.$$

- It might seem that case 3 should apply, since  $f(n) = n \lg n$  is asymptotic larger than  $n^{\log_b a} = n$ .
- It is not polynomially larger.