

# Fundamentals of Image Processing

- ▶ Lecture 5: Image filtering ◀
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Master of Computer Science  
Sorbonne University  
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# Outline

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Linear filtering

Linear time-invariant system (LTI)

Spatial filtering

Filtering in the frequency domain

Non linear filtering

# Linear filtering

## Linear time-invariant system (LTI)

- Continuous case, 1D
- Operator  $T$  applying on a function:  $x \mapsto T[x] = y$
- $x$  and  $T[x]$  are functions:  $t \mapsto x(t)$ ,  $t \mapsto T[x](t)$
- LTI properties:
  1. Linearity:  $T \left[ \int_{-\infty}^{\infty} c(w)x(t)dw \right] = \int_{-\infty}^{\infty} c(w)T[x](t)dw = \int_{-\infty}^{\infty} c(w)y(t)dw$
  2. Time (translation) invariance:  
 $T[t' \mapsto x(t' - \tau)](t) = T[x(\cdot - \tau)](t) = y(t - \tau)$
- **Impulse response** of the LTI system  $T$ :  $h(t) = T[\delta](t)$
- Consequence of linearity and time invariance properties:

$$y(t) = T[x](t) = \int_{-\infty}^{\infty} x(\tau)h(t - \tau)d\tau = x \star h(t)$$

# Linear Filtering

## Linear time-invariant system (LTI)

- Let  $T$  be a LTI system,  $T[x]$  writes as a convolution between  $x$  and the impulse response of  $T$
- Proof:

$$\begin{aligned}x(t) &= \int_{-\infty}^{\infty} x(\tau) \delta(t - \tau) d\tau \\T[x](t) &= \int_{-\infty}^{\infty} x(\tau) T[t \mapsto \delta(t - \tau)](t) d\tau \quad (\text{linearity}) \\&= \int_{-\infty}^{\infty} x(\tau) T[t \mapsto \delta(t)](t - \tau) d\tau \quad (\text{time invariance}) \\&= \int_{-\infty}^{\infty} x(\tau) h(t - \tau) d\tau \\&= x \star h(t)\end{aligned}$$

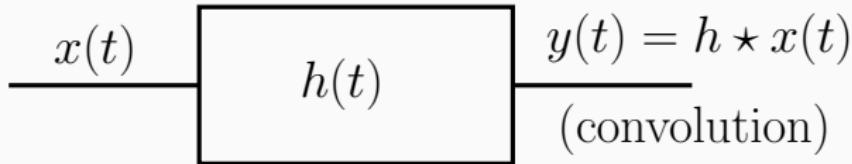
# Linear filtering

## Linear time-invariant system (LTI)

- Continuous case, in 1D:

$$y(t) = T[x](t) = \int_{-\infty}^{\infty} x(\tau)h(t - \tau)d\tau = x \star h(t) \quad (1)$$

- LTI system is fully characterized by its impulse response  $h$
- Output  $y$  for a given input signal  $x$ : a convolution between  $x$  and  $h$



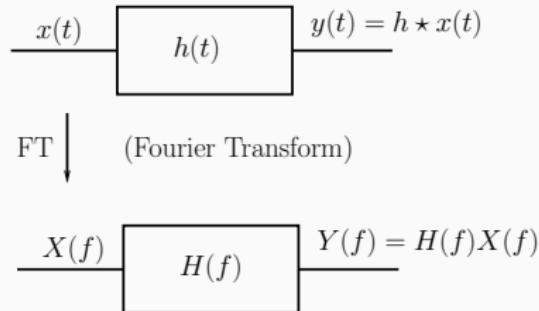
# Linear filtering

Linear time-invariant system (LTI), in the frequency domain

- Continuous case, in 1D:  $y(t) = T[x](t) = x \star h(t)$

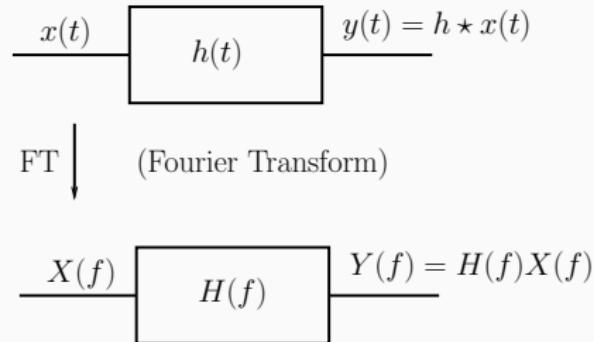
$$Y(f) = \text{FT}[y](f) = \text{FT}[x \star h](f) = X(f) \times H(f) \quad (2)$$

- $h(t)$ : impulse response of filter  $T$
- $H(f) = \text{FT}[h](f)$ : **transfer function** of filter  $T$



# Linear filtering

## Continuous LTI system: conclusion



filtering in temporal domain	filtering in frequency domain
$y(t) = x \star h(t)$	$y(t) = \text{FT}^{-1}[X(f) \times H(f)](t)$

- Dual operations
- Pro and cons of each representation space?

# Linear filtering

## Linear time-invariant system (LTI)

- Discrete case, in 1D
- Operator  $T: x(n) \rightarrow T[x](n) = y(n)$
- LTI properties:

1. Linearity:

$$T \left[ \sum_{k=-\infty}^{\infty} c_k x(k) \right] (n) = \sum_{k=-\infty}^{\infty} c_k T[x](k) = \sum_{k=-\infty}^{\infty} c_k y(k)$$

2. Time invariance:  $T[n \mapsto x(n - k)](n) = y(n - k)$

- $h(n) = T[\delta](n)$ : impulse response of  $T$  with  
 $\delta(n) = \begin{cases} 1 & \text{if } n = 0 \\ 0 & \text{otherwise} \end{cases}$  the discrete Dirac function

- Consequence:  $y(n) = \sum_{k=-\infty}^{\infty} x(k)h(n - k) = x * h(n)$

- Similar proof starting with:  $x(n) = \sum_{k=-\infty}^{\infty} x(k)\delta(n - k)$

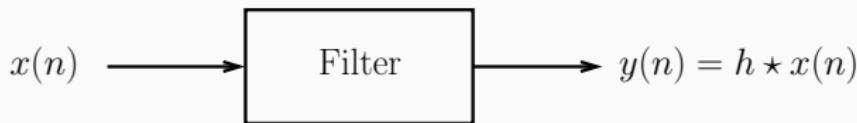
# Linear filtering

## Linear time-invariant system (LTI)

- Discrete case, in 1D

$$y(n) = T[x](n) = \sum_{k=-\infty}^{\infty} x(k)h(n-k) = x * h(n) \quad (3)$$

- Discrete LTI system: fully characterized by the impulse response  $h$
- Output  $y$  for a discrete input signal  $x$ : a discrete convolution between  $x$  and  $h$



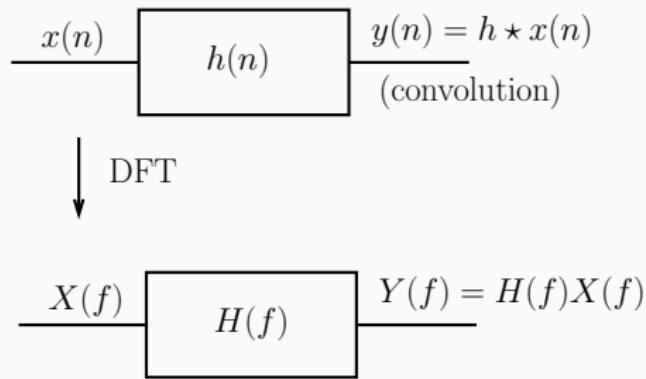
# Linear filtering

## Linear time-invariant system (LTI)

- Discrete case, in 1D:  $y(n) = x \star h(n)$
- $X$ : discrete Fourier transform of  $x$

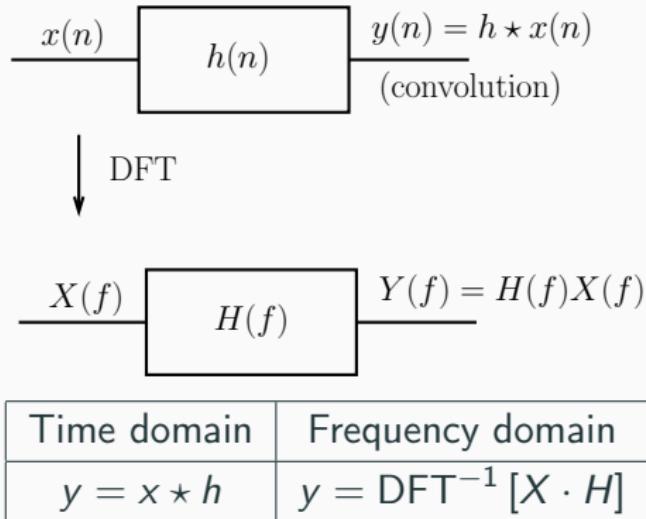
$$Y(f) = \text{DFT}[y](f) = \text{DFT}[x \star h](f) = X(f) \times H(f) \quad (4)$$

- $H = \text{DFT}[h]$ : transfer function of  $h$



# Linear filtering

## LTI system: conclusion



- Dual operations
- Pros and cons for each space representation? Algorithmic complexity?

# Linear filtering

## Linear translation-invariant system (LTI)

- Discrete case, in 2D
- Operator  $T$  on a 2D signal (image)  $(n, m) \mapsto x(n, m)$   
 $T : x \mapsto T[x] = y$
- LTI properties:

1. Linearity:

$$T \left[ \sum_{k=-\infty}^{\infty} c_k x \right] (n, m) = \sum_{k=-\infty}^{\infty} c_k T[x](n, m) = \sum_{k=-\infty}^{\infty} c_k y(n, m)$$

2. Translation invariance:

$$T[(n, m) \mapsto x(n - k, m - l)](n, m) = y(n - k, m - l)$$

- $h(n, m) = T[\delta](n, m)$ : impulse response
- Consequence:

$$T[x](n, m) = \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} x(k, l) h(n - k, m - l) = x \star h(n, m)$$

# Linear filtering

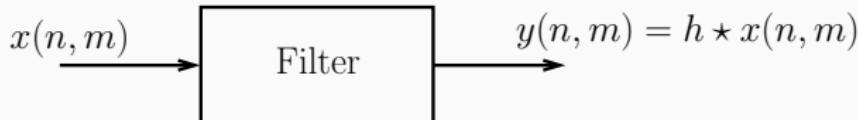
## Linear translation-invariant system (LTI)

- Discrete case, in 2D:  $y(n, m) = T[x](n, m)$

$$y(n, m) = \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} x(k, l) h(n - k, m - l) \quad (5)$$

$$y = x \star h$$

- LTI system: fully characterized by the impulse response  $h(n, m)$
- Output  $y(n, m)$  for any input  $x(n, m)$ : convolution between  $x(n, m)$  and  $h(n, m)$



# Linear filtering

## Linear translation-invariant system (LTI)

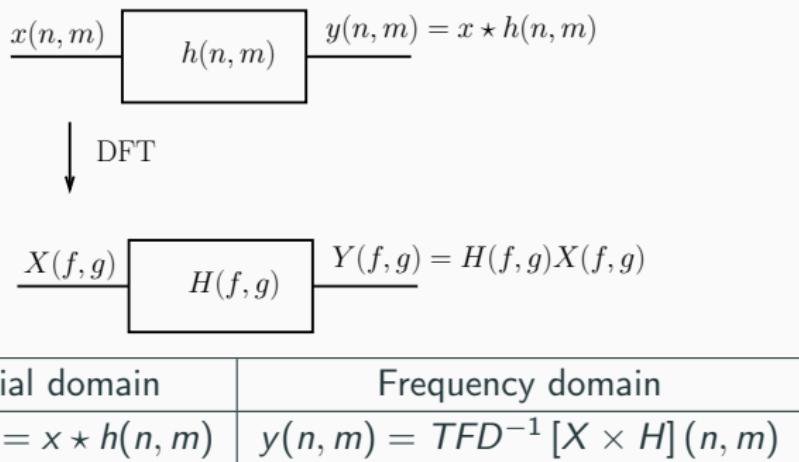
- Discrete case, in 2D:  $y(n, m) = x \star h(n, m)$
- $X(f, g)$ : discrete Fourier transform of  $x(n, m)$

$$\begin{aligned} Y(f, g) &= \text{DFT}[y](f, g) = \text{DFT}[x \star h](f, g) \\ &= X(f, g) \times H(f, g) \end{aligned} \tag{6}$$

- $H(f, g) = \text{DFT}[h](f, g)$ : transfer function of  $h$

# Linear filtering

## LTI system: conclusion



- Dual operations
- Pro and cons of each representation space? Algorithmic complexity?

# Outline

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Linear filtering

Linear time-invariant system (LTI)

Spatial filtering

Filtering in the frequency domain

Non linear filtering

# Spatial filtering

## Why filter an image?

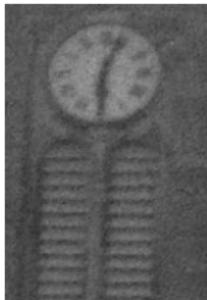
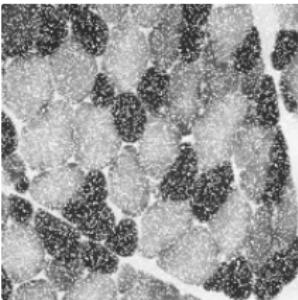
- To reduce/remove the noise (as seen in this lecture), or irrelevant details
- To detect edges (discussed in the next lecture)
- Case of (discrete) linear space-invariant filter: a convolution between the image to process,  $f$ , and a filter  $h$ , also called convolution mask, or convolution kernel
- Linear combination of **neighboring pixel** values of image  $f$ , giving a filtered image  $f'$
- $\boxed{\cdot \star h}$  is an operator applying on each pixel of  $f$

## Definition (noise)

- Undesirable and random process (according to a known or unknown probability distribution) occurring during the acquisition and due to various origins (lighting conditions, sensor...)
- Linear filtering can deal with **additive** noise. The noisy image  $I_n$  writes  $I_n(i, j) = I(i, j) + n(i, j)$
- Typical examples: Gaussian and impulse noises
  - Gaussian:  $I_n(x, y) = I(x, y) + \mathcal{N}(0, \sigma)$
  - Impulse noise (salt-and-pepper noise) of order  $n$ : select randomly  $n$  pixels and set their values to black or white
    - noise characterized by the percentage of noisy pixels
- Other common noises: blur (convolution noise), grain (multiplicative noise)

# Noise reduction

## Examples of noisy images



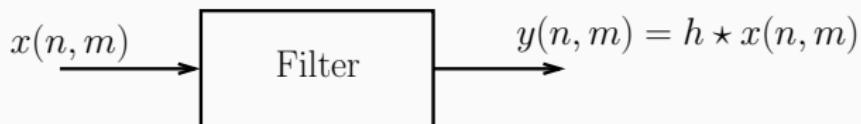
- Fundamental hypothesis for noise reduction:
  - Useful signal and noise have different frequency components
  - Useful signal  $\leftrightarrow$  low frequencies
  - Noise  $\leftrightarrow$  high frequencies

# Spatial filtering

## Linear spatial filtering: convolution

- Linear spatial filtering: convolution with the impulse response
- In the spatial domain:

$$T[x](n, m) = \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} x(k, l)h(n - k, m - l) = x \star h(n, m)$$



- How does it work for 2D discrete signals?

## 2D discrete convolution product

- As in continuous case (see lecture 3, slide 25), discrete convolution is commutative, distributive and associative, and this also holds in 2D
- Commutativity: discrete 2D convolution between an image  $f(i, j)$  and a filter  $h(i, j)$  also writes:

$$f'(i, j) = (f \star h)(i, j) = \sum_{n=-\infty}^{+\infty} \sum_{m=-\infty}^{+\infty} f(i - n, j - m)h(n, m)$$

- Two cases for  $h$ :
  1. **Finite** impulse response filters (FIR)
  2. **Infinite** impulse response filters (IIR)

# Convolution filtering

## FIR filter vs IIR filter

1. IIR filter:  $h$  has an unbounded support

2. FIR filter:  $h$  has a bounded support,

$$\exists \eta \mid \forall (n, m), \max(|n|, |m|) > \eta, h(n, m) = 0$$

- In practice  $h$  is chosen centered at  $(0,0)$  with odd size  $d$ , e.g.:

$$h(n, m) = 0 \quad \text{for } |n| > \frac{d-1}{2} \text{ and } |m| > \frac{d-1}{2}$$

For such a kernel  $h$ , the convolution writes:

$$f'(i, j) = (f \star h)(i, j) = \sum_{n=-\frac{d-1}{2}}^{\frac{d-1}{2}} \sum_{m=-\frac{d-1}{2}}^{\frac{d-1}{2}} f(i - n, j - m) h(n, m)$$

# Convolution filtering

## FIR filter

- Example with a  $3 \times 3$  filter ( $d = 3$ )

$$f'(i, j) = (f \star h)(i, j) = \sum_{n=-1}^1 \sum_{m=-1}^1 f(i - n, j - m)h(n, m)$$

$$\begin{aligned} f'(i, j) &= h(1, 1)f(i - 1, j - 1) + h(1, 0)f(i - 1, j) + h(1, -1)f(i - 1, j + 1) \\ &+ h(0, 1)f(i, j - 1) + h(0, 0)f(i, j) + h(0, -1)f(i, j + 1) \\ &+ h(-1, 1)f(i + 1, j - 1) + h(-1, 0)f(i + 1, j) + h(-1, -1)f(i + 1, j + 1) \end{aligned}$$

- Let  $g(n, m) = h(-n, -m)$ , the convolution writes as a weighted sum with  $g$ :

$$\begin{aligned} f'(i, j) &= g(-1, -1)f(i - 1, j - 1) + g(-1, 0)f(i - 1, j) + g(-1, 1)f(i - 1, j + 1) \\ &+ g(0, -1)f(i, j - 1) + g(0, 0)f(i, j) + g(0, 1)f(i, j + 1) \\ &+ g(1, -1)f(i + 1, j - 1) + g(1, 0)f(i + 1, j) + g(1, 1)f(i + 1, j + 1) \end{aligned}$$

## Convolution filtering

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### Algorithmic view of convolution with a FIR filter

Let  $h$  be a 2D FIR filter.

At pixel  $p = (i, j)$ :

1. Signal reversal (apply a central symmetry with origin as center):  $h(n, m) \Rightarrow h(-n, -m) = g(n, m)$
2. Centering  $h$  on pixel  $p$  to filter
3. Compute a weighted sum between pixels values  $f$  and filter values  $g$

# Convolution filtering

## Example with a $3 \times 3$ kernel

$$h = \begin{pmatrix} w_9 & w_8 & w_7 \\ w_6 & w_5 & w_4 \\ w_3 & w_2 & w_1 \end{pmatrix} \longrightarrow g = \begin{bmatrix} w_1 & w_2 & w_3 \\ w_4 & w_5 & w_6 \\ w_7 & w_8 & w_9 \end{bmatrix}$$

- Filtered value at pixel  $(i,j)$  is given by:

$$\begin{aligned} f'(i,j) &= w_1 f(i-1, j-1) + w_2 f(i-1, j) + w_3 f(i-1, j+1) \\ &+ w_4 f(i, j-1) + w_5 f(i, j) + w_6 f(i, j+1) \\ &+ w_7 f(i+1, j-1) + w_8 f(i+1, j) + w_9 f(i+1, j+1) \end{aligned}$$

- Filters designed to remove noise should be normalized,  
 $\sum_i w_i = 1$ , in order to preserve the image average

# Sliding window principle

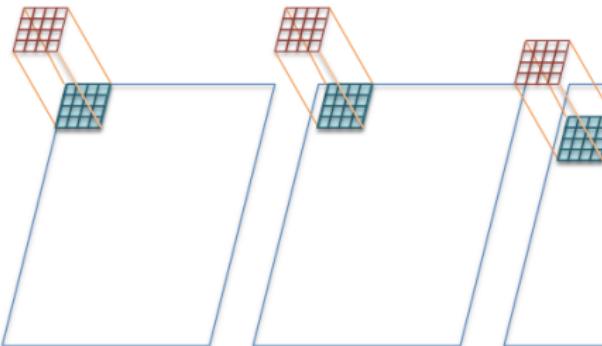


Image traitée

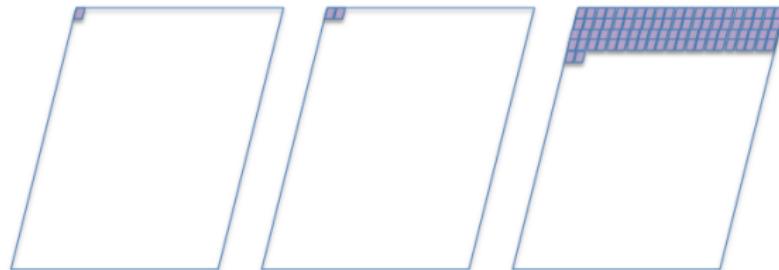
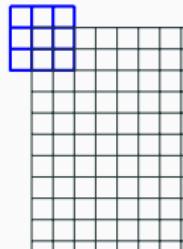


Image filtrée

# Convolution filtering

## Border conditions

- How to apply convolution when the mask is not inside the image domain?
- **Linear convolution:** image is padded with null values: zero-padding (image and filter have bounded support)
- **Circular convolution:** image is repeated in two directions (bi-periodic function with unbounded support)
- Padding with repeated border values
- Padding with symmetry
- Do not process borders (and reduce the image domain)



# Convolution filtering: filter examples

## Smoothing linear filters

- Assumption: the value of a pixel is similar to the value of its neighbors
- If the image is noisy, and under the previous assumption, a **local averaging** attenuates the noise: it is called **smoothing**
- Averaging filter, in a neighborhood of size  $d \times d$ , is defined by:

$$f'(i, j) = \frac{1}{d^2} \sum_{n=-\frac{d-1}{2}}^{\frac{d-1}{2}} \sum_{m=-\frac{d-1}{2}}^{\frac{d-1}{2}} f(i + n, j + m) \quad (7)$$

- Consider an averaging filter of the same size than  $f$ :  $f'(0, 0)$  is the integral of  $f$  (with zero-padding)  
→ Smoothing is analogous to a local integration of the image

# Smoothing with averaging filter

## Example

- Filter of size  $d = 3$  :

$$h = \frac{1}{9} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

Because filter  $h$  is symmetric, Equation (7) (previous slide) writes:

$$f'(i, j) = f \star h(i, j)$$

- In the general case, for a size  $d$ , coefficients of filter  $h$  write:  
 $w_i = \frac{1}{d^2}$  (and then  $\sum_i w_i = 1$ )
- Effects of smoothing increase with the size  $d$  of the filter:  
smallest details (scales) disappear.

## Smoothing with averaging filter: example



**Figure 1:** Original image

## Smoothing with averaging filter: example



**Figure 2:**  $d = 3$

## Smoothing with averaging filter: example



**Figure 3:**  $d = 5$

## Smoothing with averaging filter: example



**Figure 4:**  $d = 7$

## Smoothing with averaging filter: example



**Figure 5:**  $d = 9$

## Smoothing with averaging filter: example



**Figure 6:**  $d = 11$

# Smoothing with averaging filter

## Spatial filtering vs Frequency filtering

temporal/spatial	frequency
$y(n, m) = x \star h(n, m)$	$y(n, m) = TFD^{-1} [X(f, g) \times H(f, g)]$

- What is the transfer function of the averaging filter? What type of frequency filtering?
- Lowpass filter, ideal filter? (see Section 2, Filtering in the frequency domain)
- See practical work

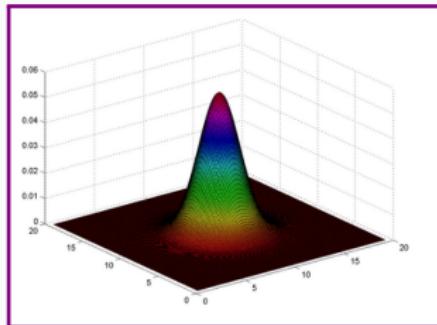
# Convolution filtering: example of filters

## Gaussian smoothing

- Definition: Gaussian kernel, centered, with standard deviation  $\sigma$ :

$$g_\sigma(i,j) = \frac{1}{2\pi\sigma^2} e^{-\frac{i^2+j^2}{2\sigma^2}}$$

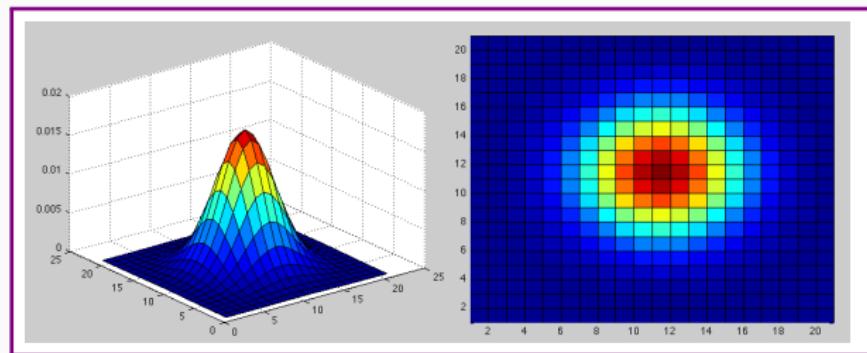
- Smoothing with a **weighted** averaging: the weight of a neighbor depends on the distance to the processed pixel



# Gaussian smoothing

## From continuous to discrete case

- The discrete Gaussian kernel is defined by a sampling of the continuous Gaussian function



## Determination of filter coefficients

- Size (width and height) of filter: driven by the standard deviation  $\sigma$ :
  - We admit that  $g_\sigma$  is almost null beyond a distance of  $[3\sigma]$  from the center
  - To simplify, we chose a squared domain: the width kernel is  $2[3\sigma] + 1$  and the kernel has  $(2[3\sigma] + 1)^2$  coefficients.
- $\sigma \rightarrow 0$ :  $g_\sigma$  tends towards Dirac impulse function that is the neutral element of convolution: few or no effects
- $\sigma \rightarrow \infty$ :  $g_\sigma$  tends towards an average filter of infinite size, effects of smoothing are more and more strong, the image becomes blurred
- For higher values of  $\sigma$ , more image details (scales) are lost

→ Compromise between amount of noise removed and image quality

## Gaussian smoothing

Numeric example with  $\sigma = 0.625$

- Filter width (and height):  $2\lceil 3\sigma \rceil + 1 = 5$
- We obtain the following kernel:

$$h = 0.4 \times 10^{-2} \times \begin{pmatrix} 0.03 & 0.16 & 5.98 & 0.16 & 0.03 \\ 0.16 & 7.7 & 27.8 & 7.7 & 0.16 \\ 5.98 & 27.8 & 100 & 27.8 & 5.98 \\ 0.16 & 7.7 & 27.8 & 7.7 & 0.16 \\ 0.03 & 0.16 & 5.98 & 0.16 & 0.03 \end{pmatrix}$$

## Gaussian smoothing: from smallest to largest scales



original image

## Gaussian smoothing: from smallest to largest scales



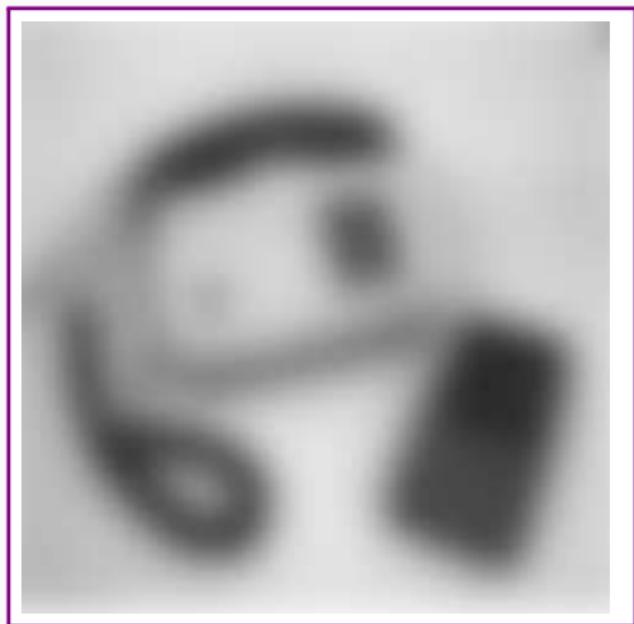
$$\sigma = 2$$

## Gaussian smoothing: from smallest to largest scales



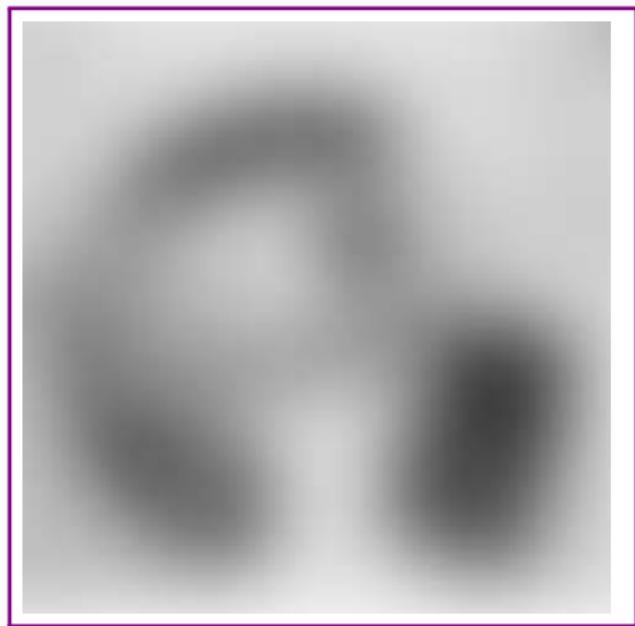
$$\sigma = 4$$

## Gaussian smoothing: from smallest to largest scales



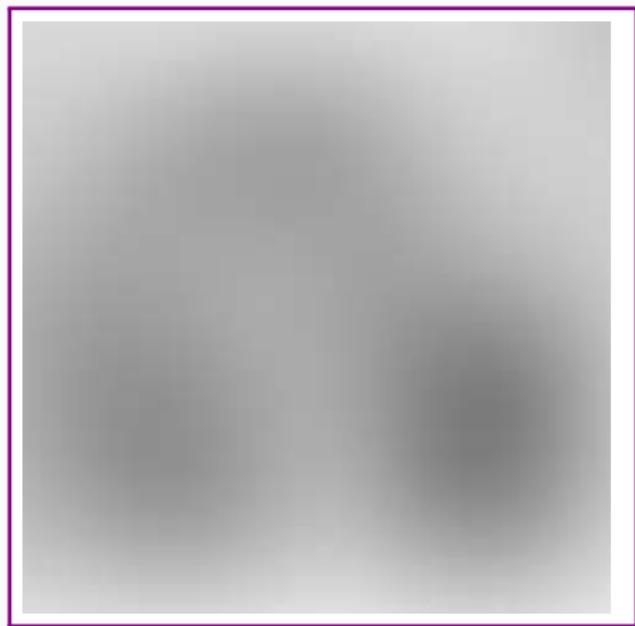
$$\sigma = 8$$

## Gaussian smoothing: from smallest to largest scales



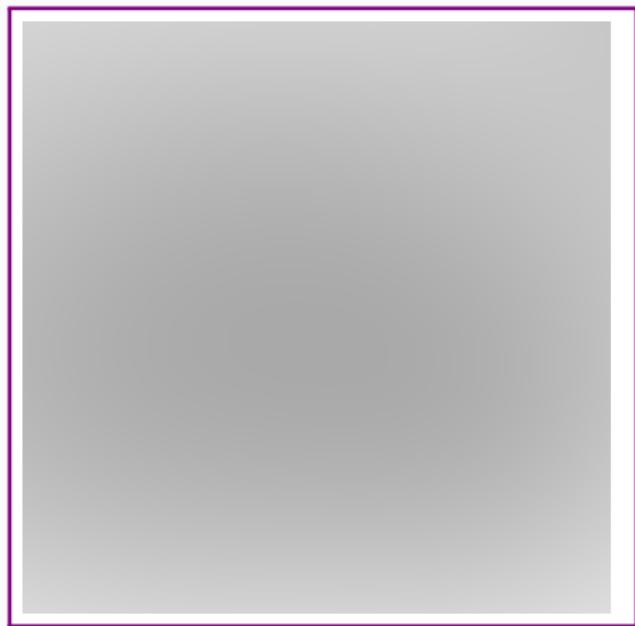
$$\sigma = 16$$

## Gaussian smoothing: from smallest to largest scales



$$\sigma = 32$$

## Gaussian smoothing: from smallest to largest scales



$$\sigma = 64$$

# Gaussian smoothing

## Spatial filtering vs Frequency filtering

Temporal/spatial	Frequency
$y(n, m) = x \star h(n, m)$	$y(n, m) = TFD^{-1} [X(f, g) \times H(f, g)]$

- What is the transfer function of a Gaussian kernel?
- Recall: the Fourier transform of a Gaussian function is a Gaussian function

$$\text{FT} \left[ e^{-b^2 t^2} \right] (f) = \frac{\sqrt{\pi}}{|b|} e^{-\frac{\pi^2 f^2}{b^2}}$$

$$\text{FT} \left[ e^{-b^2(t^2+u^2)} \right] (f, g) = \frac{\pi}{b^2} e^{-\frac{\pi^2(f^2+g^2)}{b^2}}$$

- Lowpass filter, non ideal (see Section 2, Filtering in the frequency domain)

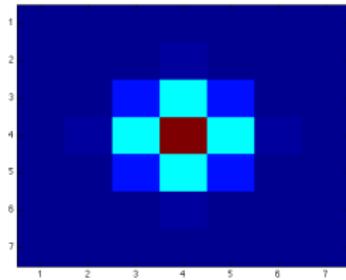
# Gaussian smoothing

## Spatial filtering vs frequency filtering

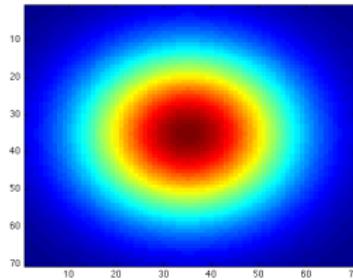
$$\text{FT} \left[ e^{-b^2(t^2+u^2)} \right] (f, g) = \frac{\pi}{b^2} e^{-\frac{\pi^2(f^2+g^2)}{b^2}}$$

- Spatially:  $\sigma_s = \frac{1}{b}$ , frequency:  $\sigma_f = \frac{b}{\pi} \Rightarrow \sigma_f = \frac{1}{\sigma_s \pi}$

$h(n, m)$



$H(f, g)$



$\sigma_s = 0.7$ , size  $7 \times 7$

$\sigma_f = 0.45$

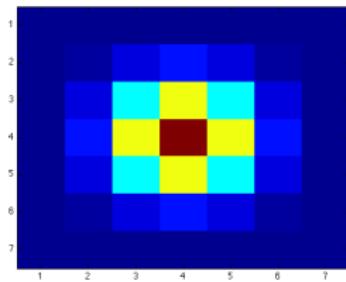
# Gaussian smoothing

## Spatial filtering vs frequency filtering

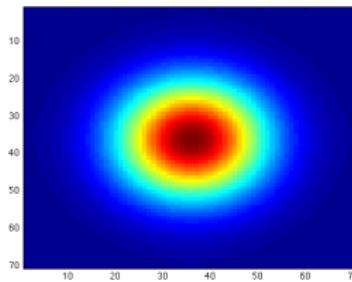
$$\text{FT} \left[ e^{-b^2(t^2+u^2)} \right] (f, g) = \frac{\pi}{b^2} e^{-\frac{\pi^2(f^2+g^2)}{b^2}}$$

- Spatially:  $\sigma_s = \frac{1}{b}$ , frequency:  $\sigma_f = \frac{b}{\pi} \Rightarrow \sigma_f = \frac{1}{\sigma_s \pi}$

$h(n, m)$



$H(f, g)$



$\sigma_s = 1.0$ , size  $7 \times 7$

$\sigma_f = 0.32$

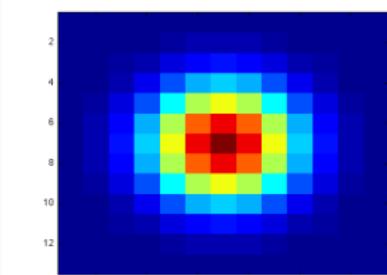
# Gaussian smoothing

## Spatial filtering vs frequency filtering

$$\text{FT} \left[ e^{-b^2(t^2+u^2)} \right] (f, g) = \frac{\pi}{b^2} e^{-\frac{\pi^2(f^2+g^2)}{b^2}}$$

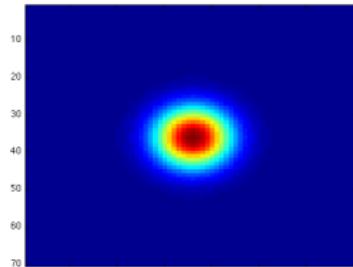
- Spatially:  $\sigma_s = \frac{1}{b}$ , frequency:  $\sigma_f = \frac{b}{\pi} \Rightarrow \sigma_f = \frac{1}{\sigma_s \pi}$

$h(n, m)$



$\sigma_s = 2.0$ , size  $13 \times 13$

$H(f, g)$



$\sigma_f = 0.16$

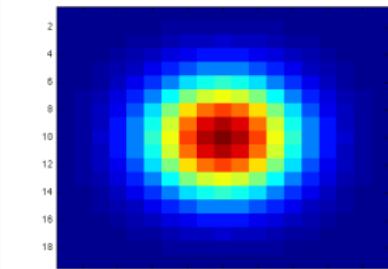
# Gaussian smoothing

## Spatial filtering vs frequency filtering

$$\text{FT} \left[ e^{-b^2(t^2+u^2)} \right] (f, g) = \frac{\pi}{b^2} e^{-\frac{\pi^2(f^2+g^2)}{b^2}}$$

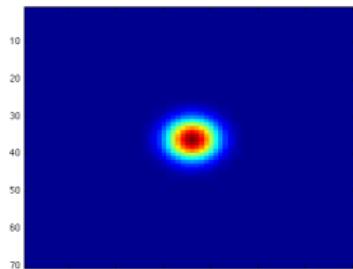
- Spatially:  $\sigma_s = \frac{1}{b}$ , frequency:  $\sigma_f = \frac{b}{\pi} \Rightarrow \sigma_f = \frac{1}{\sigma_s \pi}$

$h(n, m)$



$\sigma_s = 3.0$ , size  $19 \times 19$

$H(f, g)$



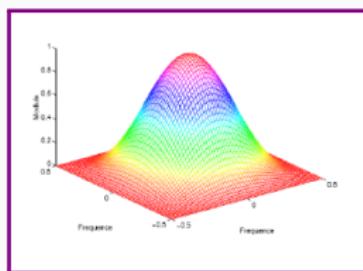
$\sigma_f = 0.10$

# Convolution filtering: other filters

## Binomial filter

- Coefficients derived from Newton binomial

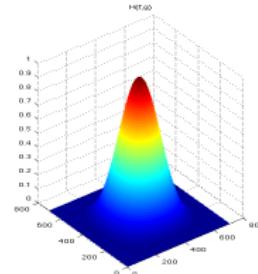
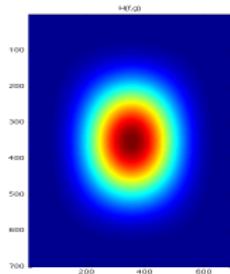
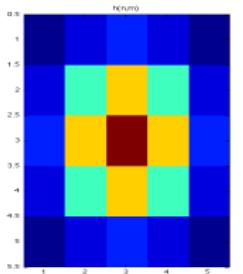
$$h = \frac{1}{256} \begin{pmatrix} 1 & 4 & 6 & 4 & 1 \\ 4 & 16 & 24 & 16 & 4 \\ 6 & 24 & 36 & 24 & 6 \\ 4 & 16 & 24 & 16 & 4 \\ 1 & 4 & 6 & 4 & 1 \end{pmatrix} = \frac{1}{256} \begin{pmatrix} 1 \\ 4 \\ 6 \\ 4 \\ 1 \end{pmatrix} (1 \ 4 \ 6 \ 4 \ 1)$$



# Convolution filtering: other filters

## Binomial filter

$$h = \frac{1}{256} \begin{pmatrix} 1 & 4 & 6 & 4 & 1 \\ 4 & 16 & 24 & 16 & 4 \\ 6 & 24 & 36 & 24 & 6 \\ 4 & 16 & 24 & 16 & 4 \\ 1 & 4 & 6 & 4 & 1 \end{pmatrix}$$

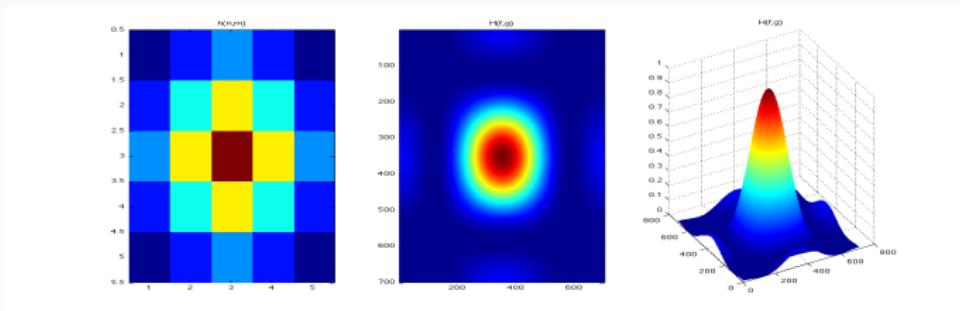


- Lowpass filter, non ideal (see Section 2, Filtering in the frequency domain)

# Convolution filtering: other filters

## Pyramidal filter

$$h_p = \frac{1}{81} \begin{pmatrix} 1 & 2 & 3 & 2 & 1 \\ 2 & 4 & 6 & 4 & 2 \\ 3 & 6 & 9 & 6 & 3 \\ 2 & 4 & 6 & 4 & 2 \\ 1 & 2 & 3 & 2 & 1 \end{pmatrix}$$

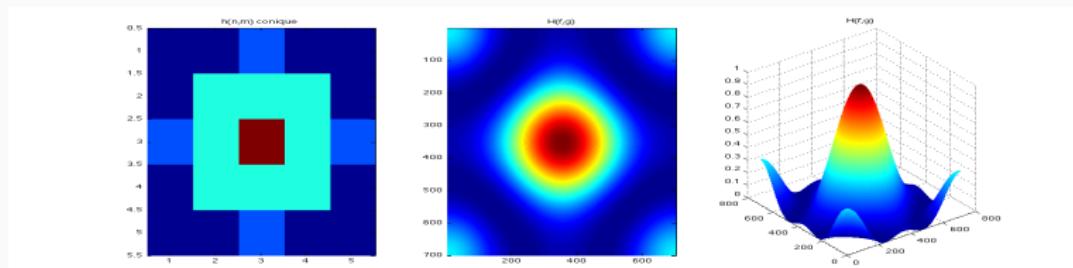


- Lowpass filter, non ideal (see Section 2)

# Convolution filtering: other filters

## Conic filter

$$h_c = \frac{1}{25} \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 2 & 2 & 2 & 0 \\ 1 & 2 & 5 & 2 & 1 \\ 0 & 2 & 2 & 2 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

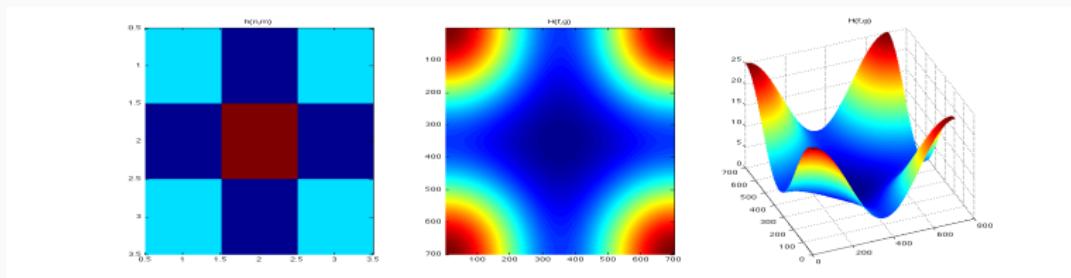


- Lowpass filter, non ideal (see Section 2)

# Convolution filtering: other filters

Sharpening filter (to increase image details)

$$h_{r1} = \begin{pmatrix} 1 & -3 & 1 \\ -3 & 9 & -3 \\ 1 & -3 & 1 \end{pmatrix}$$

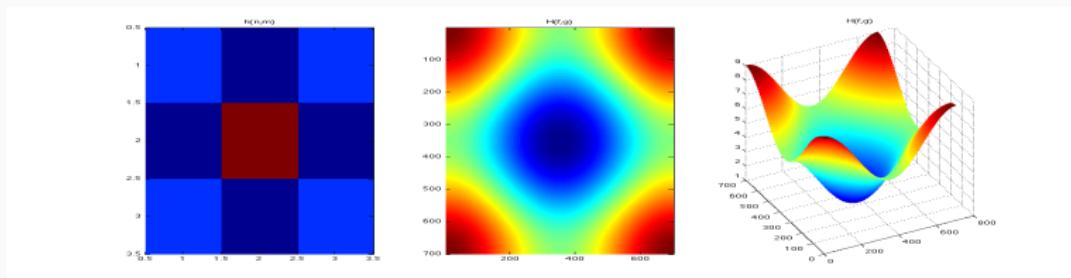


- High pass filter, non ideal (see Section 2)

# Convolution filtering: other filters

## Sharpening filter: second version

$$h_{r2} = \begin{pmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{pmatrix}$$

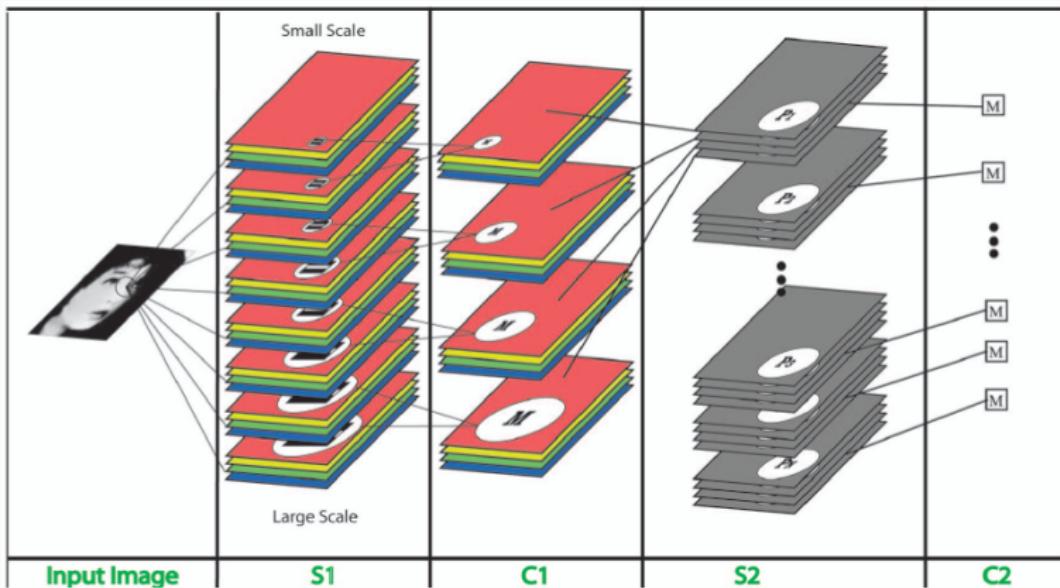


- Highpass filter, non ideal (see Section 2)

# Gabor filters

## Gabor filters for image classification [Serre2007]

- Goal: model the process inside the human visual cortex (V1)
  - Layer  $S1$ : convolution using a bank of Gabor filters



## Definition

$$h(x, y) = \exp\left(-\frac{x_0^2 + \gamma y_0^2}{2\sigma^2}\right) \cos\left(\frac{2\pi}{\lambda}x_0\right) \quad (8)$$

$$x_0 = x \cos(\theta) + y \sin(\theta) \text{ and } y_0 = -x \sin(\theta) + y \cos(\theta)$$

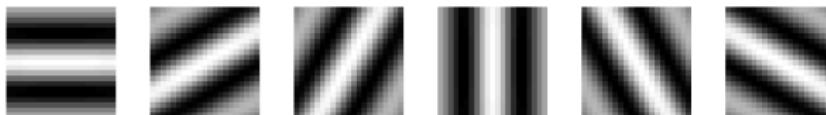
- Parameters are set according to biological considerations
- 1 aspect ratio  $\gamma = 0.3$ , 4 orientations  $\theta = 0, \frac{\pi}{4}, \frac{\pi}{2}, \frac{3\pi}{4}$ , 16 values of scale ( $\sigma$ ), wavelength ( $\lambda$ ) and filter size ( $s \times s$ )
- Bandpass filters that localize **both** in space and in frequency domains
- Give response for various scales and orientations

# Gabor filter

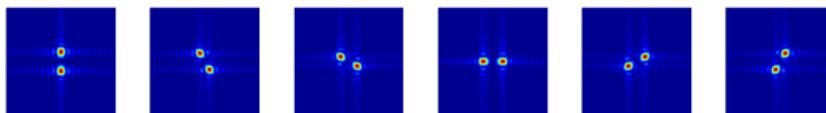
## Variation of orientation

Let's vary  $\theta$ :

Impulse response  $h(n, n)$



Transfer function  $H(f, g)$



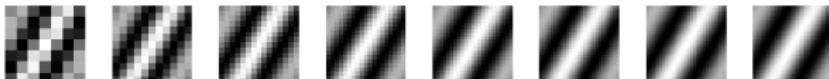
- Capture spatial frequencies at various orientations

# Gabor filter

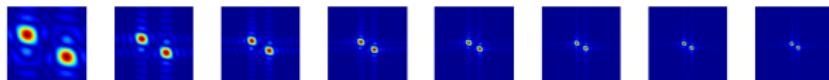
## Variation of scale

Let's vary  $s$ :

Impulse response  $h(n, n)$



Transfer function  $H(f, g)$



- Capture spatial frequencies at various scales

# Separable filter

## Definition

- If  $h(i,j) = h_x(i) \times h_y(j)$  (filter of size  $N \times M$ ) the 2-D convolution may be expressed as two 1-D convolutions:

$$\begin{aligned}(f * h)(i,j) &= \sum_{n=-N/2}^{N/2} \sum_{m=-M/2}^{M/2} f(i-n, j-m) h(n, m) \\ &= \sum_{m=-M/2}^{M/2} \left( \sum_{n=-N/2}^{N/2} f(i-n, j-m) h_x(n) \right) h_y(m) \\ &= (f * h_x * h_y)(i,j)\end{aligned}$$

## Separable filter

- Averaging, Gaussian, binomial... filters are separable
- Averaging:  $3 \times 3$ :  $h_x = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \end{pmatrix}$ ,  $h_y = \frac{1}{3} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$  and  
$$h = h_y h_x$$
- Gaussian:  $\exp\left(-\frac{i^2+j^2}{2\sigma^2}\right) = \exp\left(-\frac{i^2}{2\sigma^2}\right) \exp\left(-\frac{j^2}{2\sigma^2}\right)$
- See tutorial works 4: separability
  - allows speeding-up the computation
  - allows for a recursive implementation (Deriche, 1987)

## Linear filtering

- Linear time-invariant filtering, with  $f(i, j) \in \mathbb{R}^p$ , and  $h(i, j)$  matrix of size  $p \times p$  ( $p = 3$  for color images):

$$f'(i, j) = (f * h)(i, j) = \sum_{n=1}^N \sum_{m=1}^M f(i, j)h(n - i, m - j)$$

- Two cases:
  1.  $h(i, j)$  is diagonal, each color channel is processed independently
  2.  $h(i, j)$  is not diagonal: there are some non null crossed terms (dependence between channels)
- Choice of the color space representation is important: RGB channels can often be supposed independent

## Filtering color images: example

### Averaging filter

- Three scalar averaging (independence between channels)
- Kernels can be specific to each channel
- Issue: creation of “false” colors



# Outline

---

Linear filtering

Filtering in the frequency domain

Non linear filtering

# Filtering in frequency domain

---

## Definitions

- We consider discrete signals
- Principle: modify some signal frequency components
- Two ways:
  - In the spatial domain: convolution product between the signal and the impulse response  $h$  of the filter
  - In the frequency domain: element-wise multiplication between the signal spectrum and the transfer function  $H$  of the filter
- Three families:
  - lowpass filters
  - highpass filters
  - bandpass filters
- Ideal filter: coefficients of  $H$  are equal to 1 or 0 (keep or remove frequency components)

# Filtering in frequency domain

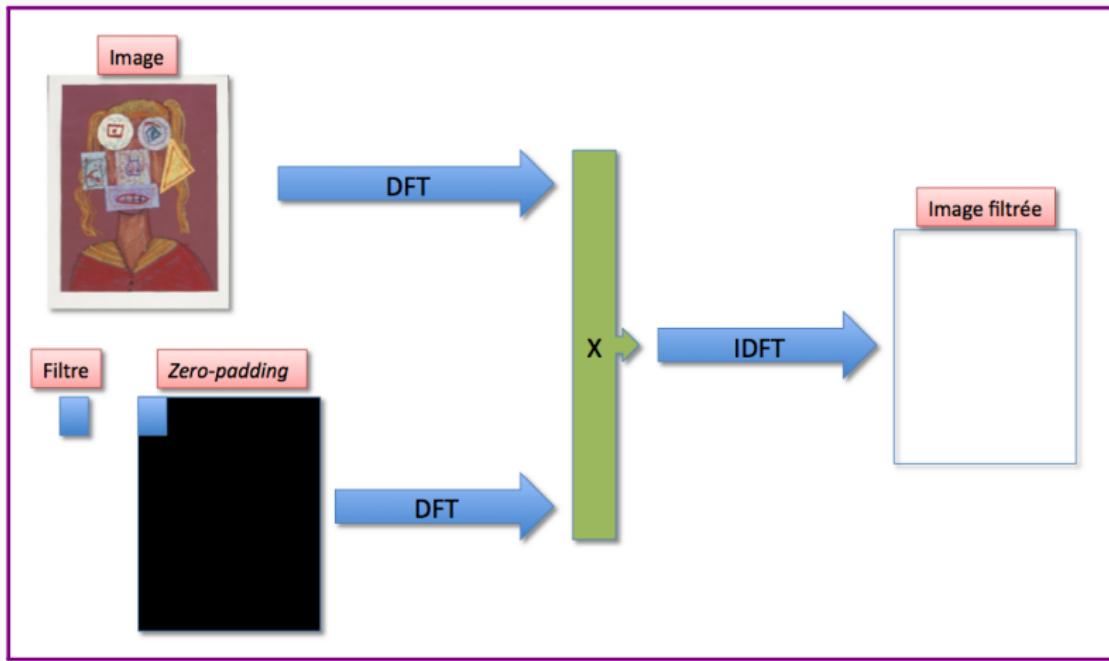
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## Principle

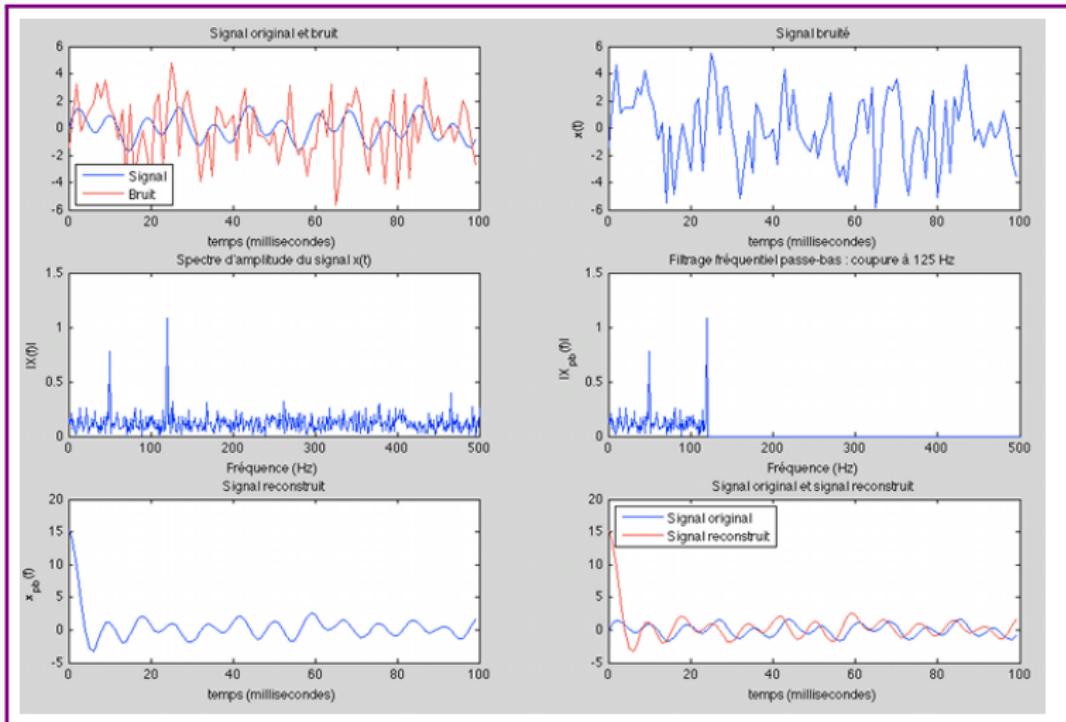
1. Compute the spectrum  $X$  as the DFT of the signal  $x$
2. Compute the transfer function  $H$  as the DFT of the filter  $h$
3.  $X$  and  $H$  must have the same size: use the zero-padding technique if needed
4. Element wise multiplication (element by element) of  $X$  by  $H$ :  
$$X_{\text{filtered}}(f, g) = X(f, g) \times H(f, g)$$
5. Apply the IDFT on  $X_{\text{filtered}}$  to reconstruct the filtered signal  
$$x_{\text{filtered}}(n, m)$$

# Filtering in frequency domain

## Principle (2-D)



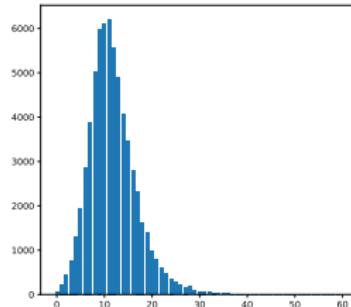
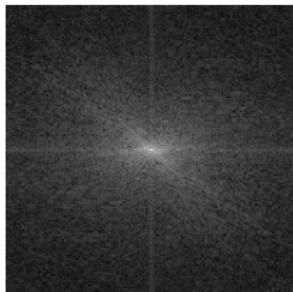
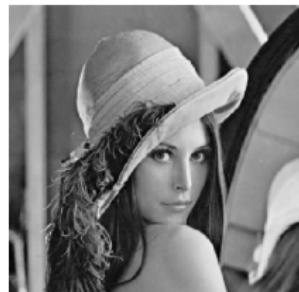
# Filtering in frequency domain: example in 1-D



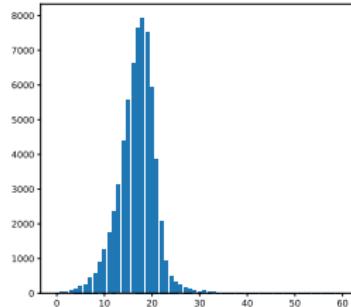
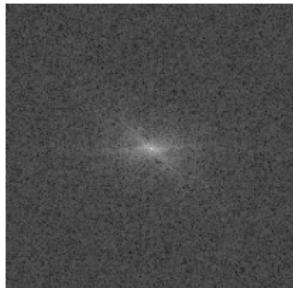
# Frequency characteristics of noise

The noise is generally a high frequency (stochastic) process

original image - spectrum - frequency components histogram



corrupted image - spectrum - frequency components histogram



## Definition

- An ideal lowpass filter is a linear system that does not change low frequencies
- The support of the transfer function is the **bandwidth** and characterizes the filter
- Fundamental and low frequencies are preserved  
→ mean and large structures are preserved after image reconstruction (IDFT)
- High frequencies are suppressed: quick spatial variations of intensity (noise, edges, textures...) are attenuated or even eliminated → image becomes smooth/blurred

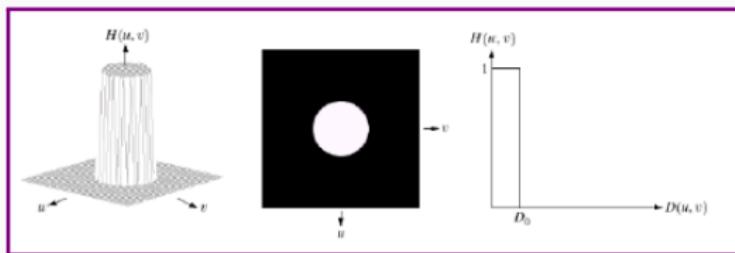
# Ideal lowpass 2-D filter

## Definition

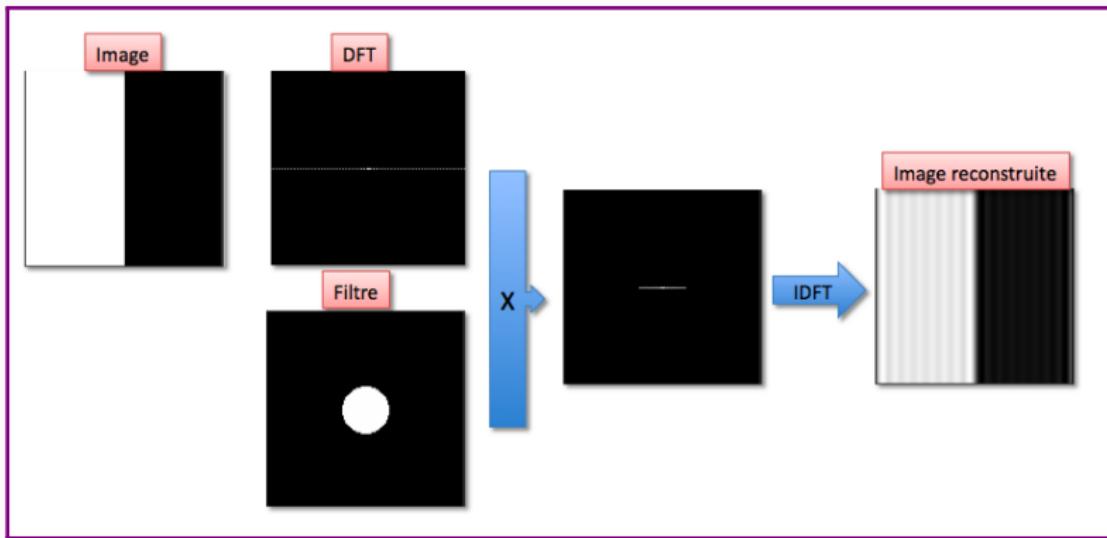
- The transfer function  $H$  of a lowpass filter with cutoff frequency  $D_0$  writes:

$$H(u, v) = \begin{cases} 1 & \text{if } \sqrt{u^2 + v^2} \leq D_0 \\ 0 & \text{if } \sqrt{u^2 + v^2} > D_0 \end{cases}$$

- This filter suppresses frequency components  $(u, v)$  having a radial frequency  $\sqrt{u^2 + v^2}$  greater than  $D_0$



# Ideal lowpass 2-D filter



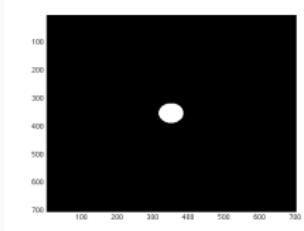
## Consequences

- High frequencies are suppressed
- Low frequencies are preserved
- The frontier between the two regions is less sharpened, vertical lines appear in the image: *Gibbs ringing artifacts* or *Gibbs phenomenon*
  - high frequencies are needed to correctly represent an edge (a short spatial intensity variation)

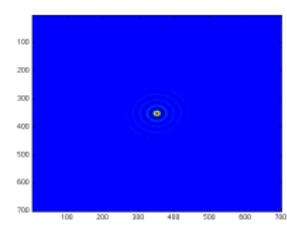
# Ideal lowpass 2-D filter

## Consequences

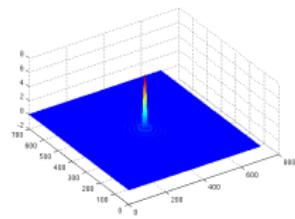
$$H(f, g)$$



$$h(n, m)$$

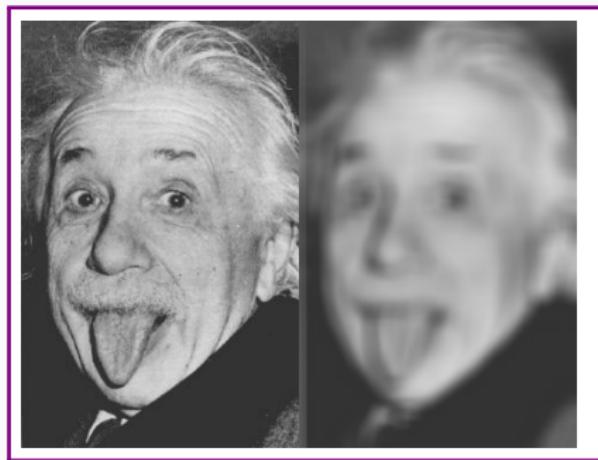


$$h(n, m)$$



- The ideal lowpass filter is a convolution with a first-order Bessel function (similar to a “circular” sinc function)
- $H$  is non derivable at the cutoff frequency and induces Gibbs artifacts

## Ideal lowpass 2-D filter: example on natural image

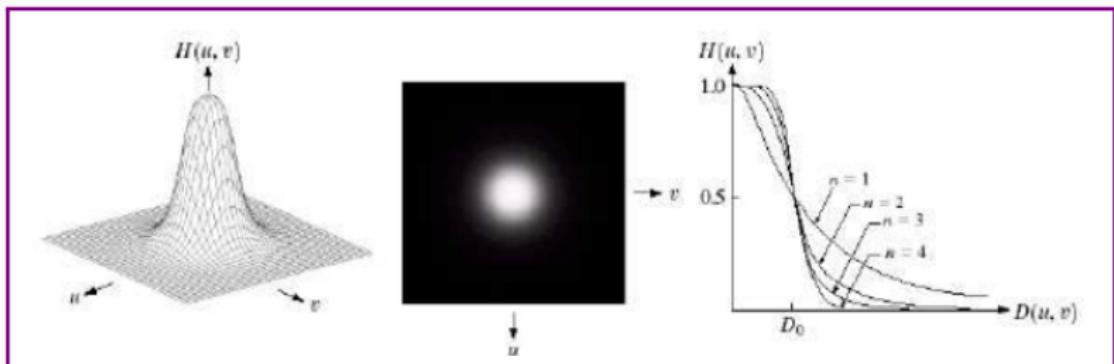


# Lowpass Butterworth 2-D filter

## Definition

- The lowpass Butterworth filter of order  $n$  is defined by:

$$H(u, v) = \frac{1}{1 + \left(\frac{\sqrt{u^2 + v^2}}{D_0}\right)^{2n}}$$



- Order  $n$  controls the slope of the transition and  $D_0$  the cutoff frequency

# Lowpass Butterworth 2-D filter of order $n$

---

## Characteristics

- Approximation of an ideal lowpass filter without the issue of ideal filter: no Gibbs phenomenon
- The transfer function is continuous and derivable
- High frequency components beyond the disc of radius  $D_0$  are attenuated
- With a high value of  $n$  the filter is closer to an ideal filter and high frequency components are more attenuated
- Edges are better preserved than with an ideal filter

# Anti-aliasing filter

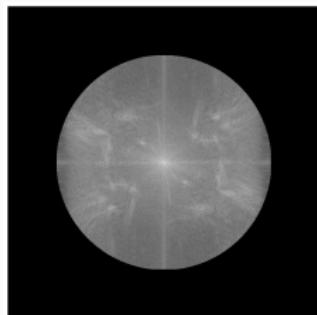
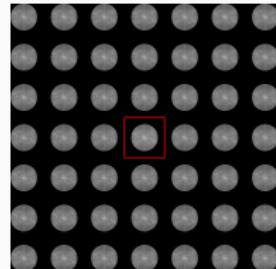
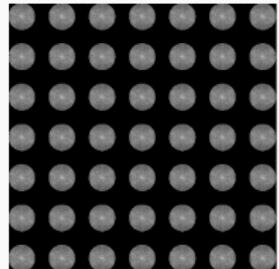
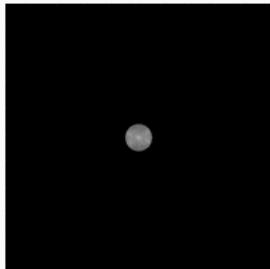
## Aliasing: frequency interpretation (recall)

- Image correctly sampled:  $f_e \geq 2f_{max}$  (Shannon)

Continuous FT

Sampled signal FT

CFT = DFT



DFT=CFT

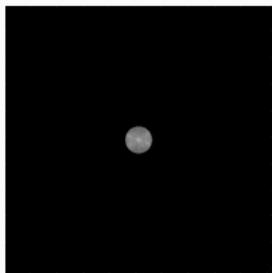


No aliasing

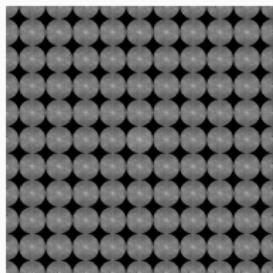
# Aliasing: frequency interpretation (recall)

Image correctly sampled, limite value:  $f_e = 2f_{max}$  (Shannon)

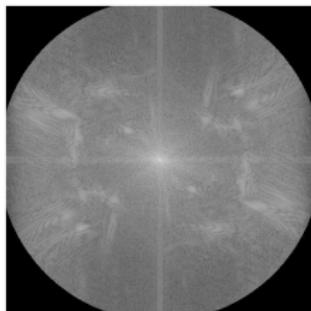
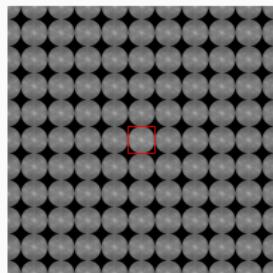
Continuous FT



Sampled signal FT



CFT = DFT



DFT=CFT

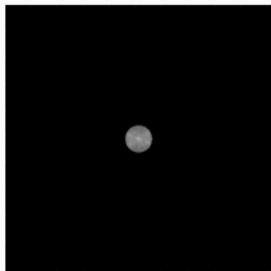


no aliasing

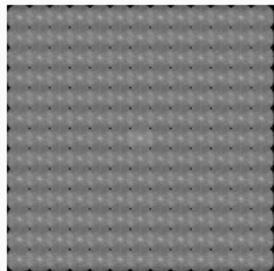
## Aliasing: frequency interpretation (recall)

Image incorrectly sampled:  $f_e < 2f_{max}$

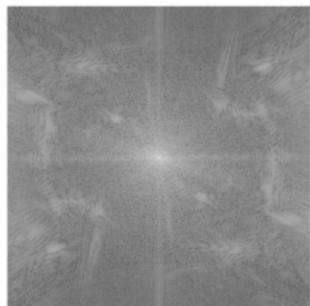
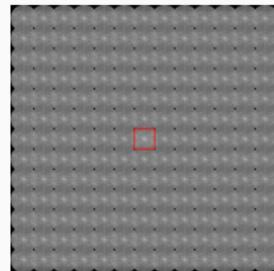
Continuous FT



Sampled signal FT



CFT  $\neq$  DFT



DFT  $\neq$  CFT

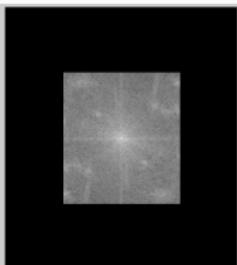
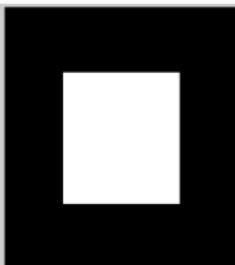
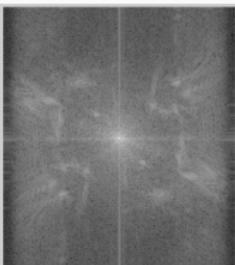


aliasing

# Digital anti-aliasing filter

## Principle

- Context: a digital image of size  $N \times M$  correctly sampled in Shannon's sense
- Subsampling → introduction of aliasing effects
- Anti-aliasing filter: apply a lowpass filter before subsampling

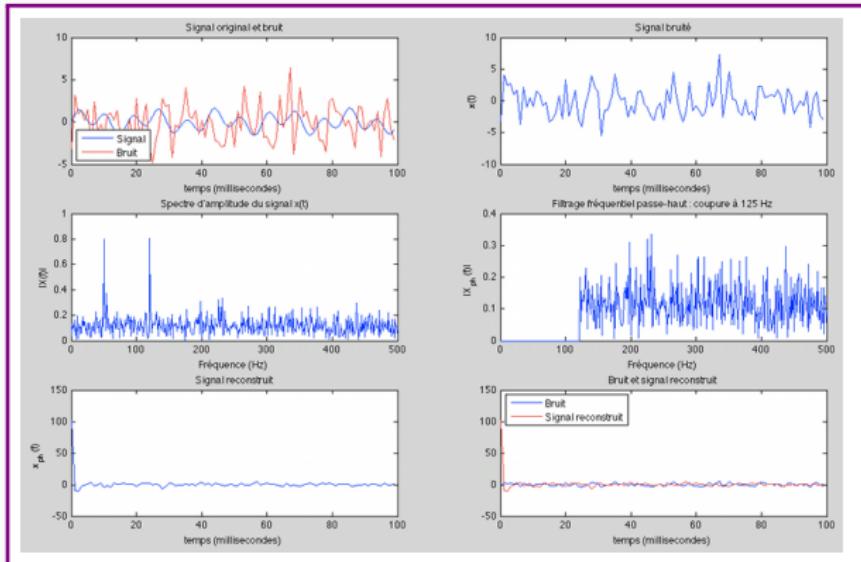


See practical works

# Highpass 1-D filtering

## Principle

- Preserve high frequency components, suppress low frequency components (including fundamental frequency)
- Example:



## Definition

- A highpass filter is a linear system that does not modify nor attenuate high frequency components
- Fundamental frequency and low frequencies are eliminated
  - ↪ After reconstruction (IDFT), the image mean is not preserved, as well as intensity information
- High frequencies preserved
  - ↪ Rapid change of intensity (noise, edges...) are highlighted

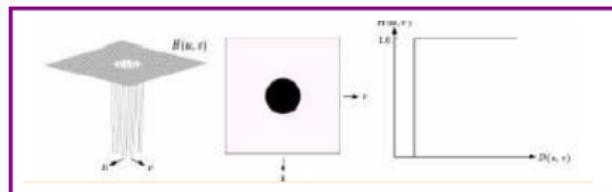
# Ideal highpass 2-D filter

## Définition

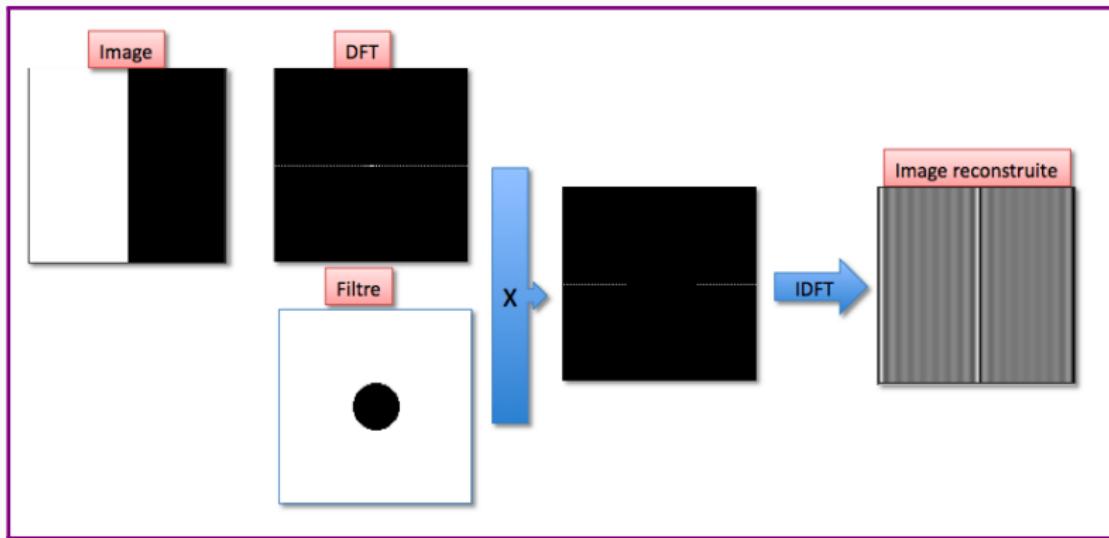
- The transfer function  $H$  of an ideal highpass filter, with cutoff frequency  $D_0$ , writes:

$$H(u, v) = \begin{cases} 1 & \text{if } \sqrt{u^2 + v^2} \geq D_0 \\ 0 & \text{if } \sqrt{u^2 + v^2} < D_0 \end{cases}$$

- This filter suppresses frequency components having a radial frequency  $\sqrt{u^2 + v^2}$  lower than  $D_0$

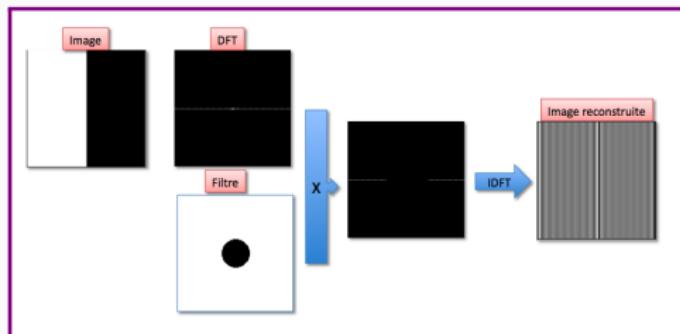


# Ideal highpass 2-D filter



# Ideal highpass 2-D filter

## Interpretation



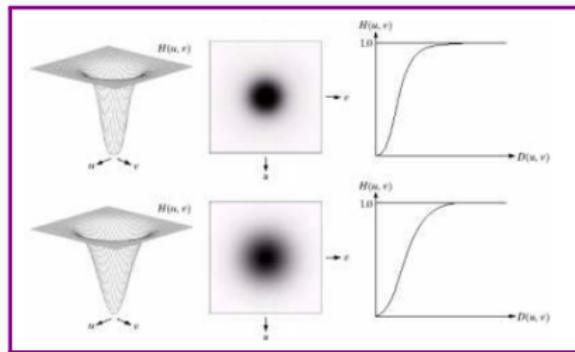
- High frequencies are preserved
- Low frequencies, including the fundamental frequency, are suppressed
- The reconstructed image has lost its intensity values but the frontier between the two regions is sharpened

# Highpass Butterworth 2-D filter

## Definition

- The highpass Butterworth filter, of order  $n$  and cutoff  $D_0$ , is defined by:

$$H(u, v) = \frac{1}{1 + \left(\frac{D_0}{\sqrt{u^2+v^2}}\right)^{2n}}$$



# Highpass Butterworth 2-D filter

---

## Characteristics

- Approximation of the ideal highpass filter
- Strong attenuation of low frequency components close to the origin (distance lower than the frequency cutoff)
- $n$  controls the slope of transition between high and low frequencies
- A highpass filter has an effect similar to derivation

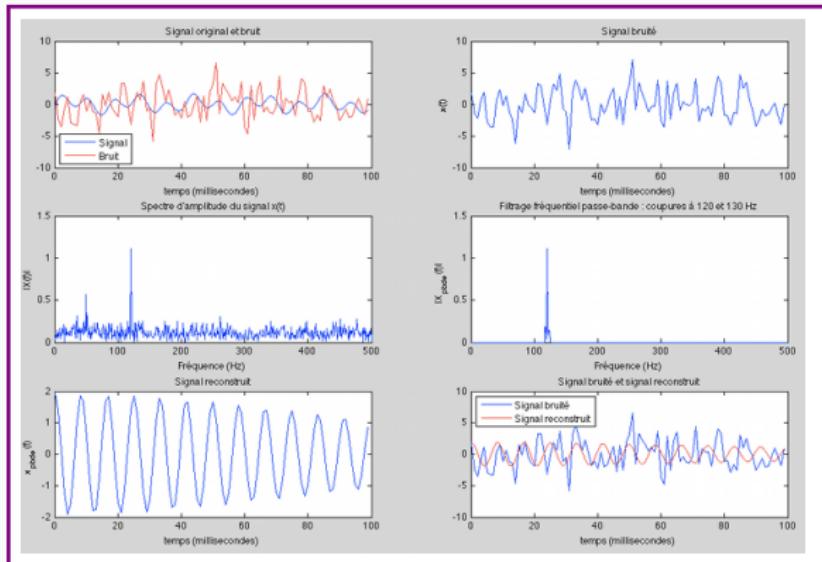
## 2-D highpass filter: example



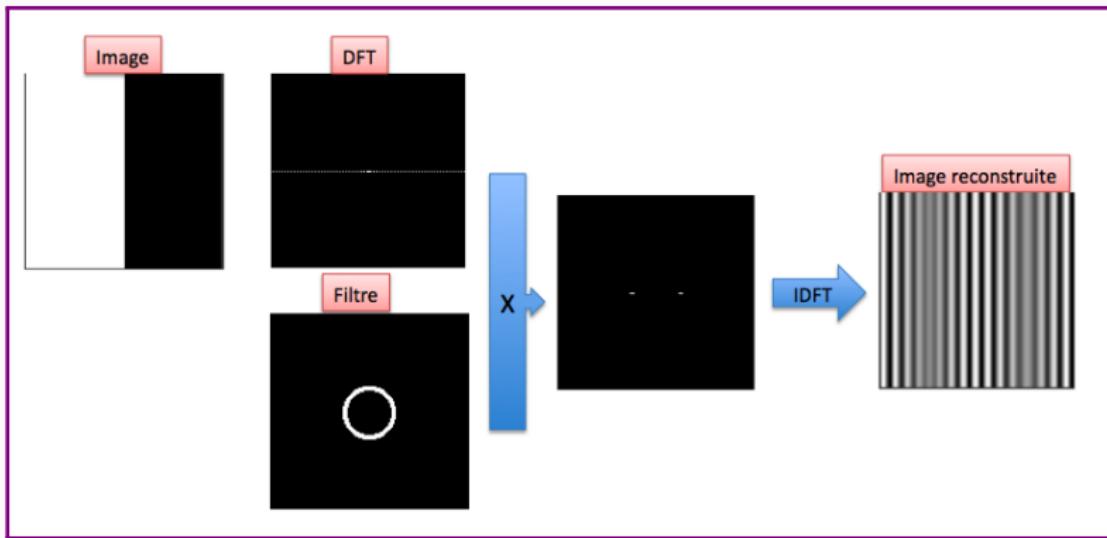
# Band-pass filtering (1-D)

## Principle

- Remove high and low frequency components and preserve intermediary frequencies
- Example:



# Ideal band-pass filter (2-D)



# Outline

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Linear filtering

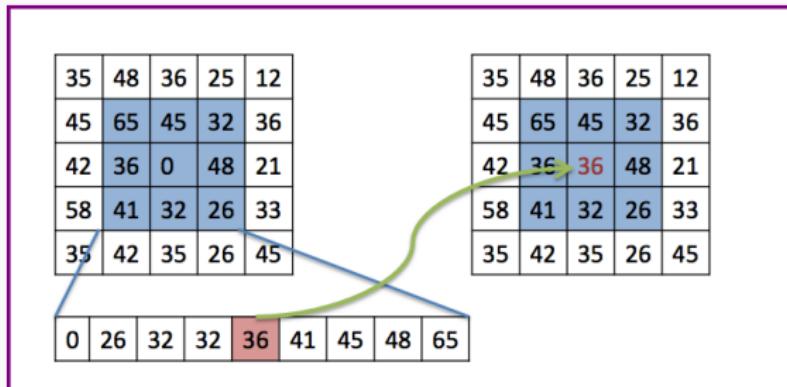
Filtering in the frequency domain

Non linear filtering

# Median filter

## Definition

- Let  $a_1, a_2, \dots, a_N$  ( $N$  odd) be a discrete sequence. The median value of this sequence is  $a_i$  if:
  - there exist  $\frac{N-1}{2}$  elements  $a_j$  smaller than  $a_i$
  - there exist  $\frac{N-1}{2}$  elements  $a_j$  greater than  $a_i$
- Consider a sliding window of odd size on the image support
- Replace the value of the central pixel by the median value of pixels inside the window.



## Properties

- Well suited to remove “Salt and Pepper” noise
- Becomes inefficient if a majority of pixels is corrupted inside the window
- Preserves edges (but not their localization)
- Also reduces uniform and Gaussian additive noise

## Median filter

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## Median filter versus Gaussian smoothing



## Median filter versus Gaussian smoothing



## Median filter versus Gaussian smoothing



# Advanced use of median filter

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Original image

## Advanced use of median filter

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Median  $15 \times 15$

## Advanced use of median filter

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Median  $31 \times 31$

# Advanced use of median filter



Median  $3 \times 3$  iterated 15 times

## Advanced use of median filter



Median  $7 \times 7$  iterated 5 times

# Median filter

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## Question/Exercise

- Why is the median filter non-linear?

## Other non linear filter: rank filters

### Definition: maximal filter

- Removes “pepper” noise:
- $f_{\max}(x, y) = \max_{(i,j) \in \text{neighborhood}(x,y)} f(i,j)$
- Morphological dilation

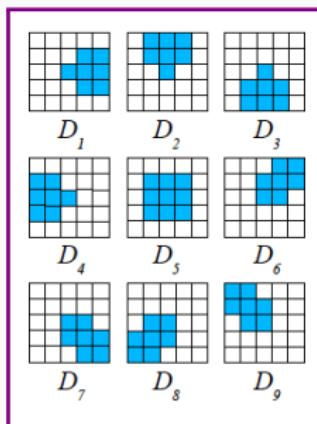
### Definition: minimal filter

- Removes “salt” noise:
- $f_{\min}(x, y) = \min_{(i,j) \in \text{neighborhood}(x,y)} f(i,j)$
- Morphological erosion
- Median, min and max are rank filters
- Morphological filters:  $f_{\min} \circ f_{\max}$ ,  $f_{\max} \circ f_{\min}$ , etc.

# Nagao filter

## Definition

- Window of size  $5 \times 5$  centered on the pixel to process, 9 masks are defined
- For each mask  $D_i$  computes mean  $\mu_i$  and variance  $\sigma_i$ ;
- Consider the minimal variance  $\sigma_i$  and set the pixel value to  $\mu_i$



## Nagao filter: example



Original image



Gaussian noise, small  $\sigma$



Gaussian noise, large  $\sigma$

