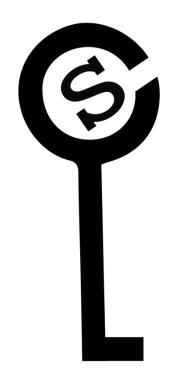
# Secure and Efficient Initialization and Authentication Protocols for SHIELD



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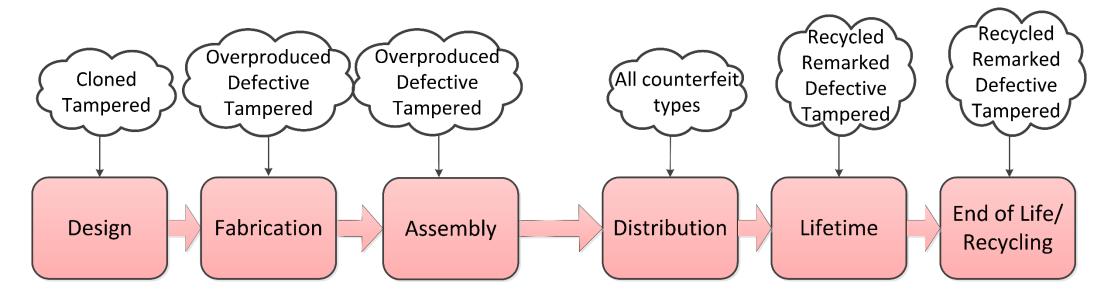


## **Outline**

- Motivation
- SHIELD
- Adversarial Models
- DARPA's Authentication Protocol
- Try-and-Check Attack
- Proposed Authentication Protocol
- Security Properties and Performance Improvements
- Initialization Protocol
- Conclusion



### Motivation

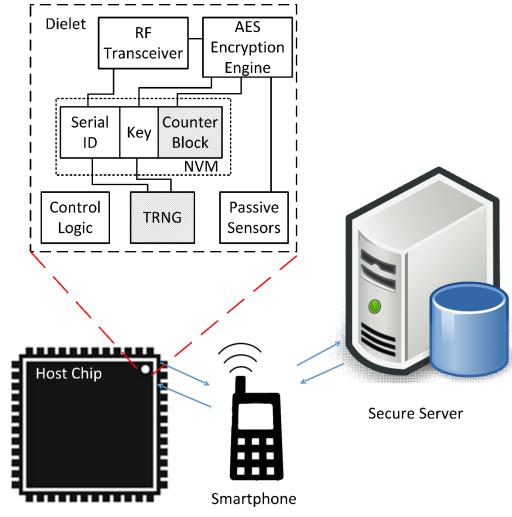


- Nowadays, untrusted IC supply chain introduces a variety of security threats.
- Many countermeasures are proposed. Usually they are specific for one security vulnerability in the supply chain.



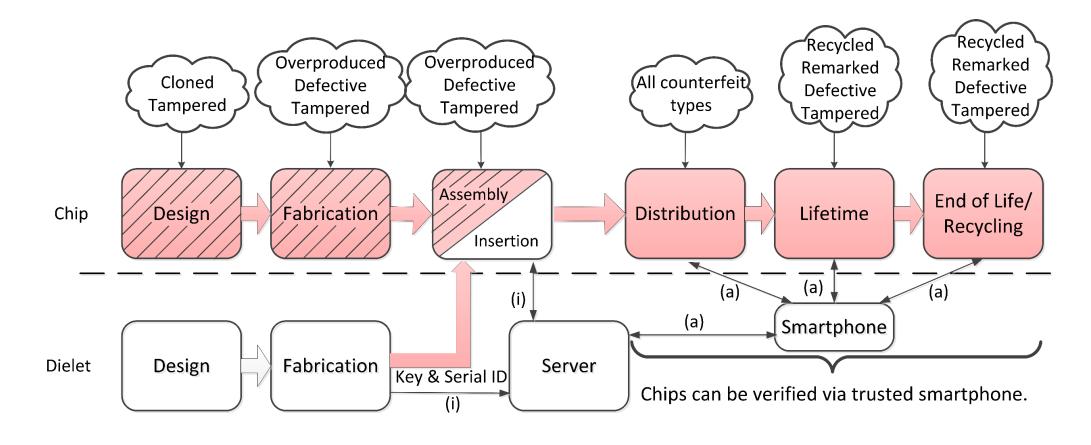
### SHIELD

- SHIELD (Supply Chain Hardware Integrity for Electronics Defense) was proposed by DARPA in 2014.
- A dielet chip inserted in the host package of a legitimate chip, in order to verify the host chip remotely.





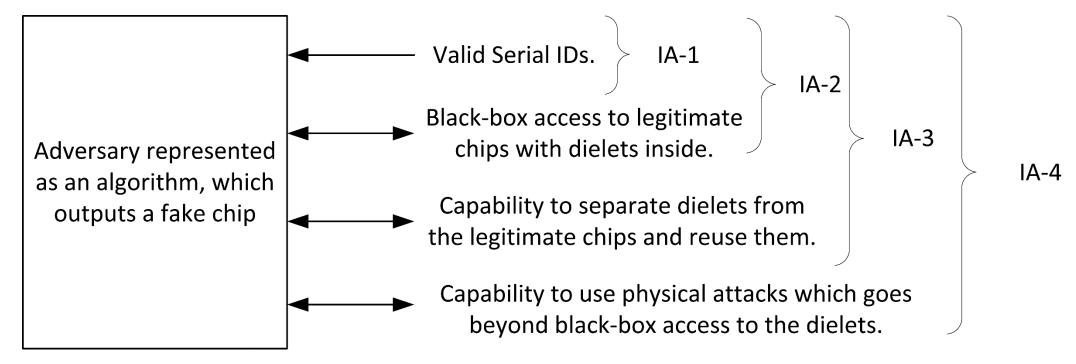
## SHIELD Protected IC Supply Chain





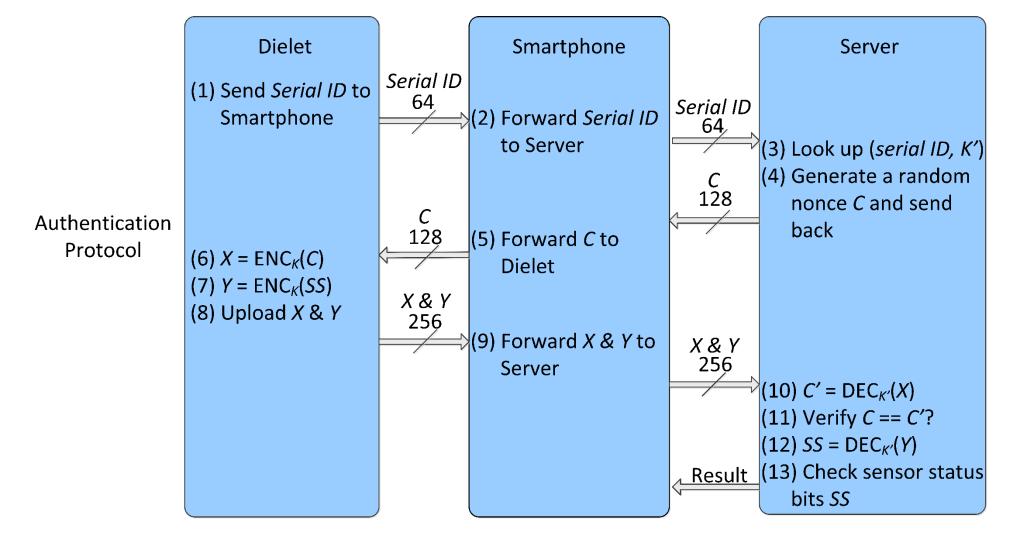
## **Adversarial Models**

- Denial of Service (DoS):
  - Single dielet DoS: allowed by DARPA
  - Batch mode DoS: needs protection
- Impersonation Attacks (IA):



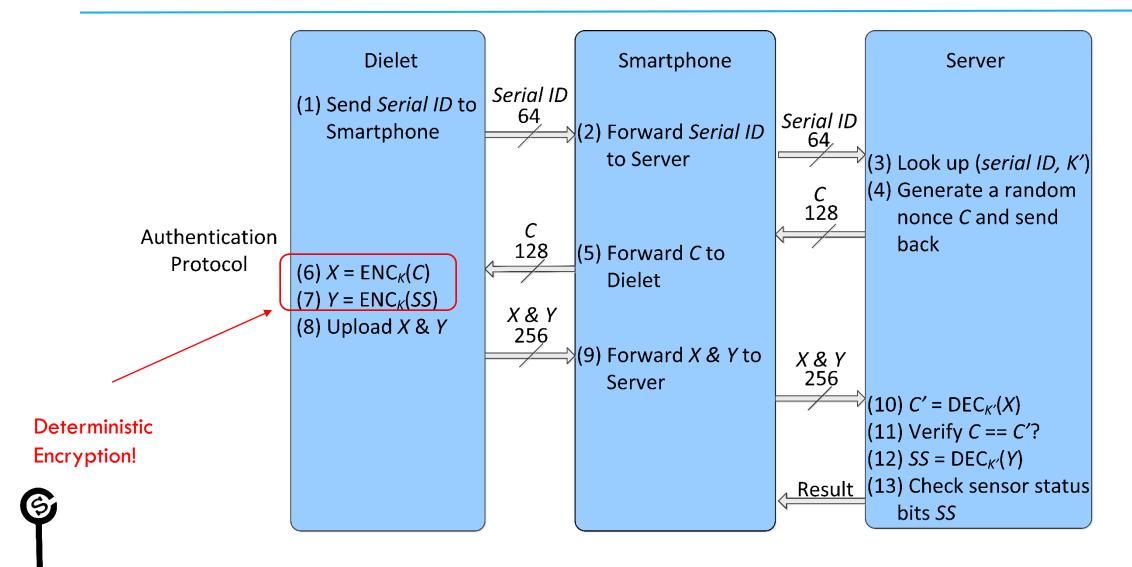


### DARPA's Authentication Protocol





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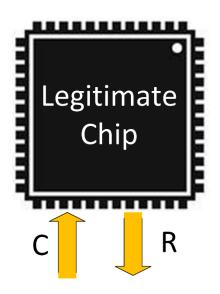
## Try-and-Check Attack

Try-and-Check attack is one IA-3 attack, which nullifies the effectiveness of DARPA's authentication protocol in that the adversarial events will not be recorded and detected by the verifier.



## Try-and-Check Attack

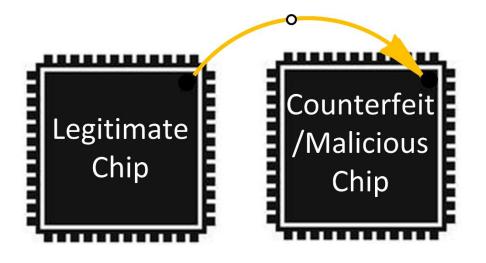
- Try-and-Check attack is one IA-3 attack, which nullifies the effectiveness of DARPA's authentication protocol in that the adversarial events will not be recorded and detected by the verifier.
- 1. Apply Challenge C to a legitimate chip with a legitimate dielet inside, and store the response R = Enc(C) | Enc(S). S is the sensor status.





## Try-and-Check Attack

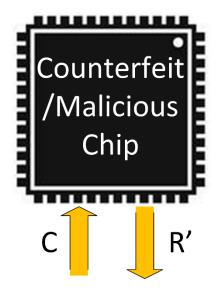
 2. Try to separate the dielet from the legitimate chip, and embed it into a counterfeit or malicious chip. This separation process may alter the sensor status S on the dielet.





## Try-and-Check Attack

• 3. Check R = R'? If R = R', it means that sensor status is not altered (S = S'). Therefore the attackers can conclude that this counterfeit/ malicious chip can be authenticated in the supply chain without being detected.



$$R' = Enc(C) \mid Enc(S')$$



## How to fix this loophole?

Use probabilistic encryption instead of deterministic encryption.



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- Use probabilistic encryption instead of deterministic encryption.
- We suggest AES Counter Mode Encryption as an efficient solution.
- R = Enc(C | Counter) XOR S.
- Because this incremental counter value is never repeated, the same sensor status S will not generate the same response. This prevents Try-and-Check attack.



## Proposed Authentication Protocol



	Dielet		Smartphone	Server	
UCONN (a) Read-out Mode	<ul> <li>(1) Wait for power up, verify CB &gt; 1 and CB ≠ MAX</li> <li>(2) Send Serial ID to Smartphone</li> <li>(4) Verify [serial ID]<sub>L</sub> and enter Authentication Mode</li> </ul>	Serial ID 128 [Serial ID] <sub>L</sub> & C L+M	(3) Generate Random Nonce <i>C,</i> Send <i>[Serial ID]</i> and <i>C</i> to Dielet		
	(5) X=AES <sub>K</sub> (C  CB)  CB = Increment(CB)  (6) V = [X] <sub>N</sub> XOR  (SS  O0)  (7) Send Verification  message V to  Smartphone and  enter Read-out  Mode	V	(8) Forward <i>Serial ID</i> & <i>V &amp; C</i> to Server		12

## Security Benefits

- Protect against IA-1, IA-2 and IA-3 attacks.
  - DARPA's protocol is vulnerable to Try-and-Check attack.
- Increase the difficulty of IA-4 attacks by limiting the power traces and incrementing counter values.
- Prevent batch mode DoS attack by adding a read-out mode before authentication mode.
- The counter of AES counter mode can be used as an indicator of suspicious offline behavior.



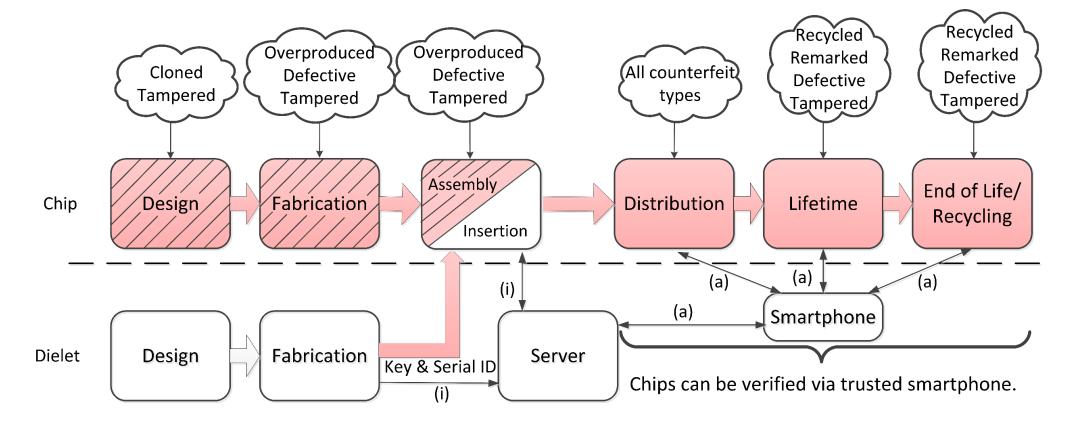
## Performance Benefit

- Reduce the power consumption
  - Number of transmitted bits: 258 bits instead of 448 bits.
  - Number of encryptions: one encryption instead of two encryptions
- Speed up the protocol execution by halving the number of communication rounds with the server.



### Dielet Initialization

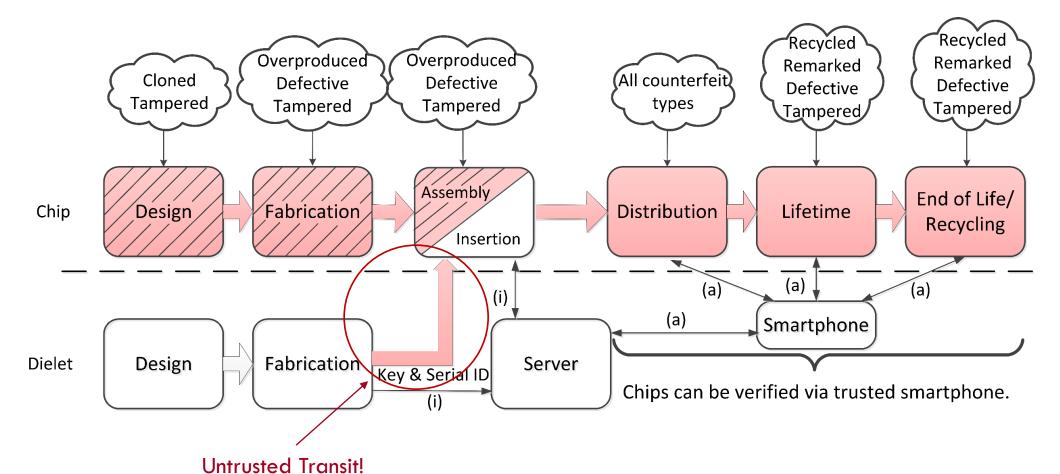
• The main threat comes from the untrusted transit between dielet fabrication facilities and insertion facilities.





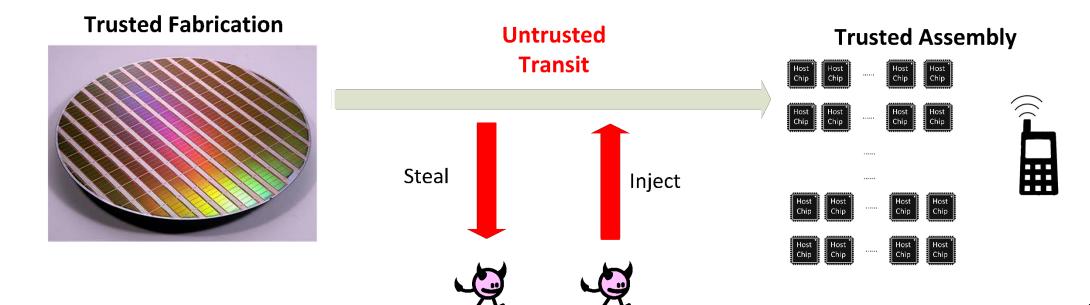
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## Initialization Protocol





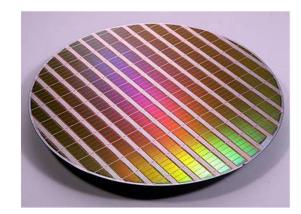
## Initialization Protocol

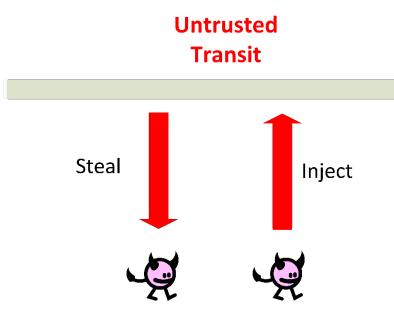
Register all the serial ID and key in the server



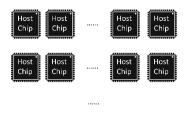
Verify each dielet and validate the corresponding entries in the database for authentication in the future

#### **Trusted Fabrication**





#### **Trusted Assembly**









### Benefits

- Due to a one-time initialization and two-phase activation construct in our initialization protocol, transits between trusted fabrication and trusted assembly facilities can be untrusted.
- On-board TRNG allows dielets to efficiently generate the secret keys and serial IDs in parallel.



## Conclusion

- We introduce a "try-and-check" attack which nullifies the effectiveness of one of SHIELD's main goals of being able to detect and trace adversarial activities with significant probability.
- We introduce an improved authentication protocol which resists the try-and-check attack, compared to DARPA's example authentication protocol.
- We introduce the first concrete initialization protocol.
- The additional area utilization for our authentication and initialization protocols compared to DARPA's authentication protocol is only 4% of the allowed area of the dielet (0.01 mm<sup>2</sup>) in 32nm technology.
- Our findings and rigorous analysis are of utmost importance for the team which received DARPA's funding for implementing SHIELD.



# Thank you!