## **Exploring the Impact of Model Pruning on GANs**

### ECE 591 Chinmaya Srivatsa, Akshay Khanna



### **Motivation**

The rising prominence of Generative Adversarial Networks (GANs) has transformed AI, particularly in image generation and data synthesis. However, their computational demands limit their practical deployment. Our motivation lies in exploring how model pruning techniques can significantly reduce these demands while maintaining performance, thereby enabling more efficient and deployable GAN models.



### **Abstract**

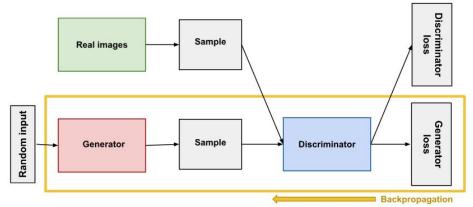
- Project Focus:
  - Investigating Model Pruning on DCGANs.
  - Explore trade-offs between model size, computational efficiency, and image quality.
- Dataset and Adaptability:
  - Initial Training: CIFAR-10 dataset.
  - Adaptability: Fashion-MNIST
- Objective and Scope:
  - Analyze Sensitivity and Percentage Pruning
  - Analyze Sparsity vs Image quality



### **Generative Adversarial Networks**

GANs (Generative Adversarial Networks) contain two networks, the generator and discriminator, collaborating adversarially

to generate data.



- **Generator Function:** Generates synthetic data resembling real data by learning from the provided dataset.
- **Discriminator Function:** Evaluates generated data by discerning between real and fake, providing feedback to the generator for improvement. Adversarial Training:
- **Generator** and **Discriminator** engage in a competitive learning process, improving each other's capabilities.



### **DC** Generative Adversarial Networks

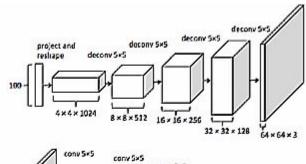
## A DCGAN is a direct extension of the GAN described above, except that it explicitly uses convolutional layers in the discriminator and generator

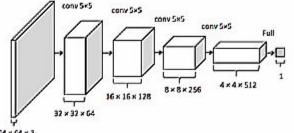
- DCGANs utilize convolutional layers in both the generator and discriminator networks, enabling efficient handling of image data.
- The generator upsamples noise or random inputs into images through a series of transposed convolutional layers.
- The discriminator acts as a convolutional neural network classifying between real and generated images.
- Generation of increasingly complex and realistic images over training iterations.

### DCGAN Overall

Generator

Discriminator







### **Pruning**

- Pruning:
  - **Reduction of Model Size:** Reduces the size of a neural network by eliminating weights/parameters
  - Sparsity Introduction: Introduces sparsity into the network, making it more computationally efficient.
  - **Resource Efficiency:** Faster training, lower memory footprint, and easier deployment on resource-constrained devices.
  - Relearning of Parameters: Doesn't involve retraining the network from scratch



### **Datasets**

#### CIFAR-10:

- Contains 60,000 32x32 color images across 10 classes (such as airplanes, cars, birds, cats, etc.).
- Widely used for image classification tasks and for benchmarking machine learning algorithms.

#### Fashion MNIST:

- Consists of 28x28 grayscale images of fashion items (like clothes, shoes, bags) across 10 categories.
- Used as a drop-in replacement for the original MNIST dataset, serving as a more challenging benchmark for image classification tasks.





### **DCGAN** - Discriminator

```
Discriminator(
 (main): Sequential(
   (0): Conv2d(1, 64, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (1): LeakyReLU(negative slope=0.2, inplace=True)
   (2): Conv2d(64, 128, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (3): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (4): LeakyReLU(negative slope=0.2, inplace=True)
   (5): Conv2d(128, 256, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (6): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (7): LeakyReLU(negative slope=0.2, inplace=True)
   (8): Conv2d(256, 512, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
  (9): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (10): LeakyReLU(negative slope=0.2, inplace=True)
   (11): Conv2d(512, 1, kernel size=(4, 4), stride=(1, 1), bias=False)
   (12): Sigmoid()
```



### **DCGAN - Generator**

```
Generator(
 (main): Sequential(
   (0): ConvTranspose2d(100, 512, kernel size=(4, 4), stride=(1, 1), bias=False)
   (1): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (2): ReLU(inplace=True)
   (3): ConvTranspose2d(512, 256, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (4): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (5): ReLU(inplace=True)
   (6): ConvTranspose2d(256, 128, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (7): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (8): ReLU(inplace=True)
   (9): ConvTranspose2d(128, 64, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (10): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (11): ReLU(inplace=True)
   (12): ConvTranspose2d(64, 1, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (13): Tanh()
```

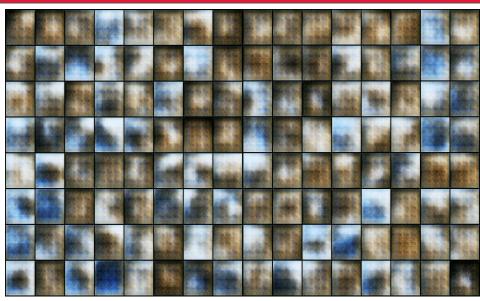


### **DCGAN - Training (CIFAR10)**

- Epochs 30
- Discriminator Learning Rate 0.0002
- Generator Learning Rate 0.0003
- Optimizer Adam
- Betas 0.5, 0.999

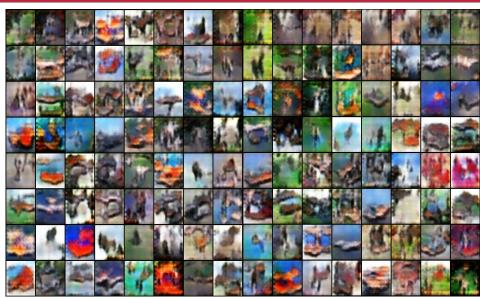


### **Generated v/s Real Images for 0 epoch(CIFAR-10)**





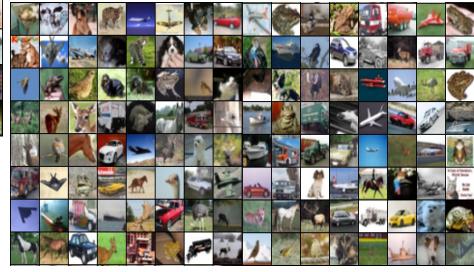
### **Generated v/s Real Images for 5 epoch(CIFAR-10)**





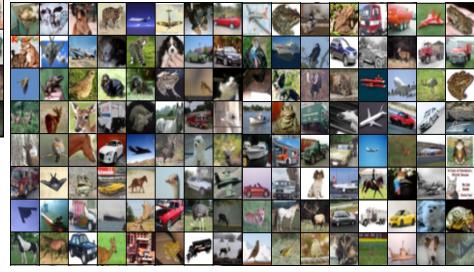
### **Generated v/s Real Images for 10 epoch(CIFAR-10)**



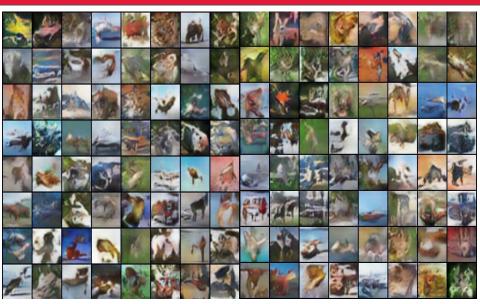


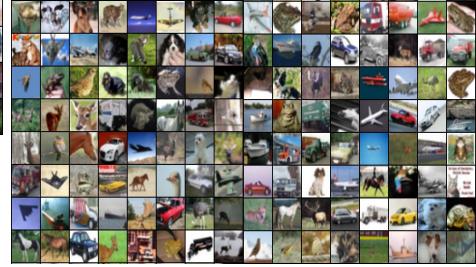
### **Generated v/s Real Images for 15 epoch(CIFAR-10)**





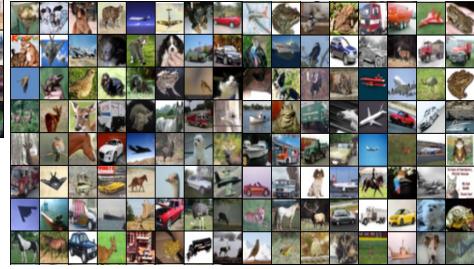
### **Generated v/s Real Images for 25 epoch(CIFAR-10)**





### **Generated v/s Real Images for 30 epoch(CIFAR-10)**



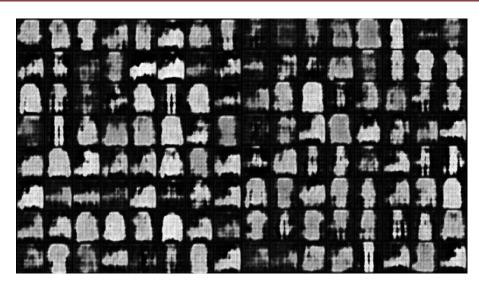


### **DCGAN - Training (Fashion-MNIST)**

- **Epochs** 15
- Discriminator Learning Rate 0.0001
- Generator Learning Rate 0.0003
- Optimizer Adam
- Betas 0.5, 0.999

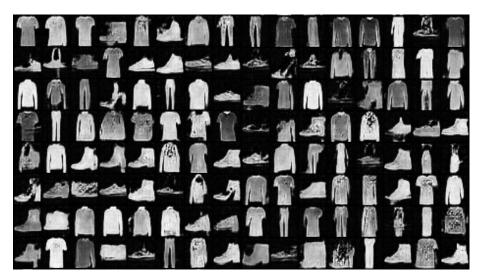


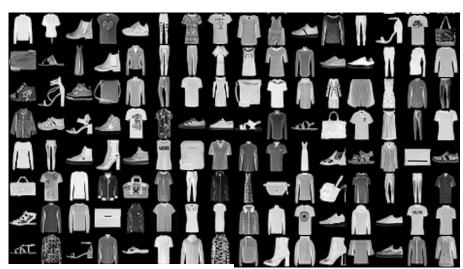
# Generated v/s Real Images for 0 epoch(Fashion-MNIST)



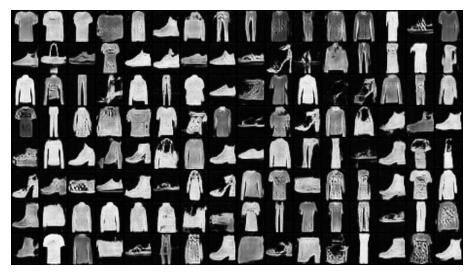


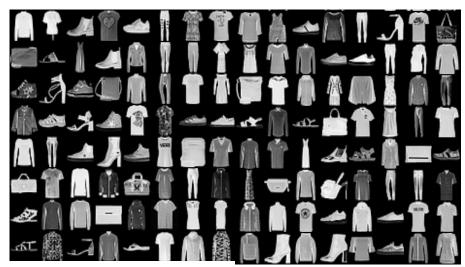
# Generated v/s Real Images for 5 epoch(Fashion-MNIST)



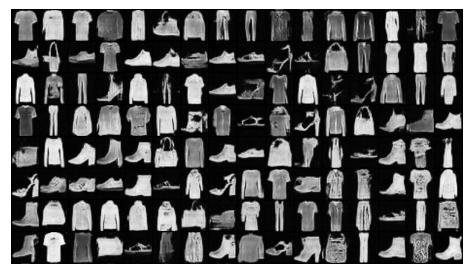


# Generated v/s Real Images for 10 epoch(Fashion-MNIST)





# Generated v/s Real Images for 15 epoch(Fashion-MNIST)





## **Before Pruning**

Layer id	Туре	Parameter	Non-zero parameter	Sparsity(\%)
1	Convolutional	1024	1024	0.000000
131072 2	Convolutional	131072	131072	0.000000
3 524288	BatchNorm	N/A	N/A	N/A
4	Convolutional BatchNorm	524288 N/A	524288 N/A	0.000000 N/A
2097152	Batchnorm			N/A
6 7	Convolutional BatchNorm	2097152 N/A	2097152 N/A	0.000000 N/A
8192 8	Convolutional	8192	8192	0.000000
Total nonzero parameters: 2761728				
Total paramete: Total sparsity				
0.0				



## **Before Pruning**

Layer id 819200	Туре	Parameter	Non-zero parameter	Sparsity(\%)
1	Convolutional	819200	819200	0.000000
2	BatchNorm	N/A	N/A	N/A
3	ReLU	N/A	N/A	N/A
2097152				
4	Convolutional	2097152	2097152	0.000000
5	BatchNorm	N/A	N/A	N/A
6	ReLU	N/A	N/A	N/A
524288				
7	Convolutional	524288	524288	0.000000
8	BatchNorm	N/A	N/A	N/A
9	ReLU	N/A	N/A	N/A
131072				1950
10	Convolutional	131072	131072	0.000000
11	BatchNorm	N/A	N/A	N/A
12	ReLU	N/A	N/A	N/A
1024				
13	Convolutional	1024	1024	0.000000
Total nonzero p	arameters: 35727	36		
Total parameter	s: 3572736			
Total sparsity:	0.00000			
0.0				



### DCGAN architecture modified for pruning

```
Discriminator(
 (main): Sequential(
   (0): PrunedConv(
     (conv): Conv2d(1, 64, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (1): LeakyReLU(negative slope=0.2, inplace=True)
   (2): PrunedConv(
     (conv): Conv2d(64, 128, kernel_size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (3): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (4): LeakyReLU(negative slope=0.2, inplace=True)
   (5): PrunedConv(
     (conv): Conv2d(128, 256, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (6): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (7): LeakyReLU(negative slope=0.2, inplace=True)
   (8): PrunedConv(
     (conv): Conv2d(256, 512, kernel_size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
   (9): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
   (10): LeakyReLU(negative slope=0.2, inplace=True)
   (11): PrunedConv(
     (conv): Conv2d(512, 1, kernel size=(4, 4), stride=(1, 1), bias=False)
   (12): Sigmoid()
```

### DCGAN architecture modified for pruning

```
Generator (
(main): Sequential(
  (0): PrunedConvTrans(
     (conv): ConvTranspose2d(100, 512, kernel size=(4, 4), stride=(1, 1), bias=False)
   (1): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
  (2): ReLU(inplace=True)
  (3): PrunedConvTrans(
     (conv): ConvTranspose2d(512, 256, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
  (4): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
  (5): ReLU(inplace=True)
  (6): PrunedConvTrans(
     (conv): ConvTranspose2d(256, 128, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
  (7): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
  (8): ReLU(inplace=True)
   (9): PrunedConvTrans(
     (conv): ConvTranspose2d(128, 64, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
  (10): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
  (11): ReLU(inplace=True)
  (12): PrunedConvTrans(
     (conv): ConvTranspose2d(64, 1, kernel size=(4, 4), stride=(2, 2), padding=(1, 1), bias=False)
  (13): Tanh()
```

## **Sensitivity Pruning**

Layer id	Туре	Parameter	Non-zero parameter	Sparsity(\%)
1 1 131072	Convolutional	1024	443	0.567383
2	Convolutional BatchNorm	131072 N/A	58897 N/A	0.550652 N/A
524288				
4 5	Convolutional BatchNorm	524288 N/A	237451 N/A	0.547098 N/A
2097152				
6	Convolutional	2097152	947728	0.548088
7 8192	BatchNorm	N/A	N/A	N/A
8 Total nonzero	Convolutional parameters: 12483	8192 306	3787	0.537720
Total paramete	rs: 2761728			
Total sparsity 0.547998209816				

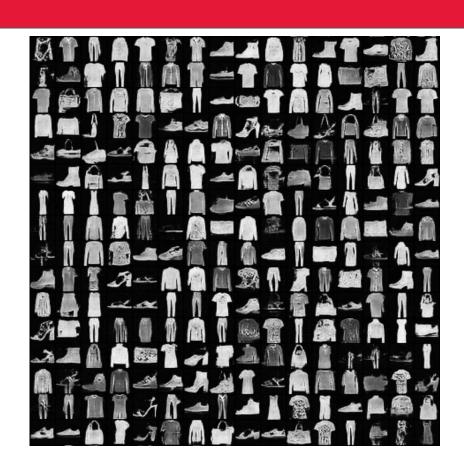


## **Sensitivity Pruning**

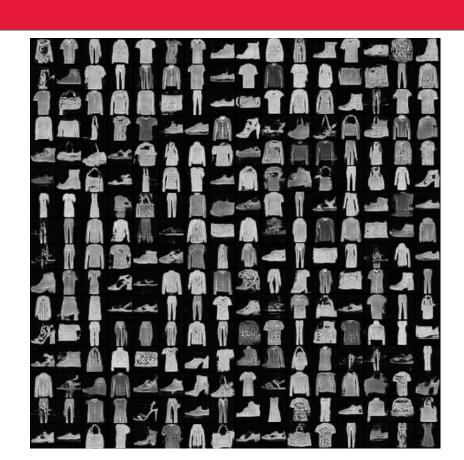
Layer id 819200	Туре	Parameter	Non-zero parameter	Sparsity(\%)
1	Convolutional	819200	335638	0.590286
2	BatchNorm	N/A	N/A	N/A
3	ReLU	N/A	N/A	N/A
2097152				Treates (e)
4	Convolutional	2097152	936944	0.553230
5	BatchNorm	N/A	N/A	N/A
6	ReLU	N/A	N/A	N/A
524288				10.000000
7	Convolutional	524288	224538	0.571728
8	BatchNorm	N/A	N/A	N/A
9	ReLU	N/A	N/A	N/A
131072				Continue
10	Convolutional	131072	50450	0.615097
11	BatchNorm	N/A	N/A	N/A
12	ReLU	N/A	N/A	N/A
1024				
13	Convolutional	1024	396	0.613281
Total nonzero p	arameters: 15479	166		
Total parameter	rs: 3572736			
Total sparsity:	0.566728			
0.5667281321653	3769			



### **Generated Images Before Sensitivity Pruning**



## **Generated Images After Sensitivity Pruning**

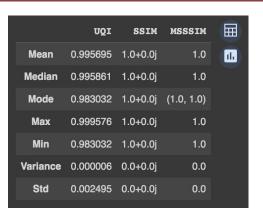


## **Sensitivity Pruning**

	UQI	SSIM	MSSSIM	
Mean	0.922090	1.0+0.0j	1.0	113
Median	0.930414	1.0+0.0j	1.0	
Mode	0.731951	1.0+0.0j	(1.0, 1.0)	
Max	0.979795	1.0+0.0j	1.0	
Min	0.731951	1.0+0.0j	1.0	
Variance	0.001521	0.0+0.0j	0.0	
Std	0.038994	0.0+0.0j	0.0	

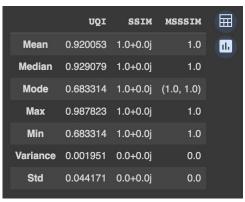
s=0.75, Sparsity=0.5667

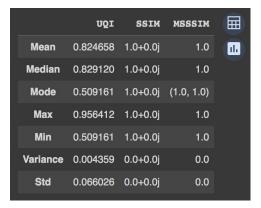
### **Different degrees of Sensitivity Pruning**



	UQI	SSIM	MSSSIM	
Mean	0.967296	1.0+0.0j	1.0	11.
Median	0.969074	1.0+0.0j	1.0	
Mode	0.896409	1.0+0.0j	(1.0, 1.0)	
Max	0.995776	1.0+0.0j	1.0	
Min	0.896409	1.0+0.0j	1.0	
Variance	0.000339	0.0+0.0j	0.0	
Std	0.018416	0.0+0.0j	0.0	

S = 0.25, 0.5, 0.75, 1.0, 1.25 Sparsity = 0.208, 0.401, 0.566, 0.698, 0.798









## **Percentage Pruning**

Layer id 819200	Туре	Parameter	Non-zero parameter	Sparsity(\%)	
1	Convolutional	819200	409600	0.500000	
2	BatchNorm	N/A	N/A	N/A	
3	ReLU	N/A	N/A	N/A	
2097152					
4	Convolutional	2097152	1048576	0.500000	
5	BatchNorm	N/A	N/A	N/A	
6	ReLU	N/A	N/A	N/A	
524288					
7	Convolutional	524288	262144	0.500000	
8	BatchNorm	N/A	N/A	N/A	
9	ReLU	N/A	N/A	N/A	
131072					
10	Convolutional	131072	65536	0.500000	
11	BatchNorm	N/A	N/A	N/A	
12	ReLU	N/A	N/A	N/A	
1024					
13	Convolutional	1024	512	0.500000	
	Total nonzero parameters: 1786368				
Total parameter					
Total sparsity:	: 0.500000				
0.5					

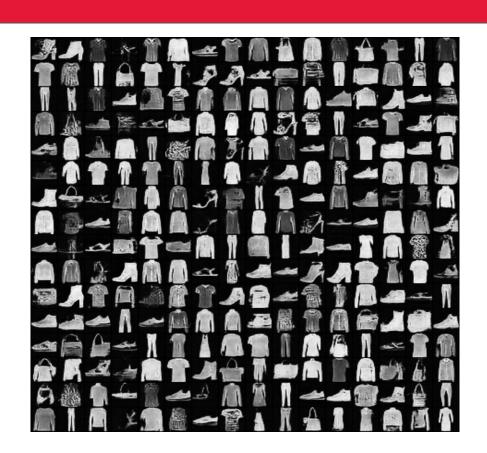


## **Percentage Pruning**

Layer id 1024	Туре	Parameter	Non-zero parameter	Sparsity(\%)
1 1 131072	Convolutional	1024	512	0.500000
2 3 524288	Convolutional BatchNorm	131072 N/A	65536 N/A	0.500000 N/A
4 5	Convolutional BatchNorm	524288 N/A	262144 N/A	0.500000 N/A
2097152 6 7	Convolutional BatchNorm	2097152 N/A	1048576 N/A	0.500000 N/A
8192 8 Total nonzero p Total parameter Total sparsity: 0.5		8192 864	4096	0.500000



## **Generated Images Before Percentage Pruning**



## **Generated Images After Percentage Pruning**



### **Percentage Pruning**

	UQI	SSIM	MSSSIM	
Mean	0.950989	1.0+0.0j	1.0	11.
Median	0.954953	1.0+0.0j	1.0	
Mode	0.859114	1.0+0.0j	(1.0, 1.0)	
Max	0.993308	1.0+0.0j	1.0	
Min	0.859114	1.0+0.0j	1.0	
Variance	0.000772	0.0+0.0j	0.0	
Std	0.027780	0.0+0.0j	0.0	

q=50 Sparsity=0.50

### Different degrees of Percentage Pruning

848 203	1.0+0.0j	1.0
203		
	1.0+0.0j	1.0
448	1.0+0.0j	(1.0, 1.0)
628	1.0+0.0j	1.0
448	1.0+0.0j	1.0
004	0.0+0.0j	0.0
015	0.0+0.0j	0.0
	203 448 628 448 004 015	448 1.0+0.0j 628 1.0+0.0j 448 1.0+0.0j 004 0.0+0.0j

	UQI	SSIM	MSSSIM
Mean	0.970894	1.0+0.0j	1.0
Median	0.973217	1.0+0.0j	1.0
Mode	0.869624	1.0+0.0j	(1.0, 1.0)
Max	0.995376	1.0+0.0j	1.0
Min	0.869624	1.0+0.0j	1.0
Variance	0.000306	0.0+0.0j	0.0
Std	0.017494	0.0+0.0j	0.0

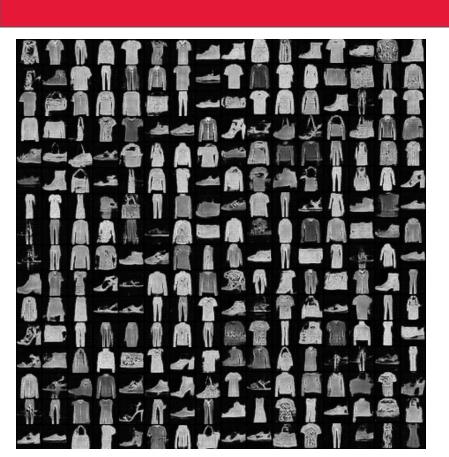
q=20, 40, 60, 80 Sparsity=0.20, 0.40, 0.60, 0.80 Respectively

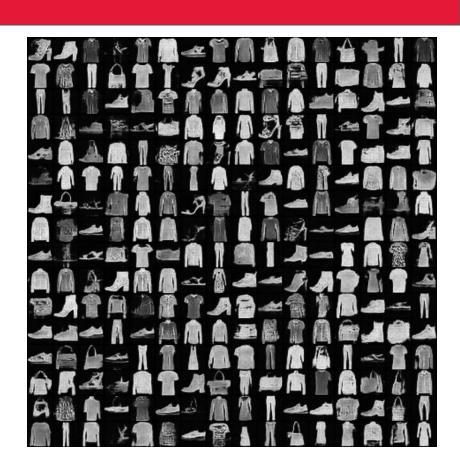
	υQΙ	SSIM	MSSSIM
Mean	0.919971	1.0+0.0j	1.0
Median	0.928008	1.0+0.0j	1.0
Mode	0.692482	1.0+0.0j	(1.0, 1.0)
Max	0.985625	1.0+0.0j	1.0
Min	0.692482	1.0+0.0j	1.0
Variance	0.001971	0.0+0.0j	0.0
Std	0.044400	0.0+0.0j	0.0





## **Sensitivity vs Percentage Pruning**





### **Conclusion**

- From our experiments we observe that for a standard DCGAN architecture trained on a relatively simple dataset, we can successfully introduce sparsity while preserving the quality of the generated Images.
- We observe the effects of sensitivity and percentage pruning at varying degrees of sparsity.
- We notice that while there is a noticeable decrease in UQI, the SSIM and MSSSIM remain strong, alluding to the fact that generated images preserve their structural integrity despite the introduction of varying degrees of sparsity in the generator



### **Challenges Faced**

- We needed to arrive at the right learning rates for the discriminator and generator independently to ensure synchronization
- Training DCGANs required us to baby sit the model during training, since we encountered mode collapse with several hyperparameter settings and kernel sizes
- Limited GPU resources prevented us from exploring architectures beyond the standard DCGAN, and hence also bigger and more complex datasets
- FID and IS scores were not possible to compute effectively since the standard DCGAN architecture was modified to handle grayscale images and the pretrained architectures required to compute FID and IS scores do not handle this



### **Future Work**

- Different and better architectures of GANs can be experimented on for analyzing the effects of model pruning
- This would also allow the application of GANs on bigger and more complex datasets
- The effects of model compression techniques (not limited to pruning) such as quantization and huffman encoding could also be explored in the context of GANs



## THANK YOU