

# Assignment 1

## Evolutionary Computing

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January 12, 2015

## Preliminary Notes

### Programming language

The algorithms have been implemented in Cython [1]. Cython is a Python-like programming language which is translated into optimized C/C++ code and compiled as Python extension modules. This allows for very fast program execution, while keeping up the high programmer productivity for which the Python language is well known.

We operate on 128 bit integers (GCC supports these), only using the first 100 bits.

### Experiments

Since the implementation appears to be fast enough, the number of runs that is done to decide whether a population size is successful has been doubled. So we consider problem to be solved reliably when 58 out of 60 independent runs find the optimal solution.

To get a better idea of how the population size influences the number of successes, we do not only show the population sizes that were used during the binary search, but with intervals of 20, we show the number of successes for each population size.

Nonetheless, the bisection search still has been implemented as required according to the instructions. It has been used to determine the population size that is used to profile the fitness functions.

Other than the above, the assignment instructions have been followed precisely. The obtained results can be found in the sections below.

## 1 First Experiment

In Figure 1 the number of successes has been plot against the population size. Table 1 shows information concerning the fitness function evaluations. Both randomly linked trap functions never reached an optimum, so they are not shown.

Fitness function	Population size	Function evaluations	Corresponding CPU time
Counting ones	310	51094	0.013 seconds
Linearly scaled Counting ones	730	164174	0.030 seconds
Tightly linked deceptive trap	1210	243142	0.429 seconds
Tightly linked non-deceptive trap	610	93278	0.177 seconds

Table 1: Fitness function evaluations

**Observations** The first observation one can make is that randomly linked trap functions never reach an optimum with population sizes lower than 1280. This is not surprising since the two-point crossover can't flip multiple bits in random places at the same time, which is important to improve subfunctions to their best state. Unless the optimum is generated by accident at a very early stage of the evolution (the probability of this happening is very small for the population sizes we've tried), 0s will soon dominate a lot of bit positions. Since the two point crossover can't turn them into ones, an optimum will never be found.

We can also see that **counting ones** needs the smallest population size. This is to be expected, because if two parents have many 1s, their offspring is also very likely to have many 1s. And since all bits are awarded equally, it is very likely that at every stage of the evolution, every bit position has a 1 in some member of the population, even if the population is small.

With similar reasoning it is intuitively clear why the two-point crossover needs a higher population size with **linearly scaled counting ones** than

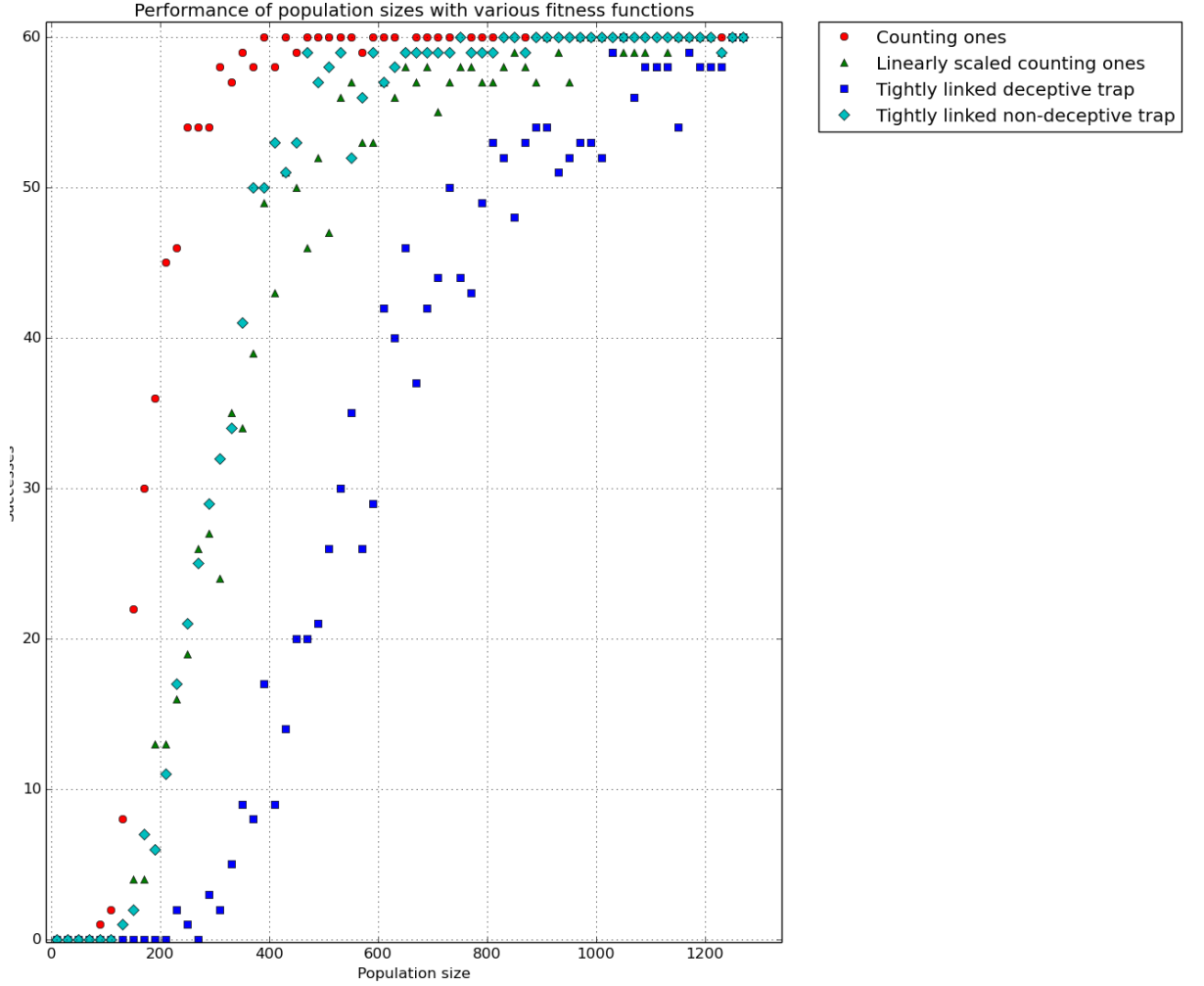


Figure 1: Number of success per population size

with counting ones. With linearly scaled counting ones the most significant bits contribute more to the fitness, so states with many 1s on significant places survive better than states with many ones on insignificant places. To make sure we don't lose the states that have the insignificant bits set to 1, we need a population size that is big enough to ensure the diversity of the population, i.e. that every bit position has a 1 in some state in the population.

For tightly linked non-deceptive trap, even though all bits in a

chunk (a subfunction of 4 bits) have to be turned to 1 at the same time in order to be awarded, the population size that is needed is not so big. Apparently, bits being 1 are not punished so hard that states with many 1s don't survive. Instead, presumably the states with many 1s will do well, because all the chunks that are all 1 simultaneously are awarded so much, they can often compensate for the punishments of the remaining 1s. Moreover, the two-point crossover is very capable of inheriting one or more chunks that are completely set to 1, i.e. it is not very disruptive in this case. Generally, two-point crossover is good when the fitness function is structured such that bits that are close to each other are often also statistically dependent of each other. In contrast with this, Experiment 2 will show that this is not the case for uniform crossover.

Furthermore we can observe that `tightly linked deceptive trap` needs a higher population size than `tightly linked non-deceptive trap`. Chunks that are completely set to 1 are less awarded now, so states with a many 1s are punished harder on average. A bigger population is needed here to make sure that the population remains diverse enough, i.e. every bit position has a 1 in at least one member of the population. From this we could draw the general conclusion that reducing deceptiveness in a fitness function may significantly reduce the population size needed.

Finally we notice that although `linearly scaled counting ones` had more function evaluations than `tightly linked non-deceptive trap`, the cumulative CPU time used is lower. So evaluating `linearly scaled counting ones` is faster than `tightly linked non-deceptive trap`. The profile report also showed that in the end the evolution finished faster as well (0.108 vs 0.240 seconds). We can conclude from this that a sophisticated fitness function may perform worse than a less sophisticated function, even though the number of function evaluations is lower.

## 2 Second Experiment

In Figure 2 the number of successes has been plot against the population size. Table 2 shows information concerning the fitness function evaluations. `Tightly linked deceptive trap` never reached an optimum, so that one is not shown.

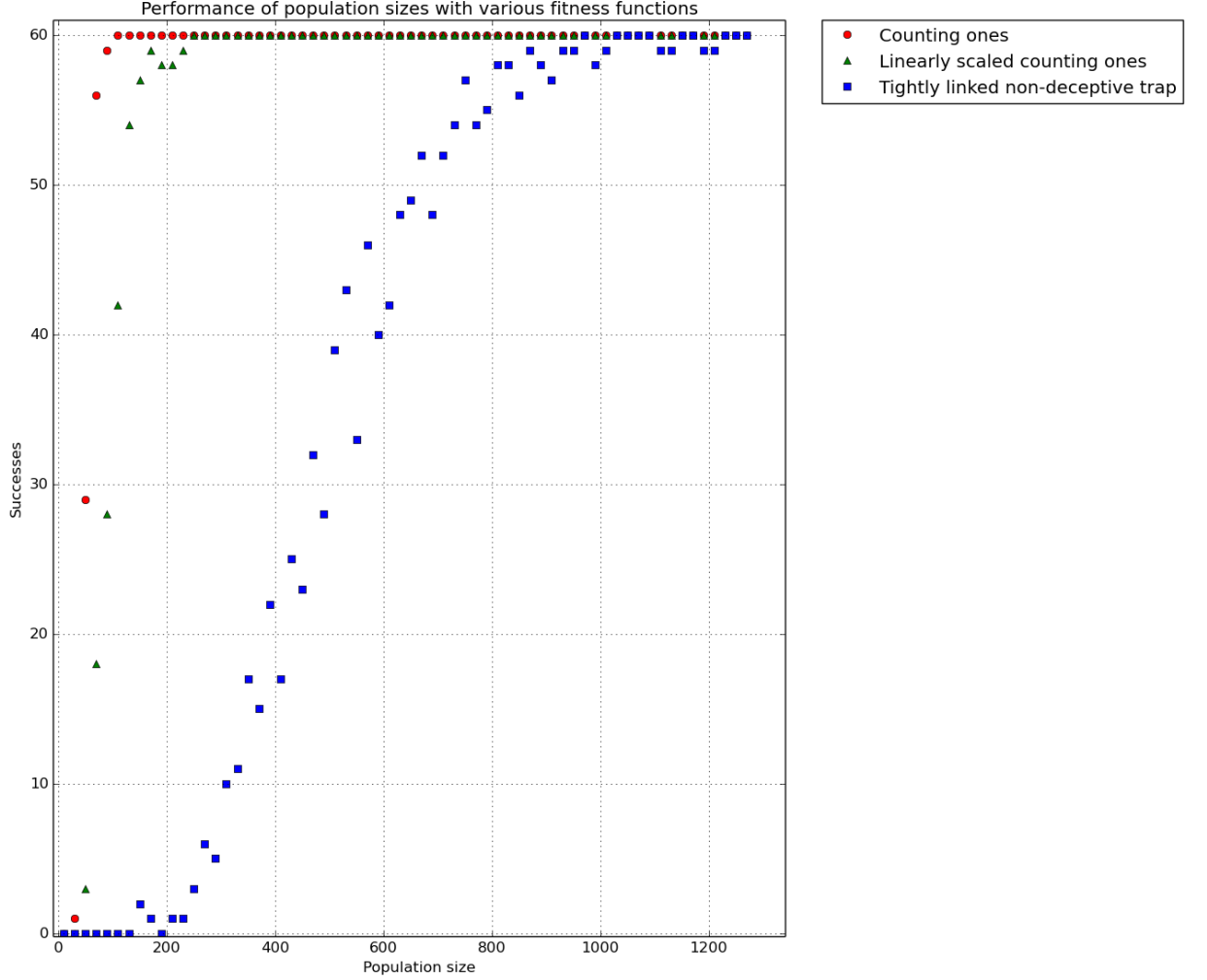


Figure 2: Number of success per population size

**Observations** The first observation we make is that **tightly linked deceptive trap** never reached an optimum. However, in Experiment 1 it did. That makes sense, because the two-point crossover is better for situations with statistical interaction between bits w.r.t. to fitness, whereas uniform crossover doesn't consider any interaction at all. Since **tightly linked deceptive trap** clearly comprises statistical interaction between bits (bits interact in chunks of 4 bits), it is not a surprise that uniform crossover needs a larger population size than two-point crossover to reliably reach the optimum.

Fitness function	Population size	Function evaluations	Corresponding CPU time
Counting ones	70	9822	0.002 seconds
Linearly scaled Counting ones	160	28260	0.005 seconds
Tightly linked non-deceptive trap	850	242154	0.454 seconds

Table 2: Fitness function evaluations

We can make a similar observation for **tightly linked non-deceptive trap**, since here the population size needed is also significantly larger than with Experiment 1.

On the other hand, **counting ones** and **linearly scaled counting ones** need a much smaller population size than in Experiment 1. This makes sense, because with these fitness functions there is no interaction between bits, and uniform crossover only looks at individual bits.

A final remark we make, is that for the uniform crossover randomly linkedness is the same as tightly linkedness, since it only looks at individual bits. Therefore, while it may seem to perform worse on the trap functions, we must not forget that the uniform crossover solves **randomly linked non-deceptive trap** equivalently as **tightly linked non-deceptive trap**. So while the two-point crossover couldn't reach an optimum with **randomly linked non-deceptive trap** at all, the uniform crossover could.

### 3 Third Experiment

In Figure 3 the number of successes has been plot against the population size. Table 3 shows information concerning the fitness function evaluations. Tightly linked deceptive trap never reached an optimum, so it's not shown.

**Observations** A first observation we can make is that allowing mutation didn't help making **tightly linked deceptive trap** find an optimum with a population size of at most 1280. A second observation is that it did help to bring down the minimum required population size for **tightly linked non-deceptive trap** with a factor 2. Seemingly the mutation operator improved the expected diversity of the population by its disruptive behaviour.

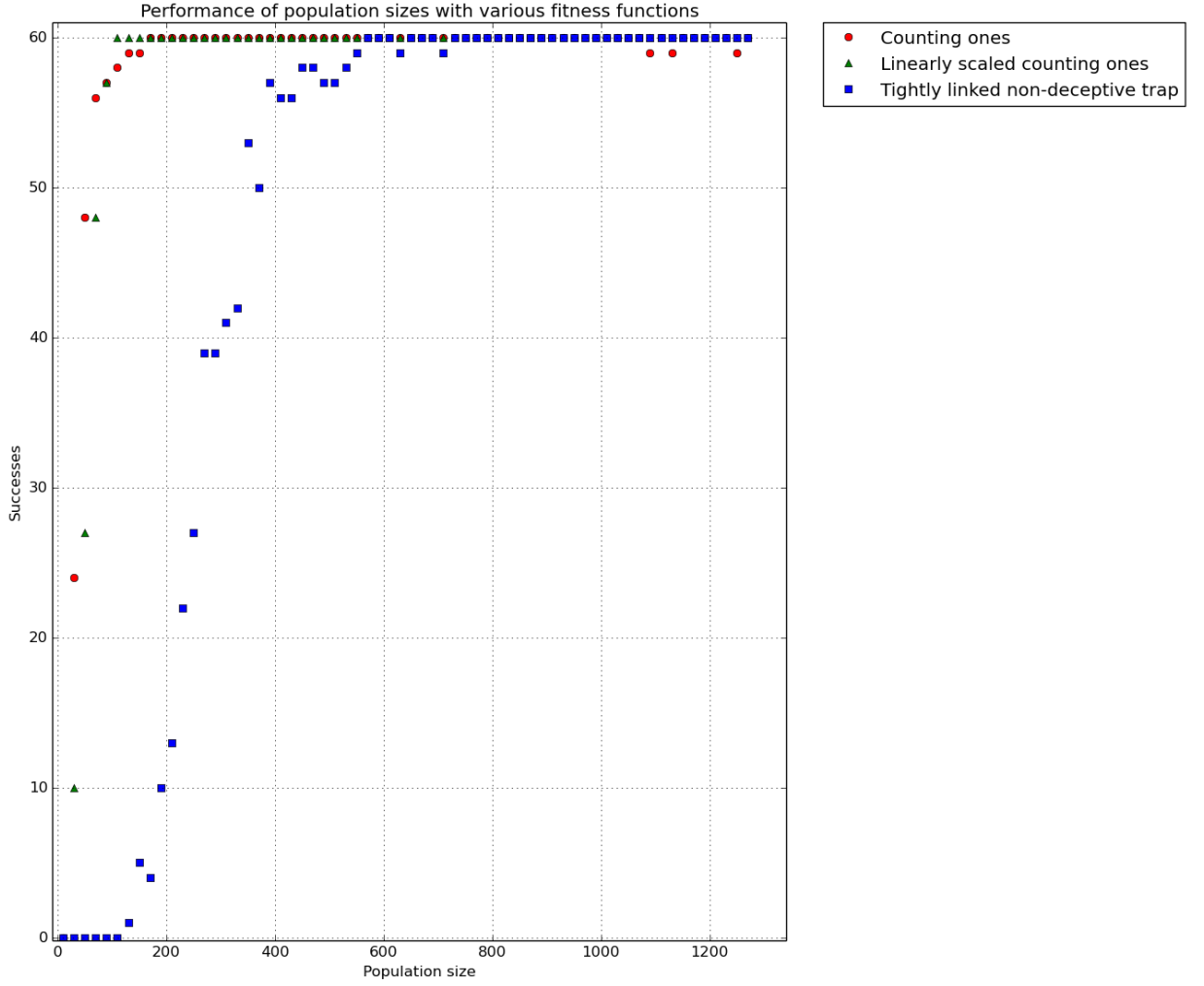


Figure 3: Number of success per population size

And of course, if for some bit position there were no state in the population with a 1 in there, the mutation operator gives a possibility to make it appear again.

Another noteworthy observation is that the population size for **linearly scaled counting ones** is about the same as for **counting ones**, but that the number of function evaluations is twice as much. Running a quick test showed that the number of iterations that had to be done before the evolution finished was also twice as much, which explains the difference. So while

<b>Fitness function</b>	<b>Population size</b>	<b>Function evaluations</b>	<b>Corresponding CPU time</b>
Counting ones	110	27964	0.007 seconds
Linearly scaled Counting ones	90	49764	0.010 seconds
Tightly linked non-deceptive trap	490	509742	0.582 seconds

Table 3: Fitness function evaluations

allowing mutation has helped to bring down the population size for **linearly scaled counting ones** in comparison with Experiment 2, it doesn't help to speed up the evolution necessarily, because much more function evaluations had to be done.

## Final Conclusions

We can draw several conclusions from the observations we have done in the above sections.

Firstly, two-point crossover is bad for randomly linked trap functions, since they can't convert multiple bits at random places at the same time. Two-point crossover is okay for **counting ones** and **linearly scaled counting ones** and **tightly linked non-deceptive trap**. In general we can say that the two-point crossover works well if the fitness function is structured such that bits that are close to each other also comprise some statistical interaction. So two-point crossover is better for tightly linked trap functions than uniform crossover.

Secondly, if there is a trap in your fitness functions, it may help to try to award the optimum more, i.e. make it non-deceptive, because throughout the experiments we see that the non-deceptive trap functions requires a significantly smaller population and less CPU time to find the optimum in comparison with the deceptive trap functions, and never the other way around.

Another lesson we can learn is that a sophisticated fitness function may perform worse than a less sophisticated function. In Experiment 1 we saw an example where **tightly linked non-deceptive trap** needed less function evaluations than **linearly scaled counting ones**, but took a longer time



to find the optimum due to its higher evaluation time. So even though the number of function evaluations may be less, the total computation time may be more.

Uniform crossover is better for randomly linked trap functions than two-point crossover, but still far from perfect. Ideally, one would like to have a crossover that would capture structure in randomly linked trap functions. Maybe a probabilistic model-building approach would be able to do that. Uniform crossover is good for fitness without interconnecting structure, therefore it performs well with `counting ones` and `linearly scaled counting ones`.

Mutation helps to beat trap functions. In Experiment 3 we saw that it helped to lower the population size needed. In general we can say that whenever you have a fitness function that suffers from a lack of diversity in the population, adding mutation may help increasing the diversity again through its disruptive behaviour.

Finally, we also saw that a small required population size doesn't necessarily imply a fast convergence. Compare `counting ones` and `linearly scaled counting ones` in Experiment 3.

## References

- [1] R. Bradshaw, S. Behnel, D. S. Seljebotn, G. Ewing, et al., The Cython compiler, <http://cython.org>.