

# 粒子物理学

## 第 5 章：电子-质子弹性散射



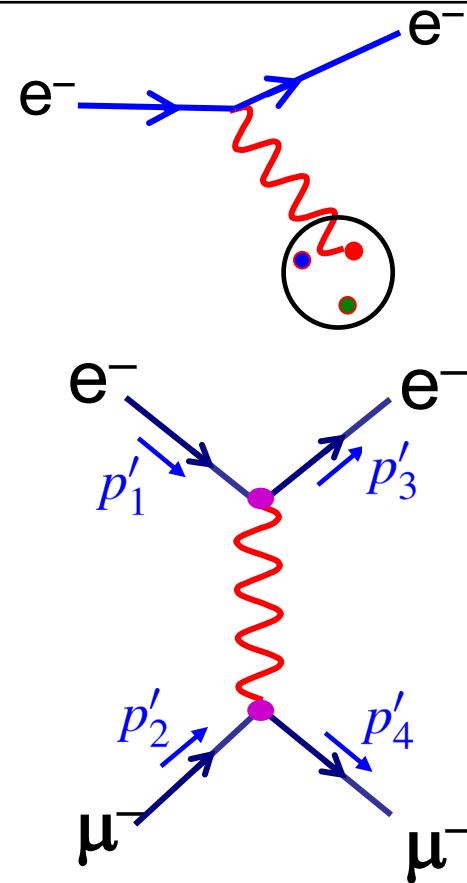
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Based on M. Thomson's notes

# Electron-Proton Scattering

- 电子-质子 散射作为探测质子结构的探针
- 两大主题：
  - $e^-p \rightarrow e^-p$  弹性散射（本节课）
  - $e^-p \rightarrow e^-X$  深度非弹性散射（下一节）
- 首先考虑点状粒子的散射，如  $e^-\mu^- \rightarrow e^-\mu^-$ 
  - 即  $(e^-q \rightarrow e^-q)$  的**QED**部分
- 两种处理方法：
  - 从头开始**QED**计算

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3)] \quad (1)$$



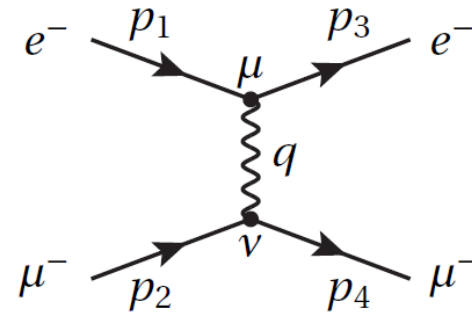
# Calculate matrix element with trace techniques

$$-iM_{fi} = [\bar{u}(p_3 s_3)(ie\gamma^\mu)u(p_1 s_1)] \frac{-ig_{\mu\nu}}{q^2 + i\epsilon} [\bar{u}(p_4 s_4)(ie\gamma^\nu)u(p_2 s_2)]$$

$$M_{fi} = -e^2 [\bar{u}(p_3 s_3)\gamma^\mu u(p_1 s_1)] \frac{1}{q^2 + i\epsilon} [\bar{u}(p_4 s_4)\gamma_\mu u(p_2 s_2)]$$

- 对上式做模的平方，并且需要对自旋态求和：

$$\begin{aligned} \overline{|M_{fi}|^2} &= \frac{1}{4} \sum_{s_1 s_2} \sum_{s_3 s_4} |M_{fi}|^2 \\ &= \frac{e^4}{q^4} \frac{1}{4} \sum_{s_1 s_2} \sum_{s_3 s_4} \{ [\bar{u}(p_3 s_3)\gamma^\mu u(p_1 s_1)] [\bar{u}(p_4 s_4)\gamma_\mu u(p_2 s_2)] \}^\dagger \\ &\quad \cdot \{ [\bar{u}(p_3 s_3)\gamma^\nu u(p_1 s_1)] [\bar{u}(p_4 s_4)\gamma_\nu u(p_2 s_2)] \} \\ &= \frac{e^4}{q^4} \frac{1}{2} \sum_{s_1 s_3} [\bar{u}(p_3 s_3)\gamma^\mu u(p_1 s_1)]^\dagger [\bar{u}(p_3 s_3)\gamma^\nu u(p_1 s_1)] \\ &\quad \cdot \frac{1}{2} \sum_{s_2 s_4} [\bar{u}(p_4 s_4)\gamma_\mu u(p_2 s_2)]^\dagger [\bar{u}(p_4 s_4)\gamma_\nu u(p_2 s_2)] \end{aligned}$$



- 定义两个张量：

$$L_{\mu\nu} = \frac{1}{2} \sum_{s_1 s_3} [\bar{u}(p_3 s_3) \gamma^\mu u(p_1 s_1)]^\dagger [\bar{u}(p_3 s_3) \gamma^\nu u(p_1 s_1)]$$

$$W_{\mu\nu} = \frac{1}{2} \sum_{s_2 s_4} [\bar{u}(p_4 s_4) \gamma_\mu u(p_2 s_2)]^\dagger [\bar{u}(p_4 s_4) \gamma_\nu u(p_2 s_2)]$$

- 接下来分别计算两个张量。在此之前，先计算：

$$\begin{aligned} & [\bar{u}(p_3 s_3) \gamma^\mu u(p_1 s_1)]^\dagger \\ &= [u^\dagger(p_3 s_3) \gamma^0 \gamma^\mu u(p_1 s_1)]^\dagger \\ &= u^\dagger(p_1 s_1) (\gamma^\mu)^\dagger \gamma^0 u(p_3 s_3) \\ &= \bar{u}(p_1 s_1) \gamma^\mu u(p_3 s_3) \end{aligned}$$

- 因此：  $L_{\mu\nu} = \frac{1}{2} \sum_{s_1 s_3} [\bar{u}(p_1 s_1) \gamma^\mu u(p_3 s_3)] [\bar{u}(p_3 s_3) \gamma^\nu u(p_1 s_1)]$

# (optional) ME calculation with trace techniques

- 需要补充介绍一个化简：(自旋求和)

$$\sum_s u(ps) \bar{u}(ps) = u_1(p) \bar{u}_1(p) + u_2(p) \bar{u}_2(p)$$

$$u(ps) = \sqrt{E+m} \begin{pmatrix} \phi_s \\ \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E+m} \phi_s \end{pmatrix}, \text{ with } \phi_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \phi_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\bar{u}(ps) = u^\dagger(ps) \gamma^0 = \sqrt{E+m} \begin{pmatrix} \phi_s^T & \phi_s^T \frac{(\boldsymbol{\sigma} \cdot \mathbf{p})^\dagger}{E+m} \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} = \sqrt{E+m} \begin{pmatrix} \phi_s^T & -\phi_s^T \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E+m} \end{pmatrix}$$

$$\sum_s u(ps) \bar{u}(ps) = (E+m) \sum_s \begin{pmatrix} \phi_s \phi_s^T & -\frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E+m} \phi_s \phi_s^T \\ \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E+m} \phi_s \phi_s^T & -\frac{(\boldsymbol{\sigma} \cdot \mathbf{p})^2}{(E+m)^2} \phi_s \phi_s^T \end{pmatrix}$$

- 利用  $\sum_s \phi_s \phi_s^T = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $(\boldsymbol{\sigma} \cdot \mathbf{p})^2 = \mathbf{p}^2 = (E+m)(E-m)$ :

$$\sum_s u(ps) \bar{u}(ps) = \begin{pmatrix} (E+m)I & -\boldsymbol{\sigma} \cdot \mathbf{p} \\ \boldsymbol{\sigma} \cdot \mathbf{p} & (-E+m)I \end{pmatrix} = (\gamma^\mu p_\mu + mI) = \not{p} + m$$

# (optional) ME calculation with trace techniques

- 开始利用矩阵的性质:

$$\begin{aligned}
 L_{\mu\nu} &= \frac{1}{2} \sum_{s_1 s_3} [\bar{u}(p_1 s_1)]_j [\gamma^\mu]_{ji} [u(p_3 s_3)]_i [\bar{u}(p_3 s_3)]_n [\gamma^\nu]_{nm} [u(p_1 s_1)]_m \\
 &= \frac{1}{2} \sum_{s_1} [u(p_1 s_1)]_m [\bar{u}(p_1 s_1)]_j \sum_{s_3} [u(p_3 s_3)]_i [\bar{u}(p_3 s_3)]_n [\gamma^\mu]_{ji} [\gamma^\nu]_{nm} \\
 &= \frac{1}{2} [\not{p}_1 + m]_{mj} [\not{p}_3 + m]_{in} [\gamma^\mu]_{ji} [\gamma^\nu]_{nm} \\
 &= \frac{1}{2} [\not{p}_1 + m]_{mj} [\gamma^\mu]_{ji} [\not{p}_3 + m]_{in} [\gamma^\nu]_{nm} \\
 &= \frac{1}{2} [(\not{p}_1 + m) \gamma^\mu (\not{p}_3 + m) \gamma^\nu]_{mm} \\
 &= \frac{1}{2} \text{Tr}[(\not{p}_1 + m) \gamma^\mu (\not{p}_3 + m) \gamma^\nu]
 \end{aligned}$$

此处全是数字

$$\begin{aligned}
 L_{\mu\nu} &= \frac{1}{2} \sum_{s_1 s_3} [\bar{u}(p_1 s_1) \gamma^\mu u(p_3 s_3)] [\bar{u}(p_3 s_3) \gamma^\nu u(p_1 s_1)] \\
 &\quad \sum_s u(ps) \bar{u}(ps) = \not{p} + m
 \end{aligned}$$

- 类似的:

$$W_{\mu\nu} = \frac{1}{2} \text{Tr}[(\not{p}_2 + M) \gamma_\mu (\not{p}_4 + M) \gamma_\nu]$$

# (optional) ME calculation with trace techniques

- 开始计算迹:

$$\begin{aligned}L_{\mu\nu} &= \frac{1}{2} \text{Tr}[(\not{p}_1 + m)\gamma^\mu(\not{p}_3 + m)\gamma^\nu] \\&= \frac{1}{2} [\text{Tr}(\not{p}_1\gamma^\mu\not{p}_3\gamma^\nu) + \text{Tr}(m\gamma^\mu\not{p}_3\gamma^\nu) + \text{Tr}(\not{p}_1\gamma^\mu m\gamma^\nu) + \text{Tr}(m^2\gamma^\mu\gamma^\nu)] \\&= \frac{1}{2} [4p_{1\rho}p_{3\sigma}(g^{\rho\mu}g^{\sigma\nu} - g^{\rho\sigma}g^{\mu\nu} + g^{\rho\nu}g^{\mu\sigma}) + 4m^2g^{\mu\nu}] \\&= 2[p_1^\mu p_3^\nu + p_1^\nu p_3^\mu + g^{\mu\nu}(m^2 - p_1 p_3)]\end{aligned}$$

- 用同样的方法计算 $W_{\mu\nu}$ :

$$W_{\mu\nu} = 2[p_{2\mu}p_{4\nu} + p_{2\nu}p_{4\mu} + g_{\mu\nu}(M^2 - p_2 p_4)]$$

$$\text{Tr}(\gamma^\mu\gamma^\nu) = 4g^{\mu\nu}$$

$$\text{Tr}(\text{odd number of } \gamma\text{'s}) = 0$$

$$\text{Tr}(\gamma^\mu\gamma^\nu\gamma^\rho\gamma^\sigma) = 4g^{\mu\nu}g^{\rho\sigma} - 4g^{\mu\rho}g^{\nu\sigma} + 4g^{\mu\sigma}g^{\nu\rho}$$

# (optional) ME calculation with trace techniques

$$g_{\mu\nu}g^{\mu\nu} = 4$$

$$p_1^\mu p_3^\nu g_{\mu\nu} = p_1 p_3$$

$$p_1^\mu p_3^\nu p_{2\mu} p_{4\nu} = (p_1 p_2)(p_3 p_4)$$

- 回到矩阵元:

$$\overline{|M_{fi}|^2} = \frac{4e^4}{q^4} [p_1^\mu p_3^\nu + p_1^\nu p_3^\mu + g^{\mu\nu}(m^2 - p_1 p_3)] \\ [p_{2\mu} p_{4\nu} + p_{2\nu} p_{4\mu} + g_{\mu\nu}(M^2 - p_2 p_4)]$$

$$\overline{|M_{fi}|^2} = \frac{8e^4}{q^4} [(p_1 p_2)(p_3 p_4) + (p_1 p_4)(p_2 p_3) + M^2 p_1 p_3 + m^2 p_2 p_4 + 2m^2 M^2]$$

- 取极端相对论条件  $m \approx M \approx 0$ :

$$\overline{|M_{fi}|^2} = \frac{8e^4}{q^4} [(p_1 p_2)(p_3 p_4) + (p_1 p_4)(p_2 p_3)]$$

$$q^2 = t = (p_1 - p_3)^2 \approx -2p_1 p_3, \quad s \approx 2p_1 p_2 = 2p_3 p_4, \quad u \approx 2p_1 p_4 = 2p_2 p_3$$

$$\overline{|M_{fi}|^2} = 2e^4 \left( \frac{s^2 + u^2}{t^4} \right)$$

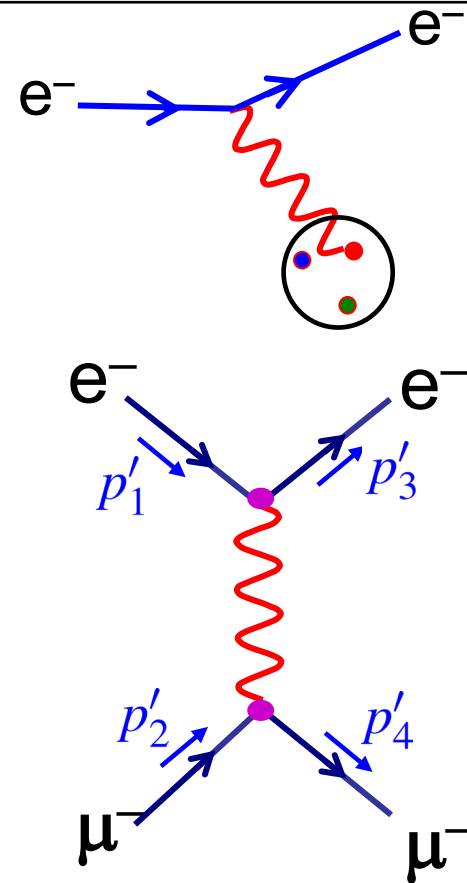


# Electron-Proton Scattering

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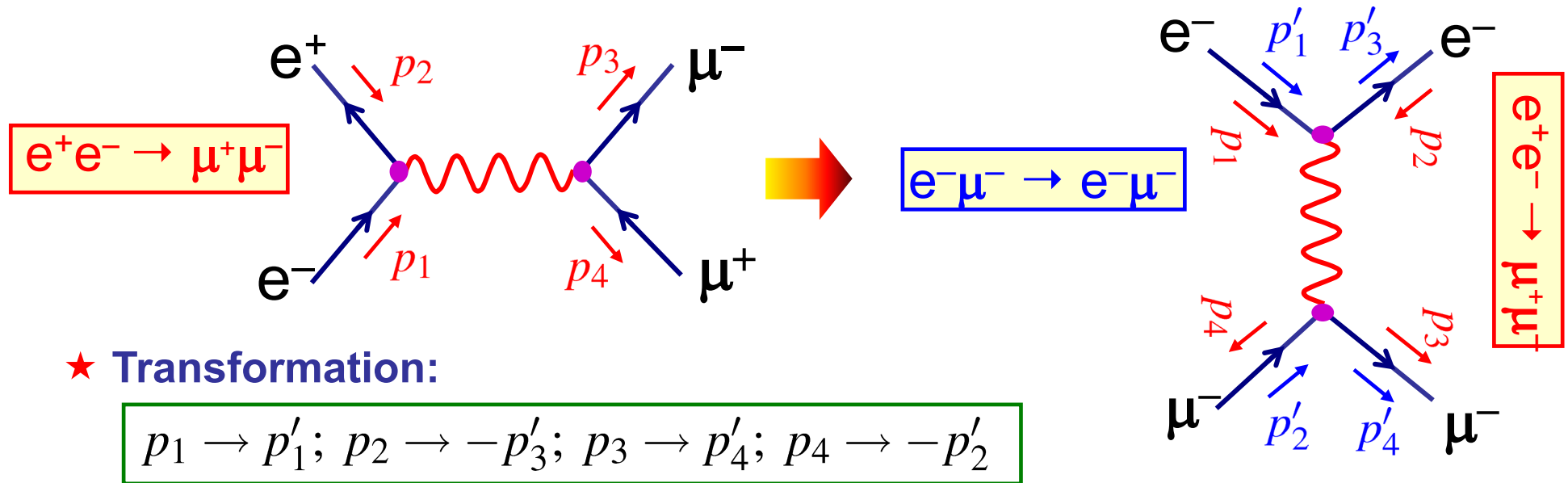
$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3)] \quad (1)$$

- 从  $e^-e^+ \rightarrow \mu^-\mu^+$  出发，利用“交叉对称性”得到  $e^-\mu^- \rightarrow e^-\mu^-$  的矩阵元 (附录I)



# Appendix I : Crossing Symmetry

- 基于已经得到的 $e^-e^+ \rightarrow \mu^-\mu^+$ 洛伦兹不变矩阵元,
  - “旋转”得到 $e^-\mu^- \rightarrow e^-\mu^-$ 相应的图, 采用交叉对称性原理写出对应的矩阵元



Changes spin averaged ME for  $e^-e^+ \rightarrow \mu^-\mu^+$   $\rightarrow$   $e^-\mu^- \rightarrow e^-\mu^-$

$p_1 \ p_2 \quad p_3 \ p_4$ 
 $\quad p'_1 \ p'_2 \quad p'_3 \ p'_4$

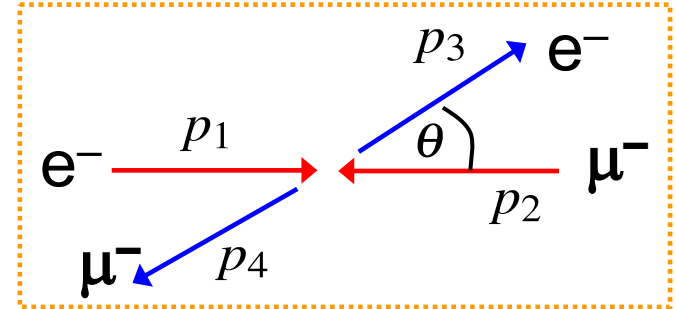
- Take ME for  $e^+e^- \rightarrow \mu^+\mu^-$  (附录) and apply crossing symmetry:

$$\langle |M_{fi}|^2 \rangle = 2e^4 \frac{(p_1 \cdot p_3)^2 + (p_1 \cdot p_4)^2}{(p_1 \cdot p_2)^2} \rightarrow \langle |M_{fi}|^2 \rangle = 2e^4 \frac{(p'_1 \cdot p'_4)^2 + (p'_1 \cdot p'_2)^2}{(p'_1 \cdot p'_3)^2} \quad (\text{App:1})$$

# Electron-Proton Scattering

$$\rightarrow \langle |M_{fi}|^2 \rangle = 2e^4 \frac{(p_1 \cdot p_4)^2 + (p_1 \cdot p_2)^2}{(p_1 \cdot p_3)^2} \quad (2) \quad \equiv 2e^4 \left( \frac{s^2 + u^2}{t^2} \right)$$

- 质心系  $p_1 = (E, 0, 0, E)$   $p_2 = (E, 0, 0, -E)$   
 $p_3 = (E, E \sin \theta, 0, E \cos \theta)$   
 $p_4 = (E, -E \sin \theta, 0, -E \cos \theta)$



得到  $p_1 \cdot p_2 = 2E^2$ ;  $p_1 \cdot p_3 = E^2(1 - \cos \theta)$ ;  $p_1 \cdot p_4 = E^2(1 + \cos \theta)$

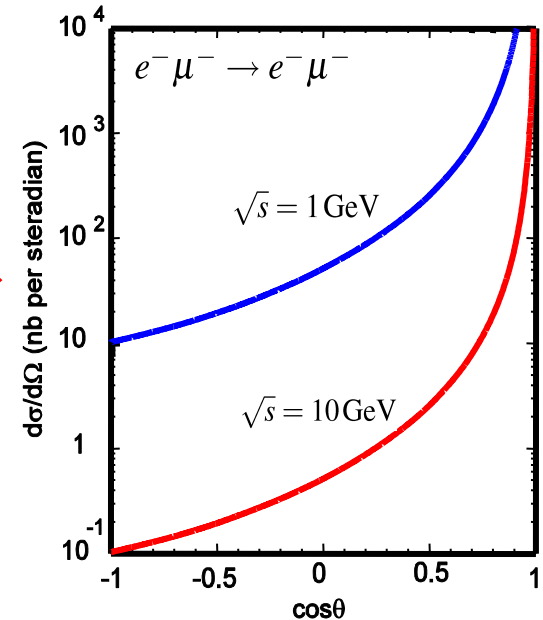
$$\rightarrow \langle |M_{fi}|^2 \rangle = 2e^4 \frac{E^4(1 + \cos \theta)^2 + 4E^4}{E^4(1 - \cos \theta)^2}$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2 s} \langle |M_{fi}|^2 \rangle = \frac{e^4}{8\pi^2 s} \frac{[1 + \frac{1}{4}(1 + \cos \theta)^2]}{(1 - \cos \theta)^2}$$

- 传播子得到的分母  $-ig_{\mu\nu}/q^2$

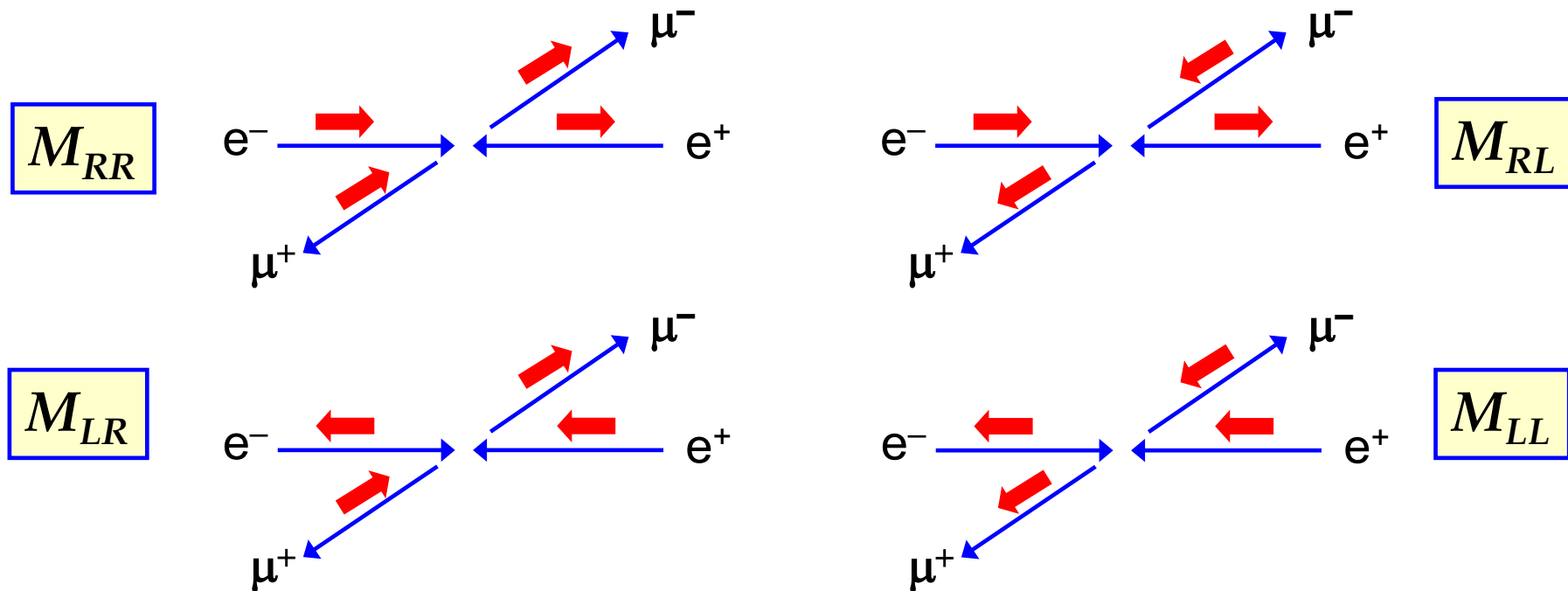
这里  $q^2 = (p_1 - p_3)^2 = E^2(1 - \cos \theta)$

$q^2 \rightarrow 0$  时, 截面趋于无穷大

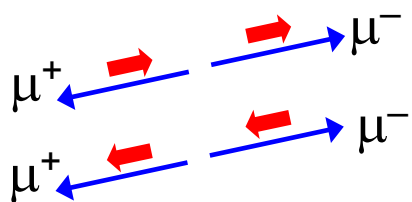


# 回顾上节课：Electron Positron Annihilation cont.

- 对于  $e^-e^+ \rightarrow \mu^+\mu^-$  现在只需要考虑4个矩阵元 (R,L代表e- mu-的helicity)



- 之前，推导出了允许螺旋度组合的缪子流：

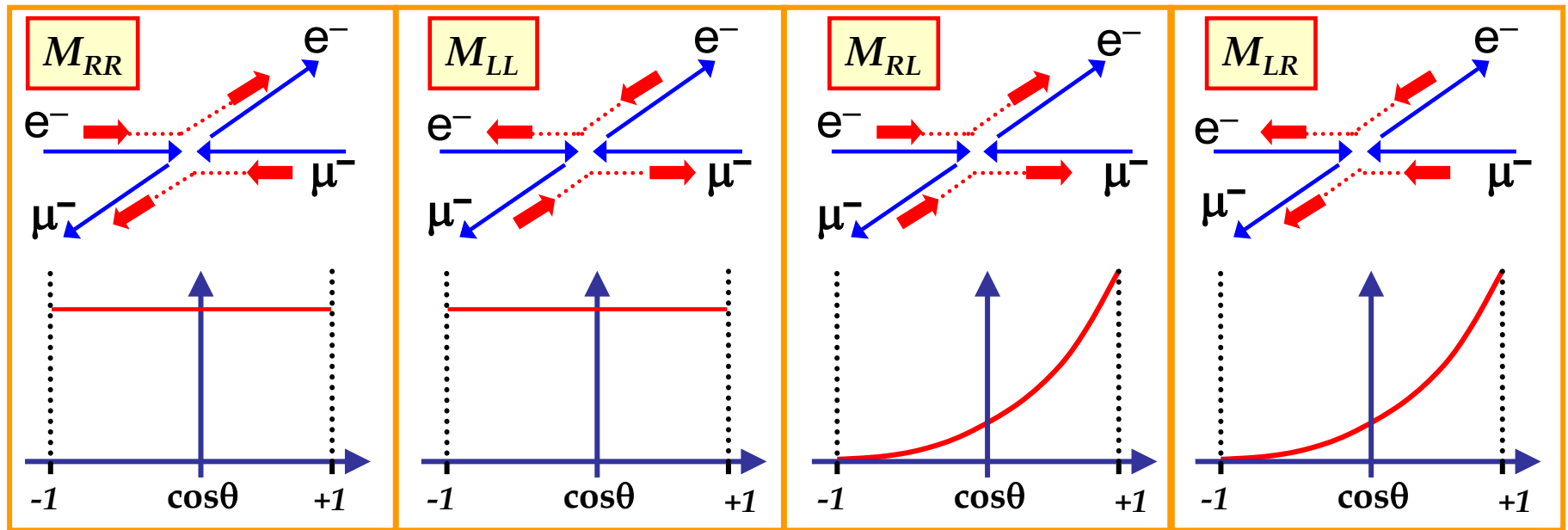


$$\begin{aligned}
 \mu_R^- \mu_L^+ : \quad & \bar{u}_\uparrow(p_3) \gamma^\nu v_\downarrow(p_4) = 2E(0, -\cos\theta, i, \sin\theta) \\
 \mu_L^- \mu_R^+ : \quad & \bar{u}_\downarrow(p_3) \gamma^\nu v_\uparrow(p_4) = 2E(0, -\cos\theta, -i, \sin\theta)
 \end{aligned}$$

➤ 现在需要考虑电子流

# Electron-Proton Scattering

- 分子上的角度依赖:  $\frac{d\sigma}{d\Omega} = \frac{e^4}{8\pi^2 s} \frac{[1 + \frac{1}{4}(1 + \cos\theta)^2]}{(1 - \cos\theta)^2}$  因子  $1 + \frac{1}{4}(1 + \cos\theta)^2$   
反映QED的螺旋度结构  
(本质是手征)
- 16种可能的螺旋度组合中只有4种非零:




$$S_z = 0$$

$$S_z = +1$$

$$S_z = -1$$

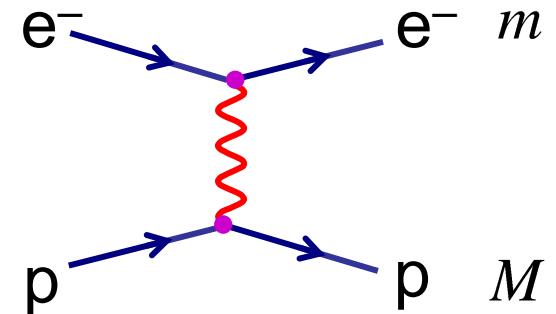

 $\frac{d\sigma}{d\Omega} \propto 1$ 
**i.e. no preferred polar angle**


 $\frac{d\sigma}{d\Omega} \propto \frac{1}{4}(1 + \cos\theta)^2$ 
**spin 1 rotation again**

# Electron-Proton Scattering

- 上述计算的截面适用于，在（忽略电子和缪子质量的）相对论极限下，两个自旋1/2狄拉克粒子（点状粒子）的散射
- 矩阵元公式为： $\langle |M_{fi}|^2 \rangle = 2e^4 \frac{(p_1 \cdot p_4)^2 + (p_1 \cdot p_2)^2}{(p_1 \cdot p_3)^2}$ 
  - “深度非弹散射”也将使用该公式描述电子与质子中夸克的散射（下节课）

- 首先考虑电子与质子(复合粒子)的散射：
  - 如何知道质子不是基本“点”粒子？



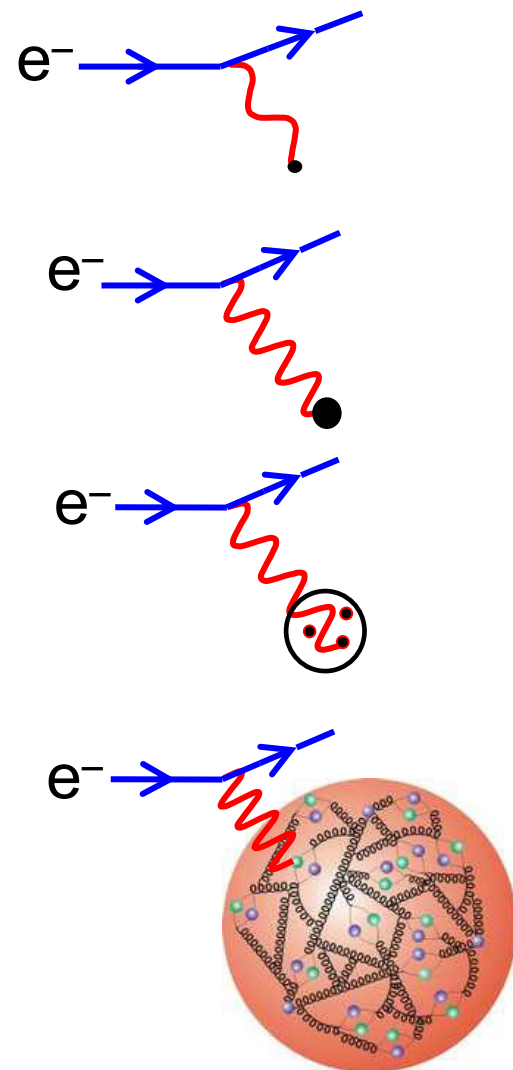
- 先求矩阵元表达式（详见附录）

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3) - (p_1 \cdot p_3)M^2 - (p_1 \cdot p_4)m^2 + 2m^2M^2] \quad (3)$$

# Probing the Structure of Proton

➤ 在  $e^-p \rightarrow e^-p$  散射中，虚光子与质子的相互作用本质强烈依赖其波长

- 在**极低的**电子能量时  $\lambda \gg r_p$  :  
等效于对一个无自旋“点粒子”散射
- 在**较低的**电子能量时  $\lambda \sim r_p$  :  
等效于对一个扩散的带电体散射
- 在**较高的**电子能量时  $\lambda < r_p$  :  
波长短到足够分辨子结构，与组分夸克散射
- 在**极高的**电子能量时  $\lambda \ll r_p$  :  
质子表现为夸克和胶子的海洋

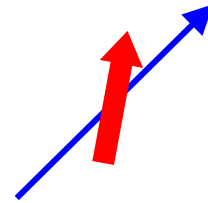


# (回顾) Helicity

- 粒子自旋延飞行方向的分量是好量子数：  $[\hat{H}, \hat{S} \cdot \hat{p}] = 0$

- 定义“粒子自旋延其飞行方向的分量”为 **螺旋度**：

$$h \equiv \frac{\vec{S} \cdot \vec{p}}{|\vec{S}| |\vec{p}|} = \frac{2\vec{S} \cdot \vec{p}}{|\vec{p}|} = \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|}$$

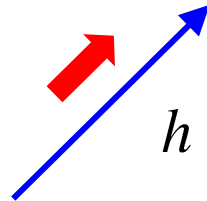


- 自旋延任何轴的测量分量只有两个值  $\pm 1/2$

- 因此自旋1/2粒子的螺旋度算符的本征值为：  $\pm 1$

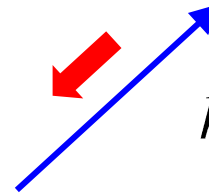
Often termed:

**“right-  
handed”**



$$h = +1$$

**“left-  
handed”**



$$h = -1$$

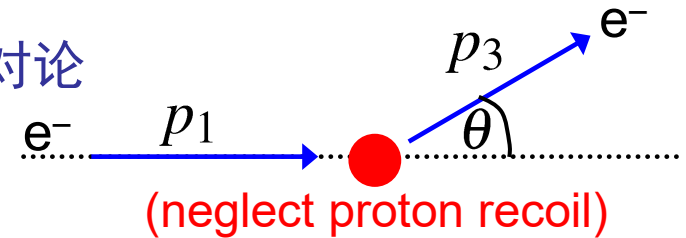
- 注意：分“右手”手性和“左手”手性的螺旋度
- 后续课程将讨论“右手”和“左手”手征(CHIRAL)本征态
  - 只在光速极限 ( $v \approx c$ ) 时，螺旋度本征态与手征本征态相同



# Rutherford Scattering Revisited

## ➤ 卢瑟福散射：

- 质子反冲可以忽略的**低能极限**，且电子为非相对论
- 从右手和左手**螺旋度**粒子旋量出发



$$u_{\uparrow} = N \begin{pmatrix} c \\ e^{i\phi} s \\ \frac{|\vec{p}|}{E+m} c \\ \frac{|\vec{p}|}{E+m} e^{i\phi} s \end{pmatrix} \quad u_{\downarrow} = N \begin{pmatrix} -s \\ e^{i\phi} c \\ \frac{|\vec{p}|}{E+m} s \\ -\frac{|\vec{p}|}{E+m} e^{i\phi} c \end{pmatrix} \quad \begin{aligned} N &= \sqrt{E+m}; \\ s &= \sin(\theta/2); \quad c = \cos(\theta/2) \end{aligned}$$

• 写成形式为：  $\alpha = \frac{|\vec{p}|}{E+m_e}$

非相对论极限：  $\alpha \rightarrow 0$

极端相对论极限：  $\alpha \rightarrow 1$

➡

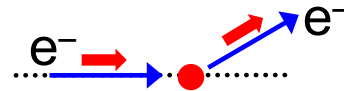
$$u_{\uparrow} = N \begin{pmatrix} c \\ e^{i\phi} s \\ \alpha c \\ \alpha e^{i\phi} s \end{pmatrix} \quad u_{\downarrow} = N \begin{pmatrix} -s \\ e^{i\phi} c \\ \alpha s \\ -\alpha e^{i\phi} c \end{pmatrix}$$

可能得初态和末态电子旋量：

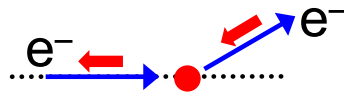
$$u_{\uparrow}(p_1) = N_e \begin{pmatrix} 1 \\ 0 \\ \alpha \\ 0 \end{pmatrix} \quad u_{\downarrow}(p_1) = N_e \begin{pmatrix} 0 \\ 1 \\ 0 \\ -\alpha \end{pmatrix} \quad u_{\uparrow}(p_3) = N_e \begin{pmatrix} c \\ s \\ \alpha c \\ \alpha s \end{pmatrix} \quad u_{\downarrow}(p_3) = N_e \begin{pmatrix} -s \\ c \\ \alpha s \\ -\alpha c \end{pmatrix}$$

# Rutherford Scattering Revisited

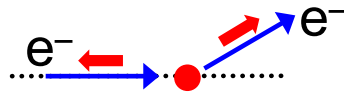
- 考虑所有四种可能电子流，即，螺旋度  $R \rightarrow R, L \rightarrow L, L \rightarrow R, R \rightarrow L$



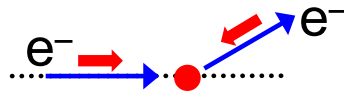
$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = (E + m_e) [(\alpha^2 + 1)c, 2\alpha s, -2i\alpha s, 2\alpha c] \quad (4)$$



$$\bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = (E + m_e) [(\alpha^2 + 1)c, 2\alpha s, -2i\alpha s, 2\alpha c] \quad (5)$$



$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = (E + m_e) [(1 - \alpha^2)s, 0, 0, 0] \quad (6)$$



$$\bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = (E + m_e) [(\alpha^2 - 1)s, 0, 0, 0] \quad (7)$$

- 相对论极限 ( $\alpha=1$ ), 即  $E \gg m$ , (6) 和 (7) 都是0, 只有  $R \rightarrow R$  和  $L \rightarrow L$  组合非零
- 在非相对论极限 ( $|\vec{p}| \ll E$ ) 下,  $\alpha=0$

$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = \bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = (2m_e) [c, 0, 0, 0]$$

$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = -\bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = (2m_e) [s, 0, 0, 0]$$

**All four electron helicity combinations have non-zero Matrix Element**

**i.e. Helicity eigenstates  $\neq$  Chirality eigenstates**

# Rutherford Scattering Revisited

- 初态和末态质子旋量：  
(假设无反冲)  $u_{\uparrow}(0) = \sqrt{2M_p} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$   $u_{\downarrow}(0) = \sqrt{2M_p} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$  粒子静止时的狄拉克方程解

给出质子流:  $j_{p\uparrow\uparrow} = j_{p\downarrow\downarrow} = 2M_p(1, 0, 0, 0)$   $j_{p\uparrow\downarrow} = j_{p\downarrow\uparrow} = 0$

$$\mathcal{M}_{fi} = \frac{e^2}{q^2} j_e \cdot j_p$$

$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = \bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = (2m_e) [c, 0, 0, 0]$$

$$\bar{u}_{\uparrow}(p_3) \gamma^{\mu} u_{\downarrow}(p_1) = -\bar{u}_{\downarrow}(p_3) \gamma^{\mu} u_{\uparrow}(p_1) = (2m_e) [s, 0, 0, 0]$$

- 自旋平均的矩阵元对八种允许的螺旋度态求和

$$\langle |M_{fi}^2| \rangle = \frac{1}{4} \frac{e^4}{q^4} (16M_p^2 m_e^2) (4c^2 + 4s^2) = \frac{16M_p^2 m_e^2 e^4}{q^4}$$

# Rutherford Scattering Revisited

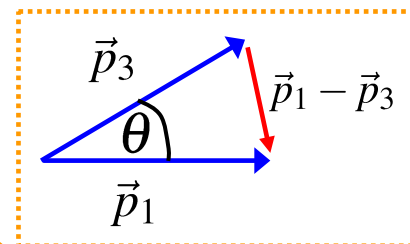
- 初态和末态质子旋量：  
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$$\langle |M_{fi}^2| \rangle = \frac{1}{4} \frac{e^4}{q^4} (16M_p^2 m_e^2) \underline{(4c^2 + 4s^2)} = \frac{16M_p^2 m_e^2 e^4}{q^4}$$

其中  $q^2 = (p_1 - p_3)^2 = (0, \vec{p}_1 - \vec{p}_3)^2 = -4|\vec{p}|^2 \sin^2(\theta/2)$



$$\langle |M_{fi}^2| \rangle = \frac{M_p^2 m_e^2 e^4}{|\vec{p}|^4 \sin^4(\theta/2)}$$

**Note: in this limit all angular dependence is in the propagator**

- 实验室系的微分散射截面  
(第二节课导出)  $\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2} \left( \frac{1}{M + E_1 - E_1 \cos \theta} \right)^2 |M_{fi}|^2$  (8)

# Rutherford Scattering Revisited

- 因为电子为非相对论，所以  $E \approx me \ll Mp$ ，可以忽略公式(8)分母中的  $E_1$

→ 
$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2 M_p^2} |M_{fi}|^2 = \frac{m_e^2 e^4}{64\pi^2 |\vec{p}|^4 \sin^4(\theta/2)}$$

- 写出  $e^2=4\pi\alpha$  且电子的动能为  $E_K = p^2/2m_e$

→ 
$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{Rutherford}} = \frac{\alpha^2}{16E_K^2 \sin^4 \theta/2} \quad (9)$$

- 这是常规的卢瑟福散射截面表达式。可通过考虑非相对论粒子在质子的静态库伦势能  $V(\vec{r})$  中的散射推导出，不需要考虑电子或质子内秉磁矩导致的相互作用
- 由此可以得出，在非相对论极限下，只需要考虑粒子的电荷相互作用

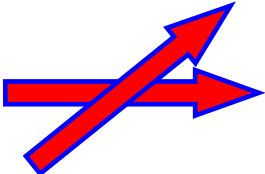
# Mott Scattering Cross Section

- 卢瑟福散射：靶的反弹可忽略且被散射粒子为**非相对论** ( $E_K \ll m$ )
- 莫特散射：靶的反弹可忽略且被散射粒子为**相对论** (即，忽略电子质量)

- 在此条件下，电子流方程 (4) 和 (6) 变成：
 
$$\bar{u}_\uparrow(p_3) \gamma^\mu u_\uparrow(p_1) = 2E [c, s, -is, c]$$

$$\bar{u}_\uparrow(p_3) \gamma^\mu u_\downarrow(p_1) = E [0, 0, 0, 0]$$

相对论效应  $\Rightarrow$  电子“螺旋度守恒”

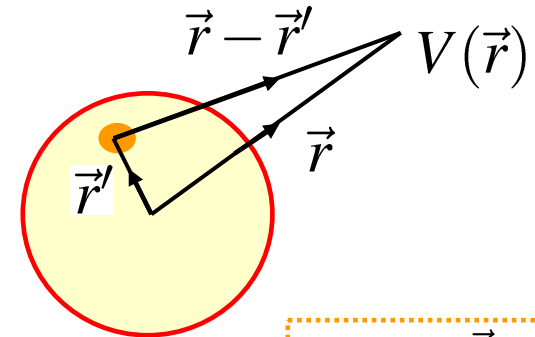
- 结果为：  $\Rightarrow \left( \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} = \underbrace{\frac{\alpha^2}{4E^2 \sin^4 \theta / 2}}_{\text{卢瑟福公式, 其中 } E_K = E \ (E \gg m_e)} \underbrace{\cos^2 \frac{\theta}{2}}_{\text{初末态电子波函数的重叠 Just QM of spin } 1/2} \quad (10)$ 


- 注意：该表达式也可通过电子与固定点静态势  $V(\vec{r})$  的散射推导出
  - 相互作用的本质是电性而非磁性的 (spin-spin)

# Form Factors

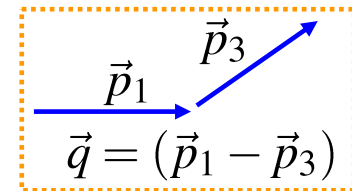
- 描述电子在扩展分布电荷导致的静态势下的散射
- 距中心为  $\vec{r}$  处的势为:

$$V(\vec{r}) = \int \frac{Q\rho(\vec{r}')}{4\pi|\vec{r}-\vec{r}'|} d^3\vec{r}' \quad \text{其中} \quad \int \rho(\vec{r}) d^3\vec{r} = 1$$



- 一阶微扰论的矩阵元为:

$$\begin{aligned} M_{fi} &= \langle \psi_f | V(\vec{r}) | \psi_i \rangle = \int e^{-i\vec{p}_3 \cdot \vec{r}} V(\vec{r}) e^{i\vec{p}_1 \cdot \vec{r}} d^3\vec{r} \\ &= \int \int e^{i\vec{q} \cdot \vec{r}} \frac{Q\rho(\vec{r}')}{4\pi|\vec{r}-\vec{r}'|} d^3\vec{r}' d^3\vec{r} = \int \int e^{i\vec{q} \cdot (\vec{r}-\vec{r}')} e^{i\vec{q} \cdot \vec{r}'} \frac{Q\rho(\vec{r}')}{4\pi|\vec{r}-\vec{r}'|} d^3\vec{r}' d^3\vec{r} \end{aligned}$$



- 固定  $\vec{r}$ , 并做  $\vec{R} = \vec{r} - \vec{r}'$  替换后对  $d^3\vec{r}$  积分

$$M_{fi} = \int e^{i\vec{q} \cdot \vec{R}} \frac{Q}{4\pi|\vec{R}|} d^3\vec{R} \int \rho(\vec{r}') e^{i\vec{q} \cdot \vec{r}'} d^3\vec{r}' = (M_{fi})_{point} F(\vec{q}^2)$$

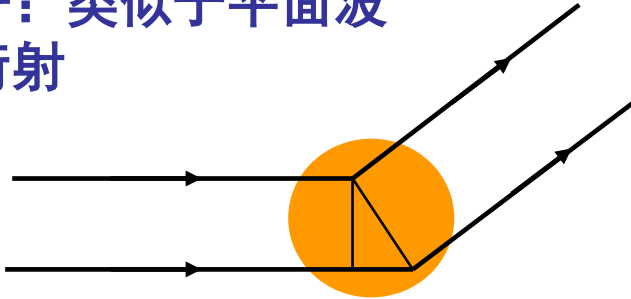
- 等价于点源散射矩阵元乘以形状因子

$$F(\vec{q}^2) = \int \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}} d^3\vec{r}$$

# Form Factors

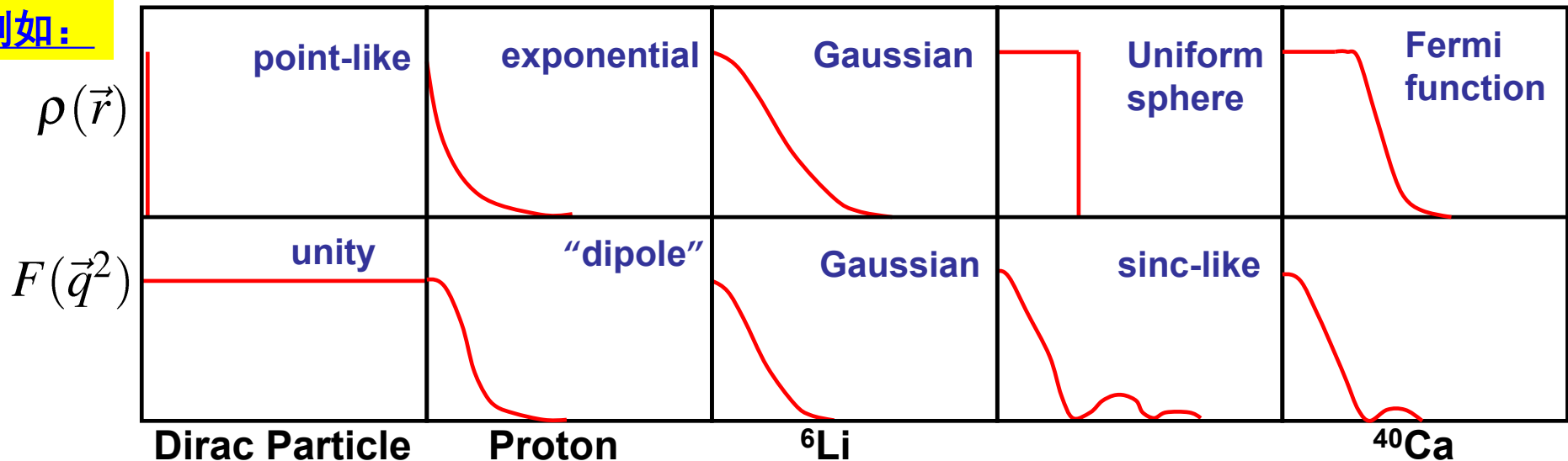
$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \rightarrow \frac{\alpha^2}{4E^2 \sin^4 \theta/2} \cos^2 \frac{\theta}{2} |F(\vec{q}^2)|^2$$

- 形状因子：类似于平面波的光学衍射



- 有限尺寸的散射中心导致“空间不同点散射的平面波”的相位差
- 如果波长与被散射体尺寸相当，所有波同相位且  $F(\vec{q}^2) = 1$

例如：

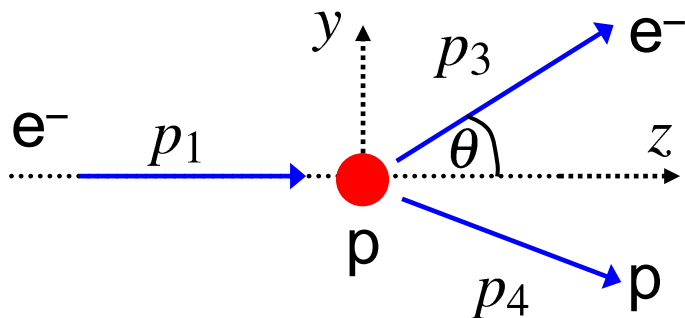


注意：对于点电荷形状因子是单位为一



# Point-like Electron-Proton Elastic Scattering

- 考虑质子有反冲的一般情况，即  $E_1 \gg m_e$



$$p_1 = (E_1, 0, 0, E_1)$$

$$p_2 = (M, 0, 0, 0)$$

$$p_3 = (E_3, 0, E_3 \sin \theta, E_3 \cos \theta)$$

$$p_4 = (E_4, \vec{p}_4)$$

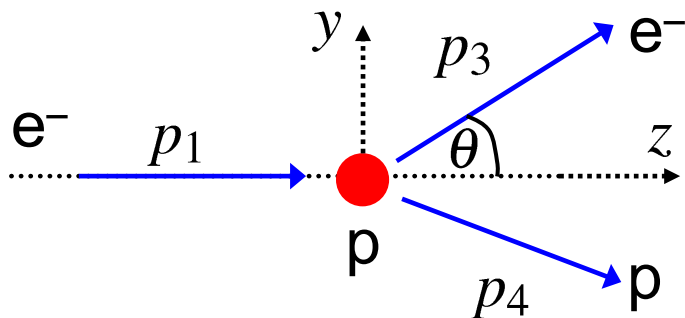
- $m=m_e=0$ 的情况下，由方程(3)可以得到该过程的矩阵元：

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3) - (p_1 \cdot p_3)M^2] \quad (11)$$

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3) - (p_1 \cdot p_3)M^2 - (p_1 \cdot p_4)m^2 + 2m^2M^2]$$

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- 实验上不观测质子，利用四动量守恒消去  $p_4 = p_1 + p_2 - p_3$

- 不含  $p_4$  的标量积：  $p_1 \cdot p_2 = E_1 M$     $p_2 \cdot p_3 = E_3 M$     $p_1 \cdot p_3 = E_1 E_3 (1 - \cos \theta)$

包含  $p_4$  的表示为：

$$p_3 \cdot p_4 = p_3 \cdot p_1 + p_3 \cdot p_2 - \cancel{p_3 \cdot p_3} = E_1 E_3 (1 - \cos \theta) + E_3 M$$

$$p_1 \cdot p_4 = \cancel{p_1 \cdot p_1} + p_1 \cdot p_2 - p_1 \cdot p_3 = E_1 M - E_1 E_3 (1 - \cos \theta)$$

$$p_1 \cdot p_1 = E_1^2 - |\vec{p}_1|^2 = m_e^2 \approx 0 \quad \text{i.e. neglect } m_e$$

# Point-like Electron-Proton Elastic Scattering

- 显式替换公式(11)的标量积后，得到

$$\begin{aligned}\langle |M_{fi}|^2 \rangle &= \frac{8e^4}{(p_1 - p_3)^4} M E_1 E_3 [(E_1 - E_3)(1 - \cos \theta) + M(1 + \cos \theta)] \\ &= \frac{8e^4}{(p_1 - p_3)^4} 2M E_1 E_3 [(E_1 - E_3) \sin^2(\theta/2) + M \cos^2(\theta/2)]\end{aligned}\quad (12)$$

得到表达式  $q^4 = (p_1 - p_3)^4$  和  $(E_1 - E_3)$

$$q^2 = (p_1 - p_3)^2 = \cancel{p_1^2} + \cancel{p_3^2} - 2p_1 \cdot p_3 = -2E_1 E_3 (1 - \cos \theta) \quad (13)$$

注意:  $q^2 < 0$  Space-like  $= -4E_1 E_3 \sin^2 \theta/2 \quad (14)$

- 对于  $(E_1 - E_3)$ ，利用  $q \cdot p_2 = (p_1 - p_3) \cdot p_2 = M(E_1 - E_3)$

再利用

$$\begin{aligned}(q + p_2)^2 &= p_4^2 & q = (p_1 - p_3) &= (p_4 - p_2) \\ q^2 + p_2^2 + 2q \cdot p_2 &= p_4^2 \\ q^2 + M^2 + 2q \cdot p_2 &= M^2\end{aligned}$$

➔  $q \cdot p_2 = -q^2/2$

$E_1 - E_3 = -\frac{q^2}{2M}$

# Point-like Electron-Proton Elastic Scattering

- 因此转移到质子的能量为:  $E_1 - E_3 = -\frac{q^2}{2M}$  (15)

因为  $q^2$  总为负  $E_1 - E_3 > 0$ , 所有散射后的电子能量总是低于入射电子

- 联合公式(11), (12), (14), (15):

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} [(p_1 \cdot p_2)(p_3 \cdot p_4) + (p_1 \cdot p_4)(p_2 \cdot p_3) - (p_1 \cdot p_3)M^2]$$

$$\langle |M_{fi}|^2 \rangle = \frac{8e^4}{(p_1 - p_3)^4} 2ME_1E_3 [(E_1 - E_3) \sin^2(\theta/2) + M \cos^2(\theta/2)]$$

$$q^2 = (p_1 - p_3)^2 = -4E_1E_3 \sin^2 \theta/2$$

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- 联合公式(11), (12), (14), (15):

$$\begin{aligned} \langle |M_{fi}|^2 \rangle &= \frac{8e^4}{16E_1^2 E_3^2 \sin^4 \theta / 2} 2ME_1 E_3 \left[ M \cos^2 \theta / 2 - \frac{q^2}{2M} \sin^2 \theta / 2 \right] \\ E \gg m_e &= \frac{M^2 e^4}{E_1 E_3 \sin^4 \theta / 2} \left[ \cos^2 \theta / 2 - \frac{q^2}{2M^2} \sin^2 \theta / 2 \right] \end{aligned}$$

- 对于  $E \gg m_e$ ，有  
(见第二课)  $\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2} \left( \frac{E_3}{ME_1} \right)^2 |M_{fi}|^2$   $\alpha = \frac{e^2}{4\pi} \approx \frac{1}{137}$



$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta / 2} \frac{E_3}{E_1} \left( \cos^2 \theta / 2 - \frac{q^2}{2M^2} \sin^2 \theta / 2 \right) \quad (16)$$

# Interpretation

- 假设狄拉克自旋1/2点粒子，推导得  $e^-p \rightarrow e^-p$  弹性散射的微分散射截面

如何理解该方程？ 
$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left( \cos^2 \theta/2 - \frac{q^2}{2M^2} \sin^2 \theta/2 \right)$$

对比 
$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} = \frac{\alpha^2}{4E^2 \sin^4 \theta/2} \cos^2 \frac{\theta}{2}$$

要点提醒：Mott截面等效于自旋1/2电子对于固定静电势的散射。

此处  $E_3/E_1$  是由于质子反冲

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \boxed{\frac{E_3}{E_1}} \underbrace{\left( \cos^2 \theta/2 - \frac{q^2}{2M^2} \sin^2 \theta/2 \right)}$$

- 新的项：  $\propto \sin^2 \frac{\theta}{2}$



磁相互作用：  
由自旋-自旋相互作用导致

# Interpretation

- 上述微分截面只依赖单一参数
  - 对于散射角位 $\theta$ 的电子,  $q^2$ 和能量  $E_3$  都被运动学固定了

提醒:

$$q^2 = -2E_1E_3(1 - \cos \theta) \quad (13)$$

$$E_1 - E_3 = -\frac{q^2}{2M} \quad (15)$$

•Equating (13) and (15)

$$-2M(E_1 - E_3) = -2E_1E_3(1 - \cos \theta)$$

→  $\frac{E_3}{E_1} = \frac{M}{M + E_1(1 - \cos \theta)}$

•Substituting back into (13):

$$\rightarrow q^2 = -\frac{2ME_1^2(1 - \cos \theta)}{M + E_1(1 - \cos \theta)}$$

# Interpretation

- 例如，束流能量为  $E_{\text{beam}} = 529.5 \text{ MeV}$  的  $e^-p \rightarrow e^-p$ 。测量  $\theta = 75^\circ$  处的被散射电子  
对于弹性散射预期：

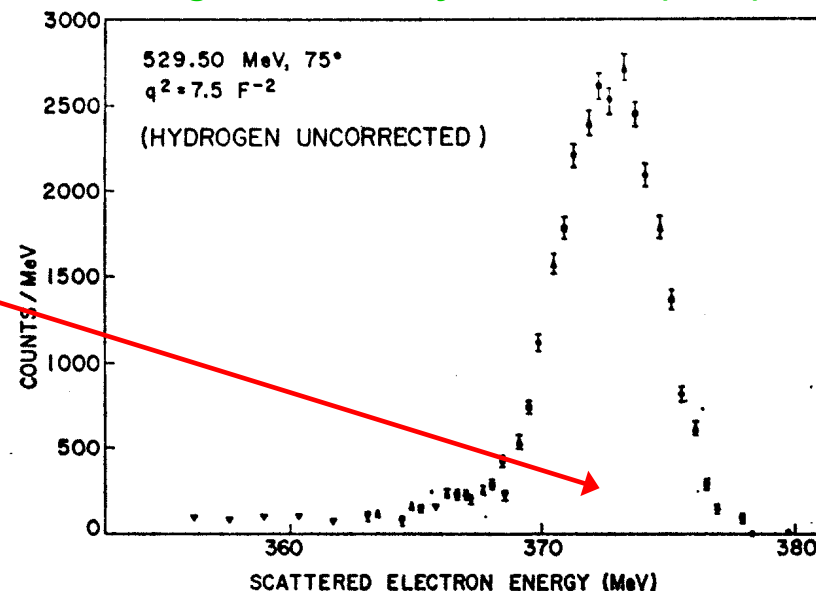
$$E_3 = \frac{ME_1}{M + E_1(1 - \cos \theta)}$$

$$E_3 = \frac{938 \times 529}{938 + 529(1 - \cos 75^\circ)} = 373 \text{ MeV}$$

通过能量确定该散射为弹性的，  
也得到四动量转移的平方

$$|q^2| = \frac{2 \times 938 \times 529^2(1 - \cos 75^\circ)}{938 + 529(1 - \cos 75^\circ)} = 294 \text{ MeV}^2$$

E.B.Hughes et al., Phys. Rev. 139 (1965) B458





# Elastic Scattering from a Finite Size Proton

➤ 一般地，质子的有限尺寸可以通过引入2个结构函数来描述

- $G_E(q^2)$ ：与质子的电荷分布有关； $G_M(q^2)$ ：与质子的磁矩分布有关
- 将公式(16)扩展为 **ROSENBLUTH FORMULA**

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$

其中洛伦兹不变量：

$$\tau = -\frac{q^2}{4M^2} > 0$$

- 与之前不同，这里的形状因子是 $q^2$ 而不是 $|\vec{q}|^2$ 的函数，不能被简单认为是电荷和磁矩分布的傅里叶变换

- 但是利用  $q^2 = (E_1 - E_3)^2 - \vec{q}^2$  和公式(15)，得到

$$\Rightarrow -\vec{q}^2 = q^2 \left[ 1 - \left( \frac{q}{2M} \right)^2 \right]$$

$$E_1 - E_3 = -\frac{q^2}{2M}$$

$$\text{因此，对于 } \frac{q^2}{4M^2} \ll 1 : q^2 \approx -\vec{q}^2 \text{ 和 } G(q^2) \approx G(\vec{q}^2)$$

# Elastic Scattering from a Finite Size Proton

- 因此在  $q^2/4M^2 \ll 1$  极限下，结构函数可以被诠释为电荷和磁矩分布的傅里叶变换

$$G_E(q^2) \approx G_E(\vec{q}^2) = \int e^{i\vec{q} \cdot \vec{r}} \rho(\vec{r}) d^3\vec{r}$$

$$G_M(q^2) \approx G_M(\vec{q}^2) = \int e^{i\vec{q} \cdot \vec{r}} \mu(\vec{r}) d^3\vec{r}$$

- 注意在推导Rosenbluth公式时，  
假设质子为自旋1/2的狄拉克粒子，即  $\vec{\mu} = \frac{e}{M} \vec{S}$
- 但是，质子磁矩的实验测量值大于  
(按照点状狄拉克粒子的) 理论预期：  $\vec{\mu} = 2.79 \frac{e}{M} \vec{S}$

因此对于质子  $G_E(0) = \int \rho(\vec{r}) d^3\vec{r} = 1$   $G_M(0) = \int \mu(\vec{r}) d^3\vec{r} = \mu_p = +2.79$

- 反常磁矩表明质子并非点状粒子！

# Measuring $G_E(q^2)$ and $G_M(q^2)$

- Rosenbluth公式可以表达为 
$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_0 \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} + 2\tau G_M^2 \tan^2 \frac{\theta}{2} \right)$$

其中 
$$\left( \frac{d\sigma}{d\Omega} \right)_0 = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \cos^2 \frac{\theta}{2}$$

即，**Mott** 截面包含质子反冲。  
它对应来自零自旋为质子的散射

- $q^2$  极低时:  $\tau = -q^2/4M^2 \approx 0$

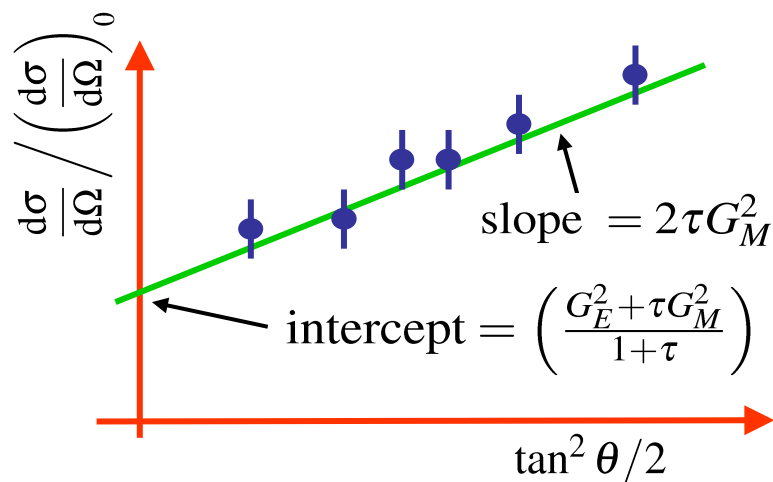
$$\frac{d\sigma}{d\Omega} / \left( \frac{d\sigma}{d\Omega} \right)_0 \approx G_E^2(q^2)$$

- 高  $q^2$  时:  $\tau \gg 1$

$$\frac{d\sigma}{d\Omega} / \left( \frac{d\sigma}{d\Omega} \right)_0 \approx \left( 1 + 2\tau \tan^2 \frac{\theta}{2} \right) G_M^2(q^2)$$

## ➤ 一般情况则同时依赖两个结果函数！

- $q^2$  固定时散射截面随角度依赖的依赖可以区分二者

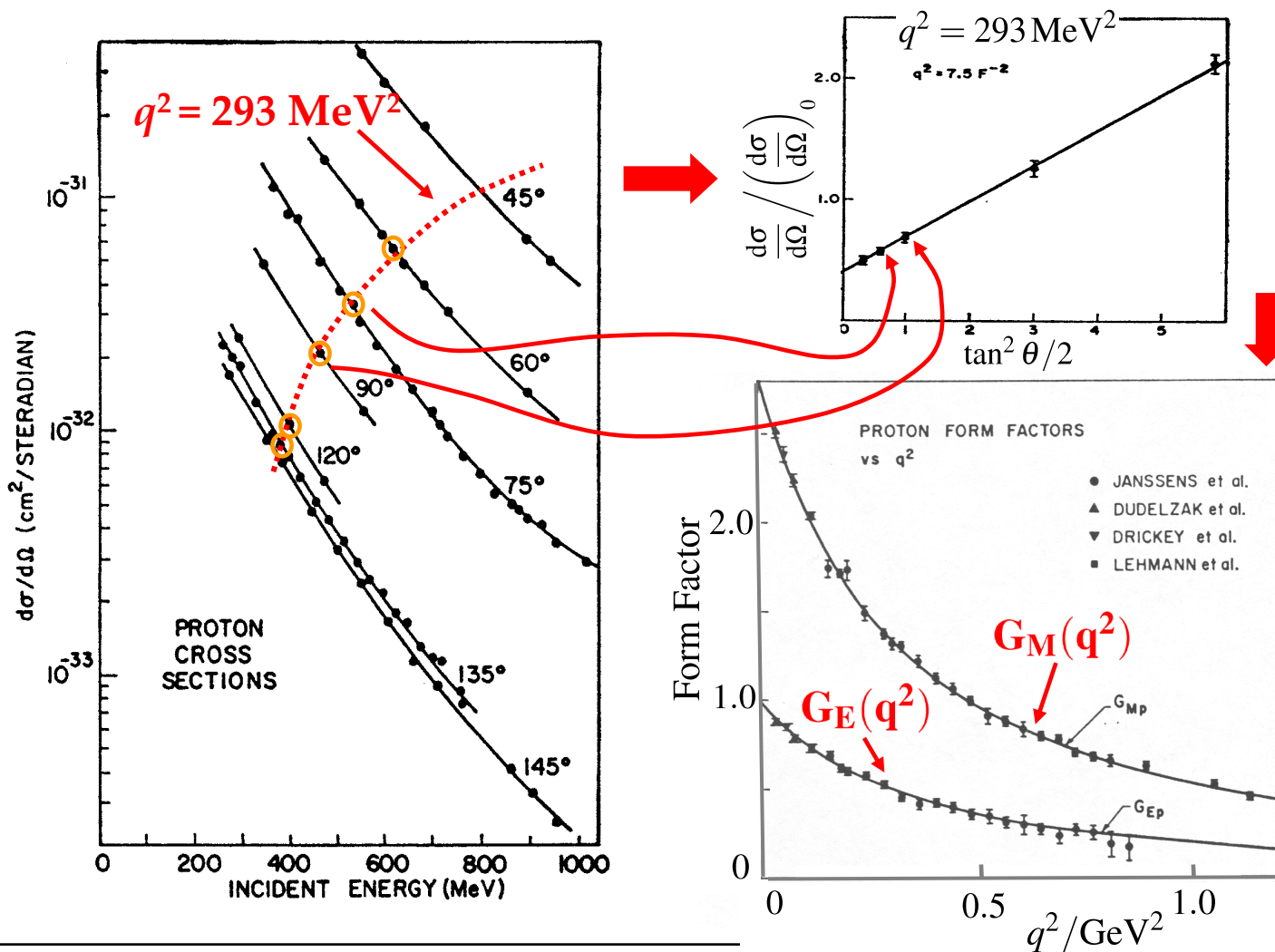


# Measuring $G_E(q^2)$ and $G_M(q^2)$

● 举例:  $E_{\text{beam}} = 529.5 \text{ MeV}$  的  $e^-p \rightarrow e^-p$

- 调整电子束流能量以获得具体的  $q^2$

E.B. Hughes et al., Phys. Rev. 139 (1965) B458



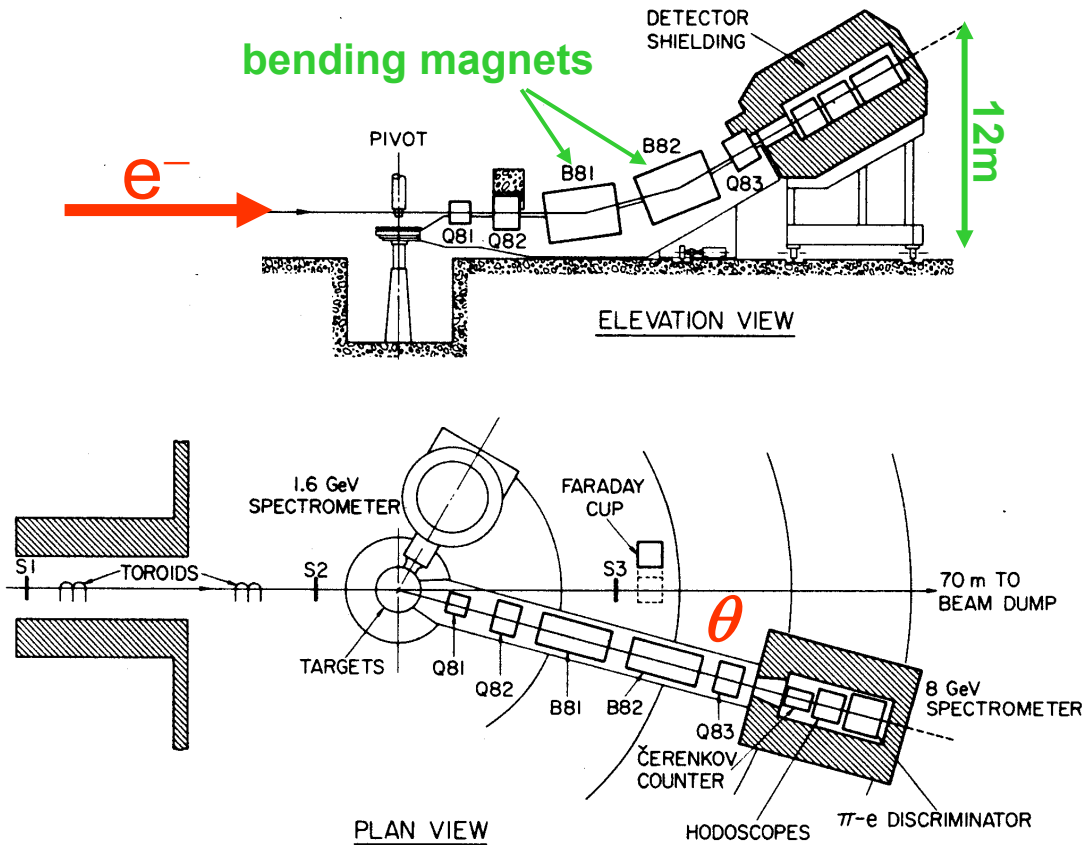
注意:

- 实验发现  $G_M(q^2) = 2.79 G_E(q^2)$ , 即, 电和磁的形状因子具有相同分布

# Higher Energy Electron-Proton Scattering

➤ SLAC的LINAC实验的电子束流:  $5 < E_{\text{beam}} < 20 \text{ GeV}$

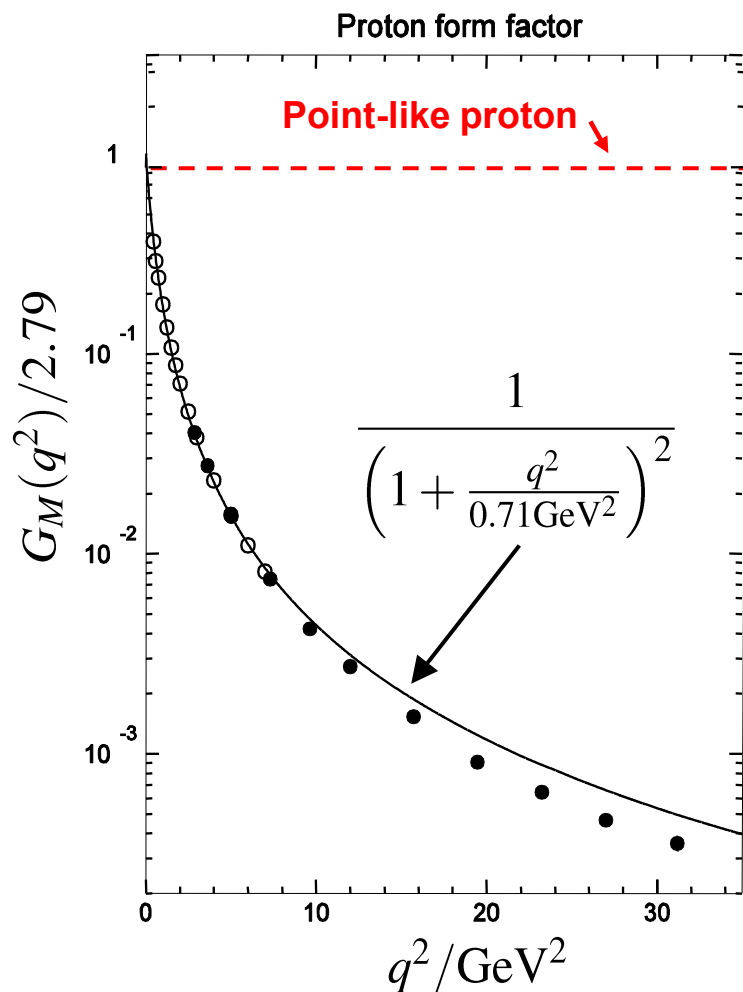
- 探测器（谱仪），测量散射后的电子



High  $q^2 \rightarrow$  Measure  $G_M(q^2)$

P.N.Kirk et al., Phys Rev D8 (1973) 63

# High $q^2$ Results



R.C.Walker et al., PRD 49 (1994) 5671  
A.F.Sill et al., PRD 48 (1993) 29

★形状因子随 $q^2$ 迅速下降=>质子并非点状

•数据很好地符合“偶极形式”:

$$G_E^p(q^2) \approx \frac{G_M^p}{2.79} \approx \frac{1}{(1 + q^2/0.71 \text{ GeV}^2)^2}$$

★傅里叶变换后，发现电荷和磁矩分布满足

$$\rho(r) \approx \rho_0 e^{-r/a} \quad \text{with } a \approx 0.24 \text{ fm}$$

对应电荷的分布均方根差**RMS**为电荷半径  $r_{rms} \approx 0.8 \text{ fm}$

★虽然有迹象，但是不能说明质子是复合粒子

★注意:

- ★ 目前只考虑弹性散射;
- ★ 下节课讲深度非弹性散射

# Summary: Elastic Scattering

- 相对论电子在点状狄拉克型质子的弹性散射：

$$\frac{d\sigma}{d\Omega} = \underbrace{\frac{\alpha^2}{4E_1^2 \sin^4 \theta/2}}_{\text{Rutherford}} \underbrace{\frac{E_3}{E_1}}_{\text{Proton recoil}} \left( \underbrace{\cos^2 \frac{\theta}{2}}_{\text{Electric/Magnetic scattering}} - \underbrace{\frac{q^2}{2M^2} \sin^2 \frac{\theta}{2}}_{\text{Magnetic term due to spin}} \right)$$

- 相对论电子在扩展型质子的弹性散射：

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$

**Rosenbluth Formula**

- 相对论电子在质子的弹性散射显式质子是扩展型的，且电荷半径为  $\sim 0.8 \text{ fm}$

# App 1: ME with trace techniques

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# Scattering between e and scalar particle

- 考虑  $e^- \psi \rightarrow e^- \psi$  的散射过程, 根据费曼规则写出矩阵元

for each incoming or outgoing scalar, 1;

for each incoming electron,  $u_{s_i}(\mathbf{p}_i)$ ;

for each outgoing electron,  $\bar{u}_{s'_i}(\mathbf{p}'_i)$ ;

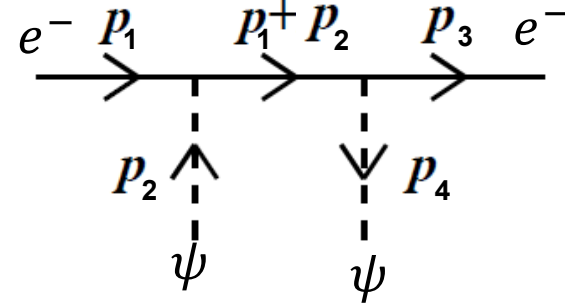
for each incoming positron,  $\bar{v}_{s_i}(\mathbf{p}_i)$ ;

for each outgoing positron,  $v_{s'_i}(\mathbf{p}'_i)$ ;

for each vertex,  $ig$ ;

for each internal scalar,  $-i/(k^2 + M^2 - i\epsilon)$ ;

for each internal fermion,  $-i(\not{p} + m)/(p^2 + m^2 - i\epsilon)$ .



$$-iM_{fi} = [(ig)u(p_1 s_1)] \frac{-i(\not{q} + m)}{q^2 - m^2} [\bar{u}(p_3 s_3)(ig)]$$

- 做一个简单的化简,  $(\not{p} - m)u = 0$ :  $(\not{q} + m) \rightarrow (\not{p}_2 + 2m)$

$$\overline{|M_{fi}|^2} = \frac{g^4}{(q^2 - m^2)^2} \frac{1}{2} \sum_{s_1} [\bar{u}(p_1 s_1)(\not{p}_2 + 2m)u(p_3 s_3)] [\bar{u}(p_3 s_3)(\not{p}_2 + 2m)u(p_1 s_1)]$$

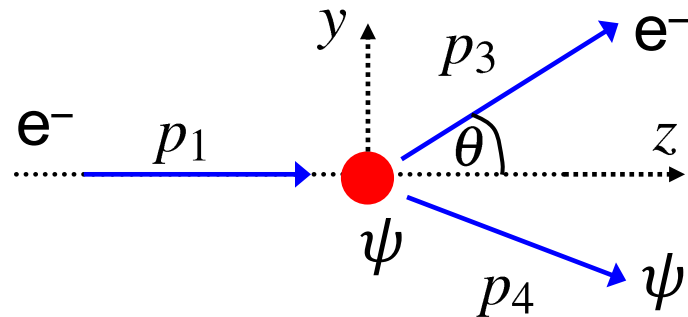
$$\overline{|M_{fi}|^2} = \frac{g^4}{2(q^2 - m^2)^2} \text{Tr}[(\not{p}_1 + m)(\not{p}_2 + 2m)(\not{p}_3 + m)(\not{p}_2 + 2m)]$$

# Scattering between e and scalar particle

$$\overline{|M_{fi}|^2} = \frac{g^4}{2(q^2 - m^2)^2} \text{Tr}[(p_1 + m)(p_2 + 2m)(p_3 + m)(p_2 + 2m)]$$

- 取相对论极限，忽略电子质量：

$$\overline{|M_{fi}|^2} = \frac{g^4}{2q^4} 4[2(p_1 p_2)(p_2 p_3) - (p_1 p_3)M^2]$$



$$p_1 = (E_1, 0, 0, E_1)$$

$$p_2 = (M, 0, 0, 0)$$

$$p_3 = (E_3, 0, E_3 \sin \theta, E_3 \cos \theta)$$

$$p_4 = (E_4, \vec{p}_4)$$

$$\overline{|M_{fi}|^2} = \frac{2g^4}{s^2} [2(E_1 M)(E_3 M) - (E_1 E_3 (1 - \cos \theta))M^2]$$

$$= \frac{2g^4}{s^2} [E_1 E_3 M^2 (1 + \cos \theta)]$$

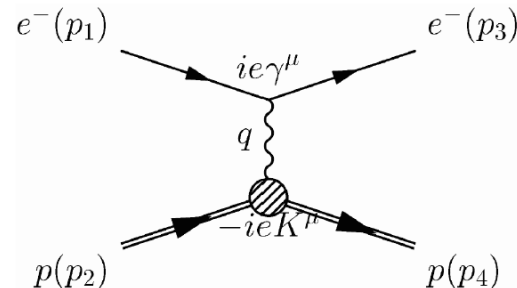
$$= \frac{g^4}{s^2} E_1 E_3 M^2 \cos^2 \frac{\theta}{2}$$

# Rosenbluth Formula 的推导

- 回顾假设质子是点状粒子：

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left( \cos^2 \theta/2 - \frac{q^2}{2M^2} \sin^2 \theta/2 \right)$$

- 现在需要考虑质子的结构，写出如图费曼图的矩阵元：



$$M_{fi} = -e^2 [\bar{u}(p_3 s_3) \gamma^\mu u(p_1 s_1)] \frac{1}{q^2 + i\epsilon} [\bar{u}(p_4 s_4) K_\mu u(p_2 s_2)]$$

- 只有原来的  $\gamma_\mu$  被替换为了  $K_\mu$ ，质子的结构肯定比  $\gamma_\mu$  复杂，需要试图用  $\gamma^\mu, p_2^\mu, p_4^\mu$  组合出  $K_\mu$ ：

$$K^\mu = \gamma^\mu \cdot A + (p_2^\mu + p_4^\mu) \cdot B + (p_2^\mu - p_4^\mu) \cdot C$$

- 考虑流守恒： $\delta^\mu J_\mu = \delta^\mu [\bar{u}(p_4 s_4) K_\mu u(p_2 s_2)] = 0$  可以得到  $C = 0$

- 回顾作业题： $\bar{u}(p') \gamma^\mu u(p) = \frac{1}{2m} \bar{u}(p') (p + p')^\mu u(p) + \frac{i}{2m} \bar{u}(p') \sigma^{\mu\nu} q_\nu u(p)$

可以将  $B$  项替换，最后得到  $K^\mu$  的形式：

$$K^\mu = \gamma^\mu F_1(q^2) + \frac{i\kappa}{2M} \sigma^{\mu\nu} q_\nu F_2(q^2)$$

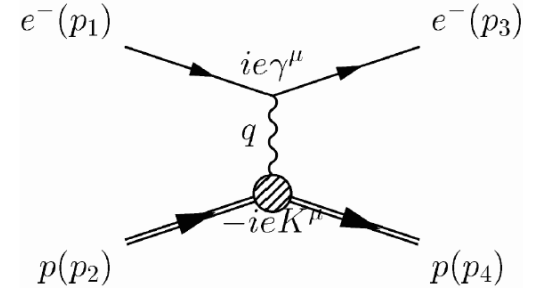
$$\sigma^{\mu\nu} = \frac{i}{2} [\gamma^\mu, \gamma^\nu]$$

# Rosenbluth Formula 的推导

$$K^\mu = \gamma^\mu F_1(q^2) + \frac{i\kappa}{2M} \sigma^{\mu\nu} q_\nu F_2(q^2)$$

- 其中  $F_1(q^2), F_2(q^2)$  为形状因子,  $\kappa$  为质子的反常磁矩:

$$\mu_p = (1 + \kappa) \frac{e}{2M}$$



- 现在可以计算  $e^-p \rightarrow e^-p$  的矩阵元:

$$\begin{aligned} W_{\mu\nu} &= \frac{1}{2} \sum_{S_2 S_4} [\bar{u}(p_4 s_4) K_\mu u(p_2 s_2)]^\dagger [\bar{u}(p_4 s_4) K_\nu u(p_2 s_2)] \\ &= \frac{1}{2} \text{Tr}[(\not{p}_2 + m) K_\mu (\not{p}_4 + m) K_\nu] \\ &= \frac{1}{2} \text{Tr}[\text{I} + \text{II} + \text{III} + \text{IV}] \end{aligned}$$

$$\begin{aligned} \text{tr}(\gamma^\kappa \gamma^\lambda \gamma^\mu \gamma^\nu \gamma^\rho \gamma^\sigma) &= g^{\kappa\lambda} \times \text{tr}(\gamma^\mu \gamma^\nu \gamma^\rho \gamma^\sigma) - g^{\kappa\mu} \times \text{tr}(\gamma^\lambda \gamma^\nu \gamma^\rho \gamma^\sigma) + g^{\kappa\nu} \times \text{tr}(\gamma^\lambda \gamma^\mu \gamma^\rho \gamma^\sigma) \\ &\quad - g^{\kappa\rho} \times \text{tr}(\gamma^\lambda \gamma^\mu \gamma^\nu \gamma^\sigma) + g^{\kappa\sigma} \times \text{tr}(\gamma^\lambda \gamma^\mu \gamma^\nu \gamma^\rho) \\ &= 4g^{\kappa\lambda} \times (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma} + g^{\mu\sigma} g^{\nu\rho}) \\ &\quad - 4g^{\kappa\mu} \times (g^{\lambda\nu} g^{\rho\sigma} - g^{\lambda\rho} g^{\nu\sigma} + g^{\lambda\sigma} g^{\nu\rho}) \\ &\quad + 4g^{\kappa\nu} \times (g^{\lambda\mu} g^{\rho\sigma} - g^{\lambda\rho} g^{\mu\sigma} + g^{\lambda\sigma} g^{\mu\rho}) \\ &\quad - 4g^{\kappa\rho} \times (g^{\lambda\mu} g^{\nu\sigma} - g^{\lambda\nu} g^{\mu\sigma} + g^{\lambda\sigma} g^{\mu\nu}) \\ &\quad + 4g^{\kappa\sigma} \times (g^{\lambda\mu} g^{\nu\rho} - g^{\lambda\nu} g^{\mu\rho} + g^{\lambda\rho} g^{\mu\nu}). \end{aligned}$$

$$\text{Tr}[\text{I}] = F_1^2(q^2) \text{Tr}[(\not{p}_2 + M) \gamma_\mu (\not{p}_4 + M) \gamma_\nu]$$

$$\text{Tr}[\text{II}] = \frac{i\kappa}{2M} F_2(q^2) \text{Tr}[(\not{p}_2 + M) \gamma_\mu (\not{p}_4 + M) \sigma_{\nu\sigma} q^\sigma]$$

$$\text{Tr}[\text{IV}] = \left( \frac{i\kappa}{2M} \right)^2 F_2^2(q^2) \text{Tr}[(\not{p}_2 + M) \sigma_{\mu a} q^a (\not{p}_4 + M) \sigma_{\nu b} q^b]$$

# Rosenbluth Formula 的推导

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^2 \theta/2} \frac{E_3}{E_1} \left[ \left( F_1^2 - \frac{\kappa^2 q^2}{4M^2} \right) \cos^2 \frac{\theta}{2} - \frac{q^2}{2M^2} (F_1 + \kappa F_2)^2 \sin^2 \frac{\theta}{2} \right]$$

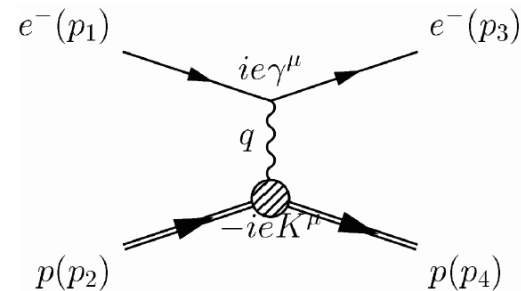
- 更普遍使用的是  $F_1(q^2), F_2(q^2)$  的线性组合:

$$G_E(q^2) \equiv F_1 + \frac{\kappa q^2}{4M^2} F_2$$

$$G_M(q^2) \equiv F_1 + \kappa F_2$$

- 由此得到熟悉的 **Rosenbluth Formula**

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$



其中洛伦兹不变量:

$$\tau = -\frac{q^2}{4M^2} > 0$$