# **Automatic Essay Evaluation**

A Project Report
submitted by

Sai Chaitanya Banala(12EC25) Chetan Giridhar Vashisht (12EC31) Sharang Kulkarni (12EC85)

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Dr. Raghavendra Bobbi

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# DEPARTMENT OF ELECTRONICS AND COMMUNICATION ENGINEERING NATIONAL INSTITUTE OF TECHNOLOGY KARNATAKA SURATHKAL, MANGALORE - 575025

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#### **ABSTRACT**

Standardized tests are hampered by the manual effort required to score student-written essays. Manual grading of students' essays is a time-consuming, labour-intensive and expensive activity for educational institutions. It is nevertheless necessary since essays are considered to be the most useful tool to assess learning outcomes. Automated essay evaluation represents a practical solution to this task.

In this project we evaluate essays on a content based approach coupled with statistical substitutes using various algorithms. The data-set consisted of 13000 essays from Kaggle.com. These essays were divided into 8 different essay sets based on context. We combine simple, shallow features of the essays, such as character length and word length, with linguistic features. Our combined model gives significant reduction in prediction error. Quadratic weighting Kappa which measures agreement between predicted scores and human scores, was used as an error metric. Finally, we got insights into which features could improve the model.

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#### CHAPTER 1 Introduction

Essays are crucial testing tools for assessing academic achievement, integration of ideas and ability to recall but they are expensive and time consuming for states to grade them by hand. So, we are frequently limited to multiple-choice standardized tests. We believe that automated scoring systems can yield fast, effective and affordable solutions that would allow states to introduce essays and other sophisticated testing tools. We believe that you can help us pave the way towards a breakthrough.

Analyzing natural language, or free-form text used in everyday human-to-human communications, is a vast and complex problem for computers regardless of the medium chosen, be it verbal communications, writing, or reading. Ambiguities in language and the lack of one correct solution to any given communication task make grading, evaluating or scoring a challenging undertaking. In general, this is a perfect domain for the application of machine learning techniques with large feature spaces, and huge amounts of data containing interesting patterns.

#### This project

- challenges us of automated student assessment systems to demonstrate their current capabilities.
- compare the efficacy and cost of automated scoring to that of human graders.
- reveal product capabilities and motivates people to adopt them.

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In the memoir "Narciso Rodriguez" from Home: The Blueprints of Our Lives there is a mood of happyness created. There are several examples from the article that show happyness. The first example is "they came selflessly, as many immigrants do, to give their child a better life".

Narciso's parents left everything they had just to give their son a better life. This made him happy because he knew his parents loved him and would do anything for him. The second example is "I will always be grateful to my parents for their love and sacrifice." This shows he is very aware all his parents did for him and makes him truly happy knowing they care for him that much. The third and final example is "It was here I learded the true definition of family." Where he moved to his parents, siblings, and neighbors became his "family" and although he didn't know all of his "real" family he was happy with the one he had. Those three examples show the mood of the memoir "Narciso Rodriguez".
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Figure 1.1: A typical essay

#### 1.1 Motivation

Our previous project on Search Engine Relevance using NLP techniques and statistical features motivated us into taking up a project in the same domain when we learnt that one of the key roadblocks to advancing school-based curricula focused on critical thinking and analytical skills is the expense associated with scoring tests to measure those abilities. For example, tests that require essays and other constructed responses are useful tools, but they typically are hand scored, commanding considerable time and expense from public agencies. So, because of those costs, standardized examinations have increasingly been limited to using bubble tests that deny us opportunities to challenge our students with more sophisticated measures of ability.

# CHAPTER 2 Previous Work

During our previous semester we went with the relevance of results yielded by search engines. This was a completed competition on Kaggle and the solutions were available to the public. The purpose of the project was to get us acquainted with basic NLP tools and ensemble modelling.

Based on the product title and the description of the product given by the search engine, we are supposed to rate how relevant it is to the query on a scale of 1 to 4. 4 being the most relevant. The metric of measurement used here was the quadratic weighing kappa. This metric penalises the square of the difference from the original result.

# 2.1 Preprocessing

#### 2.1.1 HTML tag dropping

HTML tags are dropped to make it convenient for further processing.

#### 2.1.2 Synonym replacement and spelling correction

These include changing certain words in the text to maintain uniformaty within the whole text. For example, children's, childrens', childrens, children, child', child, child's and kid are all replaced by kid.

# 2.1.3 Stemming

Stemming refers to changing words to their root forms i.e removal of extensions like -ly, - ing, -ed etc. Stemming is performed using nltk's built in package nltk.stem.PorterStemmer().

# 2.1.4 Enumeration of Query IDs

Each of the query entries from the dataset are mapped to a query id in a 261 range of numbers.

#### 2.2 Feature Extraction

Feature Extraction is performed on the entire dataset, to get some numbers out of the text inputs. The basic feature extraction can be divided into four parts, Counting features, Distance features, TF-IDF features and other miscellaneous features. The first step is extraction of n-grams (n = 1, 2, 3) i.e unigrams, bigrams and trigrams from each

data sample.

Example : A blue LED strip

Unigrams: {A, blue, LED, strip}

**Bigrams**: {A blue, blue LED, LED strip} **Trigrams**: {A blue LED, blue LED strip}

The tuple  $(q_i, t_i, d_i)$  denotes the  $i^{th}$  sample of query, product\_title and product\_description respectively.  $r_i$  and  $v_i$  are the median\_relevence (training labels) and relevence\_variance

respectively.

#### 2.2.1 Counting Features

We plan to generate several counting features related the tuple  $(q_i, t_i, d_i)$  of the  $i^{\text{th}}$  data sample.  $a_i$  refers to any of the components of the tuple  $(q_i, t_i, d_i)$ .

#### 2.2.2 Basic Counting Features

- Count of n-grams i.e  $ngram(a_i, n)$ .
- Count and Ratio of Digits in  $a_i$ .
- Count and Ratio of Unique n-grams in  $a_i$ .
- Binary indicator indicating whether description field  $d_i$  is empty.

#### 2.2.3 Intersect Counting Features

Count and Ratio of a's n-gram in b's n-gram such features are computed for all the combinations of  $a \in \{q_i, t_i, d_i\}$  and  $b \in \{q_i, t_i, d_i\}$ ,  $(a \neq b)$ .

#### 2.2.4 Intersect Position Features

#### Statistics of Positions of a's n-gram in b's n-gram

For those intersect n-gram, their positions are computed and the following statistics are taken as features.

- Minimum value (0% quantile)
- Median value (50% quantile)
- Maximum value (100% quantile)
- Mean value
- Standard deviation
- Statistics of Normalized Positions of a's n-gram in b's n-gram

#### 2.2.5 Distance Features

Defining two new distance metrics, for two sets A and B,

$$\operatorname{JaccardCoef}(A,B) = \frac{|A \cap B|}{|A \cup B|}$$

and

$$DiceCoeff(A, B) = \frac{2|A \cap B|}{|A| + |B|}$$

These are new metrics which are used later for the purpose of distance calculations.

#### 2.2.6 Basic Distance Features

The following distances are computed as features

 $\mathbf{D}(\mathbf{ngram}(q_i, n), \mathbf{ngram}(t_i, n))$ 

 $\mathbf{D}(\mathbf{ngram}(q_i, n), \mathbf{ngram}(d_i, n))$ 

 $\mathbf{D}(\mathbf{ngram}(t_i, n), \mathbf{ngram}(d_i, n))$ 

where  $D(.,.) \in \{JaccardCoef(set(.), set(.)), DiceDist(set(.), set(.))\}, and set(.) converts the input list to a set.$ 

#### 2.2.7 TF-IDF features

This is the most important set of features. The result of TFIDF features is an ultra sparse matrix on which we operate later. There are two sets of TFIDF features extracted from the dataset. In the first case we concatenate the query with the product\_title and extract the features from this one. For the second case, we concatenate the query, the product\_title and the product\_description. We then feed each of the extracted features to two separate pipelines.

# 2.3 Pipeline Architecture and Model Building

The extracted features are fed to a pipeline where we conduct a grid search to find the optimal set of parameters for the cost function (The quadratic weighing kappa). The stages for the pipeline are as follows.

- Singular Value Decomposition
- Standard Scalar
- Support Vector Machine

# 2.4 Singular Value Decomposition(SVD)

We use SVD to reudce the dimentionality of our feature space from an ultra sparse matrix to 300 components.

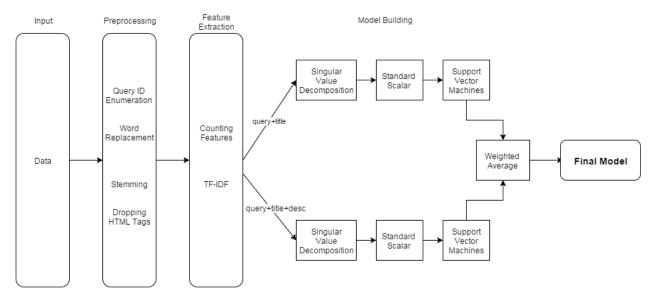


Figure 2.1: Flow of the project

#### 2.4.1 Standard Scalar (SS)

Normalisation is conducted on the new feature space, via mean normalisation. This ensures that all componets are within the same range, so that operations conducted on them later (like Eucledian distance) will not give an dimention any extra weightage.

#### 2.4.2 Support Vector Machine(SVM)

The last stage of the pipeline is an SVM where the new feature set is fed. The classifier attempts to classify based on the cost function.

#### 2.4.3 Grid Search

We fit the pipeline onto the dataset and then conduct a parameter wide grid search to determine the most feasible set of parameters to optimise our cost function. Upon conducting a grid search on both the pipelines we get two fitting models.

# 2.4.4 Ensemble Average

We get two SVM models, one from each pipeline. The accuracies of both models are 0.54 and 0.56 respectively. The ensemble average of two yields 0.63. The ensemble average used here is the arithmetic mean.

#### 2.5 Conclusions

On using an ensemble model we obtain a relevance score of 0.63 in under ten minutes of computation. Although this not as good as the one done by the winners (0.71), it

takes mush lesser time to compute the output. The time requirements are over five hours for the winning solution. Here is a sample confusion matrix genrated after the ensemble model.

$$C = \begin{pmatrix} 150 & 35 & 2 & 1\\ 65 & 200 & 65 & 11\\ 2 & 47 & 185 & 92\\ 9 & 51 & 354 & 1200 \end{pmatrix}$$

# CHAPTER 3 Literature Survey

# 3.1 Our Approach

We are implementing a paper and and adding some our own ideas to the rating system. The main paper that we are referring to is *Modeling Argument Strength in Student Essays*.

A major weakness of many existing scoring engines such as the Intelligent Essay Assessor (Landauer et al., 2003) is that they adopt a holistic scoring scheme, which summarizes the quality of an essay with a single score and thus provides very limited feedback to the writer. In particular, it is not clear which dimension of an essay (e.g., style, coherence, relevance) a score should be attributed to. Recent work addresses this problem by scoring a particular dimension of essay quality such as coherence (Miltsakaki and Kukich, 2004), technical errors, relevance to prompt (Higgins et al., 2004; Persing and Ng, 2014), organization (Persing et al., 2010), and thesis clarity. Essay grading software that provides feedback along multiple dimensions of essay quality such as E-rater/Criterion has also begun to emerge.

We aim to develop a computational model for scoring the essay dimension of argument strength, which is arguably the most important aspect of argumentative essays. Argument strength refers to the strength of the argument an essay makes for its thesis. An essay with a high argument strength score presents a strong argument for its thesis and would convince most readers. While there has been work on designing argument schemes (e.g., Burstein et al. (2003), Song et al. (2014), Stab and Gurevych (2014a)) for annotating arguments manually (e.g., Songal. (2014), Stab and Gurevych (2014b)) and automatically (e.g., Falakmasir et al. (2014), Songal. (2014)) in student essays, little work has been done on scoring the argument strength of student essays. It is worth mentioning that some work has investigated the use of automatically determined argument labels for heuristic (Ong et al., 2014) and learning-based (Song et al., 2014) essay scoring, but their focus is holistic essay scoring, not argument strength essay scoring.

# 3.2 Concepts and methods

Automatic essay evaluation mainly revolves around three models of feature extraction and classification.

Automated scoring of textual response thus requires as its fundamental prerequisite a complex of techniques which address the full linguistic structure of texts (and thus the full verbal capacity of test subjects) to a greater or lesser extent, and with greater or lesser inferential complexity. Approaches to the scoring of textual responses to be discussed in this chapter involve three types of 2 linguistic analyses:

Linguistic feature-based methods In these approaches, specific linguistic features are extracted from target texts and regression analyses are performed to determine their

correlation with variables that summarize performance in a task that is conceptually meaningful or that correlates with measures of student proficiency as gauged by a criterion such as human raters or performance on other tasks. In this class of methods, the focus is on both extracting features and summarizing them at the level of individual task performances. The features that are being extracted correspond to construct-level categories, that is, to categories of linguistic structure, such as particular grammatical constructions, particular classes of words, or other construct-level generalizations over language structure.

Vector space methods These include Latent Semantic Analysis. These approaches construct a vector model over large quantities of linguistic information and use cosine distance (or some other metric on the vector space) as the basis of an empirically derived predictive model. In this class of methods, the focus is on a mathematical summary of features, where features may be as simple as the vector of counts of words used. Such methods can be distinguished from feature-based methods in that they employ no direct representation of construct-level categories. Generalizations about construct structure emerge from the mathematical summary of the features and not from the features themselves.

Linguistic structure analysis In these approaches, natural language processing techniques are applied to build a (partial) model of the mental representations associated with task-relevant constructs, and these representations are used in turn to predict task-relevant constructs. The natural language processing techniques can vary from relatively simple information extraction techniques, where the focus is on identifying recurrent patterns, to full sentence and discourse parsing with semantic interpretation. What distinguishes linguistic analysis of this sort from simpler methods is that the analysis extracts a structure, a set of elements and their relations, rather than simple quantifiable properties such as word counts or the frequency of construct categories.

Project Essay Grade uses a linguistic based model to extract features. The Intelligent Essay Assessor primarily uses Latent Semantic Analysis and E-rater uses a combination of feature analysis, vector space models and linguistic analysis.

# 3.3 Results on Kaggle

The competition on Kaggle was completed in April 2012. The results announced here are compared by Ben Hammer. He compares the various approaches used by the winning teams and their accuracies. All the solutions of the winners are a secret and have not been published. They were awarded by the Hewlett Foundation. Quoting from *Contrasting State-of-the-Art Automated Scoring of Essays Analysis* 

Six of the eight essays were transcribed from their original handwritten responses using two transcription vendors. Transcription accuracy rates were computed at 98.70% for 17,502 essays. The remaining essays were typed in by students during the actual assessment and provided in ASCII form. Seven of the eight essays were holistically scored and one employed score assignments for two traits. Scale ranges, rubrics, and scoring adjudications for

the essay sets were quite variable. Results were presented on distributional properties of the data (mean and standard deviation) along with traditional measures used in automated essay scoring: exact agreement, exact+adjacent agreement, kappa, quadratic weighted kappa, and the Pearson r. The results demonstrated that overall, automated essay scoring was capable of producing scores similar to human scores for extended-response writing items with equal performance for both source-based and traditional writing genre. Because this study incorporated already existing data (and the limitations associated with them), it is highly likely that the estimates provided represent a floor for what automated essay scoring can do und er operational conditions.

There are several arguments against the use of machine learning for automatic essay evaluation, especially in this competition. Quoting from Critique (Ver. 3.4) of Mark D. Shermis & Ben Hammer, Contrasting State of the Art Automated Scoring of Essays: Analysis

Although the unpublished study by Shermis & Hammer (2012) received substantial publicity about its claim that automated essay scoring (AES) of student essays was as accurate as scoring by human readers, a close examination of the papers methodology and the data sets used demonstrates that such a claim is not supported by the data in the study. The studys methodology used one variable for comparing human readers and a different variable for comparing machine scores, this difference artificially privileging the machines in half the datasets. Moreover, conclusions were drawn without the performance of statistical tests and inferences were based solely on impressionistic and sometimes inaccurate comparisons. In addition, there was no standard testing of the model as a whole for significance, which given the large number of comparisons, allowed machine variables to surpass human readers merely through random chance. Finally, half of the datasets used were not essays but short one paragraph responses involving literary analysis or reading comprehension that were not evaluated on any construct involving writing. Because of the widespread publicity surrounding this study and that its findings may be used by states and state consortia in implementing the Common Core State Standards Initiative, the authors should make the test dataset publicly available for analysis.

# CHAPTER 4 Data and Evaluation

For this project, we used the data-sets from a competition hosted on Kaggle. There are eight essay sets. Each of the sets of essays was generated from a single prompt. Selected essays range from an average length of 150 to 550 words per response. Some of the essays are dependent upon source information and others are not. All responses were written by students ranging in grade levels from Grade 7 to Grade 10. All essays were hand graded and were double-scored. Each of the eight data sets has its own unique characteristics.

#### 4.1 Dataset

The dataset contains the following columns

essay\_id: A unique identifier for each individual student essay.

essay\_set: 1-7, an id for each set of essays. essay: The ascii text of a student's response.

rater 1 : Rater 1's score.
rater 2 : Rater 2's score.

# 4.2 Anonymization

All the personally identifying information was removed from the essays using the Named Entity Recognizer (NER) from the Stanford Natural Language Processing group and a variety of other approaches. The relevant entities are identified in the text and then replaced with a string such as "@PERSON1."

The entitities identified by NER are: "PERSON", "ORGANIZATION", "LOCATION", "DATE", "TIME", "MONEY", "PERCENT"

Other replacements made: "MONTH" (any month name not tagged as a date by the NER), "EMAIL" (anything that looks like an e-mail address), "NUM" (word containing digits or non-alphanumeric symbols), and "CAPS" (any capitalized word that doesn't begin a sentence, except in essays where more than 20% of the characters are capitalized letters), "DR" (any word following "Dr." with or without the period, with any capitalization, that doesn't fall into any of the above), "CITY" and "STATE" (various cities and states).

Here are some hypothetical examples of replacements made:

- "I attend Springfield School..." --> "...I attend ORGANIZATION1"
- "once my family took my on a trip to Springfield." -- > "once my family took me on a trip to LOCATION1"
- "John Doe is a person, and so is Jane Doe. But if I talk about Mr. Doe, I can't tell that's the same person." > "...PERSON1 is a person, and so is PERSON2. But if you talk about PERSON3, I can't tell that's the same person."

• "...my phone number is 555-2106" -->"...my phone number is NUM1"

Any words appearing in the prompt or source material for the corresponding essay set were white-listed and not anonymized.

#### 4.3 Evaluation

Essay score predictions are evaluated using objective criteria.

Specifically, the performance is evaluated with the quadratic weighted kappa error metric, which measures the agreement between two raters. This metric typically varies from 0 (only random agreement between raters) to 1 (complete agreement between raters). In the event that there is less agreement between the raters than expected by chance, this metric may go below 0. The quadratic weighted kappa is calculated between the automated scores for the essays and the resolved score for human raters on each set of essays. The mean of the quadratic weighted kappa is then taken across all sets of essays. This mean is calculated after applying the Fisher Transformation to the kappa values.

A set of essay responses E has N possible ratings, 1, 2, ..., N and two raters, Rater A and Rater B. Each essay response e is characterized by a tuple  $(e_a, e_b)$ , which corresponds to its scores by Rater A (resolved human score) and Rater B (automated score). The quadratic weighted kappa is calculated as follows. First, and N-by-N histogram matrix O is constructed over the essay ratings, such that  $O_{i,j}$  corresponds to the number of essays that received a rating i by Rater A and a rating j by Rater B.

An N-by-N matrix of weights, w, is calculated based on the difference between raters scores:

$$w_{i,j} = \frac{(i-j)^2}{(N-1)^2}$$

An N-by-N histogram matrix of expected ratings, E, is calculated, assuming that there is no correlation between rating scores. This is calculated as the outer product between each raters histogram vector of ratings, normalized such that E and O have the same sum.

From these three matrices, the quadratic weighted kappa is calculated:

$$\kappa = 1 - \frac{\sum_{i,j} w_{i,j} O_{i,j}}{\sum_{i,j} w_{i,j} E_{i,j}}$$

The Fisher Transformation is approximately a variance-stabilizing transformation and is defined:

$$z = \frac{1}{2} \ln \frac{1+\kappa}{1-\kappa}$$

Since this transformation approaches infinity as kappa approaches 1, the maximum kappa value is capped at 0.999. Next the mean of the transformed kappa values is calculated in the z-space. For Essay Set 2, which has scores in two different domains, each transformed kappa is weighted by 0.5. This means that each dataset has an equally

weighted contribution to the final score. Finally, the reverse transformation is applied to get the average kappa value:

$$\kappa = \frac{e^{2z} - 1}{e^{2z} + 1}$$

# CHAPTER 5 Feature Extraction

Since the essays are all rated on a different scale, the training file is first split into eight different files (split according to the essay set). Then, the features are extracted on each of the files and stored to use in the future. Instead of extracting the same features repeatedly, we append the new features extracted to the existing file. (extracting the features is costly as it takes 1.5 seconds per essay and there are over ten thousand essays)

The evaluation metric for the project is the quadratic weighing kappa. This metric penalises the square of the difference between the scores of the rater and the prediction.

Statistical features, grammatical features, argument based features and miscellaneous features are the broad types of features extracted.

#### 5.1 Statistical features

These statistical substitutes are used to judge how well the students write their essays. This works as there is a very strong correlation between a person with good english and person who writes good essays

- Number of sentences
- Number of words
- Number of unique words
- Number of long words
- Number of punctuations used (commas, brackets, quotes)

#### 5.2 Grammatical features

: The wealth of the grammar used by the author is explored using grammatical features. These give a good idea about proficiency of the user. Generally a person with good grammar writes good essays.

- Number of spelling errors (using enchant)
- Parts of speech tagging, number of nouns, verbs, adverbs and adjectives

# 5.3 Linguistic model

Apart from the statistical features of the text of each essay (such as the total number of words), linguistic features enable us to evaluate essays based on their argument strength. Various features of the essay, such as the Semantic Frames, Transitional Phrases, co-reference, adherence to the prompts topic, take model closer to duplicating human insight while grading essays.

#### 5.3.1 Transitional phrases

14 transitional categories identified and the ngrams of the words are classified into these categories. The total count of each category is taken into account. The categories are:

• Addition: also, again, besides, similarly

• Consequence: accordingly, as a result

• Contrast: accordingly, otherwise

• Direction: here, there

• Diversion: by the way, incidentally

• Emphasis: above all, chiefly

• Exception: other than, outside of

• Exemplifying: chiefly, for instance

• Generalizing: as a rule, as usual

• Illustration: for example, for instance

• Similarity: comparatively, coupled with

• Restatement: in essence, in other words

• Sequence: at first, secondly

• Summarizing: after all, alas

There are 149 phrases that have been accounted and placed into the above categories.

#### 5.3.2 Content based

: First the introductory line and the concluding lines are analysed to identify the side of the argument the author is referring to. The rest of the paragraph is analysed and supporting and opposing views are counted. The analysis is on a comparison basis with a vocabulary for each argument that has been written by us.

#### 5.3.3 Co-reference features

: A strong argument must be cohesive so that the reader can understand what is being argued. While the transitional phrases already capture one aspect of this, they cannot capture when transitions are made via repeated mentions of the same entities in different sentences. Various prompt features are collected.

#### 5.3.4 Semantic frames

: For each essay in our data set, we identify each semantic frame occurring in the essay as well as each frame element that participates in it. For example, a semantic frame may describe an event that occurs in a sentence, and the vents frame elements may be the people or objects that participate in the event. For example, given a sentence like "Mary sold the book to John", the task would be to recognize the verb "to sell" as representing the predicate, "Mary" as representing the seller (agent), "the book" as representing the goods (theme), and "John" as representing the recipient. This is an important step towards making sense of the meaning of a sentence. A semantic representation of this sort is at a higher-level of abstraction than a syntax tree. For instance, the sentence "The book was sold by Mary to John" has a different syntactic form, but the same semantic roles.

#### 5.3.5 Prompt Argument

: Measure the strength of the argument from the introduction and conclusion of the paragraph mentioned. This feature is not useful for all the essay sets.



Figure 5.1: List of the some of the features extracted

# CHAPTER 6 Classification

After all the features are stored into different files, we run three machine learning algorithms on the data to obtain different levels of accuracy for each of the essay sets. A parameter sweep is conducted across the entire dataset to obtain the best set of classifiers to use.

The classifiers used include a random forest classifier, naive bayes classifier, a support vector machine and K nearest neigbours. Each classifier is tested on the entire dataset and a parameter sweep is conducted for each one. The best results are shown on the terminal screen.

# CHAPTER 7 Conclusions

We proposed a feature-rich approach to the new problem of predicting argument strength scores on student essays. In an evaluation on around 10000 essays selected from the Kaggle, we implement a linguistic based approach and a statistical based approach to compare both the systems.

Figure 7.1: Current results with three classifiers

We have currently extracted over 40 features (a mixture of statistical, grammatical and linguistic) and the results with extracted features are documented above. The forest classifier gives the best results among the different machine learning algorithms used. The reported results are after the parameter sweep has been conducted.

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