



Ohio Department of Commerce

Division of Financial Institutions
77 South High Street • 21st Floor
Columbus, OH 43215-6120
(614) 728-8400 FAX (614) 728-0380
www.com.state.oh.us

Ted Strickland
Governor

Gordon J. Gatien
Acting Director

January 26, 2007

D. Michael Quinn, Esq.
Hearing Examiner
Division of Administration
Department of Commerce
77 South High Street, 23rd Floor
Columbus, OH 42315-6123

Re: Documents for Loan Officer License Hearing

Dear Mike:

Enclosed is a copy of the letter scheduling a loan officer license hearing, Notice of Opportunity for a Hearing, and the request for hearing from Jason C. Dockery, whose hearing has been scheduled before you at 10 a.m., March 5, 2007 in Room 1924.

Please call me at 644-7487 if I can be of further assistance.

Respectfully,

A handwritten signature in cursive script, appearing to read "Allyson Porter".

Allyson Porter
Executive Secretary

APP

Enclosures



Ohio Department of Commerce

Division of Financial Institutions
77 South High Street • 21st Floor
Columbus, OH 43215-6120
(614) 728-8400 FAX (614) 644-1631
www.com.state.oh.us

Ted Strickland
Governor

Gordon J. Gatien
Acting Director

January 26, 2007

Jason C. Dockery
874 LaFayette Ave., Apt. #2
Cincinnati, OH 45220

RE: Case No. M2006-9993286
HEARING: **Monday, March 5, 2007 @ 10:00 a.m.**
Room 1924
77 South High Street, 19th Floor
Columbus, OH 43215-6120

Dear Mr. Dockery:

The Division received your request for a hearing on the above referenced case number, and has scheduled the administrative hearing for 10 a.m. on February 5, 2007. The hearing will be held in the library of the office of the Division of Financial Institutions, located at 77 South High Street, 21st Floor, in Columbus.

Because the statutorily scheduled hearing date may not provide you with enough time to prepare your case, the Division, upon its own motion, hereby continues the hearing. **The hearing is rescheduled for Monday, March 5, 2007 at 10:00 a.m. in Room 1924**, located on the 19th Floor of the Vern Riffe Center for Government and the Arts, 77 South High Street in Columbus.

At the hearing you may appear in person, by your attorney or by such other representatives permitted to practice before the Division; or you may present your position, arguments or contentions in writing. You have the right to present evidence and examine witnesses appearing for and against you. Please understand that the administrative hearing is a formal public hearing and that it is your responsibility to prepare and present your appeal as you deem necessary, including the arranging for subpoenas or appearances of any witnesses and or evidence you might feel is in support of your appeal.

If you bring documents to the hearing that you would like to have admitted into evidence, please be sure to bring four (4) copies of each document.

Should you have any questions regarding this matter, please contact Matthew Lampke, Deputy Attorney General at (614) 466-2980.

Respectfully,

Jason K. Wright
Consumer Finance Associate Counsel
Division of Financial Institutions

APP

cc: Matthew J. Lampke, Deputy Attorney General
D. Michael Quinn, Esq.

FINANCIAL INSTITUTIONS

INDUSTRIAL COMPLIANCE

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Ohio Department of Commerce

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(614) 728-8400 FAX (614) 466-5594
www.com.state.oh.us

Bob Taft
Governor

Gordon J. Gatien
Assistant Director

January 5, 2007

Jason C Dockery
874 Lafayette Avenue #2
Cincinnati, OH 45220

Re: Loan Officer License Application Denial
Case No. M2006-9993286

Dear Mr. Dockery:

The Division intends to deny your loan officer license application. You will find the reason(s) for the denial detailed in the enclosed public notice, which also explains that you have a right to a hearing on the matter if properly requested.

If you wish to request a hearing, the request must be made in writing and the written request must be received in the offices of the Ohio Division of Financial Institutions within thirty (30) days of the date of the mailing of this notice. If you fail to request a hearing within this statutory time period, the Division will issue an order denying your application.

To request a hearing, fill out the enclosed HEARING REQUEST FORM and **MAIL** your request to: Ohio Division of Financial Institutions, Consumer Finance Legal Section, 77 South High Street, 21st Floor, Columbus, OH 43215-6120. All hearings will be held in Columbus, Ohio.

Remember, if you wish to contest the Division's decision to deny your loan officer license application, the Division must RECEIVE the enclosed HEARING REQUEST FORM no later than thirty days after the date of this letter. FAXED REQUESTS WILL NOT BE ACCEPTED.

Respectfully,

A handwritten signature in cursive script that reads "Jason K. Wright / app".

Jason K. Wright
Consumer Finance Associate Counsel
Division of Financial Institutions

APP

Enclosures

Certified Mail No. 7005 3110 0001 6476 4160

**STATE OF OHIO
DEPARTMENT OF COMMERCE
Division of Financial Institutions
Consumer Finance**

In the matter of:)	Case No. M2006-9993286
)	
JASON C. DOCKERY)	Notice of Intent to Deny Loan Officer License Application
874 Lafayette Avenue, #2)	&
Cincinnati, Ohio 45220)	Notice of Opportunity for a Hearing
)	

JURISDICTION

The Ohio Department of Commerce, by and through the Superintendent of the Division of Financial Institutions ("Division"), is charged with the responsibility of administering and enforcing the Ohio Mortgage Broker Act, as codified in Ohio Revised Code ("R.C.") Chapter 1322. In accordance therewith, the Division is accountable for the licensing of individuals as residential mortgage loan officers.

RESPONDENT

JASON C. DOCKERY ("Respondent") has applied to the Division for a loan officer license. His address of record is 874 Lafayette Ave., #2, Cincinnati, Ohio 45220, and his date of birth is June 8, 1974. Respondent's employer of record is Coldstream Financial Services, Inc., 11590 Century Boulevard, Floor 2, Cincinnati, Ohio 45246.

NOTICE OF PROPOSED ACTION

In accordance with sections 1322.041 and 1322.10 of the R.C., and R.C. Chapter 119, the Division intends to DENY Respondent's loan officer license application.

BASIS FOR PROPOSED ACTION

The Division has conducted an investigation of Respondent pursuant to R.C. 1322.031(B) and has found that, in or around 1995, in the Rocky River, Ohio, Municipal Court, Respondent was convicted of Attempted Petty Theft, a misdemeanor of the first degree.

As a result of the findings listed above, the Division has determined that:

1. The Division is statutorily prohibited from issuing a loan officer license to the Respondent because he has been convicted of theft. See R.C. sections 1322.031(A)(2) and 1322.041(A)(3).
2. Respondent's actions, as listed above, show Respondent's character and general fitness do not command the confidence of the public and warrant the belief that the business will be operated honestly and fairly in compliance with the purposes of the Ohio Mortgage Broker Act. See R.C. 1322.041(A)(6).

NOTICE OF OPPORTUNITY FOR A HEARING ON PROPOSED ACTION

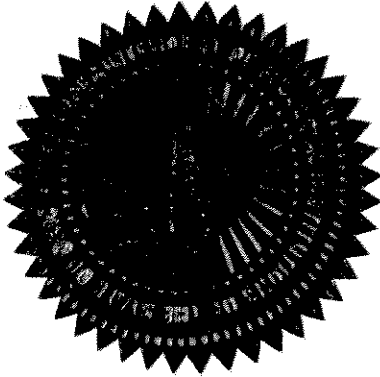
Therefore, pursuant to R.C. Chapters 1322 and 119, Respondent is hereby notified that thirty-one (31) days from the date of the mailing of this Notice, the Superintendent intends to issue an order denying Respondent a loan officer license under the Ohio Mortgage Broker Act.

Respondent is further notified, pursuant to R.C. Chapter 119, that Respondent is entitled to a hearing on this matter. If Respondent desires to request a hearing, the request must be made in writing, and must be received in the offices of the Ohio Division of Financial Institutions within thirty (30) days of the time of the mailing of this Notice. Hearing requests should be addressed: Ohio Division of Financial Institutions, Attn: Jason K. Wright, Consumer Finance Associate Counsel, 77 South High Street, 21st Floor, Columbus, Ohio 43215-6120.

At the hearing, Respondent may appear in person, by Respondent's attorney, or by such other representative as is permitted to practice before the Agency, or Respondent may present its position, arguments, or contentions in writing, and, at the hearing, may present evidence and examine witnesses appearing for and against Respondent.

If the Ohio Division of Financial Institutions does not receive a written request for a hearing in its offices within thirty (30) days of the time of the mailing of this Notice, the Superintendent will issue an order denying Respondent a loan officer license.

Signed and sealed this 5th day of January 2007.

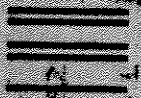


A handwritten signature in dark ink, appearing to read "Robert M. Grieser", written over a horizontal line.

ROBERT M. GRIESER

Deputy Superintendent for Consumer Finance
Division of Financial Institutions
Ohio Department of Commerce

UNITED STATES POSTAL SERVICE
FIRST CLASS PERMIT NO. 5100
COLUMBUS, OH 43215-6120



10 JAN 21 2007 PM 4:11
103

• Sender: Please print your name, address, and ZIP+4 in this box •

Ohio Dept. of Commerce
Division of Financial Institutions
77 South High St., 21st. Floor
Columbus, OH 43215-6120
Attn: Allison Porter

RECEIVED
DIVISION OF FINANCIAL
INSTITUTIONS

07 JAN 2007

Postage & Fees Paid
USPS
Permit No. 5100

SENDER: COMPLETE THIS SECTION

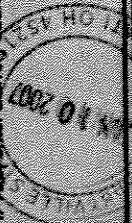
- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

**JASON C DOCKERY
874 LAFAYETTE AVENUE #2
CINCINNATI, OH 45220**

COMPLETE THIS SECTION ON DELIVERY

A. Signature ☒ Agent
☒ Addressee
 B. Received by (Printed Name) C. Date of Delivery
 D. Is delivery address different from item 1? ☐ Yes ☐ No
 If YES, enter delivery address below:



3. Service Type
☒ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.
 4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number
 (Transfer from carrier) 7005 3110 0001 6476 4160

PS Form 3811, February 2004

Domestic Return Receipt

102588-02-M-1546



Ohio Department of Commerce

Division of Financial Institutions
77 South High Street • 21st Floor
Columbus, OH 43215-6120
(614) 728-8400
www.com.state.oh.us

Bob Taft
Governor

Gordon J. Gatten
Assistant Director

Hearing Request Form

Case Number: 177006-9993286
Your case number is listed in the upper right-hand corner of your Notice

Pursuant to Ohio Revised Code Chapter 119, I request a formal public hearing concerning the Division's intention to deny my loan officer license application.

PRINTED Name: Jason Dockery

Signature: Jason Dockery

07 JAN 25 PM 2:17

RECEIVED
DIVISION OF FINANCIAL
INSTITUTIONS

This form must be RECEIVED by the Division no later than February 5, 2007.

Mail this COMPLETED form to:
Ohio Division of Financial Institutions
Consumer Finance Legal Section
77 South High Street, 21st Floor
Columbus, OH 43215-6120