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# **Preface**

This document is meant to serve as a guide for the practical use of TMAP. It includes explanations of all command-line options for each command and binary in TMAP to give an idea of basic usage. Input and output file formats are also detailed. The default options of the various programs are designed intelligently to adapt to the various program uses, but in some cases the options may need to be customized.

This document does not try to explain the underlyings algorithm or data-structures used in TMAP. Without proper understanding of the underlying algorithms, it is difficult to use this very flexible program knowledgeably to obtain your desired results.

If you have anything that you would be useful to add to this guide, feel free to relay the addition to the TMAP developers. This includes but is not limited to bugs, typos, and explanations. Please see http://ioncommunity.iontorrent.com for more details.

Enjoy!

# Chapter 1

# Basic Usage

# 1.1 Program Organization

TMAP consists of a set of utilities, combined into one command line program called tmap. Each utility is specified by a unique name or command. Specifying TMAP without a command will give a list of commands, while specifying TMAP with a command but no options will give a list of options for that command.

There are two steps in mapping with TMAP. The first step is to build an index of the reference genome onto which we map. This index needs only to be built once for each genome, performing much of the mapping work upfront. The second step is to map the reads to the reference genome using this index.

TMAP is implemented as a command-line program. It accepts many command-line options to customize and tune the mapping algorithm. The key commands are organized into one binary program called tmap. To access each command, we use tmap <name>, where <name> is the name of the command we wish to execute.

# 1.2 Common Mapping Options

Some common options exist across some or all of the mapping commands (map1, map2, map3 and mapall). These options will be discussed here to avoid duplication.

The TMAP mapping commands can accept their input file from the standard input stream. The output of these commands also write to the standard output stream. This facilitates the use of these TMAP commands in a pipe-and-filter model.

### 1.2.1 Global Options

#### -f FILE

Specifies the file name of the reference genome in FASTA format. The maximum number of bases in the reference genome can be 4294967295, due to the use of 32-bit values to represent each position in the reference.

#### -r FILE

Specifies the file name of the reads to mapped. The input reads can be in FASTA, FASTQ, or SFF format.

#### -F STRING

Specifies the file format of the reads file. Without this option, the format is auto-recognized by the file extension. The valid inputs are fa or fasta for FASTA, fq or fastq for FASTQ, or sff for SFF. If compiled with SAMtools support, the option also supports sam for SAM, or bam for BAM.

#### -A INT

Specifies the match score. This number must always be positive.

#### -M INT

Specifies the mismatch penalty. This number must always be positive.

#### -O INT

Specifies the gap open penalty. This number must always be positive.

#### -E INT

Specifies the gap extension penalty. This number must always be positive.

#### -X INT

Specifies the flow score penalty. This number must always be positive.

-w INT

Specifies the band width for local alignment. This number must always be positive.

-g INT

Specifies that the type of soft-clipping to perform.

- 0. soft-clip both the left and right portions of the read.
- 1. soft-clip only the left portion of the read.
- 2. soft-clip only the right portion of the read.
- 3. do not soft-clip any portion of the read

-W INT

Specifies to remove duplicate mappings that occur within this bp window.

-B INT

Specifies the window in bases in which to group seeds.

-q INT

Specifies the number of reads to cache or load into memory at one time.

-n INT

Specifies the number of threads to run.

-a INT

Specifies the output filter for the mappings.

- 0. returns the mapping with the best score only if all other mappings had worse score, otherwise the read is unmapped.
- 1. returns the mapping with the best score. If more than one mapping has this score, a random mapping with this score is returned.
- 2. returns all the mappings with the best score.

3. returns all the mappings, regardless of score.

Reads that have no mapping are returned as unmapped reads.

#### -R STRING

Specifies the RG (read group) line to use in the SAM file (with tab separators). The "PG", "FO", and "KS" tags should not be specified in this string; they will be added by tmap. Alternatively, multiple –R options can also be used to populate the RG line. For example, –R CN:SEQUENCING\_CENTER –R PG:TMAP –R PL:IONTORRENT will populate the CN, PG, and PL tags in the RG record.

-Y

Specifies that SFF specific tags should be added to the output SAM file.

-G

Specifies to not remove bases and qualities based on the adapter and quality clipping fields found in the an input SFF file.

-j, -z

Specifies that the input is bzip2 (-j) or gzip (-z) compressed. This is auto-recognized if the input file name has the extension .bz2 for bzip2 and .gz for bzip2.

-J, -Z

Specifies that the output should be bzip2 (-J) or gzip (-Z) compressed.

-k INT

Specifies the shared memory key if the reference index has been loaded into shared memory.

-v

Specifies to print verbose progress messages, otherwise progress messages will be surpressed.

-h

Specifies to print a help message, listing all available options.

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-u INT

Specifies the minimum sequence length to consider (inclusive). This is applied to each algorithm independently. Therefore, when using mapall, we could specify a unique sequence length range (using -u and -U) each algorithm.

-U INT

Specifies the maximum sequence length to consider (inclusive). This is applied to each algorithm independently. Therefore, when using mapall, we could specify a unique sequence length range (using -u and -U) each algorithm.

## 1.3 tmap index

The **index** command creates a compact version of the reference genome and associated index. The index is stored as a compressed suffix array using the FM-index and BWT transform (Ferragina and Manzini (2000); Burrows and Wheeler (1994)). A hash into this index accelerates this lookups of DNA sequences in this index. In fact, a second additional index of the reference genome is created that indexes the reverse (but not complimented) reference genome. This second index further speeds up the search time.

See section 1.2 for common options that are in use in this command.

## 1.3.1 Usage

-o INT

Specifies the occurrence interval size o, storing only every oth occurrence interval. This must be one, or a multiple of 16.

-w INT

Specifies the k-mer size (the number of bases) to hash. The size of the hash give the k-mer size k in bytes is:

$$=2\sum_{n=1}^{k}4^{n}=2\left(\frac{1-4^{k+1}}{1-4}-1\right)$$

using the Taylor series.

#### -i INT

Specifies the suffix array interval size i, storing only every ith suffix array interval. This must be one, or a multiple of two.

#### -a STRING

Specifies the BWT construction algorithm (bwtsw or is). The bwtsw algorithm is for genomes larger than or equal to 10Mb, and the is algorithm is for genomes smaller than 10Mb. This will be auto-recognized during index creation if this option is omitted.

#### --version

Specifies to print the index format that will be created by TMAP and exit. Format strings are of the form tmap-f<n>, where <n> will only increase as new formats are created. Format strings will be the same for all compatible indices.

## 1.3.2 IUPAC Ambiguity Codes

Note that ambiguous IUPAC codes will be converted to the lexicographically smallest DNA base that is not compatible to the IUPAC code to ensure minimum reference bias. For example, an IUPAC base R, which represents an A or a G, will be converted to a C. All Ns in the reference will be converted to As. Furthermore, any non-IUPAC character will be treated as an N. The ambiguity codes will only be re-considered when calculating the NM and MD SAM record optional tags.

# 1.4 tmap map1

The **map1** is a command to quickly map short sequences to a reference genome by intelligently enumerating errors. This algorithm is not well suited for longer reads (< 150bp) and based off of the BWA short-read algorithm (Li and Durbin (2009)).

See section 1.2 for common options that are in use in this command.

### 1.4.1 Usage

#### -l INT

Specifies the primary seed (k-mer) length; reads must be of at least this length.

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#### -s INT

Specifies the maximum number of edits allowed in the primary seed. This includes both mismatches and each base in an insertion or deletion.

#### -L INT

Specifies the secondary seed (k-mer) length; this number of bases will be examined before extending all mappings.

#### -р NUM

Specified the maximum number of edits or false-negative probability assuming the maximum error rate (-P). This former includes both mismatches and each base in an insertion or deletion. The latter false-negative probability is the probability of not consideing the correct mapping if it exists.

#### -P NUM

Specified the assumed maximum per-base error rate. This classifies both mismatches and each base in an insertion or deletion as errors

#### -m NUM

Specifies the maximum number of mismatches allowed, or the fraction of mismatches with respect to the read length. When the secondary seed is enabled, this parameter is relative to the secondary seed length, not the read length;

#### -o NUM

Specifies the maximum number of indels allowed in the mapping, or the fraction of indels with respect to the read length. The number of indels is equal to the number of gap opens. When the secondary seed is enabled, this parameter is relative to the secondary seed length, not the read length;

#### -e NUM

Specifies the maximum number of indel extensions allowed in the mapping, or the fraction of indel extensions with respect to the read length. The number of indel extensions is equal to the number of gap extensions. When the secondary seed is enabled, this parameter is relative to the secondary seed length, not the read length;

#### -d INT

Specifies the maximum number of candidate alignment locations (CALs) to allow a deletion to be extended.

### -i INT

Specifies to disallow indels within this number of bases from the ends of the read.

#### -b INT

Specifies to stop searching for mappings when this many best scoring mappings have been found.

#### -Q INT

Specifies the maximum number of alignment nodes in memory in the implicite prefix trie traversal before the search is stopped.

## 1.5 tmap map2

The **map2** is a command to quickly map long sequences to a reference genome. This algorithm is well suited for longer reads ( $\geq 150$ bp) and based off of the BWA long-read algorithm (Li and Durbin (2010)).

See section 1.2 for common options that are in use in this command.

## 1.5.1 Usage

#### -c FLOAT

Specifies the coefficient to adjust the mapping score threshold based on the read length. Given a l-long read, the threshold for a mapping to be retained is  $a*max\{T, c*log(l)\}$ , where a is the match score (-A) and T is the minimum score threshold (-T).

#### -w INT

Specifies the band width in the banded local alignment.

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#### -T INT

Specifies the number of multiples of the match score (-A) for the minimum scoring threshold.

#### -S INT

Specifies the maximum seeding interval size for extending a mapping.

#### -b INT

Specifies the number of mappings to retain at during extension of the seed.

#### -N INT

Specifies the number seeds supporting the given mapping needed to skip the reverse alignment.

## 1.6 tmap map3

The **map3** is a command to map sequences to a reference genome. This algorithm is well suited for longer reads ( $\geq 150$ bp) and a simplification of the SSAHA long-read algorithm (Ning *et al.* (2001)).

See section 1.2 for common options that are in use in this command.

### 1.6.1 Usage

#### -l INT

Specifies the k-mer length to seed candidate alignment locations (CALs). With a value of -1 will set the seed length to  $(ceiling(log_4(R)) + 2)$  where R is the reference genome length.

#### -S INT

Specifies the maximum number of CALs allowed to be returned by a seed before it is ignored.

#### -w INT

Specifies the band width in the banded local alignment.

#### -T INT

Specifies the number of multiples of the match score (-A) for the minimum scoring threshold.

#### -H INT

Specifies the number of bases to enumerate for a single homopolymer within the seed.

# 1.7 tmap mapall

The **mapall** is a command to quickly map short sequences to a reference genome. This command combines available mapping algorithms for fast and sensitive alignment. The algorithms follows a two-stage approach, with a set of algorithms and associated settings for each stage. If there are no mappings for a read by applying the algorithms in the first stage, then the algorithms in the second stage are applied. For example, a set of algorithms to quickly align near-perfect reads may be used in the first stage, while a set of sensitive algorithms may be used to map difficult reads in the second stage

See section 1.2 for common options that are in use in this command.

#### 1.7.1 Overview

First, a set of global options is specified that will be used for the algorithms to be applied at both stages. Global options should not be given in the options for a specific algorithm. Next, the algorithms and their associated options will be specified for each stage. An algorithm to be applied in the first stage should be specified using its name in lowercase. The options specific to this algorithm should be specified directly thereafter. An algorithm to be applied in the second stage should be specified using its name in uppercase. The options specific to this algorithm should be specified directly thereafter. The order of the specified algorithms does not matter, only the case (upper versus lower) in determining which algorithm is applied at which stage.

An example would be:

tmap mapall -f ref.fasta -r reads.fastq -g -M 3 map1 -l 12 -s 4 MAP2
-b 5 MAP3 -S 10.

In this case, the map1 algorithm will be applied with the options -1 12 -s 4 -M 3 in the first stage. If no mapping is found for a read, the map2 algorithm with the options -b 5 -g -M 3 and the map3 algorithm with the options -g -M 3 -S 10 will be applied in the second stage. Notice how the global options -g -M 3 are applied to all the algorithms where applicable. It is possible to have a given algorithm run in both stages with different options.

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### 1.7.2 Usage

-I

Specifies to apply the output filter (-a) and duplicate removal (-W) separately for each algorithm. This is useful for comparing the mappings across all the algorithms.

## 1.8 tmap server

The **server** command loads the reference genome index and data into shared memory. This lets other mapping instances avoid having to load the index upon each execution. This program will try to fail gracefully, detaching shared memory upon exiting.

See section 1.2 for common options that are in use in this command.

## 1.8.1 Usage

-c STRING

Specifies the command for the server (start, stop, kill). The start command loads data into shared memory, and waits for a ctrl-c or SIGINT signal. The stop command will signal a currently running server to stop and detach shared memory. The kill command will forcible detach the shared memory segment and signal a currently running server to exit. The latter command is especially useful for killing zombied processes and detaching lost shared memory segments.

-k INT

Specifies the shared memory key for this server. The shared memory segment will be identified by this key.

-a

Specifies to load all reference genome data structures into memory.

-r

Specifies to load the forward packed reference sequence.

-R

Specifies to load the reverse packed reference sequence.

-b

Specifies to load the forward BWT sequence.

-B

Specifies to load the reverse BWT sequence.

-s

Specifies to load the forward suffix array.

-S

Specifies to load the reverse suffix array.

# Chapter 2

# File Formats

# 2.1 SAM Alignment Format

TMAP is produces mappings in the SAM format (Li et al. (2009)). Optional tags are used to store information about mappings useful for downstream processing.

#### 2.1.1 SAM Header Fields

The HD, RG, SQ, and PG SAM header fields will be outputted. Specific details can be found below.

#### RG

The RG field can be populated using the  $\neg R$  option. By default the ID and PG tags are included. When specifying an RG line, do not include the PG tag as it will be populated by tmap: If the input is an SFF file and the  $\neg Y$  option is used, then a comment line will be placed in the header with the following tags: RG, FO, and KS. The RG tag in the comment is the associated read group ID. The FO and KS tags indicate the flow order and the key sequence.

#### PG

The PG field will include the ID, VN, and CL tags. The ID tag should always be tmap.

## 2.1.2 SAM Record Optional Tags

#### $\mathbf{R}\mathbf{G}$

This tag stores the read group identifier corresponding to the RG SAM header field.

#### PG

This tag stores the program group identifier corresponding to the PG SAM header field.

#### MD

This tag stores the MD array. The goal of this array is to follow the conventions in SAMtools (Li et al. (2009)). Any IUPAC code originally in the reference will be present in this field.

#### NM

This tag stores the edit distance from the reference sequence. Matches to any non-DNA IUPAC ambiguity code (B, D, H, K, M, N, R, S, V, W, Y) will be counted as a mismatch.

#### $\mathbf{AS}$

This tag stores the alignment score. All IUPAC code positions are treated as mismatches. If the alignment contains an N in the reference but an A in the read, this score will be incorrect (overestimated) as the N was converted to an A in the reference.

### XM

This tag stores the number of mismatches in the mapping, or in the secondary seed if used.

#### $\mathbf{XO}$

This tag stores the number of indels (gap opens) in the mapping, or in the secondary seed if used.

#### XG

This tag stores the number of indel extensions (gap extensions) in the mapping, or in the secondary seed if used.

#### XS

This tag stores the alignment score of next-best sub-optimal mapping.

#### XT

This tag stores the number of seeds supporting this mapping.

#### $\mathbf{XF}$

This tag stores from where the mappings originated: one indicates from the forward search, two indicates from the reverse search, and three indicates from both the forward and reverse search.

#### XE

This tag stores the number of seeds supporting this mapping specifically for map 2.

#### XI

This tag stores the size of the suffix interval for this mapping.

#### XA

This tag stores the algorithm that produced this mapping and from what stage. The format is the algorithm name, and then the zero-based stage, separated by a dash.

#### XZ

This tag stores the original alignment score, if an SFF was inputted.

#### $\mathbf{FZ}$

This tag stores the flow signals when an SFF is inputted and the -Y option is used. The flow-gram values are stored as a string of hexidecimal values, with each group of four hexidecimal values corresponding to one flow signal.

Chapter 3

Appendix

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