

STATE OF OHIO
DEPARTMENT OF COMMERCE
Division of Financial Institutions
Consumer Finance

In the matter of:)	Case No. M2006-9993286
)	
JASON C. DOCKERY)	<u>DIVISION ORDER</u>
874 Lafayette Street, #2)	Approval of Loan Officer License Application
Cincinnati, Ohio 45220)	&
)	Notice of Appellate Rights
)	

Respondent, Jason C. Dockery (“Respondent”), submitted a loan officer license application to the Division of Financial Institutions (“Division”) on October 25, 2006. On January 5, 2007, the Division notified Respondent that it intended to deny his loan officer license application (“Application”) because: (1) in or around 1995, in the Rocky River, Ohio, Municipal Court, Respondent was convicted of Attempted Petty Theft; (2) the Division is statutorily prohibited from issuing a loan officer license to the Respondent due to his conviction for a theft offense; and (3) because Respondent’s character and general fitness do not command the confidence of the public and warrant the belief that the business will be operated honestly and fairly in compliance with the purposes of R.C. 1322.01 to 1322.12 – the Ohio Mortgage Broker Act.

Respondent requested an administrative hearing which was held on May 30, 2007. At the hearing, the parties stipulated to revisions of the January 5, 2007 notice, in order to properly reflect the law in effect at the time of Respondent’s application on October 25, 2006. (*See*, Transcript of Proceedings, May 30, 2007, at pp. 7-10.) A Report and Recommendation (“Report”) was filed with the Division on August 29, 2007, recommending that the Division approve Respondent’s Application. No objections were filed.

In accordance with R.C. 119.09, the Division has considered the record, consisting of the Report, the transcript of testimony and exhibits, as well as all applicable laws. (The Report is

attached hereto.) Following its review of the record, the Division hereby adopts the hearing officer's recommendation and approves the loan officer license application of Jason C. Dockery. Respondent should be aware of the recent amendment to the Ohio Mortgage Broker Act, which prohibits the Division from renewing the loan officer license of anyone convicted of a theft offense. *See*, R.C. 1322.041(A)(3), eff. Jan. 1, 2007.

It is so ordered.

NOTICE OF APPELLATE RIGHTS

Respondent is hereby notified that pursuant to R.C. 119.12, this Order may be appealed by filing a notice of appeal with the Ohio Division of Financial Institutions setting forth the order appealed from and the grounds for the appeal. A copy of such notice of appeal, pursuant to R.C. 119.12, must also be filed with the court of common pleas of the county in which the place of business of the Respondent is located, or the county in which the Respondent is a resident. A notice of appeal must be filed within fifteen (15) days after the date of mailing of this Order.

Signed and sealed this 24th day of October 2007.

LEIGH A. WILLIS

Deputy Superintendent for Consumer Finance
Division of Financial Institutions
Ohio Department of Commerce