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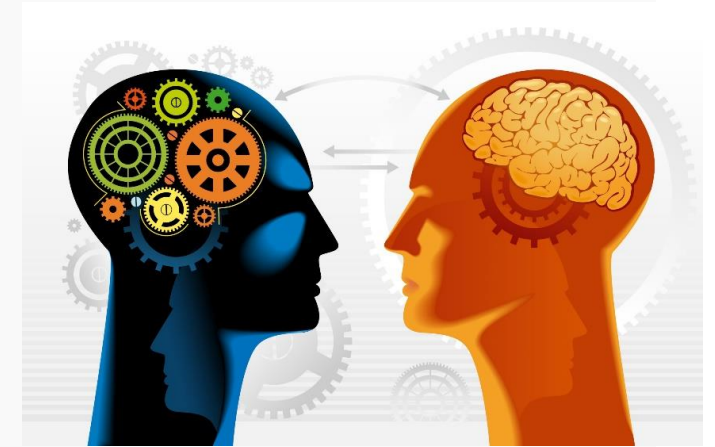


CORPUS APPROACHES TO TEXTUAL DATA

BAN432 APPLIED TEXTUAL DATA FOR BUSINESS AND FINANCE
GUEST LECTURE
GISLE ANDERSEN

Text data analysis and related fields

- Corpus linguistics
- Computational linguistics
- **Language technology** (speech/text)
- Natural language processing
- Artificial intelligence



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Use cases of NLP



Example of relevant research

- Phone discussions in **quarterly earnings conference calls** involving CEOs/CFOs
- **Linguistically-based analysis**
- Correlated calls with financial statements
- Labelled call data as **“truthful”** or **“deceptive”**

Larcker & Zakolyukina. 2012. Detecting Deceptive Discussions in Conference Calls. *Jrnl of Accounting Research*. 50 (2), 495-540.

- Language of deceptive executives: **more references to general knowledge, fewer non-extreme positive emotions and fewer refs to shareholder value.**
- Deceptive CEOs use significantly **more extreme positive emotion words** and **fewer anxiety words**

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Very good;
Highly positive

*I fear that ...;
... should be
regarded with
caution*



Motivational credo

- Linguistic processing/language technology tools are useful.
- Annotating text according to linguistic categories may be a valuable supplement to strictly statistical methods.
- This can potentially increase accuracy in applications such as sentiment analysis, machine translation, etc.
- But also have obvious appliances in business and finance.
- E.g. finding out whether tweets about a certain brand/product/company are truly positive or negative
- And contributing more generally to our understanding of how texts are used to achieve strategic objectives.

Outline

- Language (&) technology and the relevance of linguistic processing
 - Brief student activity
- Keywords/keyness – term extraction
- Collocation – the systematic co-occurrence of words
 - Demo and application: The Sketch Engine
 - Resources in R
- Concluding remarks

Outline

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Main learning objectives

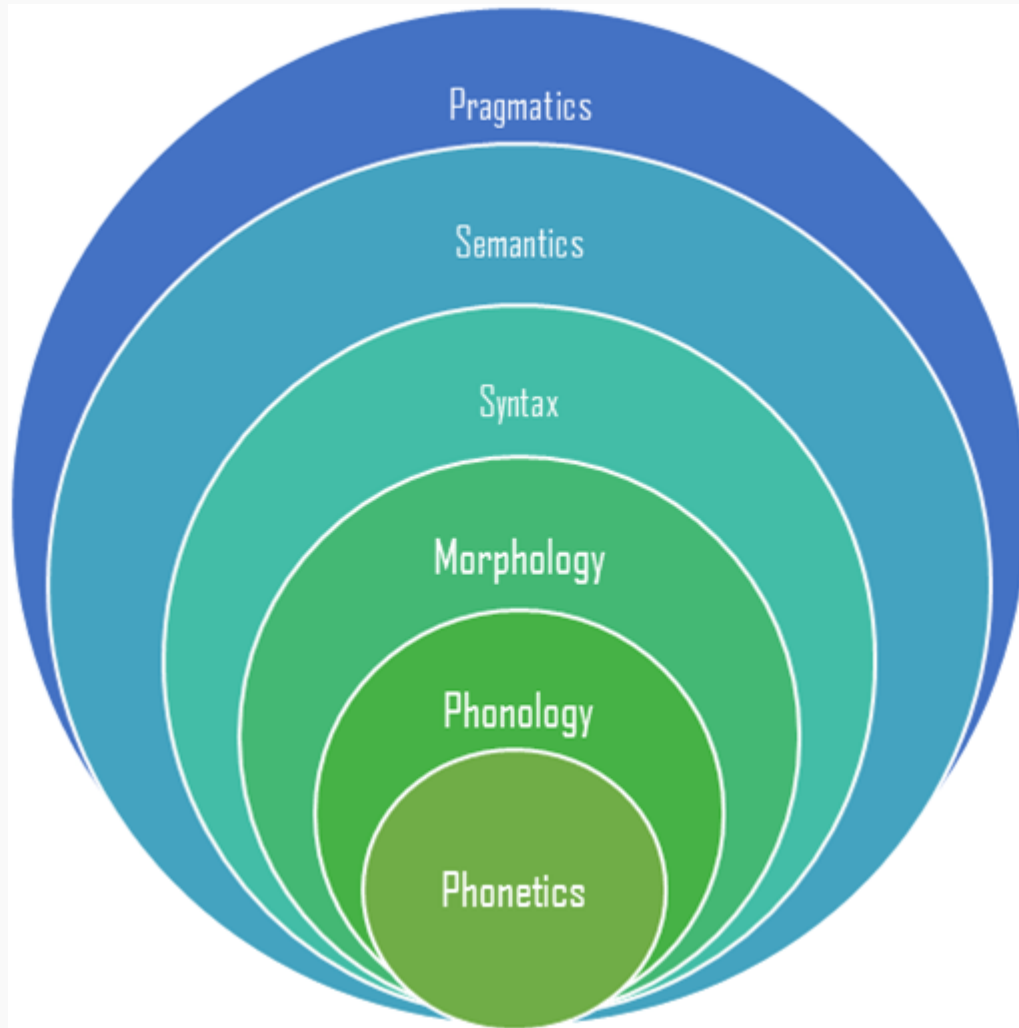
- Understand the relevance of **methods in NLP/CL** for business studies.
- Learn about **basic concepts** and methods in linguistics/NLP.
- Familiarise ourselves with the concepts **keywords/keyness**
 - i.e. the fact that certain words and phrases occur significantly more frequently in one text collection than another.
- Familiarise ourselves with the significance of **collocation**
 - (NO=*samforekomst*) in text, i.e. the fact that two or more words occur together more often than by chance.
- Briefly hear about some useful resources in R
- Get acquainted with the infrastructure Sketch Engine

**CORPUS APPROACHES TO
TEXTUAL DATA**

**BASIC CONCEPTS IN
CORPUS LINGUISTICS
AND NATURAL
LANGUAGE
PROCESSING**



Levels of analysis and modelling



Pragmatics: reference assignment, disambiguation

Semantics: *he* = male person;
bolt = “a stout pin for fastening” OR
“a sudden spring or start”

```
[ (he, 'PP'), (made, 'VVD'),  
  (a, 'DT'), (bolt, NN),  
  (for, 'IN'), (the, 'DT'),  
  (door, 'NN') ]
```

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/hI meId @ b@UIt fQ D@ dO: /

He made a bolt for the door.

Aspects of linguistic processing in text data analysis

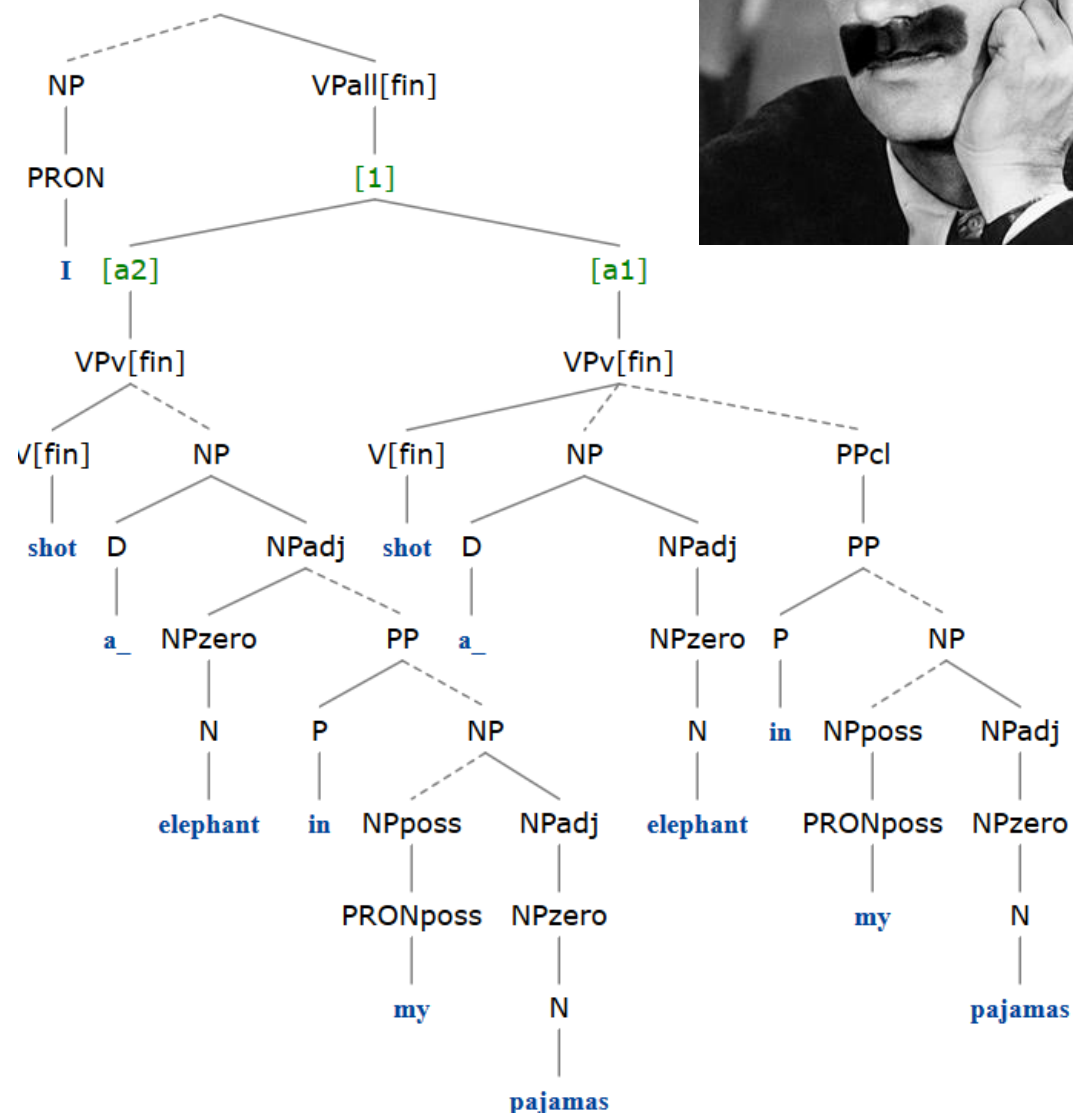
- Lemmatisation (grouping: *center* – *centre* – *centres* ...)
- Word class tagging, disambiguation (*center* noun/adj/verb)
- Semantic annotation (e.g. ‘middle point’ vs. ‘location’)
- Phraseological analysis (e.g. *centre of attention/balance/excellence*)
- Syntactic parsing (subj. obj., etc.)
- Discourse annotation (new/given information, speech acts)

	CENTER
	Center
	Centers
	Centre
	center
	centers
	centre
	centres

Syntactic parsing / ambiguity resolution

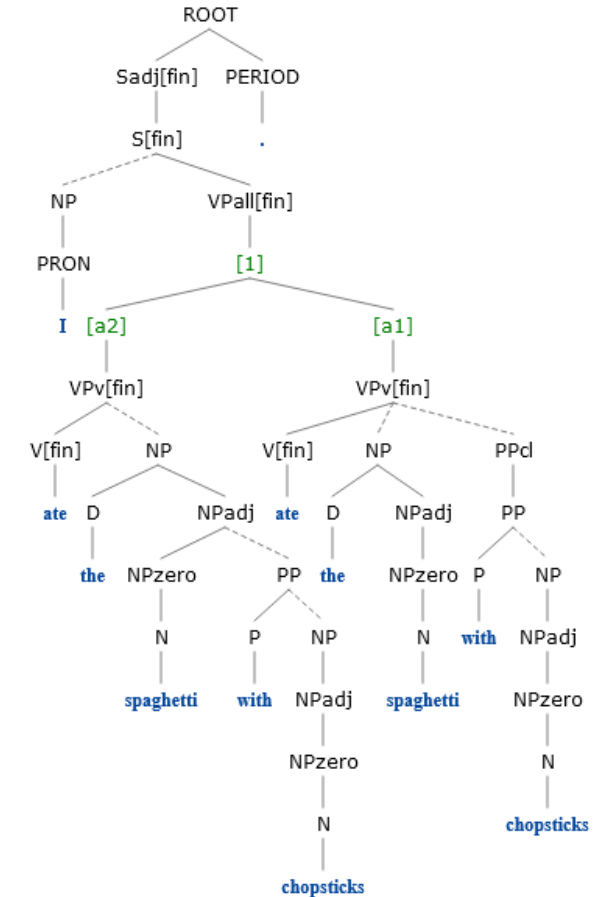
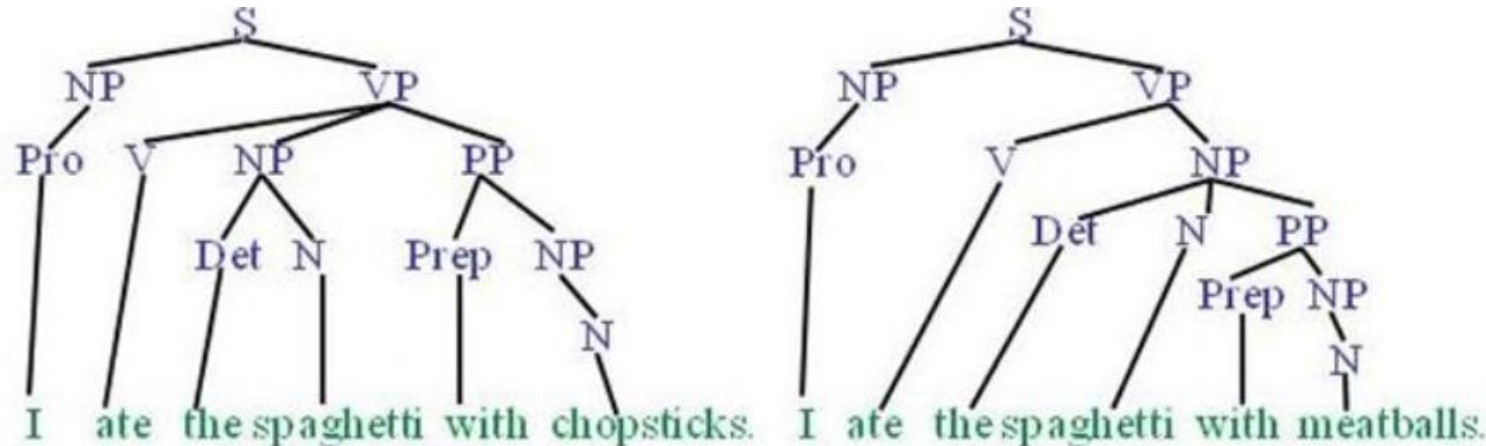
- *One morning I shot an elephant in my pyjamas.*
- *How he got into my pyjamas I'll never know.*

- **Syntactic parsing:** assigning syntactic structure to a sentence
 - phrase structure
 - subject/object
 - active/passive
 - *wh*-cleft; *it/there*; etc.
- Expressed (visualised) as parse tree or symbolic output (string)
- Often many outputs of same sentence (structural ambiguity)



Why parsing?

- To get the meaning right!
- S1: “I ate the spaghetti with chopsticks.”
- [(I, 'PP'), (ate, 'VVD'), (the, 'DT'), (spaghetti, NN), (with, 'IN'), (chopsticks, 'NNS')]
- S2: “I ate the spaghetti with meatballs.”
- [(I, 'PP'), (ate, 'VVD'), (the, 'DT'), (spaghetti, NN), (with, 'IN'), (meatballs, 'NNS')]



Task: check your own mother tongue

- Discuss in pairs/groups of three.
- 1. Translate the two “spaghetti” sentences into your mother tongue.
 - a) To what extent does **your language** display the same syntactic ambiguity as in English?
 - b) What happens to the preposition “with”?
- 2. Think of a **lexically ambiguous** word in your language.
- 3. Try to construct a **syntactically ambiguous** sentence in a language of your choice.
- 4. Can you think of translations problems resulting from these ambiguities?

**Corpus approaches to
textual data**

**KEYWORDS/
KEYNESS AND
TERM EXTRACTION**

KEYWORDS



Keywords



- **Keywords**: words that occur relatively more often in a text corpus being analysed than in some **reference corpus**
- Reference corpus usually large, general language (e.g. BNC)
- Frequency difference must be **statistically significant**
- A way of highlighting **lexical saliency**
- i.e. identifying words that stand out as signposts, thus identifying the 'aboutness'
- Relevant for the analysis of **trends and developments**
- Useful technique for **term extraction**

General vs. domain-specific corpus

Case: economics (Bondi 2010)

- **Keywords:** *employment, interest, money, rate, investment*
- **Key clusters:** *the rate of interest, (the) marginal efficiency of capital, the quantity of money*
- Organisational **key phrases:** *in terms of, is equal to, it follows that, as a whole*
- Grammatical words: *of, which*
 - *(income/factors/consumption)(,)*
 - *which*
 - *the X of Y*

- Keynes, J.M. 1936. *The General Theory of Employment, Interest and Money*. New York: Harcourt & Brace.
- Corpus of economics articles
 - cf. Bondi, M. 2010. Perspectives on keywords and keyness. In Bondi, M. & M. Scott. *Keyness in texts*. Amsterdam: John Benjamins, 1-18.

Male vs. female speech (Rayson et al. 1997)

TABLE 2: Words most characteristic of male speech¹⁰

WORD	MALES	M %	FEMALES	F %	χ^2
fucking	1401	0.08	325	0.01	1233.1
er	9589	0.56	9307	0.36	945.4
the	44617	2.60	57128	2.20	698.0
yeah	22050	1.29	28485	1.10	310.3
aye	1214	0.07	876	0.03	291.8
right	6163	0.36	6945	0.27	276.0
hundred	1488	0.09	1234	0.05	251.1
fuck	335	0.02	107	0.00	239.0
is	13608	0.79	17283	0.67	233.3
of	13907	0.81	17907	0.69	203.6
two	4347	0.25	5022	0.19	170.3
three	2753	0.16	2959	0.11	168.2
a	28818	1.68	39631	1.53	151.6
four	2160	0.13	2279	0.09	145.5
ah	2395	0.14	2583	0.10	143.6
no	14942	0.87	19880	0.77	140.8
number	615	0.04	463	0.02	133.9
quid	484	0.03	339	0.01	124.2
one	9915	0.58	12932	0.50	123.6
mate	262	0.02	129	0.00	120.8
which	1477	0.09	1498	0.06	120.5

TABLE 3: Words most characteristic of female speech

WORD	MALES	M %	FEMALES	F %	χ^2
she	7134	0.42	22623	0.87	3109.7
her	2333	0.14	7275	0.28	965.4
said	4965	0.29	12280	0.47	872.0
n't	24653	1.44	44087	1.70	443.9
I	55516	3.24	92945	3.58	357.9
and	29677	1.73	50342	1.94	245.3
to	23467	1.37	39861	1.54	198.6
cos	3369	0.20	6829	0.26	194.6
oh	13378	0.78	23310	0.90	170.2
Christmas	288	0.02	1001	0.04	163.9
thought	1573	0.09	3485	0.13	159.7
lovely	414	0.02	1214	0.05	140.3
nice	1279	0.07	2851	0.11	134.4
mm	7189	0.42	12891	0.50	133.8
had	4040	0.24	7600	0.29	125.9
did	6415	0.37	11424	0.44	109.6
going	3139	0.18	5974	0.23	109.0
because	1919	0.11	3861	0.15	105.0
him	2710	0.16	5188	0.20	99.2
really	2646	0.15	5070	0.20	97.6
school	501	0.03	1265	0.05	96.3

How is keyness calculated?

- The 'classical' method in CL: Pearson's chi-squared test

$$X^2 = \sum_{i=1}^n \frac{(O_i - E_i)^2}{E_i}$$

- O_i : observed frequency
- E_i : expected frequency
- lovely*, observed: expected ((R-tot * C-tot) / N):
- Result: $X^2 = 140.3$ (significant at $p < 0.01$; d.f. = 1)

	<i>lovely</i>	All other words	Total
Males	414	1714029	1714443
Females	1214	2592238	2593452
Total	1628	4306267	4307895

	<i>lovely</i>	All other words	Total
Males	647.91	1713795.09	1714443
Females	980.09	2592471.91	2593452
Total	1628	4306267	4307895

Another common method

- Log-likelihood ratio (Rayson & Garside 2000)

$$-2 \ln \lambda = 2 \sum_i O_i \ln \left(\frac{O_i}{E_i} \right)$$

- Chi-square value unreliable when $E_i < 5$; overestimates with high-frq.ws.
- $LL = 2 * ((a * \log(a/E1)) + (b * \log(b/E2)))$
 - 95th percentile; 5% level; $p < 0.05$; critical value = 3.84
 - 99th percentile; 1% level; $p < 0.01$; critical value = 6.63
 - 99.9th percentile; 0.1% level; $p < 0.001$; critical value = 10.83
 - 99.99th percentile; 0.01% level; $p < 0.0001$; critical value = 15.13
- <http://ucrel.lancs.ac.uk/llwizard.html> (Log likelihood)
- <http://www.thegrammarlab.com/?p=193> (Chi-square)

Increasingly more common: Effect size/log ratio

cf. Hardie (2014); Liffijit et al. (2016)

$$\log \text{ ratio} = \log_2 \frac{\text{norm.freq.c1}}{\text{norm.freq.c2}}$$

- Binary logarithm of the ratio of the normalized frequencies
- Easy to interpret, compared to other effect size measures
 - Log ratio of 3 means that the word is $2^3 = 8$ times more frequent in corpus 1
 - Log ratio of -3 means that the word is 8 times more frequent in corpus 2
- “significance testing can be used to find consequential differences between corpora, but that assuming independence between all words may lead to overestimating the significance of the observed differences, especially for poorly dispersed words” (Liffijit et al. 2016)

A case in point: the FOMC corpus

Andersen & Langerfeld (2021, 2022)

- What is the FOMC?
 - Federal Open Market Committee
 - Part of the Federal Reserve System
 - Makes key decisions about the interest rates
- About the meetings:
 - Members of the Federal Reserve Board and 5 presidents from the regional Federal Reserve Banks
 - 8 meetings over the course of a year
- The Sketch Engine: <https://www.sketchengine.eu/>
- Corpus-based term extraction



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CORPUS APPROACHES TO TEXTUAL DATA

COLLOCATION – THE SYSTEMATIC CO- OCCURRENCE OF WORDS



Collocations

- Words do not occur at random but tend to **collocate** – **co-occur** for a number of reasons.
 - **Collocation** – the tendency for words to co-occur, i.e. occur together **more often than expected by chance**.
 - Sinclair (1996) – The search for units of meaning
- Sentence/clause elements:
 - *That's good.*
 - Compounds:
 - *carbon footprint, central bank*
 - Phrasal verbs:
 - *look up, freak out*

Collocations and phraseology

- Fixed expressions:
 - *per capita*
 - *Trojan horse*
 - *de facto*
- Technical terms:
 - *central bank*
 - *large hadron collider*
 - *de facto standard*



- Idioms/stock phrases:
 - *kick the bucket*
 - *not exactly rocket science*
 - *to be fair*
 - *as far as X is concerned*
 - *When in Rome, do as the Romans.*

Co-occurrence of words



- **n-gram**: a sequence of n elements (usually words) that occur directly one after another in a corpus

Length	name	Example 1	Example 2
$n = 1$	“unigram”	end	large
$n = 2$	“bigram”	end of	large hadron
$n = 3$	“trigram”	end of the	large hadron collider
$n = 4$	“tetragram, 4-gram”	end of the day	Large Hadron Collider (LHC)

Identifying collocations based on n-grams

Example: BNC

- Sketch Engine: <https://app.sketchengine.eu/>

N-GRAMS  

2-grams word (items: 1,333,346 , total frequency: 69,458,452)

	Word	Frequency ?		Word	Frequency ?
1	of the	753,195 ...	11	with the	124,154 ...
2	in the	480,192 ...	12	of a	124,020 ...
3	to the	286,959 ...	13	from the	120,370 ...
4	on the	207,557 ...	14	in a	106,486 ...
5	and the	188,228 ...	15	it is	90,929 ...
6	to be	187,862 ...	16	it was	86,402 ...
7	for the	159,580 ...	17	as a	81,758 ...
8	at the	138,048 ...	18	do n't	81,516 ...
9	that the	127,184 ...	19	is a	77,714 ...
10	by the	125,365 ...	20	with a	75,764 ...

Identifying strong collocations

- Inspecting n-grams only has little value if we want to systematically identify the **building blocks of language**, i.e. the terms and vocabulary it contains.
- So we need a way of capturing the **most important n-grams** in order to sort 'the wheat from the chaff'.
- i.e. to find out what are **statistically significant co-occurrences**
- i.e. calculate **observed** and **expected frequencies** and use a statistical **association measure**
(e.g. collocations.de; Lyse & Andersen 2012)



Observed frequencies

Contingency table for observed frequencies, e.g. *per capita*

Table 2. Contingency table (CT) for a bigram [a b]: observed frequencies

	b	not b	
a	o_{11}	o_{12}	o_{1p} (R1)
not a	o_{21}	o_{22}	o_{2p} (R2)
	o_{p1} (C1)	o_{2p} (C2)	o_{pp} (N)

- o_{11} : bigram *per capita*
- o_{12} : sum of all other bigrams like *per cent*, etc.
- o_{21} : sum of all other bigrams like *international capita*, etc.
- o_{22} : sum of all other bigrams like *international project*, etc.

Expected frequencies

Contingency table for expected frequencies, e.g. *per capita*

Table 3. Contingency table for a bigram [a b]: estimated frequencies

	b	not b
a	$e_{11} = \frac{R1C1}{N}$	$e_{12} = \frac{R1C2}{N}$
not a	$e_{21} = \frac{R2C1}{N}$	$e_{22} = \frac{R2C2}{N}$

- e_{11} : expected *per capita* if no association (null hypothesis)
- e_{12} : expected all other bigrams *per cent*, etc.
- e_{21} : expected all other bigrams *international capita*, etc.
- e_{22} : expected all other bigrams *international project*, etc.

Association measures

- The strength of an association between two words is calculated by a statistical **significance measure**.
- This means performing a mathematical operation on the figures O_{11-22} and e_{11-22} shown above.
- There are many different such measures, Mutual Information, Chi-square, Log-likelihood being the most common ones.

Weaknesses/strengths of AMs

- NB! contingency tables used for collocations are (virtually always) **highly skewed** ($o_{22} > (o_{12}|o_{21}) > o_{11}$)
- **Log-likelihood** assumed to perform better than **chi square**, esp. for **lexical** (as opposed to grammatical) word collocations, which tend to have a low o_{11} .
- **Mutual Information**: biased towards low-frequency n-grams, i.e. where o_{11} is low.
- As for **Z-score**, when the e_{11} is low, Z-score values can become very large, leading to highly inflated scores for low-frequency pair types.
- Lyse & Andersen 2012; <http://www.collocations.de/>

A closer look at co-occurrence relations

- Collocation
- Colligation
- Semantic preference
- Semantic prosody

Sinclair (1996); Stubbs (2001)

- frequent co-occurrence of word forms
- co-occurrence of word with grammatical category
- lexical set of frequently occurring collocates that share a semantic feature
- tendency for word to be used in contexts with positive or negative associations/connotations

Colligation

Tendency for word to co-occur with particular grammatical category

- Example: [determiner/quantifier] + *case*

CQL [word="in"] [lemma="case"] • 2,577,066
99.79 per million tokens • 0.01%

	Left context	KWIC	Right context
1	dayofarchaeolog... as to be as even as possible to photograph well and	in some cases	, it felt as if there would be next to no sherd left to ph
2	dayofarchaeolog... never just a pot – it's a window on a world-view, and	in this case	a direct connection to the delicate, precise actions of
3	emotionally14.c... </s><s> You know the person I mean? </s><s> Well	in this case	, it's Blake. </s><s> For evidence of this, see The Cr
4	savingparkland.... ing and preserving new parklands. </s><s> However,	in most cases	with existing parkland, you are fighting against the gc
5	thomasmichael.c... omas Michael will prepare a Deed of Assignment, or	in some cases	a new tenancy agreement, and organise the signing
6	thesybersite.co... vance - at all distances, apertures, and focal lengths	in the case	of zooms - you might one day find yourself wondering
7	clrhome.org oss (RAM clears), Archive or OS corruption, or even	in extreme cases	, render your device permanently unusable, an event
8	clrhome.org here's already a demo here for those interested, but	in any case	I don't expect the final game to take more than a few

- Example: *seriously* + [V-ed]

1	ladyslitlelove...	pecting to find a ton at; Walmart! </s><s> I was	seriously blown	away by how many goodies I stumbled upon and
2	stoppathwv.com	AEP's tablet. </s><s> Such "support" cannot be	seriously considered	as reason for approving such a bad project. </s>
3	blogspot.com	; occurred amid an apparent dispute over where	seriously injured	victims should be taken for treatment. </s><s> Ti
4	biomedcentral.c...	health services, including facility delivery can be	seriously undermined	by women's lack of decision-making autonomy th
5	tour-beijing.co...	ding houses. </s><s> The north archway gate is	seriously damaged	. </s><s> Hike from Hongcibu Fort to Zhenchuar
6	gettinbetter.co...	or seductive with you--even after you've become	seriously involved	with another, which speaks to their lack of bound
7	homefarmfamilyc...	verdue review, for an amazing woman who has	seriously turned	my life around!! </s><s> And when I say turned r
8	aritaur.co.uk	hereby decreasing the risk of a group becoming	seriously affected	by weak genes. </s><s> If consistency of type is
9	blogspot.com	ard. </s><s> After Comedian Tracy Morgan was	seriously injured	in a truck-limo van crash in New Jersey in 2014,
10	london-city-chu	his last church commission in 1685-95. </s><s> Seriously bomb-damaged		in the Second World War it was rebuilt within the

Semantic preference

Tendency for word to occur with member of set of lexically similar words

- Example: objects of *commit*
- Example: collocates of *waiting to happen*

17	<input type="checkbox"/>	manitou-forklif...	t this incident had all the hallmarks of an accident	waiting to happen	. </s><s> Accidents involving fork lift trucks
18	<input type="checkbox"/>	stopnewnuclear....	ater and power, any nuclear plant is a Fukushima	waiting to happen	. </s><s> 1. </s><s> Nuclear Power is Dan
19	<input type="checkbox"/>	worldofends.com	ocked to it as if it were a part of human nature just	waiting to happen	– just as speaking and writing now feel like
20	<input type="checkbox"/>	oilempire.us	nsponder contact are collisions and/or calamities	waiting to happen	. </s><s> Those protocols dictate that in th
21	<input type="checkbox"/>	thedoctorwillse...	he right tests to detect the CHD, the "heart attack	waiting to happen	," so to speak. </s><s> We know that the d
22	<input type="checkbox"/>	skepticalob.com	h dentists treating me like my teeth are a disaster	waiting to happen	. </s><s> Our teeth are the product of hunc
23	<input type="checkbox"/>	concretenetwork...	ahead of time if efflorescence is a likely problem	waiting to happen	on your project: </s><s> Was a granular m
24	<input type="checkbox"/>	itsupport365.co...	ad more... </s><s> Is your server room a disaster	waiting to happen	?9 February 2016 </s><s> Organisations a
25	<input type="checkbox"/>	amitymaine.org	Do these children need to be part of an accident	waiting to happen	? </s><s> When are we going to learn? </s>
26	<input type="checkbox"/>	verywellfamily....	ies and camping? </s><s> Sounds like a disaster	waiting to happen	. </s><s> But as it turns out, many families

objects of "commit"

crime

crimes committed

suicide

commit suicide

act

acts committed

offence

offences committed

murder

commit murder

sin

sins committed

offense

offenses committed

atrocity

atrocities committed

adultery

commit adultery

fraud

In other words,

- Very strong association between construction N + *waiting to happen* and negative evaluation
- [*accident/disaster/wreck/tragedy/catastrophe...*] *waiting to happen*
- non-neutral added meaning, negative semantic prosody (pragmatics)
- Incidentally, construction N + *waiting to happen* is an example of a so-called collocation (Stefanowitsch & Gries 2003)

Semantic prosody:

- **Semantic prosody** of words is important because it often reveals the **positive or negative associations** of words.
- Has clear implications for **word choice**, e.g. in strategic and political contexts.
- *cause* (v) = *lead to* ?

objects of "cause"	
damage	...
damage caused	
problem	...
cause problems	
harm	...
cause harm	
death	...
cause death	
pain	...
cause pain	
disease	...
disease caused	
injury	...
cause injury	

"lead" to ...

✓ Show frequency

increase

lead to an increase

development

led to the development of

loss

lead to loss

death

lead to death

change

lead to changes

problem

lead to problems

In conclusion, ...

- *cause* (v) and *lead to* are near-synonyms
 - similar in meaning, but each with its own collocational profile.
 - Choosing the wrong word can lead to unintended associations/**connotations**.
 - ? *cause an increase*
- **Connotation**: the positive/neutral/negative sentiment (value) that emanates from the totality of concepts that the word tends to be associated with.
 - = the “aura” of words



Sketch Engine

- English Web 2020 (enTenTen20)
- Word sketch
- Word sketch difference



**CORPUS APPROACHES
TO TEXTUAL DATA**

**CORPUS-LINGUISTIC
METHODS IN R**



Corpus linguistic methods in R

Suggested packages

- ngram: <https://cran.r-project.org/web/packages/ngram/index.html>
- corpora: <https://cran.r-project.org/web/packages/corpora/index.html>
- ngram: R package for constructing **n-grams** ("tokenizing"), as well as generating new text based on the n-gram structure of a given text input ("babbling"). The package can be used for serious analysis or for creating "bots" that say amusing things.

Logical sequence for corpus processing

1. `multiread`: Read in a collection of text files into a list
2. `concatenate`: Put multiple files into single string
3. `preprocess`: A simple text preprocessor for removing punctuation, digits and multiple/trailing spaces
4. `ngram`: Takes input string and converts it into the internal n-gram representation
5. `ngram-print`: Various print methods
6. `get.phrasetable`: Print n-grams into a handy table format

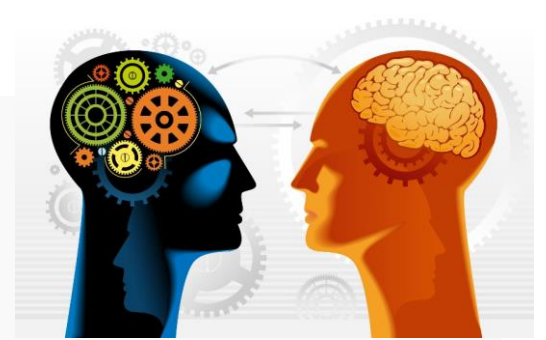
Some of the functions in package ‘corpora’

- Data from the British National Corpus (BNC) and other corpora
- Pearson’s chi-square statistic: *chisq* / *chisq.pval*
 - unlike *chisq.test* accepts vector arguments which allows for multiple comparisons in a single function call
- *cont.table*
- This is a convenience function which constructs 2x2 contingency tables needed for frequency comparisons with *chisq.test*, *fisher.test* and similar functions.
- Random samples from data frames: *sample.df*
- Other statistics: binomial p-value; Fisher’s exact; Z-score ...

Further suggestions

- Combined with what you already know about R programming, you can use these functions to
 1. load text files into a corpus (`multiread`)
 2. prepare them for n-gram analysis (`concatenate`, `preprocess`)
 3. calculate all n-gram frequencies (`n=1`; `n=2`; `n=3`)
 4. make contingency tables (`cont.table`) for observed and expected frequencies, e.g. of all 1-grams in one corpus compared with another
 5. run chi-square test (`chisq/chisq.pval`) on all word/n-gram frequencies to test for significant frequency differences

Concluding remarks



- The linguistic perspective, complementary to purely statistical analyses
- Concordances, multiword processing, wordclass tagging, syntactic parsing and semantic/discourse annotation may augment linguistically statistical machine processing
 - and allow for a more sophisticated analysis than what is achievable through mere counting of individual words
- Corpus-based term extraction (keyness) useful for charting the terminology of a specific usage domain
- Collocation analysis needed to explore the “aura” of words
- Connotations important to bear in mind when choosing words

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