# Search I:

Tree, Graph search

Hwanjo Yu

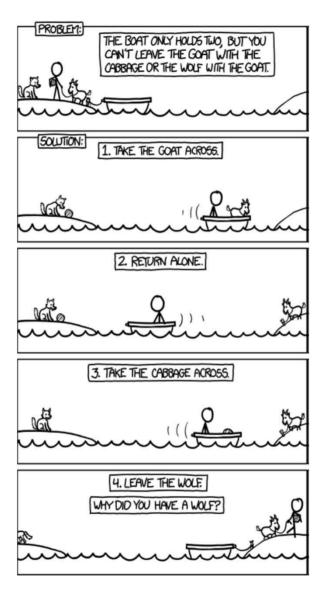
**POSTECH** 

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### Question

A farmer wants to get his cabbage, goat, and wolf across a river. He has a boat that only holds two. He cannot leave the cabbage and goat alone or the goat and wolf alone. How many river crossings does he need?

- a. 4
- b. 5
- c. 6
- d. 7
- e. No solution



Hwanjo Yu, POSTECH

Search problem

Constraint satisfaction problems

Markov decision processes

Markov networks

Reinforcement learning & games

Bayesian networks

Reflex

**States** 

**Variables** 

Logic

"Low-level intelligence"

"High-level intelligence"

### Machine learning

# Application: route finding



- **Objective**: shortest? fastest? most scenic?
- Action: go straight, turn left, turn right

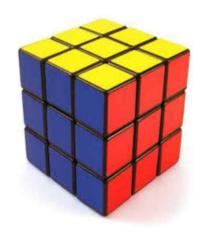
# Application: robot motion planning



• Objective: fastest? most energy efficient? safest?

• Action: translate and rotate joints

# Application: solving puzzles





• Objective: reach a certain configuration

• Action: move pieces (e.g., Move12Down)

# Beyond reflex

Classifier (reflex-based models):

$$x \longrightarrow \boxed{f} \longrightarrow \text{single action } y \in \{+1, -1\}$$

Search problem (state-based models):

$$x \longrightarrow f \longrightarrow action sequence (a_1, a_2, a_3, a_4, ...)$$

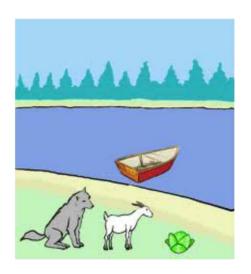
Key: models future consequences of an action!

# Roadmap

Tree search

Dynamic programming

Uniform cost search



Farmer Cabbage Goat Wolf

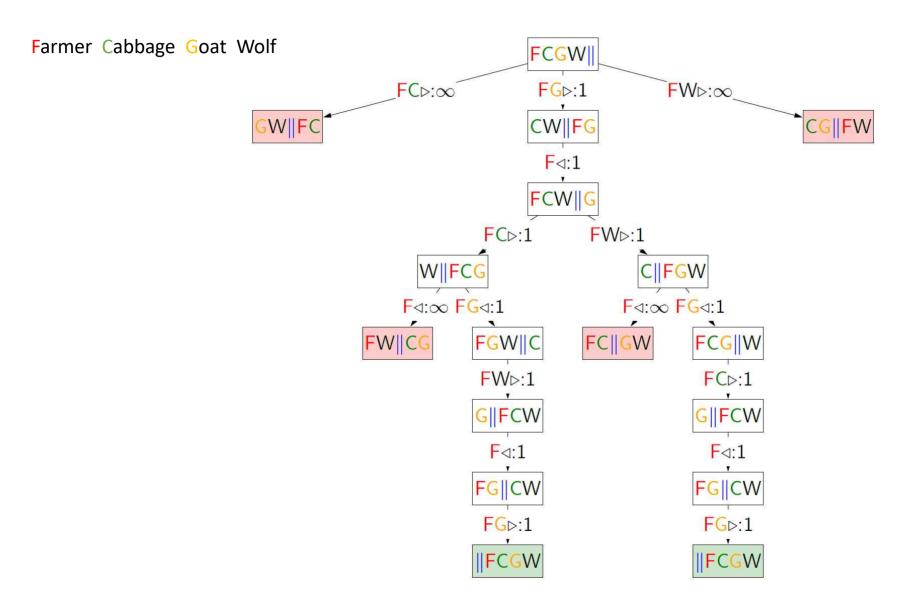
### Actions:

• F⊳ F⊲

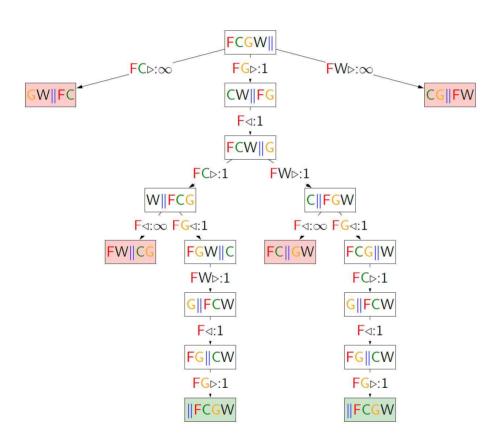
• FG⊳ FG⊲

• FW⊳ FW⊲

Approach: build a search tree ("what if?")



### Search problem



#### Definition: search problem

- $s_{\text{start}}$  : starting state
- Actions(s): possible actions
- Cost(s, a): action cost
- Succ(s, a): successor
- IsEnd(s): reached end state?

# Transportation example

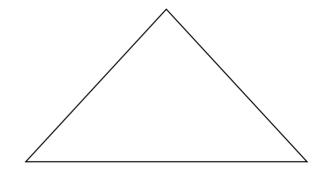
#### **Example: transportation**

- Street with blocks numbered 1 to n.
- Walking from s to s + 1 takes 1 minute.
- Taking a magic tram from s to 2s takes 2 minutes
- How to travel from 1 to n in the least time?

# Backtracking search

#### **Example: transportation**

- Street with blocks numbered 1 to n.
- Walking from s to s + 1 takes 1 minute.
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- How to travel from 1 to n in the least time?



If b(=2) actions per state, maximum depth is D(=n) actions:

- Memory: O(D) (small)
- Time:  $O(b^D)$  (huge)  $[2^{50} = 1,125,899,906,842,624]$

# Backtracking search

#### Algorithm: backtracking search

- def backtrackingSearch(s, path):
  - If IsEnd(s): update minimum cost path
  - For each action  $a \in Actions(s)$ :
    - Extend path with Succ(s, a) and Cost(s, a)
    - Call backtrackingSearch(Succ(s, a), path)
  - Return minimum cost path
- Guarantee to find the minimum path

# Depth-first search

Idea: Backtracking search + stop when find the first end state.

**Assumption: zero action costs** (to guarantee to find the minimum path)

• Assume action costs Cost(s, a) = 0

If b actions per state, maximum depth is D actions:

- Space: still O(D)
- Time: still  $O(b^D)$  worst case, but could be much better if solutions are easy to find

### Breadth-first search

Idea: explore all nodes in order of increasing depth.

**Assumption: constant (same non-negative) action costs** (to guarantee to find the minimum path)

• Assume action costs Cost(s, a) = c for some  $c \ge 0$ 

Legend: b actions per state, solution has d actions

• Space: now  $O(b^d)$  (a lot worse!)

• Time:  $O(b^d)$  (better, depends on d, not D)

# DFS with iterative deepening

#### Idea

- Modify DFS to stop at a maximum depth
- Call DFS for maximum depths 1, 2, ... DFS on d asks: is there a solution with d actions?

**Assumption: constant (same non-negative) action costs** (to guarantee to find the minimum path)

• Assume action costs Cost(s, a) = c for some  $c \ge 0$ 

Legend: b actions per state, solution size d

• Space: O(d) (saved!)

• Time:  $O(b^d)$  (same as BFS)

# Tree search algorithms

Legend: b actions / state, solution depth d, maximum depth D

Algorithm	<b>Action costs</b>	Space	Time
DFS	zero	O(D)	$O(b^D)$
BFS	constant $\geq 0$	$O(b^d)$	$O(b^d)$
DFS-ID	constant $\geq 0$	O(d)	$O(b^d)$
Backtracking	any	O(D)	$O(b^D)$

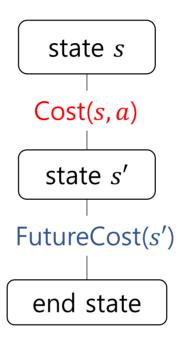
- Always exponential time
- Avoid exponential space with DFS-ID

# Roadmap

Tree search

Dynamic programming

Uniform cost search



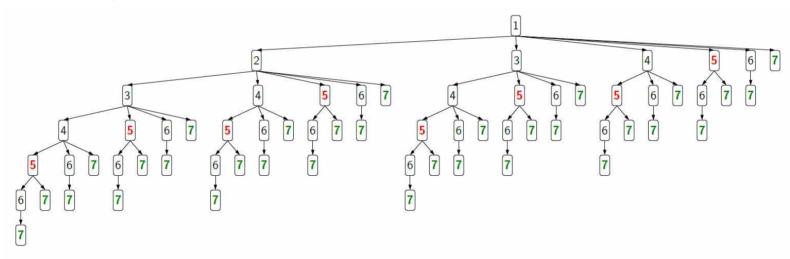
Minimum cost path from state *s* to an end state:

$$\mathsf{FutureCost}(s) = \begin{cases} 0 & \text{if } \mathsf{IsEnd}(s) \\ \min_{a \in \mathsf{Actions}} (s) [\mathsf{Cost}(s, a) + \mathsf{FutureCost}(\mathsf{Succ}(s, a))] & \text{otherwise} \end{cases}$$

# Motivating task

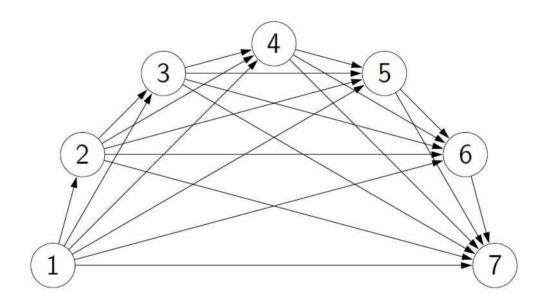
### Example: route finding

• Find the minimum cost path from city 1 to city n, only moving forward. It costs  $c_{\it ij}$  to go from i to j



Observation: future costs only depend on current city

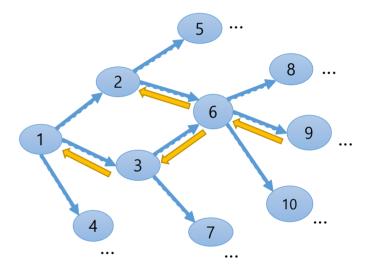
State: past sequence of actions current city



Exponential saving in time and space!

#### Algorithm: dynamic programming

- def DynamicProgramming(s, path):
  - If already computed for s, return cached answer
  - If IsEnd(s): return solution
  - For each action  $a \in Actions(s)$ :
    - Extend path with Succ(s, a) and Cost(s, a)
    - Call DynamicProgramming(Succ(s, a), path)
  - Return minimum cost path



### Assumption: acyclicity

• The state graph defined by Action(s) and Succ(s, a) is acyclic.

### Key idea: state

• A **state** is a summary of all the past actions sufficient to choose future actions **optimally**.

Past actions (all cities) 1 3 4 6

State (current city) 1 3 4 6

# Handling additional constraints

#### Example: route finding

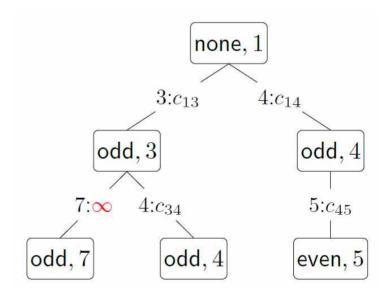
- Find the minimum cost path from city 1 to city n, only moving forward. It costs  $c_{\it ij}$  to go from  $\it i$  to  $\it j$
- Constraint: Can't visit three odd cities in a row.

State: (previous city, current city)

VS.

State: (whether previous city was odd, current city)

How many states?



### Question

• Objective: travel from city 1 to city n, visiting at least 3 odd cities. What is the minimal state?

State: (# odd cities visited, current city)

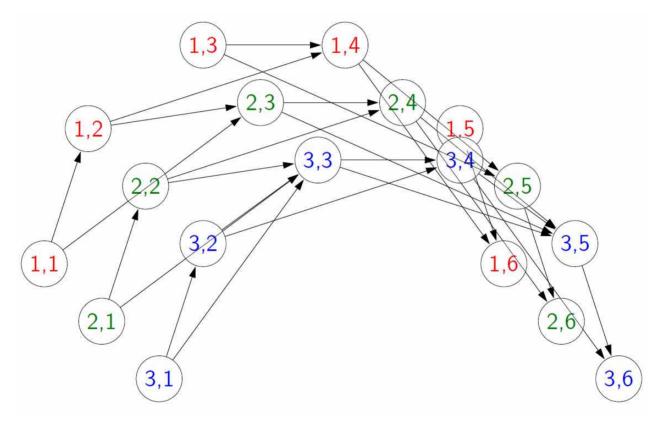
VS.

State: (min(# odd cities visited, 3), current city)

How many states?

# State graph

State: (min(# odd cities visited, 3), current city)



### Question

• Objective: travel from city 1 to city n, visiting more odd than even cities. What is the minimal state?

# Summary

- **State**: summary of past actions <u>sufficient (and minimal?) to choose future actions</u> <u>optimally</u>
- Dynamic programming: <u>backtracking search with memoization</u> potentially exponential savings

Dynamic programming only works for acyclic graphs... what if there are cycles?

# Roadmap

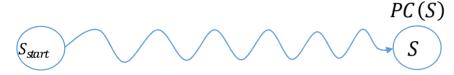
Tree search

Dynamic programming

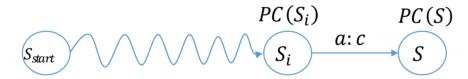
Uniform cost search

Observation: prefixes of optimal path are optimal

• PastCost PC(S): minimal cost from  $S_{start}$  to S



•  $PC(S) = PC(S_i) + Cost(a, c)$ 

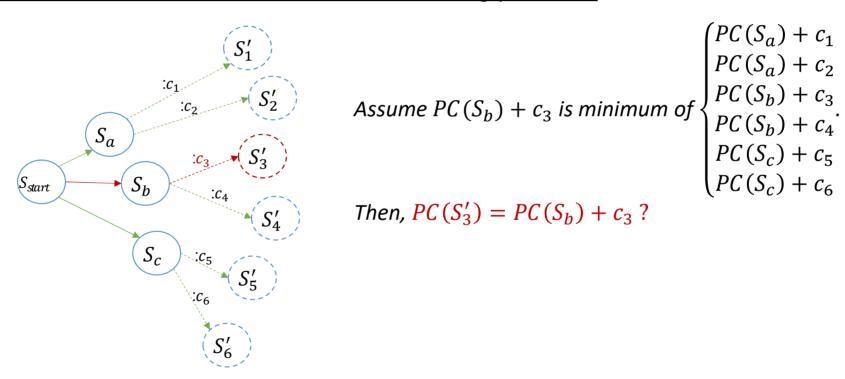


Key idea: state ordering

• UCS enumerates states in order of increasing past cost

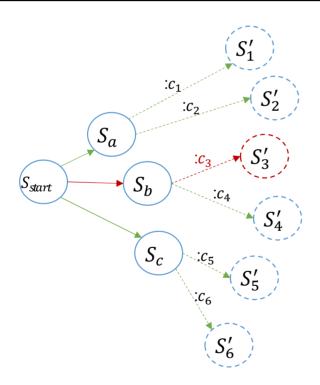
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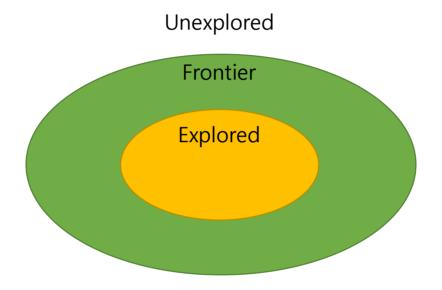
Assume 
$$PC(S_b) + c_3$$
 is minimum of 
$$\begin{cases} PC(S_a) + c_1 \\ PC(S_b) + c_3 \\ PC(S_b) + c_4 \\ PC(S_c) + c_5 \\ PC(S_c) + c_6 \end{cases}$$

Then, 
$$PC(S_3') = PC(S_b) + c_3$$
?

### **Assumption: non-negativity**

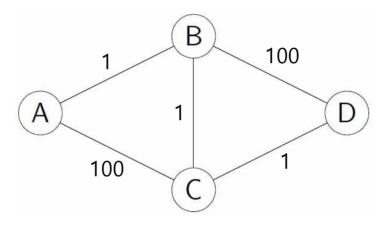
All action costs are non-negative:  $Cost(s, a) \ge 0$ 

# **UCS:** High-level strategy



- Explored: states we've found the optimal path to
- Frontier (Priority Queue): states we've seen, still figuring out how to get there cheaply
- *Unexplored:* states we haven't seen

# **UCS** example



Start state: A, end state: D

Minimum cost path:

A -> B -> C -> D with cost 3

#### Algorithm: uniform cost search [Dijkstra, 1956]

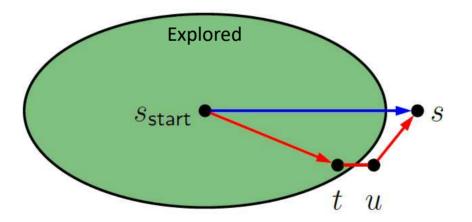
- Add  $s_{\text{start}}$  to **frontier** (priority queue)
- Repeat until frontier is empty:
  - Remove s with smallest priority p from frontier
  - If IsEnd(s): return solution
  - Add s to explored
  - For each action  $a \in Actions(s)$ :
    - Get successor  $s' \leftarrow \operatorname{Succ}(s, a)$
    - If s' already in explored: continue
    - Update **frontier** with s' and priority  $p + \omega st$  (s, a)
    - If updated: backpointers[s'] = (s, a)

# Analysis of uniform cost search

#### Theorem: correctness

• When a state s is popped from the frontier and moved to explored, its priority is PastCost(s), the minimum cost to s.

#### Proof:



### **DP versus UCS**

N total states, n of which are closer than end state

Algorithm	Cycles?	<b>Action costs</b>	Time/space
DP	no	any	O(N)
UCS	yes	$\geq 0$	$O(n \log n)$

#### Note:

- UCS potentially explores fewer states, but requires more overhead to maintain the priority queue
- Assume number of actions per state is constant (independent of n and N)

### Summary

- Tree search: memory efficient, suitable for huge state spaces but exponential worst-case running time
- State: summary of past actions sufficient to choose future actions optimally
- Graph search: dynamic programming and uniform cost search construct optimal paths (exponential savings!)
- Next time: learning action costs, searching faster with A\*