



# OPTIMIZING FOOD SAFETY AT THE CITY OF CHICAGO

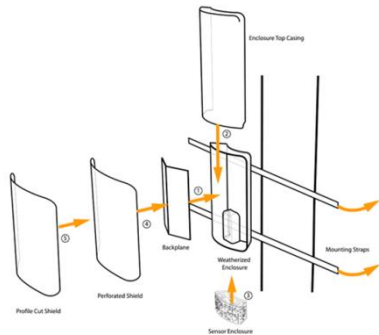
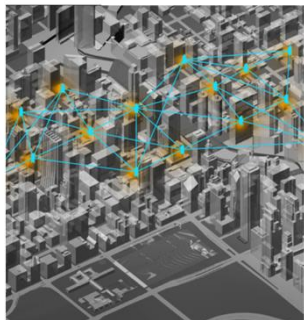
GENE LEYNES

Chicago R User Group Oct 2016

<https://github.com/Chicago/food-inspections-evaluation>

# CITY OF CHICAGO DATA SCIENCE INITIATIVES

Array of Things



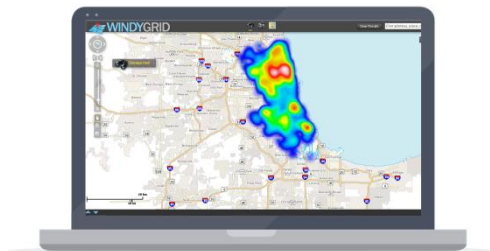
Open Source Sensor Platform



Kaggle Competition for  
West Nile Virus



Chicago Open Data Portal



Open Grid BI Tool



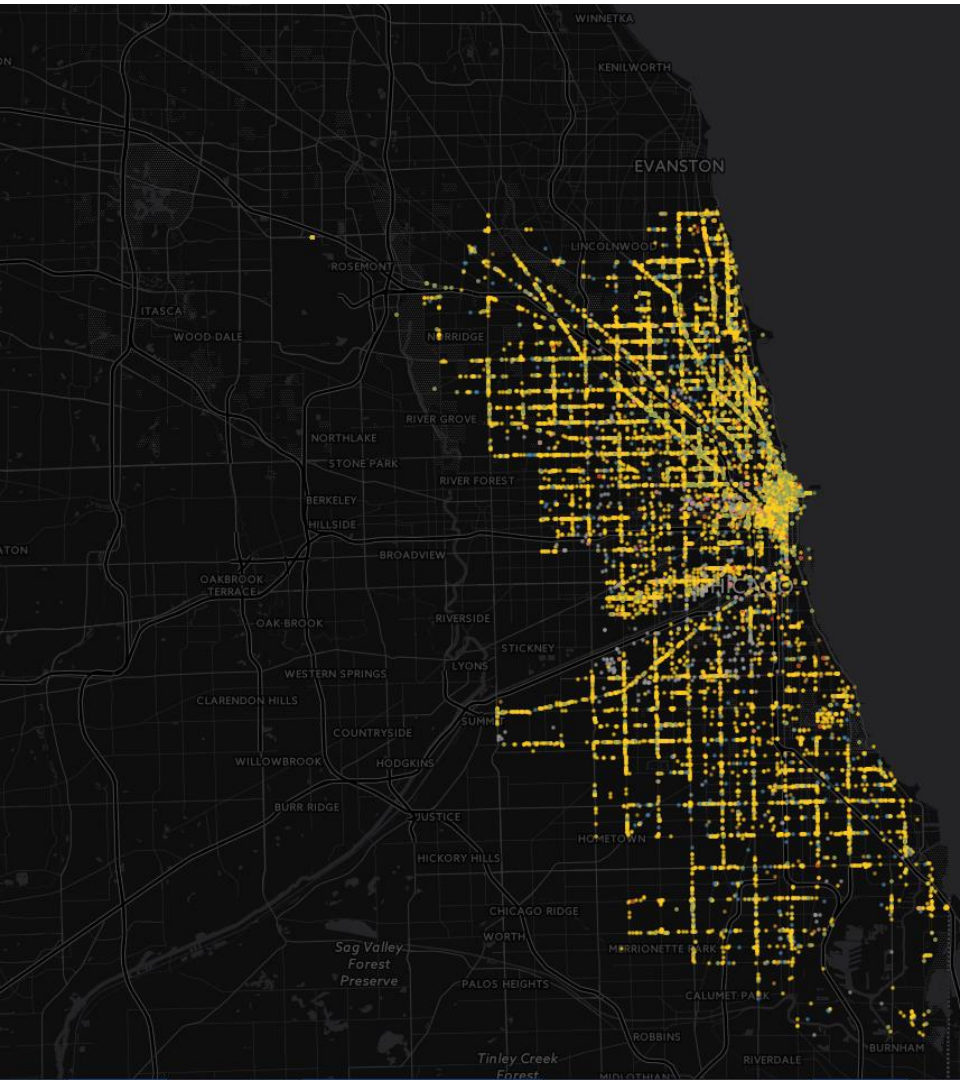
Research Partnerships

# FOOD INSPECTIONS

## PROBLEM STATEMENT

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- By law, the City of Chicago is required to inspect food establishments 2x / year
  - + Additional inspections for new businesses
  - + Additional inspections for consumer complaints
- There are approximately 15,000 businesses
- There were less than 30 food inspectors
- Not every restaurant has the same risk of causing food borne illness



Retail Food Establishment	10,910
Incidental Activity	2,139
Wholesale Food Establishment	545
Caterer	192
Shared Kitchen	205
Mobile Food License	75
Children's Services Facility License	817
Special Events	31
<b>TOAL FOOD ESTABLISHMENTS</b>	<b>14,914</b>

Annual inspections required:	29,828
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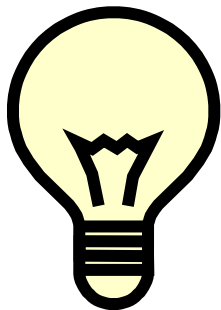
<b>TOTAL INSPECTORS</b>	<b>28</b>
Inspections required / year / inspector	1,065
Average number of inspections performed	631
Shortfall per Inspector	434
Total Annual Shortfall	12,165

**License Type**

- Caterer
- Children's Services Facility License
- Incidental Activity
- Mobile Food License
- Retail Food Establishment
- Shared Kitchen
- Special Events
- Wholesale Food Establishment

# PROPOSAL

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Can we use  
historical data to  
predict which  
inspections are  
most likely to have  
a critical violation?

Specifically...

- Develop a **binary response model** where
- A **positive outcome** is the presence of any violation numbered 1 to 14 “critical violations”
- Where the observations used **to build the model** are **historical food inspections**, and
- The observations **to build the prediction** are **current food establishment business licenses**

# DATA SOURCES

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Business  
Licenses

Food  
Inspection  
History

Weather

Sanitation  
Complaints

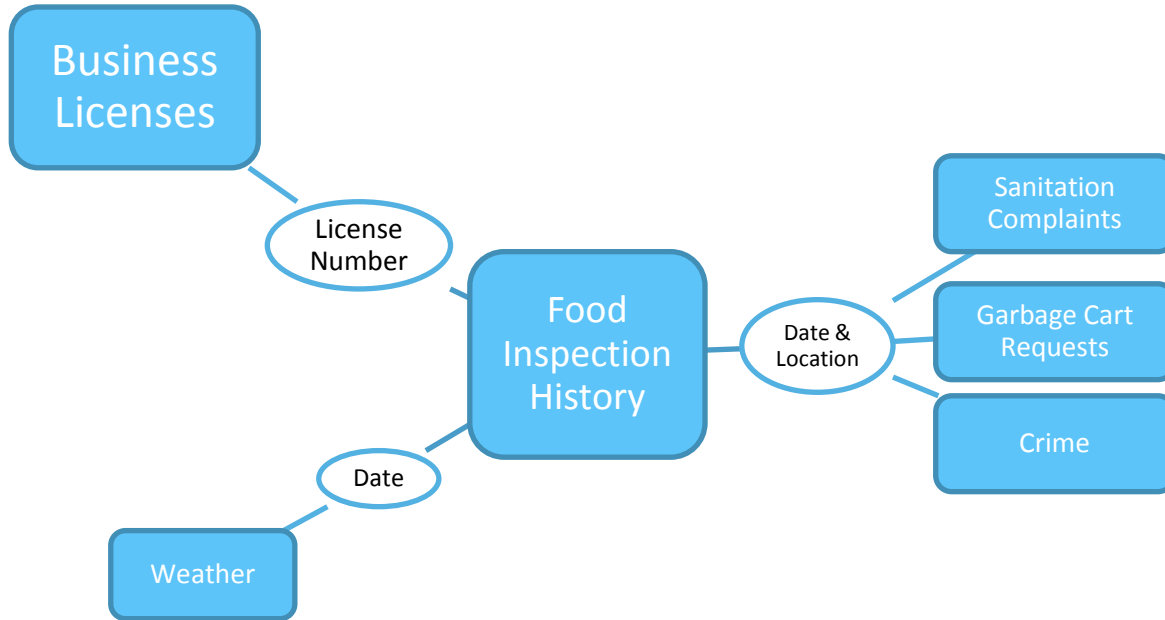
Garbage Cart  
Requests

Crime

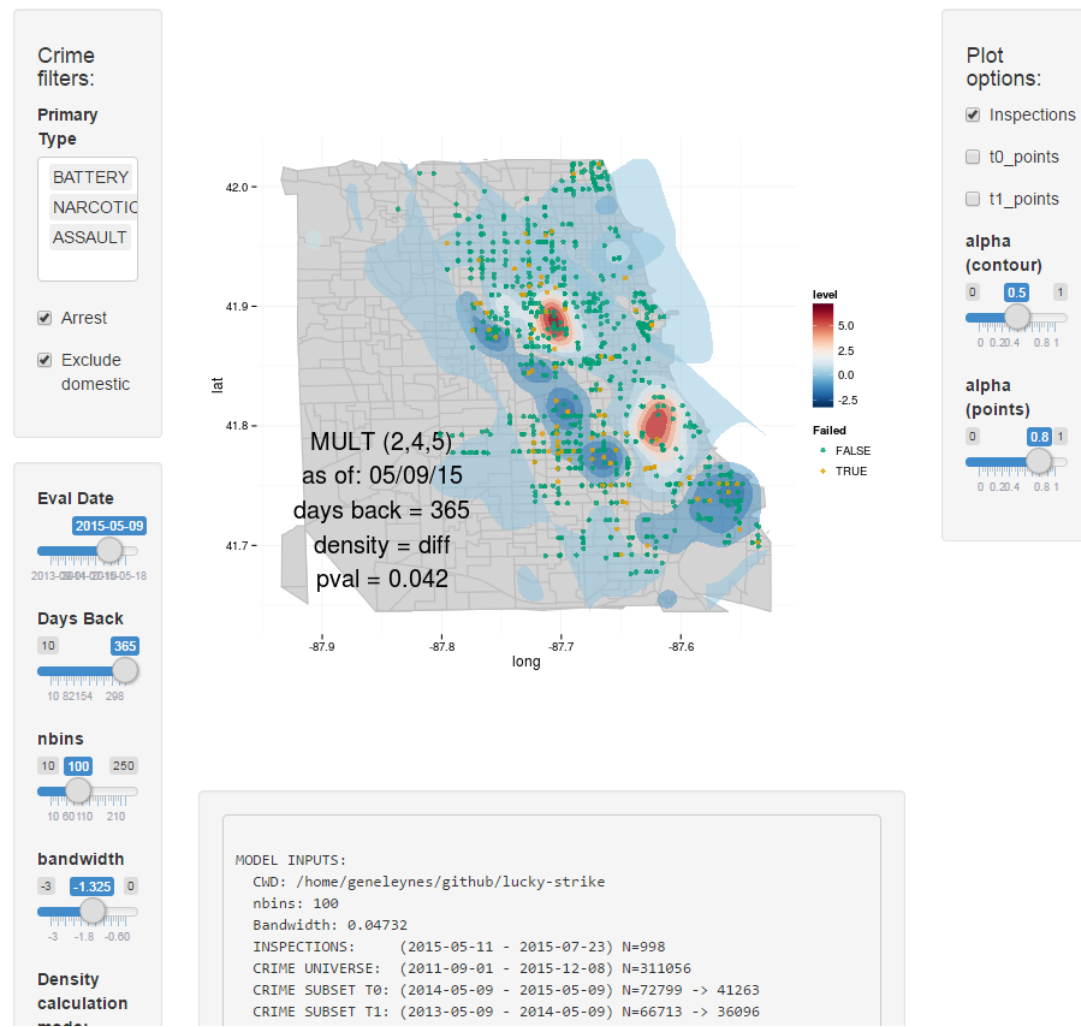
<https://data.cityofchicago.org/>

# DATA SOURCES

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# Crime explorer



311 / 911 Calls are a rich source of high quality data

Linking to other events requires several assumptions

Used Shiny to explore KDE assumptions



The model predicts the likelihood of finding a critical violation, which is the type most likely to cause illnesses.

Ultimately, eleven different variables were used in the final model.

GLM Elastic Net model.

$$\min_{(\beta_0, \beta) \in \mathbb{R}^{p+1}} - \left[ \frac{1}{N} \sum_{i=1}^N y_i \cdot (\beta_0 + x_i^T \beta) - \log(1 + e^{(\beta_0 + x_i^T \beta)}) \right] + \lambda \left[ (1 - \alpha) \|\beta\|_2^2 / 2 + \alpha \|\beta\|_1 \right]$$

## Significant Predictors:

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- Inspectors
- Restaurants with previous serious and critical violations
- Three-day average high temperature
- Location of restaurant
- Nearby garbage and sanitation complaints
- Nearby burglaries
- Whether the establishment has a tobacco or has an incidental alcohol consumption license.
- Length of time since last inspection.
- Length of time the restaurant has been open.

# Technical

## Keys to Success:

- R / R Studio
- Git / GitHub
- data.table
- knitr
- glmnet

## WORKFLOW

GitHub was essential for issue tracking, branch management, and communication.

## TOOLS

The data.table package was instrumental for fast processing and feature generation. The foverlaps function was particularly useful for linking records.

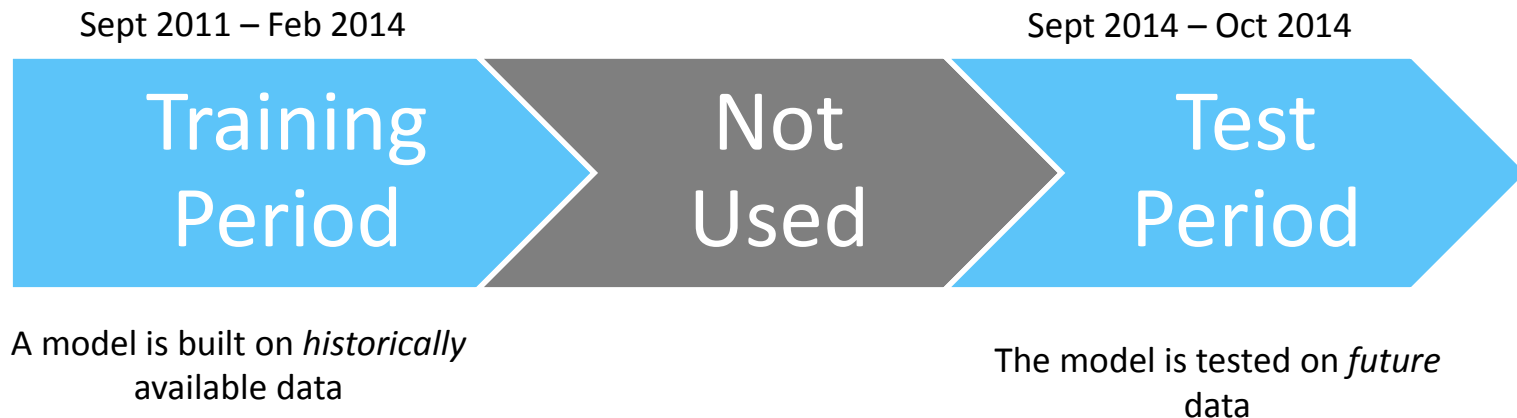
## COMMUNICATION

We used knitr to produce intermediate reports and final documentation, also used github.io.

# TEST / TRAIN FRAMEWORK

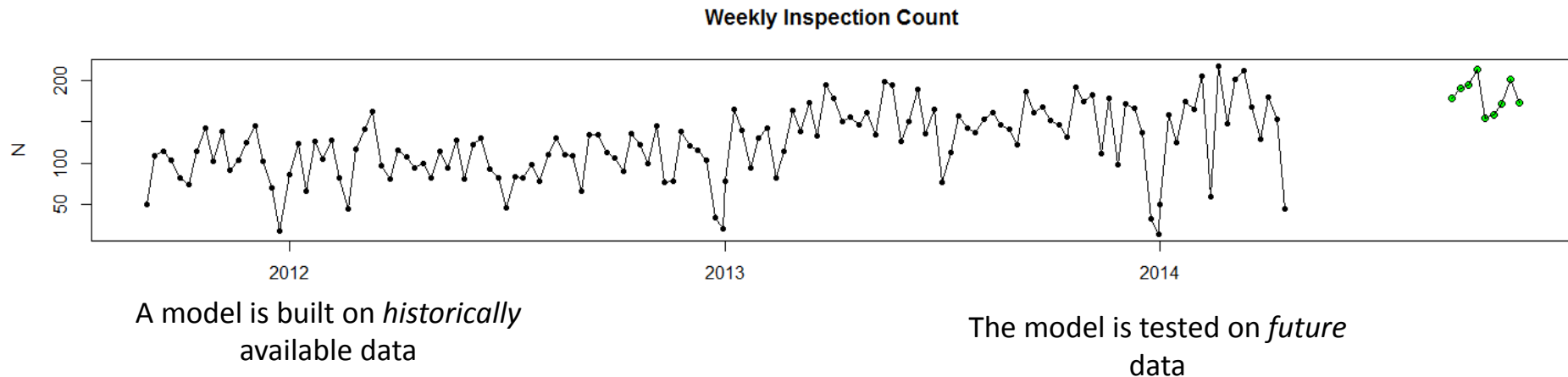
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- Initial model was built on 2011 – 2013 data, tested in early 2014
- First experiment failed, mostly because of inspector effects
- Second model was completed later in 2014, tested in 2014, released in 2015



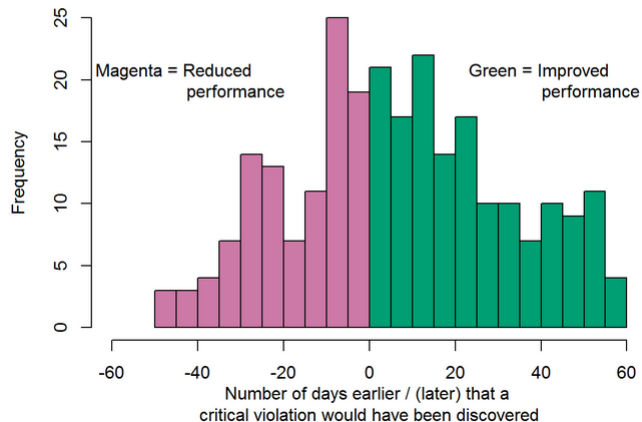
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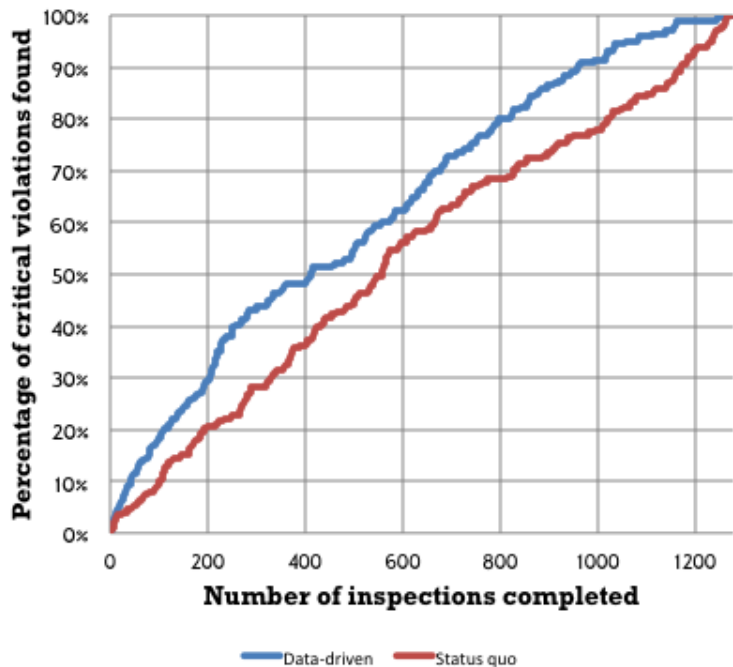
# MODEL EVALUATION

During the test the data driven approach would have generally found critical violations sooner



Our model has an AUC of 0.67226

“By using a data driven approach we would have found critical violations 7 days sooner during the test period.”



# FEATURE GENERATION EXAMPLE

## Example from: 23\_generate\_model\_dat.R

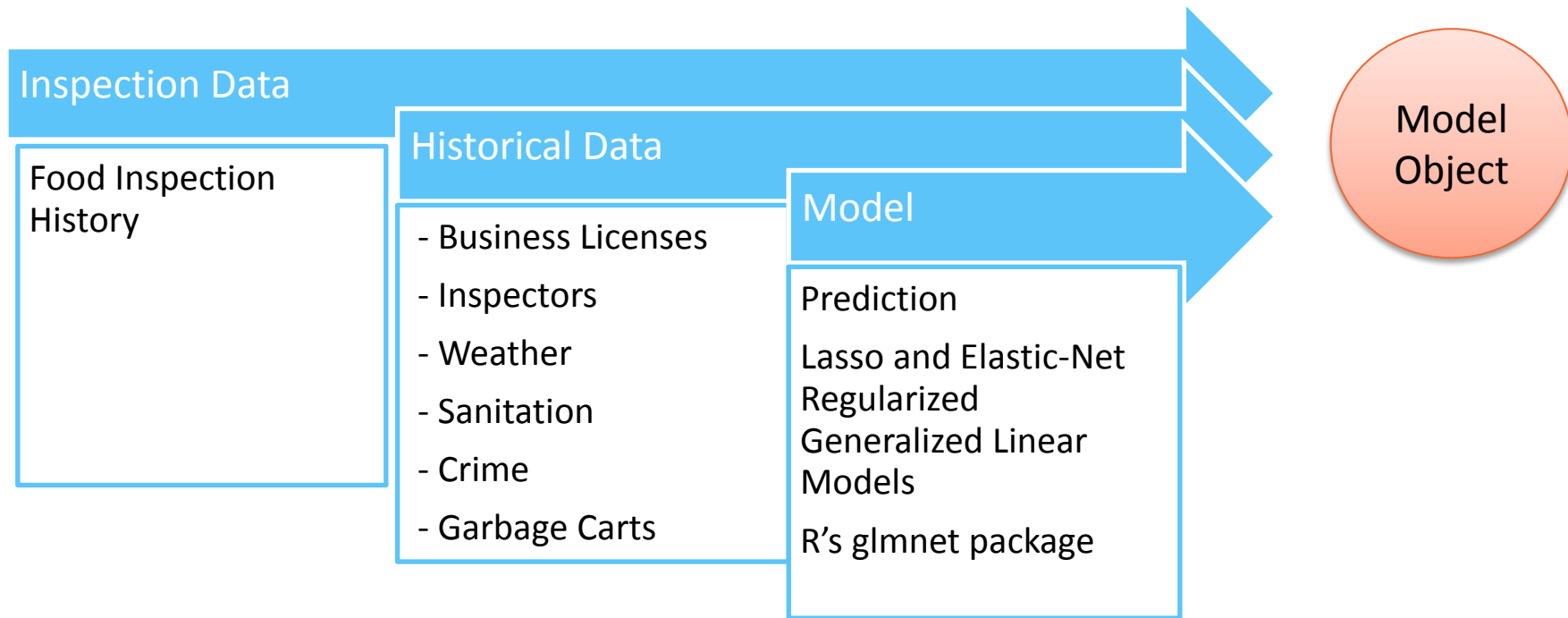
- Create a basis for the model data, dat\_model
- Calculate “minDate”, which is the earliest date seen for a particular License Number
- Use minDate to calculate the age at inspection, which is used in the model

```
##=====
## Create basis for dat_model, which is the data that will be used in the model
##=====
dat_model <- foodInspect[i = TRUE ,
                        j = list(Inspection_Date,
                                License,
                                Inspection_Type,
                                Results),
                        keyby = Inspection_ID]
```

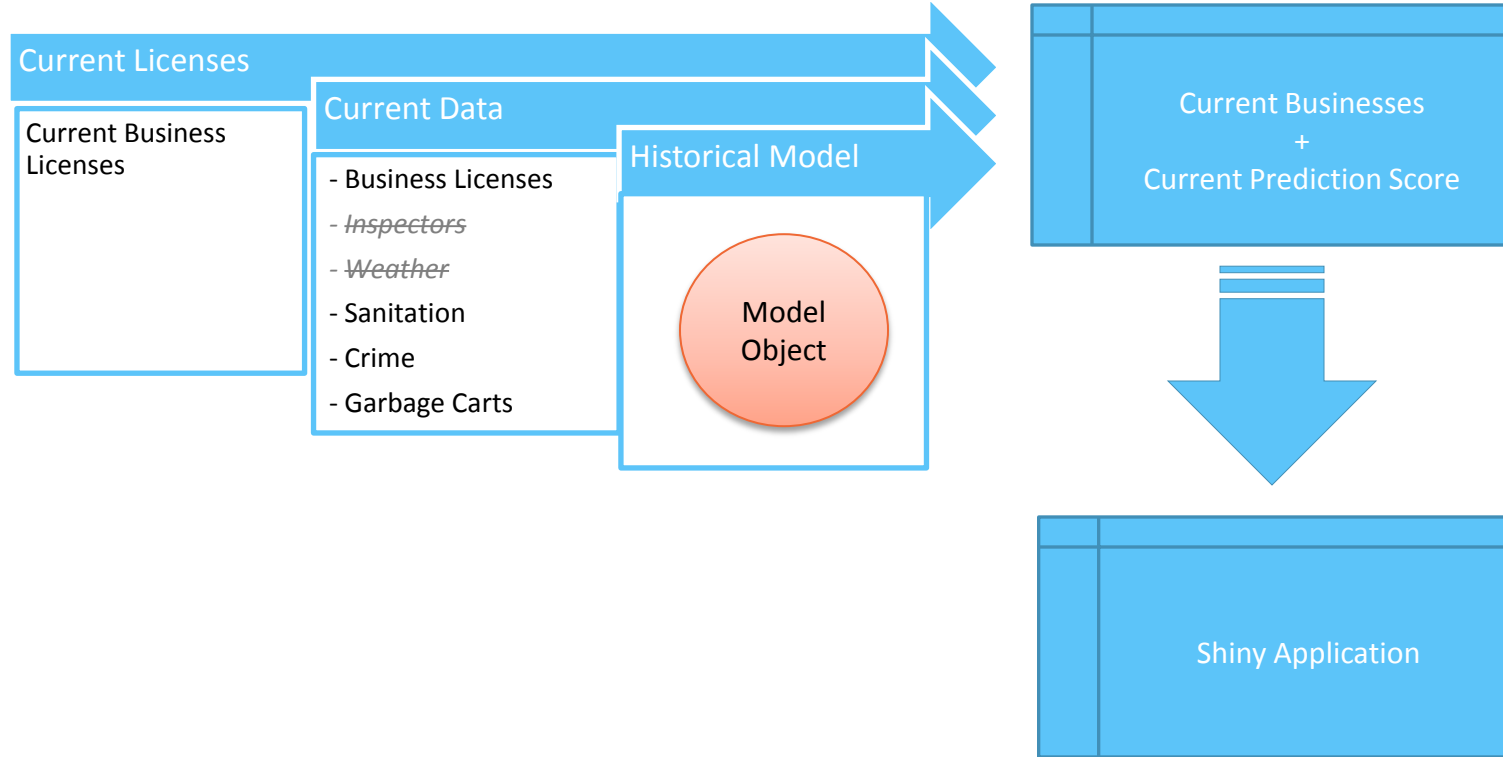
```
## Calculate min date (by license)
business[ , minDate := min(LICENSE_TERM_START_DATE), LICENSE_NUMBER]
business[ , maxDate := max(LICENSE_TERM_EXPIRATION_DATE), LICENSE_NUMBER]

## Calculate age at inspection:
## Add minDate to dat_model
dat_model <- merge(x = dat_model,
                  y = business[ , list(Business_ID = ID,
                                       minDate,
                                       maxDate)], # maxDate's just nice to have
                  by = "Business_ID",
                  all.x = TRUE)
## Use minDate to calculate age
dat_model[ , ageAtInspection := as.numeric(Inspection_Date - minDate) / 365]
```

# MODEL



# PREDICTION AND APPLICATION





Chicago Food Inspections

Click to Download Filtered Data

Download

Filter by Zip Code

all

Filter by Inspector

all

License	Doing Biz As	AKA Name	Facility Type	Risk	Address	City	Zip	Prediction	License Code
2	COSI	COSI	Restaurant	Risk 1 (High)	230 W MONROE ST	CHICAGO	60606	0.09423378	1006
9	XANDO COFFEE & BAR / COSI SANDWICH BAR	XANDO COFFEE & BAR / COSI SANDWICH BAR	Restaurant	Risk 1 (High)	116 S MICHIGAN AVE	CHICAGO	60603	0.16524516	1006
40	COSI	COSI	Restaurant	Risk 1 (High)	233 N MICHIGAN AVE	CHICAGO	60601	0.09158934	1006
62	XANDO COFFEE & BAR / COSI SANDWICH BAR	XANDO COFFEE & BAR / COSI SANDWICH BAR	Restaurant	Risk 1 (High)	230 W WASHINGTON ST	CHICAGO	60606	0.09611859	1006
99	XANDO COFFEE & BAR / COSI SANDWICH BAR	COSI	Restaurant	Risk 1 (High)	203 N LA SALLE ST	CHICAGO	60601	0.09984210	1006
115	JOHN SCHALLER	JOHN SCHALLER	Restaurant	Risk 1 (High)	3714 S HALSTED ST	CHICAGO	60609	0.09250459	1006
149	FOX'S BEVERLY PUB	FOX'S BEVERLY PUB	Restaurant	Risk 1 (High)	9956 S WESTERN AVE	CHICAGO	60643	0.12944078	1006
158	BURWOOD TAP	BURWOOD TAP	Restaurant	Risk 2 (Medium)	724 W WRIGHTWOOD AVE	CHICAGO	60614	0.13499741	1006
164	POTASH BROS. SUPERMARKET	POTASH BROS. SUPERMARKET	Grocery Store	Risk 1 (High)	1525 N CLARK ST	CHICAGO	60610	0.16105854	1006
207	RIVER SHANNON	RIVER SHANNON	Restaurant	Risk 2 (Medium)	425 W ARMITAGE AVE	CHICAGO	60614	0.08928812	1006

## The Final Result:

A simple Shiny application that lists

- Business details
- Zip codes
- Predictions

That's it, no fancy maps!

(Also has performance summaries, not shown)

# THANK YOU

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## Contact & Info:

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gene.leynes@cityofchicago.org  
@geneorama

<https://chicago.github.io/food-inspections-evaluation/>  
<https://github.com/Chicago/food-inspections-evaluation>  
<https://data.cityofchicago.org/>

PBS Newshour  
The Economist



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## Thank you:

Bloomberg Philanthropies  
Allstate Insurance  
Civic Consulting Alliance  
The Chicago Department of  
Public Health