

**On the categorical local Langlands  
conjectures for depth-zero regular  
supercuspidal representations**

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# Chapter 1

## Introduction

Let  $F$  be a non-archimedean local field. Assume that the residue field of  $F$  is  $\mathbb{F}_q$ , with characteristic  $p$ . Let  $G$  be a connected reductive group over  $F$ . For simplicity, we assume that  $G$  is split, semisimple, and simply connected. Let  $\Lambda = \overline{\mathbb{Z}}_\ell$ , the integral closure of  $\mathbb{Z}_\ell$  in  $\overline{\mathbb{Q}}_\ell$ , with  $\ell \neq p$ . Let  $W_F$  be the Weil group of  $F$  and  $\hat{G}$  the Langlands dual group of  $G$ . The categorical local Langlands conjecture predicts that there is a fully faithful embedding

$$\mathrm{Rep}_\Lambda(G(F)) \longrightarrow \mathrm{QCoh}(Z^1(W_F, \hat{G})_\Lambda / \hat{G})$$

from the category of smooth representations of the  $p$ -adic group  $G(F)$  to the category of quasi-coherent sheaves on the stack of Langlands parameters. In this paper, we compute the two sides explicitly for depth-zero regular supercuspidal part of the group  $G$  and verify the categorical local Langlands conjecture for depth-zero supercuspidal part of  $GL_n$ .<sup>1</sup>

Fixing an irreducible depth-zero regular supercuspidal representation  $\pi \in \mathrm{Rep}_{\overline{\mathbb{F}}_\ell}(G(F))$ ,<sup>2</sup> the (classical) local Langlands conjecture predicts that it should correspond to a tame, regular semisimple, elliptic  $L$ -parameter (TRSELP for short)  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  (see [DR09]). As mentioned above, this paper focuses on the depth-zero regular supercuspidal part of the categorical local Langlands conjecture, which predicts a fully faithful embedding

$$\mathrm{Rep}_\Lambda(G(F))_{[\pi]} \longrightarrow \mathrm{QCoh}([X_\varphi / \hat{G}])$$

from the block of  $\mathrm{Rep}_\Lambda(G(F))$  containing  $\pi$  to the category of quasi-coherent sheaves on the connected component  $[X_\varphi / \hat{G}]$  of the stack of  $L$ -parameters  $Z^1(W_F, \hat{G})_\Lambda / \hat{G}$ .

### 1.1 $L$ -parameter side

Let  $G$  be a connected split reductive group over  $F$ . Let  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  be a TRSELP (see Definition 2.1.4). In this section, we explain Chapter 2 on how to compute  $\mathrm{QCoh}([X_\varphi / \hat{G}])$ .

This is done by describing  $[X_\varphi / \hat{G}]$  explicitly as a quotient stack over  $\Lambda = \overline{\mathbb{Z}}_\ell$ .

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<sup>1</sup>We will see that although  $GL_n$  is not simply connected, the theory still works without much change. Also, we do not need to assume  $G$  is simply connected for the results on the  $L$ -parameter side.

<sup>2</sup>Note that we really want to start with a representation with  $\overline{\mathbb{F}}_\ell$ -coefficients instead of  $\overline{\mathbb{Q}}_\ell$ -coefficients, because we are interested in describing the  $(\overline{\mathbb{Z}}_\ell)$ -block of  $\mathrm{Rep}_\Lambda(G(F))$ .

### 1.1.1 Heuristics on the component $[X_\varphi/\hat{G}]$

In this subsection, we describe some heuristics on the component  $[X_\varphi/\hat{G}]$  which help us to guess what this component should look like.

First, let us recall what is known over  $\overline{\mathbb{Q}}_\ell$  instead of  $\Lambda = \overline{\mathbb{Z}}_\ell$ . Indeed, assuming that the center  $Z(\hat{G})$  of  $\hat{G}$  is finite, the connected component of the stack of  $L$ -parameters  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Q}}_\ell}/\hat{G}$  over  $\overline{\mathbb{Q}}_\ell$  containing an elliptic  $L$ -parameter  $\varphi'$  is known to be one point. More precisely, it is isomorphic to the quotient stack  $[*/S_{\varphi'}]$ , where  $S_{\varphi'} = C_{\hat{G}}(\varphi')$  is the centralizer of  $\varphi'$  (see [FS21, Section X.2]).

Second, let us explain the difference between the geometry of the connected components of the stack of  $L$ -parameters over  $\overline{\mathbb{Q}}_\ell$  and  $\overline{\mathbb{Z}}_\ell$ . This can be seen from the example  $G = GL_1$ . Indeed,

$$Z^1(W_F, \widehat{GL_1}) \cong \mu_{q-1} \times \mathbb{G}_m,$$

both over  $\overline{\mathbb{Q}}_\ell$  and  $\overline{\mathbb{Z}}_\ell$  (see Example 2.1.1). However,  $\mu_{q-1}$  is just  $q-1$  discrete points over  $\overline{\mathbb{Q}}_\ell$ , while the connected components of  $\mu_{q-1}$  are isomorphic to  $\mu_{\ell^k}$  (over  $\overline{\mathbb{F}}_\ell$ , hence also over  $\overline{\mathbb{Z}}_\ell$ , where  $k$  is the maximal integer such that  $\ell^k$  divides  $q-1$ ). So when describing the connected components of the stack of  $L$ -parameters over  $\overline{\mathbb{Z}}_\ell$ , there will be possibly some non-reduced part  $\mu$  appearing.

These two features come together in the description of  $[X_\varphi/\hat{G}]$ , the connected component of  $Z^1(W_F, \hat{G})/\hat{G}$  containing  $\varphi$ . Under mild assumptions, we prove that

$$[X_\varphi/\hat{G}] \cong [*/S_\varphi] \times \mu,$$

where  $S_\varphi = C_{\hat{G}}(\varphi)$  and  $\mu$  is some product of  $\mu_{\ell^{k_i}}$ 's (see Theorem 2.2.2).

### 1.1.2 Ingredients of the computation

The computation follows the theory of moduli space of Langlands parameters developed in [DHKM20, Section 2, 4] (see also [Dat22, Section 3, 4] for a more gentle introduction). It is very helpful to do the example of  $GL_2$  first (see Chapter 4).

To compute the component  $[X_\varphi/\hat{G}]$  over  $\overline{\mathbb{Z}}_\ell$ , let us fix a lift  $\psi \in Z^1(W_F, \hat{G}(\overline{\mathbb{Z}}_\ell))$  of  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$ .

Recall by [Dat22, Subsection 4.6],

$$X_\varphi = X_\psi \cong \left( \hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}} \right) / C_{\hat{G}}(\psi_\ell)_{\overline{\psi}},$$

where  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}}$  denotes the space of cocycles whose restriction to  $I_F^\ell$  equals  $\psi_\ell$  and whose image in  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  is  $\overline{\psi}$ .

Here,  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}}$  is essentially the space of cocycles of the torus

$$T := N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)$$

by our TRSELP assumption (see Definition 2.1.4) and that  $C_{\hat{G}}(\psi_\ell)$  is generalized reductive, hence split over  $\overline{\mathbb{Z}}_\ell$  (see Lemma 2.1.13). Since it is not hard to compute the space of tame cocycles of a commutative group scheme using the explicit presentation of the tame Weil group (see (2.1.3) and (2.1.4)), we obtain that

$$Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}} \cong T \times \mu,$$

where  $\mu$  is a product of  $\mu_{\ell^{k_i}}$ 's (see Theorem 2.1.8 for details). And it is not hard to see that

$$C_{\hat{G}}(\psi_{\ell})_{\overline{\psi}} = C_{\hat{G}}(\psi_{\ell}) = T.$$

Therefore, we get

$$X_{\varphi} \cong (\hat{G} \times T \times \mu) / T.$$

One needs to be a bit careful about the  $T$  action on  $T$ , because here a twist by  $\psi(\text{Fr})$  is involved. One can compute that

$$[X_{\varphi}/\hat{G}] \cong [(T \times \mu) / T] \cong [T/T] \times \mu,$$

where  $T$  acts on  $T$  via twisted conjugacy.<sup>3</sup> After that, assuming that  $Z(\hat{G})$  is finite, we can work in the category of diagonalizable group schemes (whose structure is clear, see [BCO14, p70, Section 5]) to identify  $[T/T]$  with  $[*/S_{\varphi}]$  under mild conditions.

## 1.2 Representation side

Let  $G$  be a connected reductive group over  $F$ . We assume that  $G$  is split, semisimple, and simply connected. Let  $\pi \in \text{Rep}_{\overline{\mathbb{F}}_{\ell}}(G(F))$  be an irreducible depth-zero regular supercuspidal representation. In this section, we explain Chapter 3 on how to compute the block  $\text{Rep}_{\Lambda}(G(F))_{[\pi]}$  of  $\text{Rep}_{\Lambda}(G(F))$  containing  $\pi$ .

### 1.2.1 Equivalence to the block of a finite group of Lie type

Recall that a depth-zero regular supercuspidal representation of  $G(F)$  is of the form

$$\pi = \text{c-Ind}_{G_x}^{G(F)} \rho$$

for some representation  $\rho$  of the parahoric subgroup  $G_x$  corresponding to a vertex  $x$  in the Bruhat-Tits building of  $G$  over  $F$ . Moreover,  $\rho$  is the inflation of some regular supercuspidal representation  $\bar{\rho}$  of the finite group of Lie type  $\overline{G}_x := G_x/G_x^+$ .

Let  $\mathcal{A}_{x,1}$  denote the block  $\text{Rep}_{\Lambda}(\overline{G}_x)_{[\bar{\rho}]}$  of  $\text{Rep}_{\Lambda}(\overline{G}_x)$  containing  $\bar{\rho}$ . Similarly, let

$$\mathcal{B}_{x,1} := \text{Rep}_{\Lambda}(G_x)_{[\rho]}, \quad \mathcal{C}_{x,1} := \text{Rep}_{\Lambda}(G(F))_{[\pi]}.$$

Assume that the residue field of  $F$  is  $\mathbb{F}_q$ . For simplicity, we assume that  $q$  is greater than the Coxeter number of  $\overline{G}_x$  (see Theorem 3.2.4 for reason). Then  $\mathcal{A}_{x,1}$  is equivalent to a block of a finite torus via Broué's equivalence 3.2.4. And it is not hard to show that the inflation induces an equivalence of categories  $\mathcal{A}_{x,1} \cong \mathcal{B}_{x,1}$ .

The main theorem we will prove for the representation side is Theorem 3.1.2: Assume that  $q$  is greater than the Coxeter number of  $\overline{G}_x$ . Then the compact induction induces an equivalence of categories

$$\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}.$$

Once this is proven,  $\mathcal{C}_{x,1}$  is equivalent to  $\mathcal{A}_{x,1}$ , hence admits an explicit description. The proof of the Theorem 3.1.2 occupies the most of Chapter 3.

<sup>3</sup>Note that so far, we do not assume  $Z(\hat{G})$  to be finite, hence the result also applies for  $GL_n$ .

### 1.2.2 Proof of the main theorem for the representation side

In the rest of the section, let us briefly explain the idea of the proof of Theorem 3.1.2. The fully faithfulness of

$$\mathrm{c}\text{-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$$

is a usual computation by Frobenius reciprocity and Mackey's formula. Since a similar computation will be used later, we record it in Theorem 3.1.4. The key point is that

$$\mathrm{Hom}_G \left( \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \rho_1, \mathrm{c}\text{-Ind}_{G_y}^{G(F)} \rho_2 \right)$$

can be computed explicitly assuming that one of  $\rho_1, \rho_2$  has supercuspidal reduction (i.e.  $\overline{\rho_1}$  or  $\overline{\rho_2}$  is supercuspidal).<sup>4</sup>

The difficulty lies in proving that

$$\mathrm{c}\text{-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$$

is essentially surjective. For this, we prove that the compact induction

$$\Pi_{x,1} := \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \sigma_{x,1}$$

is a projective generator of  $\mathcal{C}_{x,1}$ .

The first key is that  $\Pi_{x,1}$  is a summand of a projective generator of a larger category. Indeed,  $\Pi_{x,1}$  is a summand of

$$\Pi := \mathrm{c}\text{-Ind}_{G_x^+}^{G(F)} \Lambda,$$

where  $G_x^+$  is the pro-unipotent radical of the parahoric subgroup  $G_x$ ; and  $\Pi$  is known to be a projective generator of the category  $\mathrm{Rep}_\Lambda(G(F))_0$  of depth-zero representations, i.e.,

$$\Pi = \Pi_{x,1} \oplus \Pi^{x,1}.$$

The second key is that the complement  $\Pi^{x,1}$  does not interfere with  $\Pi_{x,1}$ . More precisely, we can compute using Theorem 3.1.4 that

$$\mathrm{Hom}_G(\Pi^{x,1}, \Pi_{x,1}) = \mathrm{Hom}_G(\Pi_{x,1}, \Pi^{x,1}) = 0.$$

The above two keys allow us to conclude that  $\Pi_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ .

## 1.3 The example of $GL_n$

To illustrate the theory, we do the example of  $GL_n$  in Chapter 4.<sup>5</sup> It is quite concise once we have the theories developed in Chapter 2 and 3, so let us do not say anything more here. However, the readers are encouraged to do the example of  $GL_2$  throughout the paper, which will help to understand the theories in Chapter 2 and 3.

<sup>4</sup>There is a little subtlety that we want not only  $\rho$  to have supercuspidal reduction but also any representation  $\rho' \in \mathcal{B}_{x,1}$  to have supercuspidal reduction. This subtlety is dealt with in Theorem 3.1.3. And this is why we need the **regular** supercuspidal assumption.

<sup>5</sup>Although  $GL_n$  is not simply connected, the theory still works without much change



## 1.4 The categorical local Langlands conjecture for $GL_n$

As an application, we will deduce the categorical local Langlands conjecture in Fargues-Scholze's form (see [FS21, Conjecture X.3.5]) for depth-zero supercuspidal blocks of  $GL_n$  in Chapter 5.<sup>6</sup>

The idea is that we can unravel both sides of the categorical conjecture explicitly using our computation in Chapter 4. They both turn out to be

$$\bigoplus_{\mathbb{Z}} \text{Perf}(\mathbb{G}_m \times \mu).$$

We want to show that the spectral action gives an equivalence. This reduces to the degree-zero part (of the  $\mathbb{Z}$ -grading) by compatibility of the spectral action with the  $\mathbb{Z}$ -grading (see Proposition 5.1.2). And the degree-zero part reduces to the theory of local Langlands in families (see [HM18]). Fortunately, several technical results we need to do the reductions are already available by [Zou22].

## 1.5 Preliminaries and notations

Although the main chapters 2, 3, 5 are almost logically independent of each other, let us introduce some notations that are used throughout the thesis.

1. Let  $G$  be a connected split reductive group.<sup>7</sup> In Chapter 3, we moreover assume that  $G$  is simply connected.<sup>8</sup>
2. Let  $\overline{\mathbb{Z}}_\ell$  be the integral closure of  $\mathbb{Z}_\ell$  in  $\overline{\mathbb{Q}}_\ell$ .  $\overline{\mathbb{Z}}_\ell$  is also the valuation ring of  $\overline{\mathbb{Q}}_\ell$ , i.e., it consists of the elements with valuations  $\geq 0$ .  $\overline{\mathbb{Z}}_\ell$  is a local ring with the unique maximal ideal consisting of elements with valuations  $> 0$ .  $\overline{\mathbb{Z}}_\ell$  is strictly henselian, hence all finite étale covers of  $\overline{\mathbb{Z}}_\ell$  split. An important fact is that any reductive group scheme over  $\overline{\mathbb{Z}}_\ell$  is split.<sup>9</sup>

## 1.6 Logical dependence and suggestions for reading

Chapter 2 and 3 are logically independent of each other. Chapter 5 needs the description of  $[X_\varphi/\hat{G}]$  for  $G = GL_n$  obtained in Chapter 4, by applying the theory in Chapter 2 to the case  $G = GL_n$ . Also, it might be easier to assume  $G = GL_2$  or  $SL_2$  throughout the paper, and one would not miss many essential points in doing so.

<sup>6</sup>Notice that supercuspidal implies regular supercuspidal automatically in the  $GL_n$  case.

<sup>7</sup>The assumption “split” is intended for simplicity. It is expected that the results generalize to more general reductive groups.

<sup>8</sup>Again, the assumption “simply connected” is intended for simplicity. So that we do not need to consider the extension from  $G_x$  to its normalizer when doing compact induction.

<sup>9</sup>One drawback of  $\overline{\mathbb{Z}}_\ell$  is that it is not Noetherian. However, in practice, things defined over  $\overline{\mathbb{Z}}_\ell$  are already defined over some finite extension  $\mathcal{O}$  of  $\mathbb{Z}_\ell$ . So we can first argue for  $\mathcal{O}$  and then base change to  $\overline{\mathbb{Z}}_\ell$ . As an aside, the author believes that it does not make a difference if we replace  $\overline{\mathbb{Z}}_\ell$  by  $W(\overline{\mathbb{F}}_\ell)$ , the ring of Witt vectors of  $\overline{\mathbb{F}}_\ell$ , which is the unique complete discrete valuation ring with residue field  $\overline{\mathbb{F}}_\ell$ .

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## Chapter 2

# TRSELP components of the stack of $L$ -parameters

Let  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  be a tame regular semisimple elliptic  $L$ -parameter. In this chapter, we compute the connected component  $[X_\varphi/\hat{G}]$  of the stack of  $L$ -parameters  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}/\hat{G}$  containing  $\varphi$ . In Section 2.1, following the theory developed in [Dat22, Section 3, 4], we first compute the connected component  $X_\varphi$  of the space of 1-cocycles  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  (without modulo out the  $\hat{G}$ -action). The result turns out to be very explicit:

$$X_\varphi \cong (\hat{G} \times T \times \mu)/T \quad (2.0.1)$$

(see Theorem 2.1.8 for details). In Section 2.2, we use (2.0.1) to obtain a particular simple description of  $[X_\varphi/\hat{G}]$  under mild conditions (see Theorem 2.2.2):

$$[X_\varphi/\hat{G}] \cong [*/S_\varphi] \times \mu. \quad (2.0.2)$$

### 2.1 The connected component $X_\varphi$ containing a TRSELP $\varphi$

The goal of this section is to compute the connected component  $X_\varphi$  containing a TRSELP  $\varphi$ . In 2.1.1, we recall the theory of moduli space of Langlands parameters. In 2.1.2, we define the class of  $L$ -parameters that we are interested in – tame regular semisimple elliptic  $L$ -parameters (TRSELP for short). In 2.1.3, we compute  $X_\varphi$  explicitly as  $(\hat{G} \times T \times \mu)/T$  using the theory of moduli space of Langlands parameters. In 2.1.4, we spell out the  $T$ -action on  $(\hat{G} \times T \times \mu)$  to prepare for the next section.

#### 2.1.1 Recollections on the moduli space of Langlands parameters

Since our computation heavily uses the theory of moduli space of Langlands parameters, we recollect some basic facts here. For more sophisticated knowledge that will be used, we refer to [Dat22, Section 3, 4], or [DHKM20, Section 2, 4].

Let us first fix some notations.

- Let  $p \neq 2$  be a fixed prime number and  $\ell \neq 2$  be a prime number different from  $p$ .
- Let  $F$  be a non-archimedean local field with residue field  $\mathbb{F}_q$ , where  $q = p^r$  for some  $r \in \mathbb{Z}_{\geq 1}$ .

- Let  $W_F$  be the Weil group of  $F$ ,  $I_F \subseteq W_F$  be the inertia subgroup, and  $P_F \subseteq W_F$  be the wild inertia subgroup.
- Let  $W_t := W_F/P_F$  be the tame Weil group,  $I_t := I_F/P_F$  be the tame inertia subgroup in  $W_t$ .
- Let  $G$  be a connected split reductive group over  $F$ .

Fix  $\text{Fr} \in W_F$  any lift of the arithmetic Frobenius element. We will abuse the notation and denote by  $\text{Fr}$  the image of  $\text{Fr}$  in  $W_t$ . We have  $W_t \cong I_t \rtimes \langle \text{Fr} \rangle$ . Here,  $I_t$  is non-canonically isomorphic to  $\prod_{p' \neq p} \mathbb{Z}_{p'}$ , which is procyclic. We fix such an isomorphism

$$I_t \cong \prod_{p' \neq p} \mathbb{Z}_{p'}. \quad (2.1.1)$$

This gives rise to a topological generator  $s_0$  of  $I_t$ , which corresponds to  $(1, 1, \dots)$  under the isomorphism (2.1.1). Let us recall the following relation in  $I_F/P_F$ :

$$\text{Fr } s_0 \text{Fr}^{-1} = s_0^q. \quad (2.1.2)$$

In fact, this is true for any  $s \in I_t$  instead of  $s_0$ .

Let

$$W_t^0 := \langle s_0, \text{Fr} \rangle = \mathbb{Z}[1/p]^{s_0} \rtimes \mathbb{Z}^{\text{Fr}}$$

be the subgroup of  $W_t$  generated by  $s_0$  and  $\text{Fr}$ . Let  $W_F^0$  denote the preimage of  $W_t^0$  under the natural projection  $W_F \rightarrow W_t$ .  $W_F^0$  is referred to as the discretization of the Weil group. To summarize,  $W_t^0$  is generated by two elements  $\text{Fr}$  and  $s_0$  with a single relation, i.e.,

$$W_t^0 = \langle \text{Fr}, s_0 \mid \text{Fr } s_0 \text{Fr}^{-1} = s_0^q \rangle. \quad (2.1.3)$$

Let  $G$  be a connected split reductive group over  $F$ . Let  $\hat{G}$  be its dual group over  $\mathbb{Z}$ . Then the space of cocycles from the discretization

$$Z^1(W_t^0, \hat{G}) = \underline{\text{Hom}}(W_t^0, \hat{G}) = \{(x, y) \in \hat{G} \times \hat{G} \mid yxy^{-1} = x^q\} \quad (2.1.4)$$

is an explicit closed subscheme of  $\hat{G} \times \hat{G}$  (see [Dat22, Section 3]). An important fact (see [Dat22, Proposition 3.9]) is that over a  $\mathbb{Z}_\ell$ -algebra  $R$  (the cases  $R = \mathbb{F}_\ell, \mathbb{Z}_\ell, \mathbb{Q}_\ell$  are most relevant for us), the restriction from  $W_t$  to  $W_t^0$  induces an isomorphism

$$Z^1(W_t, \hat{G}) \cong Z^1(W_t^0, \hat{G}).$$

Therefore, we can compute  $Z^1(W_t, \hat{G})$  using the explicit formula (2.1.4) above. This is fundamental for the study of the moduli space of Langlands parameters  $Z^1(W_t, \hat{G})$ . We refer the readers to [Dat22, Section 3, 4] for the precise definition and properties of  $Z^1(W_t, \hat{G})$ .<sup>1</sup>

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<sup>1</sup>Although we start with a split reductive group, the space of cocycles of certain non-split reductive group would occur when describing the TRSELF component  $X_\varphi$  of  $Z^1(W_F, \hat{G})$  (for example, the space  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)$  occurring in the proof of Theorem 2.1.8). We refer the reader to [Dat22] and [DHKM20] for the definition of  $Z^1(W_F, H)$  for general group  $H$ .

**Example 2.1.1.** For  $G = GL_1$ ,

$$\begin{aligned} Z^1(W_t, \hat{G}) &\cong Z^1(W_t^0, \hat{G}) \\ &= \{(x, y) \in GL_1 \times GL_1 \mid yxy^{-1} = x^q\} \\ &= \{(x, y) \in GL_1 \times GL_1 \mid x = x^q\} \cong \mu_{q-1} \times \mathbb{G}_m. \end{aligned} \quad (2.1.5)$$

More generally, let  $\hat{T}$  be a (possibly non-split) torus equipped with a  $W_F$ -action. We can compute similarly by tracing the image of  $s_0$  and  $\text{Fr}$  that

$$Z^1(W_t, \hat{T}) \cong \hat{T} \times \hat{T}^{\text{Fr}=(-)^q}, \quad (2.1.6)$$

where  $\hat{T}^{\text{Fr}=(-)^q}$  is the subscheme of  $\hat{T}$  on which  $\text{Fr}$  acts by raising to  $q$ -th power.<sup>2</sup>

Let  $I_F^\ell$  be the prime-to- $\ell$  inertia subgroup of  $W_F$ , i.e.,  $I_F^\ell := \ker(t_\ell)$ , where

$$t_\ell : I_F \rightarrow I_F/P_F \cong \prod_{p' \neq p} \mathbb{Z}_{p'} \rightarrow \mathbb{Z}_\ell$$

is the composition. In other words, it is the maximal subgroup of  $I_F$  with pro-order prime to  $\ell$ . This property makes  $I_F^\ell$  important when determining the connected components of  $Z^1(W_F, \hat{G})$  over  $\overline{\mathbb{Z}}_\ell$  (see [Dat22, Theorem 4.2 and Subsection 4.6]).

### 2.1.2 Tame regular semisimple elliptic $L$ -parameters

We want to define a class of  $L$ -parameters, called TRSELP, which roughly corresponds to depth-zero regular supercuspidal representations. Before that, let us define the concept of schematic centralizer, which will be used throughout the chapter.

**Definition 2.1.2** (Schematic centralizer). Let  $H$  be an affine algebraic group over a ring  $R$ , and let  $\Gamma$  be a finite group. Let  $u \in Z^1(\Gamma, H(R'))$  be a 1-cocycle for some  $R$ -algebra  $R'$ . Let

$$\alpha_u : H_{R'} \longrightarrow Z^1(\Gamma, H)_{R'} \quad h \longmapsto hu(-)h^{-1}$$

be the orbit morphism. Then the schematic centralizer  $C_H(u)$  is defined as the fiber of  $\alpha_u$  at  $u$ .

$$\begin{array}{ccc} C_H(u) & \longrightarrow & H_{R'} \\ \downarrow & & \downarrow \alpha_u \\ \text{Spec}(R') & \xrightarrow{u} & Z^1(\Gamma, H)_{R'} \end{array}$$

One can show that its  $R''$ -valued points  $C_H(u)(R'') = C_{H(R'')}(u)$  is the set-theoretic centralizer for all  $R'$ -algebra  $R''$ , see for example [DHKM20, Appendix A].

*Remark 2.1.3.* Note that this is enough for our applications where  $\Gamma$  is more generally taken as a profinite group, because  $u : \Gamma \rightarrow H$  will factor through a finite quotient  $\Gamma'$  of  $\Gamma$  in practice.

<sup>2</sup>See [Dat22, Example 3.14] for details. See also the proof of Theorem 2.1.8 for an example –  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)$ .

Let us now define a tame, regular semisimple, elliptic Langlands parameter (TRSELP) over  $\overline{\mathbb{F}}_\ell$ , roughly in the sense of [DR09, Section 3.4, 4.1], but with  $\overline{\mathbb{F}}_\ell$ -coefficients instead of  $\mathbb{C}$ -coefficients.

**Definition 2.1.4.** *A tame regular semisimple elliptic  $L$ -parameter (TRSELP) over  $\overline{\mathbb{F}}_\ell$  is a homomorphism  $\varphi : W_F \rightarrow \hat{G}(\overline{\mathbb{F}}_\ell)$  such that:*

1. (smooth)  $\varphi(I_F)$  is a finite subgroup of  $\hat{G}(\overline{\mathbb{F}}_\ell)$ .
2. (Frobenius semisimple)  $\varphi(\text{Fr})$  is a semisimple element of  $\hat{G}(\overline{\mathbb{F}}_\ell)$ .
3. (tame) The restriction of  $\varphi$  to  $P_F$  is trivial.
4. (regular semisimple) The centralizer of the inertia  $C_{\hat{G}}(\varphi|_{I_F})$  is a torus (in particular, connected).
5. (elliptic) The identity component  $C_{\hat{G}}(\varphi)^0$  of the centralizer  $C_{\hat{G}}(\varphi)$  is equal to the identity component  $Z(\hat{G})^0$  of the center  $Z(\hat{G})$ .

Concretely, a TRSELP consists of the following data:

1. The restriction to the inertia  $\varphi|_{I_F}$ , which is essentially a direct sum of characters of some  $\mathbb{F}_{q^n}^*$  (think about the example of  $GL_n$ ). Indeed,  $I_F/P_F \cong \varprojlim \mathbb{F}_{q^n}^*$  and that

$$\text{Hom}_{\text{Cont}}(I_F/P_F, \overline{\mathbb{F}}_\ell^*) \cong \text{Hom}_{\text{Cont}}(\varprojlim \mathbb{F}_{q^n}^*, \overline{\mathbb{F}}_\ell^*) \cong \varinjlim \text{Hom}_{\text{Cont}}(\mathbb{F}_{q^n}^*, \overline{\mathbb{F}}_\ell^*).$$

In particular, it factors through (the  $\overline{\mathbb{F}}_\ell$ -points of) some maximal torus, say  $S$ . Then  $\varphi$  being regular semisimple means that  $C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F)) = S$ .

2. The image of the Frobenius  $\varphi(\text{Fr})$ , which turns out to be an element of the normalizer  $N_{\hat{G}(\overline{\mathbb{F}}_\ell)}(S)$  (Since  $\text{Fr} \cdot s \cdot \text{Fr}^{-1} = s^q \in I_t$  for any  $s \in I_t$  implies that  $\varphi(\text{Fr})$  normalizes  $C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F)) = S$ ). And “elliptic” means that the center  $Z(\hat{G})$  has finite index in the centralizer  $C_{\hat{G}}(\varphi)$ . As we will see later, ellipticity implies that  $\hat{G}(\overline{\mathbb{F}}_\ell)$  acts transitively on the connected component  $X_\varphi(\overline{\mathbb{F}}_\ell)$  of the moduli space of  $L$ -parameters containing  $\varphi$  (see the proof of Lemma 2.2.3), which is essential for the description (roughly, see Theorem 2.2.2 for the precise statement)

$$[X_\varphi/\hat{G}] \cong [*/S_\varphi],$$

where  $S_\varphi = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(W_F))$  is the centralizer of the whole  $L$ -parameter  $\varphi$ .

**Example 2.1.5.** *For  $G = GL_n$ , a TRSELP is the same as an irreducible tame  $L$ -parameter. See Section 4.1 for the irreducible tame  $L$ -parameters of  $GL_n$  expressed in explicit matrices.*

*Remark 2.1.6.*

1. Let  $A \in \{\overline{\mathbb{Z}}_\ell, \overline{\mathbb{Q}}_\ell, \overline{\mathbb{F}}_\ell\}$ . It is important for our purpose to distinguish between the set-theoretic centralizer (for example,  $C_{\hat{G}(A)}(\varphi(W_F))$ ) and the schematic centralizer (for example,  $C_{\hat{G}}(\varphi)$ ). However, we might still use  $\hat{G}$  to mean  $\hat{G}(A)$  sometimes by

abuse of notation, which we hope the reader can recognize. One reason for doing so is that  $\hat{G}$  is split over  $A$ ; hence,  $\hat{G}$  is completely determined by its  $A$ -points. Many statements can either be phrased in terms of the  $A$ -scheme or its  $A$ -points (for example, 4 and 5 in Definition 2.1.4).

2. As we will see later in Theorem 2.1.8,  $S = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F))$  turns out to be the  $\overline{\mathbb{F}}_\ell$ -points of the split torus  $T = C_{\hat{G}}(\psi|_{I_F^\ell})$  for some lift  $\psi$  of  $\varphi$  over  $\overline{\mathbb{Z}}_\ell$ .

### 2.1.3 Description of the component

Now let us fix a TRSELF  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$ . Pick any lift  $\psi \in Z^1(W_F, \hat{G}(\overline{\mathbb{Z}}_\ell))$  of  $\varphi$ , whose existence is ensured by the flatness of  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  (see Lemma 2.1.12). Let  $\psi_\ell := \psi|_{I_F^\ell}$  denote the restriction of  $\psi$  to the prime-to- $\ell$  inertia  $I_F^\ell$ . Note that  $\psi \in Z^1(W_F, \hat{G})$  factors through  $N_{\hat{G}}(\psi_\ell)$  (since  $I_F^\ell$  is normal in  $W_F$ ). Let  $\overline{\psi}$  denote the image of  $\psi$  in  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$ . Let  $X_\varphi$  be the connected component of  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  containing  $\varphi$ . Note that  $X_\varphi$  also contains  $\psi$  since  $\psi$  specializes to  $\varphi$ . Therefore, we sometimes also denote  $X_\varphi$  as  $X_\psi$ . Such a component is referred to as a TRSELF component.

We shall compute  $X_\psi$  directly using the theory developed in [Dat22, Section 4]. One might want to compare with the example of  $GL_2$  (see Example 2.1.9) to understand what is happening below.

It turns out that the component  $X_\varphi = X_\psi$  of  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  consists of the  $L$ -parameters whose restriction to  $I_F^\ell$  and whose image in  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  is  $\hat{G}$ -conjugate to  $(\psi_\ell, \overline{\psi})$ . This is the content of the next lemma.

**Lemma 2.1.7.** *We have an isomorphism of schemes*

$$X_\psi \cong \left( \hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}} \right) / C_{\hat{G}}(\psi_\ell)_{\overline{\psi}} \quad g\eta(-)g^{-1} \leftrightarrow (g, \eta), \quad (2.1.7)$$

where  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}}$  denotes the space of cocycles whose restriction to  $I_F^\ell$  equals  $\psi_\ell$  and whose image in  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  is  $\overline{\psi}$ ; where  $C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$  is the (schematic) stabilizer of  $\overline{\psi}$  in  $C_{\hat{G}}(\psi_\ell)$ ; and where  $C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$  acts on  $(\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}})$  by

$$(t, (g, \psi')) \mapsto (gt^{-1}, t\psi'(-)t^{-1}),$$

where  $t \in C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$  and  $(g, \psi') \in (\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}})$ .

*Proof.* This is proven in [Dat22, Subsection 4.6].<sup>3</sup> As a rough outline, we first notice that  $Z^1(W_F, \hat{G})_{[\psi_\ell]}$ , the space of cocycles whose restriction to  $I_F^\ell$  is  $\hat{G}$ -conjugate to  $\psi_\ell$ , is open and closed in  $Z^1(W_F, \hat{G})$ .<sup>4</sup> Next, we notice that  $g\eta(-)g^{-1} \leftrightarrow (g, \eta)$  defines an isomorphism

$$Z^1(W_F, \hat{G})_{[\psi_\ell]} \cong \left( \hat{G} \times Z^1(W_F, \hat{G})_{\psi_\ell} \right) / C_{\hat{G}}(\psi_\ell),$$

<sup>3</sup>To apply [Dat22, Subsection 4.6], we need to ensure that the center of  $H^0$  in Dat's notation is smooth over  $\overline{\mathbb{Z}}_\ell$  so that we can find a lifting  $\psi$  such that  $\psi$  fixes a Borel pair of  $H^0$ . However, this is automatic in our case because here  $H^0 = N_{\hat{G}}(\psi_\ell)^0$  will turn out to be a torus (see the proof of Theorem 2.1.8).

<sup>4</sup>This is done by considering the restriction map  $Z^1(W_F, \hat{G}) \rightarrow Z^1(I_F^\ell, \hat{G})$ , since we know the connected components of  $Z^1(I_F^\ell, \hat{G})$  quite well, thanks to [Dat22, Theorem 4.2]. Note that  $I_F^\ell$  has pro-order prime to  $\ell$ , so that we can apply [Dat22, Theorem 4.2]. This is the reason that we consider  $I_F^\ell$ , the maximal subgroup of  $W_F$  with pro-order prime to  $\ell$ .

where  $Z^1(W_F, \hat{G})_{\psi_\ell}$  is the space of cocycles whose restriction to  $I_F^\ell$  is  $\psi_\ell$ . Thus,  $\psi$  is contained in the open and closed subscheme  $Z^1(W_F, \hat{G})_{[\psi_\ell]}$  of  $Z^1(W_F, \hat{G})$ . However,  $Z^1(W_F, \hat{G})_{[\psi_\ell]}$  is usually not connected, since it maps to the discrete space

$$Z^1(W_F/P_F, \pi_0(N_{\hat{G}}(\psi_\ell))).$$

Nevertheless, it turns out that this is the only obstruction for being connected, i.e., if we moreover consider the subspace of  $Z^1(W_F, \hat{G})_{[\psi_\ell]}$  that consists of  $L$ -parameters whose image in  $Z^1(W_F/P_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  is  $\bar{\psi}$ , it becomes connected. Therefore, we obtain the desired formula (2.1.7).  $\square$

**Theorem 2.1.8.** *Let  $\varphi \in Z^1(W_F, \hat{G}(\bar{\mathbb{F}}_\ell))$  be a TRSELP over  $\bar{\mathbb{F}}_\ell$ . Let  $\psi \in Z^1(W_F, \hat{G}(\bar{\mathbb{Z}}_\ell))$  be any lifting of  $\varphi$ . Then the connected component  $X_\varphi = X_\psi$  of  $Z^1(W_F, \hat{G})_{\bar{\mathbb{Z}}_\ell}$  containing  $\varphi$  is isomorphic to*

$$\left( \hat{G} \times C_{\hat{G}}(\psi_\ell)^0 \times \mu \right) / C_{\hat{G}}(\psi_\ell)_{\bar{\psi}},$$

where

1.  $C_{\hat{G}}(\psi_\ell)^0$  is the identity component of the schematic centralizer  $C_{\hat{G}}(\psi_\ell)$ . In addition,  $C_{\hat{G}}(\psi_\ell) = C_{\hat{G}}(\psi_\ell)^0$  is a split torus  $T$  over  $\bar{\mathbb{Z}}_\ell$  with  $\bar{\mathbb{F}}_\ell$ -points  $S = C_{\hat{G}}(\bar{\mathbb{F}}_\ell)(\varphi(I_F))$ .
2.  $\mu := (T^{\text{Fr}=(-)^q})^0$  is the identity component of  $T^{\text{Fr}=(-)^q}$  <sup>5</sup> containing 1, which is a product of some  $\mu_{\ell^{k_i}}$  (the group scheme of  $\ell^{k_i}$ -th roots of unity over  $\bar{\mathbb{Z}}_\ell$ ),  $k_i \in \mathbb{Z}_{\geq 0}$ . <sup>6</sup>

In other words, we have the following isomorphism of schemes over  $\bar{\mathbb{Z}}_\ell$ :

$$X_\varphi \cong \left( \hat{G} \times T \times \mu \right) / T.$$

We will specify in the next subsection what the  $T$ -action on  $\left( \hat{G} \times T \times \mu \right)$  is.

*Proof.* We begin with an outline of the proof. The idea is to use the formula (2.1.7). We shall express the terms  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \bar{\psi}}$  and  $C_{\hat{G}}(\psi_\ell)_{\bar{\psi}}$  on the right hand side of (2.1.7) explicitly.

1. We show that  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \bar{\psi}}$  is isomorphic to  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_{1_{I_F^\ell}}$ , a certain subspace of the space of cocycles of the identity component  $N_{\hat{G}}(\psi_\ell)^0$  of  $N_{\hat{G}}(\psi_\ell)$ .
2. We show that  $C_{\hat{G}}(\psi_\ell)$  is a split torus over  $\bar{\mathbb{Z}}_\ell$  and that  $N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)$ .
3. Now we know that  $N_{\hat{G}}(\psi_\ell)^0$  is a torus, and we can compute  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)$ , as in Example 2.1.1.
4. We compute  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_{1_{I_F^\ell}}$  as the identity component of  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)$ .
5. We show that  $C_{\hat{G}}(\psi_\ell)_{\bar{\psi}} = C_{\hat{G}}(\psi_\ell)$ .

<sup>5</sup>This is the subscheme of  $T$  on which  $\text{Fr}$  acts by raising to  $q$ -th power, see Equation (2.1.6). See also [Dat22, Example 3.14].

<sup>6</sup>Note that  $\mu$  can be trivial, depending on  $\hat{G}$  and some congruence relations between  $q, \ell$ .



(**Step 1**) We show that  $\eta.\psi \leftarrow \eta$  defines an isomorphism

$$Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \bar{\psi}} \cong Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell)^0)_{1_{I_F^\ell}} =: Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell)^0)_1$$

where  $Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell))$  means the space of cocycles with  $W_F$  acting on  $N_{\hat{G}}(\psi_\ell)$  via conjugacy action through  $\psi$ , and the subscript  $1_{I_F^\ell}$  or  $1$  means the cocycles whose restriction to  $I_F^\ell$  is trivial. Indeed, this is clear by unraveling the definitions: two cocycles whose restriction to  $I_F^\ell$  are both  $\psi_\ell$  differ by something whose restriction to  $I_F^\ell$  is trivial; two cocycles whose pushforward to  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  are both  $\bar{\psi}$  differ by something whose pushforward to  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  is trivial, i.e., which factors through the identity component  $N_{\hat{G}}(\psi_\ell)^0$ .

(**Step 2**) We show that  $C_{\hat{G}}(\psi_\ell)$  is a split torus over  $\bar{\mathbb{Z}}_\ell$  and that  $N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)$ . By [Dat22, Subsection 3.1], the centralizer  $C_{\hat{G}}(\psi_\ell)$  is generalized reductive (see Lemma 2.1.13), hence split over  $\bar{\mathbb{Z}}_\ell$ , and  $N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)^0$ . Therefore, we can determine  $C_{\hat{G}}(\psi_\ell)$  by computing its  $\bar{\mathbb{F}}_\ell$ -points. Indeed,

$$C_{\hat{G}}(\psi_\ell)(\bar{\mathbb{F}}_\ell) = C_{\hat{G}(\bar{\mathbb{F}}_\ell)}(\varphi(I_F^\ell)) = C_{\hat{G}(\bar{\mathbb{F}}_\ell)}(\varphi(I_F)),$$

where the last equality follows since  $I_F/I_F^\ell$  does not contribute to the image of  $\varphi$  (see Lemma 2.1.15). Therefore,  $C_{\hat{G}}(\psi_\ell)$  is a split torus over  $\bar{\mathbb{Z}}_\ell$  with  $\bar{\mathbb{F}}_\ell$ -points  $S = C_{\hat{G}(\bar{\mathbb{F}}_\ell)}(\varphi(I_F))$ . Denote  $T = C_{\hat{G}}(\psi_\ell)$ . In particular,  $C_{\hat{G}}(\psi_\ell)$  is connected; hence,

$$N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell) = T. \quad (2.1.8)$$

(**Step 3**) We compute that

$$Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell)^0) = Z^1_{Ad(\psi)}(W_F, T) \cong T \times T^{\text{Fr}=(-)^q},$$

where the last isomorphism is given by  $\eta \mapsto (\eta(\text{Fr}), \eta(s_0))$ , where  $s_0 \in W_t^0$  is the topological generator of  $I_t$  fixed before (see [Dat22, Example 3.14]).

(**Step 4**) We show that the identity component of  $T^{\text{Fr}=(-)^q}$  gives  $\mu$  in the statement of the theorem. Note that  $T^{\text{Fr}=(-)^q}$  is a diagonalizable group scheme over  $\bar{\mathbb{Z}}_\ell$  of dimension zero (this can be seen either by  $\dim Z^1(W_F/P_F, T) = \dim T$ , or by noticing that  $\eta(s_0) \in T^{\text{Fr}=(-)^q}$  is semisimple with finitely many possible eigenvalues), hence of the form  $\prod_i \mu_{n_i}$  for some  $n_i \in \mathbb{Z}_{\geq 0}$ . Hence its identity component  $(T^{\text{Fr}=(-)^q})^0$  over  $\bar{\mathbb{Z}}_\ell$  is of the form  $\prod_i \mu_{\ell^{k_i}}$ , with  $k_i$  maximal such that  $\ell^{k_i}$  divides  $n_i$ . Therefore,

$$Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong (T \times T^{\text{Fr}=(-)^q})^0 \cong T \times (T^{\text{Fr}=(-)^q})^0 \cong T \times \mu,$$

(see Lemma 2.1.16 for the first isomorphism) where  $\mu$  is of the form  $\prod_i \mu_{\ell^{k_i}}$ .

(**Step 5**) We show that  $C_{\hat{G}}(\psi_\ell)_{\bar{\psi}} = C_{\hat{G}}(\psi_\ell)$ . Recall that  $C_{\hat{G}}(\psi_\ell)$  acts on  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))$  by conjugation, inducing an action of  $C_{\hat{G}}(\psi_\ell)$  on  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$ . In addition,  $C_{\hat{G}}(\psi_\ell)_{\bar{\psi}}$  is by definition the stabilizer of  $\bar{\psi} \in Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$  in  $C_{\hat{G}}(\psi_\ell)$ . Now  $C_{\hat{G}}(\psi_\ell) = T$  is connected, hence acting trivially on the component group  $\pi_0(N_{\hat{G}}(\psi_\ell))$  and acting trivially on  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$ . Therefore, the stabilizer  $C_{\hat{G}}(\psi_\ell)_{\bar{\psi}} = C_{\hat{G}}(\psi_\ell)$ .

Above all, we have

$$X_\varphi \cong (\hat{G} \times Z^1_{Ad(\psi)}(W_F, N_{\hat{G}}(\psi_\ell)^0)_1) / C_{\hat{G}}(\psi_\ell)_{\bar{\psi}} \cong (\hat{G} \times T \times \mu) / T. \quad (2.1.9)$$

□

**Example 2.1.9.** Let  $p = q = 11, \ell = 5, G = GL_2$ .<sup>7</sup> Let  $F_2$  be the unique degree 2 unramified extension of  $F$ . Then the Weil group of  $F_2$  is  $W_{F_2} \cong I_F \rtimes \langle \text{Fr}^2 \rangle$ .

We define a tame character  $\eta : W_{F_2}/P_F \rightarrow \overline{\mathbb{F}}_\ell^*$  as follows. It suffices to define  $\eta$  on  $I_F/P_F$  and  $\langle \text{Fr}^2 \rangle$  respectively. Let

$$\eta|_{I_F/P_F} : I_F/P_F \cong \prod_{p' \neq 11} \mathbb{Z}_{p'} \rightarrow \mathbb{Z}_3 \rightarrow \mathbb{Z}/3\mathbb{Z} \rightarrow \overline{\mathbb{F}}_5^*$$

be the composition, where the last map is a non-trivial character  $\chi : \mathbb{Z}/3\mathbb{Z} \rightarrow \overline{\mathbb{F}}_5^*$ . Let  $\eta(\text{Fr}^2) := 1$ .

Let  $\varphi := \text{Ind}_{W_{F_2}}^{W_F} \eta$ .  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  is an irreducible tame L-parameter, hence a TRSELP of  $G = GL_2$ .

To compute the connected component of  $Z^1(W_F, \hat{G})$  containing  $\varphi$  over  $\overline{\mathbb{Z}}_\ell$ , let us choose a lift  $\psi$  of  $\varphi$ , as follows. First, let us define a lift  $\tilde{\eta} : W_{F_2}/P_F \rightarrow \overline{\mathbb{Z}}_\ell^*$  of  $\eta$ , as follows. Let

$$\tilde{\eta}|_{I_F/P_F} : I_F/P_F \cong \prod_{p' \neq 11} \mathbb{Z}_{p'} \rightarrow \mathbb{Z}_3 \rightarrow \mathbb{Z}/3\mathbb{Z} \rightarrow \overline{\mathbb{Z}}_5^*$$

be the composition, where the last map is a non-trivial character  $\tilde{\chi} : \mathbb{Z}/3\mathbb{Z} \rightarrow \overline{\mathbb{Z}}_5^*$  lifting  $\chi$ . Let  $\tilde{\eta}(\text{Fr}^2) := 1$ . Next, define  $\psi := \text{Ind}_{W_{F_2}}^{W_F} \tilde{\eta}$ .

Under a nice basis, we can express  $\psi : W_F \rightarrow GL_2(\overline{\mathbb{Z}}_\ell)$  in terms of matrices, as follows:

$$\psi(s_0) = \begin{pmatrix} \tilde{\chi}(1) & 0 \\ 0 & \tilde{\chi}^q(1) \end{pmatrix} = \begin{pmatrix} \zeta_3 & 0 \\ 0 & \zeta_3^2 \end{pmatrix} \quad \psi(\text{Fr}) = \begin{pmatrix} 0 & 1 \\ \tilde{\eta}(\text{Fr}^2) & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

where  $\zeta_3$  is a primitive 3-rd root of unity of  $\overline{\mathbb{Z}}_\ell$ .

Recall that

$$X_\varphi \cong (\hat{G} \times Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1) / C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}.$$

We see that

$$\psi(I_F^\ell) = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} \zeta_3 & 0 \\ 0 & \zeta_3^2 \end{pmatrix}, \begin{pmatrix} \zeta_3^2 & 0 \\ 0 & \zeta_3 \end{pmatrix} \right\}.$$

In this case,  $T = C_{\hat{G}}(\psi_\ell)$  is the diagonal torus of  $GL_2$ ,  $N_{\hat{G}}(\psi_\ell)$  is the normalizer of  $T$ ,  $N_{\hat{G}}(\psi_\ell)^0 = T$ , and  $C_{\hat{G}}(\psi_\ell)_{\overline{\psi}} = T$  since  $T = C_{\hat{G}}(\psi_\ell)$  fixes  $\overline{\psi}$ .

It remains to compute  $Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong Z_{\text{Ad}(\psi)}^1(W_F, T)_1$ . We first compute  $Z_{\text{Ad}(\psi)}^1(W_F, T)$  (without the subscript 1). Indeed, by Equation (2.1.6),

$$Z_{\text{Ad}(\psi)}^1(W_F, T) \cong T \times T^{\text{Fr}=(-)^q},$$

where  $T^{\text{Fr}=(-)^q} := \{x \in T \mid \text{Fr}.x = x^q\}$ . In the case of  $Z_{\text{Ad}(\psi)}^1(W_F, T)$ , the  $W_F$ -action on  $T$  is conjugation through  $\psi$ , so

$$T^{\text{Fr}=(-)^q} = \{x \in T \mid \psi(\text{Fr})x\psi(\text{Fr})^{-1} = x^q\} = \left\{ \begin{pmatrix} t & 0 \\ 0 & t^q \end{pmatrix} \mid t^{q^2-1} = 1 \right\} \cong \mu_{q^2-1} = \mu_{120}.$$

<sup>7</sup>They are chosen such that  $\mu$  turns out to be non-trivial.

$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1$  turns out to be the connected component of  $Z_{Ad(\psi)}^1(W_F, T) \cong T \times T^{\text{Fr}=(-)^q}$  containing 1. In our case,

$$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong (T \times \mu_{120})^0 \cong T \times \mu_5.$$

Above all,

$$X_\varphi \cong (\hat{G} \times T \times \mu_5)/T,$$

where  $T$  is the diagonal torus of  $\hat{G} = GL_2$ .

#### 2.1.4 The $T$ -action on $(\hat{G} \times T \times \mu)$

We continue with the notations from the last subsection. Recall that  $T := C_{\hat{G}}(\psi|_{I_F^\ell})$  and  $\mu := (T^{\text{Fr}=(-)^q})^0$ . The goal of this subsection is to specify the  $T$ -action on  $(\hat{G} \times T \times \mu)$ . Before that, let us record a lemma on several equivalent definitions of  $T$ .

**Lemma 2.1.10.**  $T := C_{\hat{G}}(\psi|_{I_F^\ell}) = C_{\hat{G}}(\psi|_{I_F^\ell})^0 = C_{\hat{G}}(\psi|_{I_F})$ .

*Proof.* We have seen the first equality in Equation (2.1.8). To see that  $C_{\hat{G}}(\psi|_{I_F^\ell}) = C_{\hat{G}}(\psi|_{I_F})$ , we first note that  $C_{\hat{G}}(\psi|_{I_F}) \subseteq C_{\hat{G}}(\psi|_{I_F^\ell}) =: T$  is included in a commutative group scheme. Since  $\psi|_{I_F}$  factors through the abelian group  $I_F/P_F$ ,

$$\psi(I_F) \subseteq C_{\hat{G}}(\psi|_{I_F}) \subseteq T.$$

Therefore,  $C_{\hat{G}}(\psi|_{I_F}) \supseteq T$  since  $T$  is commutative, and hence

$$C_{\hat{G}}(\psi|_{I_F}) = T.$$

□

Now let us make explicit the  $T$ -action on  $(\hat{G} \times T \times \mu)$ .

First, recall (see [Dat22, Subsection 4.6]) that the component  $X_\varphi = X_\psi$  consists of the  $L$ -parameters  $\psi'$  such that  $(\psi'_\ell, \overline{\psi'})$  is  $\hat{G}$ -conjugate to  $(\psi_\ell, \overline{\psi})$ . Hence  $X_\varphi$  is isomorphic to

$$(\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}})/C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$$

via  $g\eta(-)g^{-1} \mapsto (g, \eta)$ , with  $C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$  acting on  $(\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}})$  by

$$(t, (g, \psi')) \mapsto (gt^{-1}, t\psi'(-)t^{-1}),$$

where  $t \in C_{\hat{G}}(\psi_\ell)_{\overline{\psi}} \cong T$  and  $(g, \psi') \in (\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}})$ .

Next, recall that  $\eta \cdot \psi \mapsto \eta \mapsto (\eta(\text{Fr}), \eta(s_0))$  induces isomorphisms

$$Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}} \cong Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong T \times \mu. \quad (2.1.10)$$

For clarification, let us denote  $T$  by  $T_1$  when we consider  $T$  as  $C_{\hat{G}}(\psi_\ell)_{\overline{\psi}}$ , and denote  $T$  by  $T_2$  when we consider  $T$  as a summand of  $Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1$  (corresponding to the image of  $\text{Fr}$ ) via (2.1.10). We are going to make explicit the  $T_1$ -action on  $(\hat{G} \times T_2 \times \mu)$ .

Let us focus on the isomorphism  $\eta.\psi \leftarrow \eta$ :

$$Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \bar{\psi}} \cong Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1.$$

Recall that  $T_1 \subseteq \hat{G}$  acts on  $Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \bar{\psi}}$  via conjugation. Hence, the above isomorphism induces an  $T_1$ -action on  $Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1$ , by

$$(t, \eta) \mapsto (t(\eta\psi(-))t^{-1})\psi^{-1}. \quad (2.1.11)$$

Hence in  $(\hat{G} \times T_2 \times \mu)/T_1$ , we compute by tracking the above isomorphisms that

1.  $T_1$  acts on  $\hat{G}$  via  $(t, g) \mapsto gt^{-1}$ .
2.  $T_1$  acts on  $T_2 \subseteq (T_2 \times \mu)$  (corresponding to  $\eta(\text{Fr})$ ) by twisted conjugacy (due to the isomorphisms  $\eta.\psi \leftarrow \eta \mapsto (\eta(\text{Fr}), \eta(s_0))$ ), i.e.,

$$(t, t') \mapsto (t(t'n)t^{-1})n^{-1} = tt'(nt^{-1}n^{-1}) = t(nt^{-1}n^{-1})t' = (tnt^{-1}n^{-1})t',$$

where  $n = \psi(\text{Fr})$ ; Note that  $n$ , a priori lies in  $\hat{G}$ , actually lies in  $N_{\hat{G}}(T)$  (since  $\text{Fr}.s.\text{Fr}^{-1} = s^q$  implies that  $\psi(\text{Fr})$  normalizes  $C_{\hat{G}}(\psi|_{I_F^\ell}) = T$ ). To summarize,  $t \in T_1$  acts on  $T_2$  via multiplication by  $tnt^{-1}n^{-1}$ .

3.  $T_1$  acts trivially on  $\mu \subseteq (T_2 \times \mu)$  (corresponding to  $\eta(s_0)$ ). Indeed,  $\eta(s_0) \in T$  and  $\psi(s_0) \in T$ . Therefore, the twisted (by  $\psi$ ) conjugacy action (2.1.11) of  $T_1$  on  $\mu$  is trivial.

On the other hand, recall that we have the natural  $\hat{G}$ -action on  $Z^1(W_F, \hat{G})$  by conjugation, hence the  $\hat{G}$ -action on this component  $X_\varphi$ . Under the isomorphism  $X_\varphi \cong (\hat{G} \times T_2 \times \mu)/T_1$ , the  $\hat{G}$ -action becomes

$$(g', (g, t, m)) \mapsto (g'g, t, m), \text{ for any } g' \in \hat{G} \text{ and } (g, t, m) \in (\hat{G} \times T_2 \times \mu)/T_1.$$

Note that the  $T_1$ -action and the  $\hat{G}$ -action on  $(\hat{G} \times T_2 \times \mu)$  commute with each other; we thus have the following:<sup>8</sup>

**Proposition 2.1.11.**

$$[X_\varphi/\hat{G}] = \left[ \left( (\hat{G} \times T \times \mu)/T \right) / \hat{G} \right] \cong \left[ \left( (\hat{G} \times T \times \mu)/\hat{G} \right) / T \right] \cong [(T \times \mu)/T],$$

with  $t \in T$  acting on  $T$  via multiplication by  $tnt^{-1}n^{-1}$ , and  $t \in T$  acting trivially on  $\mu$ .

### 2.1.5 Some lemmas

**Lemma 2.1.12.** *Let  $\varphi' \in Z^1(W_t, \hat{G}(\overline{\mathbb{F}}_\ell))$ . Then there exists  $\psi' \in Z^1(W_t, \hat{G}(\overline{\mathbb{Z}}_\ell))$  such that  $\psi'$  is a lift of  $\varphi'$ .*

<sup>8</sup>Since we have specified the action of  $T_1 = T$  on  $T_2 = T$ , we go back to the notation  $T$  in the statement of Proposition 2.1.11.

*Proof.* In the statement,  $Z^1(W_t, \hat{G})$  is the abbreviation for  $Z^1(W_t, \hat{G})_{\overline{\mathbb{Z}}_\ell}$ . Recall that  $Z^1(W_t, \hat{G}) \rightarrow \overline{\mathbb{Z}}_\ell$  is flat (see [Dat22, Proposition 3.3]), hence generalizing (see [SP, Stack, Tag 01U2]). Therefore, given  $\varphi' \in Z^1(W_t, \hat{G}(\overline{\mathbb{F}}_\ell))$ , there exists  $\xi \in Z^1(W_t, \hat{G}(\overline{\mathbb{Q}}_\ell))$  such that  $\xi$  specializes to  $\varphi'$ . In other words,  $\ker(\xi) \subseteq \ker(\varphi')$ . We will show that  $\xi : W_t \rightarrow \hat{G}(\overline{\mathbb{Q}}_\ell)$  factors through  $\hat{G}(\overline{\mathbb{Z}}_\ell)$ .

This is true by the following more general statement: Let  $Y = \text{Spec}(R)$  be an affine scheme over  $\overline{\mathbb{Z}}_\ell$ , let  $y_\eta \in Y(\overline{\mathbb{Q}}_\ell)$  specializing to  $y_s \in Y(\overline{\mathbb{F}}_\ell)$ . Then,  $y_\eta \in Y(\overline{\mathbb{Q}}_\ell) = \text{Hom}(R, \overline{\mathbb{Q}}_\ell)$  factors through  $\overline{\mathbb{Z}}_\ell$ .

To prove the above statement, let  $\mathfrak{p} := \ker(y_\eta)$  and  $\mathfrak{q} := \ker(y_s)$  be the corresponding prime ideals. Then “ $y_\eta$  specializes to  $y_s$ ” translates to “ $\mathfrak{p} \subseteq \mathfrak{q}$ ”. Recall that we are going to show that  $y_\eta : R \rightarrow \overline{\mathbb{Q}}_\ell$  factors through  $\overline{\mathbb{Z}}_\ell$ . We argue by contradiction. Otherwise there is some element  $f \in R$  mapping to  $\ell^{-m}u$  for some  $m \in \mathbb{Z}_{\geq 1}$  and  $u \in \overline{\mathbb{Z}}_\ell^*$ . Hence

$$\ell^m u^{-1} f - 1 \in \ker(y_\eta) \subseteq \ker(y_s). \quad (2.1.12)$$

However,  $\ell \in \ker(y_s)$  since  $y_s$  lives on the special fiber. This together with equation (2.1.12) implies that  $1 \in \ker(y_s)$ . Contradiction!  $\square$

**Lemma 2.1.13.** *The schematic centralizer  $C_{\hat{G}}(\psi_\ell)$  is a generalized reductive group scheme over  $\overline{\mathbb{Z}}_\ell$ .*

*Proof.* The idea is to invoke [Dat22, Lemma 3.2]. We first note that

$$C_{\hat{G}}(\psi_\ell) = C_{\hat{G}}(\psi(I_F^\ell)),$$

where  $C_{\hat{G}}(\psi(I_F^\ell))$  is the schematic centralizer of the subgroup  $\psi(I_F^\ell) \subseteq \hat{G}(\overline{\mathbb{Z}}_\ell)$  in  $\hat{G}$ . Indeed, this can be checked by the Yoneda Lemma on  $R$ -valued points for any  $\overline{\mathbb{Z}}_\ell$ -algebra  $R$ .

Then, we can conclude by [Dat22, Lemma 3.2]. Indeed,  $\psi_\ell$  factors through some finite quotient  $Q$  of  $I_F^\ell$ , which has order invertible in the base  $\overline{\mathbb{Z}}_\ell$ . Therefore, the assumptions of [Dat22, Lemma 3.2] are satisfied (for details, see Remark 2.1.14 below).  $\square$

*Remark 2.1.14.* 1. While [Dat22, Lemma 3.2] is phrased in the setting that  $R$  is a normal subring of a number field, it still works for  $\overline{\mathbb{Z}}_\ell \subseteq \overline{\mathbb{Q}}_\ell$  instead of  $\mathbb{Z} \subseteq \mathbb{Q}$ . Indeed,  $\psi_\ell$  factors through some finite quotient  $Q$  of  $I_F^\ell$ , say of order  $|Q| = N$  (note that  $N$  is coprime to  $\ell$  since  $Q$  is a quotient of  $I_F^\ell$ ). Then we can use [Dat22, Lemma 3.2] to conclude that  $C_{\hat{G}}(\psi_\ell)$  is generalized reductive over  $\mathbb{Z}[1/pN]$ . Hence  $C_{\hat{G}}(\psi_\ell)$  is also generalized reductive over  $\overline{\mathbb{Z}}_\ell$  by base change.

2. There is also a small issue that  $\overline{\mathbb{Z}}_\ell$  is not finite over  $\mathbb{Z}_\ell$ , but this can be resolved since everything is already defined over some sufficiently large finite extension  $\mathcal{O}$  of  $\mathbb{Z}_\ell$ .

**Lemma 2.1.15.**

$$C_{\hat{G}}(\psi_\ell)(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F^\ell)) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F)).$$

*Proof.* The first equation is by definition of the schematic centralizer and that  $C_{\hat{G}}(\psi_\ell)$  represents the set-theoretic centralizer. See Definition 2.1.2.

For the second equation, one way to conclude is using Lemma 2.1.10. Alternatively, note that  $\varphi|_{I_t} = \gamma_1 + \dots + \gamma_d$  is a direct sum of characters (since  $I_t \cong \prod_{p' \neq p} \mathbb{Z}_{p'}$ ), so it suffices to show that each  $\gamma_i$  is trivial on the summand  $\mathbb{Z}_\ell$  of  $I_t \cong \prod_{p' \neq p} \mathbb{Z}_{p'}$ . Indeed,

$$\text{Hom}_{\text{Cont}}(\mathbb{Z}_\ell, \overline{\mathbb{F}}_\ell^*) = \text{Hom}_{\text{Cont}}(\varprojlim \mathbb{Z}/\ell^n \mathbb{Z}, \overline{\mathbb{F}}_\ell^*) = \varinjlim \text{Hom}(\mathbb{Z}/\ell^n \mathbb{Z}, \overline{\mathbb{F}}_\ell^*) = \{1\}.$$

□

**Lemma 2.1.16.**  $Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong (T \times T^{\text{Fr}=(-)^q})^0$ .

*Proof.* We have omitted from the notations but here everything is over  $\overline{\mathbb{Z}}_\ell$ . Recall that  $N_{\hat{G}}(\psi_\ell)^0 = C_{\hat{G}}(\psi_\ell)^0 = T$  and that

$$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0) \cong T \times T^{\text{Fr}=(-)^q}.$$

By [Dat22, Section 5.4, 5.5],  $Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1$  is connected (over  $\overline{\mathbb{Z}}_\ell$ ). We need to check here that the assumptions of [Dat22, Section 5.4, 5.5] are satisfied. Indeed, since  $N_{\hat{G}}(\psi_\ell)^0 = T$  is a connected torus, the  $W_t^0$ -action on  $T$  automatically fixes a Borel pair of  $T$ . Moreover,  $s_0$  acts trivially on  $N_{\hat{G}}(\psi_\ell)^0 = T$  via  $\psi$ , so in particular the action of  $s_0$  (which is denoted by  $s$  in [Dat22, Section 5.5]) has order a power of  $\ell$  (which is  $1 = \ell^0$ ).

Therefore,

$$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \subseteq Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)^0 \cong (T \times T^{\text{Fr}=(-)^q})^0.$$

By [Dat22, Section 4.6],

$$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \hookrightarrow Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)$$

is open and closed. Indeed, this can be seen by considering the restriction to the prime-to- $\ell$  inertia  $I_F^\ell$ , and then using [Dat22, Theorem 4.2].

Therefore,

$$Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 = Z_{Ad(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)^0 \cong (T \times T^{\text{Fr}=(-)^q})^0.$$

□

## 2.2 Main Theorem: description of $[X_\varphi/\hat{G}]$

The goal of this section is to describe  $[X_\varphi/\hat{G}]$  explicitly (see Theorem 2.2.2 for the precise statement).

Let  $F$  be a non-archimedean local field,  $G$  be a connected split reductive group over  $F$ . Let  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  be a TRSELF. Recall that this means that the centralizer

$$C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F)) =: S \subseteq \hat{G}(\overline{\mathbb{F}}_\ell)$$

is a maximal torus, and  $\varphi(\text{Fr}) \in N_{\hat{G}}(S)$  gives rise to an element  $w = \overline{\varphi(\text{Fr})} \in N_{\hat{G}}(S)/S$  in the Weyl group (and  $\varphi$  is tame and elliptic).

Let  $\psi \in Z^1(W_F, \hat{G}(\overline{\mathbb{Z}}_\ell))$  be any lifting of  $\varphi$ . Let  $\psi_\ell$  denote the restriction  $\psi|_{I_F^\ell}$ , and  $\overline{\psi}$  denote the image of  $\psi$  in  $Z^1(W_F, \pi_0(N_{\hat{G}}(\psi_\ell)))$ . Recall that the schematic centralizer  $C_{\hat{G}}(\psi_\ell) = T$  is a split torus over  $\overline{\mathbb{Z}}_\ell$  with  $\overline{\mathbb{F}}_\ell$ -points  $C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F)) = S$ .

For later use, we record the following lemma –  $w$  can also be defined in terms of  $\psi$  instead of  $\varphi$ . This is helpful because we will reduce to a computation on the special fiber later. First, notice that since  $T$  is a split torus over  $\overline{\mathbb{Z}}_\ell$  with  $\ell \neq 2$ , we can identify

$$(N_{\hat{G}}(T)/T)(\overline{\mathbb{Z}}_\ell) \cong (N_{\hat{G}}(T)/T)(\overline{\mathbb{F}}_\ell),$$

and denote it by  $\Omega$  (see Lemma 2.2.5 below).<sup>9</sup>

**Lemma 2.2.1.** *The images of  $\varphi(\text{Fr})$  and  $\psi(\text{Fr})$  in the Weyl group  $\Omega$  agree, hence giving a well defined element  $w$  in the Weyl group  $\Omega$ .*

*Proof.* Let

$$\Omega = (N_{\hat{G}}(T)/T)(\overline{\mathbb{Z}}_\ell) = (N_{\hat{G}}(T)/T)(\overline{\mathbb{F}}_\ell)$$

as above and  $\underline{\Omega}$  be the associated constant group scheme (see Lemma 2.2.5 below). Since  $\psi$  is a lift of  $\varphi$ ,  $\psi(\text{Fr})$  specializes to  $\varphi(\text{Fr})$  in  $N_{\hat{G}}(T)$ . Then the lemma follows since the diagram

$$\begin{array}{ccc} N_{\hat{G}}(T)(\overline{\mathbb{Z}}_\ell) & \longrightarrow & N_{\hat{G}}(T)(\overline{\mathbb{F}}_\ell) \\ \downarrow & & \downarrow \\ \underline{\Omega}(\overline{\mathbb{Z}}_\ell) = \Omega & \longrightarrow & \underline{\Omega}(\overline{\mathbb{F}}_\ell) = \Omega \end{array}$$

commutes. □

Our main theorem is the following.

**Theorem 2.2.2.** *Assume that  $Z(\hat{G})$  is finite. Let  $X_\varphi (= X_\psi)$  be the connected component of  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  containing  $\varphi$  (hence also containing  $\psi$ ). Then we have isomorphisms of quotient stacks*

$$[X_\varphi/\hat{G}] \cong [(T \times \mu)/T] \cong [* / C_T(n)] \times \mu \cong [* / S_\psi], \quad (2.2.1)$$

where  $C_T(n)$  is the schematic centralizer of  $n = \psi(\text{Fr})$  in  $T = C_{\hat{G}}(\psi|_{I_F^\ell})$ ,  $\mu = (T^{\text{Fr}=(-)^q}) \cong \prod_{i=1}^m \mu_{\ell^{k_i}}$  for some  $k_i \in \mathbb{Z}_{\geq 1}$ ,  $m \in \mathbb{Z}_{\geq 0}$  is a product of group schemes of roots of unity, and  $S_\psi := C_{\hat{G}}(\psi)$  is the schematic centralizer of  $\psi$  in  $\hat{G}$ .

If we moreover assume that  $\ell$  does not divide the order of  $w = \overline{\varphi(\text{Fr})}$  in the Weyl group  $N_{\hat{G}}(S)/S$ , then

$$[X_\varphi/\hat{G}] \cong [(T \times \mu)/T] \cong [* / \underline{S_\varphi}(\overline{\mathbb{F}}_\ell)] \times \mu,$$

where  $S_\varphi(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(W_F))$ , and  $\underline{S_\varphi}(\overline{\mathbb{F}}_\ell)$  is the corresponding constant group scheme.<sup>10</sup>

*Proof.* Recall that  $X_\varphi$  is isomorphic to the contracted product

$$(\hat{G} \times Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}}) / C_{\hat{G}}(\psi_\ell)_{\overline{\psi}},$$

and that  $\eta.\psi \leftarrow \eta \mapsto (\eta(\text{Fr}), \eta(s_0))$  induces isomorphisms

$$Z^1(W_F, N_{\hat{G}}(\psi_\ell))_{\psi_\ell, \overline{\psi}} \cong Z_{\text{Ad}(\psi)}^1(W_F, N_{\hat{G}}(\psi_\ell)^0)_1 \cong T \times \mu.$$

This implies that  $[X_\varphi/\hat{G}] \cong [(T \times \mu)/T]$  with  $T$  acting on  $T$  by twisted conjugacy:

$$(t, t') \mapsto (t(t'n)t^{-1})n^{-1} = tt'(nt^{-1}n^{-1}) = t(nt^{-1}n^{-1})t' = (tnt^{-1}n^{-1})t',$$

<sup>9</sup>Lemma 2.2.5 below shows that  $N_{\hat{G}}(T)/T$  is representable by a constant group scheme over  $\overline{\mathbb{Z}}_\ell$ . Therefore, we will abuse notations and use  $\Omega, N_{\hat{G}}(T)/T, N_{\hat{G}}(S)/S$  interchangeably.

<sup>10</sup>By abuse of notation, we sometimes denote  $S_\varphi(\overline{\mathbb{F}}_\ell)$  simply by  $S_\varphi$ .

where  $n = \psi(\text{Fr})$ . In other words,  $T$  acts on  $T$  via multiplication by  $tnt^{-1}n^{-1}$ . In addition,  $T$  acts trivially on  $\mu$  (see Proposition 2.1.11). Therefore, we are reduced to computing  $[T/T]$  with respect to a nice action of the split torus  $T$  on  $T$ .

Consider the morphism

$$f : T^{(1)} := T \longrightarrow T =: T^{(2)} \quad s \longmapsto sns^{-1}n^{-1}.^{11}$$

This is surjective on  $\overline{\mathbb{F}}_\ell$ -points by our assumption that  $Z(\hat{G})$  is finite and  $\varphi$  is elliptic (see Lemma 2.2.3 below). Hence  $f$  is an epimorphism in the category of diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes (see Lemma 2.2.3 below). Therefore,  $f$  induces an isomorphism

$$T^{(1)} / \ker(f) \cong T^{(2)} \quad (2.2.2)$$

as diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes. Moreover, if we let  $t \in T$  act on  $T^{(1)}$  by left multiplication by  $t$ , and on  $T^{(2)}$  via multiplication by  $(tnt^{-1}n^{-1})$ , this isomorphism induced by  $f$  is  $T$ -equivariant.

Note that  $T^{(1)} = T$  is commutative, so the  $T$ -action (via multiplication by  $tnt^{-1}n^{-1}$ ) and the  $\ker(f)$ -action (via left multiplication) on  $T$  commute with each other. Hence by the  $T$ -equivariant isomorphism (2.2.2), we have

$$[T/T] = [T^{(2)}/T] \cong \left[ (T^{(1)} / \ker(f)) / T \right] \cong \left[ (T^{(1)} / T) / \ker(f) \right] \cong [* / \ker(f)] = [* / C_T(n)].$$

Moreover, recall that we have  $T := C_{\hat{G}}(\psi|_{I_F^\ell}) = C_{\hat{G}}(\psi|_{I_F})$  (see Lemma 2.1.10). So

$$C_T(n) \cong C_{\hat{G}}(\psi(I_F), \psi(\text{Fr})) \cong C_{\hat{G}}(\psi) =: S_\psi.$$

For the second part of the theorem, see Lemma 2.2.4 below. □

**Lemma 2.2.3.** *The morphism*

$$f : T^{(1)} = T \longrightarrow T = T^{(2)} \quad s \longmapsto sns^{-1}n^{-1}$$

*is epimorphic in the category of diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes. Moreover,  $f$  induces an isomorphism  $T^{(1)} / \ker(f) \cong T^{(2)}$  as diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes.*

*Proof.* Recall that  $T$  is a split torus over  $\overline{\mathbb{Z}}_\ell$ , hence a diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group scheme. Note that  $f$  is a morphism of  $\overline{\mathbb{Z}}_\ell$ -group schemes and hence a morphism of diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes. Recall that the category of diagonalizable  $\overline{\mathbb{Z}}_\ell$ -group schemes is equivalent to the category of abelian groups (see [BCO14, p70, Section 5] or [Con14]) via

$$D \mapsto \text{Hom}_{\overline{\mathbb{Z}}_\ell\text{-GrpSch}}(D, \mathbb{G}_m),$$

and the inverse is given by

$$\overline{\mathbb{Z}}_\ell[M] \longleftarrow M,$$

where  $\overline{\mathbb{Z}}_\ell[M]$  is the group algebra of  $M$  with  $\overline{\mathbb{Z}}_\ell$ -coefficients.

---

<sup>11</sup>For clarification, let us denote the source torus  $T$  as  $T^{(1)}$  and the target torus  $T$  as  $T^{(2)}$ .



Therefore, we can argue in the category of abelian groups via the above equivalence of categories:  $f$  is epimorphic if and only if the map  $f^*$  in the category of abelian groups is monomorphic. Since  $\varphi$  is elliptic and  $Z(\hat{G})$  is finite,  $S_\varphi$  is finite; hence,

$$\ker(f)(\overline{\mathbb{F}}_\ell) = C_T(n)(\overline{\mathbb{F}}_\ell) = S_\varphi(\overline{\mathbb{F}}_\ell)$$

is finite (where the first equality is by definition of  $f$ , and the second equality holds because  $T(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F))$  and  $n = \psi(\text{Fr})$  maps to  $\varphi(\text{Fr}) \in \hat{G}(\overline{\mathbb{F}}_\ell)$  by Lemma 2.2.1). Accordingly,  $\text{coker}(f^*)$  is finite. Therefore,

$$f^* : \text{Hom}(T^{(2)}, \mathbb{G}_m) \rightarrow \text{Hom}(T^{(1)}, \mathbb{G}_m)$$

is injective (i.e., monomorphism). Indeed, otherwise  $\ker(f^*)$  would be a nonzero sub- $\mathbb{Z}$ -module of the finite free  $\mathbb{Z}$ -module  $\text{Hom}(T^{(2)}, \mathbb{G}_m)$ , hence a free  $\mathbb{Z}$ -module of positive rank, which contradicts  $\text{coker}(f^*)$  being finite.

The statement on the quotient follows from the corresponding result in the category of abelian groups:  $f^*$  induces an isomorphism

$$\text{Hom}(T^{(1)}, \mathbb{G}_m) / \text{Hom}(T^{(2)}, \mathbb{G}_m) \cong \text{coker}(f^*)$$

(see [BCO14, p71, Subsection 5.3]). □

**Lemma 2.2.4.** *Assume that  $\ell$  does not divide the order of  $w$  in the Weyl group  $\Omega$ . Then,  $\ker(f) \cong \underline{S_\varphi(\overline{\mathbb{F}}_\ell)}$  is the constant group scheme of the finite abelian group  $S_\varphi(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(W_F))$ .*

*Proof.* We recall the following fact: Let  $H$  be a smooth affine group scheme over some ring  $R$ , let  $\Gamma$  be a finite group whose order is invertible in  $R$ . Then the fixed point functor  $H^\Gamma$  is representable by a scheme which is smooth over  $R$ .

For a proof of the above fact, see [Edi92, Proposition 3.4] or [DHKM20, Lemma A.1, A.13].

In our case, let  $H = T$ ,  $\Gamma = \langle w \rangle$  be the subgroup of the Weyl group  $N_{\hat{G}}(T)/T$  generated by  $w$ . Hence

$$\ker(f) = C_T(n) = H^\Gamma$$

is smooth over  $\overline{\mathbb{Z}}_\ell$ . Therefore,  $\ker(f)$  is finite étale over  $\overline{\mathbb{Z}}_\ell$  (because it is smooth of relative dimension 0 over  $\overline{\mathbb{Z}}_\ell$ , which can be checked on  $\overline{\mathbb{F}}_\ell$ -points). Hence,  $\ker(f)$  is a constant group scheme over  $\overline{\mathbb{Z}}_\ell$ , since  $\overline{\mathbb{Z}}_\ell$  has no non-trivial finite étale covers.

Since  $\ker(f)$  is constant, we can determine it by computing its  $\overline{\mathbb{F}}_\ell$ -points:

$$\ker(f)(\overline{\mathbb{F}}_\ell) = C_{T(\overline{\mathbb{F}}_\ell)}(n) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(W_F)), \quad (2.2.3)$$

where the last equality follows by noticing that  $T(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F))$  and  $n = \psi(\text{Fr})$  maps to  $\varphi(\text{Fr}) \in \hat{G}(\overline{\mathbb{F}}_\ell)$  (by Lemma 2.2.1).

Finally, note that by our TRSELP assumption,  $C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(I_F))$  is (the  $\overline{\mathbb{F}}_\ell$ -points of) a torus. Hence  $S_\varphi(\overline{\mathbb{F}}_\ell) = C_{\hat{G}(\overline{\mathbb{F}}_\ell)}(\varphi(W_F))$  is abelian, hence finite abelian, as we noticed in the proof of the previous lemma that  $S_\varphi(\overline{\mathbb{F}}_\ell)$  is finite (since  $\varphi$  is elliptic and  $Z(\hat{G})$  is finite). □

**Lemma 2.2.5.** *Let  $\hat{G}$  be a connected reductive group scheme over  $\overline{\mathbb{Z}}_\ell$ , and let  $T$  be a maximal torus of  $\hat{G}$ . Then  $N_{\hat{G}}(T)/T$  is split over  $\overline{\mathbb{Z}}_\ell$ .*

*Proof.* By [Con14, Proposition 3.2.8],  $N_{\hat{G}}(T)/C_{\hat{G}}(T)$  is finite étale over  $\overline{\mathbb{Z}}_\ell$  and hence split over  $\overline{\mathbb{Z}}_\ell$ . In our case,  $C_{\hat{G}}(T) = T$  since  $\hat{G}$  is connected (for example, use the third paragraph of the proof of [Con14, Proposition 3.1.12]).  $\square$

## Chapter 3

# Depth-zero regular supercuspidal blocks

The goal of this chapter is to describe the block  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G(F))_{[\pi]}$  (denoted  $\mathcal{C}_{x,1}$  later) of  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G(F))$  containing a depth-zero regular supercuspidal representation  $\pi$ .

Recall that a depth-zero regular supercuspidal representation  $\pi$  is of the form

$$\pi = \text{c-Ind}_{G_x}^{G(F)} \rho,$$

where  $\rho$  is a representation of  $G_x$  whose reduction  $\bar{\rho}$  to the finite reductive group  $\overline{G_x} = G_x/G_x^+$  is supercuspidal.

In the end, assuming that  $G$  is simply connected, the block  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G(F))_{[\pi]}$  would be equivalent to the block  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(\overline{G_x})_{[\bar{\rho}]}$  (denoted  $\mathcal{A}_{x,1}$  later) of  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(\overline{G_x})$  containing  $\bar{\rho}$ . And  $\mathcal{A}_{x,1}$  has an explicit description via the Broué equivalence 3.2.4.

Indeed, let  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G_x)_{[\rho]}$  (denoted  $\mathcal{B}_{x,1}$  later) be the block of  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G_x)$  containing  $\rho$ . It is not hard to see that inflation along  $G_x \rightarrow \overline{G_x}$  induces an equivalence of categories  $\mathcal{A}_{x,1} \cong \mathcal{B}_{x,1}$ . The main theorem we prove in this chapter is that compact induction induces an equivalence of categories

$$\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \cong \mathcal{C}_{x,1}.$$

The proof of this main theorem 3.1.2 would occupy most of this chapter, from Section 3.1 to 3.4. The proof relies on three theorems. In Section 3.1, we prove the main theorem modulo the three theorems. And the proofs of the three theorems are given in Sections 3.2, 3.3, 3.4, respectively.

### 3.1 The compact induction induces an equivalence

In this section, we prove the Main Theorem 3.1.2 modulo Theorem 3.1.3 3.1.4 3.1.5.

Let  $G$  be a connected split reductive group scheme over  $\mathbb{Z}$ , which is semisimple and simply connected. Let  $F$  be a non-archimedean local field, with the ring of integers  $\mathcal{O}_F$  and residue field  $k_F \cong \mathbb{F}_q$  of characteristic  $p$ . For simplicity, we assume that  $q$  is greater than the Coxeter number of  $\overline{G_x}$  for any vertex  $x$  of the Bruhat-Tits building of  $G$  over  $F$  (see Theorem 3.2.4 for reason).

Let  $x$  be a vertex of the Bruhat-Tits building  $\mathcal{B}(G, F)$ . Let  $G_x$  be the parahoric subgroup associated to  $x$ , and  $G_x^+$  be its pro-unipotent radical. Recall that  $\overline{G_x} := G_x/G_x^+$  is a generalized Levi subgroup of  $G(k_F)$  with root system  $\Phi_x$ , see [Rab03, Theorem 3.17].

Let  $\Lambda = \overline{\mathbb{Z}}_\ell$ , with  $\ell \neq p$ . Let  $\rho \in \text{Rep}_\Lambda(G_x)$  be an irreducible representation of  $G_x$ , which is trivial on  $G_x^+$  and whose reduction to the finite group of Lie type  $\overline{G_x} = G_x/G_x^+$  is regular supercuspidal. Here **regular supercuspidal** (see Definition 3.2.8 for precise definition) means that  $\rho$  is supercuspidal and lies in a **regular block** of  $\text{Rep}_\Lambda(\overline{G_x})$ , in the sense of [Bro90]. The reason we want the regularity assumption is that we want to work with a block of  $\text{Rep}_\Lambda(\overline{G_x})$  which consists purely of supercuspidal representations. See Section 3.2 for details. We make this a definition for later use.

**Definition 3.1.1.** *Let  $\rho \in \text{Rep}_\Lambda(G_x)$ . We say  $\rho$  **has supercuspidal reduction** (resp. **has regular supercuspidal reduction**), if  $\rho$  is trivial on  $G_x^+$  and whose reduction to the finite group of Lie type  $\overline{G_x} = G_x/G_x^+$  is supercuspidal (resp. regular supercuspidal). Let us denote the reduction of  $\rho$  modulo  $G_x^+$  by  $\bar{\rho} \in \text{Rep}_\Lambda(\overline{G_x})$ .*

Let  $\mathcal{B}_{x,1}$  be the block of  $\text{Rep}_\Lambda(G_x)$  containing  $\rho$ . Let  $\mathcal{C}_{x,1}$  be the block of  $\text{Rep}_\Lambda(G(F))$  containing  $\pi := \text{c-Ind}_{G_x}^{G(F)} \rho$ . Now we can state the main theorem of this chapter.

**Theorem 3.1.2** (Main Theorem). *Let  $x$  be a vertex of the Bruhat-Tits building  $\mathcal{B}(G, F)$ . Let  $\rho \in \text{Rep}_\Lambda(G_x)$  be a representation which has regular supercuspidal reduction. Let  $\mathcal{B}_{x,1}$  be the block of  $\text{Rep}_\Lambda(G_x)$  containing  $\rho$ . Let  $\mathcal{C}_{x,1}$  be the block of  $\text{Rep}_\Lambda(G(F))$  containing  $\pi := \text{c-Ind}_{G_x}^{G(F)} \rho$ . Then the compact induction  $\text{c-Ind}_{G_x}^{G(F)}$  induces an equivalence of categories  $\mathcal{B}_{x,1} \cong \mathcal{C}_{x,1}$ .*

As mentioned before, the reason we want the regular supercuspidal assumption is the following theorem.

**Theorem 3.1.3.** *Let  $\rho \in \text{Rep}_\Lambda(G_x)$  be an irreducible representation of  $G_x$ , which has regular supercuspidal reduction. Let  $\mathcal{B}_{x,1}$  be the block of  $\text{Rep}_\Lambda(G_x)$  containing  $\rho$ . Then any  $\rho' \in \mathcal{B}_{x,1}$  has supercuspidal reduction.*

The proof of the Main Theorem 3.1.2 splits into two parts – fully faithfulness and essentially surjectivity. It is convenient to have the following theorem available at an early stage, which implies fully faithfulness immediately and is also used in the proof of essentially surjectivity.

**Theorem 3.1.4.** *Let  $x, y$  be two vertices of the Bruhat-Tits building  $\mathcal{B}(G, F)$ . Let  $\rho_1$  be a representation of the parahoric  $G_x$  which is trivial on the pro-unipotent radical  $G_x^+$ . Let  $\rho_2$  be a representation of  $G_y$  which is trivial on  $G_y^+$ . Assume that one of them has supercuspidal reduction. Then exactly one of the following happens:*

1. *If there exists an element  $g \in G(F)$  such that  $g.x = y$ , then*

$$\text{Hom}_G(\text{c-Ind}_{G_x}^{G(F)} \rho_1, \text{c-Ind}_{G_y}^{G(F)} \rho_2) = \text{Hom}_{G_x}(\rho_1, {}^g \rho_2).$$

2. *If there is no elements  $g \in G(F)$  such that  $g.x = y$ , then*

$$\text{Hom}_G(\text{c-Ind}_{G_x}^{G(F)} \rho_1, \text{c-Ind}_{G_y}^{G(F)} \rho_2) = 0.$$

The proof of the above theorem is a computation using Mackey's formula. See Section 3.3.

*Proof of Theorem 3.1.2.* Now we proceed by steps towards our goal: The compact induction  $\text{c-Ind}_{G_x}^{G(F)}$  induces an equivalence of categories  $\mathcal{B}_{x,1} \cong \mathcal{C}_{x,1}$ .

First, we show that  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is well-defined. We need to show that the image of  $\mathcal{B}_{x,1}$  under  $\text{c-Ind}_{G_x}^{G(F)}$  lies in  $\mathcal{C}_{x,1}$ . By Theorem 3.1.3 and Theorem 3.1.4 above,

$$\text{c-Ind}_{G_x}^{G(F)}|_{\mathcal{B}_{x,1}} : \mathcal{B}_{x,1} \rightarrow \text{Rep}_\Lambda(G(F))$$

is fully faithful (see Lemma 3.1.6, note that here we used Theorem 3.1.3 that any representation in  $\mathcal{B}_{x,1}$  has supercuspidal reduction so that we can apply Theorem 3.1.4), hence an equivalence onto the essential image. Since  $\mathcal{B}_{x,1}$  is indecomposable as an abelian category, so is its essential image (see Lemma 3.1.7). Hence, its essential image is contained in a single block of  $\text{Rep}_\Lambda(G(F))$ . But such a block must be  $\mathcal{C}_{x,1}$  since  $\text{c-Ind}_{G_x}^{G(F)}$  maps  $\rho$  to  $\pi \in \mathcal{C}_{x,1}$ . Therefore,  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is well-defined.

Second, we show that  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is fully faithful. This is already noticed in the proof of “well-defined” in the last paragraph. Indeed,

$$\text{Hom}_G(\text{c-Ind}_{G_x}^{G(F)} \rho_1, \text{c-Ind}_{G_x}^{G(F)} \rho_2) = \text{Hom}_{G_x}(\rho_1, \rho_2)$$

by Theorem 3.1.3 and Theorem 3.1.4 (see Lemma 3.1.6.). Therefore,  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is fully faithful.

Finally, we show that  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is essentially surjective. This will occupy the rest of this section.

The idea is to find a projective generator of  $\mathcal{C}_{x,1}$  and show that it is in the essential image. Fix a vertex  $x$  of the Bruhat-Tits building  $\mathcal{B}(G, F)$  as before. Let  $V$  be the set of equivalence classes of vertices of the Bruhat-Tits building  $\mathcal{B}(G, F)$  up to  $G(F)$ -action. For  $y \in V$ , let  $\sigma_y := \text{c-Ind}_{G_y^+}^{G_y} \Lambda$ . Let  $\Pi := \bigoplus_{y \in V} \Pi_y$  where  $\Pi_y := \text{c-Ind}_{G_y^+}^{G(F)} \Lambda$ . Then  $\Pi$  is a projective generator of the category of depth-zero representations  $\text{Rep}_\Lambda(G(F))_0$ , see [Dat09, Appendix]. Let  $\sigma_{x,1} := (\sigma_x)|_{\mathcal{B}_{x,1}} \in \mathcal{B}_{x,1} \xrightarrow{\text{summand}} \text{Rep}_\Lambda(G_x)$  be the  $\mathcal{B}_{x,1}$ -summand of  $\sigma_x$ . And let  $\Pi_{x,1} := \text{c-Ind}_{G_x}^{G(F)} \sigma_{x,1}$ . Note that  $\Pi_{x,1}$  is a summand of  $\Pi_x = \text{c-Ind}_{G_x}^{G(F)} \sigma_x$ , hence a summand of  $\Pi$ . Using Theorem 3.1.4, one can show that the rest of the summands of  $\Pi$  do not interfere with  $\Pi_{x,1}$  (see Lemma 3.4.2 and Lemma 3.4.3 for precise meaning), hence  $\Pi_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ . Let us state it as a Theorem, see Section 3.4 for details.

**Theorem 3.1.5.**  $\Pi_{x,1} = \text{c-Ind}_{G_x}^{G(F)} \sigma_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ .

Now we have found a projective generator  $\Pi_{x,1} = \text{c-Ind}_{G_x}^{G(F)} \sigma_{x,1}$  of  $\mathcal{C}_{x,1}$ , and it is clear that  $\Pi_{x,1}$  is in the essential image of  $\text{c-Ind}_{G_x}^{G(F)}$ . We now deduce from this that  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is essentially surjective. Indeed, for any  $\pi' \in \mathcal{C}_{x,1}$ , we can resolve  $\pi'$  by some copies of  $\Pi_{x,1}$ :

$$\Pi_{x,1}^{\oplus I} \xrightarrow{f} \Pi_{x,1}^{\oplus J} \rightarrow \pi' \rightarrow 0.$$

Using Theorem 3.1.4 and  $\text{c-Ind}_{G_x}^{G(F)}$  commutes with arbitrary direct sums (see Lemma 3.1.8) we see that  $f \in \text{Hom}_G(\Pi_{x,1}^{\oplus I}, \Pi_{x,1}^{\oplus J})$  comes from a morphism  $g \in \text{Hom}_{G_x}(\sigma_{x,1}^{\oplus I}, \sigma_{x,1}^{\oplus J})$ . Using  $\text{c-Ind}_{G_x}^{G(F)}$  is exact we see that  $\pi'$  is the image of  $\text{coker}(g) \in \mathcal{B}_{x,1}$  under  $\text{c-Ind}_{G_x}^{G(F)}$ . Therefore,  $\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \rightarrow \mathcal{C}_{x,1}$  is essentially surjective.  $\square$

**Lemma 3.1.6.**  $\text{c-Ind}_{G_x}^{G(F)}|_{\mathcal{B}_{x,1}} : \mathcal{B}_{x,1} \rightarrow \text{Rep}_\Lambda(G(F))$  is fully faithful.

*Proof.* Let  $\rho_1, \rho_2 \in \mathcal{B}_{x,1}$ . By the regular supercuspidal reduction assumption of  $\rho$  and Theorem 3.1.3,  $\rho_1, \rho_2$  has supercuspidal reduction. Hence the assumptions of Theorem 3.1.4 are satisfied and we compute using the first case of Theorem 3.1.4 that

$$\text{Hom}_G(\text{c-Ind}_{G_x}^{G(F)} \rho_1, \text{c-Ind}_{G_x}^{G(F)} \rho_2) \cong \text{Hom}_{G_x}(\rho_1, \rho_2).$$

In other words,  $\text{c-Ind}_{G_x}^{G(F)}|_{\mathcal{B}_{x,1}} : \mathcal{B}_{x,1} \rightarrow \text{Rep}_\Lambda(G(F))$  is fully faithful.  $\square$

**Lemma 3.1.7.** The image of  $\mathcal{B}_{x,1}$  under  $\text{c-Ind}_{G_x}^{G(F)}$  is indecomposable as an abelian category.

*Proof.* The point is that  $\text{c-Ind}_{G_x}^{G(F)}|_{\mathcal{B}_{x,1}} : \mathcal{B}_{x,1} \rightarrow \text{Rep}_\Lambda(G(F))$  is not only fully faithful, i.e., an equivalence of categories onto the essential image, but also an equivalence of **abelian** categories onto the essential image. Indeed, it suffices to show that  $\text{c-Ind}_{G_x}^{G(F)}|_{\mathcal{B}_{x,1}} : \mathcal{B}_{x,1} \rightarrow \text{Rep}_\Lambda(G(F))$  preserves kernels, cokernels, and finite (bi-)products. But this follows from the next Lemma 3.1.8.

Assume otherwise that the essential image of  $\mathcal{B}_{x,1}$  under  $\text{c-Ind}_{G_x}^{G(F)}$  is decomposable, then so is  $\mathcal{B}_{x,1}$ . But  $\mathcal{B}_{x,1}$  is a block, hence indecomposable, contradiction!  $\square$

**Lemma 3.1.8.**  $\text{c-Ind}_{G_x}^{G(F)}$  is exact and commutes with arbitrary direct sums.

*Proof.* For the statement that  $\text{c-Ind}_{G_x}^{G(F)}$  is exact, we refer to [Vig96, I.5.10].

We show that  $\text{c-Ind}_{G_x}^{G(F)}$  commutes with arbitrary direct sums. Indeed,  $\text{c-Ind}_{G_x}^{G(F)}$  is a left adjoint (see [Vig96, I.5.7]), hence commutes with arbitrary colimits. In particular, it commutes with arbitrary direct sums.  $\square$

## 3.2 Regular supercuspidal blocks for finite groups of Lie type

In this section, we prove Theorem 3.1.3. As mentioned before, we made the **regular** assumption so that the conclusion of Theorem 3.1.3 – all representations in such a block have supercuspidal reduction – is true. So the readers are welcome to skip this section for a first reading and pretend that we begin with a block in which all representations have supercuspidal reduction.

Fix a prime number  $p$ . Let  $\ell$  be a prime number different from  $p$ . Let  $q$  be a power of  $p$ . Let  $\Lambda := \overline{\mathbb{Z}}_\ell$  be the coefficients of representations.

The main body of this section is to define regular supercuspidal blocks with  $\Lambda = \overline{\mathbb{Z}}_\ell$ -coefficients of a finite group of Lie type, and to show that a regular supercuspidal block consists purely of supercuspidal representations.

**Definition 3.2.1** ([Vig96, I.4.1]). *Let  $\Lambda'$  be any ring.*

1. *Let  $H$  be a profinite group, a **representation of  $H$  with  $\Lambda'$ -coefficients**  $(\pi, V)$  is a  $\Lambda'$ -module  $V$ , together with a  $H$ -action  $\pi : H \rightarrow GL_{\Lambda'}(V)$ .*
2. *A representation of  $H$  with  $\Lambda'$ -coefficients is called **smooth** if for any  $v \in V$ , the stabilizer  $Stab_H(v) \subseteq H$  is open.*

From now on, all representations are assumed to be smooth. The category of smooth representations of  $H$  with  $\Lambda'$ -coefficients is denoted by  $\text{Rep}_{\Lambda'}(H)$ .

### 3.2.1 Regular blocks

**The following notations are used in this subsection only.** Let  $\mathcal{G}$  be a split reductive group scheme over  $\mathbb{Z}$ . Let  $\mathbb{G} := \mathcal{G}(\overline{\mathbb{F}}_q)$ ,  $G := \mathbb{G}^F = \mathcal{G}(\mathbb{F}_q)$ , where  $F$  is the Frobenius. By abuse of notation, we sometimes identify the group scheme  $\mathcal{G}_{\overline{\mathbb{F}}_q}$  with its  $\overline{\mathbb{F}}_q$ -points  $\mathbb{G}$ . Let  $\mathbb{G}^*$  be the dual group (over  $\overline{\mathbb{F}}_q$ ) of  $\mathbb{G}$ , and  $F^*$  the dual Frobenius (see [Car85, Section 4.2]). Fix an isomorphism  $\overline{\mathbb{Q}}_\ell \cong \mathbb{C}$ .

The definition of regular supercuspidal blocks and regular supercuspidal representations of a finite group of Lie type  $\Gamma$  involves modular Deligne-Lusztig theory and block theory. We refer to [DL76], [Car85], and [DM20] for Deligne-Lusztig theory, [BM89] and [Bro90] for modular Deligne-Lusztig theory, and [Bon11, Appendix B] for generalities on blocks.

First, let us recall a result in Deligne-Lusztig theory (see [DM20, Proposition 11.1.5]).

**Proposition 3.2.2.** *The set of  $\mathbb{G}^F$ -conjugacy classes of pairs  $(\mathbb{T}, \theta)$ , where  $\mathbb{T}$  is a  $F$ -stable maximal torus of  $\mathbb{G}$  and  $\theta \in \widehat{\mathbb{T}}^F$ , is in non-canonical bijection with the set of  $\mathbb{G}^{*F^*}$ -conjugacy classes of pairs  $(\mathbb{T}^*, s)$ , where  $s$  is a semisimple element of  $\mathbb{G}^*$  and  $\mathbb{T}^*$  is a  $F^*$ -stable maximal torus of  $\mathbb{G}^*$  such that  $s \in \mathbb{T}^{*F^*}$ . Moreover, we can and will fix a compatible system of isomorphisms  $\mathbb{F}_{q^n}^* \cong \mathbb{Z}/(q^n - 1)\mathbb{Z}$  to pin down this bijection.*

Now let  $s$  be a **strongly regular semisimple** element of  $G^* = \mathbb{G}^{*F^*}$  (note that we require  $s$  to be fixed by  $F^*$  here), i.e., the centralizer  $C_{\mathbb{G}^*}(s)$  is a  $F^*$ -stable maximal torus, denoted  $\mathbb{T}^*$ . Let  $\mathbb{T}$  be the dual torus of  $\mathbb{T}^*$ . Let  $T = \mathbb{T}^F$  and  $T^* = \mathbb{T}^{*F^*}$ . Let  $T_\ell$  denote the  $\ell$ -part of  $T$ .

Recall for  $s$  strongly regular semisimple, the (rational) Lusztig series  $\mathcal{E}(G, (s))$  consists of only one element, namely,  $\pm R_T^G(\hat{s})$ , where  $\hat{s} = \theta$  is such that  $(\mathbb{T}, \theta)$  corresponds to  $(\mathbb{T}^*, s)$  via the bijection in Proposition 3.2.2. Here and after, the sign  $\pm$  is taken such that  $\pm R_T^G(\hat{s})$  is an honest representation (see [Car85, Section 7.5]).

**From now on, we assume moreover that  $s \in \mathbb{G}^{*F^*}$  has order prime to  $\ell$ .** In other words, we assume that  $s \in G^* = \mathbb{G}^{*F^*}$  is a **strongly regular semisimple  $\ell'$ -element**. We are going to define regular blocks. We refer to [Bon11, Appendix B] for generalities on blocks.

Define the  **$\ell$ -Lusztig series**

$$\mathcal{E}_\ell(G, (s)) := \{\pm R_T^G(\hat{s}\eta) \mid \eta \in \widehat{T}_\ell\}.$$

Note the notation  $\mathcal{E}_\ell(T, (s))$  also makes sense by putting  $G = T$ .

By [BM89],  $\mathcal{E}_\ell(G, (s))$  is a union of  $\ell$ -blocks of  $\text{Rep}_{\overline{\mathbb{Q}}_\ell}(G)$ . Such a block (or more precisely, a union of blocks) is called a **( $\ell$ -)regular block**. Let  $e_s^G$  denotes the corresponding

central idempotent in the group algebra  $\overline{\mathbb{Z}}_\ell G$ . Note  $e_s^T$  also makes sense by putting  $G = T$ . We shall see later that a regular block is indeed a block, i.e., indecomposable.<sup>1</sup>

**Definition 3.2.3** (Regular blocks). *Let  $s \in G^* = \mathbb{G}^{*F^*}$  be a strongly regular semisimple  $\ell'$ -element. We call the block  $\overline{\mathbb{Z}}_\ell Ge_s^G$  of the group algebra  $\overline{\mathbb{Z}}_\ell G$  corresponding to the central idempotent  $e_s^G \overline{\mathbb{Z}}_\ell G \in \overline{\mathbb{Z}}_\ell G$  the **regular block** associated to  $s$ . Let  $\mathcal{A}_s := \overline{\mathbb{Z}}_\ell Ge_s^G\text{-Mod}$  be the corresponding category of modules, this is also referred to as a regular block, by abuse of notation.*

Thanks to [Bro90], we understand the block  $\mathcal{A}_s = \overline{\mathbb{Z}}_\ell Ge_s^G\text{-Mod}$  quite well. Roughly speaking, it is equivalent to the block of a finite torus, via Deligne-Lusztig induction. This is what we are going to explain now.

Let  $\mathbb{B} \subseteq \mathbb{G}$  be a Borel subgroup containing our torus  $\mathbb{T}$ , and let  $\mathbb{U}$  be the unipotent radical of  $\mathbb{B}$ . Let  $X_{\mathbb{U}}$  be the Deligne-Lusztig variety defined by

$$X_{\mathbb{U}} := \{g \in \mathbb{G} \mid g^{-1}F(g) \in \mathbb{U}\}.$$

The main result of [Bro90] is the following: The Deligne-Lusztig induction

$$\pm R_T^G : \overline{\mathbb{Z}}_\ell T\text{-Mod} \rightarrow \overline{\mathbb{Z}}_\ell G\text{-Mod}$$

induces an equivalence of categories between the blocks  $\overline{\mathbb{Z}}_\ell Te_s^T\text{-Mod}$  and  $\overline{\mathbb{Z}}_\ell Ge_s^G\text{-Mod}$ .<sup>2</sup> More precisely, let us state it as the following theorem.

**Theorem 3.2.4** (Broué's equivalence, [Bro90, Theorem 3.3]). *With the previous assumptions and notations, assume that  $X_{\mathbb{U}}$  is affine of dimension  $d$  (which is the case if  $q$  is greater than the Coxeter number of  $\mathbb{G}$ ). Then the cohomology complex  $R\Gamma_c(X_{\mathbb{U}}, \overline{\mathbb{Z}}_\ell) = R\Gamma_c(X_{\mathbb{U}}, \overline{\mathbb{Z}}_\ell) \otimes_{\overline{\mathbb{Z}}_\ell} \overline{\mathbb{Z}}_\ell$  is concentrated in degree  $d = \dim X_{\mathbb{U}}$ . And the  $(\overline{\mathbb{Z}}_\ell Ge_s^G, \overline{\mathbb{Z}}_\ell Te_s^T)$ -bimodule  $e_s^G H_c^d(X_{\mathbb{U}}, \overline{\mathbb{Z}}_\ell) e_s^T$  induces an equivalence of categories*

$$e_s^G H_c^d(X_{\mathbb{U}}, \overline{\mathbb{Z}}_\ell) e_s^T \otimes_{\overline{\mathbb{Z}}_\ell Te_s^T} - : \overline{\mathbb{Z}}_\ell Te_s^T\text{-Mod} \longrightarrow \overline{\mathbb{Z}}_\ell Ge_s^G\text{-Mod}.$$

**From now on, we assume that the above theorem holds for all finite groups of Lie type that we encounter in this paper.** We hope that this is not a severe restriction. This is the case at least when  $q$  is greater than the Coxeter number of  $\mathbb{G}$ .

*Remark 3.2.5.* The category  $\overline{\mathbb{Z}}_\ell Te_s^T\text{-Mod}$  is equivalent to the category  $\overline{\mathbb{Z}}_\ell T_\ell\text{-Mod}$ , where  $T_\ell$  is the order- $\ell$ -part of  $T$ .  $\overline{\mathbb{Z}}_\ell T_\ell\text{-Mod}$  is essentially the category of representations of some product of  $\mathbb{Z}/\ell^{k_i}\mathbb{Z}$ 's. In particular, it has a unique irreducible representation (simple object), which is already defined over  $\overline{\mathbb{F}}_\ell$ . Let us denote its corresponding character by  $\theta_s : T \rightarrow \overline{\mathbb{F}}_\ell^*$ . Accordingly,  $\overline{\mathbb{Z}}_\ell Ge_s^G\text{-Mod}$  has a unique simple object  $\pm R_T^G(\theta_s)$ .

### 3.2.2 Regular supercuspidal blocks

Let us first recall the definition of supercuspidal representations.

**Definition 3.2.6.** *1. An irreducible representation is called **supercuspidal** if it does not occur as a subquotient of any proper parabolic induction.*

<sup>1</sup>This follows from, for example, Broué's equivalence. See Theorem 3.2.4 below.

<sup>2</sup>In particular, one can deduce that the irreducible objects in  $\overline{\mathbb{F}}_\ell Ge_s^G\text{-Mod}$  lift to  $\overline{\mathbb{Z}}_\ell$ .



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2. A representation is called **supercuspidal** if all its irreducible subquotients are supercuspidal.

Now let us define regular supercuspidal blocks and regular supercuspidal representations.

**Definition 3.2.7.** By a **regular supercuspidal block**, we mean a regular block  $\mathcal{A}_s$  whose unique simple object  $\pm R_T^G(\theta_s)$  (see Remark 3.2.5 for definition) is supercuspidal.

**Definition 3.2.8.**

1. An irreducible representation is called **regular supercuspidal** if it lies in a regular supercuspidal block.
2. A representation is called **regular supercuspidal** if all its irreducible subquotients are regular supercuspidal.

It is clear from the definitions that we have the following proposition.

**Proposition 3.2.9.** Let  $\mathcal{A}_s$  be a regular supercuspidal block. Then any representation in this block is supercuspidal.

*Proof.* By definition of supercuspidality, it suffices to check that any irreducible representation in this block is supercuspidal. But as we noted before in Remark 3.2.5,  $\mathcal{A}_s$  has only one irreducible representation –  $\pm R_T^G(\theta_s)$  (see Remark 3.2.5), which we assumed to be supercuspidal in the definition of regular supercuspidal block. So we win!  $\square$

#### 3.2.3 Proof of Theorem 3.1.3 on supercuspidal reduction

We now apply the previous results on finite groups of Lie type to representations of parahoric subgroups of a  $p$ -adic group. For this, we show that the inflation induces an equivalence of categories between (certain summand of) the category of representations of a finite reductive group and the corresponding parahoric subgroup (see Subsection 3.2.4).

**Let us get back to the notations at the beginning of this chapter.**

Let  $G$  be a connected split reductive group scheme over  $\mathbb{Z}$ , which is simply connected. Let  $F$  be a non-archimedean local field, with ring of integers  $\mathcal{O}_F$  and residue field  $k_F \cong \mathbb{F}_q$  of residue characteristic  $p$ . Let  $x$  be a vertex of the Bruhat-Tits building  $\mathcal{B}(G, F)$ ,  $G_x$  the parahoric subgroup associated to  $x$ ,  $G_x^+$  its pro-unipotent radical. Recall that  $\overline{G_x} := G_x/G_x^+$  is a generalized Levi subgroup of  $G(k_F)$  with root system  $\Phi_x$ , see [Rab03, Theorem 3.17].

Let  $\Lambda = \overline{\mathbb{Z}}_\ell$ , with  $\ell \neq p$ . Let  $\rho \in \text{Rep}_\Lambda(G_x)$  be an irreducible representation of  $G_x$ , which is trivial on  $G_x^+$  and whose reduction to the finite group of Lie type  $\overline{G_x} = G_x/G_x^+$  is regular supercuspidal.

In other words, we start with an irreducible representation  $\rho \in \text{Rep}_\Lambda(G_x)$  that has regular supercuspidal reduction. Let  $\mathcal{B}_{x,1}$  be the  $(\overline{\mathbb{Z}}_\ell)$ -block of  $\text{Rep}_\Lambda(G_x)$  containing  $\rho$ . We can now prove Theorem 3.1.3, which we restate as follows.

**Theorem 3.2.10.** Let  $\rho \in \text{Rep}_\Lambda(G_x)$  be an irreducible representation of  $G_x$ , which has regular supercuspidal reduction. Let  $\mathcal{B}_{x,1}$  be the  $\overline{\mathbb{Z}}_\ell$ -block of  $\text{Rep}_\Lambda(G_x)$  containing  $\rho$ . Then any  $\rho' \in \mathcal{B}_{x,1}$  has supercuspidal reduction.

*Proof.* Let  $\bar{\rho} \in \text{Rep}_\Lambda(\overline{G_x})$  be the reduction of  $\rho$  modulo  $G_x^+$ .  $\bar{\rho}$  is irreducible (since  $\rho$  is) and regular supercuspidal by assumption, so it is of the form  $\pm R_T^G(\theta_s)$  (see Remark 3.2.5), for some strongly regular semisimple  $\ell'$ -element  $s$  of the finite dual group  $\overline{G_x}^*$  (see Definition 3.2.8).

Let  $\text{Rep}_\Lambda(G_x)_0$  be the full subcategory of  $\text{Rep}_\Lambda(G_x)$  consisting of representations of  $G_x$  that are trivial on  $G_x^+$ . The key observation is that  $\text{Rep}_\Lambda(G_x)_0$  is a summand (as abelian category) of  $\text{Rep}_\Lambda(G_x)$  (see Lemma 3.2.11).

Then since  $\rho \in \text{Rep}_\Lambda(G_x)_0$ , its block  $\mathcal{B}_{x,1}$  is a summand of  $\text{Rep}_\Lambda(G_x)_0$ .

On the other hand, notice that the inflation functor induces an equivalence of categories between  $\text{Rep}_\Lambda(\overline{G_x})$  and  $\text{Rep}_\Lambda(G_x)_0$ , with inverse the functor of reduction modulo  $G_x^+$ . So the blocks of  $\text{Rep}_\Lambda(\overline{G_x})$  and  $\text{Rep}_\Lambda(G_x)_0$  are in one-to-one correspondence. Let  $\mathcal{A}_{x,1}$  be the corresponding block of  $\text{Rep}_\Lambda(\overline{G_x})$  to  $\mathcal{B}_{x,1}$ . Then  $\mathcal{A}_{x,1}$  is the regular supercuspidal block  $\mathcal{A}_s$  corresponding to  $s$  (recall that  $\bar{\rho} = \pm R_T^G(\theta_s)$ ). By Theorem 3.2.9,  $\mathcal{A}_s$  consists purely of supercuspidal representation. Therefore,  $\mathcal{B}_{x,1}$  consists purely of representations that have supercuspidal reductions.  $\square$

### 3.2.4 Inflation induces an equivalence

**Lemma 3.2.11.** *Let  $\text{Rep}_\Lambda(G_x)_0$  be the full subcategory of  $\text{Rep}_\Lambda(G_x)$  consisting of representations of  $G_x$  that are trivial on  $G_x^+$ . Then  $\text{Rep}_\Lambda(G_x)_0$  is a summand as abelian category of  $\text{Rep}_\Lambda(G_x)$ .*

*Remark 3.2.12.* A similar proof as [Dat09, Appendix] should work. Nevertheless, I include here an alternative computational proof.

*Proof.* Note  $G_x^+$  is pro- $p$  (see [Vig96, II.5.2.(b)]), in particular, it has pro-order invertible in  $\Lambda$ . So we have a normalized Haar measure  $\mu$  on  $G_x$  such that  $\mu(G_x^+) = 1$  (see [Vig96, I.2.4]). The characteristic function  $e := 1_{G_x^+}$  is an idempotent of the Hecke algebra  $\mathcal{H}_\Lambda(G_x)$  under convolution with respect to the Haar measure  $\mu$ . We shall show that  $e = 1_{G_x^+}$  cuts out  $\text{Rep}_\Lambda(G_x)_0$  as a summand of  $\text{Rep}_\Lambda(G_x) \cong \mathcal{H}_\Lambda(G_x)\text{-Mod}$ .

Let us first check that  $e = 1_{G_x^+}$  is central. This can be done by an explicit computation. Recall that we have a descending filtration  $\{G_{x,r} | r \in \mathbb{R}_{>0}\}$  of  $G_x$  such that

1.  $\forall r \in \mathbb{R}_{>0}, G_{x,r}$  is an open compact pro- $p$  subgroup of  $G_x$ .
2.  $\forall r \in \mathbb{R}_{>0}, G_{x,r}$  is a normal subgroup of  $G_x$ .
3.  $G_{x,r}$  form a neighborhood basis of 1 inside  $G_x$ .

(see [Vig96, II.5.1]) Therefore, to check  $e * f = f * e$  for all  $f \in \mathcal{H}_\Lambda(G_x)$ , it suffices to check for all  $f$  of the form  $1_{gG_{x,r}}$ , the characteristic function of the (both left and right) coset  $gG_{x,r} (= G_{x,r}g$ , by normality) for some  $g \in G(F)$  and  $r \in \mathbb{R}_{>0}$ . Indeed, one can compute that  $(e * 1_{gG_{x,r}})(y) = \mu(G_x^+ \cap G_{x,r}yg^{-1})$  and that  $(1_{gG_{x,r}} * e)(y) = \mu(gG_{x,r} \cap yG_x^+)$ , for any  $y \in G_x$ . Note that  $G_{x,r} \subseteq G_x^+$ , we get that  $\mu(G_x^+ \cap G_{x,r}yg^{-1}) = \mu(G_{x,r})$  if  $yg^{-1} \in G_x^+$  and 0 otherwise. The same holds for  $\mu(gG_{x,r} \cap yG_x^+)$ . Therefore,  $e$  is central.

Next, under the isomorphism  $\text{Rep}_\Lambda(G_x) \cong \mathcal{H}_\Lambda(G_x)\text{-Mod}$ ,  $\text{Rep}_\Lambda(G_x)_0$  corresponds to the subcategory  $\mathcal{H}_\Lambda(G_x, G_x^+)\text{-Mod} = e\mathcal{H}_\Lambda(G_x)e\text{-Mod}$  corresponding to the central idempotent  $e := 1_{G_x^+} \in \mathcal{H}_\Lambda(G_x)$  of  $\mathcal{H}_\Lambda(G_x)\text{-Mod}$ .

Finally, note that  $G_x$  is compact, so its Hecke algebra  $\mathcal{H}(G_x)$  is unital with unit 1, the normalized characteristic function of  $G_x$ . Hence

$$\mathcal{H}_\Lambda(G_x)\text{-Mod} \cong e\mathcal{H}_\Lambda(G_x)e\text{-Mod} \oplus (1-e)\mathcal{H}_\Lambda(G_x)(1-e)\text{-Mod}.$$

Therefore,  $\text{Rep}_\Lambda(G_x)_0 \cong e\mathcal{H}_\Lambda(G_x)e\text{-Mod}$  is a summand of  $\text{Rep}_\Lambda(G_x) \cong \mathcal{H}_\Lambda(G_x)\text{-Mod}$ .  $\square$

**Lemma 3.2.13.** *The inflation induces an equivalence of categories between  $\text{Rep}_\Lambda(\overline{G_x})$  and  $\text{Rep}_\Lambda(G_x)_0$ . In particular, let  $\rho$  be as in Theorem 3.2.10 and let  $\mathcal{A}_{x,1}$  be the block of  $\text{Rep}_\Lambda(\overline{G_x})$  containing  $\bar{\rho}$ , then the inflation induces an equivalence of categories*

$$\mathcal{A}_{x,1} \cong \mathcal{B}_{x,1}.$$

*Proof.* The inverse functor is given by the reduction modulo  $G_x^+$ . One can check by hand that they are equivalences of categories.  $\square$

### 3.3 Hom between compact inductions

Let us now prove Theorem 3.1.4 which computes the Hom between compact inductions of  $\rho_1$  and  $\rho_2$ , assuming that one of them has supercuspidal reduction.

*Proof of Theorem 3.1.4.*

$$\begin{aligned} & \text{Hom}_G(\text{c-Ind}_{G_x}^{G(F)} \rho_1, \text{c-Ind}_{G_y}^{G(F)} \rho_2) \\ &= \text{Hom}_{G_x} \left( \rho_1, (\text{c-Ind}_{G_y}^{G(F)} \rho_2)|_{G_x} \right) \\ &= \text{Hom}_{G_x} \left( \rho_1, \bigoplus_{g \in G_y \backslash G(F)/G_x} \text{c-Ind}_{G_x \cap g^{-1}G_yg}^{G_x} \rho_2(g - g^{-1}) \right) \end{aligned}$$

Recall that  $g^{-1}G_yg = G_{g^{-1}.y}$ . So it suffices to show that for  $g \in G(F)$  with  $G_x \cap g^{-1}G_yg \neq G_x$ , or equivalently, for  $g \in G(F)$  with  $g.x \neq y$  (since  $x$  and  $y$  are vertices), it holds that

$$\text{Hom}_{G_x} \left( \rho_1, \text{c-Ind}_{G_x \cap g^{-1}G_yg}^{G_x} \rho_2(g - g^{-1}) \right) = 0.$$

Note  $G_x/(G_x \cap g^{-1}G_yg)$  is compact, hence  $\text{c-Ind}_{G_x \cap g^{-1}G_yg}^{G_x} = \text{Ind}_{G_x \cap g^{-1}G_yg}^{G_x}$ , and we have Frobenius reciprocity in the other direction

$$\text{Hom}_{G_x} \left( \rho_1, \text{c-Ind}_{G_x \cap g^{-1}G_yg}^{G_x} \rho_2(g - g^{-1}) \right) \cong \text{Hom}_{G_x \cap g^{-1}G_yg} \left( \rho_1, \rho_2(g - g^{-1}) \right).$$

So it suffices to show that for  $g \in G(F)$  with  $g.x \neq y$ ,

$$\text{Hom}_{G_x \cap g^{-1}G_yg} \left( \rho_1, \rho_2(g - g^{-1}) \right) = 0.$$

Note now this expression is symmetric with respect to  $\rho_1$  and  $\rho_2$ , and so is the following argument.

First, if  $\rho_2$  has supercuspidal reduction (denoted  $\bar{\rho}_2$ ),

$$\text{Hom}_{G_x \cap g^{-1}G_yg} \left( \rho_1, \rho_2(g - g^{-1}) \right)$$

$$\begin{aligned}
&= \text{Hom}_{G_x \cap G_{g^{-1}.y}}(\rho_1, \rho_2(g - g^{-1})) \\
&\subseteq \text{Hom}_{G_x^+ \cap G_{g^{-1}.y}}(\rho_1, \rho_2(g - g^{-1})) \\
&= \text{Hom}_{G_x^+ \cap G_{g^{-1}.y}}(1^{\oplus d_1}, \rho_2(g - g^{-1})) && \rho_1 \text{ is trivial on } G_x^+ \\
&= \text{Hom}_{G_{g.x}^+ \cap G_y}(1^{\oplus d_1}, \rho_2) && \text{Conjugate by } g^{-1} \\
&= \text{Hom}_{U_y(g.x)}(1^{\oplus d_1}, \overline{\rho_2}) && \text{Reduction modulo } G_y^+. \text{ See below.} \\
&= 0 && \overline{\rho_2} \text{ is supercuspidal. See below.}
\end{aligned}$$

The last two equalities need some explanation.

The former one uses the following consequence from Bruhat-Tits theory: If  $x_1$  and  $x_2$  are two different vertices of the Bruhat-Tits building, then  $\overline{G_{x_i}} := G_{x_i}/G_{x_i}^+$  is a generalized Levi subgroup of  $\overline{G} = G(\mathbb{F}_q)$ , for  $i = 1, 2$ . Moreover,  $G_{x_1} \cap G_{x_2}$  projects onto a proper parabolic subgroup  $P_{x_1}(x_2)$  of  $\overline{G_{x_1}}$  under the reduction map  $G_{x_1} \rightarrow \overline{G_{x_1}}$ . And  $G_{x_1} \cap G_{x_2}^+$  projects onto  $U_{x_1}(x_2)$ , the unipotent radical of  $P_{x_1}(x_2)$ , under the reduction map  $G_{x_1} \rightarrow \overline{G_{x_1}}$ . For details, see Lemma 3.3.1 below. Note that the assumption of Lemma 3.3.1 is satisfied since without loss of generality we may assume that  $x_1 = x$  and  $x_2 = y$  lie in the closure of a common alcove (since  $G$  acts simply transitively on the set of alcoves).

The latter one uses that for a supercuspidal representation  $\rho$  of a finite group of Lie type  $\Gamma$ ,

$$\text{Hom}_U(1, \rho|_U) = \text{Hom}_U(\rho|_U, 1) = 0,$$

for the unipotent radical  $U$  of  $P$ , where  $P$  is any proper parabolic subgroup of  $\Gamma$ . For details, see Lemma 3.3.2 below.

Symmetrically, a similar argument works if  $\rho_1$  has supercuspidal reduction. Indeed, if  $\rho_1$  has supercuspidal reduction (denoted  $\overline{\rho_1}$ ),

$$\begin{aligned}
&\text{Hom}_{G_x \cap g^{-1}G_y g}(\rho_1, \rho_2(g - g^{-1})) \\
&= \text{Hom}_{gG_x g^{-1} \cap G_y}(\rho_1(g^{-1} - g), \rho_2) && \text{Conjugate by } g^{-1} \\
&\subseteq \text{Hom}_{gG_x g^{-1} \cap G_y^+}(\rho_1(g^{-1} - g), \rho_2) \\
&= \text{Hom}_{gG_x g^{-1} \cap G_y^+}(\rho_1(g^{-1} - g), 1^{\oplus d_2}) && \rho_2 \text{ is trivial on } G_y^+ \\
&= \text{Hom}_{G_x \cap g^{-1}G_y^+ g}(\rho_1, 1^{\oplus d_2}) && \text{Conjugate by } g \\
&= \text{Hom}_{G_x \cap G_{g^{-1}.y}^+}(\rho_1, 1^{\oplus d_2}) \\
&= \text{Hom}_{U_x(g^{-1}.y)}(\overline{\rho_1}, 1^{\oplus d_2}) && \text{Reduction modulo } G_x^+ \\
&= 0 && \overline{\rho_1} \text{ is supercuspidal.}
\end{aligned}$$

□

**Lemma 3.3.1.** *Let  $x_1$  and  $x_2$  be two points of the Bruhat-Tits building  $\mathcal{B}(G, F)$ . Assume that they lie in the closure of the same alcove.*

- (i) *The image of  $G_{x_1} \cap G_{x_2}$  in  $\overline{G_{x_1}}$  is a parabolic subgroup of  $\overline{G_{x_1}}$ . Let us denote it by  $P_{x_1}(x_2)$ . Moreover, the image of  $G_{x_1} \cap G_{x_2}^+$  in  $\overline{G_{x_1}}$  is the unipotent radical of  $P_{x_1}(x_2)$ . Let us denote it by  $U_{x_1}(x_2)$ .*

(ii) Assume moreover that  $x_1$  and  $x_2$  are two different vertices of the building. Then  $P_{x_1}(x_2)$  is a proper parabolic subgroup of  $\overline{G_{x_1}}$ .

*Proof.* (i) is [Vig96, II.5.1.(k)].

Let us prove (ii). It suffices to show that  $G_{x_1} \neq G_{x_2}$ . Assume otherwise that  $G_{x_1} = G_{x_2}$ , then  $x_1$  and  $x_2$  lie in the same facet, which contradicts the assumption that  $x_1$  and  $x_2$  are two different vertices.  $\square$

**Lemma 3.3.2.** *Let  $\bar{\rho}$  be a supercuspidal representation of a finite group of Lie type  $\Gamma$ . Let  $P$  be a proper parabolic subgroup of  $\Gamma$ , with unipotent radical  $U$ . Then*

$$\mathrm{Hom}_U(1_U, \bar{\rho}) = \mathrm{Hom}_U(\bar{\rho}, 1_U) = 0.$$

*Proof.*  $\mathrm{Hom}_U(\bar{\rho}|_U, 1_U) = \mathrm{Hom}_\Gamma(\bar{\rho}, \mathrm{Ind}_P^\Gamma(\sigma)) = 0$ , where  $\sigma = \mathrm{Ind}_U^P(1_U)$ . The last equality holds because  $\bar{\rho}$  is assumed to be supercuspidal. A similar argument shows that  $\mathrm{Hom}_U(1_U, \bar{\rho}) = 0$ .  $\square$

### 3.4 $\Pi_{x,1}$ is a projective generator

In this subsection, we prove Theorem 3.1.5:  $\Pi_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ . Before doing this, let us recall the setting. Fix a vertex  $x$  of the building of  $G$ . Let  $\rho \in \mathrm{Rep}_\Lambda(G_x)$  be a representation which is trivial on  $G_x^+$  and whose reduction to  $\overline{G_x} = G_x/G_x^+$  is regular supercuspidal,  $\pi = \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \rho$  as before. Let  $\mathcal{B}_{x,1}$  be the block of  $\mathrm{Rep}_\Lambda(G_x)$  containing  $\rho$ , and  $\mathcal{C}_{x,1}$  the block of  $\mathrm{Rep}_\Lambda(G(F))$  containing  $\pi$ .

Let  $V$  be the set of equivalence classes of vertices of the Bruhat-Tits building  $\mathcal{B}(G, F)$  up to  $G(F)$ -action. For  $y \in V$ , let  $\sigma_y := \mathrm{c}\text{-Ind}_{G_y^+}^{G_y} \Lambda$ . Let  $\Pi := \bigoplus_{y \in V} \Pi_y$  where  $\Pi_y := \mathrm{c}\text{-Ind}_{G_y^+}^{G(F)} \Lambda$ . Then  $\Pi$  is a projective generator of the category of depth-zero representations

$\mathrm{Rep}_\Lambda(G(F))_0$ , see [Dat09, Appendix]. Let  $\sigma_{x,1} := (\sigma_x)|_{\mathcal{B}_{x,1}} \in \mathcal{B}_{x,1} \xrightarrow{\text{summand}} \mathrm{Rep}_\Lambda(G_x)$  be the  $\mathcal{B}_{x,1}$ -summand of  $\sigma_x$ . And let  $\Pi_{x,1} := \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \sigma_{x,1}$ .

Let us summarize the setting in the following diagram.

$$\begin{array}{ccc} \mathrm{Rep}_\Lambda(G_x) & \xrightarrow{\mathrm{c}\text{-Ind}_{G_x}^{G(F)}} & \mathrm{Rep}_\Lambda(G(F)) \\ \cup & & \cup \\ \mathrm{Rep}_\Lambda(G_x)_0 & \longrightarrow & \mathrm{Rep}_\Lambda(G(F))_0 \\ \cup & & \cup \\ \mathcal{B}_{x,1} & \longrightarrow & \mathcal{C}_{x,1} \end{array}$$

**Theorem 3.4.1.**  $\Pi_{x,1} = \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \sigma_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ .

*Proof.* First, let  $\text{Rep}_\Lambda(G_x)_0$  be the full subcategory of  $\text{Rep}_\Lambda(G_x)$  consisting of representations that are trivial on  $G_x^+$  (Do not confuse with  $\text{Rep}_\Lambda(G(F))_0$ , the depth-zero category of  $G$ ). Note that  $\text{Rep}_\Lambda(G_x)_0$  is a summand of  $\text{Rep}_\Lambda(G_x)$  (see Lemma 3.2.11).

Second, note that  $\text{Rep}_\Lambda(G_x)_0 \cong \text{Rep}_\Lambda(\overline{G_x})$ . We may assume that

$$\text{Rep}_\Lambda(G_x)_0 = \mathcal{B}_{x,1} \oplus \dots \oplus \mathcal{B}_{x,m}$$

is its block decomposition. So that  $\sigma_x = \sigma_{x,1} \oplus \dots \oplus \sigma_{x,m}$  accordingly. Write  $\sigma_x^1 := \sigma_{x,2} \oplus \dots \oplus \sigma_{x,m}$ . Then  $\sigma_x = \sigma_{x,1} \oplus \sigma_x^1$ , and  $\Pi_x = \Pi_{x,1} \oplus \Pi_x^1$  accordingly, where  $\Pi_x^1 := \text{c-Ind}_{G_x}^{G(F)} \sigma_x^1$ . And

$$\Pi = \Pi_{x,1} \oplus \Pi_x^1 \oplus \Pi^x,$$

where  $\Pi^x := \bigoplus_{y \in V, y \neq x} \Pi_y$ . Let  $\Pi^{x,1} := \Pi_x^1 \oplus \Pi^x$ , then we have

$$\Pi = \Pi_{x,1} \oplus \Pi^{x,1}.$$

Recall that  $\Pi$  is a projective generator of the category of depth-zero representations  $\text{Rep}_\Lambda(G(F))_0$ . This implies that

$$\text{Hom}_G(\Pi, -) : \text{Rep}_\Lambda(G(F))_0 \rightarrow \text{Mod-End}_G(\Pi)$$

is an equivalence of categories. See [Ber92, Lemma 22].

Next, it is not hard to see that Theorem 3.1.4 implies that

$$\text{Hom}_G(\Pi_{x,1}, \Pi^{x,1}) = \text{Hom}_G(\Pi^{x,1}, \Pi_{x,1}) = 0,$$

see Lemma 3.4.2. This implies that

$$\text{Mod-End}_G(\Pi) \cong \text{Mod-End}_G(\Pi_{x,1}) \oplus \text{Mod-End}_G(\Pi^{x,1})$$

is an equivalence of categories.

Now we can combine the above to show that  $\Pi^{x,1}$  does not interfere with  $\Pi_{x,1}$ , i.e.,

$$\text{Hom}_G(\Pi^{x,1}, X) = 0,$$

for any object  $X \in \mathcal{C}_{x,1}$  (see Important Lemma 3.4.3).

However, since  $\Pi$  is a projective generator of  $\text{Rep}_\Lambda(G(F))_0$ , we have

$$\text{Hom}_G(\Pi, X) \neq 0,$$

for any  $X \in \mathcal{C}_{x,1}$ . This together with the last paragraph implies that

$$\text{Hom}_G(\Pi_{x,1}, X) \neq 0,$$

for any  $X \in \mathcal{C}_{x,1}$ , i.e.  $\Pi_{x,1}$  is a generator of  $\mathcal{C}_{x,1}$ .

Finally, note that  $\Pi_{x,1}$  is projective in  $\text{Rep}_\Lambda(G(F))_0$  since it is a summand of the projective object  $\Pi$ . Hence  $\Pi_{x,1}$  is projective in  $\mathcal{C}_{x,1}$ . This together with the last paragraph implies that  $\Pi_{x,1}$  is a projective generator of  $\mathcal{C}_{x,1}$ . □

**Lemma 3.4.2.**

$$\mathrm{Hom}_G(\Pi_{x,1}, \Pi^{x,1}) = \mathrm{Hom}_G(\Pi^{x,1}, \Pi_{x,1}) = 0.$$

*Proof.* Recall that  $\Pi^{x,1} := \Pi_x^1 \oplus \Pi^x$ .

First, we compute

$$\mathrm{Hom}_G(\Pi_{x,1}, \Pi_x^1) = \mathrm{Hom}_{G_x}(\sigma_{x,1}, \sigma_x^1) = 0,$$

where the first equality is the first case of Theorem 3.1.4 (note that  $\sigma_{x,1} \in \mathcal{B}_{x,1}$ , hence has supercuspidal reduction by Theorem 3.1.3, and hence the condition of Theorem 3.1.4 is satisfied), and the second equality is because  $\sigma_{x,1}$  and  $\sigma_x^1$  lie in different blocks of  $\mathrm{Rep}_\Lambda(G_x)$  by definition.

Second, recall that  $\Pi_{x,1} = \mathrm{c}\text{-Ind}_{G_x}^{G(F)} \sigma_{x,1}$  with  $\sigma_{x,1}$  having supercuspidal reduction, and  $\Pi_y = \mathrm{c}\text{-Ind}_{G_y}^{G(F)} \sigma_y$ . We compute

$$\mathrm{Hom}_G(\Pi_{x,1}, \Pi^x) = \bigoplus_{y \in V, y \neq x} \mathrm{Hom}_G(\Pi_{x,1}, \Pi_y) = 0,$$

by the second case of Theorem 3.1.4.

Combining the above three paragraphs, we get  $\mathrm{Hom}_G(\Pi_{x,1}, \Pi^{x,1}) = 0$ .

A same argument shows that  $\mathrm{Hom}_G(\Pi^{x,1}, \Pi_{x,1}) = 0$ . □

**Lemma 3.4.3** (Important Lemma).  $\mathrm{Hom}_G(\Pi^{x,1}, X) = 0$ , for any object  $X \in \mathcal{C}_{x,1}$ .

*Proof.* Recall that

$$\mathrm{Hom}_G(\Pi, -) : \mathrm{Rep}_\Lambda(G(F))_0 \rightarrow \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi) \cong \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi_{x,1}) \oplus \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi^{x,1})$$

is an equivalence of categories. It is even an equivalence of abelian categories since  $\mathrm{Hom}_G(\Pi, -)$  is exact and commutes with direct product. Hence the image of  $\mathcal{C}_{x,1}$  must be indecomposable as  $\mathcal{C}_{x,1}$  is indecomposable, i.e.,

$$\mathrm{Hom}_G(\Pi, -) = \mathrm{Hom}_G(\Pi_{x,1}, -) \oplus \mathrm{Hom}_G(\Pi^{x,1}, -)$$

can map  $\mathcal{C}_{x,1}$  nonzeroly to only one of  $\mathrm{Mod}\text{-}\mathrm{End}_G(\Pi_{x,1})$  and  $\mathrm{Mod}\text{-}\mathrm{End}_G(\Pi^{x,1})$  (see the diagram below).

$$\begin{array}{ccc} \mathrm{Rep}_\Lambda(G(F))_0 & \xrightarrow{\mathrm{Hom}_G(\Pi, -)} & \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi) \\ \cup & & \Downarrow \\ \mathcal{C}_{x,1} & \xrightarrow{\mathrm{Hom}_G(\Pi_{x,1}, -) \oplus \mathrm{Hom}_G(\Pi^{x,1}, -)} & \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi_{x,1}) \oplus \mathrm{Mod}\text{-}\mathrm{End}_G(\Pi^{x,1}) \end{array}$$

Then it must be  $\mathrm{Mod}\text{-}\mathrm{End}_G(\Pi_{x,1})$  (that  $\mathrm{Hom}_G(\Pi, -)$  maps  $\mathcal{C}_{x,1}$  nonzeroly to) since

$$\mathrm{Hom}_G(\Pi_{x,1}, \pi) = \mathrm{Hom}_{G_x}(\sigma_{x,1}, \rho) = \mathrm{Hom}_{G_x}(\sigma_x, \rho) \neq 0.$$

In other words,  $\mathrm{Hom}_G(\Pi^{x,1}, -)$  is zero on  $\mathcal{C}_{x,1}$ . □

### 3.5 Application: description of the block $\text{Rep}_\Lambda(G(F))_{[\pi]}$

Recall we denote  $\mathcal{A}_{x,1} = \text{Rep}_\Lambda(\overline{G_x})_{[\overline{\rho}]}$ ,  $\mathcal{B}_{x,1} = \text{Rep}_\Lambda(G_x)_{[\rho]}$ , and  $\mathcal{C}_{x,1} = \text{Rep}_\Lambda(G(F))_{[\pi]}$ . We have proven that the inflation along  $G_x \rightarrow \overline{G_x}$  induces an equivalence of categories

$$\mathcal{A}_{x,1} \cong \mathcal{B}_{x,1},$$

see Lemma 3.2.13. And we have also proven that compact induction induces an equivalence of categories

$$\text{c-Ind}_{G_x}^{G(F)} : \mathcal{B}_{x,1} \cong \mathcal{C}_{x,1}.$$

Hence  $\mathcal{C}_{x,1} \cong \mathcal{A}_{x,1}$ , where the latter is isomorphic to the block of a finite torus via Broué's equivalence 3.2.4.

We will see in the example (see Chapter 4) of  $GL_n$  that (up to central characters) such a block of a finite torus corresponds to  $\text{QCoh}(\mu)$ , where  $\mu$  is the group scheme of roots of unity appearing in the computation of the  $L$ -parameter side (see Theorem 2.2.2).



## Chapter 4

### Example: $GL_n(F)$

Let's apply the theories in the previous chapters to the example of  $GL_n(F)$ . Throughout this chapter,  $G := GL_n$ .

That said, there is a little mismatch between the theories before and the example here. Namely, we assumed for simplicity in the theories that  $G$  is simply connected (and in particular, semisimple), while this is not the case for  $G = GL_n$ . However, there is only some minor difference due to the center  $\mathbb{G}_m$  of  $GL_n$ . Let us leave it as an exercise for the readers to figure out the details.

#### 4.1 $L$ -parameter side

Let  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  be an irreducible tame  $L$ -parameter. Let  $\psi \in Z^1(W_F, \hat{G}(\overline{\mathbb{Z}}_\ell))$  be any lift of  $\varphi$ . Let  $C_\varphi$  be the connected component of  $[Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell} / \hat{G}]$  containing  $\varphi$ . By Proposition 2.1.11, we compute that

$$C_\varphi \cong [T/T] \times \mu,$$

where  $T = C_{\hat{G}}(\psi_\ell)$  is a maximal torus of  $GL_n$ , and  $\mu = (T^{Fr=(-)^q})^0$ , and the  $T$ -action on  $T$  is specified in Proposition 2.1.11. To go further, let's choose a nice basis for the Weil group representations  $\varphi$  and  $\psi$ .

Indeed, every irreducible tame  $L$ -parameter  $\varphi$  with  $\overline{\mathbb{F}}_\ell$ -coefficients of  $GL_n$  are of the form  $\varphi = \text{Ind}_{W_E}^{W_F} \eta$ , where  $E$  is a degree  $n$  unramified extension of  $F$ ,  $W_E \cong I_F \rtimes \langle \text{Fr}^n \rangle$  is the Weil group of  $E$ , and  $\eta : W_E \rightarrow \overline{\mathbb{F}}_\ell^*$  is a tame (i.e., trivial on  $P_E = P_F$ ) character of  $W_E$  such that  $\{\eta, \eta^q, \dots, \eta^{q^{n-1}}\}$  are distinct. To find a lift of it with  $\overline{\mathbb{Z}}_\ell$ -coefficients, we let  $\tilde{\eta} : W_E \rightarrow \overline{\mathbb{Z}}_\ell^*$  be any lift of  $\eta$ , and let  $\psi := \text{Ind}_{W_E}^{W_F} \tilde{\eta}$ . Then under a nice basis, we can specify the matrices corresponding to the topological generator  $s_0$  and the Frobenius  $\text{Fr}$ :

$$\psi(s_0) = \begin{bmatrix} \tilde{\eta}(s_0) & 0 & 0 & \dots & 0 \\ 0 & \tilde{\eta}(s_0)^q & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \tilde{\eta}(s_0)^{q^{n-1}} \end{bmatrix}$$

and

$$\psi(\text{Fr}) = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ \tilde{\eta}(\text{Fr}^n) & 0 & 0 & \dots & 0 \end{bmatrix}.$$

Under this basis,  $T = C_{\hat{G}}(\psi_\ell)$  is the diagonal torus of  $GL_n$ , with  $\text{Fr}$  acting by conjugation via  $\psi$ , i.e.,

$$\text{Fr} \cdot \text{diag}(t_1, t_2, \dots, t_{n-1}, t_n) = \text{diag}(t_2, t_3, \dots, t_n, t_1).$$

So one can compute that

$$T^{\text{Fr}=(-)^q} \cong \mu_{q^n-1},$$

and that

$$(T^{\text{Fr}=(-)^q})^0 \cong \mu_{\ell^k},$$

where  $k \in \mathbb{Z}$  is maximal such that  $\ell^k$  divides  $q^n - 1$ .

To compute the quotient  $[T/T]$ , we note that  $T$  acts on  $T$  via twisted conjugation

$$(t, t') \mapsto (tnt^{-1}n^{-1})t',$$

where  $n$  is same as  $\psi(\text{Fr})$  in effect. So in our case, this action is

$$(t_1, t_2, \dots, t_n) \cdot (t'_1, t'_2, \dots, t'_n) = (t_n^{-1}t_1t'_1, t_1^{-1}t_2t'_2, \dots, t_{n-1}^{-1}t_nt'_n).$$

We see that the orbits of this action are determined by the determinants (hence are in bijection with  $\mathbb{G}_m$ ), and the center  $\mathbb{G}_m \cong Z \subseteq T$  acts trivially. Therefore,

$$[T/T] \cong [\mathbb{G}_m/\mathbb{G}_m],$$

where  $\mathbb{G}_m$  acts trivially on  $\mathbb{G}_m$ .

In conclusion, we have that the connected component of  $Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell}$  containing  $\varphi$  is

$$C_\varphi \cong [\mathbb{G}_m/\mathbb{G}_m] \times \mu_{\ell^k},$$

where  $\mathbb{G}_m$  acts trivially on  $\mathbb{G}_m$ , and  $k \in \mathbb{Z}$  is maximal such that  $\ell^k$  divides  $q^n - 1$ .

## 4.2 Representation side

By modular Deligne-Lusztig theory, the block  $\mathcal{A}_{x,1}$  of  $GL_n(\mathbb{F}_q)$  containing an irreducible supercuspidal representation  $\sigma$  is equivalent to the block of an elliptic torus. Such an elliptic torus is isomorphic to  $\mathbb{F}_{q^n}^*$ . So this block is equivalent to  $\overline{\mathbb{Z}}_\ell[s]/(s^{\ell^k} - 1)\text{-Mod}$ , where  $k \in \mathbb{Z}$  is maximal such that  $\ell^k$  divides  $q^n - 1$ .

$\mathcal{A}_{x,1}$  inflats to a block of  $K := GL_n(\mathcal{O}_F)$  containing the inflation  $\tilde{\sigma}^1$  of  $\sigma$ , and further corresponds to a block  $\mathcal{B}_{x,1}$  of  $KZ$  containing  $\rho$ , an extension of  $\tilde{\sigma}$  to  $KZ$ , where  $Z$  is the center of  $GL_n(F)$ . We have

$$\mathcal{B}_{x,1} \cong \mathcal{A}_{x,1} \otimes \text{Rep}_{\overline{\mathbb{Z}}_\ell}(\mathbb{Z}) \cong \overline{\mathbb{Z}}_\ell[s]/(s^{\ell^k} - 1) \otimes \overline{\mathbb{Z}}_\ell[t, t^{-1}]\text{-Mod},$$

---

<sup>1</sup>Since we started with an irreducible supercuspidal representation  $\sigma$ , its inflation  $\tilde{\sigma}$  automatically has supercuspidal reduction.

because

$$KZ \cong K \times \{\text{diag}(\pi^m, \dots, \pi^m) \mid m \in \mathbb{Z}\} \cong K \times \mathbb{Z}.$$

Argue as in the proof of Theorem 3.1.2 we see that the compact induction  $\text{c-Ind}_{KZ}^G$  induces an equivalence of categories

$$\mathcal{B}_{x,1} \cong \mathcal{C}_{x,1},$$

where  $\mathcal{C}_{x,1}$  is the block of  $\text{Rep}_{\overline{\mathbb{Z}}_\ell}(G(F))$  containing  $\pi := \text{c-Ind}_{KZ}^G \rho$ .

Since every irreducible depth-zero supercuspidal representation  $\pi$  arises as above, we have that the block containing an irreducible depth-zero supercuspidal representation  $\pi$  satisfies

$$\text{Rep}_{\overline{\mathbb{Z}}_\ell}(G(F))_{[\pi]} \cong \mathcal{C}_{x,1} \cong \overline{\mathbb{Z}}_\ell[s]/(s^{\ell^k} - 1) \otimes \overline{\mathbb{Z}}_\ell[t, t^{-1}]\text{-Mod},$$

where  $k \in \mathbb{Z}$  is maximal such that  $\ell^k$  divides  $q^n - 1$ .



## Chapter 5

# The categorical local Langlands conjecture

In this chapter, we prove the categorical local Langlands conjecture for depth-zero supercuspidal part of  $G = GL_n$  with coefficients  $\Lambda = \overline{\mathbb{Z}}_\ell$  in Fargues-Scholze's form (see [FS21, Conjecture X.3.5]).

Let  $\varphi \in Z^1(W_F, \hat{G}(\overline{\mathbb{F}}_\ell))$  be an irreducible tame  $L$ -parameter. Let  $C_\varphi$  be the connected component of  $[Z^1(W_F, \hat{G})_{\overline{\mathbb{Z}}_\ell} / \hat{G}]$  containing  $\varphi$ .

The goal is to show that there is an equivalence

$$\mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}}_\ell)^\omega \cong \mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi)$$

of derived categories.

As a first step, let's unravel the definitions of both sides and describe them explicitly.

## 5.1 Unraveling definitions

### 5.1.1 $L$ -parameter side

Let us first state a lemma that makes the decorations in  $\mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi)$  go away. We postpone its proof to Subsection 5.1.3.

**Lemma 5.1.1.**  $\mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi) \cong \mathcal{D}_{\text{Coh, Nilp}}^b(C_\varphi) \cong \mathcal{D}_{\text{Coh, \{0\}}}^b(C_\varphi) \cong \text{Perf}(C_\varphi)$ .

Let us assume the lemma for the moment. By our computation before,

$$C_\varphi \cong [\mathbb{G}_m / \mathbb{G}_m] \times \mu_{\ell^k} \cong \mathbb{G}_m \times [* / \mathbb{G}_m] \times \mu_{\ell^k},$$

where  $k \in \mathbb{Z}_{\geq 0}$  is maximal such that  $\ell^k$  divides  $q^n - 1$ . So

$$\text{Perf}(C_\varphi) \cong \text{Perf}(\mathbb{G}_m \times [* / \mathbb{G}_m] \times \mu_{\ell^k}) \cong \text{Perf}(\mathbb{G}_m) \otimes \text{Perf}([* / \mathbb{G}_m]) \otimes \text{Perf}(\mu_{\ell^k}).$$

Here, since the category of algebraic representations of the algebraic group  $\mathbb{G}_m$  is semisimple (see for example, [Jan03, I.2.11]),

$$\text{Perf}([* / \mathbb{G}_m]) \cong \bigoplus_{\chi} \text{Perf}(\overline{\mathbb{Z}}_\ell) \chi \cong \bigoplus_{\chi} \text{Perf}(\overline{\mathbb{Z}}_\ell),$$

where  $\chi$  runs over characters of  $\mathbb{G}_m$

$$X^*(\mathbb{G}_m) = \{t \mapsto t^m \mid m \in \mathbb{Z}\} \cong \mathbb{Z}.$$

In conclusion, we have

$$\mathrm{Perf}(C_\varphi) \cong \bigoplus_{\chi} \mathrm{Perf}(\mathbb{G}_m \times \mu_{\ell^k}),$$

where  $\chi$  runs over characters of  $\mathbb{G}_m$

$$X^*(\mathbb{G}_m) = \{t \mapsto t^m \mid m \in \mathbb{Z}\} \cong \mathbb{Z}.$$

### 5.1.2 $\mathrm{Bun}_G$ side

We refer the reader to [FS21, Chapter 1] for details of the notions used below. Let  $\mathrm{Bun}_G$  be the stack of  $G$ -bundles on the Fargues-Fontaine curve.

Since  $\varphi$  is irreducible,

$$\mathcal{D}_{\mathrm{lis}}^{C_\varphi}(\mathrm{Bun}_G, \overline{\mathbb{Z}}_\ell)^\omega \cong \mathcal{D}_{\mathrm{lis}}^{C_\varphi}(\mathrm{Bun}_G^{\mathrm{ss}}, \overline{\mathbb{Z}}_\ell)^\omega,^1$$

where  $\omega$  means the subcategory of compact objects, and  $\mathrm{Bun}_G^{\mathrm{ss}}$  is the semistable locus of  $\mathrm{Bun}_G$ . See [FS21, Section X.2].

Since

$$\mathrm{Bun}_G^{\mathrm{ss}} \cong \bigsqcup_{b \in B(G)_{\mathrm{basic}}} [*/G_b(F)],^2$$

we have

$$\mathcal{D}_{\mathrm{lis}}^{C_\varphi}(\mathrm{Bun}_G^{\mathrm{ss}}, \overline{\mathbb{Z}}_\ell)^\omega \cong \bigoplus_{b \in B(G)_{\mathrm{basic}}} \mathcal{D}^{C_\varphi}(G_b(F), \overline{\mathbb{Z}}_\ell)^\omega,$$

where  $B(G)_{\mathrm{basic}}$  is the subset of basic elements in the Kottwitz set  $B(G)$  of  $G$ -isocrystals.

Let us look closer into each direct summand. In our case  $G = GL_n$ , a  $G$ -isocrystal is a rank  $n$  isocrystal, and it is basic precisely when it has only one slope. So we have

$$B(G)_{\mathrm{basic}} \cong \pi_1(G)_\Gamma \cong \mathbb{Z}.$$

Let us first look at the summand for  $b = 1$  (corresponding to  $0 \in \mathbb{Z} \cong B(G)_{\mathrm{basic}}$ ). For  $b = 1$ ,  $G_b \cong GL_n$ , and

$$\mathcal{D}^{C_\varphi}(G_b(F), \overline{\mathbb{Z}}_\ell)^\omega \cong \mathcal{D}^{C_\varphi}(GL_n(F), \overline{\mathbb{Z}}_\ell)^\omega \cong \mathcal{D}(\mathrm{Rep}_{\overline{\mathbb{Z}}_\ell}(GL_n(F))_{[\pi]})^\omega,$$

where  $\pi \in \mathrm{Rep}_{\overline{\mathbb{F}}_\ell}(GL_n(F))$  is the representation with  $L$ -parameter  $\varphi$ , and  $\mathrm{Rep}_{\overline{\mathbb{Z}}_\ell}(GL_n(F))_{[\pi]}$  is the block of  $\mathrm{Rep}_{\overline{\mathbb{Z}}_\ell}(GL_n(F))$  containing  $\pi$ . And we've computed in Chapter 4 that

$$\mathrm{Rep}_{\overline{\mathbb{Z}}_\ell}(GL_n(F))_{[\pi]} \cong \overline{\mathbb{Z}}_\ell[t, t^{-1}] \otimes \overline{\mathbb{Z}}_\ell[s]/(s^{\ell^k} - 1)\text{-Mod} \cong \mathrm{QCoh}(\mathbb{G}_m \times \mu_{\ell^k}),$$

where  $k \in \mathbb{Z}_{\geq 0}$  is again maximal such that  $\ell^k$  divides  $p^n - 1$ . So we have

$$\mathcal{D}^{C_\varphi}(GL_n(F), \overline{\mathbb{Z}}_\ell)^\omega \cong \mathcal{D}(\mathrm{QCoh}(\mathbb{G}_m \times \mu_{\ell^k}))^\omega \cong \mathrm{Perf}(\mathbb{G}_m \times \mu_{\ell^k}).$$

<sup>1</sup>See [FS21, Definition VII.6.1] for the definition of  $\mathcal{D}_{\mathrm{lis}}$ .

<sup>2</sup>See [FS21, Theorem I.4.1].

We can get a similar description of  $\mathcal{D}^{C_\varphi}(G_b(F), \overline{\mathbb{Z}}_\ell)$  (with arbitrary  $b$ ) for free by the spectral action and its compatibility with  $\pi_1(G)_\Gamma$ -grading. For this, we consider the composition

$$q : C_\varphi \cong \mathbb{G}_m \times [*/\mathbb{G}_m] \times \mu_{\ell^k} \rightarrow [*/\mathbb{G}_m].$$

Recall that

$$\mathrm{Perf}([*/\mathbb{G}_m]) \cong \bigoplus_{\chi} \mathrm{Perf}(\overline{\mathbb{Z}}_\ell)\chi.$$

For any  $\chi$ , we denote by  $\mathcal{M}_\chi$  the corresponding simple object in  $\mathrm{Perf}([*/\mathbb{G}_m])$ . Moreover,  $\mathcal{M}_\chi$  pullbacks to a line bundle on  $C_\varphi$

$$\mathcal{L}_\chi := q^* \mathcal{M}_\chi.$$

We can now state the key proposition that allows us to get to arbitrary  $b \in B(G)_{\mathrm{basic}}$  from the  $b = 1$  case, using the spectral action.

**Proposition 5.1.2.**

1. The restriction of the spectral action by  $\mathcal{L}_\chi$  to  $\mathcal{D}(G_b(F), \overline{\mathbb{Z}}_\ell)$  factors through  $\mathcal{D}(G_{b-\chi}(F), \overline{\mathbb{Z}}_\ell)$ .

$$\begin{array}{ccc} \mathcal{L}_\chi * - : & \mathcal{D}_{\mathrm{lis}}(\mathrm{Bun}_G, \overline{\mathbb{Z}}_\ell) & \longrightarrow \mathcal{D}_{\mathrm{lis}}(\mathrm{Bun}_G, \overline{\mathbb{Z}}_\ell) \\ & \uparrow \cup & \uparrow \cup \\ & \mathcal{D}(G_b(F), \overline{\mathbb{Z}}_\ell) & \dashrightarrow \mathcal{D}(G_{b-\chi}(F), \overline{\mathbb{Z}}_\ell) \end{array}$$

2.  $\mathcal{L}_\chi * - : \mathcal{D}(G_b(F), \overline{\mathbb{Z}}_\ell) \rightarrow \mathcal{D}(G_{b-\chi}(F), \overline{\mathbb{Z}}_\ell)$  is an equivalence of categories, with inverse  $\mathcal{L}_{\chi^{-1}} * -$ .

*Proof.* For the first assertion, see [Zou22, Lemma 5.3.2]. For the second assertion, note that  $\mathcal{L}_\chi$  and  $\mathcal{L}_{\chi^{-1}}$  are inverse to each other once they are well-defined, since  $q^*$  preserves tensor product.  $\square$

So we have

$$\mathcal{D}^{C_\varphi}(\mathrm{Bun}_G, \overline{\mathbb{Z}}_\ell)^\omega \cong \bigoplus_{b \in B(G)_{\mathrm{basic}}} \mathcal{D}^{C_\varphi}(G_b(F), \overline{\mathbb{Z}}_\ell)^\omega \cong \bigoplus_{b \in B(G)_{\mathrm{basic}}} \mathrm{Perf}(\mathbb{G}_m \times \mu_{\ell^k}).$$

### 5.1.3 The nilpotent singular support condition

Now we prove Lemma 5.1.1.

The first isomorphism is because  $C_\varphi$  is connected, hence the quasi-compact support condition qc is automatic.

The second isomorphism needs some computation. For the definition and properties of the nilpotent singular support condition Nilp, we refer to [FS21, Section VIII.2]. At the end of the day, it boils down to the fact that for any point  $\varphi'$  in  $C_\varphi$  valued in an algebraically closed  $\Lambda$ -field  $k$ ,

$$\left( x_{\varphi'}^* \mathrm{Sing}_{[Z^1(W_F, \hat{G})/\hat{G}]/\Lambda} \right) \cap \left( \mathcal{N}_{\hat{G}}^* \otimes_{\mathbb{Z}_\ell} k \right) \cong H^0(W_F, \hat{\mathfrak{g}}^* \otimes_{\mathbb{Z}_\ell} k(1)) \cap \left( \mathcal{N}_{\hat{G}}^* \otimes_{\mathbb{Z}_\ell} k \right) = \{0\},$$

where  $\hat{\mathfrak{g}}^*$  is the dual of the adjoint representation of  $\hat{G}$ ,  $W_F$  acts by conjugacy on  $\hat{\mathfrak{g}}$  through  $\varphi'$  (and then taking dual and Tate twist to get the action on  $\hat{\mathfrak{g}}^* \otimes_{\mathbb{Z}_\ell} k(1)$ ), and  $\mathcal{N}_{\hat{G}}^* \subseteq \hat{\mathfrak{g}}^*$  is the nilpotent cone.

In our case,  $\hat{G} = GL_n$ ,  $\hat{\mathfrak{g}} = M_{n \times n}$  is the set of  $n \times n$  matrices. Take  $\varphi' = \varphi$  for example (the similar argument works for any  $\varphi'$  in  $C_\varphi$ ).  $W_F$  acts by conjugacy on  $\hat{\mathfrak{g}} = M_{n \times n}$  through  $\varphi$ , hence induces an action of  $W_F$  on the dual space with Tate twist  $\hat{\mathfrak{g}}^* \otimes_{\mathbb{Z}_\ell} k(1)$ . One can use the explicit matrices 4.1 of  $s_0$  to compute that the fixed points  $H^0(W_F, \hat{\mathfrak{g}}^* \otimes_{\mathbb{Z}_\ell} k(1))$  is contained in the (dual of) the diagonal torus of  $M_{n \times n}^*$ , the dual Lie algebra  $\hat{\mathfrak{g}}^*$ . On the other hand, the nilpotent cone  $\mathcal{N}_{\hat{G}}^*$  is nothing else than the (dual of) nilpotent matrices in  $M_{n \times n}^*$ . So we conclude that

$$H^0(W_F, \hat{\mathfrak{g}}^* \otimes_{\mathbb{Z}_\ell} k(1)) \cap (\mathcal{N}_{\hat{G}}^* \otimes_{\mathbb{Z}_\ell} k) = \{0\}.$$

The last isomorphism of Lemma 5.1.1 is [FS21, Theorem VIII.2.9].

## 5.2 The spectral action induces an equivalence of categories

To summarize, we have (abstract) equivalences of categories

$$\mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi) \cong \bigoplus_{\chi \in \mathbb{Z}} \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k}) \cong \bigoplus_{b \in \mathbb{Z}} \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k}) \cong \mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}_\ell})^\omega,$$

where we identified both  $X^*(\mathbb{G}_m) \cong X^*(Z(\hat{G}))$  and  $B(G)_{\text{basic}} \cong \pi_1(G)_\Gamma$  with  $\mathbb{Z}$ . The next goal is to show that the spectral action induces an equivalence of categories

$$\mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}_\ell})^\omega \cong \mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi). \quad (5.2.1)$$

### 5.2.1 Definition of the functor

Let's first define the functor. For this, let's choose a Whittaker datum consisting of a Borel  $B \subseteq G$  and a generic character  $\vartheta : U(F) \rightarrow \overline{\mathbb{Z}_\ell}^*$ , where  $U$  is the unipotent radical of  $B$ . Let  $\mathcal{W}_\vartheta$  be the sheaf concentrated on  $\text{Bun}_G^1$  corresponding to the representation  $W_\vartheta := \text{c-Ind}_{U(F)}^{G(F)} \vartheta$ . Let  $W_{\vartheta, [\pi]}$  be the restriction of  $W_\vartheta$  to the block  $\text{Rep}_{\overline{\mathbb{Z}_\ell}}(G(F))_{[\pi]}$ , and  $\mathcal{W}_{\vartheta, [\pi]}$  the corresponding sheaf.

We define our desired functor by spectral acting on  $\mathcal{W}_{\vartheta, [\pi]}$ :

$$\Theta : \mathcal{D}_{\text{Coh, Nilp}}^{b, \text{qc}}(C_\varphi) \cong \text{Perf}(C_\varphi) \longrightarrow \mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}_\ell})^\omega, \quad A \mapsto A * \mathcal{W}_{\vartheta, [\pi]}.$$

### 5.2.2 Equivalence on the degree zero part

We now show that  $\Theta$  induces a derived equivalence on the degree zero part. Before that, we do some preparations.

The main input is local Langlands in families (see [HM18]): For  $G = GL_n$ , there are natural isomorphisms

$$\mathcal{O}(Z^1(W_F, \hat{G})_\Lambda / \hat{G}) \cong \mathcal{Z}_\Lambda(G(F)) \cong \text{End}_G(W_\vartheta),$$



where  $\mathcal{Z}_\Lambda(G(F))$  is the Bernstein center of  $\text{Rep}_\Lambda(G(F))$ ; the first map is the unique map between  $\mathcal{O}(Z^1(W_F, \hat{G})_\Lambda / \hat{G})$  and  $\mathcal{Z}_\Lambda(G(F))$  that is compatible with the classical local Langlands correspondence for  $GL_n$ , hence also same as the map defined in [FS21, Section VIII.4]; the second map is given by the action of the Bernstein center on the representation  $W_\vartheta$ .

We shall also use the following two Lemmas:

**Lemma 5.2.1.** *The restriction of the Whittaker representation  $W_{\vartheta, [\pi]}$  is a finitely generated projective generator of  $\text{Rep}_\Lambda(G(F))_{[\pi]}$ .*

This lemma was proven in [CS19]. Alternatively, we sketch a proof as follows.

*Proof.* For projectivity, see [ABS22, Section 4]. Note their argument is with complex coefficients, but still goes through for  $\overline{\mathbb{Z}}_\ell$ -coefficients, because the Jacquet functor

$$r_{M,G} : \pi \mapsto \pi U$$

is still exact under the assumption that  $p$  is invertible in  $\overline{\mathbb{Z}}_\ell$  (see [Vig96, Section II.2.1]).

For being a generator, in the  $GL_2$  case, one can argue similarly as the  $\mathbb{Q}_\ell$ -case in [BH06, Section 39]. (Note their definition of Whittaker representation is dual to our definition, as an induction instead of compact induction. But it still goes through by taking dual everywhere. See also, [BH03, Section 2.1 and others].) See [BH03] for the  $GL_n$  case.

For finite generation, it's enough to observe that  $W_{\vartheta, [\pi]}$  has finitely many irreducible subquotients (by our explicit description of the block  $\text{Rep}_\Lambda(G(F))_{[\pi]}$  with multiplicity one (again, argue similarly as in [BH06, Section 39] for the multiplicity one property).  $\square$

**Lemma 5.2.2.** *The spectral action is compatible with the map*

$$\mathcal{O}(Z^1(W_F, \hat{G})_\Lambda / \hat{G}) \cong \mathcal{Z}_\Lambda(G(F)).$$

*Proof.* See [Zou22, Section 5].  $\square$

Now we state the main result of this subsection.

By compatibility with  $\pi_1(G)_\Gamma$ -grading (see Proposition 5.1.2),  $\Theta$  restricts to a map between degree-0 parts of both sides

$$\Theta_0 := \Theta|_{\text{Perf}(C_\varphi)_{\chi=0}} : \text{Perf}(C_\varphi)_{\chi=0} \longrightarrow \mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}}_\ell)_{b=0}^\omega,$$

where  $\text{Perf}(C_\varphi)_{\chi=0} \cong \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k})$  and

$$\mathcal{D}_{\text{lis}}^{C_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}}_\ell)_{b=0}^\omega \cong \mathcal{D}(\text{Rep}_{\overline{\mathbb{Z}}_\ell}(G(F))_{[\pi]})^\omega.$$

**Proposition 5.2.3.** *Under the above identifications, the functor*

$$\Theta_0 : \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k}) \longrightarrow \mathcal{D}(\text{Rep}_{\overline{\mathbb{Z}}_\ell}(G(F))_{[\pi]})^\omega \quad A \mapsto A * W_{\vartheta, [\pi]}$$

*is an equivalence of derived categories.*

*Proof.* Let's first prove that  $\Theta_0$  is fully faithful. The key observation is that fully faithfulness can be checked on generators of the triangulated category  $\text{Perf}(C_\varphi)_{\chi=0} \cong \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k})$  (see Lemma 5.2.5). In our case, the structure sheaf  $\mathcal{O}$  is a generator of  $\text{Perf}(\mathbb{G}_m \times \mu_{\ell^k})$ , hence it suffices to check fully faithfulness on the structure sheaf. Recall this map sends the structure sheaf  $\mathcal{O} \in \text{Perf}(\mathbb{G}_m \times \mu_{\ell^k})$  to the restriction of the Whittaker representation  $W_{\vartheta, [\pi]}$ . So it suffices to show that the map between Hom-sets in the derived category

$$\text{Hom}(\mathcal{O}, \mathcal{O}[n]) \rightarrow \text{Hom}(W_{\vartheta, [\pi]}, W_{\vartheta, [\pi]}[n])$$

is a bijection for all  $n \in \mathbb{Z}$ . The case  $n \neq 0$  follows from the vanishing of higher Ext for projective objects ( $\mathcal{O}$  and  $W_{\vartheta, [\pi]}$ ). For  $n = 0$ ,  $\text{Hom}(\mathcal{O}, \mathcal{O}) \cong \mathcal{O}(C_\varphi)$ , and the above map fits into the following commutative diagram by Lemma 5.2.2, hence a bijection.

$$\begin{array}{ccc} \mathcal{O}(Z^1(W_F, \hat{G})_\Lambda / \hat{G}) & \xrightarrow{\cong} & \text{End}_G(W_\vartheta) \\ \cup & & \cup \\ \mathcal{O}(C_\varphi) & \longrightarrow & \text{End}_G(W_{\vartheta, [\pi]}) \end{array}$$

The essentially surjectivity follows from Lemma 5.2.1 that  $W_{\vartheta, [\pi]}$  is a finitely generated projective generator of  $\text{Rep}_\Lambda(G(F))_{[\pi]}$ .  $\square$

*Remark 5.2.4.* We remark that to use Lemma 5.2.5 in the above proof, we need the fact that the spectral action commutes with direct sums. Indeed, it commutes with colimits. This boils down to the fact that the Hecke operators commute with colimits, as they are defined using pullback, tensor product, and shriek pushforward, all of which are left adjoints, hence commutes with colimits.

**Lemma 5.2.5.** *Let  $F : \mathcal{D}_1 \rightarrow \mathcal{D}_2$  be a triangulated functor between triangulated categories that commutes with direct sums, and let  $E$  be a generator of  $\mathcal{D}_1$ . Assume that  $F$  induces isomorphisms*

$$\text{Hom}(E, E[n]) \cong \text{Hom}(F(E), F(E[n]))$$

*for all  $n \in \mathbb{Z}$ , then  $F$  is fully faithful.*

*Proof.* We use the general lemma [SP, Stack, Tag 0ATH] twice.

To check that condition (1) and (3) in the general lemma holds, we use  $F$  commutes with direct sums.

To check that condition (2) in the general lemma holds, we use the five lemma.

We first apply it with the property  $T = T_1$ : an object  $M \in \mathcal{D}_1$  has the property  $T_1$  (written  $T_1(M)$ ) if  $F$  induces isomorphisms

$$\text{Hom}(M, E[n]) = \text{Hom}(F(M), F(E[n]))$$

for all  $n \in \mathbb{Z}$ . The assumption implies that condition (4) in the general lemma holds:  $T_1(E[n])$  for all  $n \in \mathbb{Z}$ . Therefore,  $T_1(M)$  for all  $M \in \mathcal{D}_1$ .

We then apply it with the property  $T = T_2$ : an object  $N \in \mathcal{D}_1$  has the property  $T_2$  (written  $T_2(N)$ ) if  $F$  induces isomorphisms

$$\text{Hom}(M, N) = \text{Hom}(F(M), F(N))$$

for all  $M \in \mathcal{D}_1$ . By the last paragraph,  $T_1(M)$  for all  $M \in \mathcal{D}_1$ , i.e.,  $T_2(E[n])$  for all  $n \in \mathbb{Z}$ . Therefore,  $T_2(N)$  for all  $N \in \mathcal{D}_1$ . In other words,  $F$  is fully faithful.  $\square$

### 5.2.3 The full equivalence

Finally, we use the spectral action to get the full equivalence. Indeed, on the  $L$ -parameter side, for any character  $\chi' \in X^*(\mathbb{G}_m)$ , tensoring with  $\mathcal{L}_{\chi'}$  induces an equivalence

$$\mathcal{L}_{\chi'} \otimes - : \text{Perf}(C_\varphi)_{\chi=0} \cong \text{Perf}(C_\varphi)_{\chi=\chi'}.$$

Similarly, on the  $\text{Bun}_G$  side, by Proposition 5.1.2, spectral acting by  $\mathcal{L}_{\chi'}$  induces an equivalence

$$\mathcal{L}_{\chi'} * - : \mathcal{D}_{\text{lis}}^{G_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}}_\ell)_{b=0}^\omega \cong \mathcal{D}_{\text{lis}}^{G_\varphi}(\text{Bun}_G, \overline{\mathbb{Z}}_\ell)_{b=-\chi'}^\omega.$$

Therefore, we get the full equivalence via the spectral action.



## Chapter 6

# Conclusions and questions

In this last chapter, let us make some concluding remarks and raise some further questions.

### 6.1 How do Chapters 2, 3 help?

First, let us reflect on how Chapters 2, 3 help to prove the categorical conjecture in Chapter 5. It helps to write the  $L$ -parameter side as a  $\mathbb{Z}$ -grading of derived categories of modules over some ring (so that we can reduce to the degree-zero case and that we can check fully faithfulness on the generator). It does not help much thereafter if one accepts the local Langlands in families (LLIF)

$$\mathcal{O}(Z^1(W_F, \hat{G})_\Lambda / \hat{G}) \cong \mathcal{Z}_\Lambda(G(F)) \cong \text{End}_G(W_\vartheta).$$

Indeed, the description of the representation side can be reproved using LLIF and that  $W_{\vartheta, [\pi]}$  is a projective generator of  $\text{Rep}_\Lambda(G(F))_{[\pi]}$ .

However, we note that (assuming the compatibility of Fargues-Scholze with the usual local Langlands correspondence for  $GL_n$ ) it's possible to use Chapters 2, 3 to reprove the first isomorphism in LLIF. It boils down to the fact that if we have a morphism

$$f : \mathbb{G}_m \times \mu \longrightarrow \mathbb{G}_m \times \mu$$

over  $\overline{\mathbb{Z}}_\ell$ , which becomes an isomorphism after base change to  $\overline{\mathbb{Q}}_\ell$ , then  $f$  is an isomorphism over  $\overline{\mathbb{Z}}_\ell$ .

Moreover, assuming the result in Chapter 3, the second isomorphism in LLIF (when restricted to the block  $\text{Rep}_\Lambda(G(F))_{[\pi]}$ ) is almost equivalent to the statement that  $W_{\vartheta, [\pi]}$  is a projective generator of  $\text{Rep}_\Lambda(G(F))_{[\pi]}$  (together with the fact that the irreducible representation of the block  $\text{Rep}_\Lambda(G(F))_{[\pi]}$  occur with multiplicity one in  $W_{\vartheta, [\pi]}$ ). The latter can be proven almost by hand as in Lemma 5.2.1.

### 6.2 Relation to Bernstein's projective generator

In [Ber92, p46, Section 3.3], Bernstein constructed a certain projective generator

$$\text{c-Ind}_{G^0}^{G(F)}(\rho|_{G^0})$$

of a supercuspidal block of  $G(F)$  by inducing from  $G^0$ , the subgroup generated by compact subgroups (for representations with  $\mathbb{C} \cong \overline{\mathbb{Q}}_\ell$  coefficients). It is interesting to understand the relation between the projective generators constructed in Chapter 3 and Bernstein's projective generators.

### 6.3 The categorical conjecture for general groups

Since our results in Chapter 2, 3 also work for general reductive groups (other than  $GL_n$ ), it is expected that they can be used to prove the categorical local Langlands conjectures for the depth-zero regular supercuspidal blocks of general reductive groups. In particular, the  $\mu$  occurring in the result of the  $L$ -parameter side (see Theorem 2.2.2) should match with the block  $\mathcal{A}_{x,1}$  (see Section 3.5) occurring on the representation side: we should have

$$\mathrm{QCoh}(\mu) \cong \mathcal{A}_{x,1}.$$

Indeed,  $\mu = (T^{\mathrm{Fr}=(-)^q})^0$  is certain fixed points of a torus (see Theorem 2.1.8), and  $\mathcal{A}_{x,1}$  is also a block of some finite torus via Broué's equivalence 3.2.4. And these two finite tori should match (using the identification that  $\mathrm{QCoh}(\mu_{n,\Lambda}) \cong \mathrm{Rep}_\Lambda(\mathbb{Z}/n\mathbb{Z})$ ).

One possible way to do this is via the (so far unknown in general) compatibility of Fargues-Scholze with classical local Langlands correspondences for depth-zero regular supercuspidal representations, say the work of DeBacker-Reeder [DR09]. Then these two finite torus should be related by local Langlands for tori (see [DR09, Section 4.3]).

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