

# Chapter 1

## Analysis of algorithms

THE analysis of algorithms is to determine the amount of resource (time/space) necessary to execute algorithms. By analyzing the resources used in algorithms, we can compare different algorithms theoretically.

The amount of resource used in an algorithm is usually represented by a function  $T(n)$ , where  $n$  is the length of the input. The goal of the analysis of algorithms is to find out the asymptotic growth rate of  $T(n)$  in terms of  $n$ . In computer science, since  $n$  denotes the length of the input, we only care about positive values of  $n$ . Similarly, since  $T(n)$  denotes the amount of resource, we usually assume that  $T(n)$  is positive. This is not a real limitation, but can simplify the discussion.

Each textbook usually discusses the analysis of algorithms in the first several chapters. For those who want to know further about the analysis of algorithms, you can watch the videos of [Analysis of Algorithms](#) on Coursera and read books [3, 5, 6].

### 1.1 Recurrence relations

During the exam, we are often given a recurrence relation  $T(n) = a(n)T(b(n)) + f(n)$ , and we need to give to a tight bound of  $T(n)$ . If the problem statements do not explicitly specify the base case, we usually assume that  $T(n) = \Theta(1)$  when  $n$  is small (less than some constant). In general, we can just focus on some particular values of  $n$  as long as these values approach infinity<sup>1</sup>. For example, we can only consider  $n = 2^i$  for all positive integer  $i$ . Making assumptions can make the analysis easier.

#### 1.1.1 Master theorem

The most powerful technique in solving divide-and-conquer recurrence relation is the master theorem. There are several forms of the master theorem. Verma gives the following master theorem [7]:

**Theorem 1.** Let  $T(n) = aT(n/b) + f(n)$  for all  $n > 1$  and  $T(1) = c$  for some constants  $a \geq 1$ ,  $b > 1$ ,  $c > 0$ , and non-negative function  $f(n)$ .

1. if  $f(n) = O(n^{\lg_b a} / \lg n)(1 + \epsilon)$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\lg_b a})$ .
2. if  $f(n) = \Theta(n^{\lg_b a} \lg^k n)$  for some  $k \geq 0$ , then  $T(n) = \Theta(n^{\lg_b a} \lg^{k+1} n)$ .
3. if  $f(n) = \Omega(n^{\epsilon + \lg_b a})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq kf(n)$  for some constant  $k < 1$  and all sufficiently large  $n$ , then  $T(n) = \Omega(f(n))$ .
4. if  $f(n) = \Theta(n^{\lg_b a} / \lg n)$ , then  $T(n) = \Theta(f(n) \lg n \lg \lg n)$ .

<sup>1</sup>There should be a condition specifying what assumptions are applicable.

**Note** When you apply the master theorem, please pay attention to the following:

1. When the recursion involves floor or ceiling function, the master theorem does not apply. For example,  $T(n) = T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + n$ .
2. In order to apply the case 2 (the extended master theorem),  $k$  must be non-negative. For example, case 2 does not apply in the case of  $T(n) = 2T(\frac{n}{2}) + n/\lg n$ .
3. In order to apply the case 3, the regularity condition must be satisfied. For example, case 3 does not apply in the case of  $T(n) = T(\frac{n}{2}) + n(2 - \cos n)$ .

### Exercise 1

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

1.  $T(n) = 2T(\frac{n}{2}) + \frac{n}{\lg^2 n}$ . [NCTU CS 104]

#### Answer of exercise 1

**Problem 1:** By case 1, we know  $T(n) = \Theta(n)$ .

**Problem 1 alternative solution:** Suppose that  $n = 2^k$ . We have

$$\begin{aligned}
 T(n) &= 2T\left(\frac{n}{2}\right) + \frac{n}{\lg^2 n} \\
 \equiv T(n) &= 4T\left(\frac{n}{4}\right) + \frac{n}{\lg^2 n} + \frac{n}{(\lg(n-1))^2} \\
 \equiv T(n) &= 2^k T(1) + n \sum_{i=1}^k i^{-2} \\
 \equiv T(n) &= n + n \sum_{i=1}^k i^{-2}
 \end{aligned}$$

Since  $\sum_{i=1}^k i^{-2}$  is lower bounded by one and is upper bounded by  $\sum_{i=1}^{\infty} i^{-2} = \zeta(2) = \frac{\pi^2}{6}$ <sup>2</sup>, we have  $T(n) = \Theta(n)$ .

#### 1.1.2 Akra-Bazzi method

The Akra and Bazzi method provides a more general way to solve divide-and-conquer recurrence relations [1]. The following version is from [4].

**Theorem 2.** Let

$$T(n) = \begin{cases} \Theta(1) & 1 \leq n \leq n_0 \\ \sum_{i=1}^k a_i T(b_i n + h_i(n)) + f(n) & \forall n > n_0 \end{cases}$$

where

1.  $k \geq 1$  is a constant and for all  $i$ ,  $a_i > 0$  and  $b_i \in (0, 1)$  are constants.
2.  $|f(n)|$  is polynomially-bounded.
3. for all  $i$ ,  $|h_i(n)| = O(x/\lg^2 x)$ .
4.  $n_0$  is large enough.

Let  $p$  be the unique solution for  $\sum_{i=1}^k a_i b_i^p = 1$ . Then

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<sup>2</sup>This is the solution for the **Basel problem**.

1. if  $f(n) = O(n^{p-\epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^p)$ .
2. if  $f(n) = \Theta(n^p)$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^p \lg n)$ .
3. if  $f(n) = \Omega(n^{p+\epsilon})$  and  $f(n)/x^{p+\epsilon}$  is non-decreasing for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(f(n))$ .
4.  $T(n) = \Theta\left(n^p \left(1 + \int_1^n \frac{f(u)}{u^{p+1}} du\right)\right)$ .

**Note** This version of the Akra-Bazzi method can deal with floor and ceil function by picking  $h_i$ .

**General version** Some of the requirements can be relaxed [4].

1. The second condition is called *polynomial-growth condition* and can be replaced by the following weaker requirement:  $g(n)$  is nonnegative and exist constants  $c_1$  and  $c_2$  such that for all  $i$  and for all  $u \in [b_i n + h_i(n), n]$ , we have  $c_1 f(n) \leq f(u) \leq c_2 f(n)$ .
2. The third condition can be replaced by the following weaker requirement: there exists a constant  $\epsilon > 0$ ,  $|h_i(n)| \leq n/(\lg^{1+\epsilon} n)$  for all  $i$  and  $n \geq n_0$ .
3. The fourth condition is pretty technical and you can find the complete version in the original paper.

Drmotá and Szpankowski prove a more general theorem that can deal with floor and ceil functions directly [2].

## Exercise 2

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

1.  $T(n) = 5T(\frac{n}{5}) + n/\lg n$ . [NCTU CSIE 93]
2.  $T(n) = T(\frac{n}{2} + \sqrt{n}) + n$ . [NTU CSIE 103]
3.  $T(n) = 4T(\frac{n}{5}) + T(\frac{n}{4}) + n$ . [NCTU BIOINFO 93]

## Answer of exercise 2

**Problem 1:** Suppose that  $n = 5^k$ . We have

$$\begin{aligned}
 T(n) &= 5(5T(\frac{n}{25}) + n/(5(\lg_5 n - \lg_5 5))) + n/\lg n \\
 &\equiv T(n) = 5^k T(1) + n/(\lg n + \lg(n-1) + \dots + 1) \\
 &\equiv T(n) = \Theta(n \lg \lg n)
 \end{aligned}$$

**Problem 1 another solution:** apply the Akra-Bazzi method. Set  $k = 1$ ,  $a_1 = 5$ ,  $b_1 = 1/5$ , and solve  $p = 1$ . We get

$$T(n) = \Theta(n(1 + \int_1^n (x/\lg x)x^{-2} dx)) = \Theta(n \lg \lg n).$$

**Note:** Similarly, for any constant  $c$ , we have  $T(n) = cT(\frac{n}{c}) + n/\lg n = \Theta(n \lg \lg n)$ .

**Problem 2:** apply the Akra-Bazzi method. Set  $k = 1$ ,  $a_1 = 1$ ,  $b_1 = 1/2$ , and solve  $p = 0$ . Hence, we get

$$T(n) = \Theta(1(1 + \int_1^n (x/x)dx)) = \Theta(n).$$

**Problem 3:** apply the Akra-Bazzi method. Set  $k = 2$ ,  $a_1 = 4$ ,  $b_1 = 1/5$ ,  $a_2 = 1$ ,  $b_2 = 1/4$ , and solve  $p \approx 1.03$ . We get

$$T(n) = \Theta(n^p), \text{ where } p \approx 1.03.$$

### Exercise 3

Given positive constants  $c'$ ,  $c_1, c_2, \dots, c_k$ , assume that  $T(n) \leq c'n + \sum_{i=1}^k T(c_i n)$  and  $\sum_{i=1}^k c_i < 1$ . Prove  $T(n) = O(n)$ . [NCTU CSIE 92]

#### Answer of exercise 3

Apply the Akra-Bazzi method.

### 1.1.3 Full-history recurrence

#### Exercise 4

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

1.  $T(n) = n + \frac{4}{n} \sum_{i=1}^{n-1} T(i)$ . [NTU CSIE 90]

#### Answer of exercise 4

**Problem 1:**

$$\begin{aligned}
 T(n) &= n + \frac{4}{n} \sum_{i=1}^{n-1} T(i) \\
 \equiv nT(n) &= n^2 + 4 \sum_{i=1}^{n-1} T(i) \\
 \equiv (n+1)T(n+1) &= n^2 + 4 \sum_{i=1}^n T(i) \\
 \equiv (n+1)T(n+1) - nT(n) &= 2n + 1 + 4T(n) \\
 \equiv (n+1)T(n+1) &= (n+4)T(n) + 2n + 1 \\
 \equiv \frac{T(n+1)}{(n+2)(n+3)(n+4)} &= \frac{T(n)}{(n+1)(n+2)(n+3)} + \frac{2n+1}{(n+1)(n+2)(n+3)(n+4)}
 \end{aligned}$$

Let  $S(n) = \frac{T(n)}{(n+1)(n+2)(n+3)}$ .

$$\begin{aligned}
 S(n+1) &= S(n) + \frac{2n+1}{(n+1)(n+2)(n+3)(n+4)} \\
 \equiv S(n) &= \sum_{i=0}^{n-1} \frac{2i+1}{(i+1)(i+2)(i+3)(i+4)} \\
 \equiv S(n) &= \Theta(1) \\
 \equiv T(n) &= (n+1)(n+2)(n+3)S(n) \\
 \equiv T(n) &= \Theta(n^3)
 \end{aligned}$$

### 1.1.4 Range transformation

#### Exercise 5

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

$$1. T(n) = \sqrt{n}T(\sqrt{n}) + \sqrt{n}.$$

[NCTU CSIE 93]

#### Answer of exercise 5

**Problem 1:** The trick is to divide  $n$  by both side and then expand.  $\frac{T(n)}{n} = \frac{T(\sqrt{n})}{\sqrt{n}} + n^{-0.5}$ . Suppose that  $n = 2^{2^k}$ . Let  $S(k) = T(2^{2^k})/2^{2^k}$ .

$$\begin{aligned} T(n) &= S(k) = S(k-1) + 2^{-2^{k-1}} \\ &\equiv S(k) = \Theta(1) + \sum_{i=1}^{k-1} 2^{-2^{k-1}} = \Theta(1) \\ &\equiv T(n) = n \cdot S(k) = n \cdot \Theta(1) = \Theta(n) \end{aligned}$$

### 1.1.5 Recursion trees

#### Exercise 6

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

$$1. T(n) = 2T(\sqrt{n}) + \lg n.$$

[NCKU CSIE 95]

$$2. T(n) = nT(\sqrt{n}) + n^2 \lg n.$$

[NTU CSIE 98]

#### Answer of exercise 6

**Problem 1:** Suppose that  $n = 2^{2^k}$ . We have

$$\begin{aligned} T(n) &= 2^2 T(\sqrt[4]{n}) + 2(\lg n - 1) + \lg n \\ &\equiv T(n) = 2^k T(1) + \lg n + 2(\lg n)/2 + 4(\lg n)/4 + \dots + 2^k(\lg n)/2^k \\ &\equiv T(n) = 2^k T(1) + \lg n \sum_{i=1}^k 1 \\ &\equiv T(n) = \Theta(\lg n \lg \lg n) \end{aligned}$$

**Problem 2:** Suppose that  $n = 2^{2^k}$ . We have

$$\begin{aligned} T(n) &= n^{1.5} T(\sqrt[4]{n}) + \frac{n^2 \lg n}{2} + n^2 \lg n \\ &\equiv T(n) = n^2 T(1) + n^2 \lg n \sum_{i=0}^k 2^{-i} \\ &\equiv T(n) = \Theta(n^2 \lg n) \end{aligned}$$

### 1.1.6 Comparison

#### Exercise 7

Let  $T(n) = \Theta(f(n))$ . Assume that  $T(n)$  is a constant for sufficiently small  $n$ . Derive  $f(n)$  in the simplest formula for each of the following  $T(n)$ .

$$1. T(n) = T\left(\frac{n}{2}\right) + T(\sqrt{n}) + n.$$

[NCTU BIOINFO 93]

### Answer of exercise 7

**Problem 1:** By the recurrence relation, we have  $T(n) = \Omega(n)$ . Let  $F(n) = F(n/2) + F(n/3) + n$ . Since  $n/3 > \sqrt{n}$  for all  $n > 9$ , we have  $F(n) \geq T(n)$ . By using the Akra-Bazzi method, we get  $F(n) = \Theta(n)$ . Hence, we have  $T(n) = \Theta(n)$ .

## References

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- [7] Rakesh M. Verma. “A General Method and a Master Theorem for Divide-and-Conquer Recurrences with Applications”. In: *Journal of Algorithms* 16.1 (Jan. 1994), pp. 67–79. ISSN: 0196-6774. DOI: [10.1006/jagm.1994.1004](https://doi.org/10.1006/jagm.1994.1004). URL: <http://dx.doi.org/10.1006/jagm.1994.1004> (cit. on p. 1).

# Chapter 2

## Problems on sequences

Arrays are the simplest data structures in computers. Even the structure of an array is very simple, there are still some hard problems. In this chapter, we will go through several hard problems of arrays.

### 2.1 Searching

#### Exercise 8

Given two arrays  $x[1], \dots, x[m]$  and  $y[1], \dots, y[n]$ , design an algorithm to find two elements  $x[i]$  and  $y[j]$  such that the absolute value  $|x[i] - y[j]|$  is minimized over all possible pairs of  $x$  and  $y$  elements. A brute force algorithm needs  $O(n^2)$  time. Your algorithm should be far more efficient than that. Analyze the time complexity of your algorithm. [NCU CSIE 101]

Answer of exercise 8

#### 2.1.1 $k$ -sum problem

#### Exercise 9

1. Given three sets of integers  $X$ ,  $Y$ , and  $Z$ , and another integer  $k$ , we want to know if there exists three numbers  $x$ ,  $y$ , and  $z$ , such that  $x \in X$ ,  $y \in Y$ , and  $z \in Z$ , and  $x + y + z = k$ . Design an algorithm to solve this problem. A naive method by checking all possible sums of triples  $(x + y + z)$  will take  $\Theta(|X||Y||Z|)$  time. Your algorithm must be more efficient than it. Analyze the time complexity of your algorithm. [NCU CSIE 99]
2. Given an array of  $n$  numbers, and a number  $s$ , determine whether the array contains 4 elements whose sum is  $s$ . Analyze the time efficiency of your algorithm. Your algorithm should be more efficient than  $O(n^4)$ . [NCU CSIE 93]

Answer of exercise 9

#### 2.1.2 Binary search

#### Exercise 10

1. Suppose you are given an array of  $n$  sorted numbers that has been circularly shifted  $m$  positions to the right. For example  $\{36, 45, 5, 18, 26, 29\}$  is a sorted array that has been circularly shifted  $m = 2$  positions to the right. Give an  $O(\lg n)$  algorithm to find the largest number in this array. Note that you don't know what  $m$  is. [CYCU CSIE 89]

2. Let  $A[n]$  be an array with  $n$  elements sorted in ascending order. It is simple to construct an  $O(\lg n)$  algorithm to find the position  $k$  in  $A[n]$  for a given value  $v$ . Assume that  $k$  is much less than  $n$  (i.e.,  $k \ll n$ ). Write an  $O(\lg k)$  time algorithm to search for  $k$ . (Note: you do not know the value of  $k$  in advance, only  $v$  is known) [YZU CSIE 93]
3. Give an efficient algorithm to determine if there exists an integer  $i$  such that  $A_i = i$  in an array of integers  $A_1 < A_2 < \dots < A_n$ . What is the running time of your algorithm? [NDHU CSIE 96, CYCU CSIE90]

#### Answer of exercise 10

### 2.1.3 Majority problem

#### Exercise 11

1. An array  $a[1, \dots, n]$  is said to contain a majority element if there is some element that appears more than  $n/2$  times in the array. The task is to determine if  $a$  has a majority element and, if so, to find the element. We do not assume that the elements of the array come from some ordered domain such as the integers, so we cannot sort the array or perform comparisons such as  $a[i] < a[j]$ . However, we assume we are able to test if  $a[i] = a[j]$  in constant time.
  - (a) Give a divide-and-conquer algorithm (in pseudo-code) for this problem that runs in time  $O(n \lg n)$ . Justify this running time by writing down an appropriate recurrence relation.
  - (b) Can you come up with a simple  $O(n)$ -time algorithm for this problem? [CCU CSIE 95]
2. Given an  $n$ -element array  $A$  of real numbers, design an  $O(n)$  time algorithm which determines whether any value occurs more than  $n/7$  times in  $A$ . [NTUT CSIE 102]

#### Answer of exercise 11

## 2.2 Sorting

#### Exercise 12

Jeremy is a waiter working in a restaurant. The chef there is sloppy; when he prepares a stack of pancakes, they come out all different sizes. When Jeremy delivers the pancakes to the customer, he wants to rearrange them by grabbing several from the top and flipping them over on the way. After repeating this for several times, the smallest pancake is on top, and so on, down to the largest at the bottom. If there are  $n$  pancakes, how many flips are required? Design an algorithm to help Jeremy, and analyze its time complexity. [NCKU CSIE 102]

#### Answer of exercise 12

#### Exercise 13

Let  $A$  be an array of  $n$  arbitrary and distinct numbers.  $A$  has the following property: If we imagine  $B$  as being sorted version of  $A$ , then any element that is at position  $i$  in array  $A$  would, in  $B$ , be at a position  $j$  such that  $|i - j| \leq k$ . In other words, each element in  $A$  is not farther than  $k$  positions away from where it belongs in the sorted version of  $A$ . Suppose you are given such an array  $A$ , and you are told that  $A$  has this property for a particular value  $k$  (that value of  $k$  is also given to you). Design an  $O(n \lg k)$  time algorithm for sorting  $A$ . [NTUT CSIE 95]

#### Answer of exercise 13

#### Exercise 14

The input is a sequence of  $n$  integers with many duplications, such that the number of distinct integers in the sequence is  $O(\lg n)$ .



1. Design a sorting algorithm to sort such sequences using at most  $O(n \lg \lg n)$  comparisons in the worst case.
2. Why is the lower bound of sorting  $\Omega(n \lg n)$  not satisfied in this case? [NCU CSIE 98]

**Answer of exercise 14**

### 2.2.1 Bucket sort

#### Exercise 15

We are given  $n$  points in an unit circle,  $p_i = (x_i, y_i)$ , such that  $0 < \sqrt{x_i^2 + y_i^2} < 1$ , for  $i = 1, \dots, n$ . Suppose that the points are uniformly distributed; that is, the probability of finding a point in any region of the circle is proportional to the area of that region. Design and prove a  $\Theta(n)$  expected-time algorithm to sort the  $n$  points by their distance  $d_i = \sqrt{x_i^2 + y_i^2}$  from the origin. (Hints: Design the bucket sizes in Bucket-Sort to reflect the uniform distribution of the points in the unit circle.) [NDHU CSIE 97]

**Answer of exercise 15**

## 2.3 String

#### Exercise 16

Given two length- $n$  binary strings  $A$  and  $B$ , consider the problem of computing a longest string  $C$  that is a substring of both  $A$  and  $B$ . You are asked to prove or disprove that this so-called longest common substring problem can be solved in  $O(n \lg n)$  time. [NTU CSIE 97]

**Answer of exercise 16**

## 2.4 Array of arrays

#### Exercise 17

It is trivial to find the median of the integers in the sorted array  $a$  with median =  $a(\lfloor n/2 \rfloor)$ . Suppose we have  $3n$  distinct integers that are randomly stored in arrays  $a[0 \dots n-1]$ ,  $b[0 \dots n-1]$ , and  $c[0 \dots n-1]$ , and each array is sorted independently. Write an algorithm to find the median of these  $3n$  distinct integers. Please note that you are not allowed to merge arrays  $a$ ,  $b$ , and  $c$  into a  $3n$  integer array and then perform sorting. [NTUST CSIE 98]

**Answer of exercise 17**

#### Exercise 18

$M$  is an  $n \times n$  integer matrix in which the entries of each row are in increasing order (reading left to right) and the entries in each column are in increasing order (reading top to bottom). Give an efficient algorithm to find the position of an integer  $x$  in  $M$ , or determine that  $x$  is not there. Tell how many comparisons of  $x$  with matrix entries your algorithm does in the worst case. [NTU CSIE 93]

**Answer of exercise 18**

## 2.5 Others

### Exercise 19

Design an algorithm which detects whether there exists a cycle within a singly linked list. (Suppose that each node in this list contains data and next fields for storing data and the pointer to the next node respectively.) Please analyze the time and space complexities of your algorithm. [MCU CSIE 95]

**Answer of exercise 19**

### Exercise 20

Given a finite set  $A$  and a mapping function  $f$  from  $A$  to itself, describe an algorithm to find a subset  $S$  of  $A$  with maximum size such that  $f$  is one-to-one when restricted to  $S$ . [NCTU CSIE 91]

**Answer of exercise 20**

## 2.5.1 Query support

### Exercise 21

Suppose we have  $n$  ranges  $[a_1, b_1], [a_2, b_2], \dots, [a_n, b_n]$ , where all  $a_i$ 's are negative and all  $b_i$ 's are positive. We are asked to preprocess these ranges so that for any input value  $x$ , we can efficiently count the number of the ranges containing  $x$ . Design an efficient representation of the ranges so that the desired counting can be done in  $O(\lg n)$  time. Your representation must take  $O(n)$  space. Describe your representation and how to answer a query in  $O(\lg n)$  time in details. [NTHU CSIE 100]

**Answer of exercise 21**

### Exercise 22

Suppose that we are given a sequence of  $n$  unsorted values, say  $x_1, x_2, \dots, x_n$ , and at the same time, we are asked to quickly answer repeated queries defined as follows: Given  $i$  and  $j$ , where  $1 \leq i \leq j \leq n$ , find the smallest value in  $x_i, x_{i+1}, \dots, x_j$ . Please design a data structure that uses  $O(n)$  space and answers the queries in  $O(\lg n)$  time. [NCTU BIOINFO 100]

**Answer of exercise 22**

# Chapter 3

## Problems on graphs

### 3.1 Tree

#### Exercise 23

Let  $T$  be an  $n$ -node tree rooted at some node  $r$ . We want to place as few guards as possible on nodes in  $T$ , such that every edge of  $T$  is guarded: an edge between a parent node  $v$  and its child  $w$  is guarded if one places a guard on at least one of these two nodes  $v, w$ . Give an  $O(n)$  time algorithm for finding an optimal solution to the problem. Please show the analysis on the time and correctness of your algorithm. [NTUT CSIE 101]

**Answer of exercise 23**

#### 3.1.1 Lowest common ancestor

#### Exercise 24

1. Let  $T$  be a binary tree rooted at  $r$  with vertex set  $V$  and edge set  $E$ . Suppose it is represented using adjacency list format. If node  $u$  is an ancestor of  $v$ , there is a path from  $r$  to  $v$  passing through  $u$ . Consider the function  $\text{ancestor}(u, v)$  which returns TRUE if  $u$  is a ancestor of  $v$  and FALSE otherwise. In order to have this function run in  $O(1)$  time, we are asked to design an algorithm to preprocess the tree. Please provide a linear-time, i.e.,  $O(|V| + |E|)$  time algorithm for this preprocess. [NTUT CSIE 100]
2. (a) Let  $T$  be a binary search tree, where each vertex contains a pointer to its parent and pointers to its children, and also a field named `temp`, which is of type integer. You are given two pointers  $q_1, q_2$ , pointing to two vertices  $v_1, v_2$  in  $T$ . Find in time  $O(k)$  what is the length of the shortest path in  $T$  connecting  $v_1$  to  $v_2$ , where  $k$  is the length of this path. You may assume that before the execution of your program, the value of all the "temp" fields is zero, and you can use these fields for your algorithm.  
(b) Same as above, but this time the "temp" fields do not exist, you cannot write on the tree (so its information is "read-only" and the expected running time of your algorithm should be  $O(k)$ ). Hint: Assume that  $T$  is stored in the memory of your computer and you can find, in time  $O(1)$ , what is the address in which each node is stored. [CCU CSIE 93]

**Answer of exercise 24**

### 3.2 Traversal

#### 3.2.1 DFS

### Exercise 25

1. An undirected graph  $G = (V, E)$  is stored in a text file with the following format: The first line contains two integer numbers  $n$  and  $m$  that denote the numbers of vertices and edges of  $G$  respectively. Then, the first line is followed by  $m$  lines. Each line contains two distinct integers, say  $i$  and  $j$ , indicating that there is an edge between vertices  $i$  and  $j$ . Given such a file, design an  $O(n)$  time algorithm to test if the undirected graph represented by the file is a tree. You should specify the data structure used to store the graph in the memory and how you construct such a data structure. [NCU CSIE 96, NTU CSIE 99]
2. For an undirected graph  $G = (V, E)$ , a vertex  $v \in V$ , and an edge  $(x, y) \in E$ , let  $G \setminus v$  denote the subgraph of  $G$  obtained by removing  $v$  and all the edges incident to  $v$  from  $G$ ; and let  $G \setminus (x, y)$  denote the subgraph of  $G$  obtained by removing the edge  $(x, y)$  from  $G$ . If  $G$  is connected, then  $G \setminus v$  can be disconnected or connected.
  - (a) Given a connected graph  $G$ , design an  $O(|V|)$  time algorithm to find a vertex  $v \in G$  such that  $G \setminus v$  is connected.
  - (b) Given a connected graph  $G$ , design an  $O(|V|)$  time algorithm to either find an edge  $(x, y) \in G$  such that  $G \setminus (x, y)$  is connected or report that no such an edge exists. [NCU CSIE 102]

### Answer of exercise 25

## 3.2.2 BFS

### Exercise 26

1. Given is a directed graph  $G = (V, E)$  represented via adjacency lists and a vertex  $v_a \in V$ . Design an algorithm that outputs the length of the shortest cycle containing  $v_a$  in  $G$ . your algorithm should solve the problem in  $O(|V| + |E|)$  time. [NTHU CSIE 95]
2. We have a directed graph  $G = (V, E)$  represented using adjacency lists. The edge costs are integers in the range  $\{1, 2, 3, 4, 5\}$ . Assume that  $G$  has no self-loops or multiple edges. Design an algorithm that solves the single-source shortest path problem on  $G$  in  $O(|V| + |E|)$ . [NTHU CSIE 95]

### Answer of exercise 26

## 3.2.3 Topological sort

### Exercise 27

Professor Lee wants to construct the tallest tower possible out of building blocks. She has  $n$  types of blocks, and an unlimited supply of blocks of each type. Each type- $i$  block is rectangular solid with linear dimension  $(x_i, y_i, z_i)$ . A block can be oriented so that any two of its three dimensions determine the dimensions of a base and the other dimension is the height. In building a tower, one block may be placed on top of another block as long as the two dimensions of the lower block. (Thus, for example, blocks oriented to have equal-sized bases cannot be stacked.) Use graph model to design an efficient algorithm to determine the tallest tower that the professor can build. Analyze the run time complexity. [CYCU CSIE 92]

### Answer of exercise 27

## 3.3 Path

### Exercise 28

Given a graph  $G = (V, E)$  and a weight function  $w : E \rightarrow R$ , describe a method to decide whether there is a function  $h : V \rightarrow R$  such that the new weight function  $w_h$  defined by  $w_h = w(u, v) + h(u) - h(v)$  is non-negative. [NTPU CSIE 100]

#### Answer of exercise 28

#### Exercise 29

Given an  $N$  by  $N$  positive matrix  $R$  (i.e., each entry  $R[I, J]$  is positive) design an efficient algorithm to determine whether or not there exists a sequence of distinct indices:  $I_1, I_2, \dots, I_k$ , where  $1 \leq k \leq N$ , such that  $R[I_1, I_2] \times R[I_2, I_3] \times \dots \times R[I_{k-1}, I_k] \times R[I_k, I_1] > 1$ . State your algorithm precisely and analyze the running time of your algorithm. [NCTU CSIE 96]

#### Answer of exercise 29

### 3.4 Spanning tree

#### Exercise 30

1. Consider the following variation of the Minimum Spanning Tree problem: Given a graph  $G$  of  $n$  vertices and  $m$  edges AND a minimum spanning tree  $T$  of graph  $G$ , we wish to add new edge  $e$  with weight  $w_e$  to  $G$  forming a new graph  $G'$  and construct the new minimum spanning tree of the new graph  $G'$ . Give an algorithm which constructs the minimum spanning tree of  $G'$  in  $O(n)$  time. [NCU CSIE 102]
2. Suppose that a graph  $G$  has a minimum spanning tree already computed. How quickly can the minimum spanning be updated if a new vertex and incident edges are added to  $G$ ? Please justify your answer. [NTUT CSIE 98]

#### Answer of exercise 30

### 3.5 Matching

#### Exercise 31

Let  $X = \{1, \dots, n\}$ . For a subset of  $X$ , we say that it covers its elements. Given a set  $\mathcal{S} = \{S_1, S_2, \dots, S_m\}$  of  $m$  subsets of  $X$  such that  $\cup_{i=1}^m S_i = X$ , the set cover problem is to find the smallest subset  $T$  of  $\mathcal{S}$  whose union is equal to  $X$ , that is,  $\cup_{S_i \in T} S_i = X$ . Suppose that each subset  $S_i \in \mathcal{S}$  contains only two elements. Can the set cover problem then be solved in polynomial time? If yes, please also design a polynomial-time algorithm to solve this set cover problem and analyze its time complexity. [NTHU CSIE 101]

#### Answer of exercise 31

### 3.6 Network flow

#### Exercise 32

The escape problem is defined as the following. An  $n \times n$  grid is an undirected graph consisting of  $n$  rows and  $n$  columns of vertices. We denote the vertex in the  $i$ -th row and  $j$ -th column by  $(i, j)$ . All vertices in a grid have exactly four neighbors, except for the boundary vertices, which are the vertices  $(i, j)$  for which  $i = 1$ ,  $i = n$ ,  $j = 1$ , or  $j = n$ . Given  $m \leq n^2$  starting vertices in the grid, the escape problem is to determine whether or not there are  $m$  vertex-disjoint paths from the starting vertices to any  $m$  different vertices on the boundary. Vertex-disjoint paths mean that each vertex can be used at most once in the escape. Show how to convert the escape problem into the maximum flow problem. It is enough to give the conversion procedure. It is not required to show the correctness of your procedure. [NTU CSIE 100]

#### Answer of exercise 32

### Exercise 33

Suppose we are to assign  $n$  persons to  $n$  jobs. Let  $C_{ij}$  be the cost of assigning the  $i$ -th person to the  $j$ -th job. Use a greedy method approach to write an algorithm that finds an assignment that minimizes the total cost of assigning all  $n$  persons to all  $n$  jobs. Analyze your algorithm, and give the time complexity using order notation. [FJU CSIE 91]

**Answer of exercise 33**

## 3.7 Others

### Exercise 34

Suppose you are asked to assign direction for each edge in the graph to make it a digraph such that each vertex can connect to each other vertex by some directed graph (i.e. strongly connected). How do you know whether such strongly connected orientation exists for an undirected graph  $G$  of  $n$  vertices and  $m$  edges. Explain your method and discuss its complexity. [NCKU IM 99]

**Answer of exercise 34**

### Exercise 35

A tournament  $T = (V, E)$  is a simple digraph of  $|V| = n$  vertices and  $|E| = \frac{n(n-1)}{2}$  edges, suppose you already know  $\text{outdeg}[i]$ , the outdegree for each vertex  $i$ . A tournament is transitive, whenever edge  $(u, v) \in E$  and  $(v, w) \in E$  implies  $(u, w) \in E$ . In other words, if there exists any 3 vertices  $i, j, k$  in  $T$  with edges  $(i, j)$ ,  $(j, k)$ , and  $(k, i)$ , then  $T$  is NOT transitive. Now you want to check whether  $T$  is transitive or not. [NCKU CSIE 100]

**Answer of exercise 35**

### Exercise 36

Given an undirected graph  $G = (V, E)$  with  $n = |V|$  vertices, four vertices of  $G$ , say,  $u, v, x$ , and  $y$ , are said to form a 4-cycle if  $(u, v)$ ,  $(v, x)$ ,  $(x, y)$  and  $(y, u)$  are in  $E$ . Consider the problem of determining whether  $G$  contains a 4-cycle. A naive method by checking all possible 4-combinations of the vertex set will need  $\Omega(n^4)$  time to complete the job. Design a more efficient algorithm (i.e., the time complexity of your algorithm should be  $O(n^k)$  with  $k < 4$ ) to solve the problem. Analysis the execution time of your algorithm. [NCU CSIE 95]

**Answer of exercise 36**

## Chapter 4

# Problems on computational geometry

### 4.1 Plane sweep

#### Exercise 37

Suppose that you have  $n$  circles on a 2D plane. The radius and the center coordinate of each circle can be retrieved in  $O(1)$  time. A closed region is defined as a non-empty set of connected 2-D points, and each point is covered by at least one circle.

1. Your task is to find the number of closed regions. Describe your algorithm and data structure in detail. What is the time complexity of your algorithm.
2. Now we start to add more circles one-by-one to the plane. After each addition, we want to keep track of the number of closed regions. Describe an algorithm and data structure to do so. What is the time complexity of your algorithm for each addition. [NTU CSIE 103]

#### Answer of exercise 37

#### Exercise 38

The input is a set of  $n$  rectangles all of whose edges are parallel to the axes. Design an  $O(n \lg n)$  algorithm to mark all the rectangles that are contained in other rectangles. [NCTU CSIE 93]

#### Answer of exercise 38

### 4.2 Convex hull

#### Exercise 39

1. An extreme point of a convex set is a point of this set that is not a middle point of any line segment with endpoints in this set. Design a linear-time algorithm to determine two extreme points of the convex hull of a given set of  $n > 1$  points in the plane. [NTOU CSIE 101]
2. Let the input set  $X$  consists of  $n$  points on the 2-dimensional plane with integral coordinates. The farthest pair problem is to identify two points in  $X$  whose Euclidean distance is maximum over all pairs  $X$ . You are also asked to prove or disprove that the farthest pair problem can be solved in  $O(n \lg n)$  time. [NTU CSIE 97]

#### Answer of exercise 39

## 4.3 Duality

### Exercise 40

Show how to determine in  $O(n^2 \lg n)$  time whether any three points in the set  $S = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$  are collinear. [NTU CSIE 92]

**Answer of exercise 40**

## 4.4 Others

### Exercise 41

In a 2D plane, we say that a point  $(x_1, y_1)$  dominates  $(x_2, y_2)$  if  $x_1 > x_2$  and  $y_1 > y_2$ . A point is called maximal point if no other point dominates it. Given a set of  $n$  points, the maxima finding problem is to find all of the maximal points.

1. Write a divide and conquer algorithm to solve the maxima finding problem with the time complexity  $O(n \lg n)$ .
2. Show that your algorithm is indeed of the time complexity  $O(n \lg n)$ . [NCU CSIE 98]

**Answer of exercise 41**



## Chapter 5

# Algorithm design problems

### 5.1 Greedy

#### Exercise 42

Consider the following scheduling problem. Suppose a man has several jobs waiting for his treatments. Each job takes one unit of time to finish and has a deadline and a profit. He can only do one job at any time. If a job starts before or at its deadline, its profit is obtained. The goal is to schedule the jobs so as to maximize the total profit. But not all jobs have to be scheduled. Please design an efficient algorithm to find a schedule that maximizes the total profit.

[NTU CSIE 97, NTNU CSIE 97]

**Answer of exercise 42**

### 5.2 Dynamic programming

#### Exercise 43

A one way railway has  $n$  stops. Suppose that for all  $i < j$ , the price of the ticket from the  $i$ -th stop to  $j$ -th stop is known, denoted  $\text{cost}(i, j)$ . (There is no traffic in the reverse direction since the railway is one-way.) Apply the dynamic programming technique to design your algorithm that outputs the minimum travel cost from stop 1 to stop  $n$ , and all the intermediate stops that the travel takes. What is the time complexity of your algorithm.

[CYCU CSIE 90]

**Answer of exercise 43**

#### Exercise 44

Suppose that we cut a stick of length  $L$  (a positive integer) with the probability  $P$  at each position such that its distance from the left end is a positive integer. When  $L = 7$ , calculate the probability that a stick of length at least 5 remains. Design an efficient dynamic programming algorithm for calculating the probability that a stick of length at least  $n$  remains. Explain the basic concept and advantages of the dynamic programming method.

[NCNU CSIE 93]

**Answer of exercise 44**

#### Exercise 45

Suppose that  $k$  workers are given the task of scanning through a shelf of books in search of a given piece of information. To get the job done efficiently, the books are to be partitioned among  $k$  workers. To avoid the need to rearrange the books, it would be simplest to divide the shelf into  $k$  regions and assign each region to one worker. Each book can only be scanned by one worker. you are asked to find the fairest way to divide

the shelf up. For example, if a shelf has 9 books of sizes 100, 200, 300, 400, 500, 600, 700, 800 and 900 pages, and  $k = 3$ , the fairest possible partition for the shelf would be

$$100\ 200\ 300\ 400\ 500 \mid 600\ 700 \mid 800\ 900$$

where the largest job is 1700 pages and the smallest job 1300. In general, we have the following problem: Given an arrangement  $S$  of  $n$  nonnegative numbers, and an integer  $k$ , partition  $S$  into  $k$  regions so as to minimize the difference between the largest and the smallest sum over all regions.

1. Give an  $O(n)$  time algorithm to solve the problem for the case  $k = 2$ .
2. Design an efficient algorithm to solve the problem for the case  $k = 3$ . Analysis the time efficiency of your algorithm.
3. Extend your algorithm to the more general case for any given  $k \leq n$ . [NCU CSIE 96]

#### **Answer of exercise 45**

# Chapter 6

## Complexity theory

### 6.1 NP-completeness

### 6.2 Approximation algorithms

#### Exercise 46

Let  $V$  be a set of  $n$  points in the plane. Let  $G = (V, E)$  be the complete graph over  $V$ , and the weight of each edge  $e \in E$  is the length of this edge. The Euclidean Traveling Salesman Problem (ETSP) of  $V$  is to find the cycle  $C^*$  such that  $C^*$  visits each node exactly once, and it has the minimum weight among all such cycles. Let  $T^*$  be a minimum spanning tree of  $G$ .

1. Show that  $w(T^*) \leq w(C^*)$ , where  $w(X)$  is the weight of a subgraph  $X$  of  $G$ .
2. Given  $T^*$ , design an algorithm to compute a cycle  $C$  that is a 2-approximation of the optimal ETSP cycle  $C^*$ . Namely,  $w(C^*) \leq w(C) \leq 2w(C^*)$ . (Hint: first show that  $w(T^*) \leq w(C^*) \leq 2w(T^*)$ .)

[NCU CSIE 100]

**Answer of exercise 46**