# CHENLU YE

University of Illinois Urbana-Champaign chenluy3@illinois.edu | https://chenluye99.github.io/

## RESEARCH INTERESTS

- Reinforcement learning from human feedback (RLHF) for aligning large language model;
- Reinforcement Learning Theory;
- Statistical Machine Learning.

## **EDUCATION**

University of Illinois Urbana-Champaign Ph.D. student, Computer Science Advisor: Prof. Tong Zhang	Urbana, USA 2024.8 - present
The Hong Kong University of Science and Technology MPhil, Artificial Intelligence Advisor: Prof. Tong Zhang and Prof. Yuan Yao	Hong Kong, China 2021.9 - 2024.8
University of Science and Technology of China Bachelor of Science, <i>Statistics</i>	Hefei, China 2017.9 - 2021.6

#### RESEARCH

# Self-rewarding correction for mathematical reasoning

2024.12 - 2025.2

University of Illinois Urbana-Champaign Advisor: Prof. Tong Zhang from UIUC

- We post-trained a single large language model to have the capabilities of self-rewarding and self-correcting simultaneously.
- We formulated the problem as multi-turn mathematical reasoning and combined supervised finetuning (SFT) and direct preference learning (DPO) with the self-generated dataset.
- Our approach outperformed intrinsic self-correction and achieved performance comparable to systems with external reward models.

# Sharper Rate and Logarithmic Regret Bound for KL-regularized RL

2024.08 - 2025.2

University of Illinois Urbana-Champaign

Advisor: Prof. Tong Zhang from UIUC, Prof. Quanquan Gu from UCLA

- We studies the role of KL-regularization and data coverage for KL-regularized contextual bandits and MDPs.
- We found that the KL constraint leads to a sharper sample complexity, and the sample complexity also enjoys a better coverage dependence on the data-collector policy under an on-policy framework.
- Furthermore, we obtain **logarithmic** bounds with optimistic reward estimation.

# Algorithm Designs in Reinforcement Learning from Human Feedback (RLHF) 2023.11 - 2024.8

The Hong Kong University of Science and Technology Advisor: Prof. Tong Zhang, Prof. Nan Jiang from UIUC

- We formulated the real-world RLHF process as a reverse-KL regularized contextual bandits for preference satisfying Bradley-Terry (BT) model and a the reverse-KL regularized minimax game under general preference, respectively. We studied its theoretical property by proposing statistically efficient algorithms with finite-sample theoretical guarantee.
- We connected our theoretical findings with practical algorithms (e.g. DPO, RSO, iterative IPO), offering new tools and insights for the algorithmic design of alignment algorithms.

# Corruption-Robust Reinforcement Learning with General Function Approximation 2022.9 - 2024.2

University of California, Los Angeles, and The Hong Kong University of Science and Technology Advisor: Prof. Tong Zhang, Prof. Quanquan Gu from UCLA

- We developed a series of corruption-robust algorithms based on uncertainty weighting for online and offline, value-based and model-based settings.
- We provided theoretical analysis for each algorithm, which enjoy an optimal regret dependence on the corruption level. We implemented the offline algorithm practically under various datacorruption scenarios, which outperforms the state-of-the-art.

#### Optimal Sample Selection Through Uncertainty Estimation and Its Application in Deep Learning 2020.3 - 2021.8

**HKUST** 

Advisor: Prof. Tong Zhang, and Prof. Yuan Yao from HKUST

- We proposed a theoretically optimal and computationally efficient sample selection approach.
- We effectively applied it to deep learning and is robust to misspecification (by down-weighting highly uncertain samples).

# Provably Efficient Learning in High-Dimensional Batched Bandits USTC

2020.3-2021.7

Advisor: Prof. Zhaoran Wang from Northwestern University

- We designed an efficient algorithm for high-dimensional linear contextual bandits with batched feedback.
- Our algorithm enjoyed nearly the same regret order as the sequential case.
- We extended the algorithm to low-rank matrix bandits.

# **EXPERIENCE**

# University of California, Los Angeles:

Visiting Research Scholar advised by Prof. Quanquan Gu

2023.8 - 2023.12

## The Hong Kong University of Science and Technology:

Teaching Assistant: EMIA 2020 - Cross-disciplinary Design Thinking

Fall 2022

## University of Science and Technology of China:

Teaching Assistant: Mathematical Statistics

Fall 2020

Spring 2020

# Teaching Assistant: Linear Algebra

HONORS AND AWARDS

Gold Prize for Outstanding Student Scholarship (1/40)

Bronze Prize for Outstanding Student Scholarship

2020.9 2019.9

Bronze Prize for Outstanding Student Scholarship

2018.9

## SELECTED PUBLICATIONS AND PREPRINTS

(\* denotes alphabetical order or equal contribution)

- [1] <u>Chenlu Ye</u>, Yujia Jin, Alekh Agarwal, Tong Zhang, "Catoni Contextual Bandits are Robust to Heavy-tailed Rewards", [Preprint].
- [2] Heyang Zhao Chenlu Ye, Quanquan Gu, Tong Zhang, "Sharp Analysis for KL-Regularized Contextual Bandits and RLHF", [Preprint].
- [3] <u>Chenlu Ye\*</u>, Wei Xiong\*, Yuheng Zhang\*, Hanze Dong\*, Nan Jiang, Tong Zhang, "Online iterative reinforcement learning from human feedback with general preference model", [NeurIPS 2024].
- [4] Wei Xiong\*, Hanze Dong\*, <u>Chenlu Ye\*</u>, Han Zhong, Nan Jiang, Tong Zhang, "Iterative preference learning from human feedback: Bridging theory and practice for rlhf under kl-constraint", [ICML 2024]
- [5] <u>Chenlu Ye\*</u>, Jiafan He\*, Quanquan Gu, Tong Zhang, "Towards robust model-based reinforcement learning against adversarial corruption", [ICML 2024].
- [6] <u>Chenlu Ye\*</u>, Rui Yang\*, Quanquan Gu and Tong Zhang, "Corruption-Robust Offline Reinforcement Learning with General Function Approximation", [NeurIPS 2023].
- [7] Yong Lin\*, Chen Liu\*, Chenlu Ye\*, Qing Lian, Yuan Yao and Tong Zhang, "Optimal Sample Selection Through Uncertainty Estimation and Its Application in Deep Learning", [Preprint].
- [8] <u>Chenlu Ye</u>, Wei Xiong, Quanquan Gu, and Tong Zhang, "Corruption-Robust Algorithms with Uncertainty Weighting for Nonlinear Contextual Bandits and Markov Decision Processes", [ICML 2023].
- [9] Jianqing Fan\*, Zhaoran Wang\*, Zhuoran Yang\*, <u>Chenlu Ye\*</u>, "Provably Efficient High-Dimensional Bandit Learning with Batched Feedbacks", [Preprint].

## PROFESSIONAL ACTIVITY

Conference Reviewer: ICML, NeurIPS, ICLR, AISTAT.

Journal Reviewer: Machine Learning, Artificial Intelligence.