

# Derivation of Gaussian Beam under 2D space and 3D space

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# Conditions

Under paraxial condition, the solution is taken as  $\psi = u(x, y, z)e^{-ikz}$ , where  $u(x, y, z)$  is a slowly varying function of  $z$ .

$$\left| \frac{\partial u}{\partial z} \right| \ll |ku|, \quad \left| \frac{\partial^2 u}{\partial z^2} \right| \ll \left| k \frac{\partial u}{\partial z} \right| \ll \left| k^2 u \right|$$

$$\frac{\partial \psi}{\partial z} = \frac{\partial u}{\partial z} e^{-ikz} + (-ik)u e^{-ikz}$$

$$\frac{\partial^2 \psi}{\partial z^2} = \frac{\partial^2 u}{\partial z^2} e^{-ikz} + 2(-ik) \frac{\partial u}{\partial z} e^{-ikz} + (-k^2)u e^{-ikz}$$

Helmholtz equation:  $\nabla^2 \psi + k^2 \psi = \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2} + k^2 \psi = 0$

$$\rightarrow \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik) \frac{\partial u}{\partial z} = 0$$

# Conditions

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik)\frac{\partial u}{\partial z} = 0$$

• Two dimension:

$$1. \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \frac{\partial^2}{\partial x^2}$$

2. Use Cartesian coordinate system

$$\rightarrow \frac{\partial^2 u}{\partial x^2} - 2ik\frac{\partial u}{\partial z} = 0$$

• Three dimension:

$$1. \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \nabla_T^2 = \nabla^2 - \frac{\partial^2}{\partial z^2}$$

2. Use Cylindrical coordinate system

$$\rightarrow \nabla_T^2 u - 2ik\frac{\partial u}{\partial z} = 0$$

$$\rightarrow \frac{1}{\rho} \frac{\partial}{\partial \rho} (\rho \frac{\partial}{\partial \rho}) u + \frac{1}{\rho^2} \frac{\partial^2}{\partial \theta^2} u - 2ik\frac{\partial u}{\partial z} = 0$$

# 3D Calculation Process

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} (\rho \frac{\partial}{\partial \rho}) u - 2ik \frac{\partial u}{\partial z} = 0$$

In cylindrical coordinate system with axial symmetry,

$$u = A e^{-i(p(z) + \frac{k\rho^2}{2q(z)})},$$

where  $p(z)$  is the amplitude function which is related to  $z$ , and  $\frac{k\rho^2}{2q(z)}$  is related to the phase front.

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} (\rho \frac{\partial}{\partial \rho}) u = \left( -\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u, -2ik \frac{\partial u}{\partial z} = \left( -2k \frac{\partial P}{\partial z} + \frac{k^2 \rho^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u$$

$$\rightarrow \left( -\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} - 2k \frac{\partial P}{\partial z} + \frac{k^2 \rho^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u = 0$$

# 3D Calculation Process

$$-\frac{2ik}{q(z)} - \frac{k^2\rho^2}{q^2(z)} - 2k\frac{\partial P}{\partial z} + \frac{k^2\rho^2}{q^2(z)}\frac{\partial q}{\partial z} = 0$$

$$\begin{cases} \rho^2 : -\frac{k^2}{q^2(z)} + \frac{k^2}{q^2(z)}\frac{\partial q}{\partial z} = 0 \\ 1 : -\frac{2ik}{q(z)} - 2k\frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} -1 + \frac{\partial q}{\partial z} = 0 \\ \frac{i}{q(z)} + \frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} q = z + q_0 \\ p = -i \ln(z + q_0) \end{cases}$$

$$\rightarrow u = Ae^{-\ln(z+q_0) - i\frac{k\rho^2}{2(z+q_0)}}$$

Let  $q_0 = -z + is$ , where  $z_0$  and  $s$  are real.

$$\rightarrow u = Ae^{-\ln(z-z+is) - i\frac{k\rho^2}{2(z-z+is)}} = A\frac{1}{(z-z)+is}e^{-i\frac{k\rho^2}{2}\frac{(z-z_0)-is}{(z-z_0)^2+s^2}}$$

$$= A\frac{1}{(z-z)+is}e^{-\frac{k\rho^2}{2}\frac{s}{(z-z_0)^2+s^2}}e^{-i\frac{k\rho^2}{2}\frac{(z-z_0)}{(z-z_0)^2+s^2}}$$

# 3D Calculation Process

$$u = A \frac{1}{(z - z_0) + is} e^{-\frac{k\rho^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

$$\frac{1}{(z - z_0) + is} = \frac{(z - z_0) - is}{(z - z_0)^2 + s^2} = \frac{(z - z_0) - is}{s(\frac{(z - z_0)^2}{s^2} + 1)} = \frac{\sqrt{\frac{2s}{k}}(\frac{z - z_0}{s} - i)}{\sqrt{\frac{2s}{k}}(\frac{(z - z_0)^2}{s^2} + 1)} = \frac{\sqrt{\frac{2s}{k}}i(-1 - \frac{z - z_0}{s}i)}{\sqrt{\frac{2s}{k}}(\frac{(z - z_0)^2}{s^2} + 1)}$$

$$= \frac{1}{\sqrt{\frac{2s}{k}(\frac{(z - z_0)^2}{s^2} + 1)}} \sqrt{\frac{2s}{k}}ie^{i\phi} = \frac{w_0}{w(z)}ie^{i\phi}, \text{ where } \begin{cases} \phi = \tan^{-1} \frac{z - z_0}{s} \\ \frac{1}{w^2(z)} = \frac{ks}{2((z - z_0)^2 + s^2)}, w_0 = \sqrt{\frac{2s}{k}} \end{cases}$$

$$\rightarrow u = A \frac{w_0}{w(z)} e^{i\phi} e^{-\frac{k\rho^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

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$$u = A \frac{w_0}{w(z)} e^{i\phi} e^{-\frac{k\rho^2}{2} \frac{s}{(z-z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z-z_0)}{(z-z_0)^2 + s^2}}$$

$$\left\{ \begin{array}{l} \frac{1}{w^2(z)} = \frac{ks}{2((z-z_0)^2 + s^2)} \\ \frac{1}{R(z)} = \frac{z-z_0}{(z-z_0)^2 + s^2} \\ \tan \phi = \frac{z-z_0}{s} \end{array} \right.$$

Beam radius

Curvature radius of the phase front

Gouy phase

$$\rightarrow u = A \frac{w_0}{w(z)} e^{\frac{-\rho^2}{w^2(z)}} e^{-i(\frac{k\rho^2}{2R(z)} - \phi)}$$

$$\rightarrow \psi = A \frac{w_0}{w(z)} e^{\frac{-\rho^2}{w^2(z)}} e^{-i(kz + \frac{k\rho^2}{2R(z)} - \phi)}$$

# Conditions

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik)\frac{\partial u}{\partial z} = 0$$

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$$1. \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \frac{\partial^2}{\partial x^2}$$

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$$\rightarrow \nabla_T^2 u - 2ik\frac{\partial u}{\partial z} = 0$$

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# 2D Calculation Process

$$\frac{\partial^2 u}{\partial x^2} - 2ik \frac{\partial u}{\partial z} = 0$$

In cylindrical coordinate system with axial symmetry,

$$u = Ae^{-i(p(z) + \frac{k\rho^2}{2q(z)})},$$

where  $p(z)$  is the amplitude function which is related to  $z$ , and  $\frac{k\rho^2}{2q(z)}$  is related to the phase front.

In Cartesian coordinate system, simplify  $\rho^2 = x^2 + y^2$  as  $x^2$ , so

$$u = Ae^{-i(p(z) + \frac{kx^2}{2q(z)})}.$$

# 2D Calculation Process

$$u = A e^{-i(p(z) + \frac{kx^2}{2q(z)})}$$

$$\frac{\partial^2}{\partial x^2} u = \left( -\frac{ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u, \quad -2ik \frac{\partial u}{\partial z} = \left( -2k \frac{\partial P}{\partial z} + \frac{k^2 x^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u$$

$$\rightarrow \left( -\frac{ik}{q(z)} - \frac{k^2 x^2}{q^2(z)} - 2k \frac{\partial P}{\partial z} + \frac{k^2 x^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u = 0$$

# 2D Calculation Process

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$$\begin{cases} x^2 : -\frac{k^2}{q^2(z)} + \frac{k^2}{q^2(z)} \frac{\partial q}{\partial z} = 0 \\ 1 : -\frac{ik}{q(z)} - 2k \frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} -1 + \frac{\partial q}{\partial z} = 0 \\ \frac{i}{2q(z)} + \frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} q = z + q_0 \\ p = -\frac{i}{2} \ln(z + q_0) \end{cases}$$

$$\rightarrow u = A e^{-\frac{1}{2} \ln(z+q_0) - i \frac{kx^2}{2(z+q_0)}}$$

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$$\rightarrow u = A e^{-\frac{1}{2} \ln(z-z+is) - i \frac{kx^2}{2(z-z+is)}} = A \sqrt{\frac{1}{(z-z)+is}} e^{-i \frac{kx^2}{2} \frac{(z-z_0)-is}{(z-z_0)^2+s^2}}$$

$$= A \sqrt{\frac{1}{(z-z)+is}} e^{-\frac{kx^2}{2} \frac{s}{(z-z_0)^2+s^2}} e^{-i \frac{kx^2}{2} \frac{(z-z_0)}{(z-z_0)^2+s^2}}$$

# 2D Calculation Process

$$u = A \sqrt{\frac{1}{(z - z_0) + is}} e^{-\frac{k\rho^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

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$$= \frac{1}{\sqrt{\frac{2s}{k}(\frac{(z - z_0)^2}{s^2} + 1)}} \sqrt{\frac{2s}{k}}ie^{i\phi} = \frac{w_0}{w(z)}ie^{i\phi}, \text{ where } \begin{cases} \phi = \tan^{-1} \frac{z - z_0}{s} \\ \frac{1}{w^2(z)} = \frac{ks}{2((z - z_0)^2 + s^2)}, w_0 = \sqrt{\frac{2s}{k}} \end{cases}$$

$$\rightarrow u = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{i}{2}\phi} e^{-\frac{kx^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{kx^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

# 2D Calculation Process

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Beam radius

Curvature radius of the phase front

Gouy phase

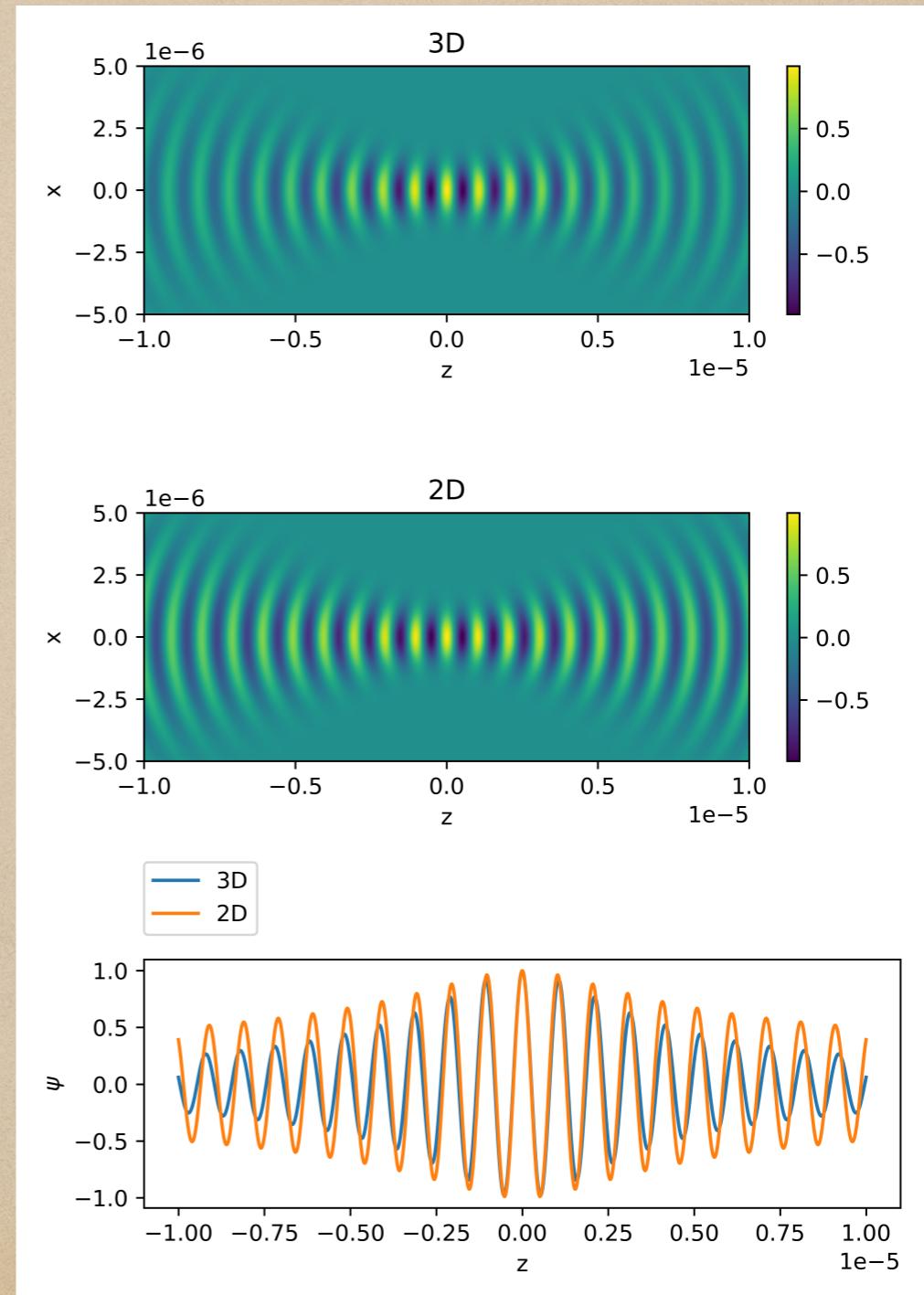
$$\rightarrow u = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(\frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

$$\rightarrow \psi = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(kz + \frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

# 2D v.s. 3D

$$\psi_{2D} = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(kz + \frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

$$\psi_{3D} = A \frac{w_0}{w(z)} e^{\frac{-\rho^2}{w^2(z)}} e^{-i(kz + \frac{k\rho^2}{2R(z)} - \phi)}$$



# 2D v.s. 3D

$$\psi_{2D} = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(kz + \frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

$$\frac{\partial^2 u}{\partial x^2} - 2ik \frac{\partial u}{\partial z} = 0$$

$$\frac{\partial^2}{\partial x^2} u = \left( -\frac{ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u$$

$$\psi_{3D} = A \frac{w_0}{w(z)} e^{\frac{-x^2}{w^2(z)}} e^{-i(kz + \frac{kx^2}{2R(z)} - \phi)}$$

$$\nabla_T^2 u - 2ik \frac{\partial u}{\partial z} = \frac{\partial^2}{\partial x^2} u + \frac{\partial^2}{\partial y^2} u - 2ik \frac{\partial u}{\partial z} = 0$$

$$\frac{\partial^2}{\partial x^2} u + \frac{\partial^2}{\partial y^2} u = \left( -\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u$$

