# https://arxiv.org/pdf/2103.17239.pdf

# Going deeper with Image Transformers

Abstract the interplay of architecture and optimization of such dedicated transformers

two transformers architecture changes

leads us to produce models whose performance does not saturate early with more depth

Introduction

we add a learnable diagonal matrix on output of each residual block, initialized close to (but not at) 0 class-attention layers

LayerScale facilitates the convergence and improves the accuracy of image transformers at larger depths

Our architecture with specific class-attention offers a more effective processing of the class embedding

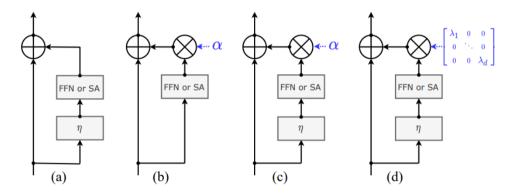
#### Deeper image transformers with LayerScale

goal = increase the stability of the optimization when training transformers for image classification when we increase their depth.

vision transformer

,+ data-efficient image transformer (DeiT) optimization procedure

the deeper ViT architectures have a low performance

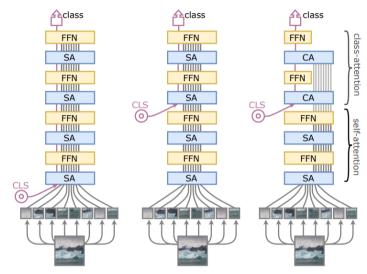


removing the warmup and the layernormalization is what makes training unstable (c)

Our proposal LayerScale

$$x'_{l} = x_{l} + \operatorname{diag}(\lambda_{l,1}, \dots, \lambda_{l,d}) \times \operatorname{SA}(\eta(x_{l}))$$
  
$$x_{l+1} = x'_{l} + \operatorname{diag}(\lambda'_{l,1}, \dots, \lambda'_{l,d}) \times \operatorname{FFN}(\eta(x'_{l})),$$

#### Specializing layers for class attention



learned weights are asked to optimize two contradictory objectives

(1) guiding the self-attention between patches while (2) summarizing the information useful to the linear classifier.

#### Later class token.

we insert the so-called class token, denoted by CLS, later in the transformer eliminates the discrepancy on the first layers of the transformer,

average pooling of all the patches on output of the transformers, as typically employed in convolutional architectures

#### Architecture

two distinct processing stages visible in Figure 2

- 1. The self-attention stage is identical to the ViT transformer, but with no class embedding (CLS).
- 2. The class-attention stage is a set of layers that compiles the set of patch embeddings into a class embedding CLS that is subsequently fed to a linear classifier.

class-attention alternates in turn a layer that we refer to as a multi-head class-attention (CA), and a FFN layer it is a learnable vector

we do no copy information from the class embedding to the patch embeddings

Only the class embedding is updated by residual in the CA and FFN processing of the class-attention stage

#### Multi-heads class attention.

role of the CA layer is to extract the information from the set of processed patches

it relies on the attention between

- (i) the class embedding xclass (initialized at CLS in the first CA)
- (ii) itself plus the set of frozen patch embeddings

h heads and p patches, and denoting by d the embedding size

parametrize the multi-head class-attention with several projection matrices

$$Q = W_q x_{\text{class}} + b_q,$$

$$K = W_k z + b_k,$$

$$V = W_v z + b_v$$
.

#### Complexity

CA is identical to SA in that respect, and we use the same parametrization for the FFNs

the FFN only processes matrix-vector multiplications.

CA function is also less expensive than SA in term of memory and computation because it computes the attention between the class vector and the set of patch embedding

#### Experiments

#### **Experimental setting**

timm

## Preliminary analysis with deeper architectures

Vision Transformers become increasingly more difficult to train when we scale architectures.

Depth is one of the main source of instability

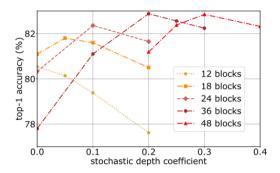
we analyse various ways to stabilize the training with different architectures

At this stage we consider a Deit-Small model3 during 300 epochs to allow a direct comparison with the results reports by Touvron et al

# Adjusting the drop-rate of stochastic depth.

depth baseline		:	scalar $\alpha$ weighting					
are pro-	$d_r = 0.05$	adjust $[d_r]$	Rezero	T-Fixup	Fixup	$\alpha = \varepsilon$	LayerScale	
12	79.9	79.9 [0.05]	78.3	79.4	80.7	80.4	80.5	
18	80.1	80.7 [0.10]	80.1	81.7	82.0	81.6	81.7	
24	78.9†	81.0 [0.20]	80.8	81.5	82.3	81.1	82.4	

36 | 78.9† 81.9 [0.25] | 81.6 82.1 82.4 81.6 | 82.9



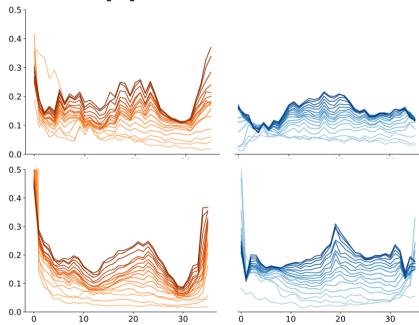
# Comparison of normalization strategies

we only use LayerScale in subsequent experiments

It is much simpler and parametrized by a single hyper-parameter ε, and it offers a better performance for the deepest models that we consider, which are also the more accurate

# Analysis of Layerscale

# Statistics of branch weighting



## **Class-attention layers**

depth: SA+CA	insertion layer	top-1 acc.	#params	FLOPs					
Ba	selines: DeiT-S ar	nd average p	ooling						
12: 12 + 0	0	79.9	22M	4.6B					
12: 12 + 0	n/a	80.3	22M	4.6B					
Late insertion of class embedding									
12: 12 + 0	2	80.0	22M	4.6B					
12: 12 + 0	4	80.0	22M	4.6B					
12: 12 + 0	8	80.0	22M	4.6B					
12: 12 + 0	10	80.5	22M	4.6B					
12: 12 + 0	11	80.3	22M	4.6B					
DeiT	'-S with class-atter	ntion stage (S	SA+FFN)						
12: 9 + 3	9	79.6	22M	3.6B					
12: 10 + 2	10	80.3	22M	4.0B					
12: 11 + 1	11	80.6	22M	4.3B					
13: 12 + 1	12	80.8	24M	4.7B					
14: 12 + 2	12	80.8	26M	4.7B					
15: 12 + 3	12	80.6	27M	4.8B					

#### Late insertion

The performance increases when we insert the class embedding later in the transformer  $% \left( 1\right) =\left( 1\right) \left( 1\right) \left($ 

it is best to keep 2 layers for compiling the patches embedding into the class embedding via class-attention

#### Our class-attention layers

there is no benefit in copying information from the class embedding back to the patch embeddings in the forward pass

the performance of CaiT with 10 SA and 2 CA layers is identical to average pooling and better than the DeiT-Small baseline with a lower number of FLOPs

#### Our CaiT models

Our CaiT models are built upon ViT

LayerScale in each residual block

two-stages architecture with class-attention layers

	depth								
model	(SA+CA)	ı	$(\times 10^6)$	@224	@384	@224	↑384	<b>@224</b> Υ	↑384Υ
XXS-24	24+2	192	12.0	2.5	9.5	77.6	80.4	78.4	80.9
XXS-36	36+2	192	17.3	3.8	14.2	79.1	81.8	79.7	82.2

XS-24	24 + 2	288	26.6	5.4 8.1	19.3	81.8	83.8	82.0	84.1
XS-36	36 + 2	288	38.6		28.8	82.6	84.3	82.9	84.8
S-24	$ \begin{array}{ c c c } 24 + 2 \\ 36 + 2 \\ 48 + 2 \end{array} $	384	46.9	9.4	32.2	82.7	84.3	83.5	85.1
S-36		384	68.2	13.9	48.0	83.3	85.0	84.0	85.4
S-48		384	89.5	18.6	63.8	83.5	85.1	83.9	85.3
M-24	$\begin{vmatrix} 24+2\\ 36+2 \end{vmatrix}$	768	185.9	36.0	116.1	83.4	84.5	84.7	85.8
M-36		768	270.9	53.7	173.3	83.8	84.9	85.1	86.1

# The hyper-parameters

DeiT

CAIT model										
hparams $\frac{d_r}{arepsilon}$	0.05	0.1	0.05	0.1	0.1	0.2	0.3	0.2	0.3	0.4
$\varepsilon$	10-5	$10^{-6}$	$10^{-5}$	$10^{-6}$	$10^{-5}$	$10^{-6}$	$10^{-6}$	$10^{-5}$	$10^{-6}$	$10^{-6}$

we modify depending on the model complexity, namely the drop rate dr associated with uniform stochastic depth, and the initialization value ε of LayerScale

Fine-tuning at higher resolution (↑) and distillation (Y).

## Results

# Performance/complexity of CaiT models

width and the depth, both contribute

if one parameter is too small the gain brought by increasing the other is not worth the additional complexity.

o comes with a higher computational cost

leveraging a pre-trained convnet teacher with hard distillation

provides a boost in accuracy without affecting the number of parameters nor the speed

# Comparison with the state of the art on Imagenet

Network	nb of param.	nb of FLOPs	image train	e size test	ImNet top-1	Real top-1	V2 top-1
RegNetY-16GF	84M	16.0B	224	224	82.9	88.1	72.4
EfficientNet-B5 EfficientNet-B7	30M 66M	9.9B 37.0B	456 600	$\frac{456}{600}$	83.6 84.3	88.3	73.6
EfficientNet-B5 RA EfficientNet-B7 RA	30M 66M	9.9B 37.0B	456 600	456 600	83.7 84.7	-	-
EfficientNet-B7 AdvProp	66M	37.0B	600	600	85.2	89.4	76.0
Fix-EfficientNet-B8	87M	89.5B	672	800	85.7	90.0	75.9
NFNet-F0 NFNet-F1 NFNet-F2	72M 133M 194M	12.4B 35.5B 62.6B	192 224 256	256 320 352	83.6 84.7 85.1	88.1 88.9 88.9	72.6 74.4 74.3

						74.5			
						75.2			
						75.2			
377M	289.8B	416	544	86.0	89.2	74.6			
438M	377.3B	448	576	86.5	89.9	75.8			
Transformers									
86M	55.4B	24	384	77.9	83.6	<u> </u>			
307M	190.7B	224	384	76.5	82.2	-			
21M	5.2B	224	224	80.7	-	-			
24M	5.2B	224	224	81.3	l -	<u> </u>			
25M	5.2B	224	224	81.6	_	۱ -			
66M	14.1B	224	224	82.8	-	-			
22M	4.6B	224	224	79.8	85.7	68.5			
86M	17.5B	224	224	81.8	86.7	71.5			
86M	55.4B	224	384	83.1	87.7	72.4			
87M	55.5B	224	384	85.2	89.3	75.2			
Our	deep tran	sforme	rs						
68M	13.9B	224	224	83.3	88.0	72.5			
68M	48.0B	224	384	85.0	89.2	75.0			
89M	63.8B	224	384	85.1	89.5	75.5			
68M	13.9B	224	224	84.0	88.9	74.1			
68M	48.0B	224	384	85.4	89.8	76.2			
271M	173.3B	224	384	86.1	90.0	76.3			
271M	247.8B	224	448	86.3	90.2	76.7			
356M	329.6B	224	448	86.5	90.2	76.9			
	86M 307M 21M 25M 66M 22M 86M 87M Our 68M 68M 89M 68M 271M 271M	255M 114.8B 316M 215.3B 377M 289.8B 438M 377.3B  Transforr  86M 55.4B 307M 190.7B  21M 5.2B 24M 5.2B 25M 5.2B 66M 14.1B 22M 4.6B 86M 17.5B 86M 55.4B 87M 55.5B  Our dep tran 68M 13.9B 68M 48.0B 89M 63.8B 68M 13.9B	255M         114.8B         320           316M         215.3B         384           377M         289.8B         416           438M         377.3B         448           Transformers           86M         55.4B         24           307M         190.7B         224           21M         5.2B         224           25M         5.2B         224           25M         5.2B         224           86M         17.5B         224           86M         17.5B         224           86M         17.5B         224           86M         55.4B         224           87M         55.5B         224           68M         13.9B         224           68M         48.0B         224           89M         63.8B         224           68M         13.9B         224           68M         13.9B         224           68M         13.9B         224           68M         48.0B         224           68M         48.0B         224           271M         173.3B         224           271M	255M         114.8B         320         416           316M         215.3B         384         512           377M         289.8B         416         544           438M         377.3B         448         576           Transformers           86M         55.4B         24         384           307M         190.7B         224         384           21M         5.2B         224         224           24M         5.2B         224         224           25M         5.2B         224         224           26M         14.1B         224         224           26M         17.5B         224         224           86M         17.5B         224         224           86M         17.5B         224         224           86M         55.4B         224         384           97M         55.5B         224         384           Our deep transformers         68M         48.0B         224         384           89M         63.8B         224         384           89M         63.8B         224         384           68M         13.9B<	255M         114.8B         320         416         85.7           316M         215.3B         384         512         85.9           377M         289.8B         416         544         86.0           Transformers           86M         55.4B         24         384         77.9           307M         190.7B         224         384         76.5           21M         5.2B         224         224         80.7           24M         5.2B         224         224         81.3           25M         5.2B         224         224         81.6           66M         14.1B         224         224         82.8           22M         4.6B         224         224         81.8           86M         17.5B         224         224         81.8           86M         55.4B         224         384         85.2           Our deep transformers           68M         13.9B         224         224         83.3           68M         48.0B         224         384         85.0           89M         63.8B         224         384         85.1      <	255M         114.8B         320         416         85.7         89.4           316M         215.3B         384         512         85.9         89.4           377M         289.8B         416         544         86.0         89.2           438M         377.3B         448         576         86.5         89.9           Transformers           86M         55.4B         24         384         77.9         83.6           307M         190.7B         224         384         76.5         82.2           21M         5.2B         224         224         80.7         -           24M         5.2B         224         224         81.6         -           25M         5.2B         224         224         81.6         -           26M         14.1B         224         224         82.8         -           22M         4.6B         224         224         81.8         86.7           86M         15.4B         224         224         81.8         86.7           86M         55.4B         224         224         81.8         86.7           86M         55.4B			

# Transfer learning

Dataset	Train size	Test size	#classes
ImageNet [54]	1,281,167	50,000	1000
iNaturalist 2018 [30]	437,513	24,426	8,142
iNaturalist 2019 [31]	265,240	3,003	1,010
Flowers-102 [46]	2,040	6,149	102
Stanford Cars [38]	8,144	8,041	196
CIFAR-100 [39]	50,000	10,000	100
CIFAR-10 [39]	50,000	10,000	10

Fine-tuning procedure.

## Results

Model	ImageNet	CIFAR-10	CIFAR-100	Howers	Cars	iNat-18	iNat-19	FLOPs
EfficientNet-B7	84.3	98.9	91.7	98.8	94.7	-	-	37.0B
ViT-B/16	77.9	98.1	87.1	89.5	-	-	-	55.5B

ViT-L/16	76.5	97.9	86.4	89.7	-	-	-	190.7B
Deit-B 224	81.8	99.1	90.8	98.4	92.1	73.2	77.7	17.5B
CaiT-S-36 224 CaiT-M-36 224	83.4 83.7							13.9B 53.7B
CaiT-S-36 ↑ 224 CaiT-M-36 ↑ 224	83.7 <b>84.8</b>			99.0 <b>99.1</b>				13.9B 53.7B

Ablation
Step by step from DeiT-Small to CaiT-S36

Improvement	top-1 acc.	#params	FLOPs
DeiT-S [d=384,300 epochs]	79.9	22M	4.6B
+ More heads [8]	80.0	22M	4.6B
+ Talking-heads	80.5	22M	4.6B
+ Depth [36 blocks]	69.9†	64M	13.8B
+ Layer-scale [init $\varepsilon = 10^{-6}$ ]	80.5	64M	13.8B
+ Stch depth. adaptation [ $d_r$ =0.2]	83.0	64M	13.8B
+ CaiT architecture [specialized class-attention layers]	83.2	68M	13.9B
+ Longer training [400 epochs]	83.4	68M	13.9B
+ Inference at higher resolution [256]	83.8	68M	18.6B
+ Fine-tuning at higher resolution [384]	84.8	68M	48.0B
+ Hard distillation [teacher: RegNetY-16GF]	85.2	68M	48.0B
+ Adjust crop ratio $[0.875 \rightarrow 1.0]$	85.4	68M	48.0B

the resolution is another important step for improving the performance and fine-tuning instead of training the model from scratch saves a lot of computation at training time Last but not least, our models benefit from longer training schedules.

# Optimization of the number of heads

# heads	dim/head	throughput (im/s)	GFLOPs	top-1 acc.
1	384	1079	4.6	76.80
2	192	1056	4.6	78.06
3	128	1043	4.6	79.35
6	64	989	4.6	79.90
8	48	971	4.6	80.02
12	32	927	4.6	80.08
16	24	860	4.6	80.04
24	16	763	4.6	79.60

This architectural parameter has an impact on both the accuracy, and the efficiency

## Adaptation of the crop-ratio

Table 10: We compare performance with the defaut crop-ratio of 0.875 usually used with convnets, and the crop-ratio of 1.0 [68] that we adopt for CaiT.

Network	Crop Ratio		ImNet	Real	V2
	0.875	1.0	top-1	top-1	top-1
S36	✓	-	83.4	88.1	73.0
	_	$\checkmark$	83.3	88.0	72.5
S36†384	<b>√</b>	_	84.8	88.9	74.7
	_	$\checkmark$	85.0	89.2	75.0
S36Υ	<b>√</b>	-	83.7	88.9	74.1
	_	$\checkmark$	84.0	88.9	74.1
М36Υ	<b> </b>	_	84.8	89.2	74.9
	_	$\checkmark$	84.9	89.2	75.0
S36†384Υ	<b> </b>	_	85.2	89.7	75.7
	_	$\checkmark$	85.4	89.8	76.2
M36†384Υ	<b> </b>	_	85.9	89.9	76.1
	_	$\checkmark$	86.1	90.0	76.3

## Longer training schedules

increasing the number of training epochs from 300 to 400 improves the performance of CaiT-S-36

increasing the number of training epochs from 400 to 500 does not change performance significantly

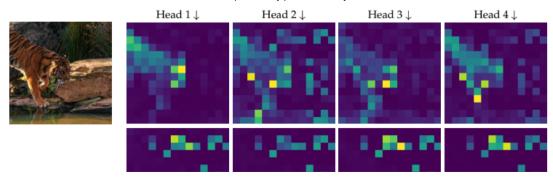
This is consistent with the observation of the DeiT [63] paper, which notes a saturation of performance from 400 epochs for the models trained without distillation.

#### Visualizations

# Attention map

The first class-attention layer clearly focuses on the object of interest

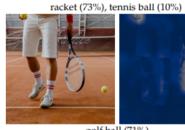
the different heads focus either on the same or on complementary parts of the objects.

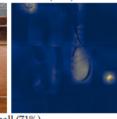




The second class-attention layer seems to focus more on the context, or at least the image more globally

# Illustration of saliency in class-attention fountain (57%)





American alligator (77%)

golf ball (71%)

#### Related work

Encoder/decoder architectures.

# Deeper architectures

usually lead to better performance complicates their training proces

## Conclusion

how train deeper transformer-based image classification neural networks simple yet effective CaiT architecture

transformer models offer a competitive alternative to the best convolutional neural networks when considering trade-offs between accuracy and complexity