Higher Order Programming: Folds

Concepts of Programming Languages Lecture 10

Outline

- » Look at one more common HOF in detail: fold_left (and fold_right)
- >> Look at HOFs on data types other than lists

Practice Problem

Implement the function

val smallest_prime_factor : int -> int

so that smallest_prime_factor n is the smallest prime factor of n if n > 1

Use this to define the predicate **p** such that **List.filter p l** returns the elements of **l** which are the product of two distinct primes



Recap

Recall: Definition of Map

Recall: Definition of Map

» If the list is empty, nothing to do

Recall: Definition of Map

- >> If the list is empty, nothing to do
- >> If the list is nonempty, we apply f to first element, and recurse
- >> Applies f to every element of l

```
let rec filter p l =
  match l with
  | [] -> []
  | x :: xs ->
     (if p x then [x] else []) @ filter p xs
```

» If the list is empty, nothing to do

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  | [] -> []
  | x :: xs ->
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- >> If the list is empty, nothing to do
- » If the first element satisfies predicate, we keep
 it and recurse; otherwise, we drop it and recurse

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```
let rec filter p l =
  match l with
  | [] -> []
  | x :: xs ->
     (if p x then [x] else []) @ filter p xs
```

- >> If the list is empty, nothing to do
- » If the first element satisfies predicate, we keep it and recurse; otherwise, we drop it and recurse
- » Keeps the elements of l that pass the filter p

Folds

map

```
transform each element (keep every element)
```

```
map
    transform each element (keep every
    element)

filter    keep some elements based on a
    predicate
```

```
map
    transform each element (keep every
    element)

filter    keep some elements based on a
    predicate

fold    combine elements via an accumulation
    function
```

```
let rec rev l =
  match l with
  | [] -> []
  | x :: xs -> rev xs @ [x]
```

```
let rec concat ls =
  match ls with
  | [] -> []
  | x :: xs -> x @ concat xs
```

```
let rec concat ls =
  match ls with
  [] -> []
  | x :: xs -> x @ concat xs
```

```
let rec sum l =
  match l with
  [] -> 0
  | x :: xs -> x + sum xs
  base
```

```
let rec rev l =
  match l with
  | [] -> []
  | x :: xs -> rev xs @ [x]
  base
```

```
let rec map f l =
  match l with
  [] -> []
  x :: xs -> (f x) :: map f xs
  base
```

```
let rec map f l =
  match l with
  [] -> []
  x :: xs -> (f x) :: map f xs
  base rec. call
```

```
let rec sum l =
  let base = 0 in
  match l with
  | [] -> base
  | x :: xs -> x + sum xs
```

```
let rec sum l =
  let base = 0 in
  match l with
  | [] -> base
  | x :: xs -> x + sum xs
```

```
let rec sum l =
  let base = 0 in
  let op = (+) in
  match l with
  | [] -> base
  | x :: xs -> op x (sum xs)
```

```
let rec sum l =
  let base = 0 in
  let op = (+) in

match l with
  | [] -> base
  | x :: xs -> op x (sum xs)
```

```
let sum l =
  let base = 0 in
  let op = (+) in
  let rec go op l base =
    match l with
    [] -> base
x :: xs -> op x (go xs)
  in go op l base
```

```
let sum l =
  let base = 0 in
 let op = (+) in
 let rec go op l base =
   match l with
    _> base
    x :: xs \rightarrow op x (go xs)
  in go op l base fold right
```

```
let sum l =
  let base = 0 in
  let op = ( + ) in
  List.fold_right op l base
```

```
let sum l = List.fold_right ( + ) l 0
```

We get a one-liner for **sum** (and a whole lot of other functions)

Folds are very nice for "iterating" over a list

The Picture

```
1 :: (2 :: (3 :: (4 :: (5 :: (6 :: (7 :: []))))))
\downarrow sum = fold_right ( + ) l 0
1 + (2 + (3 + (4 + (5 + (6 + (7 + 0))))))
```

We can think of fold_right as "replacing" :: with + and [] with 0

The Picture

```
1 :: (2 :: (3 :: (4 :: (5 :: (6 :: (7 :: []))))))
\downarrow \text{prod} = \text{fold\_right} (*) 1 1
1 * (2 * (3 * (4 * (5 * (6 * (7 * 1))))))
```

We can think of $fold_right$ as "replacing" :: with * and [] with 1

The Picture

```
[1] :: ([2] :: ([3] :: ([4] :: ([5] :: ([6] :: ([7] :: []))))))

concat = fold_right (@) l []

[1] @ ([2] @ ([3] @ ([4] @ ([5] @ ([6] @ ([7] @ []))))))
```

We can think of **fold_right** as "replacing" :: with @ and [] with []

The Picture

We can think of fold_right as "replacing" :: with op and [] with base

```
('a -> 'b -> 'b) -> 'a list -> 'b -> 'b note the order of args.
let fold_right op l base =
  let rec go l =
    match l with
    | [] -> base
    | x :: xs -> op x (go xs)
  in go l
```

>> On empty, return the base element

```
('a -> 'b -> 'b) -> 'a list -> 'b -> 'b note the order of args.
let fold_right op l base =
   let rec go l =
    match l with
   | [] -> base
   | x :: xs -> op x (go xs)
   in go l
```

- >> On empty, return the base element
- » On nonempty, recurse on the tail and apply op to the head and the result

- >> On empty, return the base element
- » On nonempty, recurse on the tail and apply op to the head and the result

Practice Problem

```
Using List.fold_right, implement
>> append ( @ )
» reverse
>> map
» filter
```

demo (examples of fold_right)

Tail-Recursive Fold Attempt

```
let fold_right_tr op l base =
  let rec go l acc =
    match l with
    | [] -> acc
    | x :: xs -> go xs (op acc x)
  in go l base
```

Can you see what's wrong with this definition?



```
fold_right (+) [1;2;3] 0 ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
```

```
fold_right (+) [1;2;3] 0 ===

1 + fold_right (+) [2;3] 0 ===

1 + (2 + fold_right (+) [3] 0) ===

1 + (2 + (3 + fold_right (+) [] 0)) ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ====
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ====
1 + 5
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
1 + 5 ===
6
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
1 + 5 ===
6
```

```
fold_right_tr (+) [1;2;3] 0 ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ====
6
```

```
fold_right_tr (+) [1;2;3] 0 ===
go [1;2;3] 0 ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ====
6
```

```
fold_right_tr (+) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 + 1) ===
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
1 + 5 ===
```

```
fold_right_tr (+) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 + 1) ====
go [3] ((0 + 1) + 2) ====
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
6
```

```
fold_right_tr (+) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 + 1) ====
go [3] ((0 + 1) + 2) ====
go [] (((0 + 1) + 2) + 3) ====
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
6
```

```
fold_right_tr (+) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 + 1) ====
go [3] ((0 + 1) + 2) ====
go [] (((0 + 1) + 2) + 3) ====
((0 + 1) + 2) + 3
```

```
fold_right (+) [1;2;3] 0 ===
1 + fold_right (+) [2;3] 0 ===
1 + (2 + fold_right (+) [3] 0) ===
1 + (2 + (3 + fold_right (+) [] 0)) ===
1 + (2 + (3 + 0)) ===
1 + (2 + 3) ===
6
```

```
fold_right_tr (+) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 + 1) ====
go [3] ((0 + 1) + 2) ====
go [] (((0 + 1) + 2) + 3) ====
((0 + 1) + 2) + 3 ====
```

```
fold_right_tr (+) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 + 1) ===
go [3] ((0 + 1) + 2) ===
go [] (((0 + 1) + 2) + 3) ===
((0 + 1) + 2) + 3 ===
(1 + 2) + 3 ===
3 + 3 ===
```

```
fold_right_tr (+) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 + 1)  ===
go [3] ((0 + 1) + 2)  ===
go [] (((0 + 1) + 2) + 3)  ===
((0 + 1) + 2) + 3  ===
(1 + 2) + 3  ===
6
```



```
fold_right (-) [1;2;3] 0 ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
1 - (-1)
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
2
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 - 1) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 - 1) ===
go [3] ((0 - 1) - 2) ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 - 1) ====
go [3] ((0 - 1) - 2) ====
go [] (((0 - 1) - 2) - 3) ====
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 - 1) ===
go [3] ((0 - 1) - 2) ===
go [] (((0 - 1) - 2) - 3) ===
((0 - 1) - 2) - 3
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ====
go [1;2;3] 0 ====
go [2;3] (0 - 1) ====
go [3] ((0 - 1) - 2) ====
go [] (((0 - 1) - 2) - 3) ====
((0 - 1) - 2) - 3 ====
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 - 1) ===
go [3] ((0 - 1) - 2) ===
go [] (((0 - 1) - 2) - 3) ===
((0 - 1) - 2) - 3 ===
((-1) - 2) - 3 ===
(-3) - 3 ===
```

```
fold_right (-) [1;2;3] 0 ===
1 - fold_right (-) [2;3] 0 ===
1 - (2 - fold_right (-) [3] 0) ===
1 - (2 - (3 - fold_right (-) [] 0)) ===
1 - (2 - (3 - 0)) ===
1 - (2 - 3) ===
2 ===
2
```

```
fold_right_tr (-) [1;2;3] 0 ===
go [1;2;3] 0 ===
go [2;3] (0 - 1) ===
go [3] ((0 - 1) - 2) ===
go [] (((0 - 1) - 2) - 3) ===
((0 - 1) - 2) - 3 ===
((-1) - 2) - 3 ===
(-3) - 3 ===
-6
```

$$1 - (2 - (3 - 0))$$

$$((0-1)-2)-3$$

Changing parentheses is fine for (+) but not for (-)

Associativity

Definition: A binary operation $\square: A \times A \to A$ is associative if it satisfies $a\square(b\square c) = (a\square b)\square c$ for any $a,b,c \in A$

Example: Addition and multiplication are associative, whereas subtraction and division are not

Definition of Fold Left

```
('a -> 'b -> 'a) -> 'a -> 'b list -> 'a
    let fold_left op base l =
        let rec go l acc =
        match l with
        | [] -> acc
        | x :: xs -> go xs (op acc x)
        in go l base
```

Definition of Fold Left

Folding left is just our incorrect tail recursive right folding (with a change in the order of arguments)

Definition of Fold Left

Folding left is just our incorrect tail recursive right folding (with a change in the order of arguments)

```
fold_left is a left-associative fold
fold_right is a right-associative fold
```

```
1:: (2:: (3:: (4:: [])))
fold_left op base l
                   op 1 (op 2 (op 3 (op 4 base)))
op (op (op (op base 1) 2) 3) 4
```

```
1:: (2:: (3:: (4:: [])))
fold_left op base l
                    op 1 (op 2 (op 3 (op 4 base)))
op (op (op base 1) 2) 3) 4
```

demo (examples of fold_left)

Examples of fold_left

```
('a -> bool) -> 'a list -> 'a list
let filter p l = fold_left (fun res h -> if p h then res @ [h] else res) [] l
```

```
'a list -> 'a list -> 'a list
let append l1 l2 = fold_left (fun res h -> res @ [h]) l1 l2
```

```
'a list -> 'a list
let reverse l = fold_left (fun res h -> h::res) [] l
```

```
int list -> int
let max l = fold_left (fun m h -> if h > m then h else m) 0 l
```

Tail-Recursive Fold Right

```
let fold_right_tr op l base =
  List.fold_left
    (fun x y -> op y x)
    base
    (List.rev l)
```

We can write fold_right in terms of fold left by reversing the list and "reversing" the operation

Challenge: Write a tail-recursive fold right without reversing the list

```
Let x - r y := y - x, subtraction with
the arguments flipped
```

```
Let x - r y := y - x, subtraction with
the arguments flipped
```

$$1 - r (2 - r (3 - r (4 - r 0)))$$

```
Let x - r y := y - x, subtraction with
the arguments flipped
```

$$1 - r (2 - r (3 - r (4 - r 0)))$$

$$= 1 - r (2 - r (3 - r (0 - 4)))$$

```
Let x - r y := y - x, subtraction with the arguments flipped
```

```
1 - r (2 - r (3 - r (4 - r 0)))
= 1 - r (2 - r (3 - r (0 - 4)))
= 1 - r (2 - r ((0 - 4) - 3))
```

```
Let x - r y := y - x, subtraction with
the arguments flipped
```

```
1 - r (2 - r (3 - r (4 - r 0)))
= 1 - r (2 - r (3 - r (0 - 4)))
= 1 - r (2 - r ((0 - 4) - 3))
= 1 - r (((0 - 4) - 3) - 2)
```

```
Let x - r y := y - x, subtraction with the arguments flipped
```

```
1 - r (2 - r (3 - r (4 - r 0)))
= 1 - r (2 - r (3 - r (0 - 4)))
= 1 - r (2 - r ((0 - 4) - 3))
= 1 - r (((0 - 4) - 3) - 2)
= (((0 - 4) - 3) - 2) - 1
```

```
let rec all bs =
  match bs with
    | [] -> true
    | false :: _ -> false
    | true :: t -> all t

let all = List.fold_left (&&) true
```

```
let rec all bs =
  match bs with
    | [] -> true
    | false :: _ -> false
    | true :: t -> all t

let all = List.fold_left (&&) true
```

Which is better?

```
let rec all bs =
  match bs with
    | [] -> true
    | false :: _ -> false
    | true :: t -> all t

let all = List.fold_left (&&) true
```

Which is better?

fold_left has to traverse the entire list, it can't short-circuit

```
let rec all bs =
  match bs with
    | [] -> true
    | false :: _ -> false
    | true :: t -> all t

let all = List.fold_left (&&) true
```

Which is better?

fold_left has to traverse the entire list, it can't short-circuit But the fold code is shorter and arguably clearer...

» For associative operations, use fold_left. Why?
Because it is tail recursive

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 Because it is tail recursive
- » Otherwise think! If the recursive call is to be made on the tail, use fold_right

- » For associative operations, use fold_left. Why?
 Because it is tail recursive
- » Otherwise think! If the recursive call is to be made on the tail, use fold_right
- » If you need an accumulator from left to right, use
 fold_left

- » For associative operations, use fold_left. Why?
 Because it is tail recursive
- » Otherwise think! If the recursive call is to be made on the tail, use fold_right
- » If you need an accumulator from left to right, use
 fold_left
- » The types are difficult to remember, let the compiler remind you

Practice Problem

```
let rec insert le v l =
  match l with
  | [] -> [v]
  | x :: xs ->
   if le v x
  then v :: l
  else x :: insert le v l
```

In terms of fold_left implement the function

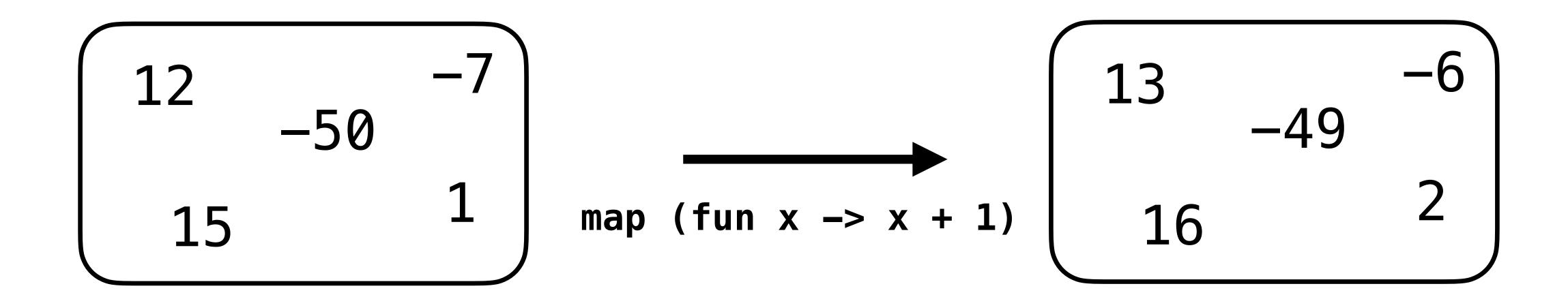
```
val sort : ('a -> 'a -> bool) -> 'a list -> 'a list
```

so that **sort le l** is the list **l** in sorted order according to **le**



Beyond Lists

Mappable Data



A lot of data types hold uniform kinds of data which can then be mapped over

Formally, these are called Functors

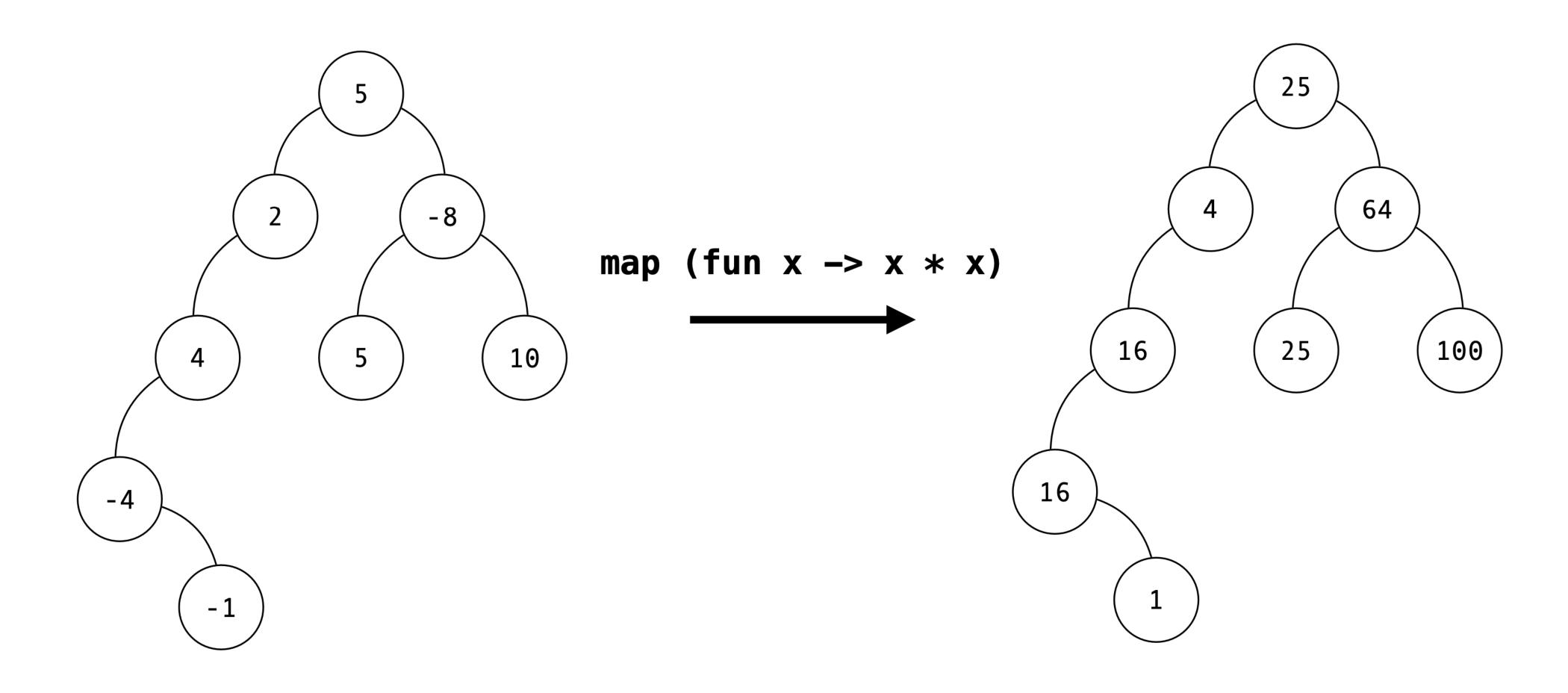
Trees

```
type 'a tree =
    | Leaf
    | Node of 'a * 'a tree * 'a tree

let map f t =
    let rec go t =
        match t with
    | Leaf -> Leaf
        | Node (x, l, r) -> Node (f x, go l, go r)
    in go t
```

Mapping over a tree maintains the structure but recursively updates values with **f**

The Picture

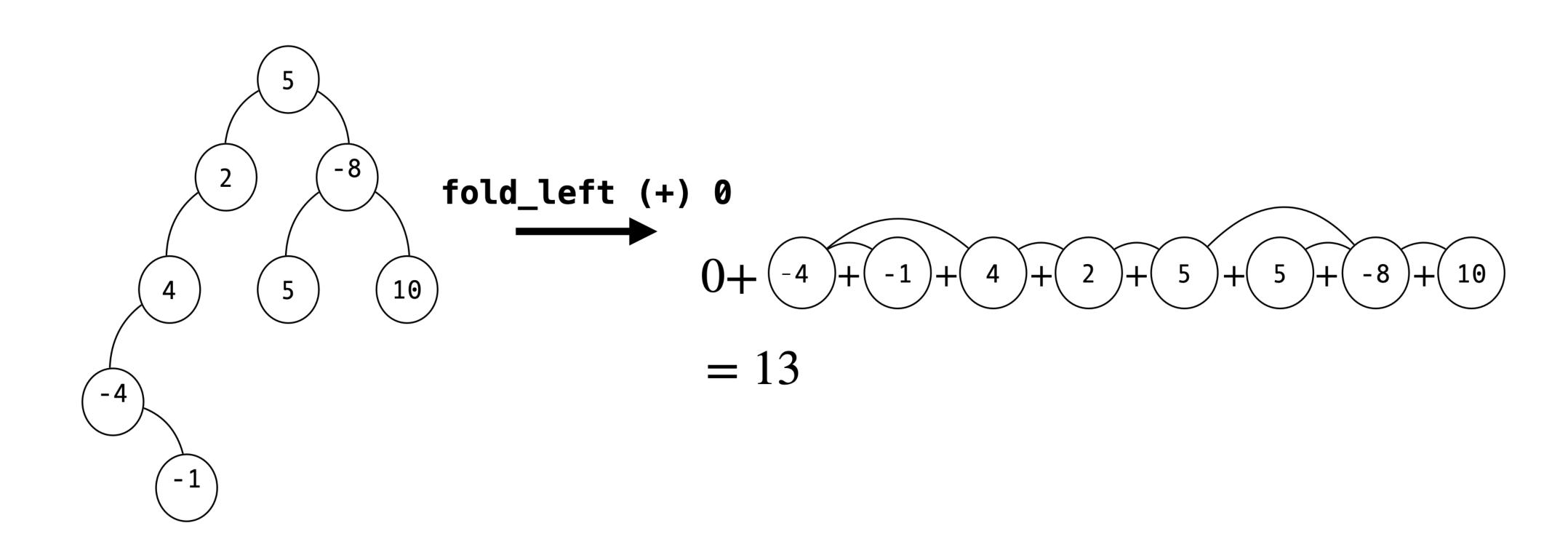


Options

```
let map f oa =
  let rec go oa =
  match oa with
    | None -> None
    | Some x -> Some (f x)
  in go oa
```

On None, leave the None
On Some x, apply f to x

Trees (The Picture)



```
let fold_in_order op base t =
  let rec go acc t =
   match t with
   | Leaf -> acc
   | Node (x, l, r) -> go (op (go acc l) x) r
  in go base t
```

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demo

(map/fold over trees)

Summary

Folds are used to **combine** data with an accumulation function

The order that we combine things matters if the accumulation function is not associative

We can map and fold (and even filter) more than just lists