

# Assignment Seven

## ECE 4200/5240

- Provide credit to **any sources** other than the course staff that helped you solve the problems. This includes **all students** you talked to regarding the problems.
- You can look up definitions/basics online (e.g., wikipedia, stack-exchange, etc)
- **The due date is 11/12/2021, 23.59.59 eastern time.**
- Submission rules are the same as previous assignments.

**Problem 1. (10 points).** The tanh function is  $\tanh(y) = (e^y - e^{-y})/(e^y + e^{-y})$ . Consider the function  $\tanh(w_0 + w_1x_1 + w_2x_2)$ , with five inputs, and a scalar output.

1. Draw the computational graph of the function (you can use tanh in your computation graph).
2. What is the derivative of  $\tanh(y)$  with respect to  $y$ .
3. Suppose  $(w_0, w_1, w_2, x_1, x_2) = (-2, -3, 1, 2, 3)$ . Compute the forward function values, and back-propagation of gradients.

**Problem 2. (15 points).** Consider one layer of a ReLU network. The feature vector is  $d$  dimensional  $\vec{x}$ . The linear transformation is a  $m \times d$  dimensional matrix  $W$ . The output of the ReLU network is a  $m$  dimensional vector  $y$  given by  $\max\{\mathbf{0}, W\vec{x}\}$ . This is a component-wise max function.

- Suppose  $\vec{x}$  is fixed, and all its entries are non-zero.
  - Suppose the entries in  $W$  are all independent, and distributed according to a Gaussian distribution with mean 0, and standard deviation 1 (a  $N(0, 1)$  distribution).
1. Show that the expected number of non-zero entries in the output is  $m/2$ .
  2. Suppose  $\|\vec{x}\|_2^2 = \sigma^2$ , what is the distribution of each entry in  $Wx$  (the output before applying ReLU function)?
  3. What is the mean of each entry in  $y$  (after ReLU function)?

**Problem 3. (10 points).** Consider the setting as in the previous problem, with  $m = 2$ , and  $d = 2$ . Let

$$W = \begin{bmatrix} 1 & 2 \\ -2 & 3 \end{bmatrix}, \vec{x} = \begin{bmatrix} 2 \\ -3 \end{bmatrix}.$$

Consider the function  $L = \max \left\{ \sigma(W_{(1)} \vec{x}), \sigma(W_{(2)} \vec{x}) \right\}$ , where  $\sigma$  is the Sigmoid function and  $W_{(i)}$  denotes the  $i$ th row of  $W$ . Please draw the computational graph for this function, and compute the gradients (which will be Jacobians at some nodes!).

**Problem 4. (10 points).** Given inputs  $z_1, z_2 \in \mathbb{R}$ , the softmax function is the following:

$$\hat{y} = \frac{e^{z_1}}{e^{z_1} + e^{z_2}}.$$

Let  $y \in \{0, 1\}$ , then define the cross-entropy loss between  $y$  and  $\hat{y}$  be

$$L(y, \hat{y}) = -y \log(\hat{y}) - (1 - y) \log(1 - \hat{y}).$$

Prove that:

$$\frac{\partial L(y, \hat{y})}{\partial z_1} = \hat{y} - y, \frac{\partial L(y, \hat{y})}{\partial z_2} = y - \hat{y}.$$

**Problem 5. (15 points).** Consider datapoints in Figure 1:  $(-2, 0)$ ,  $(2, 0)$  are crosses, and  $(0, 2)$ ,  $(0, -2)$  are circles. Let the crosses be labeled  $+1$ , and the circles be labeled  $-1$ . In this problem the goal

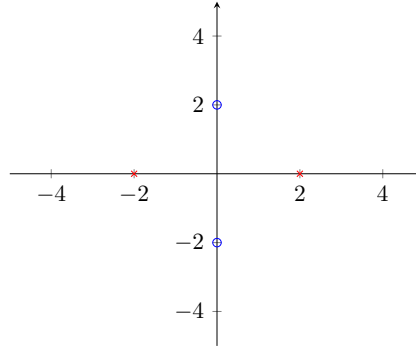
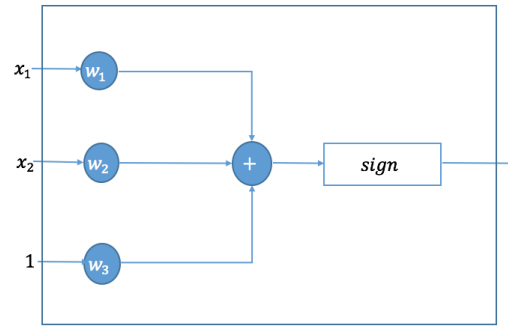


Figure 1: Neural Networks

is to design a neural network with no error on this dataset.

To make things simple, consider the following generalization. We first append a  $+1$  to each input and form a new dataset as follows:  $(-2, 0, 1)$ ,  $(2, 0, 1)$  are labeled  $+1$ , and  $(0, 2, 1)$ ,  $(0, -2, 1)$  are labeled  $-1$ . Note that the last feature is redundant.

We consider the following basic units for our neural networks: Linear transformation followed by hard thresholding. Each unit has three parameters  $w_1, w_2, w_3$ . The output of the unit is the sign of the inner product of the parameters with the input.



1. Design a neural network with these units that make no error on the datapoints above. (Hint: You can take two units in the first layer, and one in the output layer, a total of three units).
2. Show that if you design a neural network with ONLY one such unit, then the points cannot be all classified correctly.

**Problem 6. (40 points).** See attached notebook for details.