



# Facial Expression Recognition with CNN

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# Tool -caffe

1. Lmdb format data set
2. Train.prototxt, solver.prototxt
3. Interface to parse log file and create graphs

# Dataset -fer2013

Obtained from Kaggle, consists of 48x48 pixel grayscale images of faces. Each face is categorised to one of seven categories (0=Angry, 1=Disgust, 2=Fear, 3=Happy, 4=Sad, 5=Surprise, 6=Neutral).

- ❖ Training set: 28,709
- ❖ Validation set: 3,589
- ❖ Test set: 3,589



00074.jpg



00076.jpg



00077.jpg



00091.jpg



00093.jpg



00107.jpg



00120.jpg



00122.jpg



00123.jpg

This dataset was prepared by Pierre-Luc Carrier and Aaron Courville, as part of an ongoing research project

# Data Prepare

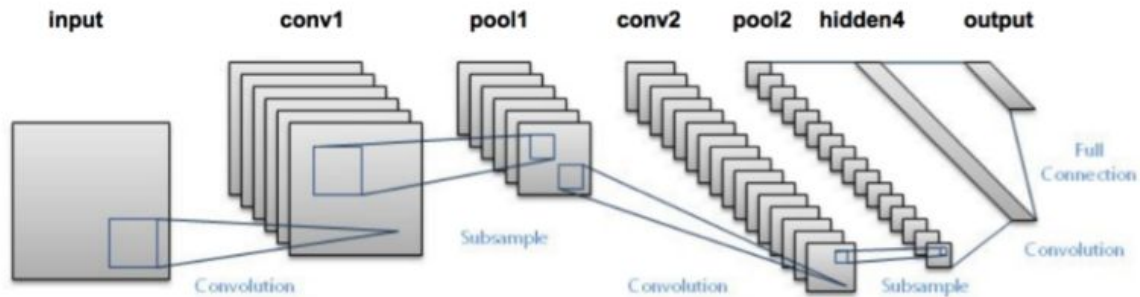
- ❖ Convert to JPG and store images to train, val, test folders
- ❖ Create auxiliary data- this is used as indexes when generate Imdb data.
- ❖ Generate Imdb files- modify create\_imagenet.sh
- ❖ Generate image mean file -normalize the image data

# Training models

- ❖ LeNet
- ❖ AlexNet

# LeNet

- ❖ The LeNet architecture was first introduced by LeCun et al. in their 1998 paper
- ❖ The network of LeNet is quite simple: two convolution layers and one fully connected layer.

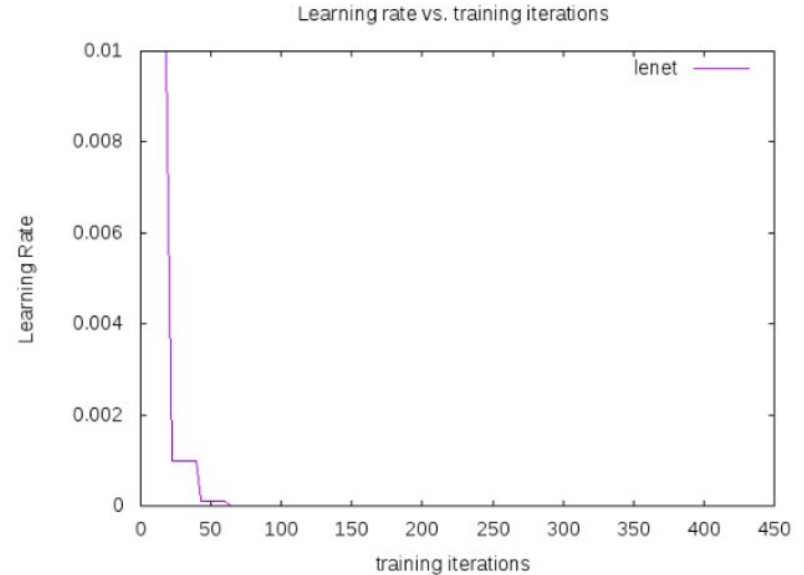


[lenet\\_architecture-768x226.png](#)

# Training LeNet

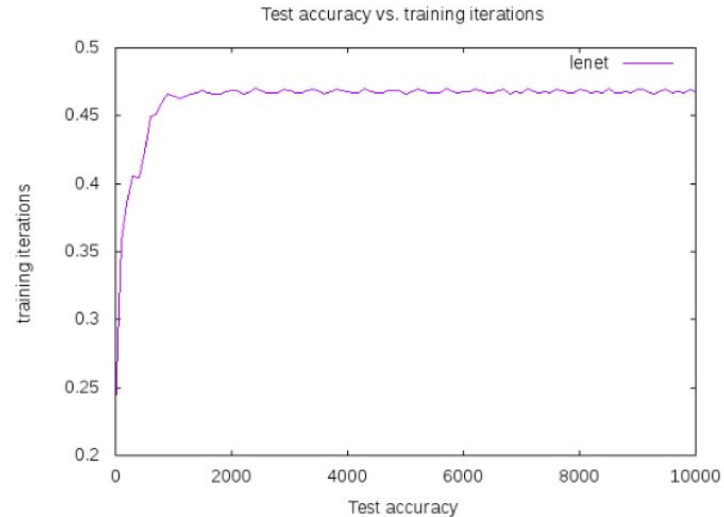
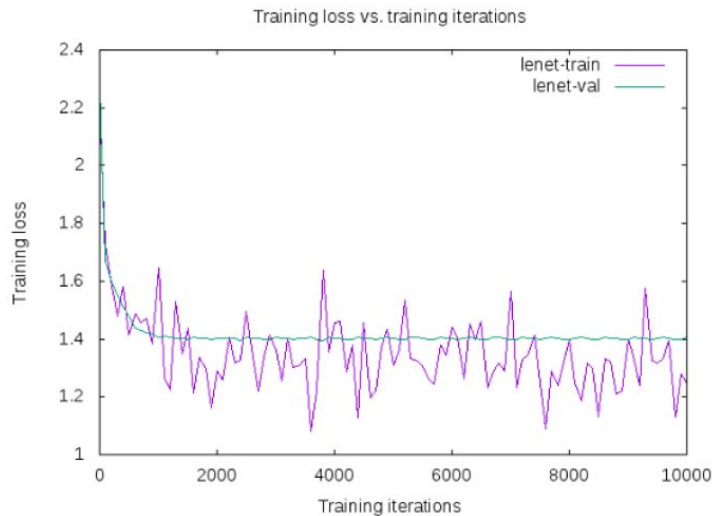
We first use the solver file we used in exam2 and use max iteration equals 10000.

As we can see, the learning rate drops too fast and we can hardly know if the network still learning something after 100 iteration.



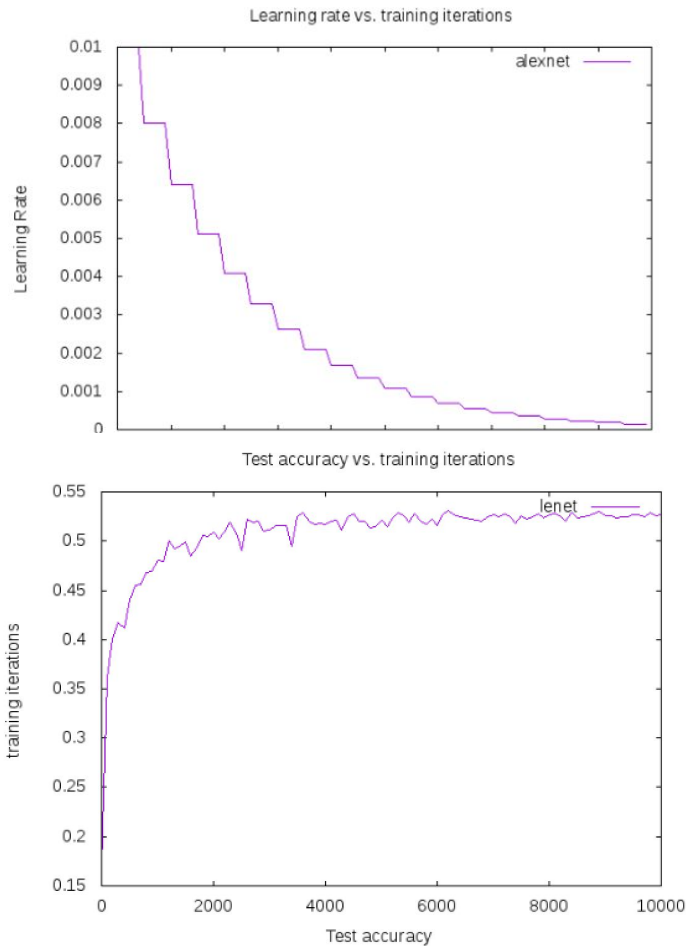
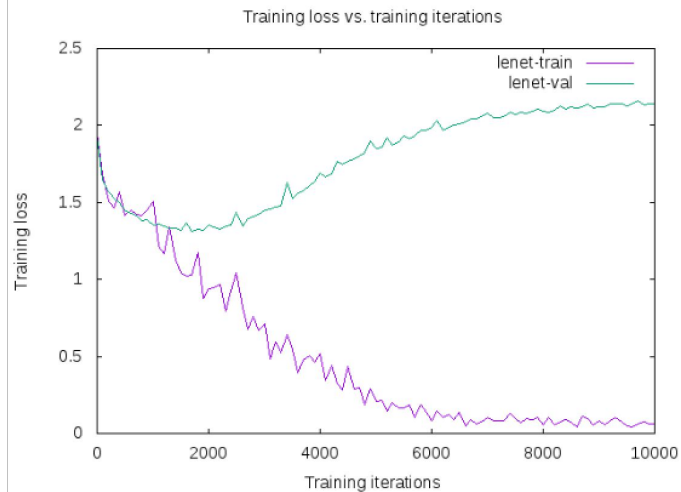
# Training LeNet

This bad learning rate also leads to a very bad result.





# Training LeNet

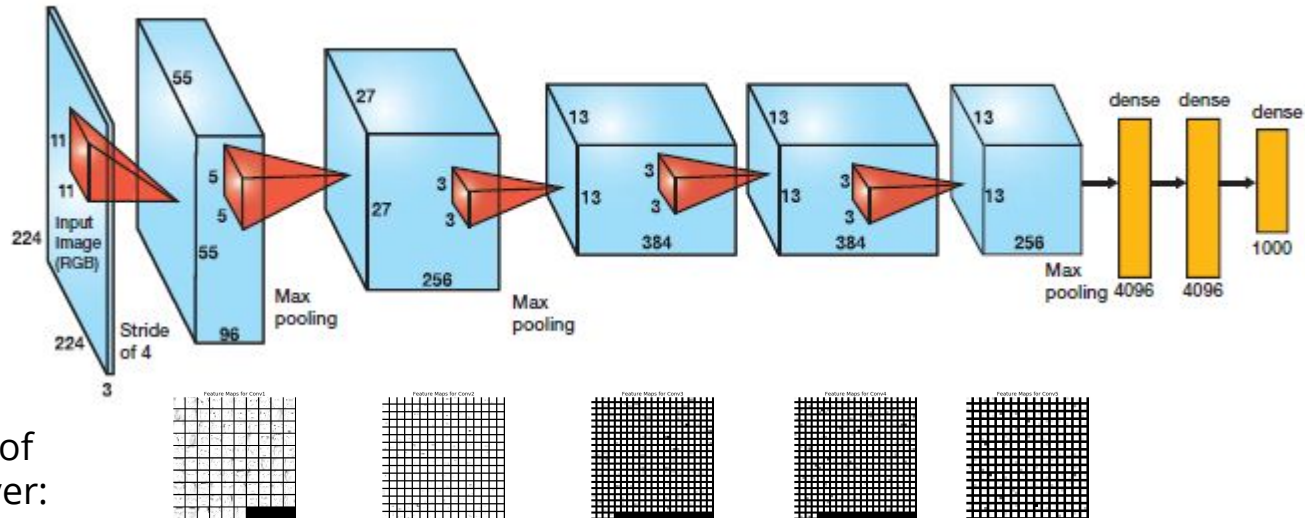


# AlexNet

- ❖ The first work that popularized Convolutional Networks in Computer Vision was the **AlexNet**, developed by Alex Krizhevsky, Ilya Sutskever and Geoff Hinton.
- ❖ The AlexNet was submitted to the ImageNet ILSVRC challenge in 2012 and significantly outperformed the second runner-up (top 5 error of 16% compared to runner-up with 26% error).
- ❖ The Network had a very similar architecture to **LeNet**, but was deeper, bigger, and featured Convolutional Layers stacked on top of each other (previously it was common to only have a single CONV layer always immediately followed by a POOL layer).

# AlexNet

- ❖ 5 convolution layers and 3 fully connected layers
- ❖ Uses ReLu instead of a Tanh or Sigmoid function
- ❖ Using a Dropout layer after every FC layer



# Training

3 AlexNets with different parameters:

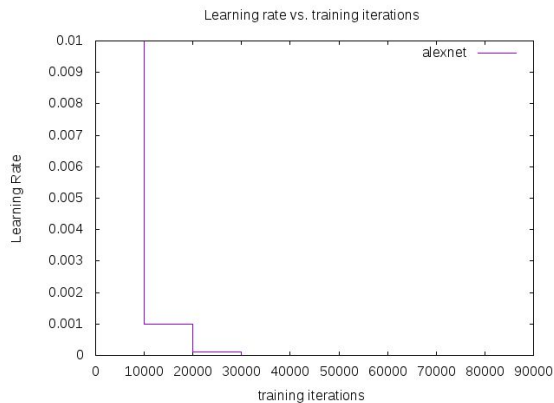
	Iterations	Training batch size	Gamma (lr_policy:step)
<b>AlexNet 1</b>	50000	100	0.1
<b>AlexNet 2</b>	50000	<b>256</b>	0.1
<b>AlexNet 3</b>	50000	100	<b>0.95</b>

Lr\_policy: step

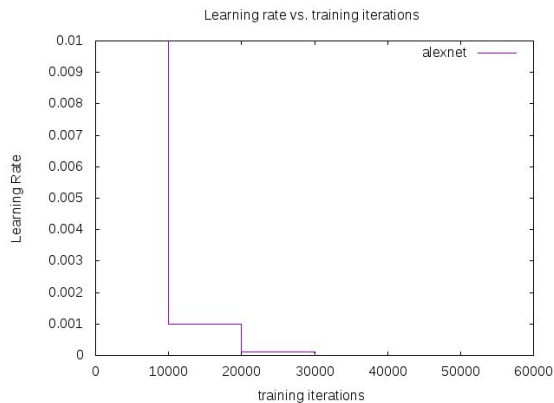
base\_lr \* gamma ^ (floor(iter / stepsize))

# Training

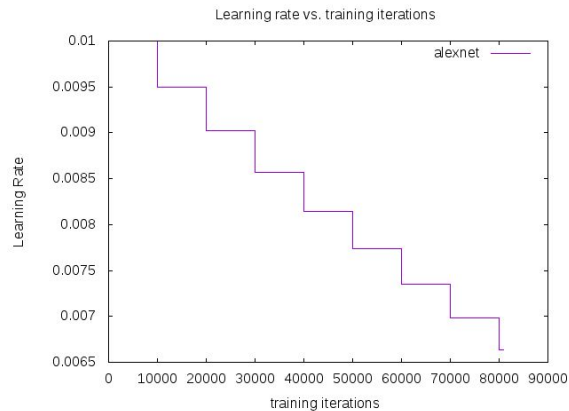
## Learning rate vs. training iterations



AlexNet 1



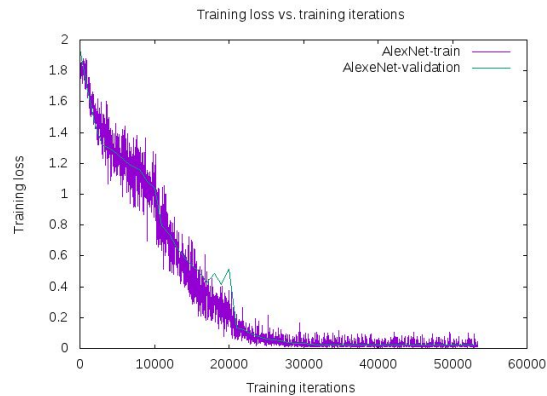
AlexNet 2



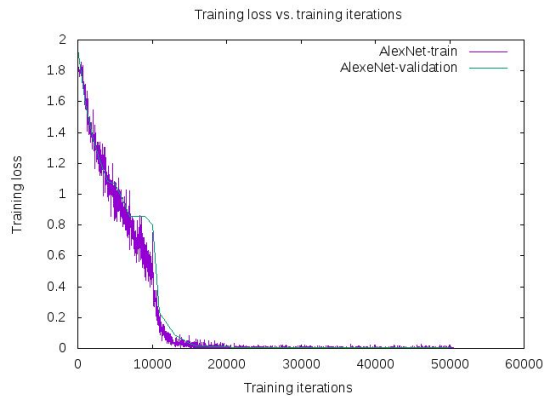
AlexNet 3

# Training

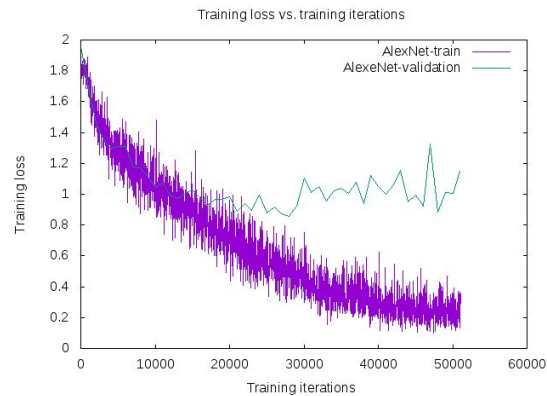
## Training loss vs. training iterations



AlexNet 1



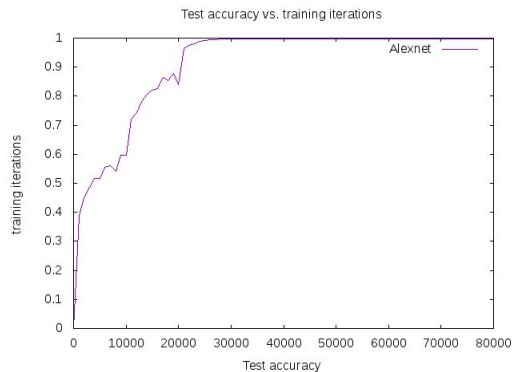
AlexNet 2



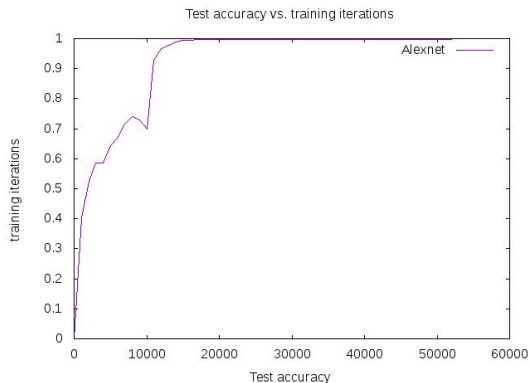
AlexNet 3

# Training

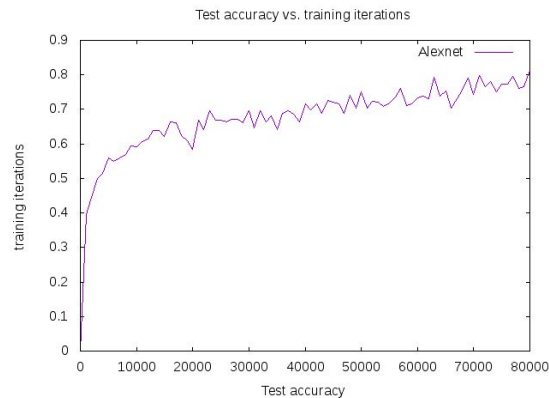
Test accuracy vs. training iterations



AlexNet 1



AlexNet 2



AlexNet 3

# Prediction Result

The best performance we got:

Accuracy: 54.8620785734%

Misclassification Rate: 45.1379214266%

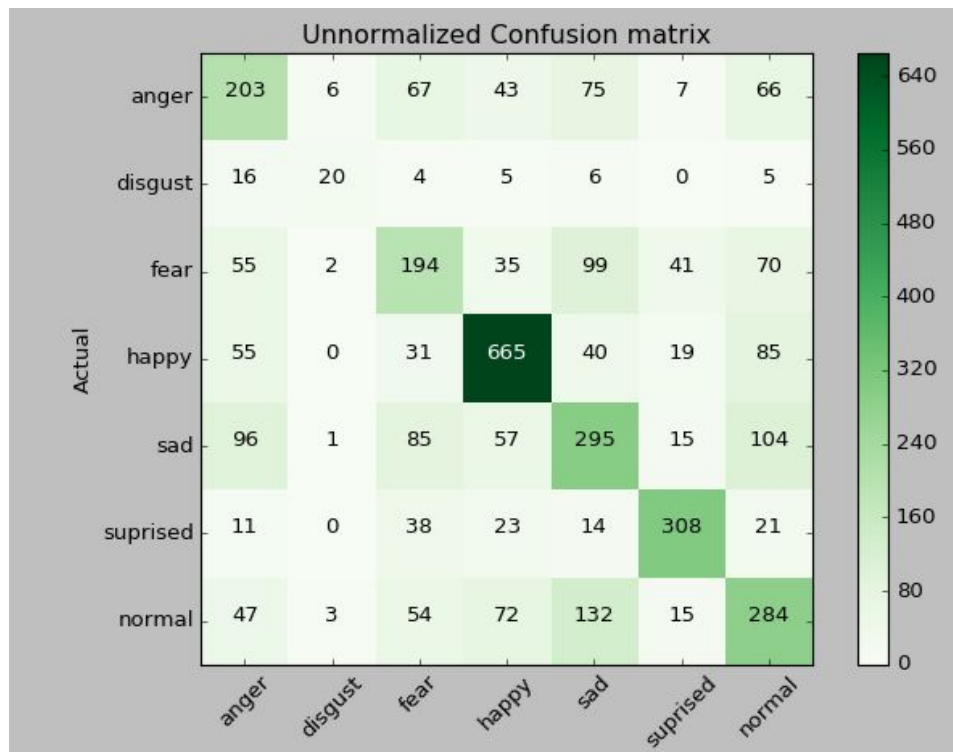
- Confusion matrix
- ROC Curve & AUC Value



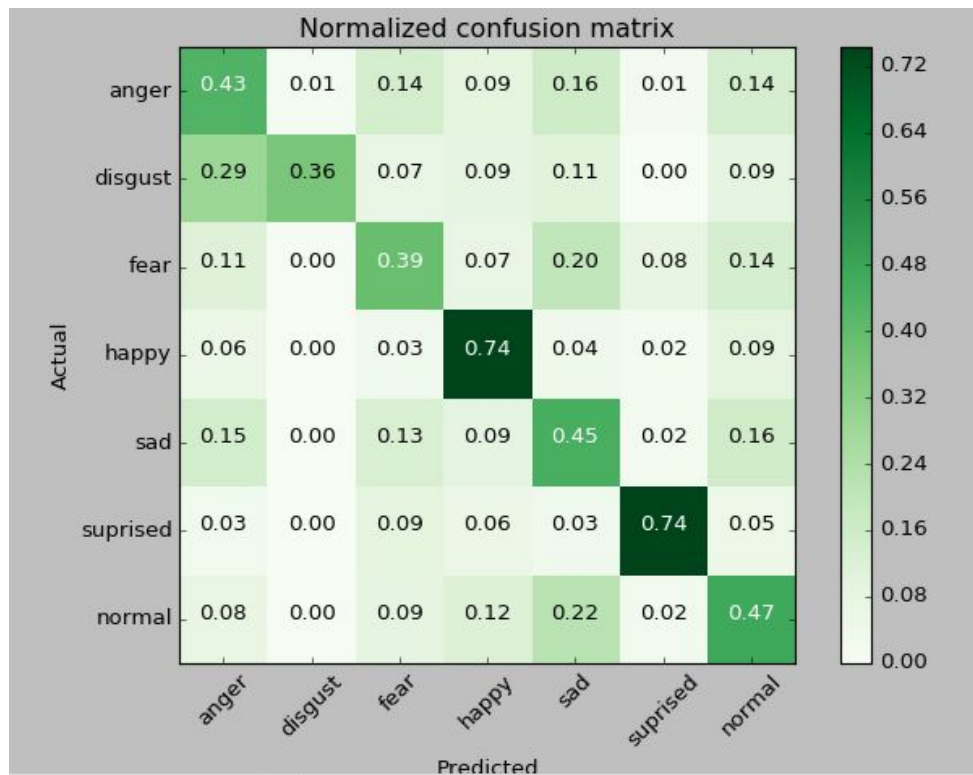
# Confusion matrix

```
[[203  6 67 43 75  7 66]
 [ 16 20  4  5  6  0  5]
 [ 55  2 194 35 99 41 70]
 [ 55  0 31 665 40 19 85]
 [ 96  1 85 57 295 15 104]
 [ 11  0 38 23 14 308 21]
 [ 47  3 54 72 132 15 284]]
```

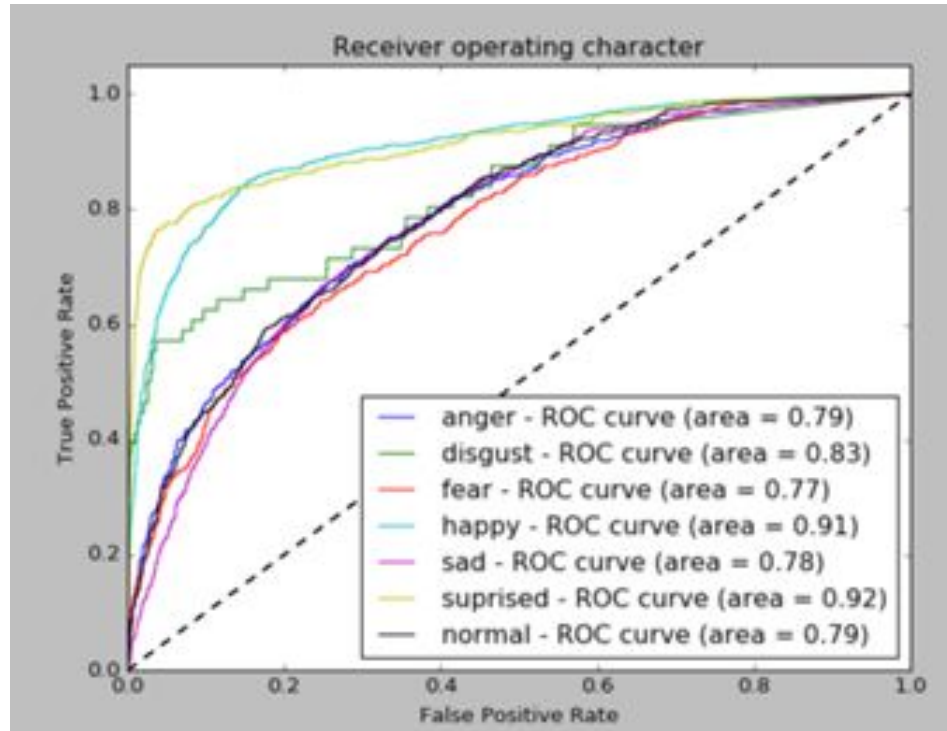
**Predicted across the top:** Each column of the matrix corresponds to a predicted class.  
**Expected down the side:** Each row of the matrix corresponds to an actual class.



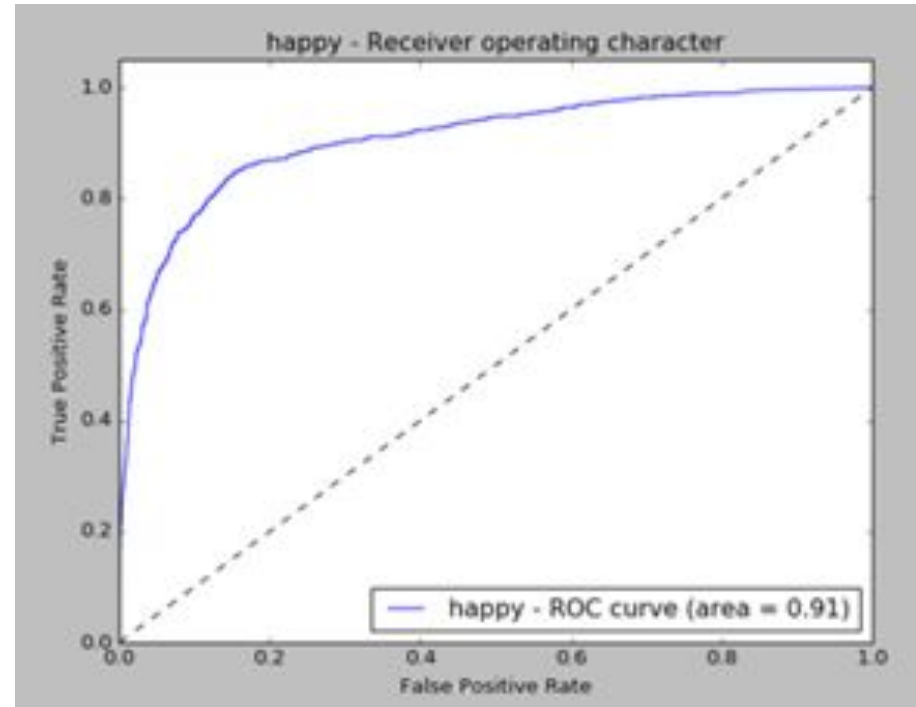
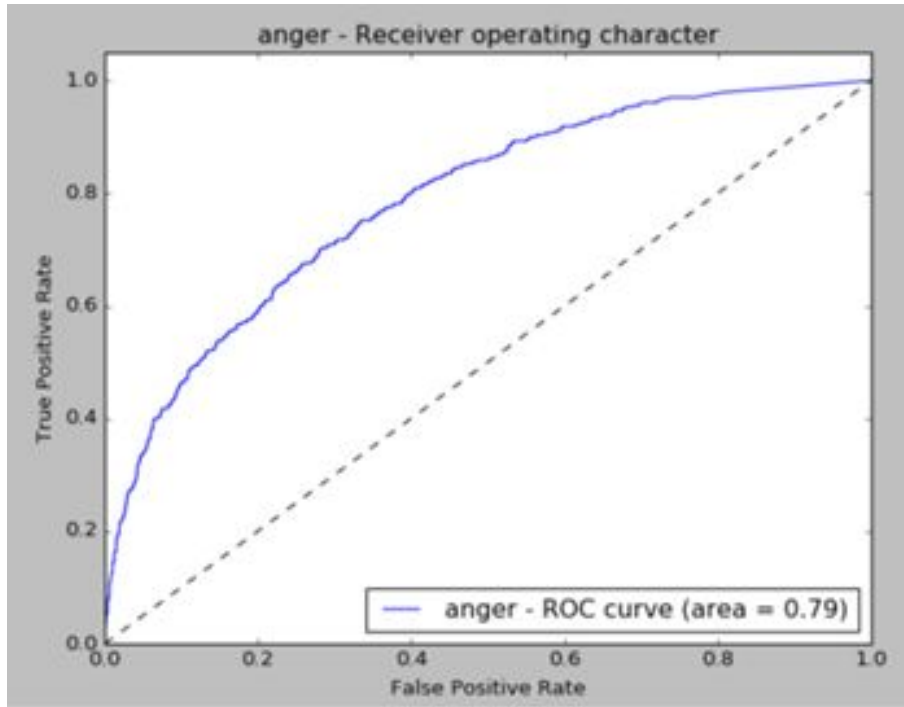
# Normalized confusion matrix



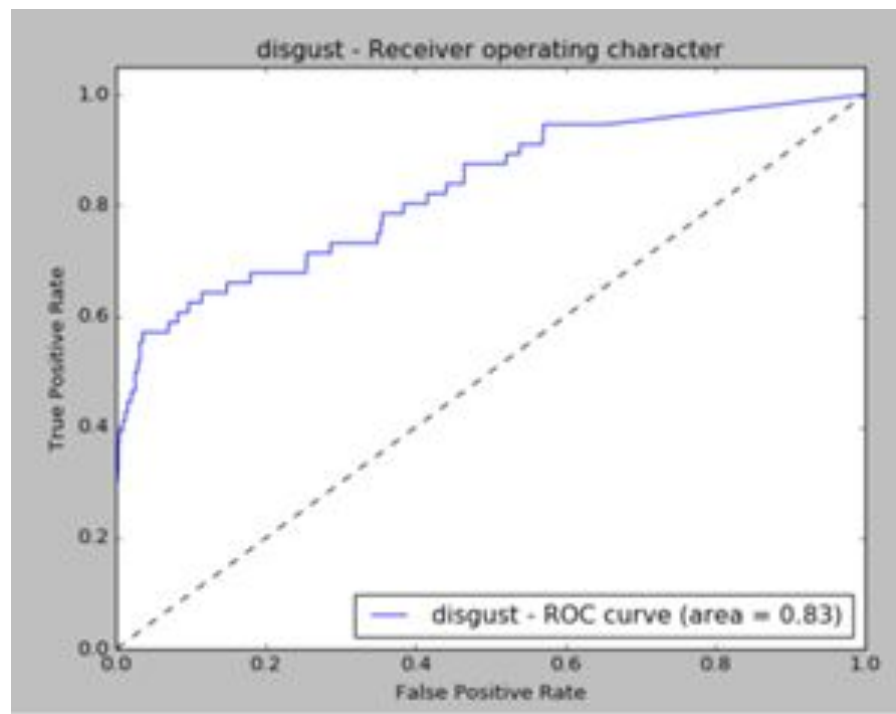
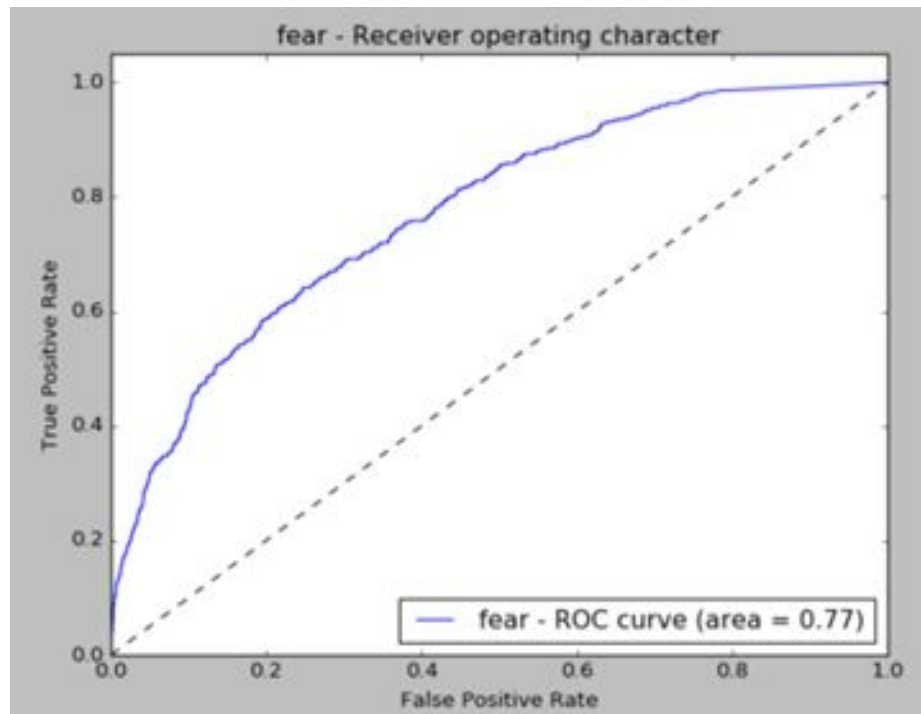
# ROC Curve & AUC Value



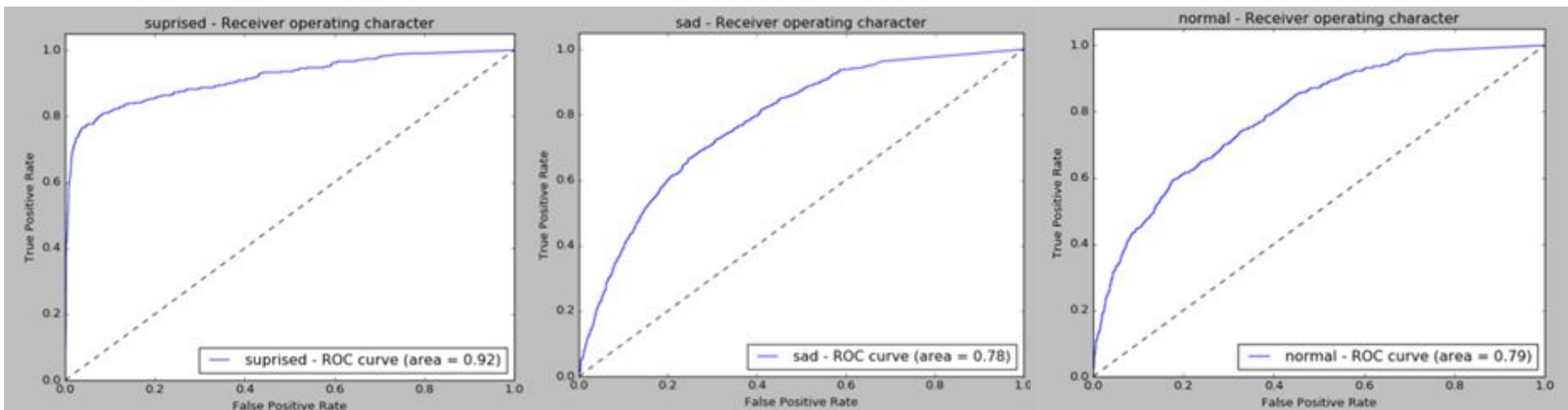
# Anger & Happy



# Fear, Disgust



# Surprise, Sad, Normal



# Summary

Overall accuracy: **55%**

Over mismatch classification rate: **45%**

Anger accuracy: 43%

Disgust accuracy: 36%

Fear accuracy: 39%

Happy accuracy: 74%

Sad accuracy: 45%

Surprised accuracy: 74%

Normal accuracy: 47%

# Future

## Network:

- Googlenet
- Resnet

## Framework:

- Pytorch
- Tensorflow



**Really thank you for your patient teaching Amir!!  
Thank you.**