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#### Leveraging Multi-token Entities in Document-level Named Entity Recognition

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#### Main Work

• We propose a novel attention-based document-level NER model that leverages global context features across sentences as supplements to local context features.

 We take advantage of multi-token entities in the document to guide NER. Multi-token entities are detected by an auxiliary sequence tagging task.

 Experimental results confirm the effectiveness of the proposed method over the state-of-the-art sentence-level and documentlevel NER models.

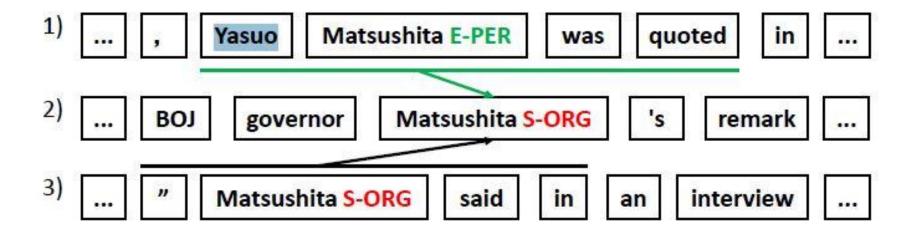


Figure 1: An example of the label inconsistency problem within a document in the CoNLL-2003 English dataset. Green and red tags indicate respectively correct and incorrect tags predicted by a sentence-level model. Green and black arrows refer to useful and less useful contextual information for the second 'Matsushita' token.

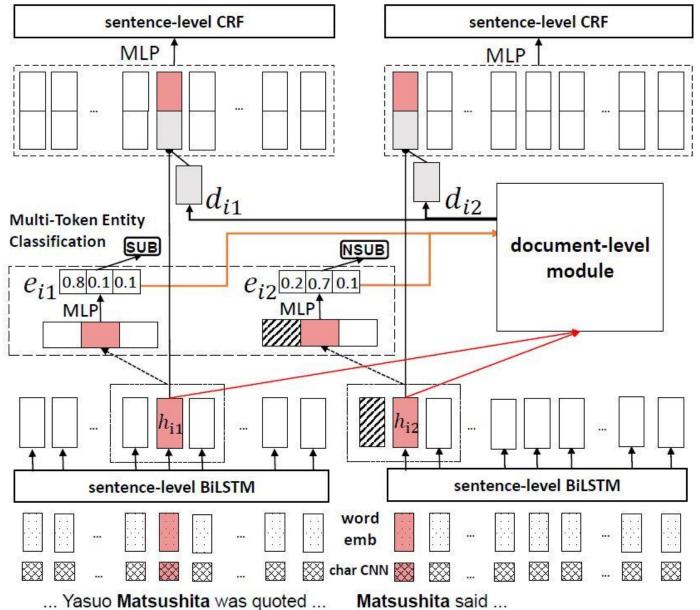
### Motivation

• it is common that a multi-token entity such as 'Yasuo Matsushita' is fully spelled out at the beginning of the article, and then referred to by one of its token (e.g 'Matsushita') later

• we found **26.62**% of the single-token entities are constituents of multi-token entities in the same document.

 we propose to pay more attention to the token occurrences within multi-token entities

# Model



## ME-informed Attention

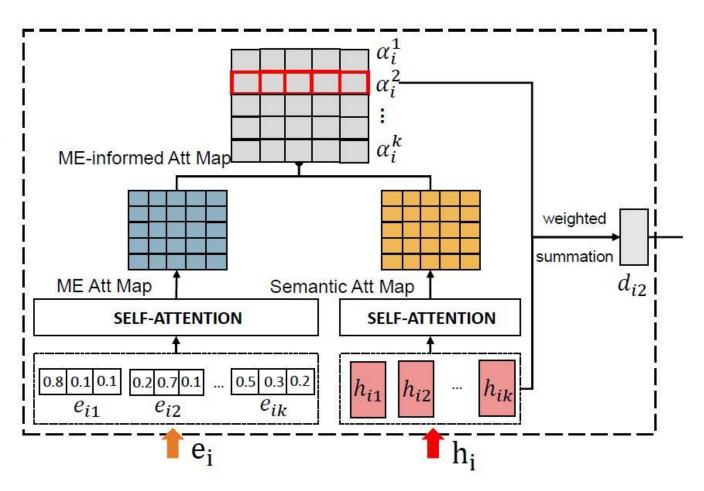
$$s_{in}^{m} = v_{s}^{\mathsf{T}} \tanh(W_{s_{1}} h_{im} + W_{s_{2}} h_{in} + b_{s}) (h_{im}, h_{in} \in \mathbf{h_{i}}),$$
  

$$u_{in}^{m} = v_{u}^{\mathsf{T}} \tanh(W_{u_{1}} e_{im} + W_{u_{2}} e_{in} + b_{u}) (e_{im}, e_{in} \in \mathbf{e_{i}}),$$

$$\alpha_{in}^{m} = \operatorname{Softmax}(s_{in}^{m} + u_{in}^{m}),$$

$$\alpha_{\mathbf{i}}^{\mathbf{m}} = (\alpha_{i1}^{m}, \alpha_{i2}^{m}, ..., \alpha_{ik}^{m}),$$

$$d_{im} = \sum_{n=1}^{k} \alpha_{in}^{m} h_{in}, (\alpha_{in}^{m} \in \alpha_{\mathbf{i}}^{\mathbf{m}}, h_{in} \in \mathbf{h_{i}})$$



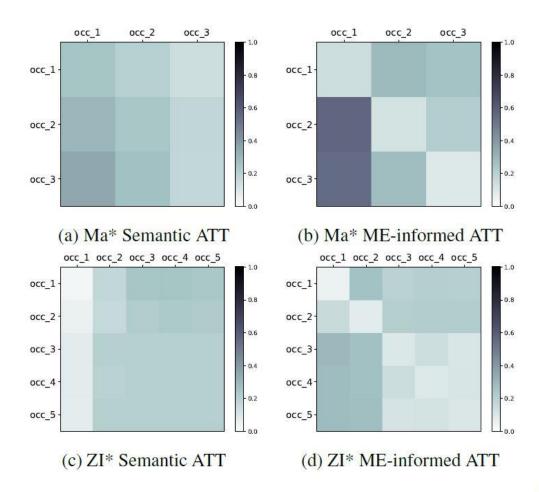
$$\mathbf{e_i} = (e_{i1}, e_{i2}, ..., e_{ik}), \qquad \mathbf{h_i} = (h_{i1}, h_{i2}, ..., h_{ik}),$$

# Result

Model	CoNLL-2003	OntoNotes <sub>nbm</sub> 87.57	
LSTM+CRF	90.94		
BiLSTM+CNNS+CRF	91.21	88.42	
ParallelRNNs	91.48	85.54	
HSCRFs(JNT)	91.38	87.74	
Att+BiLSTM+CRF	90.49	88.88	
IDCNN	90.65	85.24	
GlobalAtt	91.43	88.78	
SENT	90.92	88.64	
MEID-SEM	91.71	88.71	
MEID-ME	91.78	88.84	
MEID	91.92	89.16	

Dataset	Model	glove	bert-base	flair
CoNLL-2003	SENT	90.92	90.66	92.59
	MEID	<b>91.92</b>	<b>91.47</b>	<b>93.09</b>
OntoNotes <sub>nbm</sub>	SENT	88.64	88.41	89.89
	MEID	<b>89.16</b>	<b>88.96</b>	<b>90.29</b>

# Case Analysis



#### Sentences in a Document

- 1) In a rare expression of a view on currencies by the Bank of Japan (BOJ) governor, <u>Yasuo Matsushita</u> *E-PER* was quoted in Japan 's leading economic daily on Friday as ...
- 2) ... was BOJ governor Matsushita S-ORG S-PER 's remark .
- 3) ..." Matsushita S-PER said in an interview with the ...
- 1) GLF **ZIMBABWE B-LOC B-MISC** OPEN SECND RUND SCRES .
- 2) Leading second round scores in the <u>Zimbabwe B-MISC</u>

  <u>Open</u> at the par-72 Chapman Golf Club on Friday: 132 Des

  Terblanche 65 67 133 Mark McNulty ( **Zimbabwe** S-LOC) 72

  61 134 Steve van Vuuren 65 69 136 Nick Price ( **Zimbabwe** S-LOC) 68 68, Justin Hobday 71 61
- 3) Andrew Pitts (U.S.) 69 67 138 Mark Cayeux ( **Zimbabwe** *S-LOC* ) 69 69 , ...

Figure 4: Sample NER results. Blue tags mean correct tags predicted by both MEID-ME and MEID. Red tags mean wrong tags predicted by MEID-ME. Green tags mean correct tags predicted by MEID.