## Lecture-4

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## Quote of the day

"That's a little informal; I got tired of writing."- Philip Loewen, 09/13/2023

"Do they still teach LISP?" - Philip Loewen, 09/13/2023

Claim 1. The union of countably many sets, each one countable is also countable.

*Proof.* Let  $\mathcal{A}^{(\infty)}$ ,  $\mathcal{A}^{(\in)}$ ,  $\mathcal{A}^{(\ni)}$ ,... be a (countable) family of sets, each countable. This means each k comes with some  $\varphi_k : \mathbb{N} \to \mathcal{A}^{(k)}$ , a bijection. So, define,

$$f: \mathbb{N} \times \mathbb{N} \to \bigcup_{k=1}^{\infty} \mathcal{A}^{(k)},$$

by  $f(m,n) = \varphi_m(n)$ . This is surjective, and input set  $\mathbb{N} \times \mathbb{N}$  is countable; we are done.

**Claim 2.** The set  $\mathbb{N} \times \mathbb{N} \times \mathbb{N}$  is countable.

Proof. By definition,

$$\mathbb{N} \times \mathbb{N} \times \mathbb{N} = \{(x_1, x_2, x_3) | x_k \in \mathbb{N}\}$$

is the image of

$$(\mathbb{N} \times \mathbb{N}) \times \mathbb{N} = \{((x_1, x_2), x_3) | x_1, x_2, x_3 \in \mathbb{N}\}$$

under  $\varphi((x_1, x_2), x_3) = (x_1, x_2, x_3)$ . Clearly,  $\varphi$  is bijective and  $(\mathbb{N} \times \mathbb{N}) \times \mathbb{N}$  is the cartesian product of two countable sets.

Extend by induction:

For any  $n \in \mathbb{N}$ , the n-fold product

$$\prod_{k=1}^{n} \mathbb{N} = \underbrace{\mathbb{N} \times \mathbb{N} \times \mathbb{N} \times \cdots \times \mathbb{N}}_{n-\text{copies}}$$

is countable. Thus,

$$\bigcup_{n\in\mathbb{N}}\left(\prod_{k=1}^n\mathbb{N}\right)$$

is countable. These are all the finite-length tuples with entries from  $\mathbb{N}$ .

## **Definition: Uncountable**

A set  $\mathcal Y$  is <u>uncountable</u> iff  $\mathcal Y$  is infinite and not countable, i.e.,  $\mathcal Y$  is infinite and every function  $\varphi:\mathbb N\to\mathcal Y$  fails to be bijective.

**Note 1.** We have to be careful with this definition; this is how Rudin defined uncountability, but we have defined exclusively infinite sets to be countable. For intuition, uncountable sets are all sets that are not countable.

**Note 2.** To prove that a set is  $\mathcal{Y}$  is uncountable, we show that every  $\varphi: \mathbb{N} \to \mathcal{Y}$  that is injective cannot be surjective.

**Example 1.** Let  $\mathcal{I}$  be the set of sequences having the form

$$x = 0.d_1d_2d_3\ldots,$$

where each  $d_k \in \{0, 1, 2, ..., 9\}$  and for all  $n \in \mathbb{N}$  there exists  $m \ge n : d_m \ne 9$  (digit strings that do not "end" with an infinite list of 9's.) This  $\mathcal{I}$  is uncountable. To prove this, pick some  $\varphi : \mathbb{N} \to \mathcal{I}$  that is injective. We shall show that  $\varphi$  is not surjective (this was first done by Cantor.) We note the list of  $\varphi$  values:

$$\begin{split} \varphi(1) = & 0. \boxed{d_1^{(1)}} d_2^{(1)} d_3^{(1)} d_4^{(1)} \dots \\ \varphi(2) = & 0. d_1^{(2)} \boxed{d_2^{(2)}} d_3^{(2)} d_4^{(2)} \dots \\ \varphi(3) = & 0. d_1^{(3)} d_2^{(3)} \boxed{d_3^{(3)}} d_4^{(3)} \dots \\ \vdots & \ddots \end{split}$$

*Now, for each*  $k \in \mathbb{N}$ *, invent* 

$$x_k = \begin{cases} 5 & \text{if } d_k^{(k)} = 7 \\ 7 & \text{else.} \end{cases}$$

(Keep  $x_i = d_i^{(k)}$  where  $i \neq k$ .)

Consider  $x := 0.x_1x_2x_3...$  Now,  $x \in \varphi(\mathbb{N})$  because x differs in position k from the string  $\varphi(k)$  so  $\varphi$  is not surjective.

**Note 3.** *Technically, we do not need to require that*  $\varphi$  *is injective.* 

**Note 4.** Use  $\mathbb{R}$  as known for now; we will build axiomatic connections later.

In this case, each  $x = 0.x_1x_2x_3...$  in  $\mathcal{I}$  defines the real number

$$\sum_{k=1}^{\infty} \frac{x_k}{10^k},$$

and the proof above shows  $\mathcal{I} = [0, 1)$  is uncountable.