

# Face Recognition via Principle Component Analysis

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## Objective

Use the singular value decomposition for a matrix to efficiently recognize human faces.

## Introduction

Euclidean distance is an obvious way to try and distinguish between different human faces. Calculating the Euclidean distance between two length  $kn$  vectors (corresponding to two  $k \times n$  images), requires  $(kn)^2$  subtractions and multiplications respectively. Using Matlab, the image:



can be treated a  $192 \times 168$  matrix:

sample\_image 192x168 uint8

Thus calculating the Euclidean distance for any two images of these dimensions requires  $192 \times 168 = 32256$  multiplications and subtractions. Therefore, if the important features of a large collection of images can be summarized by just a few images, the computation cost can be reduced and the recognition speed can be improved. This can be achieved by PCA (Principle Component Analysis).

## Mathematics of PCA

Suppose we have  $M$  vectors of size  $N$  representing a set of sampled images.  $p_j$ 's represent the pixel values.

$$x_i = [p_1, p_2, \dots, p_{j=N}]^T, \text{ for } i = 1, 2, \dots, M.$$

Let  $m$  be the pixelwise mean of the images and let  $w_i$  be defined as the mean centered image corresponding to  $x_i$ :

$$w_i = x_i - m.$$

Find a set of vectors  $\{e_i\}$  which best capture the variance of  $w_1, \dots, w_M$ . Specifically set

$$e_1 := \arg \max_{\|e\|=1} \left( \sum_i^M \langle w_i, e \rangle^2 \right)$$

While in general

$$e_k := \arg \max_{\|e\|=1} \left( \sum_i^M \langle \hat{w}_i, e \rangle^2 \right)$$

where

$$\hat{w}_i = w_i - \sum_{i=1}^{k-1} w_i e_i e_i^T.$$

## Main Result



Figure 1: Faces from training set.



Figure 2: The average face after mean centering.

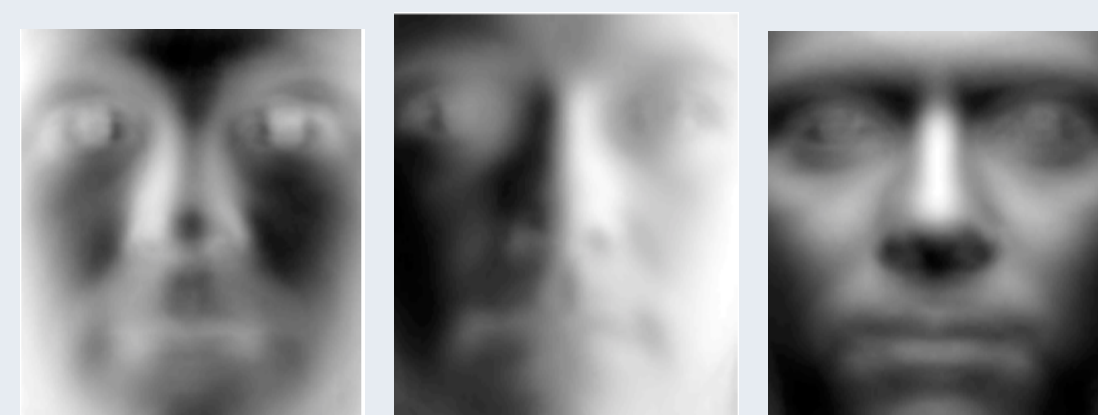


Figure 3: The corresponded eigenfaces.

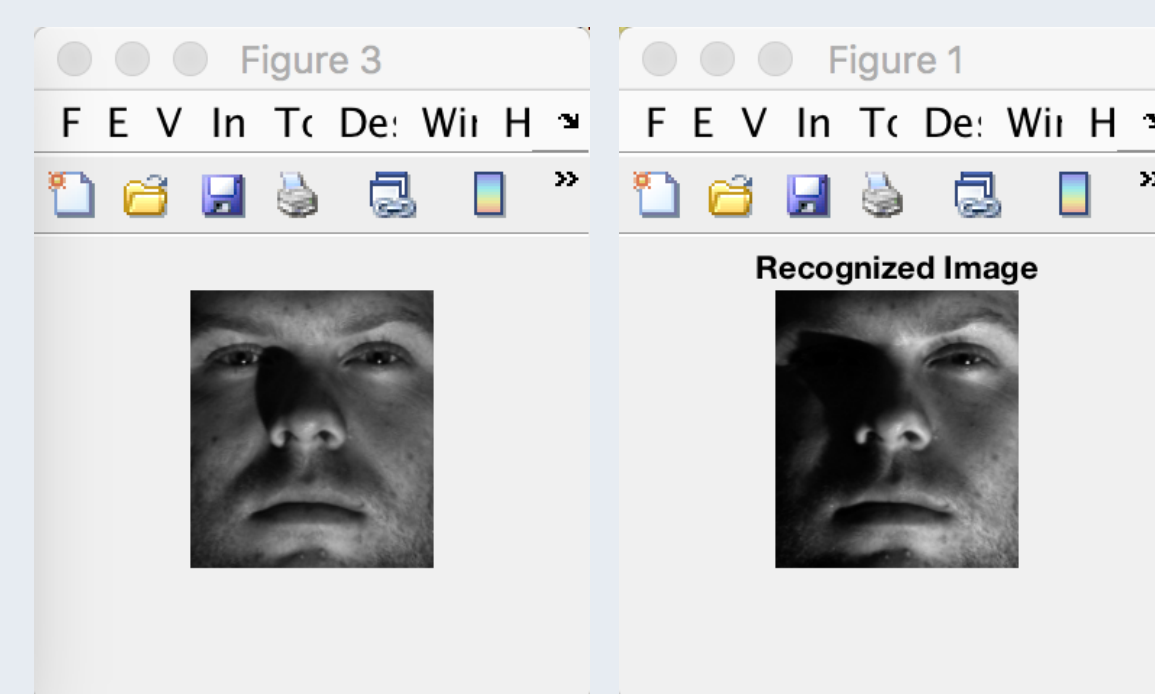


Figure 4: Test face vs. recognized face.

Img\_Mat 32256x60 double project\_sample 59x60 double

Figure 5: The reduction in computation.

As we explained in the Introduction, by using PCA we were able to summarize the discriminatory features of a large collection of images of an individual in just a few images.

In other words, we repeatedly extract the direction which captures the highest variance in the data. This both acts as a tool for dimensionality reduction and also feature extraction since it efficiently captures variation in the data in a very compact form.

Given a new image  $v_i$  (which we treat as a vector) we can calculate the angle between  $v_i$  and the subspace spanned by  $e_1, e_2, \dots, e_\ell$  for small  $\ell$ . The size of this angle should efficiently indicate the similarity of the new image to the set  $x_1, \dots, x_M$ .

## The Algorithm

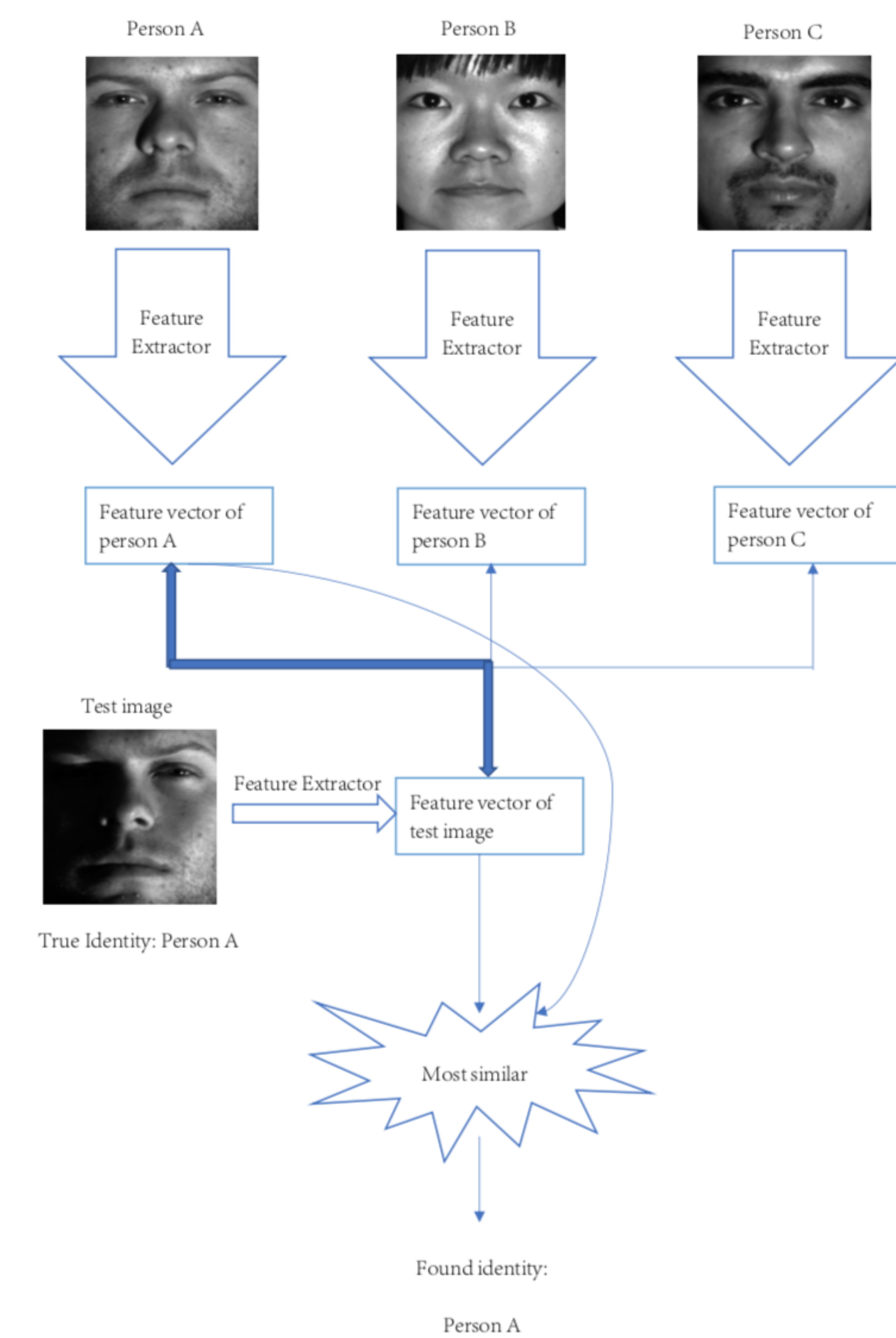


Figure 6: The flow chart.

- The feature extractor calculates the eigenfaces thus converting discriminatory information for each persons face to a small set of column vectors.
- The test image is also converted to a vector. By comparing with a subset of the eigenface vectors for each person, we can predict which individual the test vector image corresponds to.

## References

- The Yale Face Database B,  
<http://vision.ucsd.edu/leekc/ExtYaleDatabase/ExtYaleB.html>