

Today:

Monday, March 5, 2016 9:58 AM

- Tree Complexity
- balanced vs Unbalanced

Tree Balancing

Red-Black Trees

Complexity

- Balanced vs. unbalanced

Number of tree nodes:

$$N = 2^0 + 2^1 + 2^2 + \dots + 2^h$$

$$N = 2^{h+1} - 1$$

$$\log_2(N+1) = \log_2(2^{h+1}) \quad 2^3 - 1 = 7$$

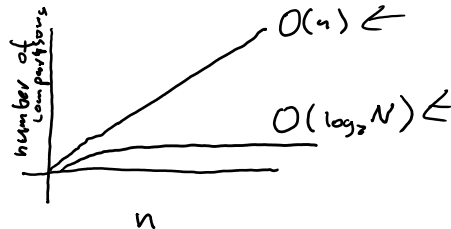
$$\log_2(N+1) = h+1$$

$$h = \log_2(N+1) - 1$$

$$h \approx O(\log_2 N)$$

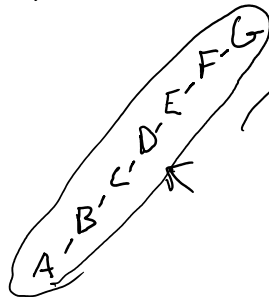
$O(\log_2 N)$ is much better scaling

than $O(n)$.



However, will only get this relationship if the tree is balanced.

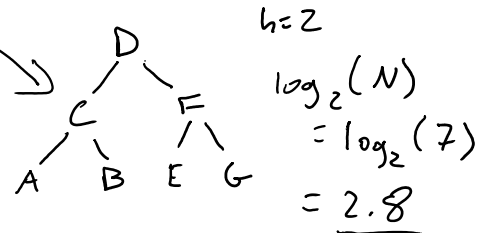
The most unbalanced tree we can have:



$$h = N - 1$$

$$O(N) = O(7)$$

$$O(N) = \underline{7}$$



$$O(\log_2 N) = \log_2(1000000) = 19.9$$

$$O(N) = 1e6$$

Tree Balancing

Various algorithms, e.g. AVL, red-black.

Work by having nodes w/ additional information (properties) and performing rotations on the trees in a way that preserves the BST definition.

Red-Black Trees

Special case of BST

| | |
|------------|-------------|
| * Parent | |
| key value | |
| color | |
| left child | right child |

Like standard BST, except extra "color" property added.

color - red or black

RB Tree Definition

Property 1: A node is either red or black

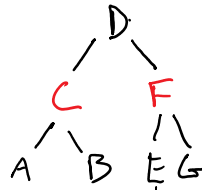
P2: Root node is black.

P3: Every leaf node (node w/ null children) is black.

P4: If a node is red, both its children must be black.

P5: For each node, all paths to leaf nodes contain same number of black nodes.



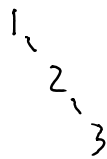


RB Tree Operations

In order for tree to self balance, certain operations are needed.

Recolor - change node color
 red \rightarrow black black \rightarrow red

Rotate - changes height of (sub) tree
 - change which node is root



rotate
left



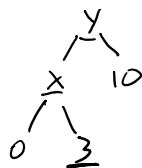
1 is root
 2 is right child of root
 $h=2$

2 is root
 1 is LC of root
 $h=1$

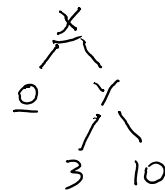
Left and Right Rotations

2 possible rotations. One is inverse of the other.

- Rotate Right alters tree. Rotate left gets back to original tree.



right
rotate



y is root

$x > 0$
 $x \leq 3 < y$
 $y \leq 10$

x is new root
 $y > x$, so y is now RC of x
 $x \leq 3 < y$
 3 assigned as LC of y.

$$x > 0, y \leq 10$$

Inserting a Node into a RB Tree

Same as inserting a node into regular BST, w/ a few additional steps.

1. Instead of assigning nullptr in 1 or 0 child scenarios, add Null (empty) sentinel nodes.
2. Set color of new node to red.
3. Resolve any RB property violations by using recoloring and/or rotations.

Examples: Building a RB tree

insert (10) into empty tree:

Rule: new node is red

violation: root of tree must be black

Fix: re-color node to be black



Add 5 to tree

New node is red

No violations

