

# CSC 212: Data Structures and Abstractions

## 13: Analysis of Recursive Algorithms

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## Recurrence relations

### • Recurrence relation

- ✓ a recurrence is an equation that expresses each element of a sequence as a function of the preceding ones
- ✓ e.g.,  $T(n) = T(n - 1) + 1$

### • Recurrences and algorithm analysis

- ✓ recurrence relations are used to analyze the time complexity of recursive algorithms
- ✓ the time complexity of a recursive algorithm is the solution to the recurrence relation that describes the cost of the algorithm
- ✓ exact closed-form solution may not exist, or may be too difficult to find
- ✓ for most recurrences, an asymptotic solution of the form  $\Theta()$  is acceptable in the context of analysis of algorithms

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## Solving recurrence relations

### • Recurrences can be solved using a variety of techniques

- ✓ **substitution method**: guess the form of the solution and prove it by induction
- ✓ **recursion tree method**: draw a recursion tree and sum the costs at each level
- ✓ **master theorem**: a general method for solving recurrences of the form  $T(n) = aT(n/b) + f(n)$
- ✓ **unrolling method**: expand the recurrence into a series of equations and solve them

### • Unrolling (iteration) method

- ✓ expand the recurrence until you identify a general case, then use the base case
- ✓ not trivial in all cases but it is helpful to build an intuition
- ✓ induction may be necessary to prove correctness

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## Practice

$$T(0) = 0$$

$$T(n) = T(n - 1) + 1$$

```
double power(double b, int n) {  
    // base case  
    if (n == 0) {  
        return 1;  
    }  
    // recursive call  
    return b * power(b, n-1);  
}
```

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## Analysis of binary search

- Base case:  $T(0) = c_0$
- Recursive case:  $T(n) = T(n/2) + c_1$

```
int bsearch(std::vector<int>& A, int lo, int hi, int k) {  
    // base case  
    if (hi < lo) {  
        return -1;  
    }  
    // calculate midpoint index  
    int mid = lo + ((hi-lo)/2);  
    // key found?  
    if (A[mid] == k)  
        return mid;  
    // key in upper subarray?  
    if (A[mid] < k)  
        return bsearch(A, mid+1, hi, k);  
    // key is in lower subarray?  
    return bsearch(A, lo, mid-1, k);  
}
```

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## Analysis of binary search

- Solve:  $T(0) = c_0, \quad T(n) = T(n/2) + c_1$

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## Practice

$$T(1) = 1$$

$$T(n) = 2T(n/2) + n$$

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## Practice

$$T(0) = 1$$

$$T(n) = 2T(n-1) + 1$$

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Series

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$$\sum_{i=1}^n i = \frac{n(n+1)}{2}, \quad \sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}, \quad \sum_{i=1}^n i^3 = \frac{n^2(n+1)^2}{4}.$$

In general:

$$\sum_{i=1}^n i^m = \frac{1}{m+1} \left[ (n+1)^{m+1} - 1 - \sum_{i=1}^n ((i+1)^{m+1} - i^{m+1} - (m+1)i^m) \right]$$

$$\sum_{i=1}^{n-1} i^m = \frac{1}{m+1} \sum_{k=0}^m \binom{m+1}{k} B_k n^{m+1-k}.$$

Geometric series:

$$\sum_{i=0}^n c^i = \frac{c^{n+1} - 1}{c - 1}, \quad c \neq 1, \quad \sum_{i=0}^{\infty} c^i = \frac{1}{1-c}, \quad \sum_{i=1}^{\infty} c^i = \frac{c}{1-c}, \quad |c| < 1,$$

$$\sum_{i=0}^n i c^i = \frac{n c^{n+2} - (n+1) c^{n+1} + c}{(c-1)^2}, \quad c \neq 1, \quad \sum_{i=0}^{\infty} i c^i = \frac{c}{(1-c)^2}, \quad |c| < 1.$$

Harmonic series:

$$H_n = \sum_{i=1}^n \frac{1}{i}, \quad \sum_{i=1}^n i H_i = \frac{n(n+1)}{2} H_n - \frac{n(n-1)}{4}.$$

$$\sum_{i=1}^n H_i = (n+1) H_n - n, \quad \sum_{i=1}^n \binom{i}{m} H_i = \binom{n+1}{m+1} \left( H_{n+1} - \frac{1}{m+1} \right).$$