

## 空间曲线的切线与法平面

### 一、参数方程给出曲线

$$\text{曲线} \begin{cases} x = x(t) \\ y = y(t) \\ z = z(t) \end{cases} \quad t \in I$$

$$\downarrow$$

$$\text{切向量} \quad \vec{\tau} = (x'(t_0), y'(t_0), z'(t_0))$$

$$\text{切线} \quad \frac{x-x_0}{x'(t_0)} = \frac{y-y_0}{y'(t_0)} = \frac{z-z_0}{z'(t_0)}$$

$$\text{法平面} \quad x'(t_0)(x-x_0) + y'(t_0)(y-y_0) + z'(t_0)(z-z_0) = 0$$

### 二、用方程组给出曲线

$$\text{曲线} \begin{cases} F(x, y, z) = 0 \\ G(x, y, z) = 0 \end{cases} \quad \leftarrow \text{用 } x \text{ 当 } t$$

$$\text{R 切向量} \quad \vec{\tau} = (1, y'(x_0), z'(x_0))$$

$$\frac{dy}{dx} = - \frac{\frac{\partial(F, G)}{\partial(y, z)}}{\frac{\partial(F, G)}{\partial(x, z)}} \quad \frac{dz}{dx} = - \frac{\frac{\partial(F, G)}{\partial(y, x)}}{\frac{\partial(F, G)}{\partial(x, z)}}$$

$$\text{切线} \quad \frac{x-x_0}{1} = \frac{y-y_0}{y'(x_0)} = \frac{z-z_0}{z'(x_0)}$$

$$\text{法平面} \quad x'(x_0)(x-x_0) + y'(x_0)(y-y_0) + z'(x_0)(z-z_0) = 0$$

★ 背  $F(x, y, z), G(x, y, z)$  交出来的曲线

$$\text{切线: } \begin{vmatrix} i & j & k \\ F_x & F_y & F_z \\ G_x & G_y & G_z \end{vmatrix} \cdot \vec{r} = \vec{r}_0$$

例 17.1

求切线与法平面在  $t = \frac{\pi}{2}$

$$1) \begin{cases} x = \cos t + \sin t \\ y = \sin t(1 - \cos t) \\ z = \cos t \end{cases}$$

$$x'_t = -\sin t + \cos t$$

$$y'_t = \cos t(1 - \cos t) + \sin t$$

$$z'_t = -\sin t$$

$$\text{切线} \quad \frac{x-x_0}{x'_t} = \frac{y-y_0}{y'_t} = \frac{z-z_0}{z'_t}$$

$$\text{令 } t = \frac{\pi}{2} \Rightarrow \vec{\tau} = (x'_t, y'_t, z'_t) = (1, 1, -1)$$

$$(x_0, y_0, z_0) = (1, 1, 0)$$

$$\text{切线} \quad \frac{x-1}{1} = \frac{y-1}{1} = \frac{z-0}{-1}$$

$$\text{法平面} \quad -(x-1) + (y-1) - z = 0$$

$$2) \begin{cases} x = y^2 \\ z = x \end{cases} \quad \text{在点 } (1, 1, 1)$$



令  $t=y$ .

$$\Rightarrow \begin{cases} x=t^2 \\ y=t \\ z=t^4 \end{cases} \xrightarrow{t=1} \begin{cases} x_t=2t \\ y_t=1 \\ z_t=4t \end{cases} \xrightarrow{t=1} \begin{cases} x_0=2 \\ y_0=1 \\ z_0=4 \end{cases}$$

切.  $\frac{x-1}{2} = \frac{y-1}{1} = \frac{z-1}{4}$

法平.  $2(x-1) + (y-1) + 4(z-1) = 0$ .

例 17.2

$f'_x(0,0)=3, f'_y(0,0)=1$ .  $z=f(x,y)$  在  $(0,0)$  有定义

$\rightarrow dz|_{(0,0)} = 3dx + dy$  错.

$\rightarrow$  令  $\begin{cases} x=t \\ y=0 \end{cases} \rightarrow \vec{r} = (1, 0, f'_x(0,0))$

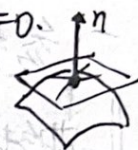
曲线  $\begin{cases} z=f(x,y) \\ y=0 \end{cases}$  切向量是  $(1, 0, 3)$

例 17.1

## 二. 空间曲面的切平面与法线.

1). 用隐式方程给出曲面.  $F(x,y,z)=0$ .

法向量  $(F'_x|_{p_0}, F'_y|_{p_0}, F'_z|_{p_0})$ .



切平面.  $F'_x|_{p_0}(x-x_0) + F'_y|_{p_0}(y-y_0) + F'_z|_{p_0}(z-z_0) = 0$ .

法线  $\frac{x-x_0}{F'_x|_{p_0}} = \frac{y-y_0}{F'_y|_{p_0}} = \frac{z-z_0}{F'_z|_{p_0}}$

2). 显式方程给出曲面.

$z=f(x,y) \Rightarrow f(x,y) - z = 0$

法向量.  $\vec{n} = (f'_x(x_0, y_0), f'_y(x_0, y_0), -1)$

切平面.  $f'_x(x_0, y_0)(x-x_0) + f'_y(x_0, y_0)(y-y_0) - (z-z_0) = 0$ . 情况

法线  $\frac{x-x_0}{f'_x(x_0, y_0)} = \frac{y-y_0}{f'_y(x_0, y_0)} = \frac{z-z_0}{-1}$

3). 参数方程.

$\begin{cases} x=x(u,v) \\ y=y(u,v) \\ z=z(u,v) \end{cases} \rightarrow \vec{n} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ x'_u & y'_u & z'_u \\ x'_v & y'_v & z'_v \end{vmatrix} = (A, B, C)$



例 17.3 考得最多

曲面  $z = x^2(1-\sin y) + y^2(1-\sin x)$  在  $(1,0,1)$  切平面

[solution]

$$F(x,y,z) = x^2(1-\sin y) + y^2(1-\sin x) - z$$

$$\begin{cases} F'_x = 2x(1-\sin y) - y^2 \cos x \\ F'_y = -x^2 \cos y + 2y(1-\sin x) \\ F'_z = -1 \end{cases} \text{ 在 } (1,0,1)$$

切平面  $\frac{x-1}{2} - \frac{y-0}{-1} - \frac{z-1}{-1} = 0$   
 $2x - y - z = 1$

例 17.4

设  $f(u,v)$  可微, 证明  $f(ax-bz, ay-cz) = 0$ .

上任一点的切平面都与某一定直线平行,  $a, b, c$  是不同为零的常数  $\rightarrow$  柱面

$$f \begin{cases} 1 & ax-bz \\ 2 & ay-cz \end{cases} \begin{matrix} x \\ y \\ z \end{matrix} \quad \vec{n} = (f'_x, f'_y, f'_z) = \left( af'_1, af'_2, -bf'_1 - cf'_2 \right)$$

取  $\vec{t} = (b, c, a)$  使  $\vec{n} \cdot \vec{t} = 0$ .

例 17.5 (未来性) 柱面

证明曲面  $e^{2x-z} = f(\pi y - \sqrt{2}z)$  是柱面,  $f$  可微.

令  $F(x,y,z) = f(\pi y - \sqrt{2}z) - e^{2x-z}$

$$\vec{n} = (F'_x, F'_y, F'_z)$$

$$= (-2e^{2x-z}, \pi f', -\sqrt{2}f' + e^{2x-z})$$

取  $\vec{t} = (a, b, c)$

s.t.  $\vec{n} \cdot \vec{t} = 0, 0 = -2ae^{2x-z} + \pi bf' - \sqrt{2}cf' + ce^{2x-z}$

$$c - 2a = 0, \quad \sqrt{2}c - \pi b = 0$$

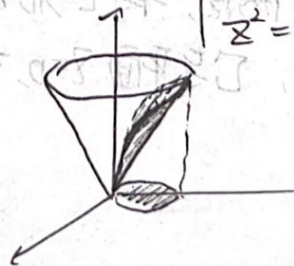
令  $c = 1$  则  $\vec{t} = \begin{pmatrix} a = \frac{1}{2} \\ b = \frac{\sqrt{2}}{\pi} \\ c = 1 \end{pmatrix}$  则  $\vec{n} \cdot \vec{t} = 0$ .

三. 空间曲线在坐标面上的投影

投影, 没有哪个字母就消去那个字母.

例 17.6

求  $\Gamma: \begin{cases} z = \sqrt{x^2 + y^2} \\ z^2 = 2x \end{cases}$  在  $xOy$  面上的投影曲线方程



step 1. 消  $z$ .

$$x^2 + y^2 = 2x$$



Step 2. 联立  $\begin{cases} x^2+y^2=2x \\ z=0 \end{cases} \rightarrow (x-1)^2+y^2=1$

#### IV. 旋转曲面

1. 任意一曲线 绕另一曲线.

① 曲线  $\begin{cases} F(x,y,z)=0 \\ G(x,y,z)=0 \end{cases}$  绕  $\frac{x-x_0}{m} = \frac{y-y_0}{n} = \frac{z-z_0}{l}$

②  $m(x-x_0) + n(y-y_0) + l(z-z_0) = 0$

③  $(x-x_0)^2 + (y-y_0)^2 + (z-z_0)^2 = (x_1-x_0)^2 + (y_1-y_0)^2 + (z_1-z_0)^2$

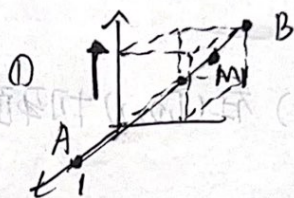
eg. 绕 z 轴  $\rightarrow m, n, l = 0, 0, 1$

得  $\begin{cases} F(x_1, y_1, z) = 0 \\ G(x_1, y_1, z) = 0 \\ x^2 + y^2 = x_1^2 + y_1^2 \end{cases}$  消去  $x_1, y_1$  得到方程

#### 例 18.11

设  $L$  过  $A(1,0,0), B(0,1,1)$  两点, 将  $L$  绕 z 轴旋转一周得到曲面  $\Sigma$ ,  $\Sigma$  与平面  $z=0, z=2$  所围成的立体为  $\Omega$ .

(1). 求曲面  $\Sigma$  的方程



$L: \frac{x-1}{1} = \frac{y-0}{-1} = \frac{z-0}{-1}$

过  $A(1,0,0) \quad \vec{r} = (1, -1, -1)$

$M_1(x_1, y_1, z_1)$

1) 到原点距离相等  $x^2 + y^2 + z^2 = x_1^2 + y_1^2 + z_1^2$

2) 垂直  $0(x-x_1) + 0(y-y_1) + 1(z-z_1) = 0$

$\Rightarrow z = z_1$

$\Rightarrow \begin{cases} x^2 + y^2 = \\ x_1 = 1 - z \\ y_1 = z - x \\ z_1 = z \end{cases}$



## 五. 向量运算及应用

1) 内积.  $\cos \theta = \frac{\vec{a} \cdot \vec{b}}{|\vec{a}| \cdot |\vec{b}|}$  ← 位置关系用到它

$\text{proj}_{\vec{b}} \vec{a} = \frac{\vec{a} \cdot \vec{b}}{|\vec{b}|^2} \vec{b}$

2) 外积  $\vec{a} \times \vec{b} = \begin{vmatrix} i & j & k \\ a_x & b_x & a_y \\ b_x & b_y & b_z \end{vmatrix}$

3) 混合积  $[\vec{a}, \vec{b}, \vec{c}] = (\vec{a} \times \vec{b}) \cdot \vec{c} = \begin{vmatrix} a_x & a_y & a_z \\ b_x & b_y & b_z \\ c_x & c_y & c_z \end{vmatrix}$

★ 方向余弦.

$\cos \alpha = \frac{a_x}{|\vec{a}|} \quad \cos \beta = \frac{a_y}{|\vec{a}|} \quad \cos \gamma = \frac{a_z}{|\vec{a}|}$

## 六. 平面、直线与位置关系

一般式:  $Ax + By + Cz + D = 0$

点法式:  $A(x-x_0) + B(y-y_0) + C(z-z_0) = 0$

三点式  $\begin{vmatrix} x-x_1 & y-y_1 & z-z_1 \\ x-x_2 & y-y_2 & z-z_2 \\ x-x_3 & y-y_3 & z-z_3 \end{vmatrix} = 0$  (三点不共面).

## 平面束方程.

$\begin{cases} Ax + By + Cz + D_1 = 0 \\ Ax + By + Cz + D_2 = 0 \end{cases}$   
 $\rightarrow \mu(Ax + By + Cz + D_1) + \lambda(Ax + By + Cz + D_2) = 0$   
 是

位置关系

1) 点到平面距离:

$P_0(x_0, y_0, z_0)$  到  $Ax + By + Cz + D = 0$ .

$d = \frac{|Ax_0 + By_0 + Cz_0 + D|}{\sqrt{A^2 + B^2 + C^2}}$

2)  $\begin{cases} L_1 = (l_1, m_1, n_1) \\ L_2 = (l_2, m_2, n_2) \end{cases}$  分别是  $L_1, L_2$  的方向向量.  
 ①  $L_1 \perp L_2 \Leftrightarrow \vec{l}_1 \perp \vec{l}_2 \Leftrightarrow l_1 l_2 + m_1 m_2 + n_1 n_2 = 0$   
 ②  $L_1 \parallel L_2 \Leftrightarrow \vec{l}_1 \parallel \vec{l}_2 \Leftrightarrow \frac{l_1}{l_2} = \frac{m_1}{m_2} = \frac{n_1}{n_2}$   
 ③  $L_1, L_2$  夹角.  $\theta = \arccos \frac{|\vec{l}_1 \cdot \vec{l}_2|}{|\vec{l}_1| |\vec{l}_2|}$

3) 平面与直线 (4) 平面与平面.

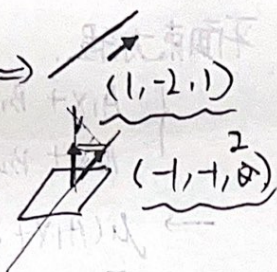


• 例 17.9

设有直线  $L: \frac{x-1}{1} = \frac{y-5}{-2} = \frac{z+8}{1} \Rightarrow$

与  $L_2: \begin{cases} x-y=6 \\ 2y+z=3 \end{cases}$

$\begin{vmatrix} 1 & 1 & 0 \\ 1 & -1 & 0 \\ 0 & 2 & 1 \end{vmatrix} = -i - j + 2k$



$\cos \varphi = \frac{|n_1 \cdot n_2|}{|n_1||n_2|} = \frac{1}{2} \rightarrow \varphi = \frac{\pi}{3}$

• 例 17.8 ★

求过  $L: \begin{cases} x=1+t \\ y=1+2t \\ z=1+3t \end{cases}$  且过点  $(2, 2, 2)$  的距离为  $d = \frac{1}{\sqrt{3}}$  的平面方程。

由  $t=x-1 \rightarrow \begin{cases} 2x-y-1=0 \quad ① \\ 3x-z-2=0 \quad ② \end{cases}$

$d = \frac{|Ax_0 + By_0 + Cz + D_0|}{\sqrt{A^2 + B^2 + C^2}} = \frac{|3 \cdot 2 + 0 \cdot 0 - 2 - 2|}{\sqrt{3^2 + 0^2 + 1^2}} = \frac{2}{\sqrt{10}} \neq \frac{1}{\sqrt{3}}$

∴ 不是所求平面。

⇒ 设平面方程:  $2x - y - 1 + \lambda(3x - z - 2) = 0$

→  $(2+3\lambda)x - y - \lambda z - (1+2\lambda) = 0$

→  $d = \frac{1}{\sqrt{3}} = \frac{1}{\sqrt{1+1+1}} \Rightarrow \begin{cases} 5x - y - z - 3 = 0 \\ \text{或 } x + y - z - 1 = 0 \end{cases}$

七. 场论初步

1. 方向导数

1)  $\begin{cases} x - x_0 = \Delta x = t \cos \alpha \\ y - y_0 = \Delta y = t \cos \beta \\ z - z_0 = \Delta z = t \cos \gamma \end{cases}$

$t = \sqrt{(\Delta x)^2 + (\Delta y)^2 + (\Delta z)^2}$

$\lim_{t \rightarrow 0^+} \frac{u(P) - u(P_0)}{t}$  ★ 单侧极限

$= \lim_{t \rightarrow 0^+} \frac{u(x_0 + t \cos \alpha, y_0 + t \cos \beta, z_0 + t \cos \gamma) - u(x_0, y_0, z_0)}{t}$

定义法

2)  $\frac{\partial u}{\partial l} \Big|_{P_0} = u'_x(P_0) \cos \alpha + u'_y(P_0) \cos \beta + u'_z(P_0) \cos \gamma$

$= \text{grad } u \cdot \vec{l}$

公式法

例 17.11 (公式法)

$f(x, y, z) = x^2 y + z$  在  $(1, 2, 0)$  处沿向量  $\vec{n} = (1, 2, 2)$  的方向导数为?

$\frac{\partial f}{\partial n} \Big|_{(1, 2, 0)} = \frac{\partial f}{\partial x} \Big|_{P_0} \cos \alpha + \frac{\partial f}{\partial y} \Big|_{P_0} \cos \beta + \frac{\partial f}{\partial z} \Big|_{P_0} \cos \gamma$   
 $= 4 \cdot \frac{1}{\sqrt{3}} + 1 \cdot \frac{2}{\sqrt{3}} + 0 \cdot \frac{2}{\sqrt{3}} = 2$



例 17.12 (2022)

$$f(x,y) = \begin{cases} x+y + \frac{x^3y}{x^4+y^2}, & (x,y) \neq (0,0) \\ 0, & (x,y) = (0,0) \end{cases}$$

问  $f(x,y)$  在  $P(0,0)$  处沿  $l = (\cos\alpha, \cos\beta)$  的方向导数

$$\lim_{\substack{\Delta x \rightarrow 0 \\ \Delta y \rightarrow 0}} \frac{\Delta f - (A\Delta x + B\Delta y)}{\rho} = 0. \quad f(0+\Delta x, 0+\Delta y) - f(0,0) = f_x(0,0)\Delta x + f_y(0,0)\Delta y + o(\rho).$$

$$f'_x(0,0) = \lim_{x \rightarrow 0} \frac{f(x,0) - f(0,0)}{x-0} = \lim_{x \rightarrow 0} \frac{x}{x} = 1$$

$$f'_y(0,0) = 1$$

$$\lim_{\substack{\Delta x \rightarrow 0 \\ \Delta y \rightarrow 0}} \frac{(\Delta x)^3 \Delta y}{(\Delta x)^4 + (\Delta y)^2} \cdot \frac{1}{\sqrt{(\Delta x)^2 + (\Delta y)^2}} =$$

$$\text{取 } \Delta y = (\Delta x)^2 \rightarrow \lim_{\substack{\Delta x \rightarrow 0 \\ \Delta y = (\Delta x)^2}} \frac{(\Delta x)^5}{2(\Delta x)^4 \sqrt{1+(\Delta x)^2}} \neq 0.$$

$\Rightarrow$  不可微

$$\text{令 } \Delta x = \rho \cos\alpha \quad \Delta y = \rho \cos\beta \quad \rho = \sqrt{(\Delta x)^2 + (\Delta y)^2}$$

$$\begin{aligned} \frac{\partial f}{\partial l} \Big|_P &= \lim_{\rho \rightarrow 0} \frac{f(\Delta x, \Delta y) - f(0,0)}{\rho} \\ &= \lim_{\rho \rightarrow 0} \frac{1}{\rho} \left[ \rho \cos\alpha + \rho \cos\beta + \frac{\rho^4 \cos^3\alpha \cos\beta}{\rho^2(\rho^2 \cos^2\alpha + \cos^2\beta)} \right] \\ &= \cos\alpha + \cos\beta \end{aligned}$$

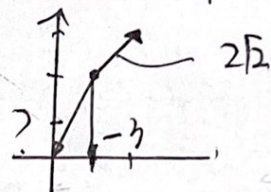
例 17.14 ☆

设  $z = f(x,y)$  可微.

在  $P_0(1,2)$  以  $P_0$  到  $P_1(2,3)$  方向导数为  $2\sqrt{2}$ ,

以  $P_0$  到  $P_2(1,0)$  方向导数为  $-3$ . 则  $z$  在

$P_0$  处沿从  $P_0$  到原点  $O$  方向的方向导数?



$$\vec{l}_1 = (\cos\alpha_1 = \frac{1}{\sqrt{2}}, \cos\beta_1 = \frac{1}{\sqrt{2}})$$

$$\vec{l}_2 = (\cos\alpha_2 = 0, \cos\beta_2 = -1)$$

$$\vec{l}_3 = (\cos\alpha_3 = -\frac{1}{\sqrt{5}}, \cos\beta_3 = -\frac{2}{\sqrt{5}})$$

$$\frac{\partial f}{\partial x} \Big|_{P_0} \cdot \frac{1}{\sqrt{2}} + \frac{\partial f}{\partial y} \Big|_{P_0} \cdot \frac{1}{\sqrt{2}} = 2\sqrt{2}$$

$$\frac{\partial f}{\partial x} \Big|_{P_0} \cdot 0 + \frac{\partial f}{\partial y} \Big|_{P_0} \cdot (-1) = -3$$

$$\begin{aligned} \Rightarrow \begin{cases} z'_x(P_0) = 1 \\ z'_y(P_0) = 3 \end{cases} &\rightarrow -\frac{1}{\sqrt{5}} \cdot 1 - \frac{2}{\sqrt{5}} \cdot 3 \\ &= -\frac{7}{\sqrt{5}} \end{aligned}$$

2. 梯度

$$\text{grad} u|_{P_0} = (u'_x(P_0), u'_y(P_0), u'_z(P_0))$$

$$\frac{\partial u}{\partial l}|_{P_0} = \text{grad} u|_{P_0} \cdot l^0 = |\text{grad} u|_{P_0}| \cdot \cos \theta$$

$$\cos \theta = 1 \Rightarrow \frac{\partial u}{\partial l}|_{P_0} \text{ max}$$

4. 散度

$$A(x, y, z) = P(x, y, z)\vec{i} + Q(x, y, z)\vec{j} + R(x, y, z)\vec{k}$$

$$\text{div} A = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}$$

5. 旋度

$$\text{rot} A = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & Q & R \end{vmatrix}$$



$$(0,0) \neq (0,1)$$

$$(0,0) = (0,1)$$

$$\frac{\partial}{\partial x} \left( \frac{1}{\sqrt{1+x^2}} \right) = -\frac{x}{(1+x^2)^{3/2}}$$

$$\frac{\partial}{\partial y} \left( \frac{1}{\sqrt{1+x^2}} \right) = 0$$

$$\frac{\partial}{\partial z} \left( \frac{1}{\sqrt{1+x^2}} \right) = 0$$

$$0 = \frac{(1+0+0) - 7 \cdot 0}{9} \quad \frac{\partial}{\partial x} = 0$$

$$1 = \frac{x}{1+x^2} \quad \frac{\partial}{\partial x} = \frac{1-x^2}{(1+x^2)^2}$$

$$r = (0,0,1)$$

$$= \frac{1}{(1+0+0)^{3/2}} \cdot \frac{(1+0+0)}{(1+0+0)^{3/2}} \quad \frac{\partial}{\partial x} = 0$$

$$0 = \frac{(1+0+0) - 7 \cdot 0}{9} \quad \frac{\partial}{\partial x} = 0$$

$$\frac{\partial}{\partial x} = 0$$

$$\frac{\partial}{\partial x} = 0 \quad \frac{\partial}{\partial y} = 0 \quad \frac{\partial}{\partial z} = 0$$

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$$\frac{\partial}{\partial x} = 0$$