Formal Grammar

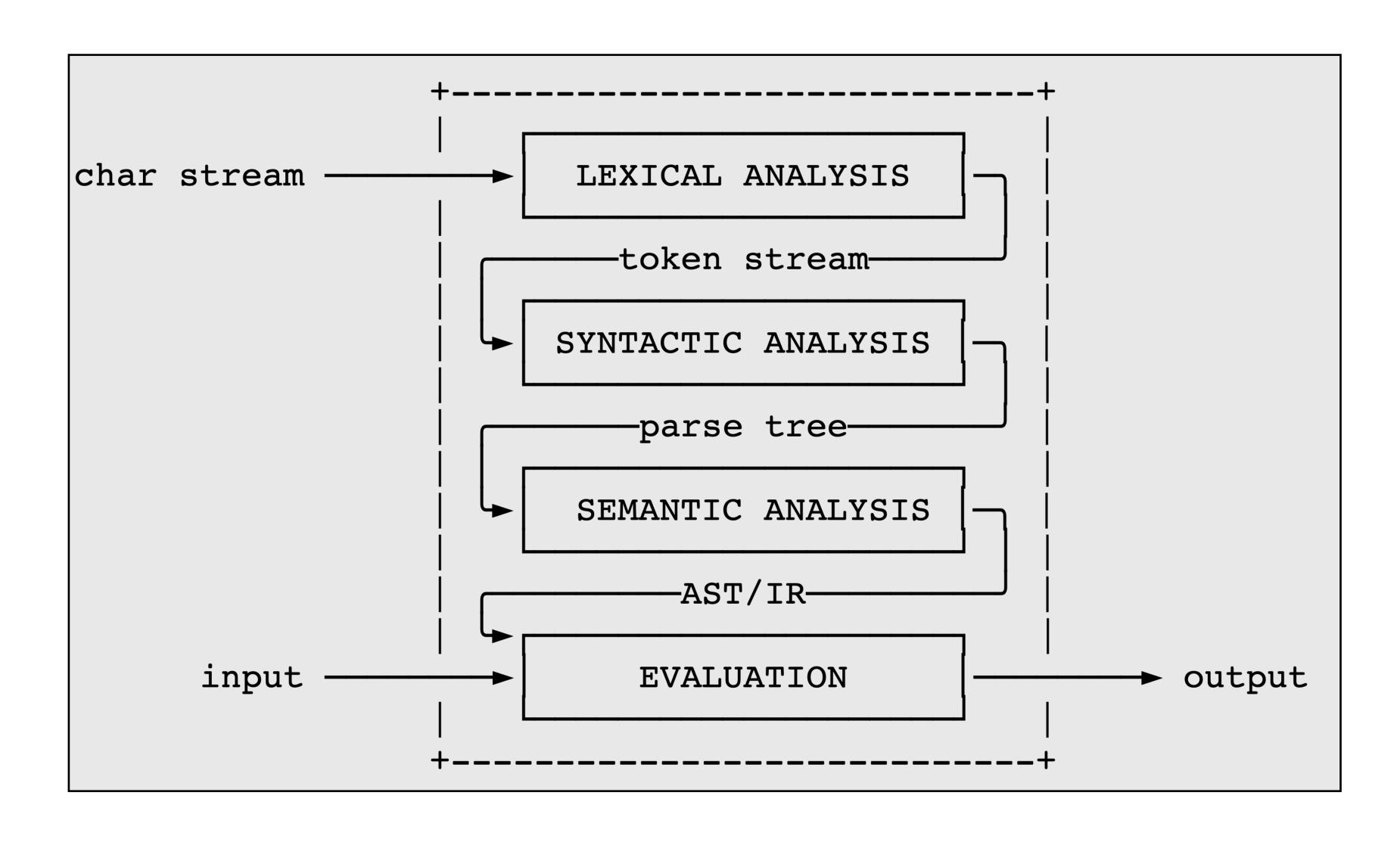
Concepts of Programming Languages Lecture 12

Outline

- » Discuss briefly the interpretation pipeline, and how it will look in the context of this course
- » Introduce formal grammars, a mathematical framework for thinking about syntax and parsing
- » Discuss ambiguity in grammar, and look at ways
 of avoiding it

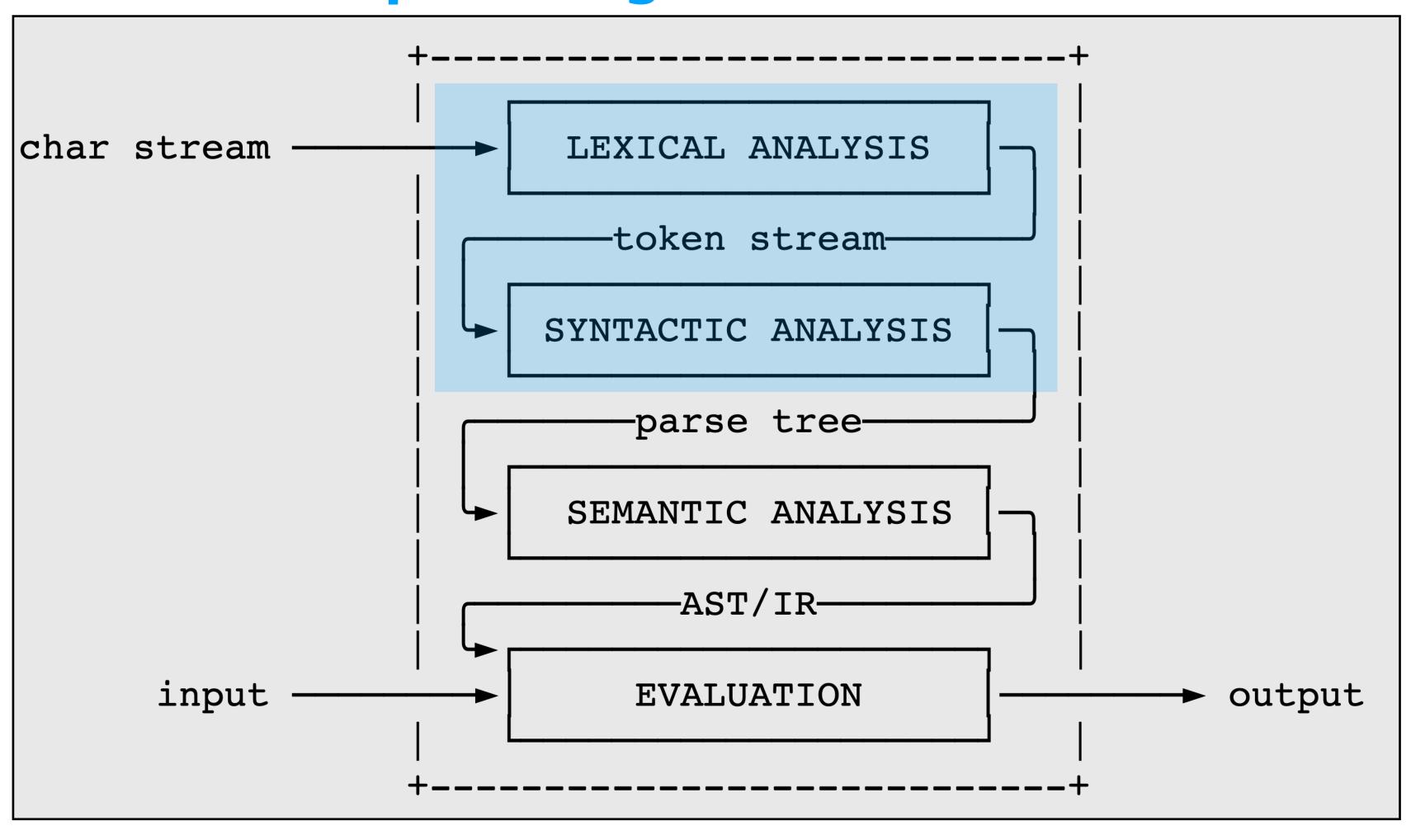
The Interpretation Pipeline

The Picture



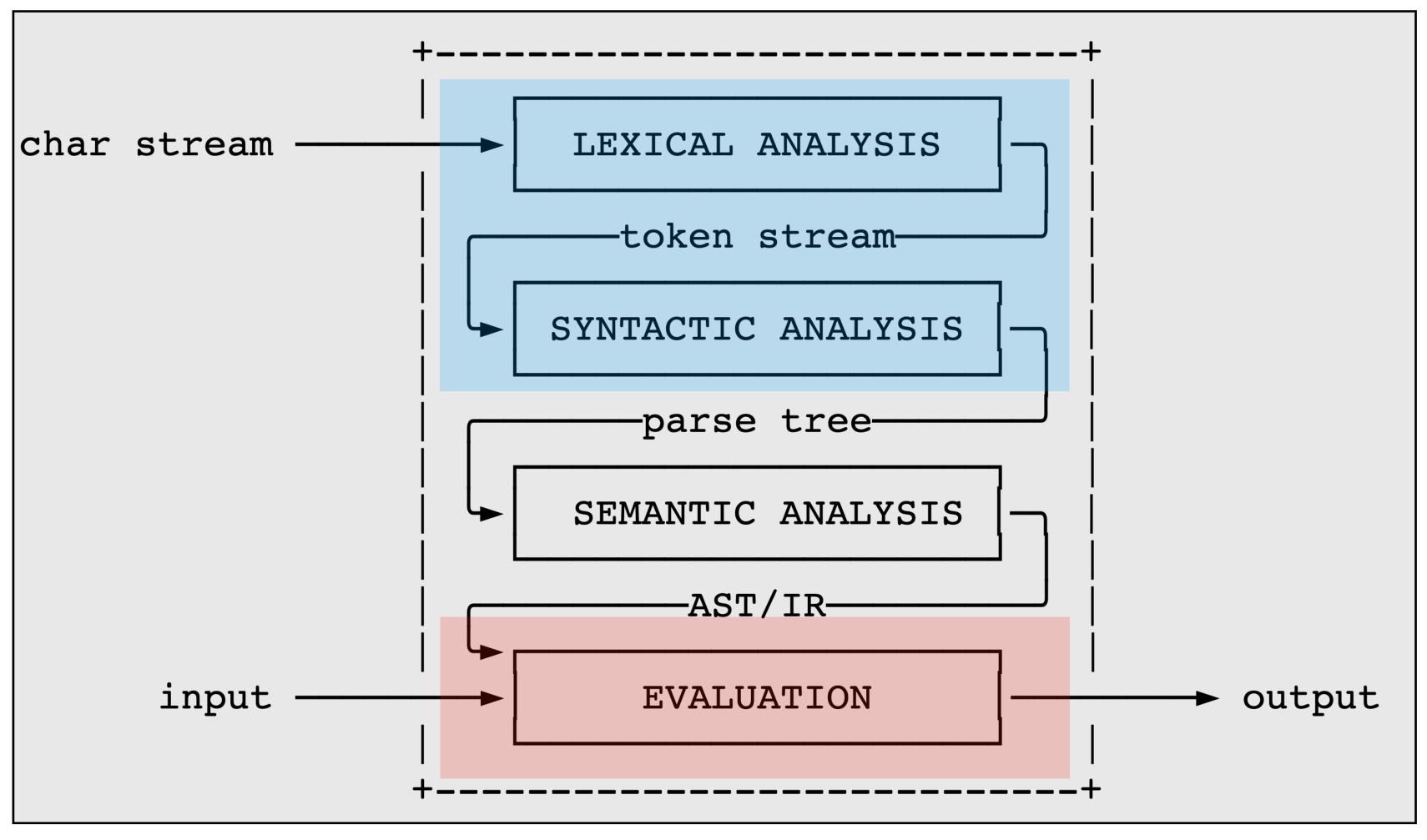
The Picture

parsing (this week)



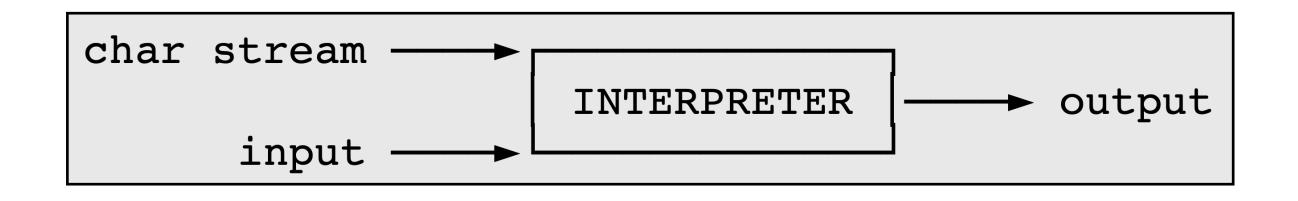
The Picture

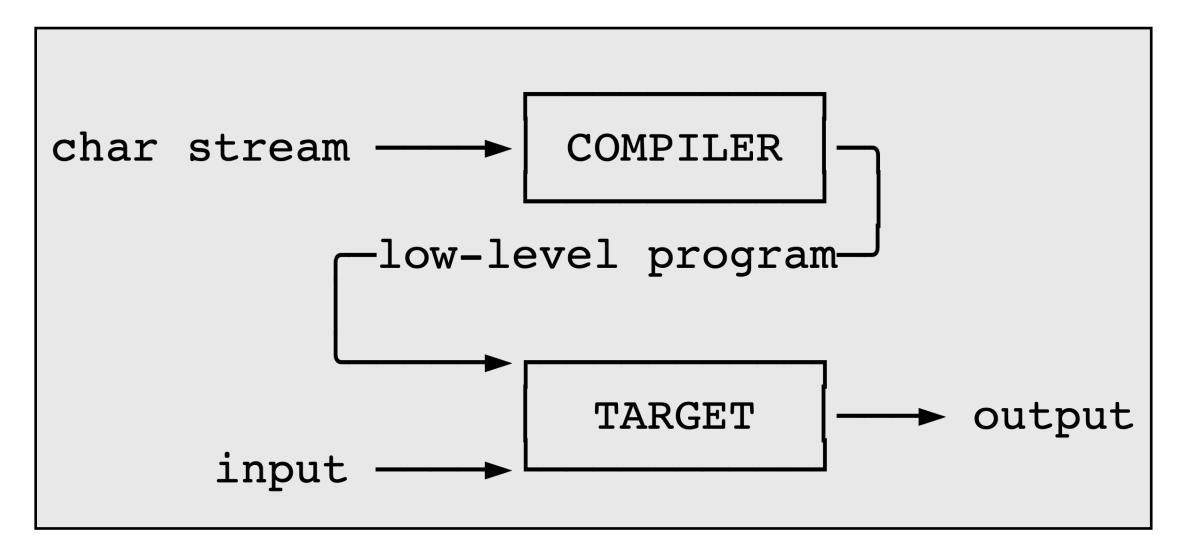
parsing (this week)



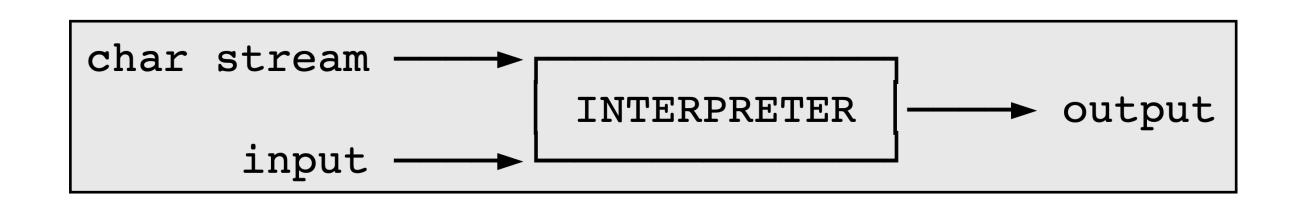
semantics (next week)

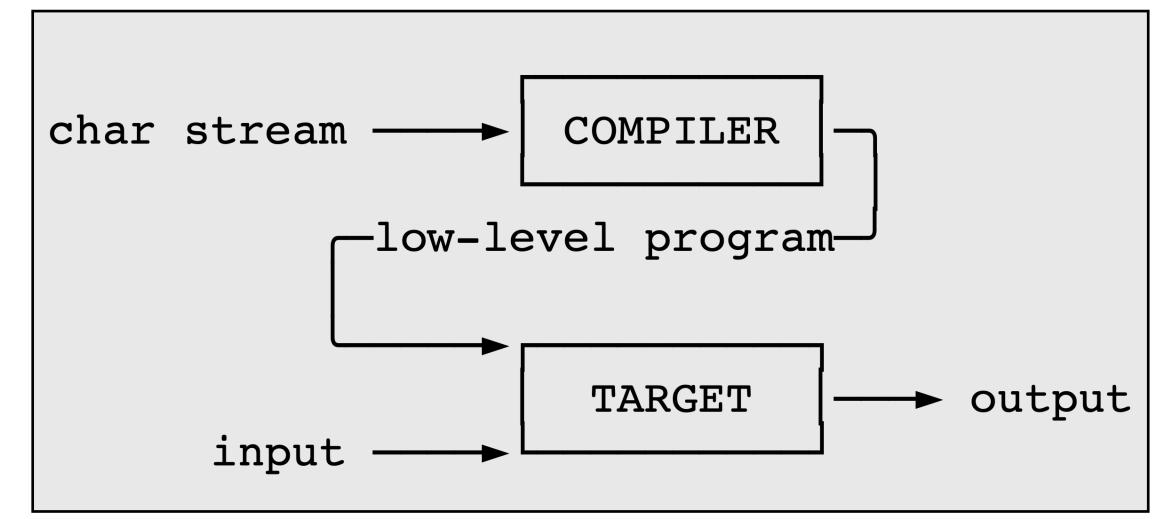
A Note on Compilation





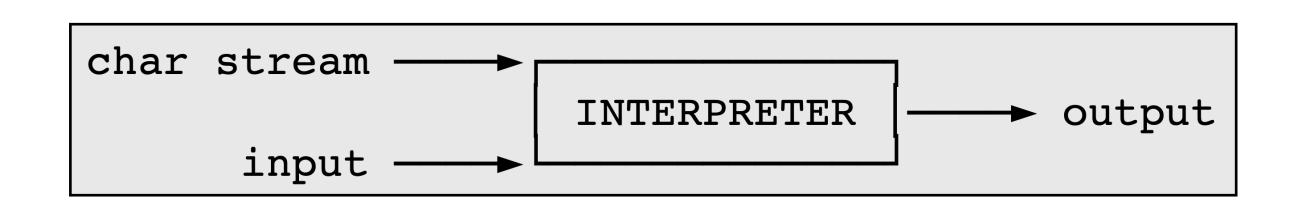
A Note on Compilation

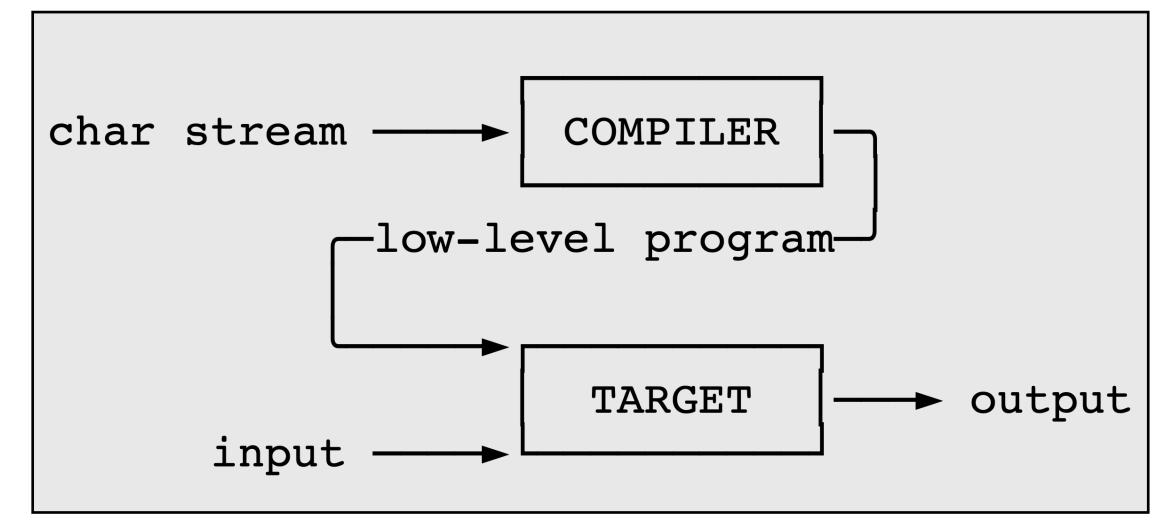




We will be building programs that directly read an evaluate programs (interpreters)

A Note on Compilation





We will be building programs that directly read an evaluate programs (interpreters)

In a different course you may write a program which translates programs into another language which can then be evaluated elsewhere (compilers, we'll cover this briefly)

The Mini-Projects

There will be **three** mini-projects, each 2 weeks long.

For each project, you will build an interpreter.

You'll be given:

- » the syntax
- » the type rules (not in project 1)
- » the semantics

Today

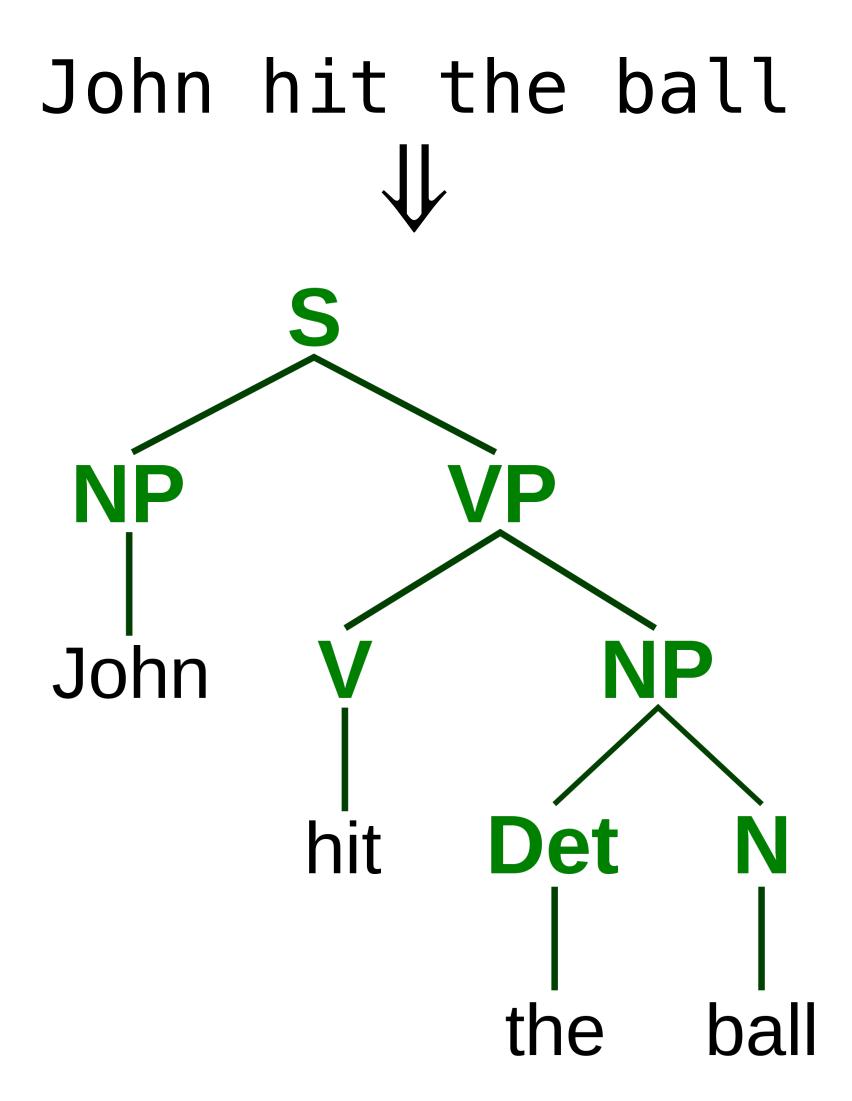
We need a formal language for describing the syntax of programming languages

This is part of the study of **formal language theory**

Nearly every PL out there (including OCaml) is described using Backus-Naur Form (BNF) Grammars

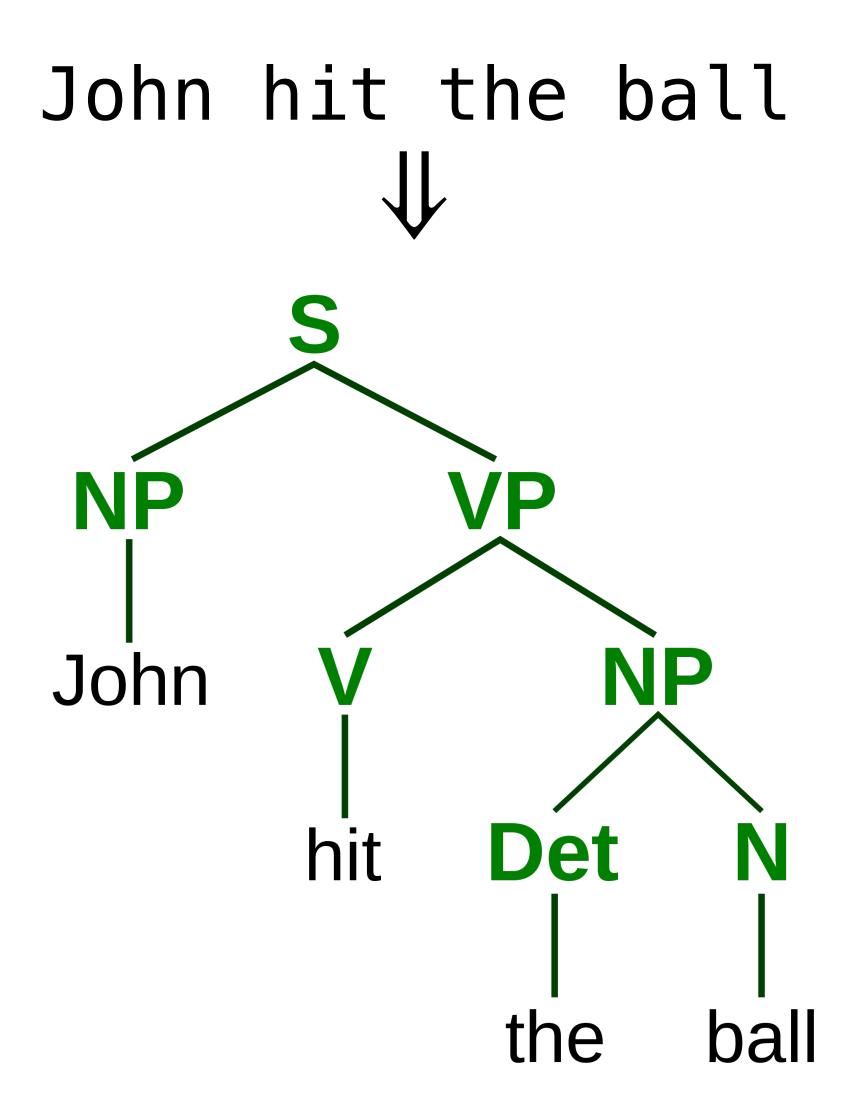
Formal Grammar

What is Grammar?



What is Grammar?

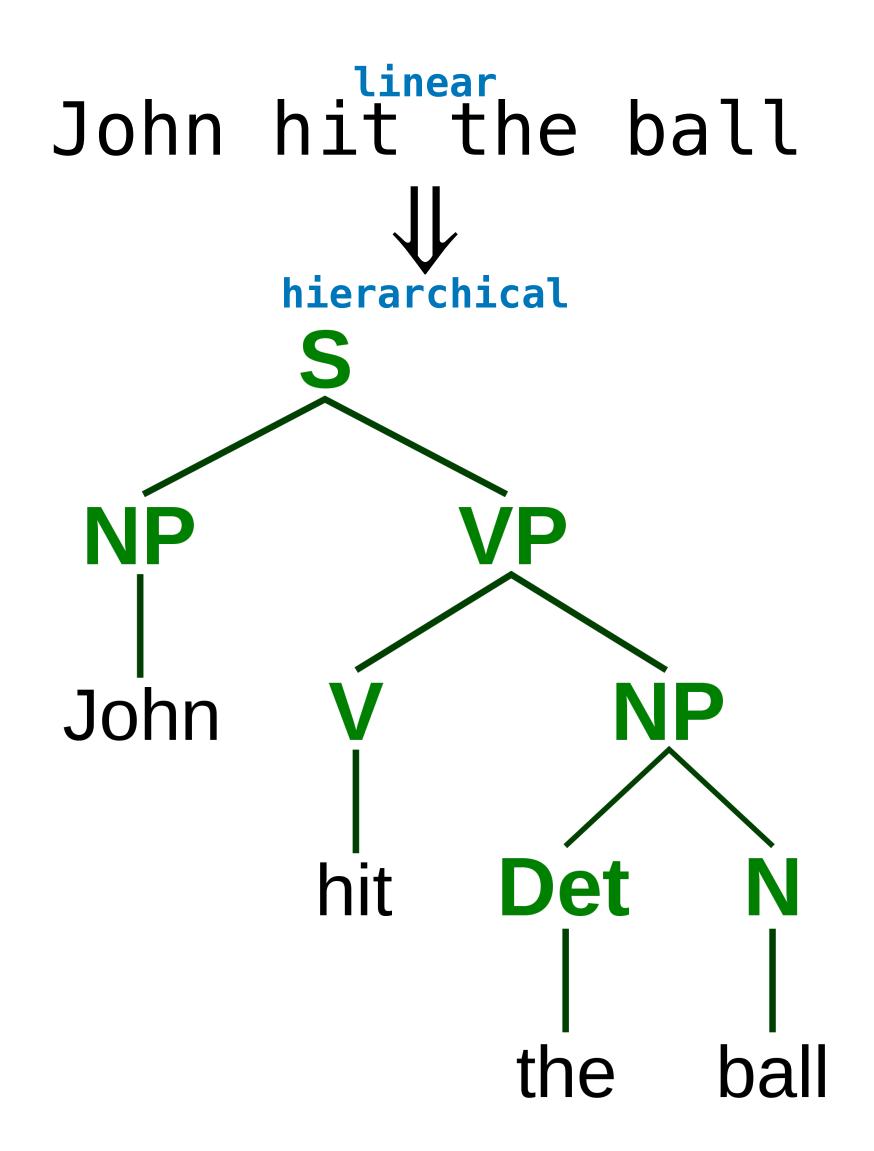
Grammar refers to the rules which govern what statements are well-formed



What is Grammar?

Grammar refers to the rules which govern what statements are well-formed

Grammar gives linear statements (in natural language or code) their hierarchical structure



Grammar vs. Semantics

I taught my car in the refrigerator. VS.

My the car taught I refrigerator.



Grammar vs. Semantics

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My the car taught I refrigerator.



Grammar is not (typically) interested in meaning, just structure

Grammar vs. Semantics

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Grammar is not (typically) interested in meaning, just structure

(As we will see, it is useful to separate these two concerns)

Formal grammars for PL tell us which programs are well-formed

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```
# let f x = x + 1;;
val f : int -> int = <fun>
```

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```
# let f x = x + 1;;
val f : int -> int = <fun>
# let rec x = x \times x \times x;
Line 1, characters 14-15:
1 | let rec x = x \times x \times x;
Error: This expression has type ...
       but an expression was ex ...
       The type variable 'a occ ...
# let rec f x = f x + 1 - 1;
val f : 'a -> int = <fun>
# let x = List.hd[];;
Exception: Failure "hd".
```

Formal grammars for PL tell us which programs are well-formed

Well-formed programs don't need to be meaningful

(In OCaml, well-formed programs are the ones we can type-check)

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# let rec f x = f x + 1 - 1;
val f : 'a -> int = <fun>
# let x = List.hd [];;
Exception: Failure "hd".
# let x = ;;
Line 1, characters 8-10:
1 | let x = ;;
```

Error: Syntax error

How do we formally represent well-formed sentences?

the cow jumped over the moon

the cow jumped over the moon

How do we know this a well-formed sentence?

<article> cow jumped over the moon

<article> <noun> jumped over the moon

<noun-phrase> jumped over the moon

<noun-phrase> jumped over <article> moon

<noun-phrase> jumped over <article> <noun>

<noun-phrase> jumped over <noun-phrase>

<noun-phrase> jumped over <noun-phrase>

a thing jumped over a thing

<noun-phrase> jumped rep> <noun-phrase>

<noun-phrase> jumped oprep-phrase>

<noun-phrase> <verb> phrase>

<noun-phrase> <verb-phrase>

<noun-phrase> <verb-phrase>

a thing did a thing

<sentence>

<sentence>

We know it's a sentence because it has the right kind of hierarchical structure

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>  phrase>
<noun-phrase> jumped  <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
<article> cow jumped over the moon
the cow jumped over the moon
```

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>  p-phrase>
<noun-phrase> jumped  <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
<article> cow jumped over the moon
```

the cow jumped over the moon

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>  p-phrase>
<noun-phrase> jumped  <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
<article> cow jumped over the moon
```

the cow jumped over the moon

```
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
```

```
<article>
    the cow jumped over the moon
```

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>  p-phrase>
<noun-phrase> jumped  <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
```

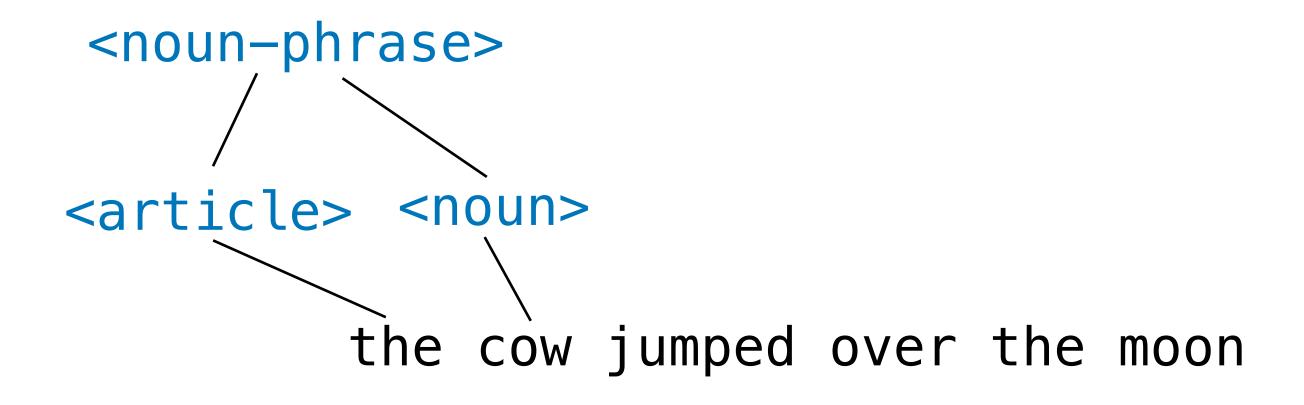
```
<article>
    the cow jumped over the moon
```

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
```

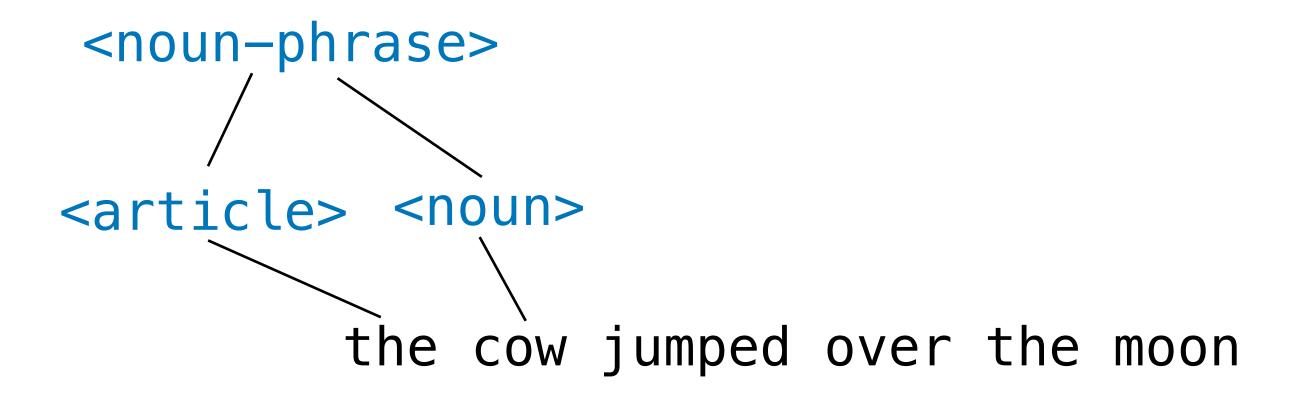
```
<article> <noun>
the cow jumped over the moon
```

```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
```

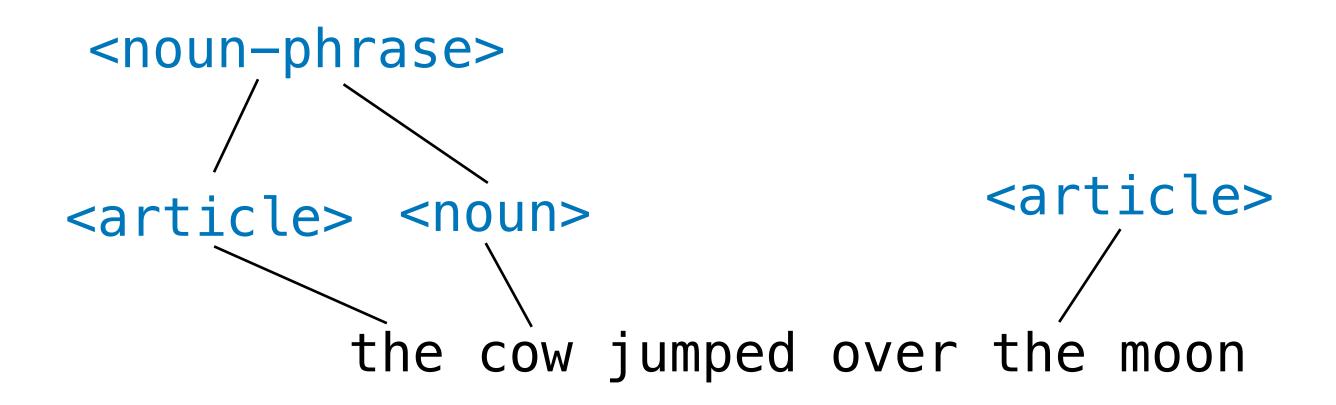
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
```



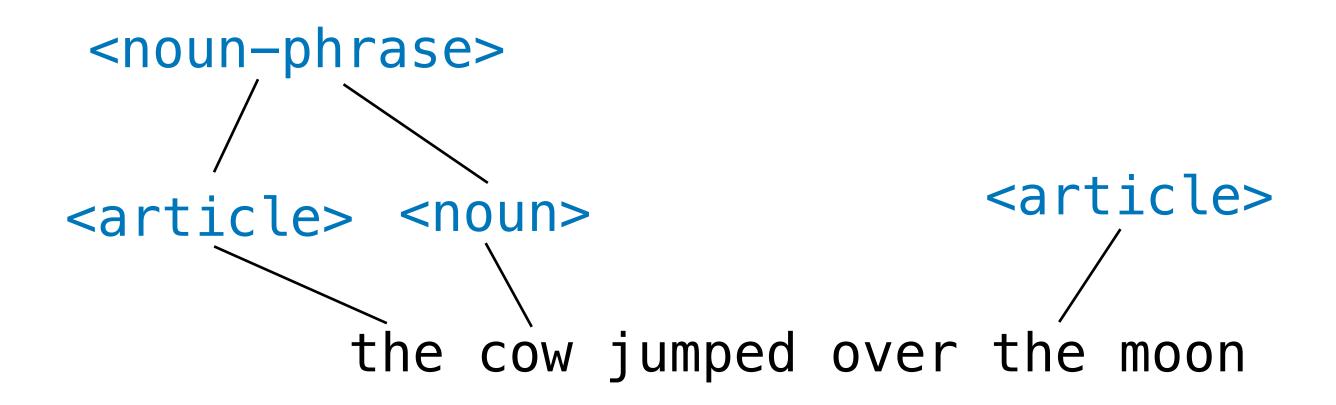
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<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
```



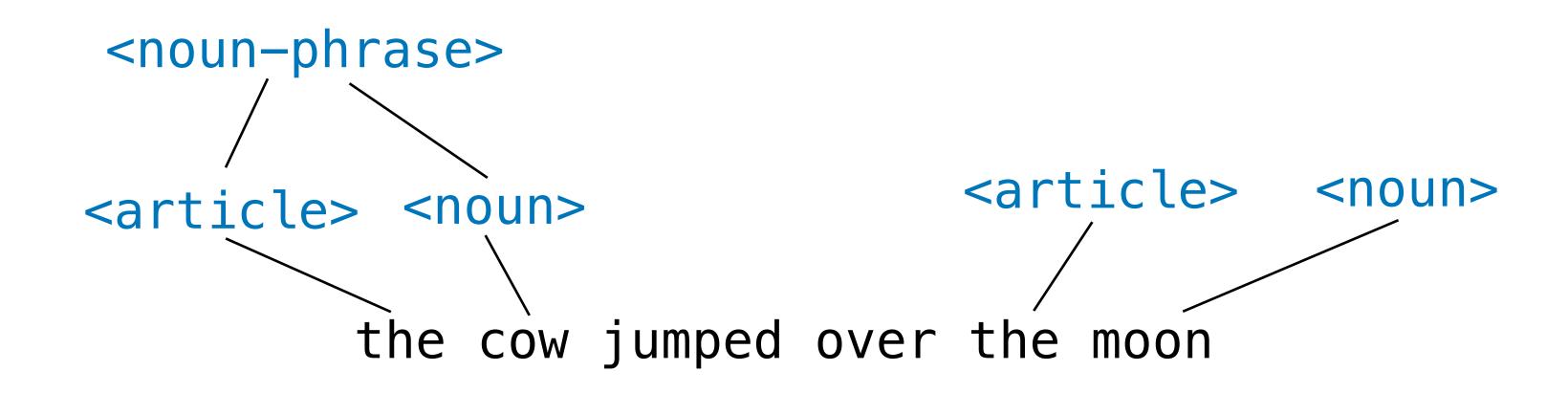
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
```



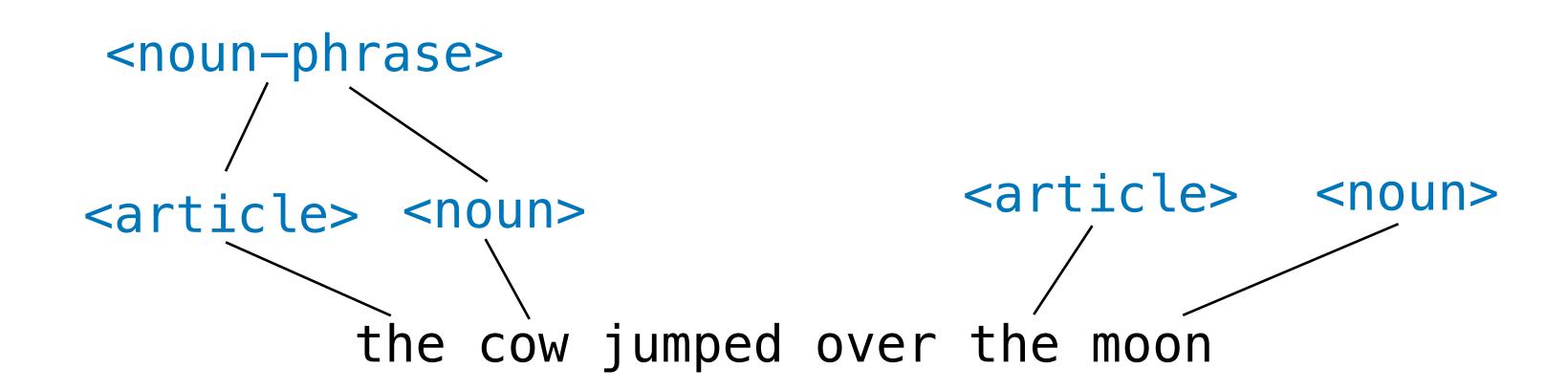
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
```



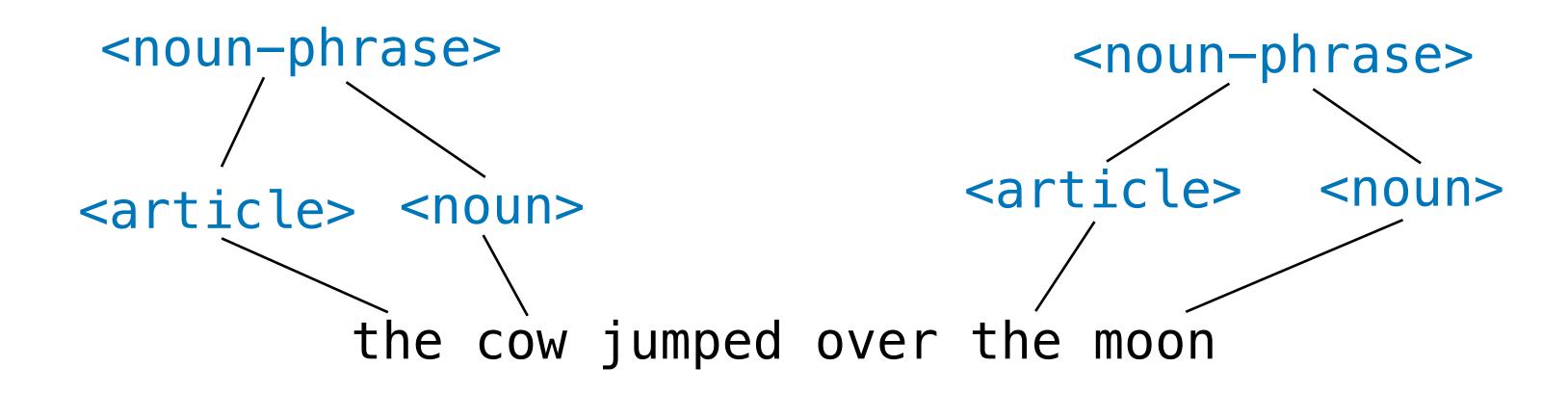
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
```



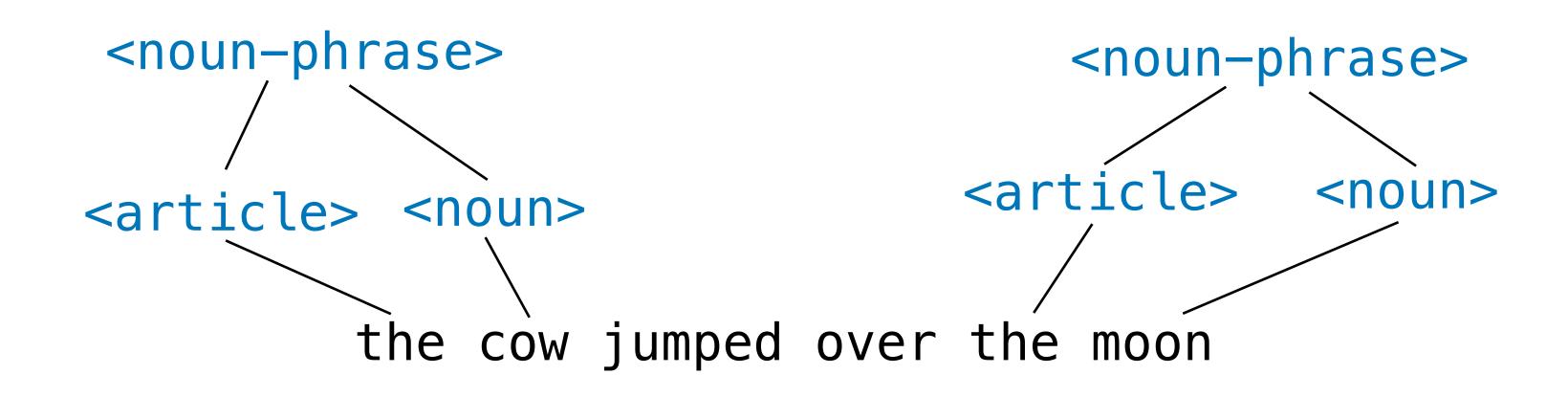
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<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
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<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
```



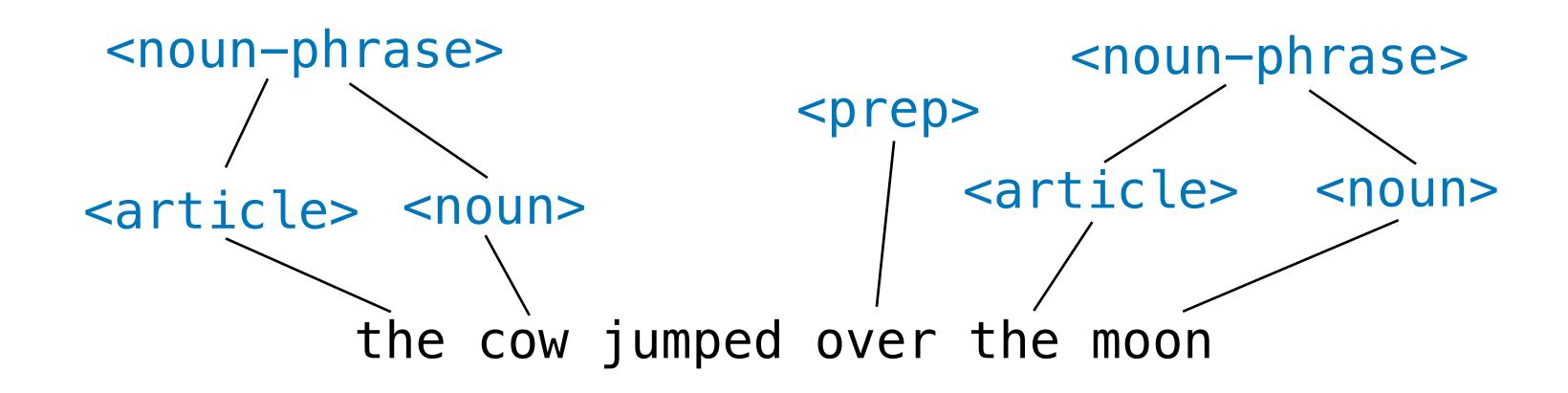
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase> jumped   <noun-phrase> jumped   <noun-phrase> jumped   <noun-phrase> jumped   <noun-phrase>
```



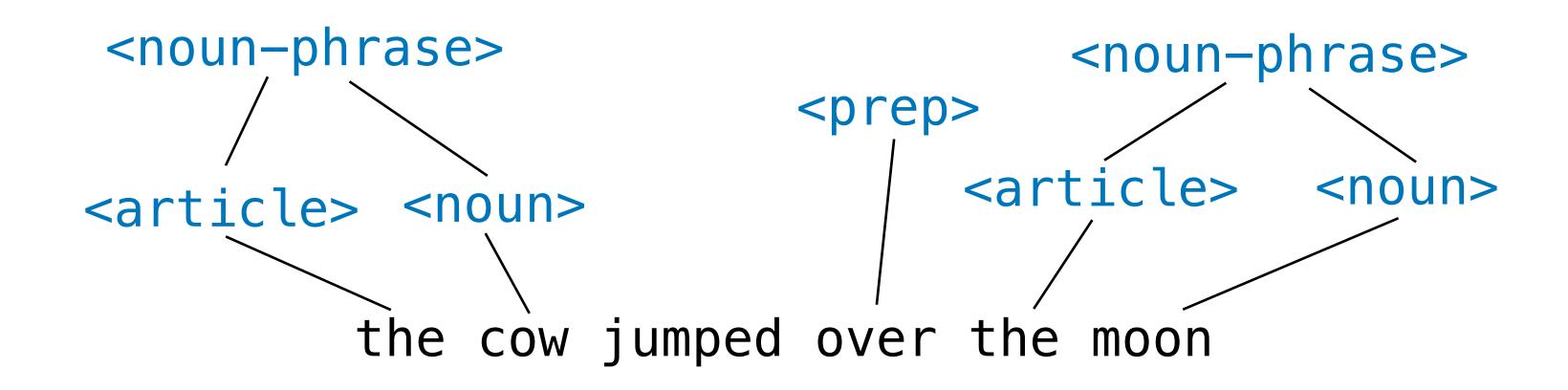
```
<sentence>
<noun-phrase> <verb-phrase>
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<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
```



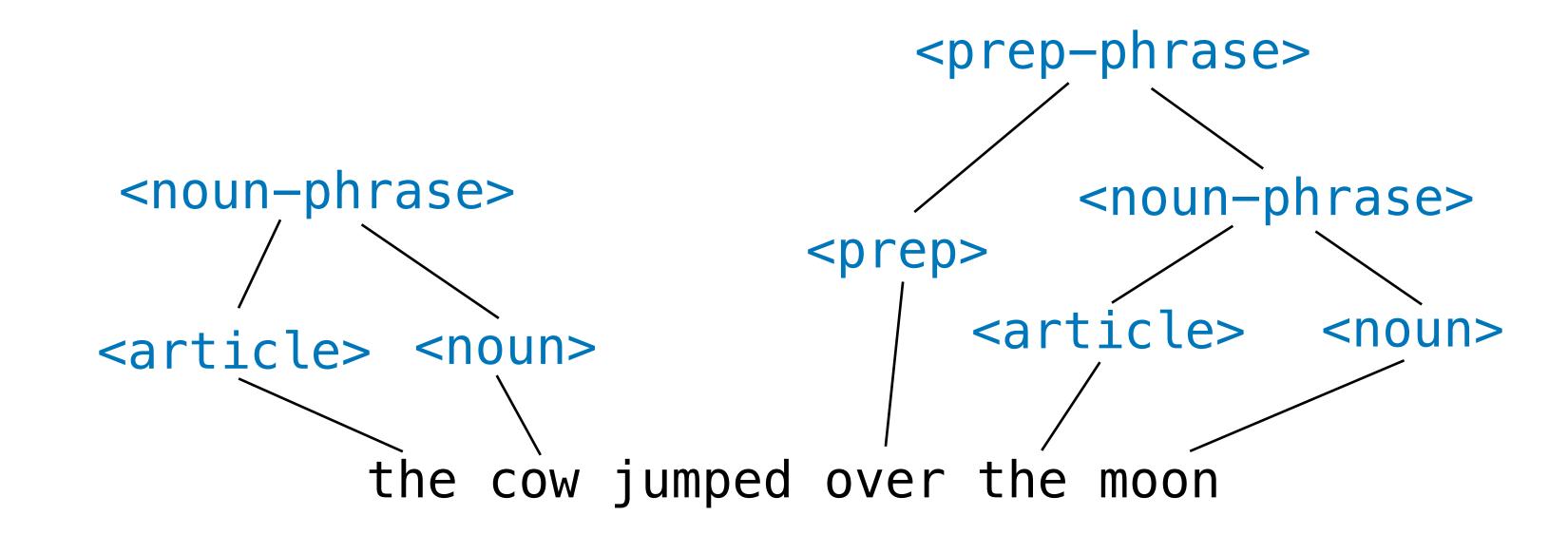
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
```



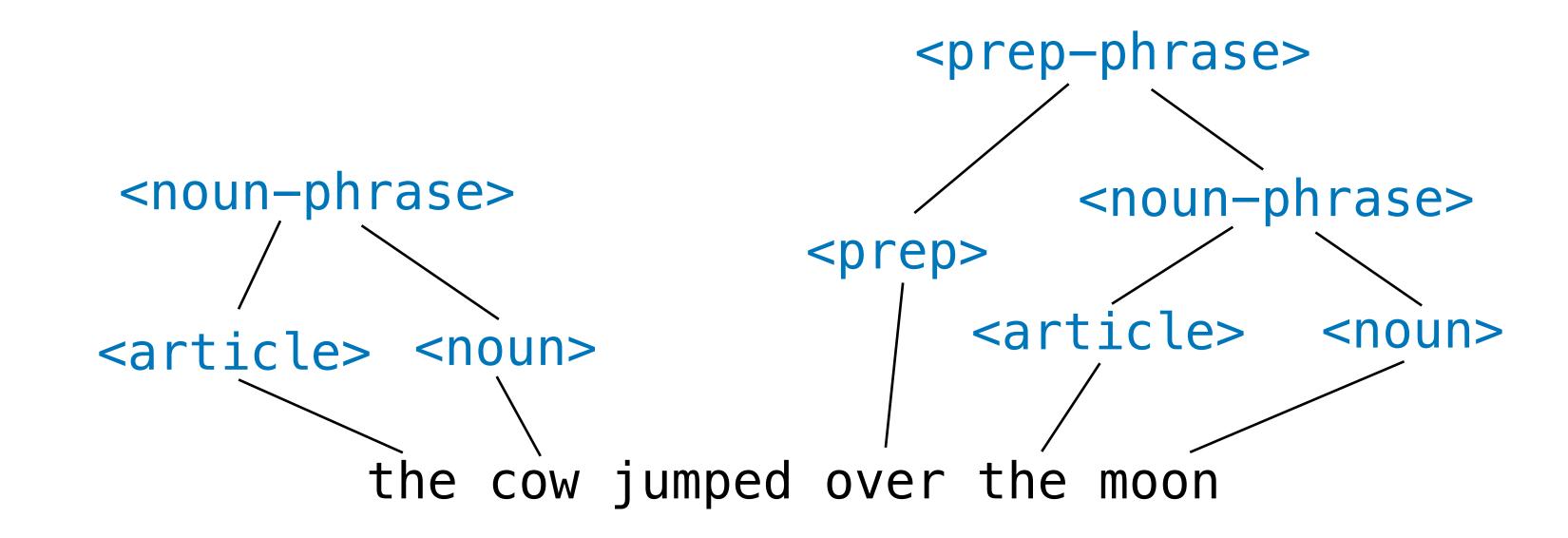
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped                                                                                                                                                                                                                                                                                                                                     <p
```



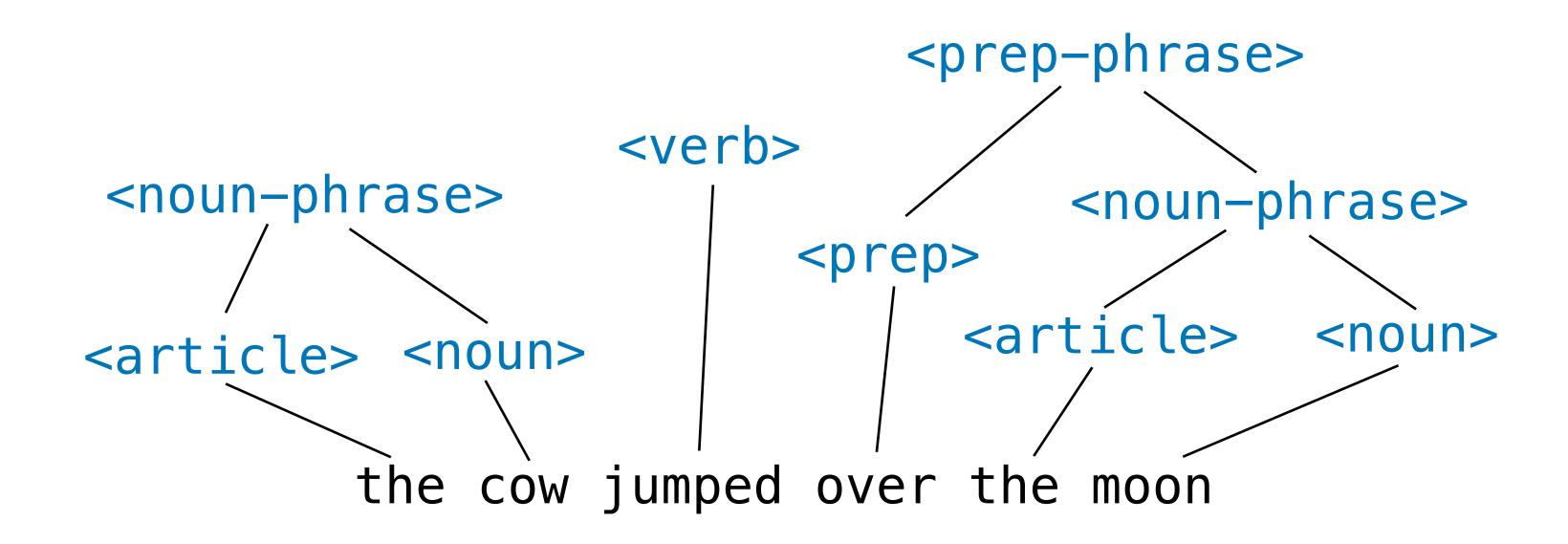
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>  phrase>
```



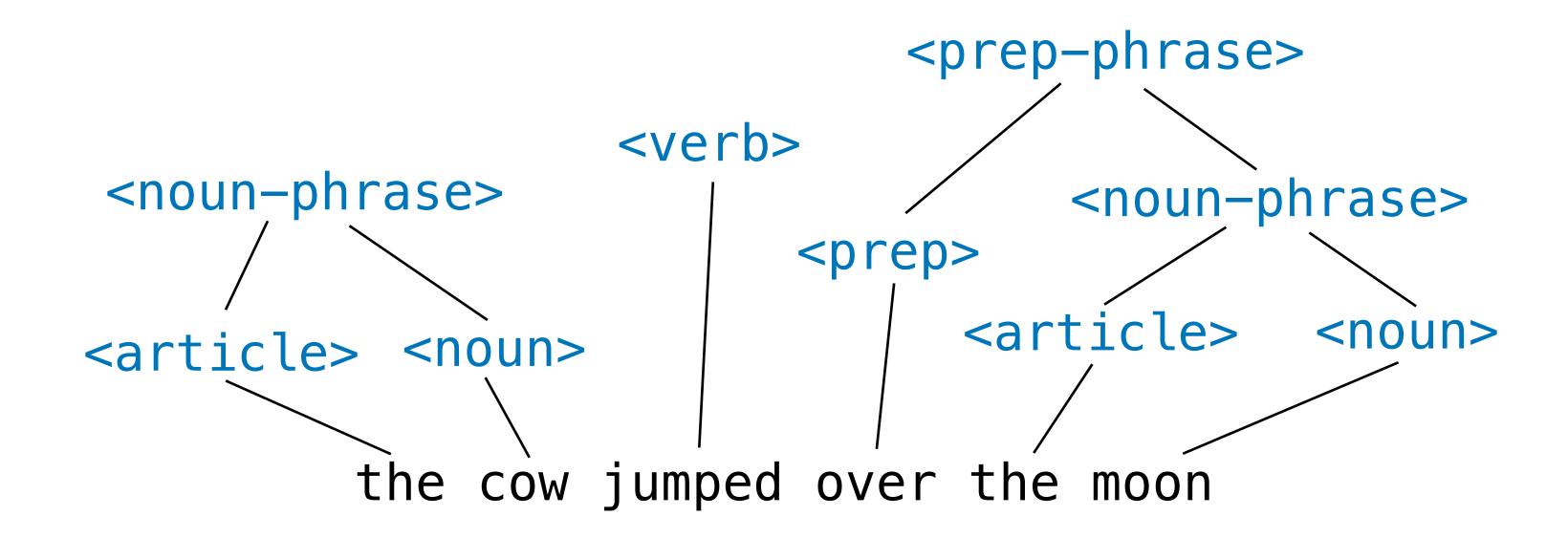
```
<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb>                                                                                                                                                                                                                                                                                                                                           <
```



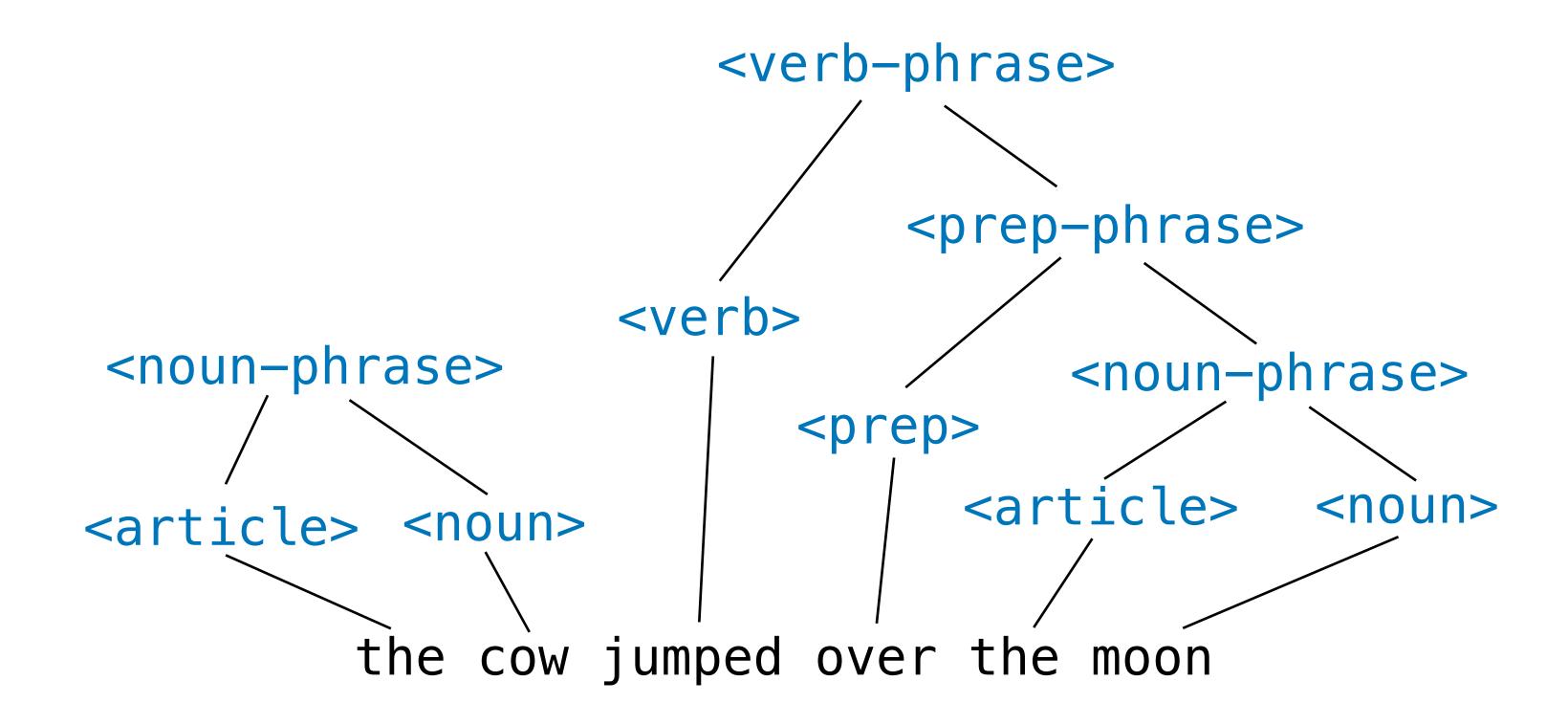
```
<sentence>
<noun-phrase> <verb-phrase>
```



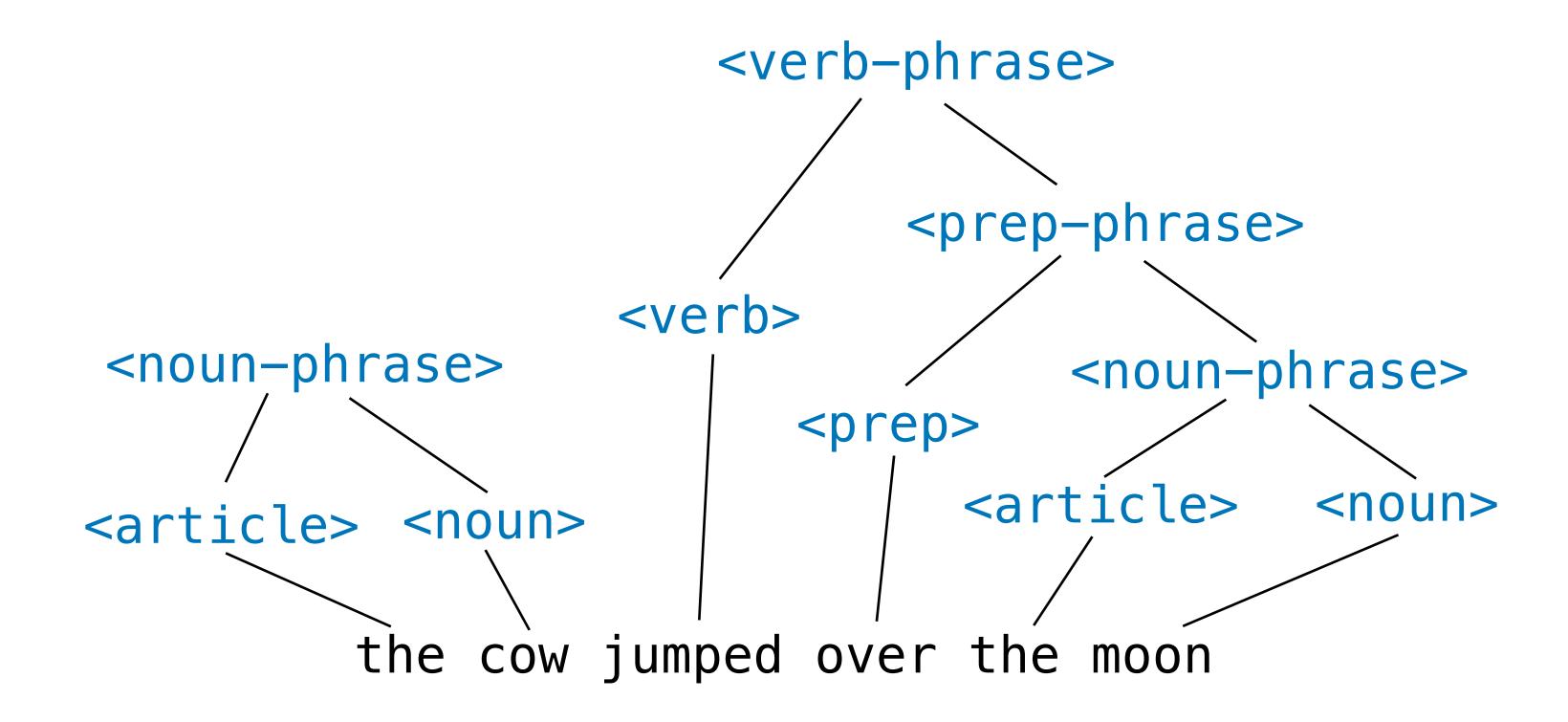
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<sentence>
<noun-phrase> <verb-phrase>
```

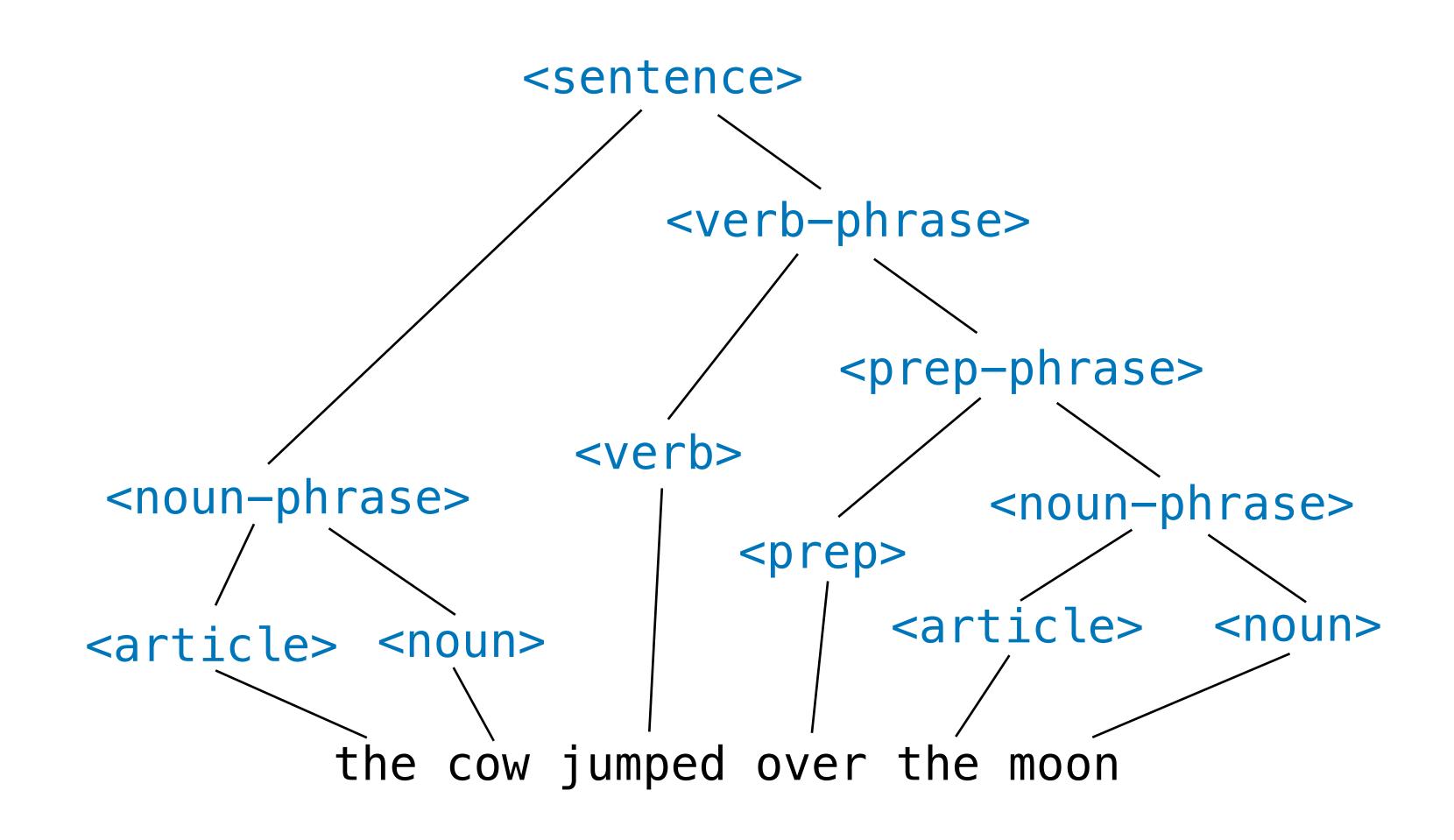


<sentence>

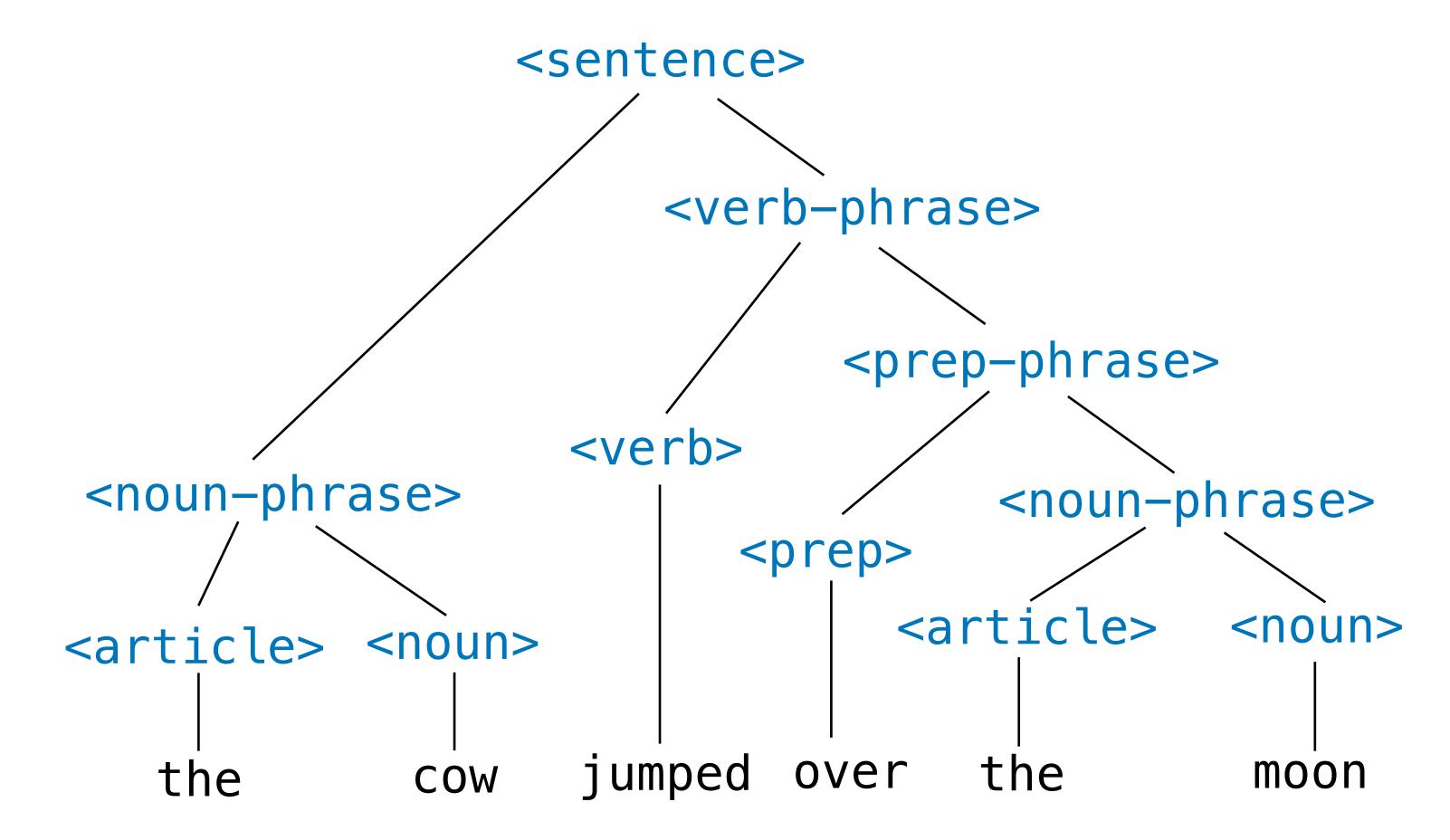


<sentence>





A Parse Tree



A derivation encodes hierarchical structure

Definitions (Symbols and Sentences)

<noun-phrase> jumped over <noun-phrase>

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<noun-phrase> jumped over <noun-phrase>

A grammar is define in terms of a collection of symbols

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Nonterminal symbols are symbols we will be allowed to "expand" (e.g., <article>)

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Terminal symbols are symbols cannot be further expanded (e.g. moon)

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<noun-phrase> jumped over <noun-phrase>

```
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Terminal symbols are symbols cannot be further expanded (e.g. moon)

A sentential form is a sequence of terminal or nonterminal symbols
```

Definitions (Symbols and Sentences)

<noun-phrase> jumped over <noun-phrase>

A grammar is define in terms of a collection of symbols

Nonterminal symbols are symbols we will be allowed to "expand" (e.g., <article>)

Terminal symbols are symbols cannot be further expanded (e.g. moon)

A sentential form is a sequence of terminal or nonterminal symbols

A sentence is a sequence of only terminal symbols

Production Rules

```
<non-term> ::= sent-form1 | sent-form2 | ...
```

Production Rules

A (BNF) production rule describes what we can replace a non-terminal symbol with in a derivation

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```
<non-term> ::= sent-form1 | sent-form2 | ...
```

A (BNF) production rule describes what we can replace a non-terminal symbol with in a derivation

The "|" means: we can replace it with one or the other sentential forms on either side of the "|"

Recall: Let-Expressions (Syntax Rule)

```
<expr> := let <var> = <expr> in <expr>
```

If x is a valid variable name, and e_1 is a well-formed expression and e_2 is a well-formed expression then

let
$$x = e_1$$
 in e_2

is a well-formed expression

```
<sentence> ::= <noun-phrase> <verb-phrase>
<verb-phrase> ::= <verb> <prep-phrase>
<noun> ::= cow | moon
```

A BNF grammar is defined by a collection of production rules and a starting (nonterminal) symbol

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Note. We don't specify the symbols of a grammar, they are implicit in the rules

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Note. We don't specify the symbols of a grammar, they are implicit in the rules

Note. We don't specify the start symbol, it's the left nonterminal symbol in the **first rule**

```
production rules
<expr> ::= <op1> <expr>
                  <op2> <expr> <expr> abstractions (non-terminal symbols)
                   <var>
<0p1>
             := not
            := and
<var>
                        tokens (terminal symbols)
```

```
<sentence> ::= <noun-phrase> <verb-phrase>
<verb-phrase> ::= <verb> <prep-phrase> | <verb>
<prep-phrase> ::= <prep> <noun-phrase>
<noun-phrase> ::= <article> <noun>
<article> ::= the
<noun> ::= cow | moon
<verb> ::= jumped
<prep> ::= over
```

What are the nonterminal and terminal symbols of this grammar?

```
<expr>
<op2> <expr> <expr><</pre>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
and not x y
```

Definition. A derivation is a sequence of sentential forms (beginning at the start symbol) in which each form is the result of replacing a non-terminal symbol in the previous form according to a production rule

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <expr>
and not x <xyr>
and not x <yar>
and not x y
```

Definition. A derivation is a sequence of sentential forms (beginning at the start symbol) in which each form is the result of replacing a non-terminal symbol in the previous form according to a production rule

Definition. A **leftmost derivation** is a derivation in which the leftmost nonterminal symbol is replaced in each line

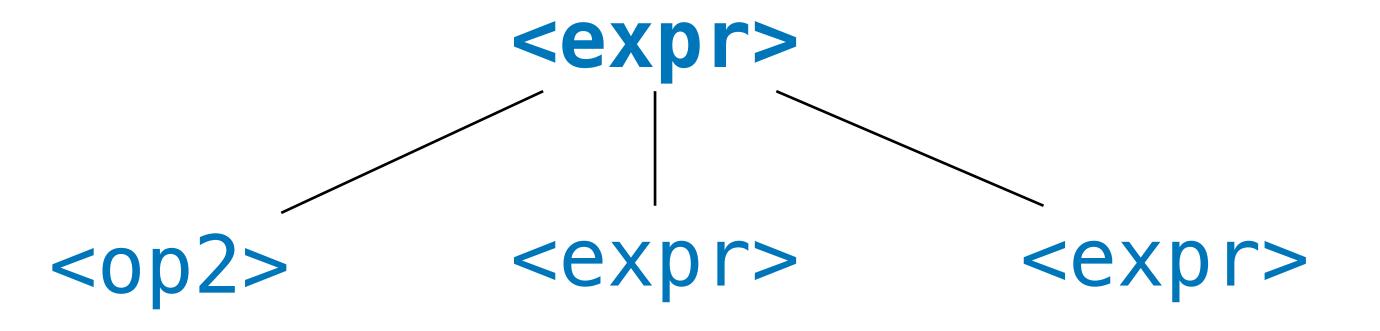
```
<expr>
<op2> <expr> <expr><</pre>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
and not x y
```

Derivations and Parse Trees <op1><op2><var>
<op2><var>

```
<expr>
```



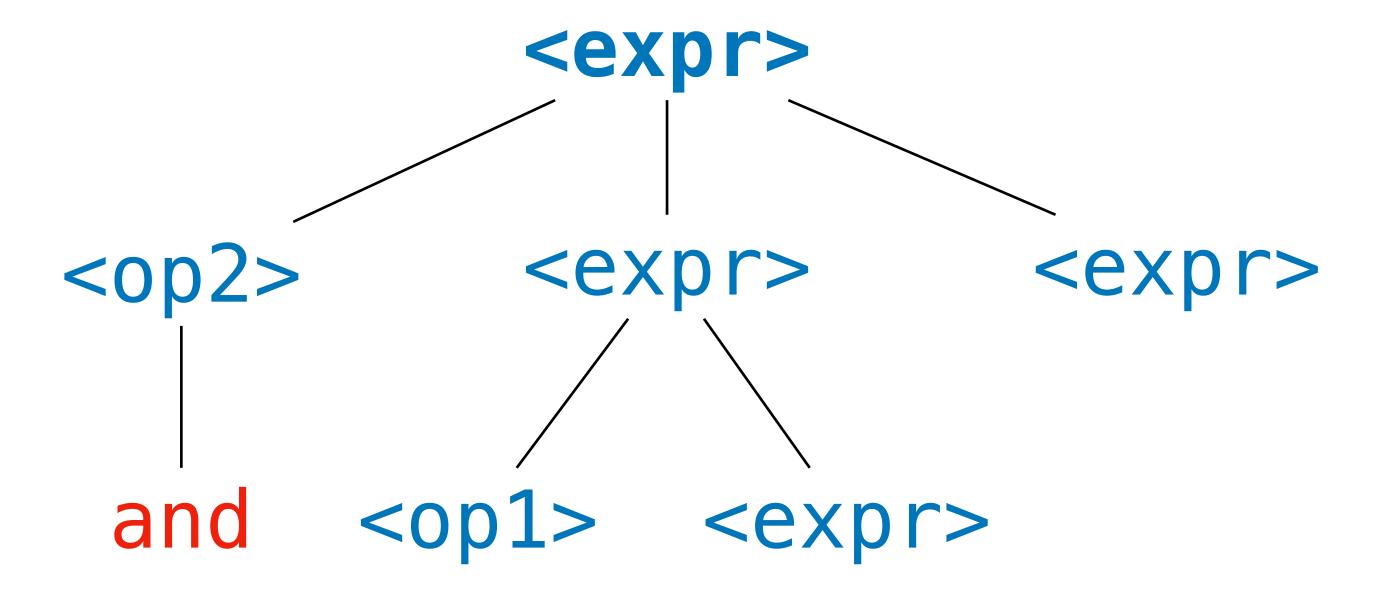
```
<expr>
<op2> <expr> <expr>
```



```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
```

```
<expr>
<op2> <expr> <expr>
and
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
```



```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
       <op1>
               <expr>
        not
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
```

```
<expr>
           <expr>
                       <expr>
<0p2>
 and
               <expr>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
   not x y
```

```
<expr>
           <expr>
                       <expr>
<0p2>
 and
               <expr>>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
and not x y
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
               <var>
```

The point: parse trees and derivations represent the same hierarchical structure









We will parse token streams into parse trees

Why do we care?



We will parse token streams into parse trees

It is much easier to evaluate something hierarchical than something which is linear

Practice Problem

Give a derivation of $not\ x$ and y or z in the above grammar, both as a sequence of sentential forms and as a parse tree

(In Python, if x and y and z are True, what does this expression evaluate to?)

Answer

not x and y or z

let x = 2 in if x = z then x else y

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How can we demonstrate that this is a well-formed expression?

let x = 2 in if x = z then x else y

How can we demonstrate that this is a well-formed expression?

Answer: Well build a derivation/parse tree for it with the root <expr>!

Recall: Let-Expressions (Syntax Rule)

```
<expr> := let <var> = <expr> in <expr>
```

If x is a valid variable name, and e_1 is a well-formed expression and e_2 is a well-formed expression then

let
$$x = e_1$$
 in e_2

is a well-formed expression

Recall: If-Expressions (Syntax Rule)

```
<expr> ::= if <expr> then <expr> else <expr>
```

If e_1 is a well-formed expression and e_2 is a well-formed expression, and e_3 is a well-formed expression, then

```
if e_1 then e_2 else e_3
```

is a well-formed expression

let x = 2 in if x = z then x else y

Ambiguity

The duck is ready for dinner.

John saw the man on the mountain with a telescope.

He said on Tuesday there would be an exam.

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Natural language has ambiguities that can confuse the meaning of a sentence

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We have informal tactics for avoiding these pitfalls

The duck is ready **to eat** dinner.

John saw the man on the mountain **using** a telescope.

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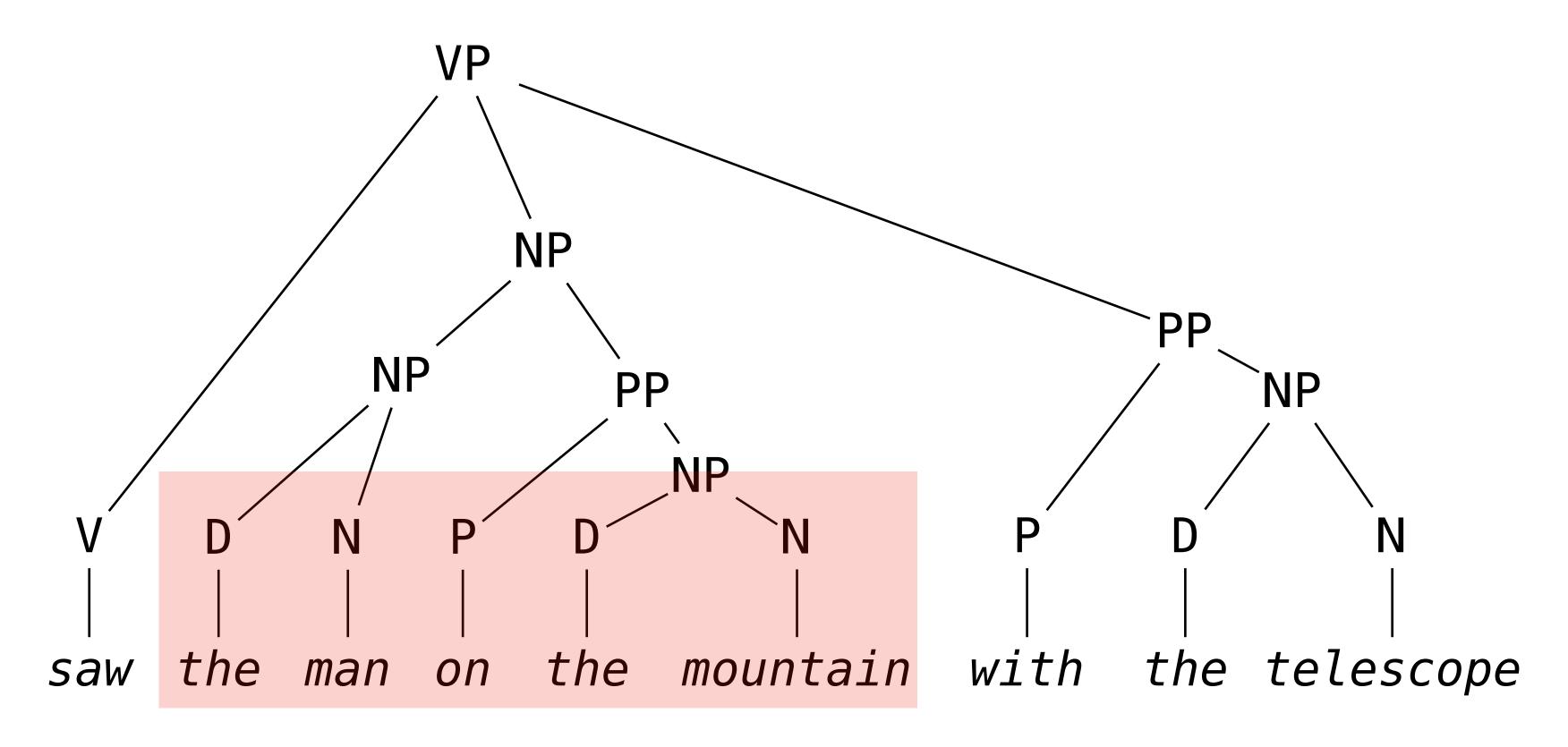
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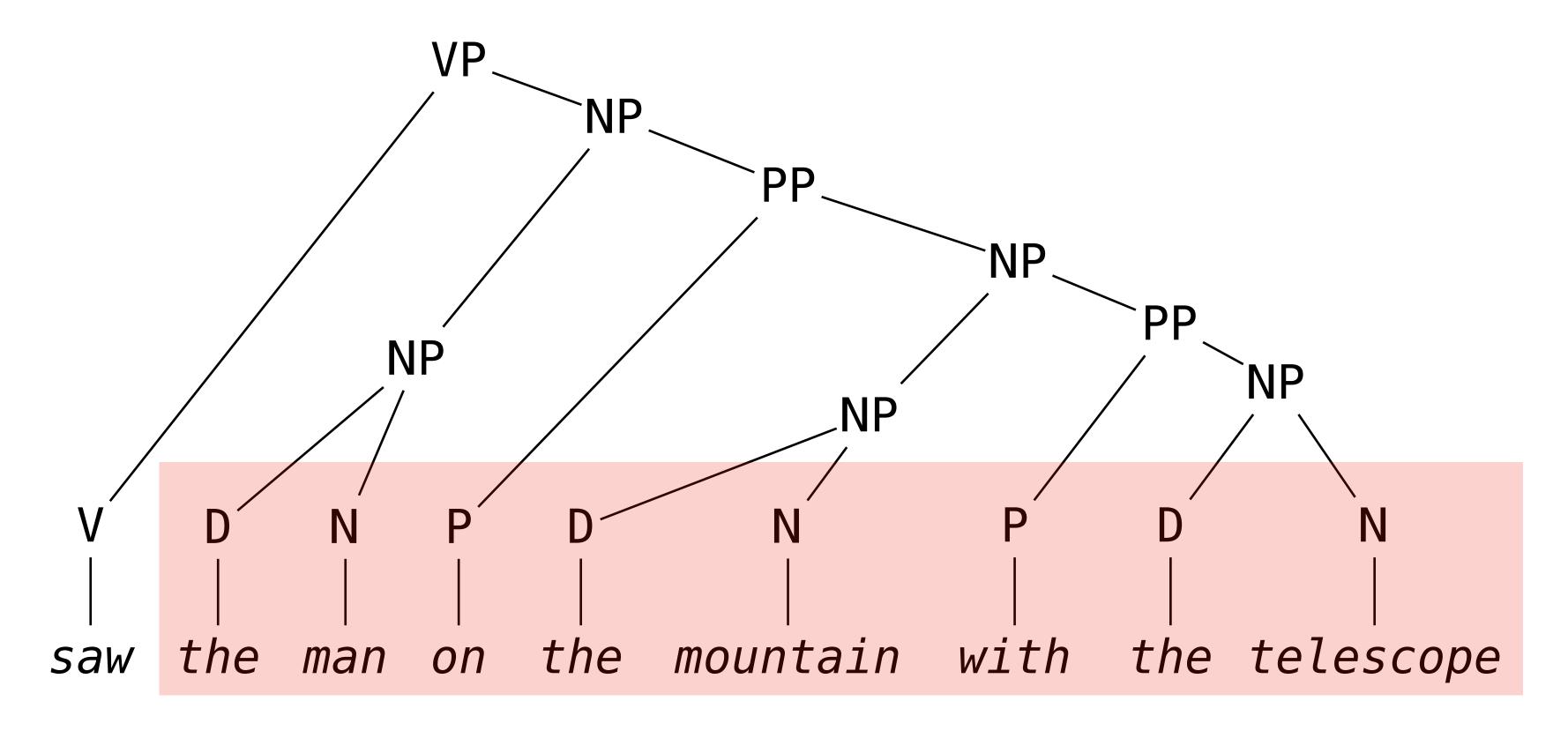
We have informal tactics for avoiding these pitfalls

Aside: Ambiguity and Linearity



Ambiguity is caused by writing down hierarchical structures in a linear fashion

Aside: Ambiguity and Linearity



There is no ambiguity in the grammatical parse tree of this statement

The hierarchical structure changes the meaning of the sentence

Definition. A BNF grammar is **ambiguous** if there is a sentence with multiple parse trees/leftmost derivations.

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```
<expr> ::= <expr> <op> <expr> <op> ::= +
  <var> ::= x | y | z
```

Definition. A BNF grammar is **ambiguous** if there is a sentence with multiple parse trees/leftmost derivations.

x + y + z can be derived as

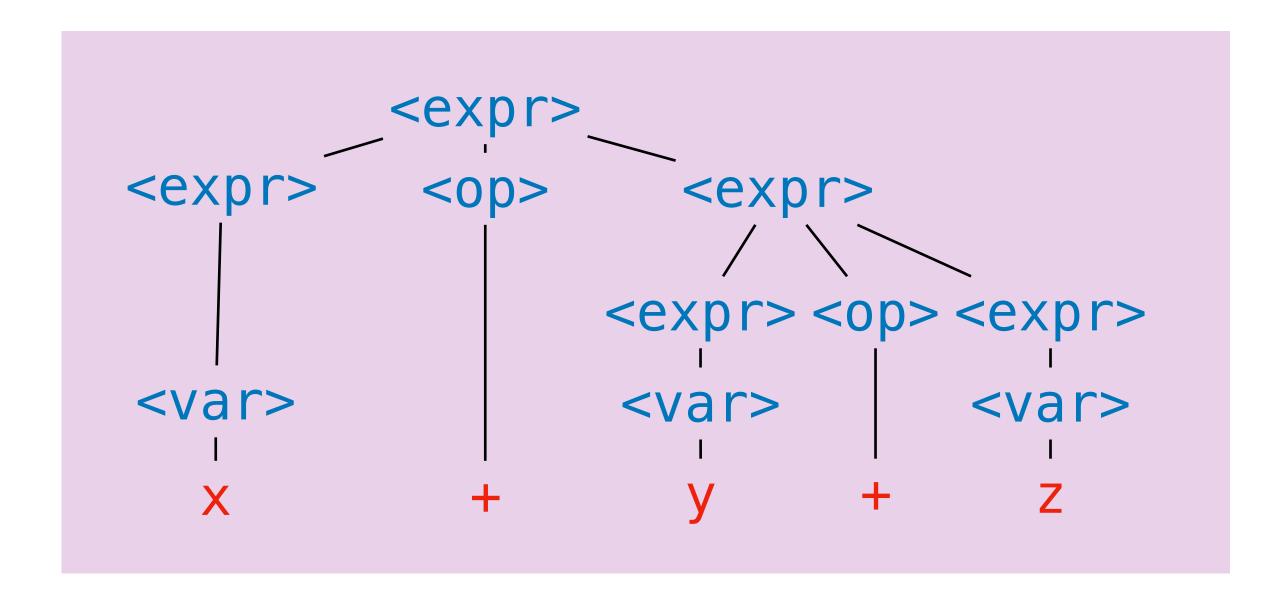
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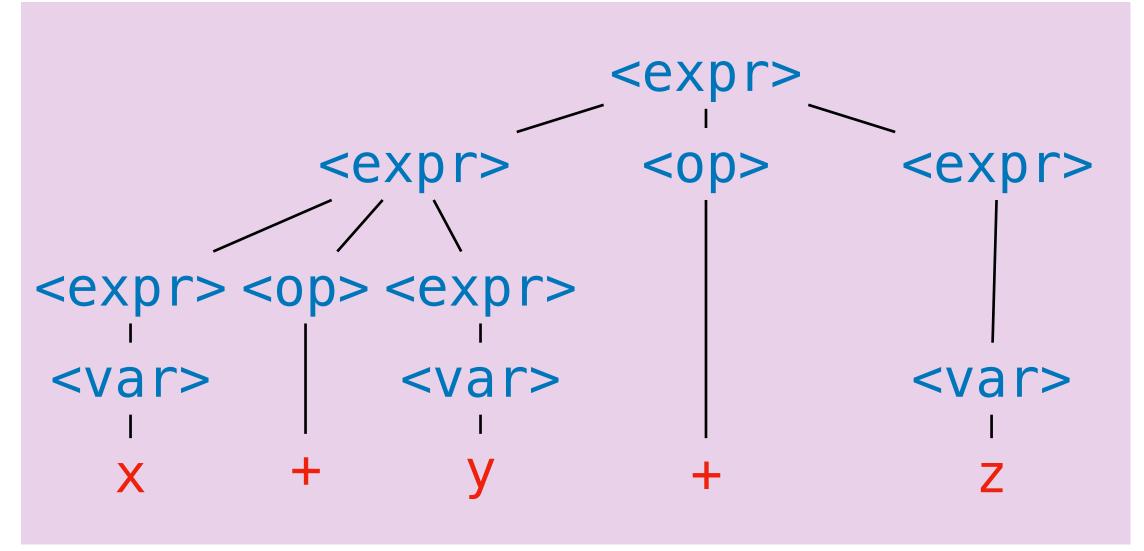
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Definition. A BNF grammar is **ambiguous** if there is a sentence with multiple parse trees/leftmost derivations.

```
<expr> ::= <expr> <op> <cop> ::= +
  <var> ::= x | y | z
```

x + y + z can be derived as





What can we do about ambiguity?

```
prefix f x , (- x)
```

```
prefix f x , (- x)

postfix a! (get from ref)
```

```
prefix f x , (- x)

postfix a! (get from ref)

infix a * b, a + b, a mod b
```

```
prefix f x , (- x)

postfix a! (get from ref)

infix a * b, a + b, a mod b

mixfix if b then x else y
```

Polish Notation

$$-/+2*1-23$$
is equivalent to
$$-(2+(1*(-2)/3))$$



To avoid ambiguity, we can make all operators prefix (or postfix) operators. We don't even need parentheses

(This how early calculators worked)

No more ambiguity. But programs written like this are notoriously difficult to read...

Lots of Parentheses

Lots of Parentheses

If we want infix operators, we could add parentheses around all operators

Lots of Parentheses

If we want infix operators, we could add parentheses around all operators

But we run into a similar issue: Too many parentheses are difficult to read

Can we get away without (or with fewer) parentheses?

Two Ingredients (or Flavors of Ambiguity)

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Associativity:

```
How should arguments be grouped in an expression like 1 + 2 + 3 + 4?
```

Two Ingredients (or Flavors of Ambiguity)

Associativity:

How should arguments be grouped in an expression like 1 + 2 + 3 + 4?

Precedence:

How should arguments be grouped in an expression like 1 + 2 * 3 + 4?

Associativity

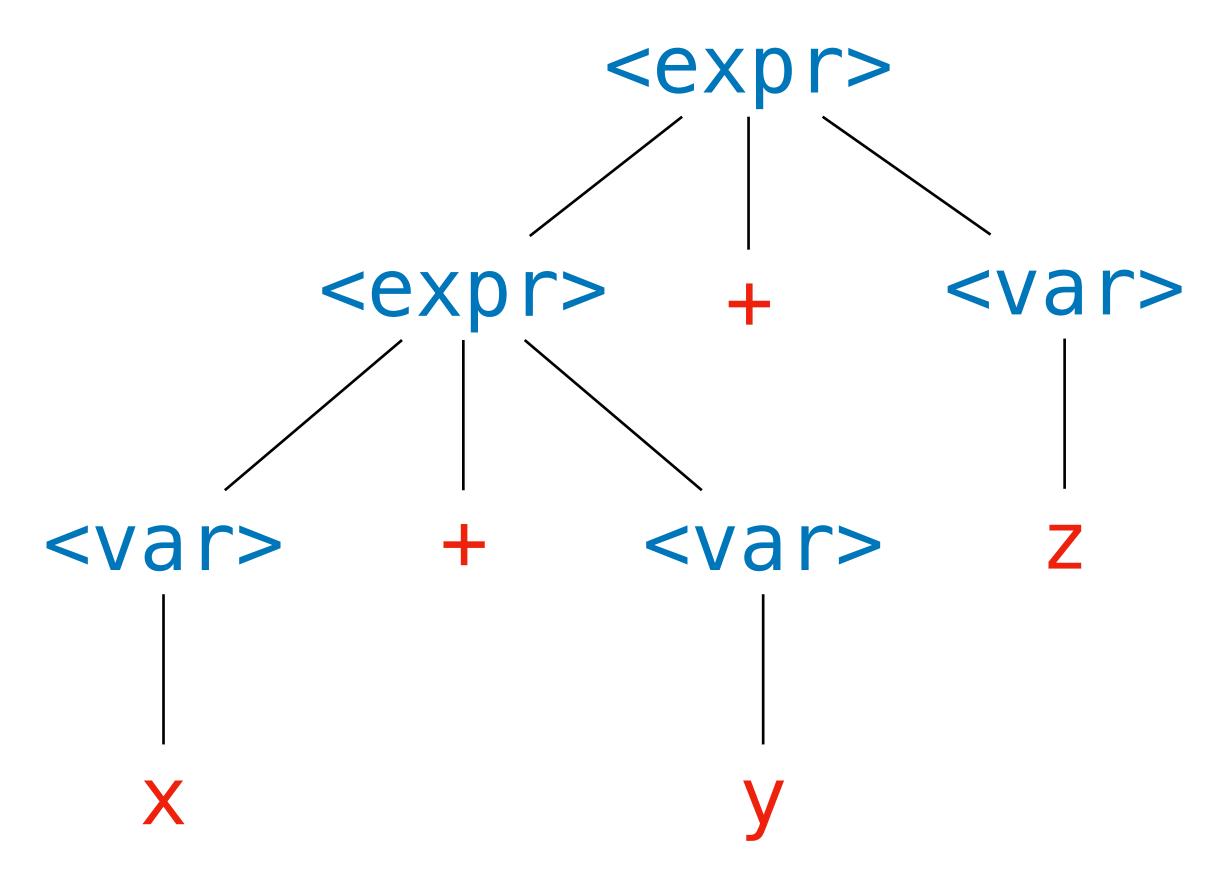
The <u>associativity</u> of an infix operator refers to how its arguments are grouped in the absence of parentheses:

left associative
$$1 + 2 + 3 \Rightarrow (1 + 2) + 3$$

right associative $a \rightarrow b \rightarrow c \Rightarrow a \rightarrow (b \rightarrow c)$

Associativity

$$x + y + z \Rightarrow$$



"add the sum of x and y to z"

How do we enforce that we get a tree of this shape?

Any time we have a rule like this, we should be suspicious...

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```
<expr> + <expr> \Rightarrow <expr> + <expr> + <expr>
```

Any time we have a rule like this, we should be suspicious...

```
<expr> + <expr> \Rightarrow <expr> + <expr> + <expr>
```

Which <expr> did we replace?

The Solution: Breaking Symmetry

We make sure that one of the arguments must be "simpler"

By enforcing that the second argument is a <var>, we will get the left-associative parse tree

Example Parse Tree

And Right Associativity

```
<type>
<base> -> <type>
() -> <type>
() -> <base> -> <type>
() -> () -> <type>
() -> () -> <base>
() -> () -> ()
```

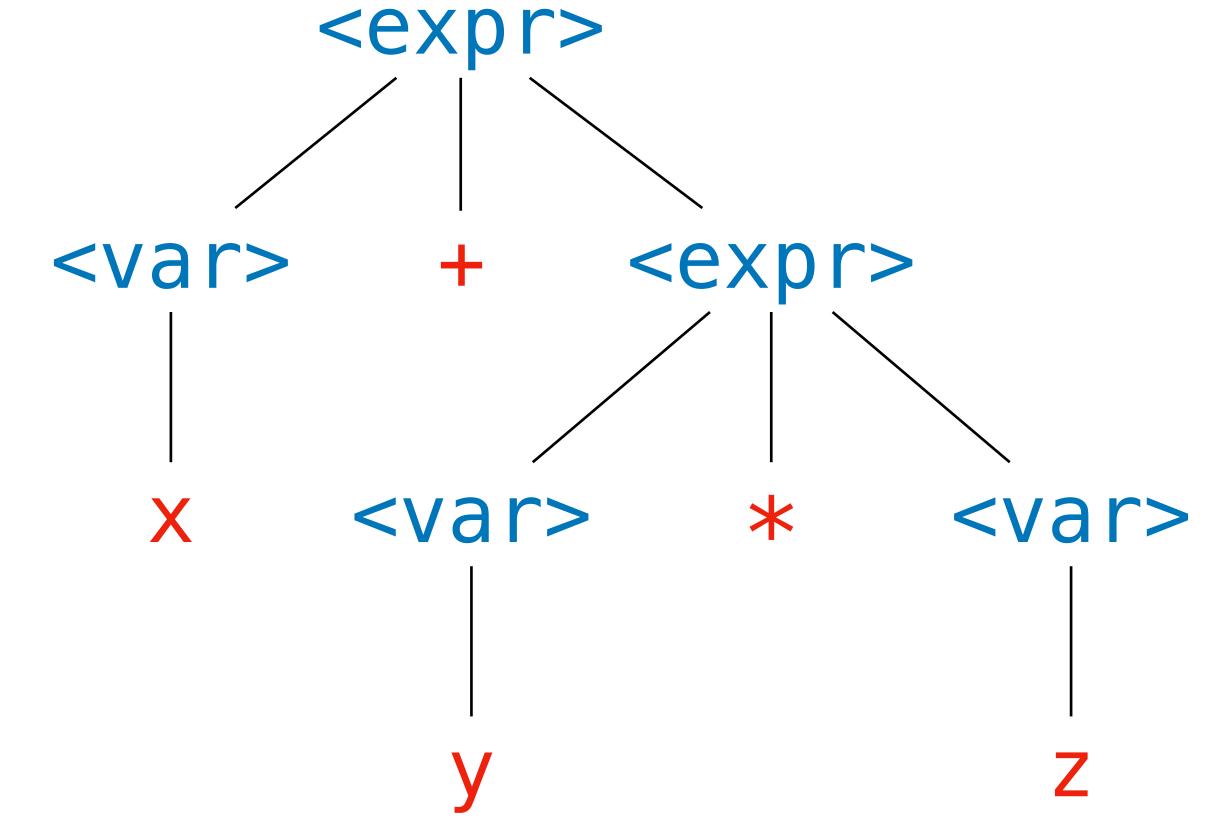
For right associativity, we break symmetry by "factoring out" the *left* argument.

Example Parse Tree

```
<base> -> <type>
() -> <type>
() -> <base> -> <type>
() -> () -> <type>
() -> () -> <base>
() -> () -> ()
```

Multiple Operators

```
x + y * z
```



"add x to the product of y and z"

Question. What if we have multiple operators? Which one should "bind tighter"?

$$2 + 3 \times 6 = 2 + (3 \times 6) = 20$$

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The <u>precedence</u> of an operator refers to order in which an operator should be considered, relative to other operators

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Example. PEMDAS (paren, exp, mul, div, add, sub)

$$2 + 3 \times 6 = 2 + (3 \times 6) = 20$$

The <u>precedence</u> of an operator refers to order in which an operator should be considered, relative to other operators

Example. PEMDAS (paren, exp, mul, div, add, sub)

Higher precedence means it "binds tighter"

Dealing with Precedence within the Grammar

We factor out the * part of the <expr>> rule

Note that we handle *lower* precedence terms first, since terms *deeper* in the parse tree are evaluated first

A Note on Associativity and Precedence

```
%token PLUS
%token MINUS
%token TIMES
%token DIVIDE
%left PLUS, MINUS
%left TIMES, DIVIDE
```

In most situations, we actually won't deal with associativity and precedence in this way

Using a parser generator we'll often be able to *specify* the precedence of an operator

The Issue of Parentheses Returns

```
<expr> ::= <expr> + <term>
           <term>
<term> ::= <term> * <var>
           <var>
<var> ::= x | y | z
```

```
<expr>
           <term>
     <term> + <term>
<var>
           <var>
 "multiply the sum of x and y with z"
```

Question. Can we derive this parse tree?

The Issue of Parentheses Returns

```
<expr>
<expr> ::= <expr> + <term>
         <term>
<term> ::= <term> * <var>
                                        <term>
         <var>
<var> ::= x | y | z
                                         * <var>
                                <expr>
No, we need to introduce
parentheses again.
                        <term>
                                  + <term>
                         <var>
                                        <var>
                          "multiply the sum of x and y with z"
```

Question. Can we derive this parse tree?

Dealing with Parentheses

We further factor out the part of the rule for parentheses. Note that any expression can appear in the parentheses

(This is a circular, or mutually recursive, production rule)

Example

Summary

When we specify a PL (e.g., in the projects) you will be given a BNF grammar

You will need to know how to translate this into a parser

So you will need practice reading BNF specifications

To avoid ambiguity, we make choices beforehand about the fixity, associativity and precedence