

# 1 Approximating a summation

It is not always possible to find a closed-form expression for a sum. For example, no closed form is known for

$$S = \sum_{i=1}^n \sqrt{i}$$

In such cases, we have to resort to approximating sums.

Let  $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be a *non decreasing function*. Define

$$S ::= \sum_{i=1}^n f(i)$$

and

$$I ::= \int_1^n f(x).dx$$

Then

$$I + f(1) \leq S \leq I + f(n)$$

*Similarly*, if  $f$  is *non increasing*, then

$$I + f(n) \leq S \leq I + f(1)$$

**Proof** Suppose  $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is non decreasing. The value of  $S$  is the sum of the areas of  $n$  unit-width rectangles of height  $f(1), f(2), \dots, f(n)$ . The areas are shown in the following figure:

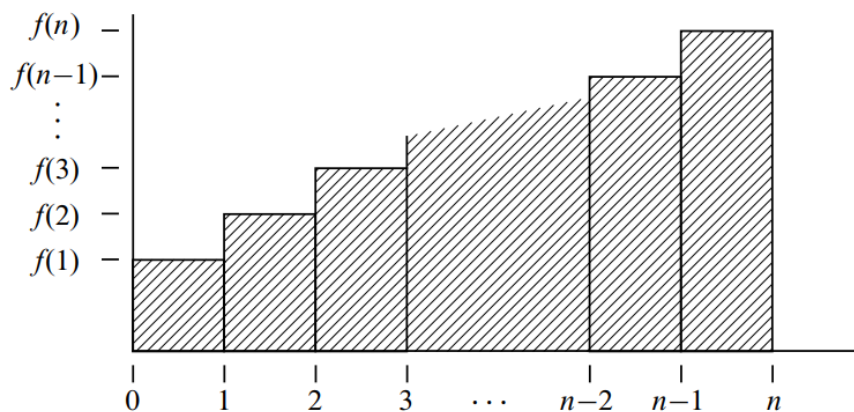


Figure 1: Figure 1

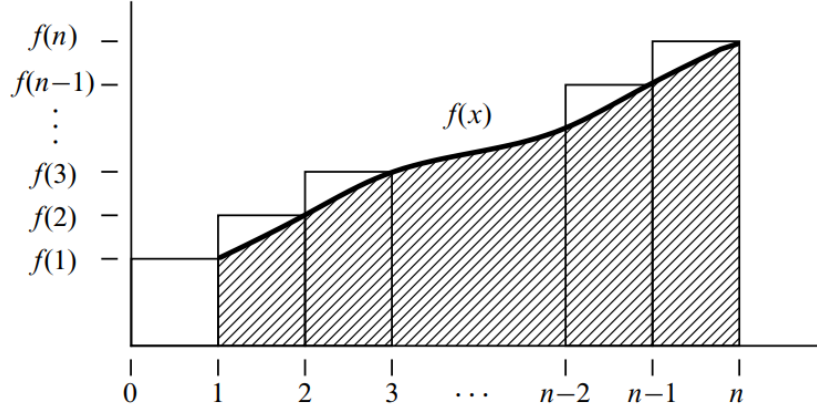


Figure 2: Figure 2

The value of  $I$  is shown in the figure below:

Comparing the above two figures, the lower bound of the area can be seen as  $f(1) + I$ . Therefore,  $S \geq f(1) + I$ .

To derive the area for the upperbound, we shift the curve to one unit by left as shown below.

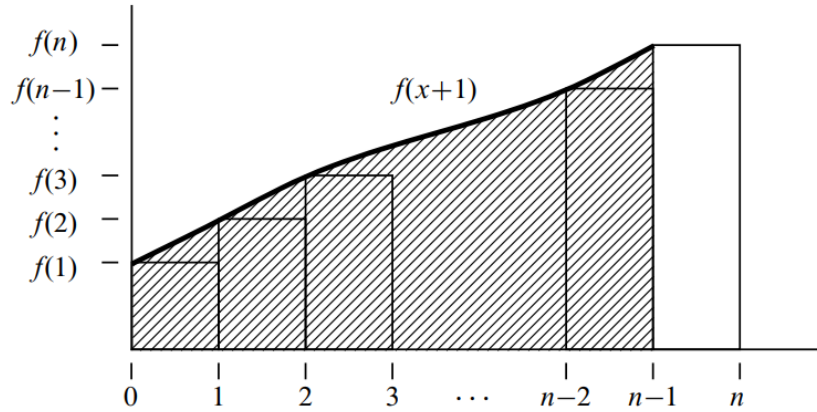


Figure 3: Figure 3

Now, the upperbound can be easily seen as  $I + f(n)$ , Therefore  $S \leq I + f(n)$ .

A similar analysis can be done for *non increasing functions*, or you can just see that the mirror image of the graph of a *non decreasing function* is a *non increasing function* and replace  $x$  with  $-x$  to get the mirror image and invert

the equality signs.

## 2 Asymptotic Notion

Note: All of these relations are on functions.

### 1. Asymptotic Equivalence ( $\sim$ )

Def:  $f(n) \sim g(n)$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$$

For example,  $n^2 \sim n^2 + n$  because

$$\lim_{n \rightarrow \infty} \frac{n^2 + n}{n^2} = 1$$

$\sim$  is an equivalence relation.

### 2. Asymptotically smaller (Little Oh: $o(\cdot)$ )

Def:  $f(n) = o(g(n))$  iff

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$o(\cdot)$  is a strict partial order relation

### 3. Asymptotic Order of Growth (Big Oh: $O(\cdot)$ )

Def:  $f = O(g)$

$$\limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$$

$O(\cdot)$  is a strict partial order relation

### 4. Same order of growth ( $\theta$ )

Def:  $f = \theta(g)$

$$f = O(g)$$

and

$$g = O(f)$$

$\theta(\cdot)$  is an equivalence relation