Lecture 05: Neural Network Part2

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Mini-batch SGD

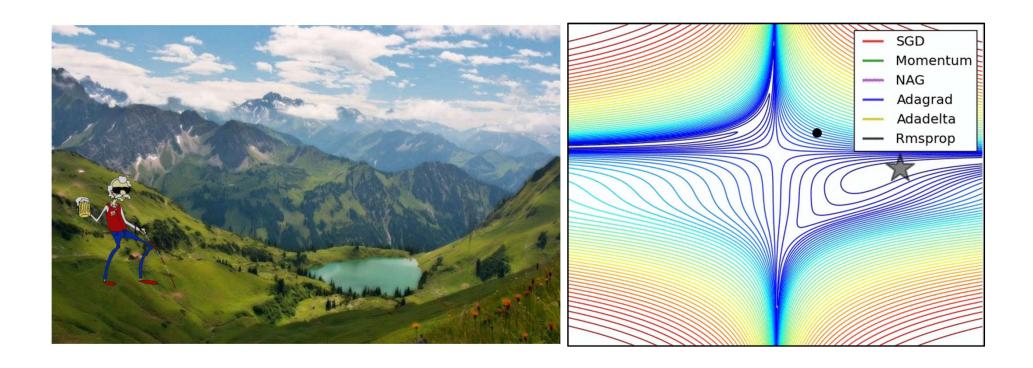
Loop:

- 1. Sample a batch of data
- Forward prop it through the graph, get loss
- **3. Backward** to calculate the gradients
- **4. Update** the parameters using the gradient

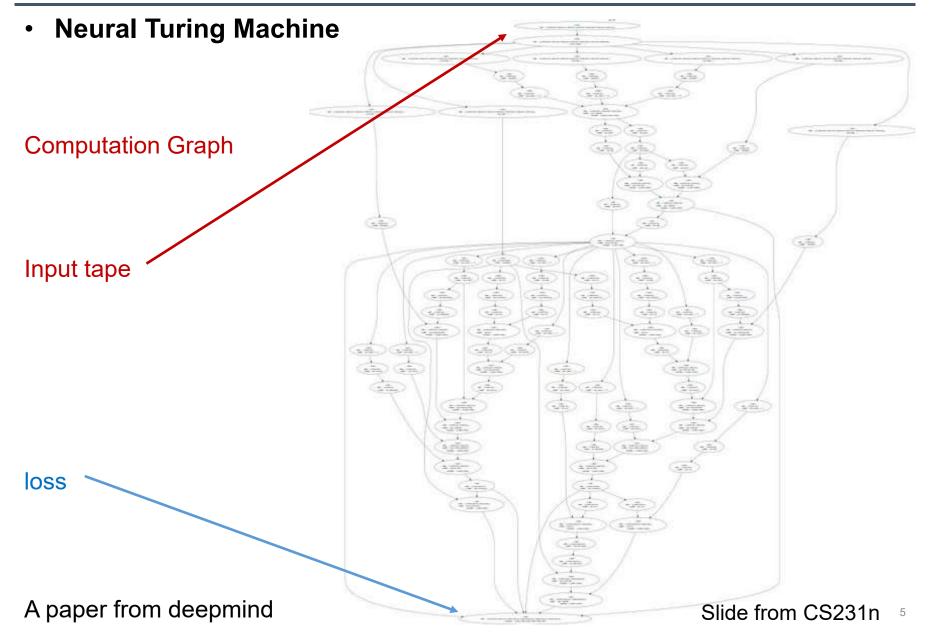
SGD:

W += -learning rate * dW

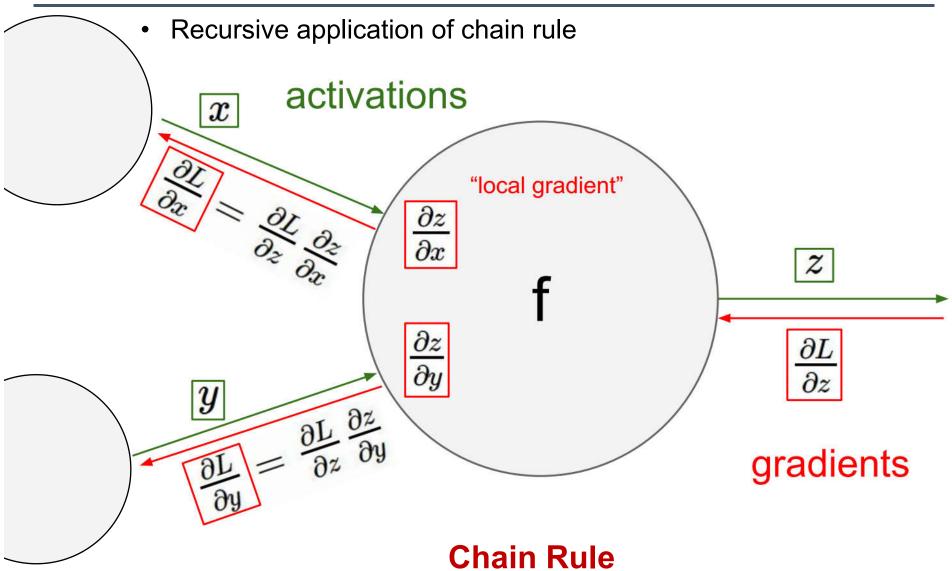




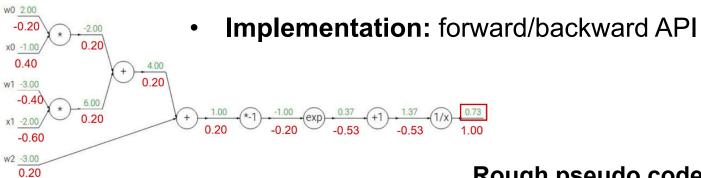










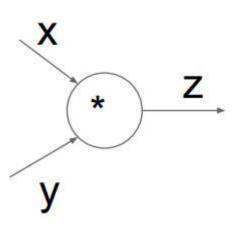


Rough pseudo code

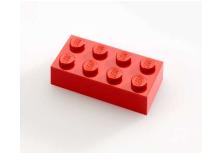
```
class ComputationalGraph(object):
   # . . .
   def forward(inputs):
       # 1. [pass inputs to input gates...]
        # 2. forward the computational graph:
        for gate in self.graph.nodes topologically sorted():
            gate.forward()
        return loss # the final gate in the graph outputs the loss
   def backward():
        for gate in reversed(self.graph.nodes topologically sorted()):
            gate.backward() # little piece of backprop (chain rule applied)
        return inputs gradients
```



Implementation: forward/backward API



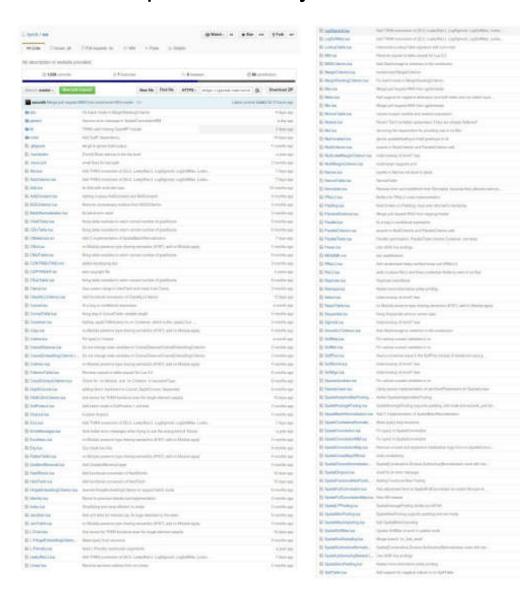
```
class MultiplyGate(object):
   def forward(x,y):
        z = x*y
       self.x = x # must keep these around!
       self.y = y
       return z
   def backward(dz):
       dx = self.y * dz # [dz/dx * dL/dz]
       dy = self.x * dz # [dz/dy * dL/dz]
       return [dx, dy]
```



(x, y, z are scalars)



Example: Torch Layers







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Neural Network: without the Brain Stuff

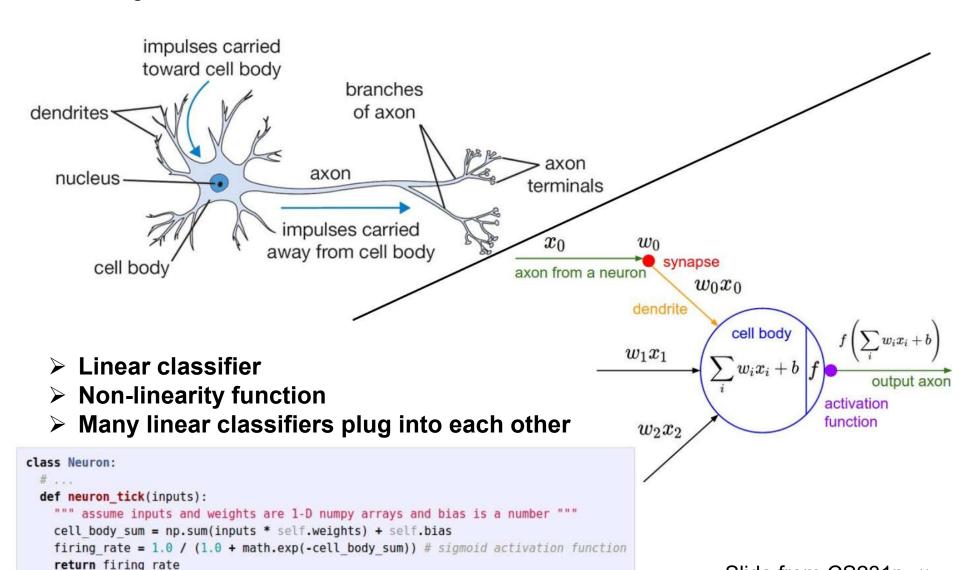
(Before) Linear score function: f = Wx

(Now) 2-layer Neural Network $f = W_2 \max(0, W_1 x)$

or 3-layer Neural Network $f = W_3 \max(0, W_2 \max(0, W_1 x))$

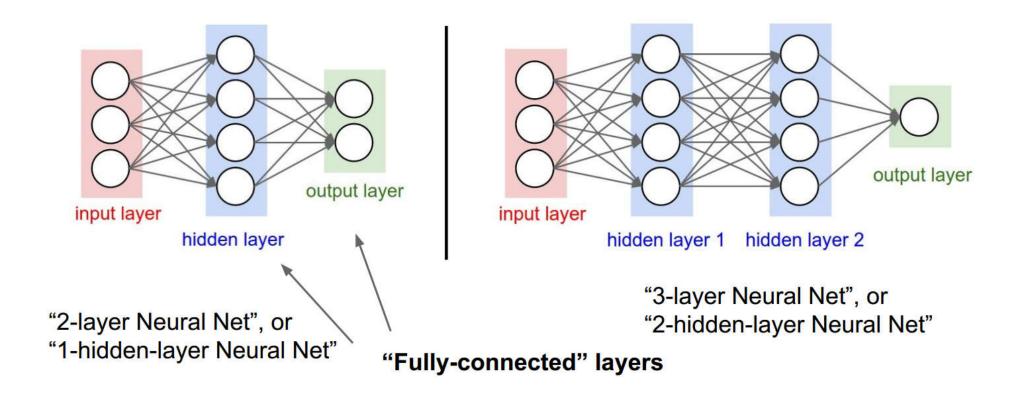


Biological Neuron Without Brain Stuff





Neural Networks: Architectures





Training Neural Networks

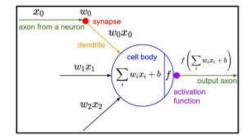
A bit of history...



- The **Mark I Perceptron** machine was first implementation of the perception algorithm.
- Implementation in hardware
- Activation function (binary step function):

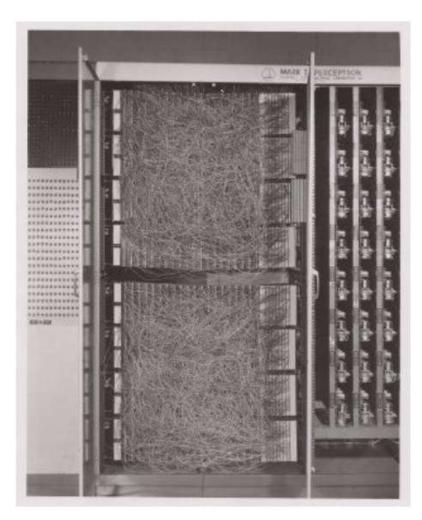
$$f(x) = \begin{cases} 1 & if & w \cdot x + b > 0 \\ 0 & otherwise \end{cases}$$

This **isn't differentiable** operation, so they were not able to back propagation



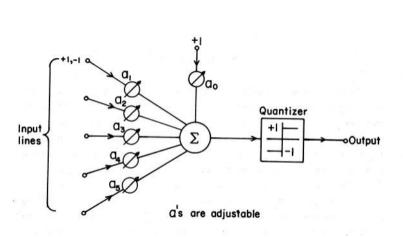
Update rule:

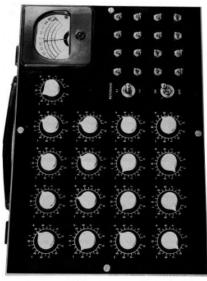
$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$

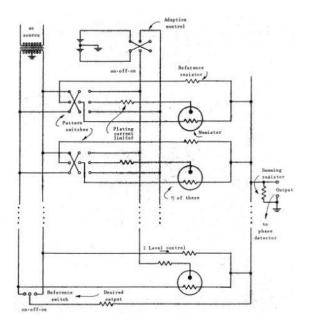




- Widrow and Hoff started to stack Perceptrons into the first multi-layer perceptron networks
- This was **still all done in hardware** and there's still **no backpropagation** in this time
- Unfortunately, these networks would not actually end up working very well
- The period of nineteen seventies, the field of neural networks was very quiet and not much researches has been done

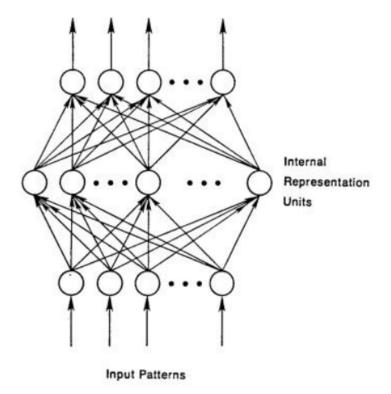








- First backpropagation paper
- Try to scale up these networks to make a deeper or larger, they didn't work very well
- Gradient vanishing problem



To be more specific, then, let

$$E_p = \frac{1}{2} \sum_{i} (t_{pj} - o_{pj})^2 \tag{2}$$

be our measure of the error on input/output pattern p and let $E = \sum E_p$ be our overall measure of the error. We wish to show that the delta rule implements a gradient descent in E when the units are linear. We will proceed by simply showing

$$-\frac{\partial E_p}{\partial w_{ji}} = \delta_{pj} i_{pi},$$

which is proportional to $\Delta_p w_{ji}$ as prescribed by the delta rule. When there are no hidden units it is straightforward to compute the relevant derivative. For this purpose we use the chain rule to write the derivative as the product of two parts: the derivative of the error with respect to the output of the unit times the derivative of the output with respect to the weight.

$$\frac{\partial E_p}{\partial w_{ii}} = \frac{\partial E_p}{\partial o_{pi}} \frac{\partial o_{pj}}{\partial w_{ii}}.$$
(3)

The first part tells how the error changes with the output of the jth unit and the second part tells how much changing Wij changes that output. Now, the derivatives are easy to compute. First, from Equation 2

$$\frac{\partial E_{\rho}}{\partial o_{\rho j}} = -\left(t_{\rho j} - o_{\rho j}\right) = -\delta_{\rho j}. \tag{4}$$

Not surprisingly, the contribution of unit u_i to the error is simply proportional to δ_{ni} . Moreover, since we have linear units.

$$o_{pj} = \sum_{i} w_{ji} l_{pi}, \tag{5}$$

from which we conclude that

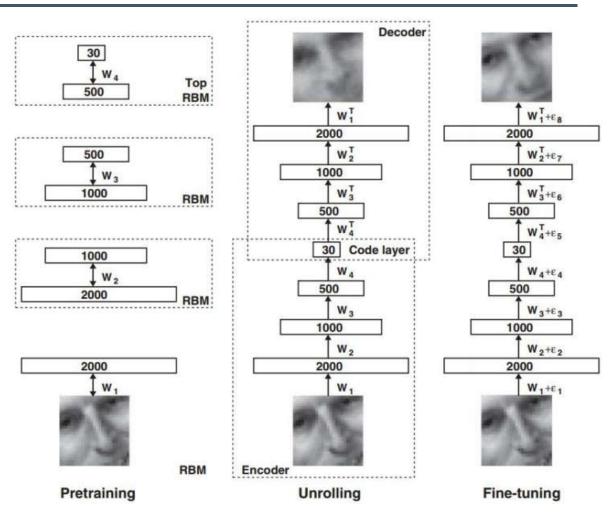
$$\frac{\partial o_{pj}}{\partial w_{ji}} = i_{pj}$$

Thus, substituting back into Equation 3, we see that

$$-\frac{\partial E_p}{\partial w_{ji}} = \delta_{pj} i_i \tag{6}$$



- First structure has 10 layers
- Didn't use backpropagation for 10 layers single pass
- **Unsupervised pre-training** scheme
- **RBM** (Restricted Boltzmann machine)
- You have to be very careful with initialization

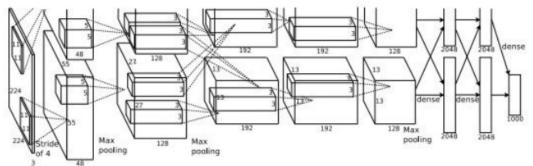


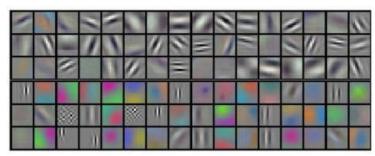


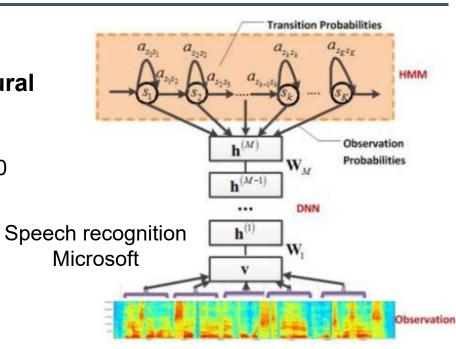
Context-Dependent Pre-trained Deep neural Networks for Large Vocabulary Speech Recognition

George Dahl, Dong Yu, Li Deng, Alex Acero, 2010

Imagenet Classification with Deep Convolutional Neural Networks Alex Krizhevsky, Iiya Sutskever, Geoffrey E Hinton, 2012







Overview



1. One time setup

Activation functions, preprocessing, weight initialization, regularization, gradient checking

2. Training dynamics

Babysitting the learning process, parameter updates, hyperparameter optimization

3. Evaluation

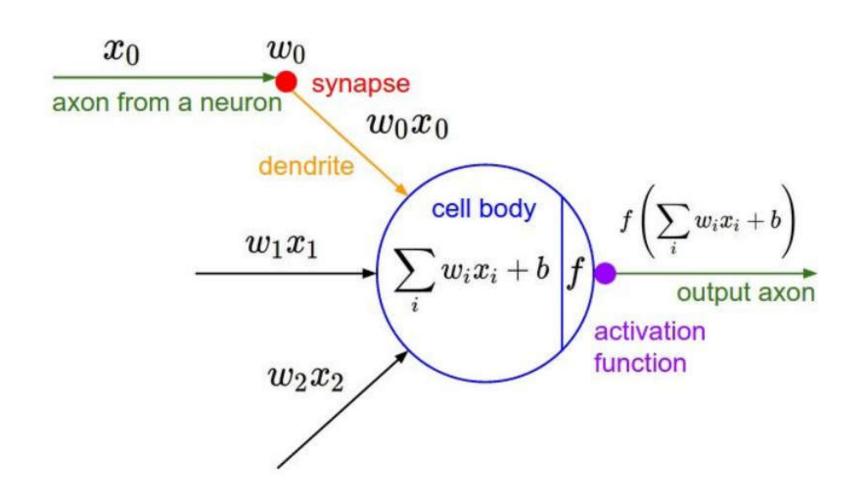
Model ensembles



Activation Functions

Activation Functions



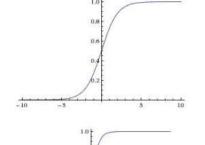


Activation Functions

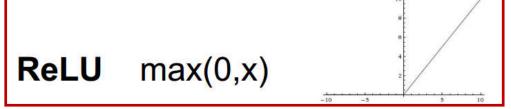


Sigmoid

$$\sigma(x) = 1/(1 + e^{-x})$$

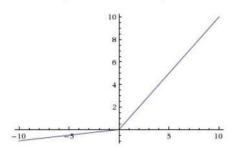


tanh tanh(x)



Leaky ReLU

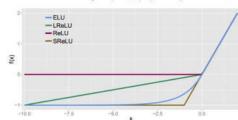
max(0.1x, x)



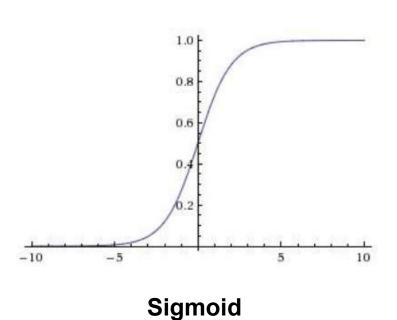
 $\mathbf{Maxout} \quad \max(w_1^Tx + b_1, w_2^Tx + b_2)$

ELU

$$f(x) \ = \ \begin{cases} x & \text{if } x > 0 \\ \alpha \ (\exp(x) - 1) & \text{if } x \le 0 \end{cases}$$



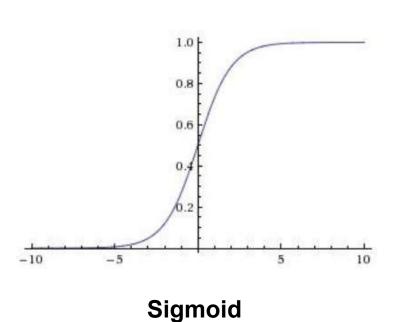




$$\sigma(x) = \frac{1}{\left(1 + e^{-x}\right)}$$

- Squashes numbers to range [0, 1]
- Historically popular since they have nice interpretation as a saturating "firing rate" of a neuron





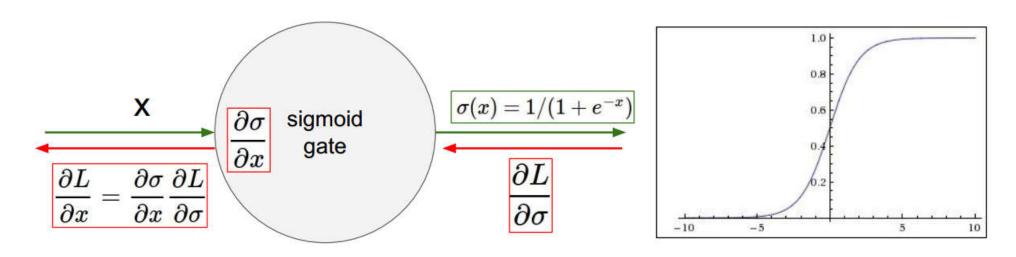
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3 problems:

1. Saturated neurons "kill" the gradients





What happens when x = -10?

What happens when x = 0?

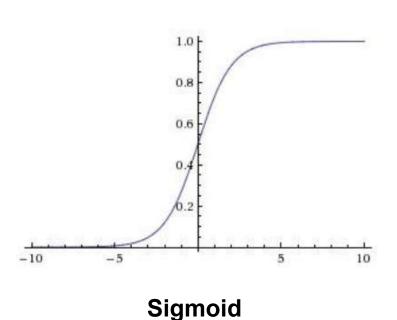
What happens when x = 10?

Vanishing gradient problem

SGD:

W += -learning_rate * dW





$$\sigma(x) = \frac{1}{\left(1 + e^{-x}\right)}$$

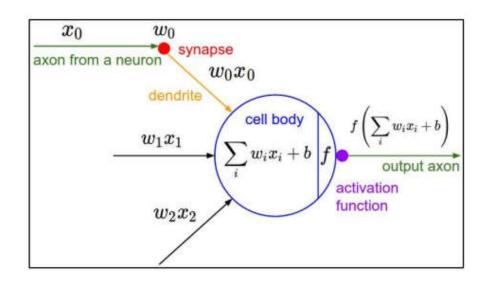
- Squashes numbers to range [0, 1]
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3 problems:

- 1. Saturated neurons "kill" the gradients
- 2. Sigmoid outputs are not zero-centered



Consider what happens when the input to a neuron (x) is always positive:



$$f\left(\sum_{i}w_{i}x_{i}+b\right)$$

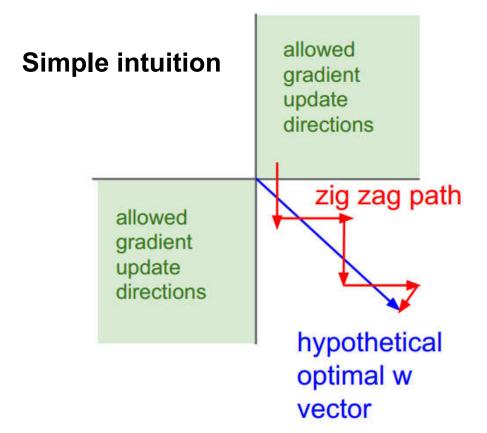
Question #1: what can we say about the gradients on w?



Consider what happens when the input to a neuron (x) is always

positive:

$$f\left(\sum_{i} w_{i} x_{i} + b\right)$$



(this is also why we want to zero-mean data!)



Consider what happens when the input to a neuron (x) is always

positive:

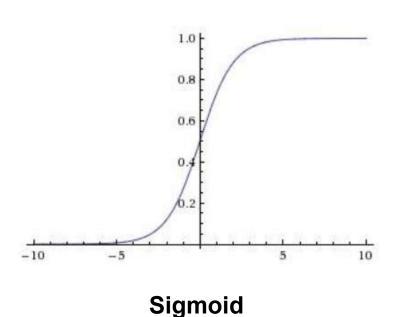
$$f\left(\sum_{i} w_{i} x_{i} + b\right)$$

allowed Simple intuition gradient update directions zig zag path allowed gradient update directions hypothetical optimal w vector

Not zero centered data have slower convergence

(this is also why we want to zero-mean data!)





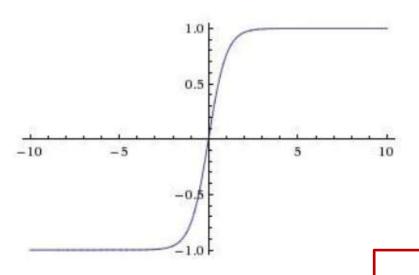
$$\sigma(x) = \frac{1}{\left(1 + e^{-x}\right)}$$

- Squashes numbers to range [0, 1]
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3 problems:

- 1. Saturated neurons "kill" the gradients
- 2. Sigmoid outputs are not zero-centered
- 3. Exp() is a bit compute expensive

Activation Functions – Tanh (Hyperbolic Tangent)



Tanh(x)

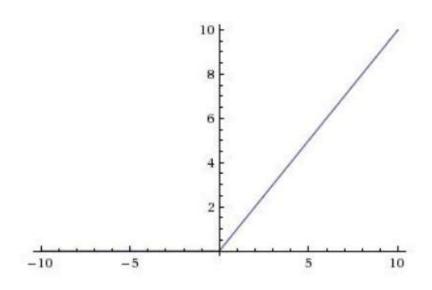
- Squashes numbers to range [-1,1]
- Zero centered (nice)
- Still kills gradients when saturated

$$tanh x = \frac{\sinh x}{\cosh x} = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

$$sinh x = \frac{e^x - e^{-x}}{2}$$



- ReLU (Rectified Linear Unit)
- Computes f(x) = max(0, x)

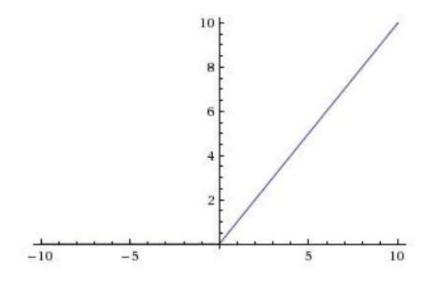


- Does not saturate (in +region)
- Very computationally efficient
- Converges much faster than sigmoid/tanh in practice (e.g. 6x)

ReLU is the default recommendation what you should use.



- ReLU (Rectified Linear Unit)
- Computes f(x) = max(0, x)



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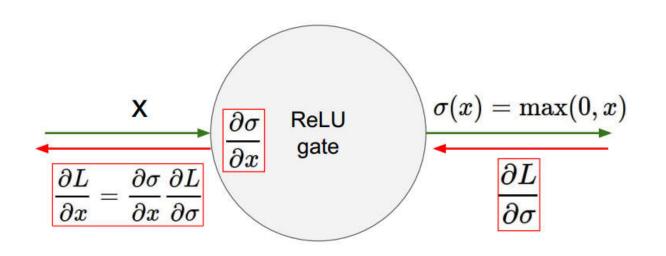
Problems:

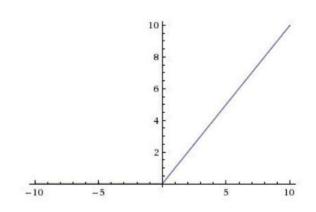
- Not zero-centered output
- An annoyance:

hint: what is the gradient when x < 0?

ReLU is the default recommendation what you should use.





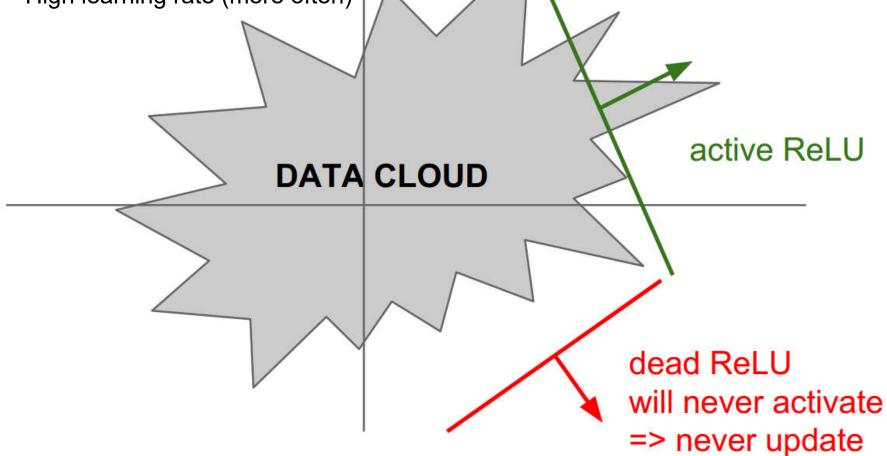


- What happens when x = -10? => **Dead ReLU**
- What happens when x = 0?
- What happens when x = 10?



Dead ReLU:

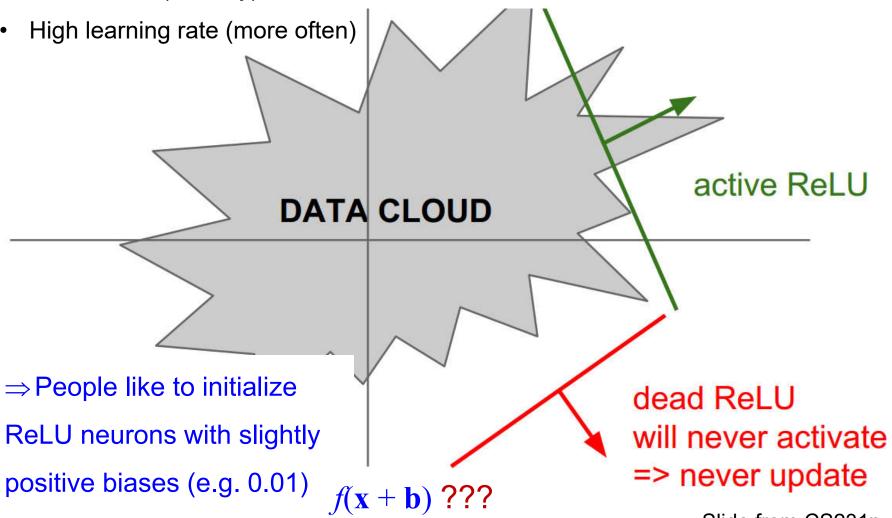
Initialization (unlucky) High learning rate (more often)





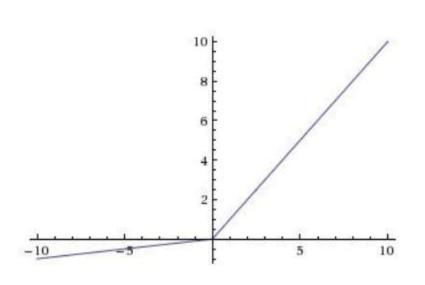
Dead ReLU:

Initialization (unlucky)



Activation Functions – Leaky ReLU





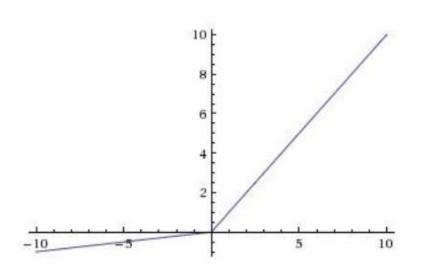
- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- Will not "die"

Leaky ReLU

$$f(x) = \max(0.01x, x)$$

Activation Functions – Leaky ReLU





- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- Will not "die"
 - Parametric Rectifier (PReLU)

$$f(x) = \max(\alpha x, x)$$

Backprop into α to learn!

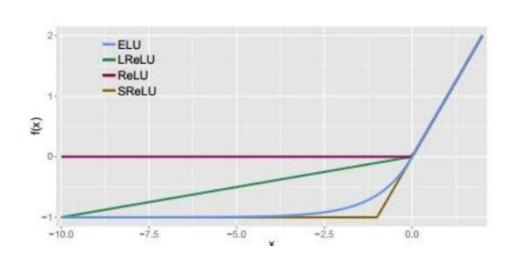
Leaky ReLU

$$f(x) = \max(0.01x, x)$$

Activation Functions – ELU



Exponential Linear Units (ELU)



- Does not die
- Closer to zero mean outputs
- Computation requires exp()

$$f(x) = \begin{cases} x & \text{if } x > 0 \\ \alpha(\exp(x) - 1) & \text{if } x \le 0 \end{cases}$$

Activation Functions – Maxout "Neuron"



- Very different form of the neuron, it's not just an activation function looks different
- It changes with the neuro compute and how it computes
- Generalizes ReLU and Leaky ReLU
- Linear Regime! Does not saturate! Does not die!

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

• Problem: doubles the number of parameters/neuron 🕾

Summary



In practice:

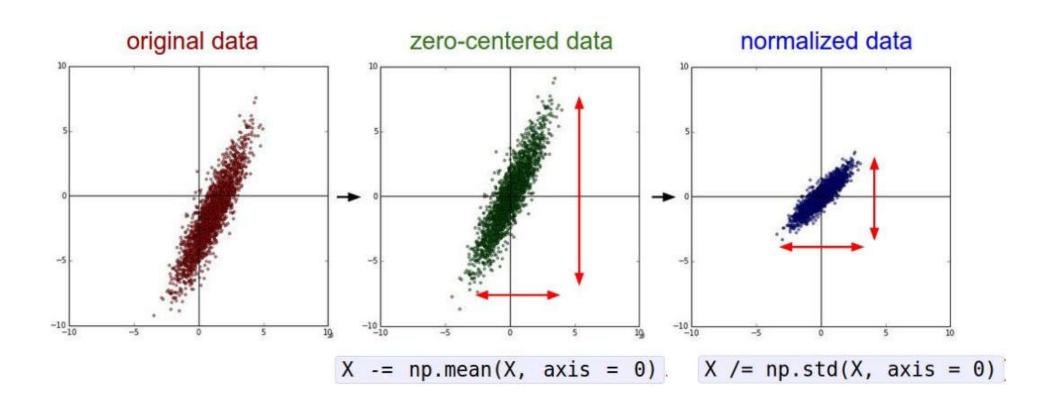
- Use ReLU. Be careful with your learning rates
- Try out Leaky ReLU / Maxout / ELU
- Try out tanh but don't expect much
- Don't use **sigmoid**, tanh is better than it

RNN / LSTM still uses sigmoid, but there are specific reason...



Data Preprocessing

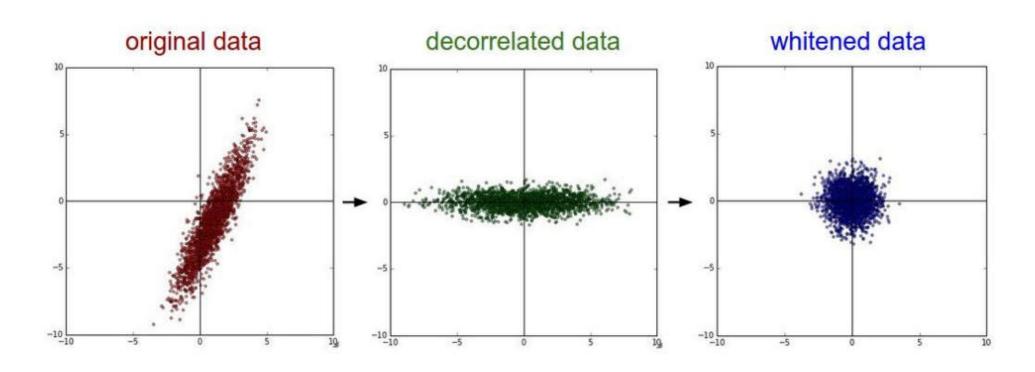




- (Assume **X** [NxD] is data matrix, each example in a row)
- In images, normalizing is not common



In practice, you may also see **PCA** and **Whitening** of the data



(data has diagonal covariance matrix)

(covariance matrix is the identity matrix)

You can refer to the Class Note in CS231n for more detail http://cs231n.github.io/neural-networks-2/



In practice for images: center only

e.g. consider CIFAR-10 example with [32, 32, 3] images

- Subtract the mean image
 - (mean image = [32, 32, 3] array)
- Subtract per-channel mean
 - (mean along each channel = 3 numbers)

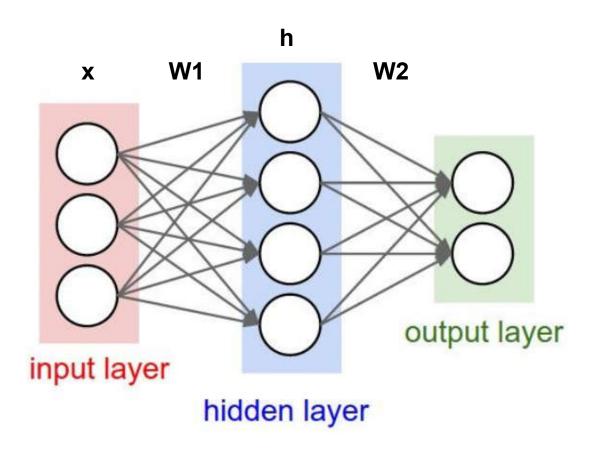
Not common to normalize variance, to do **PCA** or **whitening**



Early neural networks didn't work quite as well as because people were not careful enough with weight initialization



Question #2: what happens when W=0 init is used?





First idea: **Small random numbers**

(Gaussian with zero mean and 1e-2 standard deviation)

W = 0.01 * np.random.randn(D, H)

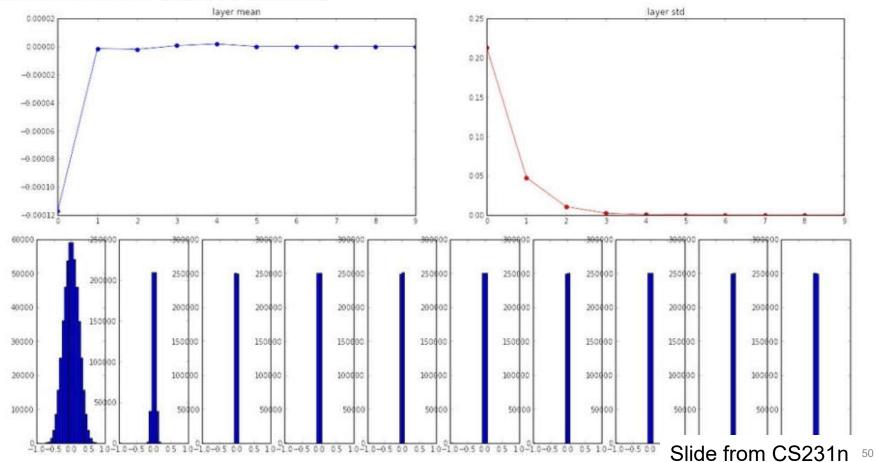
Works ~okay for small networks, but can lead to non-homogeneous distributions of activations across the layers of a network



```
# assume some unit gaussian 10-D input data
D = np.random.randn(1000, 500)
hidden layer sizes = [500]*10
                                                                     Lets look at some activation
nonlinearities = ['tanh']*len(hidden layer sizes)
act = {'relu':lambda x:np.maximum(0,x), 'tanh':lambda x:np.tanh(x)}
                                                                     statistics
Hs = \{\}
for i in xrange(len(hidden layer sizes)):
   X = D if i == 0 else Hs[i-1] # input at this layer
   fan in = X.shape[1]
   fan out = hidden layer sizes[i]
   W = np.random.randn(fan in, fan out) * 0.01 # layer initialization
   H = np.dot(X, W) # matrix multiply
   H = act[nonlinearities[i]](H) # nonlinearity
   Hs[i] = H # cache result on this layer
# look at distributions at each layer
print 'input layer had mean %f and std %f' % (np.mean(D), np.std(D))
layer means = [np.mean(H) for i,H in Hs.iteritems()]
layer stds = [np.std(H) for i,H in Hs.iteritems()]
for i,H in Hs.iteritems():
   print 'hidden layer %d had mean %f and std %f' % (i+1, layer means[i], layer stds[i])
# plot the means and standard deviations
plt.figure()
plt.subplot(121)
                                            E.g. 10-layer net with 500 neurons on each
plt.plot(Hs.keys(), layer means, 'ob-')
plt.title('layer mean')
plt.subplot(122)
                                            layer, using tanh nonlinearities, and initializing
plt.plot(Hs.keys(), layer stds, 'or-')
plt.title('layer std')
                                            as described in last slide.
# plot the raw distributions
plt.figure()
for i,H in Hs.iteritems():
    plt.subplot(1,len(Hs),i+1)
    plt.hist(H.ravel(), 30, range=(-1,1))
```



input layer had mean 0.000927 and std 0.998388 hidden layer 1 had mean -0.000117 and std 0.213081 hidden layer 2 had mean -0.000001 and std 0.047551 hidden layer 3 had mean -0.000002 and std 0.010630 hidden layer 4 had mean 0.000001 and std 0.002378 hidden layer 5 had mean 0.000002 and std 0.000532 hidden layer 6 had mean -0.000000 and std 0.000119 hidden layer 7 had mean 0.000000 and std 0.000026 hidden layer 8 had mean -0.000000 and std 0.0000006 hidden layer 9 had mean 0.000000 and std 0.000001 hidden layer 10 had mean -0.000000 and std 0.000000



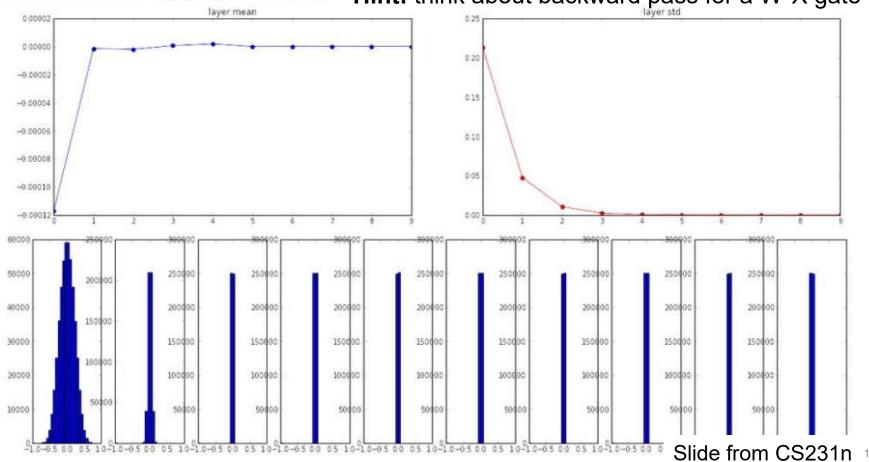


input layer had mean 0.000927 and std 0.998388 hidden layer 1 had mean -0.000117 and std 0.213081 hidden layer 2 had mean -0.000001 and std 0.047551 hidden layer 3 had mean -0.000002 and std 0.010630 hidden layer 4 had mean 0.000001 and std 0.002378 hidden layer 5 had mean 0.000002 and std 0.000532 hidden layer 6 had mean -0.000000 and std 0.000119 hidden layer 7 had mean 0.000000 and std 0.000006 hidden layer 8 had mean -0.000000 and std 0.0000006 hidden layer 9 had mean 0.000000 and std 0.000001 hidden layer 10 had mean -0.000000 and std 0.000000

All activations become zero!

Question #3: think about the backward pass. What do the gradients look like?

Hint: think about backward pass for a W*X gate



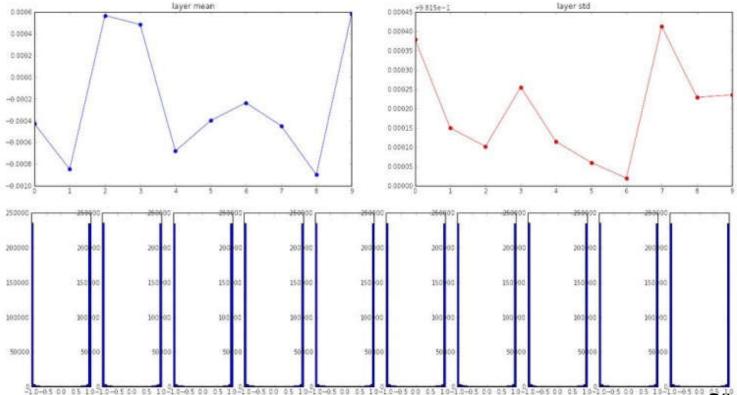


W = np.random.randn(fan in, fan out) * 1.0 # layer initialization

input layer had mean 0.001800 and std 1.001311 hidden layer 1 had mean -0.000430 and std 0.981879 hidden layer 2 had mean -0.000849 and std 0.981649 hidden layer 3 had mean 0.000566 and std 0.981601 hidden layer 4 had mean 0.000483 and std 0.981755 hidden layer 5 had mean -0.000682 and std 0.981614 hidden layer 6 had mean -0.000401 and std 0.981560 hidden layer 7 had mean -0.000237 and std 0.981520 hidden layer 8 had mean -0.000448 and std 0.981913 hidden layer 9 had mean -0.000899 and std 0.981728 hidden layer 10 had mean 0.000584 and std 0.981736

*1.0 instead of *0.01

Almost all neurons completely saturated, either -1 and 1. Gradients will be all zero.



0.0012



W = np.random.randn(fan in, fan out) np.sgrt(fan in) # layer initialization

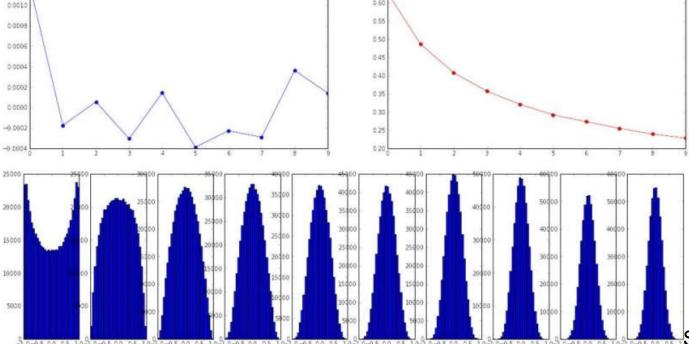
input layer had mean 0.001800 and std 1.001311 hidden layer 1 had mean 0.001198 and std 0.627953 hidden layer 2 had mean -0.000175 and std 0.486051 hidden layer 3 had mean 0.000055 and std 0.407723 hidden layer 4 had mean -0.000306 and std 0.357108 hidden layer 5 had mean 0.000142 and std 0.320917 hidden layer 6 had mean -0.000389 and std 0.292116 hidden layer 7 had mean -0.000228 and std 0.273387 hidden layer 8 had mean -0.000291 and std 0.254935 hidden layer 9 had mean 0.000361 and std 0.239266 hidden layer 10 had mean 0.000139 and std 0.228008

"Xavier initialization"

[Glorot et al., 2010]

Reasonable initialization.

(Mathematical derivation assumes linear activations)



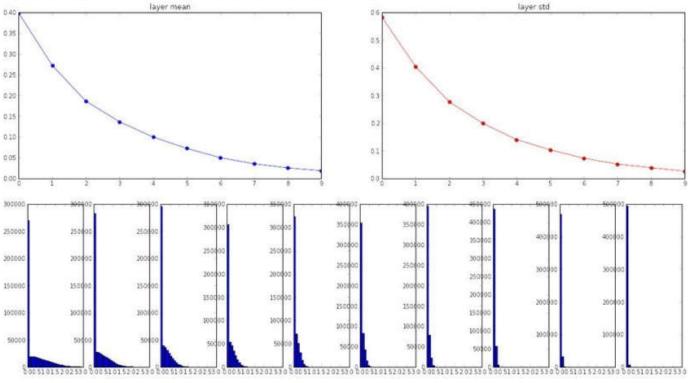


W = np.random.randn(fan in, fan out) np.sqrt(fan in) # layer initialization

input layer had mean 0.000501 and std 0.999444 hidden layer 1 had mean 0.398623 and std 0.582273 hidden layer 2 had mean 0.272352 and std 0.403795 hidden layer 3 had mean 0.186076 and std 0.276912 hidden layer 4 had mean 0.136442 and std 0.198685 hidden layer 5 had mean 0.099568 and std 0.140299 hidden layer 6 had mean 0.072234 and std 0.103280 hidden layer 7 had mean 0.049775 and std 0.072748 hidden layer 8 had mean 0.035138 and std 0.051572 hidden layer 9 had mean 0.025404 and std 0.038583 hidden layer 10 had mean 0.018408 and std 0.026076

But when using the **ReLU nonlinearity** it breaks

"Xavier initialization"



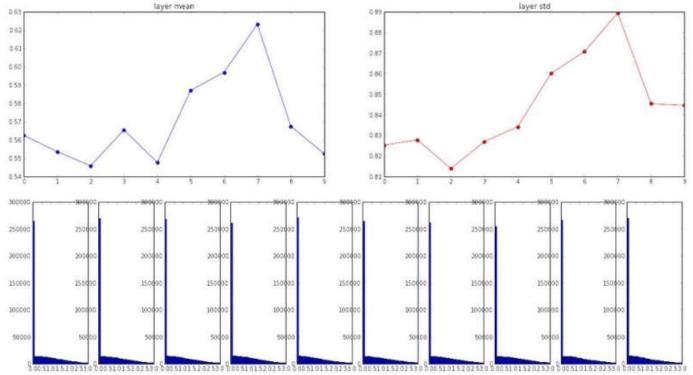


W = np.random.randn(fan in, fan out) np.sqrt(fan in/2) # layer initialization

input layer had mean 0.000501 and std 0.999444 hidden layer 1 had mean 0.562488 and std 0.825232 hidden layer 2 had mean 0.553614 and std 0.827835 hidden layer 3 had mean 0.545867 and std 0.813855 hidden layer 4 had mean 0.565396 and std 0.826902 hidden layer 5 had mean 0.547678 and std 0.834092 hidden layer 6 had mean 0.587103 and std 0.860035 hidden layer 7 had mean 0.596867 and std 0.870610 hidden layer 8 had mean 0.623214 and std 0.889348 hidden layer 9 had mean 0.567498 and std 0.845357 hidden layer 10 had mean 0.552531 and std 0.84452

He et al. 2015

(note additional/2)

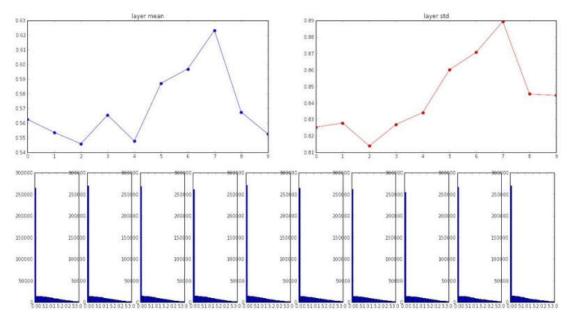


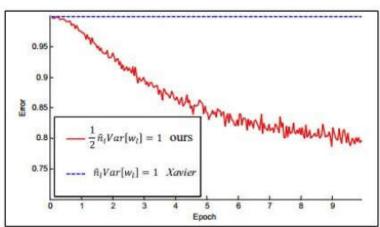


```
W = np.random.randn(fan in, fan out)
                                      np.sqrt(fan in/2) # layer initialization
```

input layer had mean 0.000501 and std 0.999444 hidden layer 1 had mean 0.562488 and std 0.825232 hidden layer 2 had mean 0.553614 and std 0.827835 hidden layer 3 had mean 0.545867 and std 0.813855 hidden layer 4 had mean 0.565396 and std 0.826902 hidden layer 5 had mean 0.547678 and std 0.834092 hidden layer 6 had mean 0.587103 and std 0.860035 hidden layer 7 had mean 0.596867 and std 0.870610 hidden layer 8 had mean 0.623214 and std 0.889348 hidden layer 9 had mean 0.567498 and std 0.845357 hidden layer 10 had mean 0.552531 and std 0.84452

He et al. 2015 (note additional/2)







Proper initialization is an <u>active area of research</u>...

- Understanding the difficulty of training deep feedforward neural networks by Glorot and Bengio, 2010
- **Exact solutions to the nonlinear dynamics of learning in deep linear neural** networks, by Saxe et al, 2013
- Random walk initialization for training very deep feedforward networks, by Sussillo and Abbott, 2014
- Delving deep into rectifiers: Surpassing human-level performance on **ImageNet classification** by He et al., 2015
- Data-dependent initializations of Convolutional Neural Networks by Krahenbuhl et al., 2015
- All you need is good init, Mishkin and Matas, 2015



"You want unit Gaussian activations? Just make them so."

Consider a batch of activations at some layer. To make each dimension unit **Gaussian**, apply:

$$\widehat{x}^{(k)} = \frac{x^{(k)} - E\left[x^{(k)}\right]}{\sqrt{Var\left[x^{(k)}\right]}}$$

This is a completely **differentiable function**...



"You want unit Gaussian activations? Just make them so."

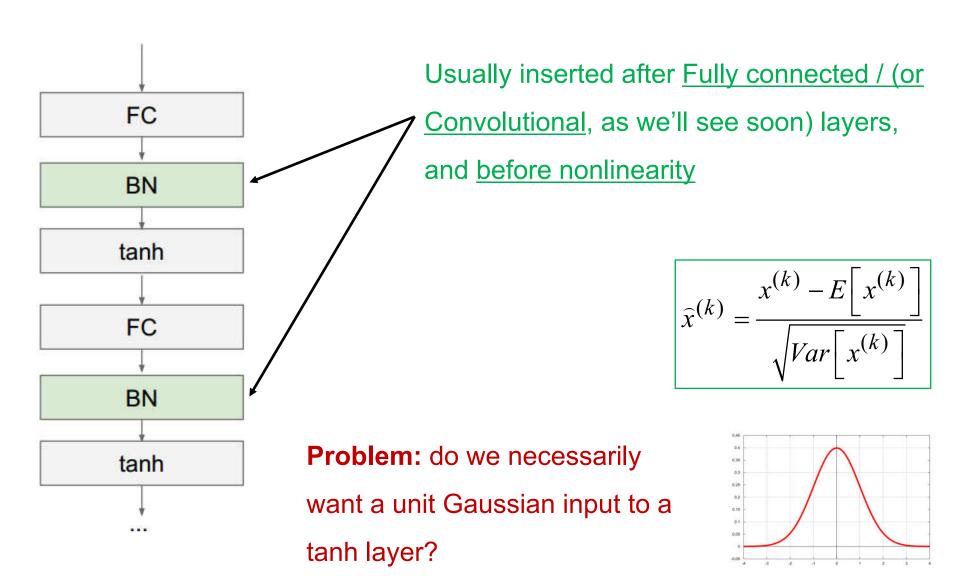
N

1. Compute the empirical mean and variance independent for each dimension

2. Normalize

$$\widehat{x}^{(k)} = \frac{x^{(k)} - E\left[x^{(k)}\right]}{\sqrt{Var\left[x^{(k)}\right]}}$$







Normalize:

$$\widehat{x}^{(k)} = \frac{x^{(k)} - E\left[x^{(k)}\right]}{\sqrt{Var\left[x^{(k)}\right]}}$$
 Part I

And then allow the network to squash the range if it wants to:

$$y^{(k)} = \gamma^{(k)} \hat{x}^{(k)} + \beta^{(k)}$$
 Part II

Note, the network can learn:

$$\gamma^{(k)} = \sqrt{Var \left[x^{(k)} \right]}$$
$$\beta^{(k)} = E \left[x^{(k)} \right]$$

to recover the identity mapping.



Input: Values of
$$x$$
 over a mini-batch: $\mathcal{B} = \{x_{1...m}\}$;

Parameters to be learned: γ , β

Output: $\{y_i = \mathrm{BN}_{\gamma,\beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \qquad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \qquad // \text{mini-batch variance}$$

$$\widehat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \qquad // \text{normalize}$$

$$y_i \leftarrow \gamma \widehat{x}_i + \beta \equiv \mathrm{BN}_{\gamma,\beta}(x_i) \qquad // \text{scale and shift}$$

- Improves gradient flow through the network
- Allows <u>higher learning rates</u>
- Reduces the strong dependence on initialization
- Acts as a <u>form of</u>
 regularization in a funny way,
 and slightly reduces the need
 for dropout, maybe...



Input: Values of
$$x$$
 over a mini-batch: $\mathcal{B} = \{x_{1...m}\}$;

Parameters to be learned: γ , β

Output: $\{y_i = \mathrm{BN}_{\gamma,\beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \qquad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \qquad // \text{mini-batch variance}$$

$$\widehat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \qquad // \text{normalize}$$

$$y_i \leftarrow \gamma \widehat{x}_i + \beta \equiv \mathrm{BN}_{\gamma,\beta}(x_i) \qquad // \text{scale and shift}$$

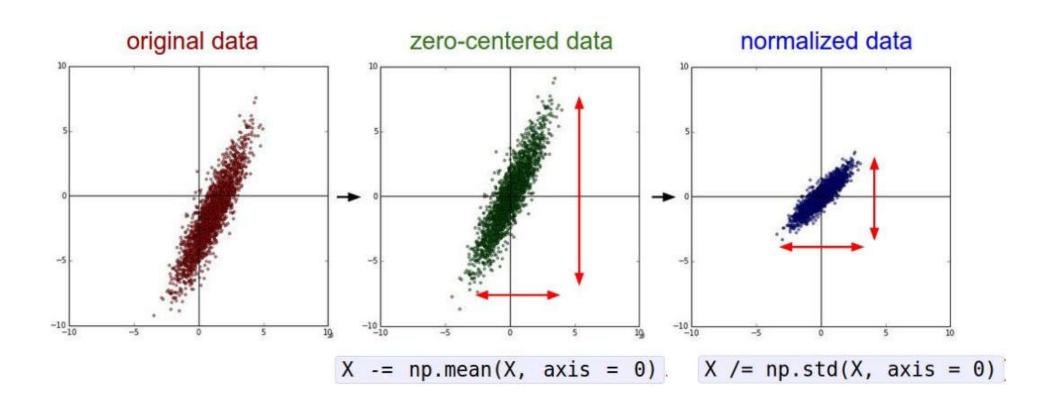
- Note: at test time BatchNorm layer functions differently:
- The mean/std are not computed based on the batch. Instead, a single fixed empirical mean of activations during training is used.

(e.g. can be estimated during training with **running averages**)



Babysitting the Learning Process



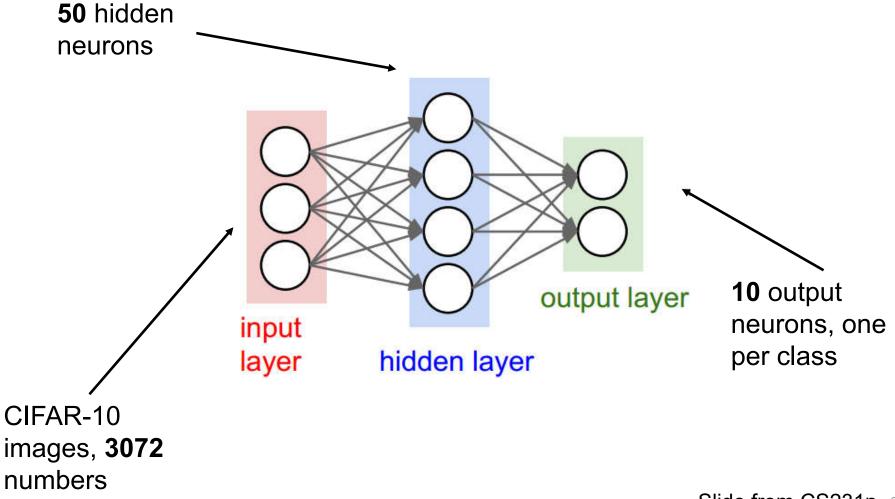


- (Assume **X** [NxD] is data matrix, each example in a row)
- In images, normalizing is not common

Step 2: Choose the Architecture



We start with one hidden layer of 50 neurons:



Tuning Proposed Architecture



- Double check that the loss
- **Gradient check**
- Overfitting in small dataset
- Find good learning rate and regularization strength

Double Check that the Loss is Reasonable



```
def init two layer model(input size, hidden size, output size):
 # initialize a model
 model = \{\}
 model['W1'] = 0.0001 * np.random.randn(input size, hidden size)
 model['b1'] = np.zeros(hidden size)
 model['W2'] = 0.0001 * np.random.randn(hidden size, output size)
 model['b2'] = np.zeros(output size)
  return model
```

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
loss, grad = two layer net(X train, model, y train 0.0)
                                                        disable regularization
print loss
2.30261216167
                        loss ~2.3.
                                               returns the loss and the
                        "correct " for
                                               gradient for all parameters
                        10 classes
```

Double Check that the Loss is Reasonable



```
def init two layer model(input size, hidden size, output size):
  # initialize a model
 model = \{\}
 model['W1'] = 0.0001 * np.random.randn(input size, hidden size)
 model['b1'] = np.zeros(hidden size)
 model['W2'] = 0.0001 * np.random.randn(hidden size, output size)
 model['b2'] = np.zeros(output size)
  return model
```

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
loss, grad = two layer net(X train, model, y train, 1e3)
                                                         crank up regularization
print loss
3.06859716482
                                loss went up, good. (sanity check)
```

Overfitting in Small Dataset



Tips: Make sure that you can <u>overfit very small portion of the training data</u>

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
X tiny = X train[:20] # take 20 examples
y tiny = y train[:20]
best model, stats = trainer.train(X tiny, y tiny, X tiny, y tiny,
                                  model, two layer net,
                                  num epochs=200, reg=0.0,
                                  update='sgd', learning rate decay=1,
                                  sample batches = False,
                                  learning rate=le-3, verbose=True)
```

The above code:

- Take the first 20 examples from CIFAR-10
- Turn off regularization (reg = 0.0)
- Using simple vanilla 'sgd'

Overfitting in Small Dataset



Tips: Make sure that you can overfit very small portion of the training data

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
X tiny = X train[:20] # take 20 examples
y tiny = y train[:20]
best model, stats = trainer.train(X tiny, y tiny, X tiny, y tiny,
                                  model, two layer net,
                                  num epochs=200, reg=0.0,
                                  update='sqd', learning rate decay=1,
                                  sample batches = False,
                                  learning rate=le-3, verbose=True)
Finished epoch 1 / 200: cost 2.302603, train: 0.400000, val 0.400000, lr 1.000000e-03
Finished epoch 2 / 200: cost 2.302258, train: 0.450000, val 0.450000, lr 1.000000e-03
Finished epoch 3 / 200: cost 2.301849, train: 0.600000, val 0.600000, lr 1.000000e-03
Finished epoch 4 / 200: cost 2.301196, train: 0.650000, val 0.650000, lr 1.000000e-03
Finished epoch 5 / 200: cost 2.300044, train: 0.650000, val 0.650000, lr 1.000000e-03
Finished epoch 6 / 200: cost 2.297864, train: 0.550000, val 0.550000, lr 1.000000e-03
Finished epoch 7 / 200: cost 2.293595, train: 0.600000, val 0.600000, lr 1.000000e-03
Finished epoch 8 / 200: cost 2.285096, train: 0.550000, val 0.550000, lr 1.000000e-03
Finished epoch 9 / 200: cost 2.268094, train: 0.550000, val 0.550000, lr 1.000000e-03
Finished epoch 10 / 200: cost 2.234787, train: 0.500000, val 0.500000, lr 1.000000e-03
Finished epoch 11 / 200: cost 2.173187, train: 0.500000, val 0.500000, lr 1.000000e-03
Finished epoch 12 / 200: cost 2.076862, train: 0.500000, val 0.500000, lr 1.000000e-03
Finished epoch 13 / 200: cost 1.974090, train: 0.400000, val 0.400000, lr 1.000000e-03
Finished epoch 14 / 200: cost 1.895885, train: 0.400000, val 0.400000, lr 1.000000e-03
Finished epoch 15 / 200: cost 1.820876, train: 0.450000, val 0.450000, lr 1.000000e-03
Finished epoch 16 / 200: cost 1.737430, train: 0.450000, val 0.450000, lr 1.000000e-03
Finished epoch 17 / 200: cost 1.642356, train: 0.500000, val 0.500000, lr 1.000000e-03
Finished epoch 18 / 200: cost 1.535239, train: 0.600000, val 0.600000, lr 1.000000e-03
Finished epoch 19 / 200: cost 1.421527, train: 0.600000, val 0.600000, lr 1.000000e-03
      Finished epoch 195 / 200: cost 0.002694, train: 1.000000, val 1.000000, lr 1.000000e-03
      Finished epoch 196 / 200: cost 0.002674, train: 1.000000, val 1.000000, lr 1.000000e-03
      Finished epoch 197 / 200: cost 0.002655, train: 1.000000, val 1.000000, lr 1.000000e-03
      Finished epoch 198 / 200: cost 0.002635, train: 1.000000, val 1.000000, lr 1.000000e-03
      Finished epoch 199 / 200: cost 0.002617, train: 1.000000, val 1.000000, lr 1.000000e-03
      Finished epoch 200 / 200: cost 0.002597, train: 1.000000, val 1.000000, lr 1.000000e-03
```

finished optimization. best validation accuracy: 1.000000

Very small loss, train accuracy 1.00, nice!

Learning Rate



I like to start with small regularization and find learning rate that makes the loss go down.

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
best model, stats = trainer.train(X train, y train, X val, y val,
                                  model, two layer net,
                                  num epochs=10, reg=0.000001,
                                  update='sqd', learning rate decay=1,
                                  sample batches = True,
                                  learning rate=le-6, verbose=True)
Finished epoch 1 / 10: cost 2.302576, train: 0.080000, val 0.103000, lr 1.000000e-06
Finished epoch 2 / 10: cost 2.302582, train: 0.121000, val 0.124000, lr 1.000000e-06
Finished epoch 3 / 10: cost 2.302558, train: 0.119000, val 0.138000, lr 1.000000e-06
Finished epoch 4 / 10: cost 2.302519, train: 0.127000, val 0.151000, lr 1.000000e-06
Finished epoch 5 / 10: cost 2.302517, train: 0.158000, val 0.171000, lr 1.000000e-06
Finished epoch 6 / 10: cost 2.302518, train: 0.179000, val 0.172000, lr 1.000000e-06
Finished epoch 7 / 10: cost 2.302466, train: 0.180000, val 0.176000, lr 1.000000e-06
Finished epoch 8 / 10: cost 2.302452, train: 0.175000, val 0.185000, lr 1.000000e-06
Finished epoch 9 / 10: cost 2.302459, train: 0.206000, val 0.192000, lr 1.000000e-06
Finished epoch 10 / 10 cost 2.302420, train: 0.190000, val 0.192000, lr 1.000000e-06
finished optimization. best validation accuracy: 0.192000
```

Loss barely changing

Cost is barely changing, but train accuracy increasing fast



 I like to start with small regularization and find learning rate that makes the loss go down.

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
best model, stats = trainer.train(X train, y train, X val, y val,
                                  model, two layer net,
                                  num epochs=10, reg=0.000001,
                                  update='sqd', learning rate decay=1,
                                  sample batches = True,
                                  learning rate=le-6, verbose=True)
Finished epoch 1 / 10: cost 2.302576, train: 0.080000, val 0.103000, lr 1.000000e-06
Finished epoch 2 / 10: cost 2.302582, train: 0.121000, val 0.124000, lr 1.000000e-06
Finished epoch 3 / 10: cost 2.302558, train: 0.119000, val 0.138000, lr 1.000000e-06
Finished epoch 4 / 10: cost 2.302519, train: 0.127000, val 0.151000, lr 1.000000e-06
Finished epoch 5 / 10: cost 2.302517, train: 0.158000, val 0.171000, lr 1.000000e-06
Finished epoch 6 / 10: cost 2.302518, train: 0.179000, val 0.172000, lr 1.000000e-06
Finished epoch 7 / 10: cost 2.302466, train: 0.180000, val 0.176000, lr 1.000000e-06
Finished epoch 8 / 10: cost 2.302452, train: 0.175000, val 0.185000, lr 1.000000e-06
Finished epoch 9 / 10: cost 2.302459, train: 0.206000, val 0.192000, lr 1.000000e-06
Finished epoch 10 / 10 cost 2.302420, train: 0.190000, val 0.192000, lr 1.000000e-06
finished optimization. best validation accuracy: 0.192000
```

Loss barely changing

Question #4: Notice train/val accuracy goes to 20% though, what's up with that? (remember this is softmax)

Slide from CS231n



I like to start with small regularization and find learning rate that makes the loss go down.

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
best model, stats = trainer.train(X train, y train, X val, y val,
                                  model, two layer net,
                                  num epochs=10, reg=0.000001,
                                  update='sgd', learning rate decay=1,
                                  sample batches = True,
                                  learning rate=le6, verbose=True)
```

Loss not going down:

learning rate too low

Okay now lets try learning rate **1e6**. What could possible go wrong



I like to start with small regularization and find learning rate that makes the loss go down.

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
best model, stats = trainer.train(X train, y train, X val, y val,
                                  model, two layer net,
                                  num epochs=10, reg=0.000001,
                                  update='sgd', learning rate decay=1,
                                  sample batches = True,
                                  learning rate=le6, verbose=True)
/home/karpathy/cs23ln/code/cs23ln/classifiers/neural net.py:50: RuntimeWarning: divide by zero en
countered in log
  data loss = -np.sum(np.log(probs[range(N), y])) / N
/home/karpathy/cs23ln/code/cs23ln/classifiers/neural net.py:48: RuntimeWarning: invalid value enc
ountered in subtract
  probs = np.exp(scores - np.max(scores, axis=1, keepdims=True))
Finished epoch 1 / 10: cost nan, train: 0.091000, val 0.087000, lr 1.0000000+06
Finished epoch 2 / 10: cost nan, train: 0.095000, val 0.087000, lr 1.0000000+06
Finished epoch 3 / 10: cost nan, train: 0.100000, val 0.087000, lr 1.0000000+06
```

Loss not going down:

learning rate too low

Loss exploding:

leaning rate too high

Cost: NaN almost always means high learning rate...



I like to start with small regularization and find learning rate that makes the loss go down.

```
model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
trainer = ClassifierTrainer()
best model, stats = trainer.train(X train, y train, X val, y val,
                                  model, two layer net,
                                  num epochs=10, reg=0.000001,
                                  update='sqd', learning rate decay=1,
                                  sample batches = True,
                                 learning rate=3e-3, verbose=True)
Finished epoch 1 / 10: cost 2.186654, train: 0.308000, val 0.306000, lr 3.000000e-03
Finished epoch 2 / 10: cost 2.176230, train: 0.330000, val 0.350000, lr 3.000000e-03
Finished epoch 3 / 10: cost 1.942257, train: 0.376000, val 0.352000, lr 3.000000e-03
Finished epoch 4 / 10: cost 1.827868, train: 0.329000, val 0.310000, lr 3.000000e-03
Finished epoch 5 / 10: cost inf, train: 0.128000, val 0.128000, lr 3.000000e-03
Finished epoch 6 / 10: cost inf, train: 0.144000, val 0.147000, lr 3.000000e-03
```

Loss not going down:

learning rate too low

3e-3 is still too high. Cost explodes...

Loss exploding:

leaning rate too high



Hyperparameter Optimization



• I like to do **coarse -> fine** cross-validation in stages

First stage: only a few epochs to get rough idea of what params work

Second stage: longer running time, finer search

... (repeat as necessary)

<u>Tip for detecting explosions in the solver:</u>

If the <u>cost is ever > 3 * original cost</u>, break out early



For example, run coarse search for 5 epochs

```
max count = 100
                                                           note it's best to optimize
   for count in xrange(max count):
         reg = 10**uniform(-5, 5)
         lr = 10**uniform(-3, -6)
                                                           in log space!
        trainer = ClassifierTrainer()
        model = init two layer model(32*32*3, 50, 10) # input size, hidden size, number of classes
        trainer = ClassifierTrainer()
        best model local, stats = trainer.train(X train, y train, X val, y val,
                                       model, two layer net,
                                       num epochs=5, reg=reg,
                                       update='momentum', learning rate decay=0.9,
                                       sample batches = True, batch size = 100,
                                       learning rate=lr, verbose=False)
            val acc: 0.412000, lr: 1.405206e-04, reg: 4.793564e-01, (1 /
            val acc: 0.214000, lr: 7.231888e-06, reg: 2.321281e-04, (2 / 100)
            val acc: 0.208000, lr: 2.119571e-06, reg: 8.011857e+01, (3 / 100)
            val acc: 0.196000, lr: 1.551131e-05, reg: 4.374936e-05, (4 / 100)
            val acc: 0.079000, lr: 1.753300e-05, reg: 1.200424e+03, (5 / 100)
            val acc: 0.223000, lr: 4.215128e-05, reg: 4.196174e+01, (6 / 100)
            val acc: 0.441000, lr: 1.750259e-04, reg: 2.110807e-04, (7 /
nice
            val acc: 0.241000, lr: 6.749231e-05, reg: 4.226413e+01, (8
            val acc: 0.482000, lr: 4.296863e-04, req: 6.642555e-01, (9 /
            val acc: 0.079000, lr: 5.401602e-06, reg: 1.599828e+04, (10 / 100)
            val acc: 0.154000, lr: 1.618508e-06, reg: 4.925252e-01, (11 / 100)
```



Now run finer search...

```
max count = 100
max count = 100
                                      Adjust range
                                                         for count in xrange(max count):
for count in xrange(max count):
      reg = 10**uniform(-5, 5)
                                                                reg = 10**uniform(-4, 0)
      lr = 10**uniform(-3, -6)
                                                               lr = 10**uniform(-3, -4)
```

```
val acc: 0.527000, lr: 5.340517e-04, req: 4.097824e-01, (0 / 100)
val acc: 0.492000, lr: 2.279484e-04, req: 9.991345e-04, (1 / 100)
val acc: 0.512000, lr: 8.680827e-04, reg: 1.349727e-02, (2 / 100)
val acc: 0.461000, lr: 1.028377e-04, req: 1.220193e-02, (3 / 100)
val acc: 0.460000, lr: 1.113730e-04, reg: 5.244309e-02, (4 / 100)
val acc: 0.498000, lr: 9.477776e-04, reg: 2.001293e-03, (5 / 100)
val acc: 0.469000, lr: 1.484369e-04, req: 4.328313e-01, (6 / 100)
val acc: 0.522000, lr: 5.586261e-04, reg: 2.312685e-04, (7 / 100)
val acc: 0.530000, lr: 5.808183e-04, reg: 8.259964e-02, (8 / 100)
val acc: 0.489000, lr: 1.979168e-04, reg: 1.010889e-04, (9 / 100)
val acc: 0.490000, lr: 2.036031e-04, req: 2.406271e-03, (10 / 100)
val acc: 0.475000, lr: 2.021162e-04, reg: 2.287807e-01, (11 / 100)
val acc: 0.460000, lr: 1.135527e-04, reg: 3.905040e-02, (12 / 100)
val acc: 0.515000, lr: 6.947668e-04, req: 1.562808e-02, (13 / 100)
val acc: 0.531000, lr: 9.471549e-04, reg: 1.433895e-03, (14 / 100)
val acc: 0.509000, lr: 3.140888e-04, reg: 2.857518e-01, (15 / 100
val acc: 0.514000, lr: 6.438349e-04, reg: 3.033781e-01, (16 / 100)
val acc: 0.502000, lr: 3.921784e-04, reg: 2.707126e-04, (17 / 100)
val acc: 0.509000, lr: 9.752279e-04, req: 2.850865e-03, (18 / 100)
val acc: 0.500000, lr: 2.412048e-04, reg: 4.997821e-04, (19 / 100)
val acc: 0.466000, lr: 1.319314e-04, reg: 1.189915e-02, (20 / 100)
val acc: 0.516000, lr: 8.039527e-04, reg: 1.528291e-02, (21 / 100)
```

53% - relatively good for a 2-layer neural net with 50 hidden neurons.



Now run finer search...

```
max count = 100
max count = 100
                                      Adjust range
                                                          for count in xrange(max count):
for count in xrange(max count):
      reg = 10**uniform(-5, 5)
                                                                reg = 10**uniform(-4, 0)
      lr = 10**uniform(-3, -6)
                                                                lr = 10**uniform(-3, -4)
```

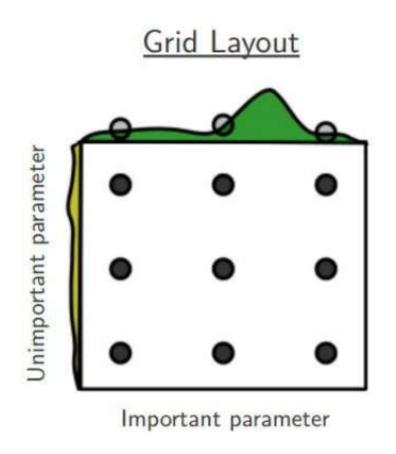
```
val acc: 0.527000, lr: 5.340517e-04, reg: 4.097824e-01, (0 / 100)
val acc: 0.492000, lr: 2.279484e-04, req: 9.991345e-04, (1 / 100)
val acc: 0.512000, lr: 8.680827e-04, reg: 1.349727e-02, (2 / 100)
val acc: 0.461000, lr: 1.028377e-04, req: 1.220193e-02, (3 / 100)
val acc: 0.460000, lr: 1.113730e-04, reg: 5.244309e-02, (4 / 100)
val acc: 0.498000, lr: 9.477776e-04, reg: 2.001293e-03, (5 / 100)
val acc: 0.469000, lr: 1.484369e-04, req: 4.328313e-01, (6 / 100)
val acc: 0.522000, lr: 5.586261e-04, reg: 2.312685e-04, (7 / 100)
val acc: 0.530000, lr: 5.808183e-04, reg: 8.259964e-02, (8 / 100)
val acc: 0.489000, lr: 1.979168e-04, reg: 1.010889e-04, (9 / 100)
val acc: 0.490000, lr: 2.036031e-04, req: 2.406271e-03, (10 / 100)
val acc: 0.475000, lr: 2.021162e-04, reg: 2.287807e-01, (11 / 100)
val acc: 0.460000, lr: 1.135527e-04, reg: 3.905040e-02, (12 / 100)
val acc: 0.515000, lr: 6.947668e-04, req: 1.562808e-02, (13 / 100)
val acc: 0.531000, lr: 9.471549e-04, reg: 1.433895e-03, (14 / 100)
val acc: 0.509000, lr: 3.140888e-04, reg: 2.857518e-01, (15 / 100)
val acc: 0.514000, lr: 6.438349e-04, reg: 3.033781e-01, (16 / 100)
val acc: 0.502000, lr: 3.921784e-04, reg: 2.707126e-04, (17 / 100)
val acc: 0.509000, lr: 9.752279e-04, req: 2.850865e-03, (18 / 100)
val acc: 0.500000, lr: 2.412048e-04, reg: 4.997821e-04, (19 / 100)
val acc: 0.466000, lr: 1.319314e-04, reg: 1.189915e-02, (20 / 100)
val acc: 0.516000, lr: 8.039527e-04, reg: 1.528291e-02, (21 / 100)
```

53% - relatively good for a 2-layer neural net with 50 hidden neurons.

> **Question:** But this best cross-validation result is worrying. Whv?

Random Search vs. Grid Search





Random Layout

Important parameter

Tuning Neural Networks



Hyperparameters to play with:

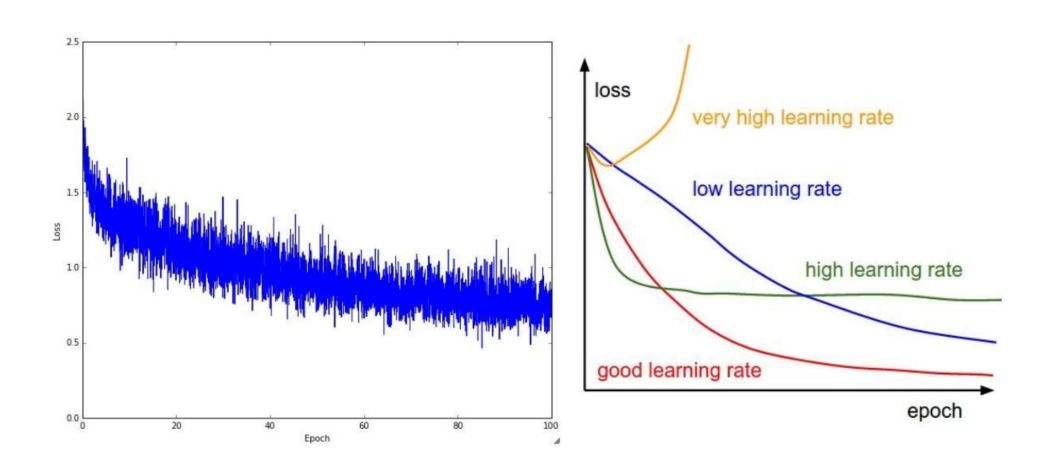
- Network architecture
- Learning rate, its decay schedule, update type
- Regularization (L2/Dropout strength)

neural networks practitioner music = loss function

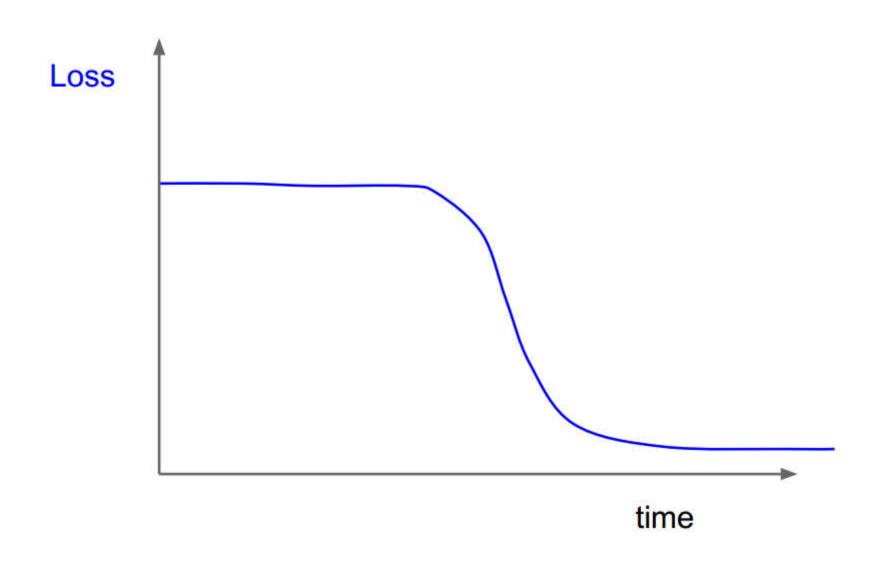


Monitor and Visualize the Loss Curve

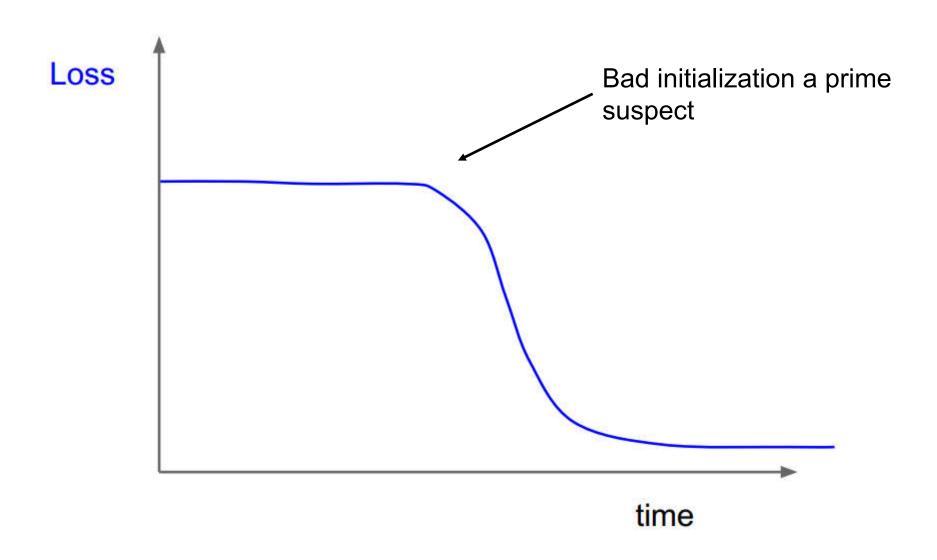




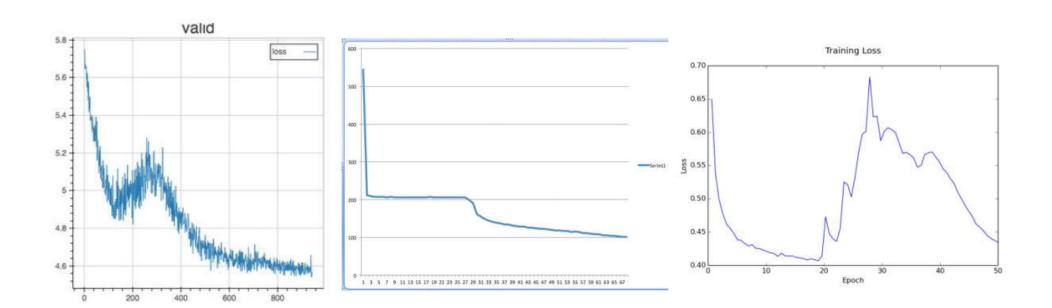




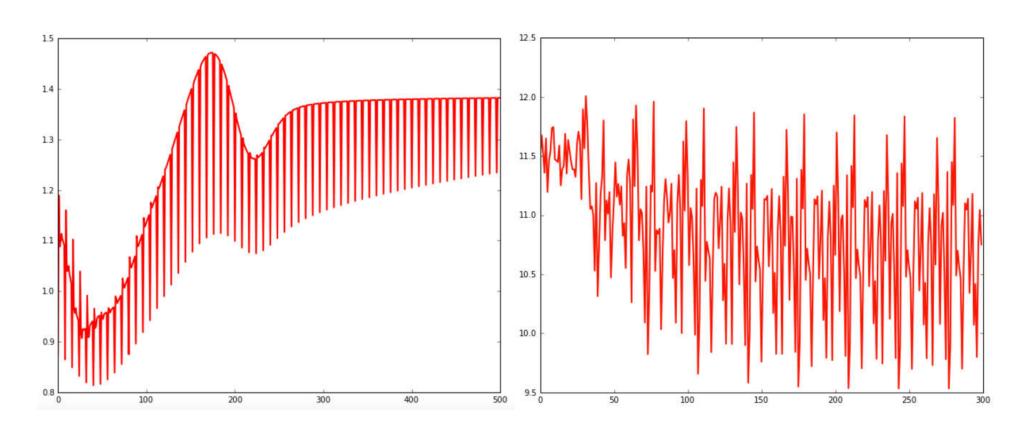








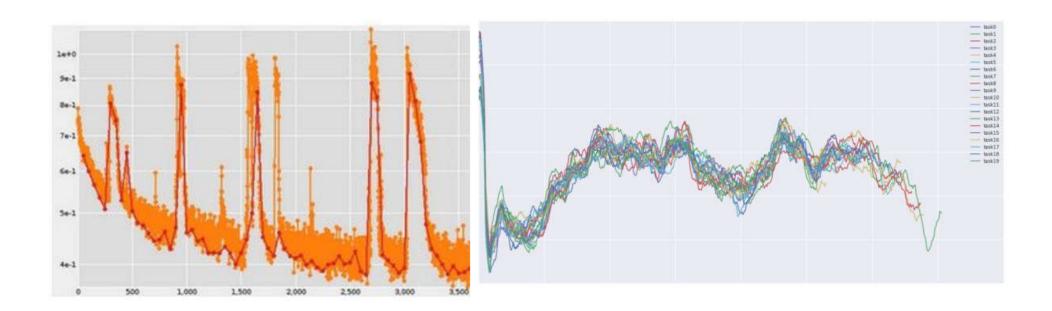




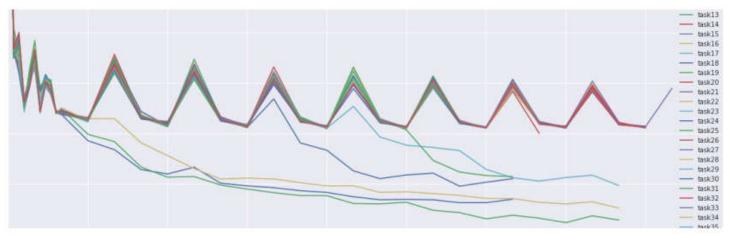
Diagnosis impossible...

A heart rate or a loss function?

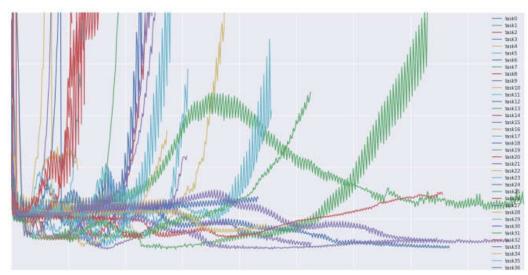






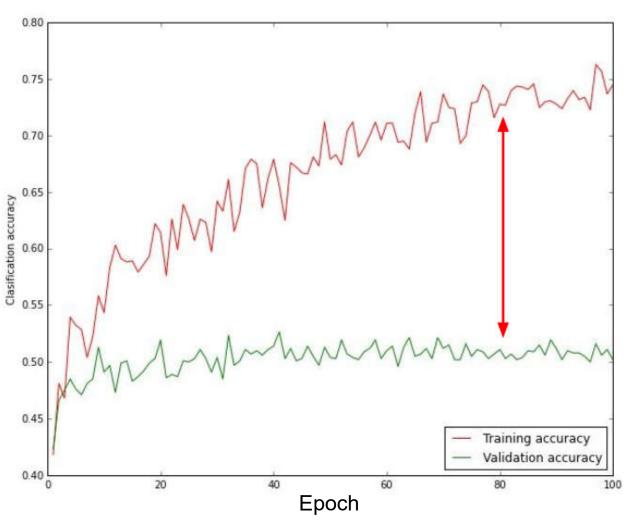


Zig zag zig zag sssssss...



Monitor and Visualize the Accuracy





Big gap = overfitting

Increase regularization strength?

No gap

=> Increase model capacity?

Monitor Weight Updates



Track the ratio of weight updates / weight magnitudes:

```
# assume parameter vector W and its gradient vector dW
param scale = np.linalg.norm(W.ravel())
update = -learning rate*dW # simple SGD update
update scale = np.linalg.norm(update.ravel())
W += update # the actual update
print update scale / param scale # want ~1e-3
```

Ratio between the values and updates: $\sim 0.0002 / 0.02 = 0.01$ (about okay)

Want this to be somewhere around 0.001 or so

Summary



We looked in detailed at:

- Activation Functions (use ReLU)
- Data Preprocessing (images: subtract mean)
- Weight Initialization (use Xavier init)
- Batch Normalization (use)
- Babysitting the Learning process
- Hyperparameter Optimization (random sample hyperparams, in log space when appropriate)

Next Class

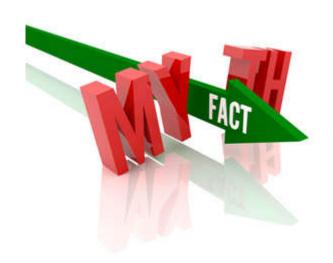


Loot at:

- Parameter update schemes
- Learning rate schedules
- **Gradient Checking**
- Regularization (Dropout etc)
- Evaluation (Ensembles etc)

Things You Should Know for Your Final Project

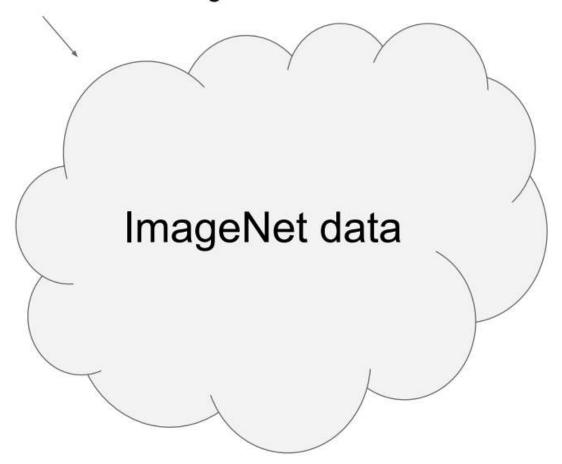
ConvNets need a lot of data to train!



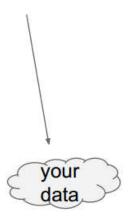
Finetuning! We rarely ever train ConvNets from scratch

Things You Should Know for Your Final Project

Train on ImageNet



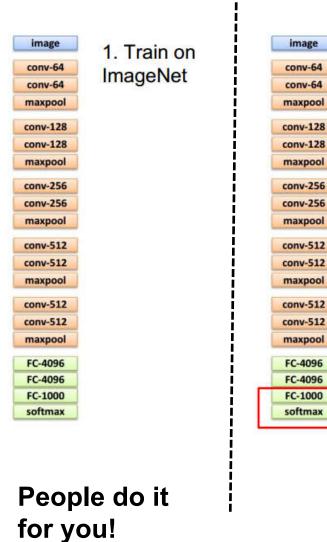
2. Finetune network on your own data



Transfer Learning with CNNs

image





conv-512 maxpool conv-512 conv-512 maxpool FC-4096 FC-4096 FC-1000 softmax

image 3. If you have medium sized 2. If small dataset: fix conv-64 dataset, "finetune" instead: all weights (treat CNN conv-64 use the old weights as as fixed feature maxpool initialization, train the full extractor), retrain only conv-128 network or only some of the the classifier conv-128 higher layers maxpool conv-256 i.e. swap the Softmax conv-256 retrain bigger portion of the layer at the end maxpool network, or even all of it. conv-512

conv-512

maxpool

conv-512

conv-512

maxpool

FC-4096

FC-4096

FC-1000

softmax

MIT **Cityscapes Daimler** Caltech ETH **TUD-Brussels**



Thank you for your attention!