

第13章 Green 函数理论

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13.1 常微分方程边值问题的Green函数

点源的响应: (1)非齐次方程的解; (2)微分方程化为积分方程。

□ Green函数的定义

考虑二阶常微分方程的边值问题

$$\begin{cases} L[u] \equiv -\frac{d}{dx} \left[p(x) \frac{du}{dx} \right] + q(x)u = f(x), & (a < x < b) \\ (\alpha_1 u - \beta_1 u')|_{x=a} = 0; & (\alpha_2 u + \beta_2 u')|_{x=b} = 0 \end{cases}$$

——必须写成S-L形式

利用 Dirac Delta 函数的抽样特性

$$f(x) = \int_a^b f(\xi) \delta(x - \xi) d\xi$$

如果求得下列问题的解

$$\begin{cases} L[G(x, \xi)] = \delta(x - \xi), & (a < x < b) \\ (\alpha_1 G - \beta_1 G')|_{x=a} = 0, & (\alpha_2 G + \beta_2 G')|_{x=b} = 0 \end{cases}$$

则利用叠加原理， $u(x)$ 可表示为

$$u(x) = \int_a^b G(x, \xi) f(\xi) d\xi$$

—— $G(x, \xi)$ 称为 L 的Green函数(注意与边界条件一起).

■构造法求 Green 函数：设 $u_1(x)$ 和 $u_2(x)$ 分别是齐次方程 $L[u]=0$ 的解，且分别满足边界条件

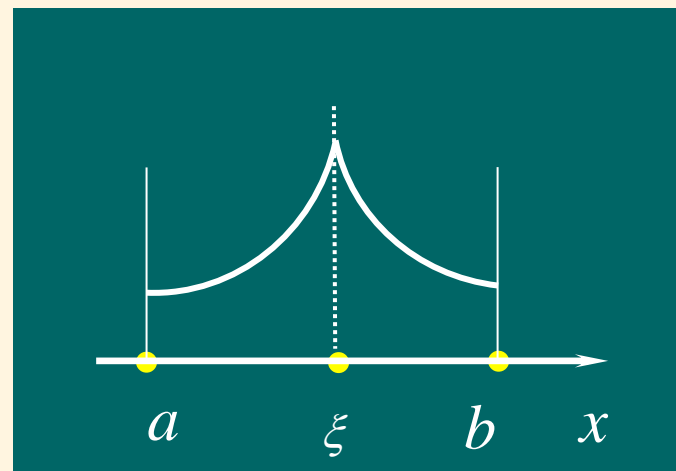
$$(\alpha_1 u_1 - \beta_1 u_1')|_{x=a} = 0, \quad (\alpha_2 u_2 + \beta_2 u_2')|_{x=b} = 0$$

取下列形式的 Green

$$G(x, \xi) = \begin{cases} C_1(\xi)u_1(x), & (a \leq x \leq \xi) \\ C_2(\xi)u_2(x), & (\xi \leq x \leq b) \end{cases}$$

显然，这样定义的 Green 函数除 $x=\xi$ 点外满足方程和边界条件。 $C_1(\xi)$ 和 $C_2(\xi)$ 由 $G(x, \xi)$ 的连续性和导数在 $x=\xi$ 的跃变决定

$$\begin{cases} G(x, \xi) |_{x=\xi+0} = G(x, \xi) |_{x=\xi-0} \\ \left. \frac{dG}{dx} \right|_{x=\xi+0} - \left. \frac{dG}{dx} \right|_{x=\xi-0} = -\frac{1}{p(\xi)} \end{cases}$$



①连续性：如果 G 在 $x=\xi$ 点不连续，一阶导数出现 δ 函数，二阶导数将出现 δ 函数的导数；

②一阶导数的跃变：对方程在区间 $[\xi-\varepsilon, \xi+\varepsilon]$ 积分

$$-\int_{\xi-\varepsilon}^{\xi+\varepsilon} \frac{d}{dx} \left[p(x) \frac{dG}{dx} \right] dx + \int_{\xi-\varepsilon}^{\xi+\varepsilon} q(x) G dx = 1$$

$$\int_{\xi-\varepsilon}^{\xi+\varepsilon} \delta(x - \xi) dx = 1$$

连续函数 $\Rightarrow \lim_{\varepsilon \rightarrow 0} \int_{\xi-\varepsilon}^{\xi+\varepsilon} q(x)G(x, \xi)dx = 0$

$$-\int_{\xi-\varepsilon}^{\xi+\varepsilon} \frac{d}{dx} \left[p(x) \frac{dG}{dx} \right] dx = -p(\xi) \left(\left. \frac{dG}{dx} \right|_{\xi+0} - \left. \frac{dG}{dx} \right|_{\xi-0} \right)$$

$$\left. \frac{dG}{dx} \right|_{x=\xi+0} - \left. \frac{dG}{dx} \right|_{x=\xi-0} = -\frac{1}{p(\xi)}$$

■ 系数方程

$$C_2(\xi)u_2(\xi) - C_1(\xi)u_1(\xi) = 0$$

$$C_2(\xi) \frac{du_2(\xi)}{d\xi} - C_1(\xi) \frac{du_1(\xi)}{d\xi} = -\frac{1}{p(\xi)}$$

$$C_1(\xi) = -\frac{u_2(\xi)}{p(\xi)W(u_1, u_2)}; \quad C_2(\xi) = -\frac{u_1(\xi)}{p(\xi)W(u_1, u_2)}$$



$$G(x, \xi) = \begin{cases} -\frac{u_2(\xi)u_1(x)}{p(\xi)W(u_1, u_2)}, & (a \leq x \leq \xi) \\ -\frac{u_1(\xi)u_2(x)}{p(\xi)W(u_1, u_2)}, & (\xi \leq x \leq b) \end{cases}$$

■ Wronski行列式

$$W(u_1, u_2) = u_1(\xi)u_2'(\xi) - u_1'(\xi)u_2(\xi)$$



$$-p(\xi)W(u_1, u_2) = \text{常数} C$$

$$G(x, \xi) = \frac{1}{C} \begin{cases} u_1(x)u_2(\xi), & (a \leq x \leq \xi) \\ u_2(x)u_1(\xi), & (\xi \leq x \leq b) \end{cases}$$

例1 求算子 $L=-d^2/dx^2$ 在第一类边界条件下的 Green 函数

$$\begin{cases} -\frac{d^2 G(x, \xi)}{dx^2} = \delta(x - \xi), & (0 < x < l) \\ G(x, \xi)|_{x=0} = 0; & G(x, \xi)|_{x=l} = 0 \end{cases}$$

解：先求齐次方程的解：显然可取

$$u_1(x) = x; \quad u_2(x) = (l - x)$$

因此, 所求Green 函数为 $(-p(\xi)W(u_1, u_2) = l)$

$$G(x, \xi) = \frac{1}{l} \begin{cases} x(l - \xi), & (0 \leq x \leq \xi) \\ \xi(l - x), & (\xi \leq x \leq l) \end{cases}$$

□ 边值问题

$$-\frac{d^2 u}{dx^2} = f(x); \quad u(0) = u(l) = 0$$



$$u(x) = \int_0^l f(\xi) G(x, \xi) d\xi$$

例2 求 $L = -d^2/dx^2 + \lambda$ 在第一类边界条件的Green 函数

$$\begin{cases} -\frac{d^2 G(x, \xi)}{dx^2} + \lambda G(x, \xi) = \delta(x - \xi), & (0 < x < l) \\ G(x, \xi)|_{x=0} = 0; & G(x, \xi)|_{x=l} = 0 \end{cases}$$

解：先求齐次方程的解

$$L(u) \equiv -\frac{d^2 u}{dx^2} + \lambda u = 0$$

①如果 $\lambda > 0$ ，显然可取

$$u_1(x) = \sinh(\sqrt{\lambda}x); \quad u_2(x) = \sinh[\sqrt{\lambda}(l-x)]$$



$$-p(\xi)W(u_1, u_2) = -\sqrt{\lambda} \sinh(\sqrt{\lambda}l)$$

因此所求的 Green 函数

$$G(x, \xi) = \begin{cases} \frac{\sinh(\sqrt{\lambda}x) \sinh[\sqrt{\lambda}(l-\xi)]}{\sqrt{\lambda} \sinh(\sqrt{\lambda}l)}, & (0 \leq x \leq \xi) \\ \frac{\sinh(\sqrt{\lambda}\xi) \sinh[\sqrt{\lambda}(l-x)]}{\sqrt{\lambda} \sinh(\sqrt{\lambda}l)}, & (\xi \leq x \leq l) \end{cases}$$

②如果 $\lambda < 0$ ，显然可取


$$u_1(x) = \sin(\sqrt{|\lambda|x}); \quad u_2(x) = \sin[\sqrt{|\lambda|}(l-x)]$$



$$-p(\xi)W(u_1, u_2) = \sqrt{|\lambda|} \sin(\sqrt{|\lambda|}l)$$

$$G(x, \xi) = \begin{cases} \frac{\sin(\sqrt{|\lambda|x}) \sin[\sqrt{|\lambda|}(l - \xi)]}{\sqrt{|\lambda|} \sin(\sqrt{|\lambda|}l)}, & (0 \leq x \leq \xi) \\ \frac{\sin(\sqrt{|\lambda|\xi}) \sin[\sqrt{|\lambda|}(l - x)]}{\sqrt{|\lambda|} \sin(\sqrt{|\lambda|}l)}, & (\xi \leq x \leq l) \end{cases}$$

■ 本征函数展开法求 Green 函数： 令

$$G(x, \xi) = \sum_{m=0}^{\infty} C_m \psi_m(x)$$


其中： $\psi_m(x)$ 是 Sturm-Liouville 方程的本征函数，
相应的本征值为 λ_m

$$\begin{cases} L(\psi_m) = \lambda_m \rho(x) \psi_m \\ \left(\alpha_1 y - \beta_1 \frac{dy}{dx} \right) \Big|_{x=a} = 0; \quad \left(\alpha_2 y + \beta_2 \frac{dy}{dx} \right) \Big|_{x=b} = 0 \end{cases}$$

代入 Green 函数的定义方程

$$L[G(x, \xi)] = \sum_{m=0}^{\infty} C_m L[\psi_m(x)] = \sum_{m=0}^{\infty} C_m \lambda_m \rho(x) \psi_m(x) = \delta(x - \xi)$$

两边乘 $[\psi_m(x)]^*$

$$\lambda_m C_m = \int_a^b \psi_m^*(x) \delta(x - \xi) dx = \psi_m^*(\xi)$$

首先假定零不是本征值： $\lambda_m \neq 0$ $C_m = \psi_m^*(\xi) / \lambda_m$

$$G(x, \xi) = \sum_{m=0}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi) \psi_m(x)$$

例3 求算子 $L=-d^2/dx^2$ 在第一类边界条件下的 Green 函数

$$-\frac{d^2\psi_m}{dx^2} = \lambda_m \psi_m; \psi_m|_{x=0} = 0, \psi_m|_{x=l} = 0$$



$$\psi_m(x) = \sqrt{\frac{2}{l}} \sin\left(\frac{m\pi x}{l}\right), \quad \lambda_m = \frac{m^2 \pi^2}{l^2}$$




$$G(x, \xi) = \sum_{m=1}^{\infty} \frac{4l}{m^2 \pi^2} \sin\left(\frac{m\pi x}{l}\right) \sin\left(\frac{m\pi \xi}{l}\right)$$

□ 广义 Green 函数

问题：当 L 存在零本征值($\lambda_0=0$)时

$$L[\psi_0(x)] = \lambda_0 \psi_0(x) = 0$$


$$G(x, \xi) = \frac{1}{\lambda_0} \psi_0^*(\xi) \psi_0(x) + \sum_{m=1}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi) \psi_m(x)$$

——Green函数发散！能否定义Green函数？

■ 唯一性和存在性问题

$$\begin{cases} L[u] \equiv -\frac{d}{dx} \left[p(x) \frac{du}{dx} \right] + q(x)u = f(x), & (a < x < b) \\ (\alpha_1 u - \beta_1 u')|_{x=a} = 0, & (\alpha_2 u + \beta_2 u')|_{x=b} = 0 \end{cases}$$

■唯一性：设 u 是边值问题的解，则 $u+A\psi_0(x)$ 也是解，不唯一；

■存在性：设 u 是边值问题的解，则

$$\int_a^b (uL\psi_0^* - \psi_0^*Lu)dx = \int_a^b \frac{d}{dx} \left[p \left(u \frac{d\psi_0^*}{dx} - \psi_0^* \frac{du}{dx} \right) \right] dx = 0$$



$$\int_a^b \psi_0^*(x) f(x) dx = 0$$

即：要求 $f(x)$ 与 $\psi_0(x)$ 正交——存在 u 的相容性条件，否则方程无解

■ 广义 Green 函数

$$\begin{cases} L[G(x, \xi)] = \delta(x - \xi), & (a < x < b) \\ \alpha_1 G - \beta_1 G' |_{x=a} = 0, & \alpha_2 G + \beta_2 G' |_{x=b} = 0 \end{cases}$$

■ 分析

——解不存在

$$G(x, \xi) = \sum_{m=0}^{\infty} C_m \psi_m(x); \quad \delta(x - \xi) = \sum_{m=0}^{\infty} \rho(x) \psi_m^*(\xi) \psi_m(x)$$



$$L \left[\sum_{m=0}^{\infty} C_m \psi_m(x) \right] = \sum_{m=0}^{\infty} \rho(x) \psi_m^*(\xi) \psi_m(x)$$



$$L[\psi_m(x)] = \lambda_m \rho(x) \psi_m(x)$$

$$C_0 \lambda_0 \rho(x) \psi_0(x) + \sum_{m=1}^{\infty} C_m \lambda_m \rho(x) \psi_m(x)$$

不等于0

等于0

$$= \rho(x) \psi_0^*(\xi) \psi_0(x) + \sum_{m=1}^{\infty} \rho(x) \psi_m^*(\xi) \psi_m(x)$$

——不可能成立

- ① 问题出在： δ 函数包含所有“基函数”上的“投影”，而左边经 L 作用后，不包含“基函数” $\psi_0(x)$ 上的投影！
- ② 解决方法非常简单：右边减去“基函数” $\psi_0(x)$ 上的投影即开。

■ 定义广义Green函数

$$\begin{cases} L[G(x, \xi)] = \delta(x - \xi) - \rho(x)\psi_0^*(\xi)\psi_0(x), & (a < x < b) \\ \alpha_1 G - \beta_1 G' \big|_{x=a} = 0; \quad \alpha_2 G + \beta_2 G' \big|_{x=b} = 0 \end{cases}$$

$$G(x, \xi) = \sum_{m=0}^{\infty} C_m \psi_m(x) \text{ —— 完备性要求}$$

$$\sum_{m=1}^{\infty} C_m \lambda_m \rho(x) \psi_m(x) = \sum_{m=1}^{\infty} \rho(x) \psi_m^*(\xi) \psi_m(x)$$

$$G(x, \xi) = C_0 \psi_0(x) + \sum_{m=1}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi) \psi_m(x)$$

考虑对称性后

量纲常数，最后不出现

$$G(x, \xi) = L\psi_0^*(\xi)\psi_0(x) + \sum_{m=1}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi)\psi_m(x)$$

■ 非齐次方程的边值问题

$$\begin{cases} L(u) \equiv -\frac{d}{dx}\left[p(x)\frac{du}{dx}\right] + q(x)u = f(x), & (a < x < b) \\ (\alpha_1 u - \beta_1 u')|_{x=a} = 0; & (\alpha_2 u + \beta_2 u')|_{x=b} = 0 \end{cases}$$



$$\int_a^b \left[u L(G^*) - G^* L(u) \right] dx = \int_a^b \frac{d}{dx} \left[p \left(u \frac{dG^*}{dx} - G^* \frac{du}{dx} \right) \right] dx = 0$$

$$\int_a^b \left\{ u(x) \left[\delta(x - \xi) - \psi_0(\xi) \psi_0^*(x) \right] - f(x) G^0(x, \xi) \right\} dx = 0$$



$$u(\xi) = \int_a^b f(x) G^0(x, \xi) dx + \psi_0(\xi) \int_a^b u(x) \psi_0^*(x) dx$$



$$u(x) = \int_a^b f(\xi) G^0(\xi, x) d\xi + \psi_0(x) \int_a^b u(\xi) \psi_0^*(\xi) d\xi$$



**Green函数
共轭对称性**



$$G^0(\xi, x) = G^0(x, \xi)$$



$$u(x) = \int_a^b f(\xi) G^0(x, \xi) d\xi + A \psi_0(x)$$

$$u(x) = A\psi_0(x) + \int_a^b f(\xi)G_0(x, \xi)d\xi$$



$$\int_a^b f(\xi)\psi_0^*(\xi)d\xi = 0; \quad G_0(x, \xi) = \sum_{m=1}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi)\psi_m(x)$$

□ Green 函数的对称性 直接从表达式

$$G(x, \xi) = \sum_{m=0}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi)\psi_m(x) \quad \Rightarrow \quad G^*(\xi, x) = G(x, \xi)$$

■ 一般性证明

$$u = G(x, \xi_1); \quad L(u) = \delta(x - \xi_1)$$

$$v = G(x, \xi_2); \quad L(v) = \delta(x - \xi_2)$$



$$\int_a^b \left[u^* L(v) - v L(u^*) \right] dx = G^*(\xi_2, \xi_1) - G(\xi_1, \xi_2)$$

左边直接计算

$$\begin{aligned}\int_a^b (u^* L v - v L u^*) dx &= \int_a^b \frac{d}{dx} \left[p \left(u^* \frac{dv}{dx} - v \frac{du^*}{dx} \right) \right] dx = \int_a^{\xi_1} + \int_{\xi_1}^{\xi_2} + \int_{\xi_2}^b \\ &= p(x) \left[G^*(x, \xi_1) \frac{dG(x, \xi_2)}{dx} - G(x, \xi_2) \frac{dG^*(x, \xi_2)}{dx} \right] \Bigg|_{x=a}^{x=b} = 0\end{aligned}$$



$$G^*(\xi_2, \xi_1) = G(\xi_1, \xi_2)$$

□相容性条件的意义

$$u(x) = \int_a^b G(x, \xi) f(\xi) d\xi; G(x, \xi) = \sum_{m=0}^{\infty} \frac{1}{\lambda_m} \psi_m^*(\xi) \psi_m(x)$$

$$u(x) = \frac{\int_a^b \psi_0^*(\xi) f(\xi) d\xi}{\lambda_0} \psi_0(x) + \sum_{m=1}^{\infty} \frac{1}{\lambda_m} \left[\int_a^b \psi_m^*(\xi) f(\xi) d\xi \right] \psi_m(x)$$

如果 $\lambda_0=0$ ，为了保证第一项有限

$$\frac{\int_a^b \psi_0^*(\xi) f(\xi) d\xi}{\lambda_0} = C \quad \Rightarrow \quad \int_a^b \psi_0^*(\xi) f(\xi) d\xi = 0$$

- ① 数学意义：源的分布 $f(x)$ 与零本征值“基函数”正交；
- ② 物理意义：在 $x=a,b$ 绝热条件下，体源的空间平均为零，即热源和热汇平均为零。

例1 求 $L=-d^2/dx^2$ 在第二类边界条件下的Green 函数

$$\begin{cases} -\frac{d^2 g}{dx^2} = \delta(x - \xi) - 1, & (0 < x < 1) \\ g'|_{x=0} = 0, & g'|_{x=1} = 0 \end{cases}$$

当 $x \neq \xi$ 时, 方程有解

$$g(x, \xi) = \begin{cases} A + Bx + \frac{1}{2}x^2, & (0 < x < \xi) \\ C + Dx + \frac{1}{2}x^2, & (\xi < x < 1) \end{cases}$$

(1) 由边界条件: $B=0, D=-1$

(2) 由连续性条件: $g(x, \xi)|_{x=\xi-0} = g(x, \xi)|_{x=\xi+0}$

得到 $A = C - \xi$

$$g(x, \xi) = \begin{cases} C - \xi + \frac{1}{2}x^2, & (0 < x < \xi) \\ C - x + \frac{1}{2}x^2, & (\xi < x < 1) \end{cases}$$

注意：上式自动满足在 $x=\xi$ 的跃变条件。

(3)对称性条件： $C = \xi^2 / 2$

$$g(x, \xi) = \begin{cases} -\xi + \frac{1}{2}(x^2 + \xi^2), & (0 < x < \xi) \\ -x + \frac{1}{2}(\xi^2 + x^2), & (\xi < x < 1) \end{cases}$$

□ 本征函数展开法

$$\begin{cases} -\frac{d^2\psi_m(x)}{dx^2} = \lambda_m\psi_m(x) \\ \psi'_m(x)|_{x=0} = 0, \quad \psi'_m(x)|_{x=1} = 0 \end{cases}$$



$$\psi_0(x) = 1; \lambda_0 = 0$$

$$\psi_m(x) = \sqrt{2} \cos(m\pi x); \lambda_m = (m\pi)^2, \quad (m = 1, 2, \dots)$$



$$g(x, \xi) = 1 + \frac{2}{\pi^2} \sum_{m=1}^{\infty} \frac{1}{m^2} \cos(m\pi x) \cos(m\pi \xi)$$

□ 非齐次边界问题

$$\begin{cases} L(u) \equiv -\frac{d}{dx}\left[p(x)\frac{du}{dx}\right] + q(x)u = f(x), & (a < x < b) \\ (\alpha_1 u - \beta_1 u')|_{x=a} = g_1; & (\alpha_2 u + \beta_2 u')|_{x=b} = g_2 \end{cases}$$



$$\begin{cases} L[G(x, \xi)] = \delta(x - \xi), & (a < x < b) \\ (\alpha_1 G - \beta_1 G')|_{x=a} = 0, & (\alpha_2 G + \beta_2 G')|_{x=b} = 0 \end{cases}$$

如何用Green函数表示 u ?

$$u(x) = \int_a^b G(x, \xi) f(\xi) d\xi$$

不满足边界条件

$$\int_a^b [u^* \mathbf{L}(v) - v \mathbf{L}(u^*)] dx = \int_a^b \frac{d}{dx} \left[p \left(u^* \frac{dv}{dx} - v \frac{du^*}{dx} \right) \right] dx$$



$$v(x) = G(x, \xi)$$

$$\int_a^b [u^* \delta(x, \xi) - G(x, \xi) f^*] dx = p \left(u^* \frac{dG}{dx} - G \frac{du^*}{dx} \right) \Big|_a^b$$



$$u^*(\xi) = \int_a^b f^*(x) G(x, \xi) dx + p(b) \left(u^* \frac{dG}{dx} - G \frac{du^*}{dx} \right) \Big|_{x=b}$$

$$- p(a) \left(u^* \frac{dG}{dx} - G \frac{du^*}{dx} \right) \Big|_{x=a}$$

□ 边界处理

实系数

$$(\alpha_1 G - \beta_1 G')|_{x=a} = 0, \quad (\alpha_2 G + \beta_2 G')|_{x=b} = 0$$

$$(\alpha_1 u - \beta_1 u')|_{x=a} = g_1, \quad (\alpha_2 u + \beta_2 u')|_{x=b} = g_2$$

$$(\alpha_1 G - \beta_1 G')|_{x=a} = 0, \quad (\alpha_2 G + \beta_2 G')|_{x=b} = 0$$

$$(\alpha_1 u^* - \beta_1 u'^*)|_{x=a} = g_1^*, \quad (\alpha_2 u^* + \beta_2 u'^*)|_{x=b} = g_2^*$$

$$(u^* G' - G u'^*)|_{x=a} = \frac{g_1^*}{\alpha_1} G'(a, \xi)$$

$$(u^* G' - G u'^*)|_{x=b} = \frac{g_2^*}{\alpha_2} G'(b, \xi)$$

$$(G' u^* - G u'^*)|_{x=a} = \frac{G g_1^*}{\beta_1}$$

$$(G' u^* - G u'^*)|_{x=b} = -\frac{G g_1^*}{\beta_2}$$

$$u^*(\xi) = \int_a^b f^*(x)G(x, \xi)dx + \frac{p(b)}{\alpha_2} g_1^* \frac{dG(x, \xi)}{dx} \Big|_{x=b} - \frac{p(a)}{\alpha_1} g_1^* \frac{dG(x, \xi)}{dx} \Big|_{x=a}$$



$$u(x) = \int_a^b f(\xi)G^*(\xi, x)d\xi + \frac{p(b)}{\alpha_2} g_2 \frac{dG^*(\xi, x)}{d\xi} \Big|_{\xi=b} - \frac{p(a)}{\alpha_1} g_1 \frac{dG^*(\xi, x)}{d\xi} \Big|_{\xi=a}$$

$$G^*(\xi, x) = G(x, \xi)$$



$$u(x) = \int_a^b f(\xi) G(x, \xi) d\xi + g_2 \frac{p(b)}{\alpha_2} \left. \frac{dG(x, \xi)}{d\xi} \right|_{\xi=b} - g_1 \frac{p(a)}{\alpha_1} \left. \frac{dG(x, \xi)}{d\xi} \right|_{\xi=a}$$

——如果 α_1 或者 α_2 为零，解如何变化——不能同时为零，否则必须引进广义Green函数，解如何变化？

□ 问题

$$\begin{cases} L(u) \equiv a(x) \frac{d^2 u}{dx^2} + b(x) \frac{du}{dx} + c(x)u = f(x), & (a < x < b) \\ (\alpha_1 u - \beta_1 u')|_{x=a} = g_1; & (\alpha_2 u + \beta_2 u')|_{x=b} = g_2 \end{cases}$$

如何定义Green函数？——共轭算子？ Hermite对称算子——非Hermite对称算子

$$\int_a^b \left[v^* L(u) - u L^+(v^*) \right] dx = 0$$

由共轭算子定义Green函数。对常微分方程，一般首先转化场S-L类型(不改变边界条件的形式)，但对偏微分方程，则必须引进共轭算子。

13.2 高维边值问题的Green函数

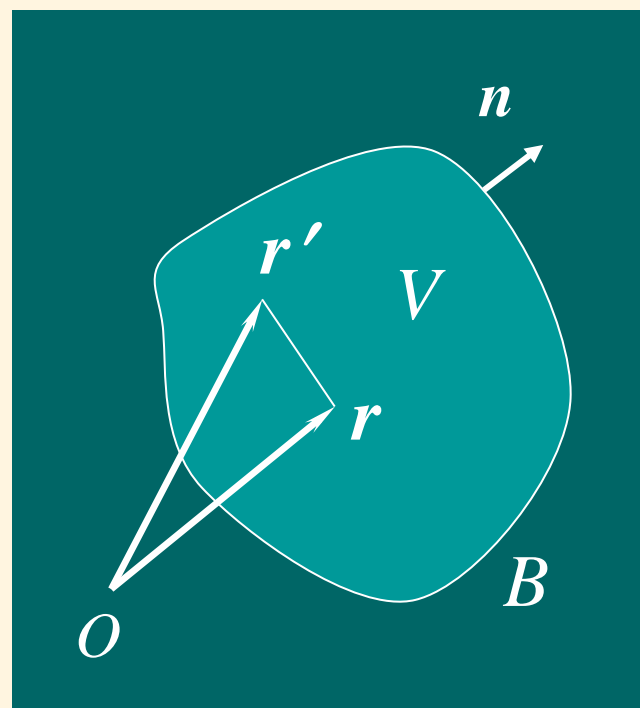
■Poisson方程

考虑非齐次边值问题

$$\begin{cases} -\nabla^2 u = f, & (\mathbf{r} \in V) \\ \left(\alpha u + \beta \frac{\partial u}{\partial n} \right) \Big|_{\mathbf{r} \in B} = b(\mathbf{r}), & (\mathbf{r} \in B) \end{cases}$$

定义 Green 函数

$$\begin{cases} -\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}'), & (\mathbf{r}, \mathbf{r}') \in V \\ \left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_{\mathbf{r} \in B} = 0, & (\mathbf{r}' \in G + B) \end{cases}$$



利用 Green 公式

$$\int_V (u^* \nabla^2 v - v \nabla^2 u^*) d\tau = \iint_B \left(u^* \frac{\partial v}{\partial n} - v \frac{\partial u^*}{\partial n} \right) dS$$

取 $v = G(\mathbf{r}, \mathbf{r}')$



$$\int_V [-u^* \delta(\mathbf{r}, \mathbf{r}') + f^* G] d\tau = \iint_B \left(u^* \frac{\partial G}{\partial n} - G \frac{\partial u^*}{\partial n} \right) dS$$



$$u^*(\mathbf{r}') = \int_V f^*(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') d\tau - \iint_B \left(u^* \frac{\partial G}{\partial n} - G \frac{\partial u^*}{\partial n} \right) dS$$

(1) 第一类边界条件：在边界上

$$u(\mathbf{r})|_{\mathbf{r} \in B} = b(\mathbf{r}), \quad G(\mathbf{r}, \mathbf{r}')|_{\mathbf{r} \in B} = 0$$



$$u^*(\mathbf{r}') = \int_V f^*(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') d\tau - \iint_B b^*(\mathbf{r}) \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} dS$$

(2) 第二类边界条件：在边界上

$$\left. \frac{\partial u}{\partial n} \right|_{\mathbf{r} \in B} = b(\mathbf{r}), \quad \left. \frac{\partial G}{\partial n} \right|_{\mathbf{r} \in B} = 0$$



$$u^*(\mathbf{r}') = \int_V f^*(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') d\tau + \iint_B G(\mathbf{r}, \mathbf{r}') b^*(\mathbf{r}) dS$$

(3)第三类边界条件：在边界上

$$\left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_{r \in B} = 0; \left(\alpha u^* + \beta \frac{\partial u^*}{\partial n} \right) \Big|_{r \in B} = b^*(r)$$



$$\left(u^* \frac{\partial G}{\partial n} - G \frac{\partial u^*}{\partial n} \right) \Big|_{r \in B_1} = \frac{b^*(r)}{\alpha} \frac{\partial G}{\partial n}$$

$$\left(u^* \frac{\partial G}{\partial n} - G \frac{\partial u^*}{\partial n} \right) \Big|_{r \in B_2} = -\frac{b^*(r)}{\beta} G$$

——注意： $\alpha(r)$ 和 $\beta(r)$ 都是 r 的函数. 设在部分边界 B_1 上 $\beta(r)=0$ ；在部分边界 B_2 上 $\alpha(r)=0$ ，且 $B=B_1+B_2$

$$u^*(\mathbf{r}') = \int_V f^*(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') d\tau + \iint_{B_2} \frac{b^*(\mathbf{r})}{\beta(\mathbf{r})} G(\mathbf{r}, \mathbf{r}') dS \\ - \iint_{B_1} \frac{b^*(\mathbf{r})}{\alpha(\mathbf{r})} \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} dS$$

——第一、二类边界条件都可以由上式表示。

操作：①交换变量 $\mathbf{r}' \leftrightarrow \mathbf{r}$ ；②二边求复共轭

$$u(\mathbf{r}) = \int_V f(\mathbf{r}') G^*(\mathbf{r}', \mathbf{r}) d\tau' + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G^*(\mathbf{r}', \mathbf{r}) dS' \\ - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G^*(\mathbf{r}', \mathbf{r})}{\partial n'} dS'$$

□ 存在问题

$$\begin{cases} -\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}'), & (\mathbf{r}, \mathbf{r}') \in G \\ \left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \bigg|_{\mathbf{r} \in B} = 0 \end{cases} \quad \Rightarrow \quad G(\mathbf{r}, \mathbf{r}')$$

—— \mathbf{r}' 是常量，作为解函数的变量不适合。

□ Green函数的共轭对称性: $G^*(\mathbf{r}', \mathbf{r}) = G(\mathbf{r}, \mathbf{r}')$

$$u(\mathbf{r}) = \int_V f(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') d\tau' + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G(\mathbf{r}, \mathbf{r}') dS'$$

$$- \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n'} dS' \quad \text{——结果与第10章一致}$$

□Green 函数的对称性质

$$G^*(\mathbf{r}, \mathbf{r}') = G(\mathbf{r}', \mathbf{r})$$

证明：利用Green 公式

$$\int_V (u \nabla^2 v - v \nabla^2 u) d\tau = \iint_B \left(u \frac{\partial v}{\partial n} - v \frac{\partial u}{\partial n} \right) dS$$


$$u = G(\mathbf{r}, \mathbf{r}'), \quad v = G^*(\mathbf{r}, \mathbf{r}'')$$

$$-\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}'); \quad \left[\alpha G(\mathbf{r}, \mathbf{r}') + \beta \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} \right] \Big|_B = 0$$

$$-\nabla^2 G^*(\mathbf{r}, \mathbf{r}'') = \delta(\mathbf{r}, \mathbf{r}''); \quad \left[\alpha G^*(\mathbf{r}, \mathbf{r}'') + \beta \frac{\partial G^*(\mathbf{r}, \mathbf{r}'')}{\partial n} \right] \Big|_B = 0$$

Green 公式左边

$$\int_V (u \nabla^2 v - v \nabla^2 u) d\tau = -G(\mathbf{r}'', \mathbf{r}') + G^*(\mathbf{r}', \mathbf{r}'')$$

Green 公式右边

$$\left[\alpha G(\mathbf{r}, \mathbf{r}') + \beta \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} \right] \Big|_B = 0; \quad \left[\alpha G^*(\mathbf{r}, \mathbf{r}'') + \beta \frac{\partial G^*(\mathbf{r}, \mathbf{r}'')}{\partial n} \right] \Big|_B = 0$$



$$\left[G(\mathbf{r}, \mathbf{r}') \frac{\partial G^*(\mathbf{r}, \mathbf{r}'')}{\partial n} - \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} G^*(\mathbf{r}, \mathbf{r}'') \right] \Big|_{\mathbf{r} \in B} = 0$$

$$\iint_B \left(u \frac{\partial v}{\partial n} - v \frac{\partial u}{\partial n} \right) dS = 0 \quad \Rightarrow \quad G(\mathbf{r}'', \mathbf{r}') = G^*(\mathbf{r}', \mathbf{r}'')$$

□ 广义Green 函数

与一维情况类似，对第二类边界条件，齐次方程存在非零解，或者存在零本征值，必须定义广义Green函数

$$\begin{cases} -\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}') - \psi_0(\mathbf{r}')\psi_0(\mathbf{r}), & (\mathbf{r}, \mathbf{r}') \in G \\ \frac{\partial G}{\partial n} \Big|_{\mathbf{r} \in B} = 0 \end{cases}$$

$\psi_0(\mathbf{r}) = 1/\sqrt{V}$

其中 V 是区域的体积。由Green 公式

$$u(\mathbf{r}) = \int_V \left[\frac{u(\mathbf{r}')}{V} + G(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') \right] d\tau' + \iint_B G(\mathbf{r}, \mathbf{r}') b(\mathbf{r}') dS'$$

第一个积分为常数，故积分解

$$u(\mathbf{r}) = \frac{A}{V} + \int_V G(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') d\tau' + \iint_B G(\mathbf{r}, \mathbf{r}') b(\mathbf{r}') dS'$$

■ 相容条件 由Green公式

$$\int_V (u \nabla^2 v - v \nabla^2 u) d\tau = \iint_B \left(u \frac{\partial v}{\partial n} - v \frac{\partial u}{\partial n} \right) dS$$

令 $v = \psi_0(\mathbf{r}) = 1 / \sqrt{V}$ ，则有相容条件

这里提出一个小思考：从三维情况入手好像理解更容易

$$\int_V f(\mathbf{r}) d\tau = \iint_B b(\mathbf{r}) dS$$

对齐次边界： $b=0$

$$\int_V f(\mathbf{r}) d\tau = 0$$

物理上，要求热源分布：源和汇抵消，这样才能在边界绝热的情况下，温度稳定地分布。

□Helmholtz方程的Green函数

$$\begin{cases} (-\nabla^2 + \lambda)u(\mathbf{r}) = f(\mathbf{r}), & \mathbf{r} \in G \\ \left(\alpha u + \beta \frac{\partial u}{\partial n} \right) \Big|_B = b(\mathbf{r}), & \mathbf{r} \in B \end{cases}$$

定义 Green 函数

$$\begin{cases} (-\nabla^2 + \lambda)G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}') \\ \left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_{\mathbf{r} \in B} = 0 \end{cases}$$

满足齐次
边界条件

可以证明积分解仍然成立

$$u(\mathbf{r}) = \int_V f(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') d\tau' + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G(\mathbf{r}, \mathbf{r}') dS' \\ - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n'} dS'$$

——注意：尽管积分的形式一样，但是Green函数完全不同

■ 正交展开法求Green函数

设Laplace算子的本征函数集完备正交且归一

$$\begin{cases} -\nabla^2 \psi_m = \lambda_m \psi_m \\ \left(\alpha \psi_m + \beta \frac{\partial \psi_m}{\partial n} \right) \Big|_B = 0 \end{cases}$$

——注意：本征函数与Green函数一样满足齐次边界条件



$$G(\mathbf{r}, \mathbf{r}') = \sum_{m=1}^{\infty} C_m \psi_m(\mathbf{r}) \quad \Rightarrow \quad (-\nabla^2 + \lambda) G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}')$$

$$\sum_{m=1}^{\infty} C_m (\lambda_m + \lambda) \psi_m(\mathbf{r}) = \delta(\mathbf{r}, \mathbf{r}')$$

$$C_m (\lambda_m + \lambda) = \int_G \delta(\mathbf{r}, \mathbf{r}') \psi_m^*(\mathbf{r}) d\tau = \psi_m^*(\mathbf{r}')$$

① $\lambda_m + \lambda \neq 0$

$$C_m = \frac{\psi_m^*(\mathbf{r}')}{\lambda_m + \lambda} \quad \Rightarrow \quad G(\mathbf{r}, \mathbf{r}') = \sum_{m=1}^{\infty} \frac{\psi_m^*(\mathbf{r}') \psi_m(\mathbf{r})}{\lambda_m + \lambda}$$

②第 M 个本征值刚好等于 $-\lambda$: $\lambda_M + \lambda = 0$

$$C_m = \frac{\psi_m^*(\mathbf{r}')}{\lambda_m + \lambda}, \quad (m \neq M); C_M = C_M$$



系数 C_M 为任意常数, 但考虑到 Green 函数的对称性质, 取

$$G(\mathbf{r}, \mathbf{r}') = A\psi_M(\mathbf{r})\psi_M^*(\mathbf{r}') + \sum_{m \neq M}^{\infty} \frac{\psi_m(\mathbf{r})\psi_m^*(\mathbf{r}')}{\lambda_m + \lambda}$$

A 为量纲常数。

■ 定义广义 Green 函数

$$\left\{ \begin{aligned} (-\nabla^2 + \lambda) G(r, r') &= \delta(r, r') - \psi_M(r) \psi_M^*(r') \\ \left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_B &= 0 \end{aligned} \right.$$



$$G(r, r') = A \psi_M(r) \psi_M^*(r') + \sum_{m \neq M}^{\infty} \frac{\psi_m(r) \psi_m^*(r')}{\lambda_m + \lambda}$$

■ 非齐次问题的积分解

$$\left\{ \begin{aligned} (-\nabla^2 + \lambda) u(r) &= f(r), \quad r \in G \\ \left(\alpha u + \beta \frac{\partial u}{\partial n} \right) \Big|_B &= b(r), \quad r \in B \end{aligned} \right.$$

$$\int_V (u^* \nabla^2 v - v \nabla^2 u^*) d\tau = \iint_B \left(u^* \frac{\partial v}{\partial n} - v \frac{\partial u^*}{\partial n} \right) dS$$

取 $v = G(\mathbf{r}, \mathbf{r}')$

$$\int_V \left[u^* \nabla^2 G(\mathbf{r}, \mathbf{r}') - G(\mathbf{r}, \mathbf{r}') \nabla^2 u^* \right] d\tau$$

$$= \iint_B \left[u^* \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n} - G(\mathbf{r}, \mathbf{r}') \frac{\partial u^*}{\partial n} \right] dS$$



$$\begin{aligned} u(\mathbf{r}) = & \int_V G(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') d\tau' + \left[\int_V u(\mathbf{r}') \psi_M^*(\mathbf{r}') d\tau' \right] \cdot \psi_M(\mathbf{r}) \\ & + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G(\mathbf{r}, \mathbf{r}') dS' - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G(\mathbf{r}, \mathbf{r}')}{\partial n'} dS' \end{aligned}$$

$$\cancel{G}(\mathbf{r}, \mathbf{r}') = A\psi_M^*(\mathbf{r}')\psi_M(\mathbf{r}) + G_M(\mathbf{r}, \mathbf{r}')$$

$$G_M(\mathbf{r}, \mathbf{r}') \equiv \sum_{m \neq M}^{\infty} \frac{\psi_m(\mathbf{r})\psi_m^*(\mathbf{r}')}{\lambda_m + \lambda}$$



$$\begin{aligned} u(\mathbf{r}) = & \left[\int_V \psi_M^*(\mathbf{r}') f(\mathbf{r}') d\tau' + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} \psi_M^*(\mathbf{r}') dS' \right] \psi_M(\mathbf{r}) \\ & - \left[\iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial \psi_M^*(\mathbf{r}')}{\partial n'} dS' \right] \psi_M(\mathbf{r}) + C\psi_M(\mathbf{r}) \\ & + \int_V G_M(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') d\tau' \\ & + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G_M(\mathbf{r}, \mathbf{r}') dS' - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G_M(\mathbf{r}, \mathbf{r}')}{\partial n'} dS' \end{aligned}$$

■ 相容条件

$$\int_V (u \nabla^2 v - v \nabla^2 u) d\tau = \iint_B \left(u \frac{\partial v}{\partial n} - v \frac{\partial u}{\partial n} \right) dS \Leftarrow v = \psi_M(\mathbf{r})$$



$$\begin{aligned} \int_V \psi_M(\mathbf{r}) f(\mathbf{r}) d\tau + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} \psi_M(\mathbf{r}') dS' \\ - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial \psi_M(\mathbf{r}')}{\partial n'} dS' = 0 \end{aligned}$$



$$\begin{aligned} u(\mathbf{r}) = C \psi_M(\mathbf{r}) + \int_V G_M(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') d\tau' \\ + \iint_{B_2} \frac{b(\mathbf{r}')}{\beta(\mathbf{r}')} G_M(\mathbf{r}, \mathbf{r}') dS' - \iint_{B_1} \frac{b(\mathbf{r}')}{\alpha(\mathbf{r}')} \frac{\partial G_M(\mathbf{r}, \mathbf{r}')}{\partial n'} dS' \end{aligned}$$

注意：

- ① 在实际物理问题中，相当于共振激发，当激发频率与系统的某一个本征频率相等时，该模式激发无限大，但由于衰减的存在，物理上总是有限大小；
- ② 在计算过程中，往往取近似计算方法，如波动中 $\lambda = -k^2$ (k 为波数)，在波数中引进衰减因子(虚部，表示波的吸收)

$$G(\mathbf{r}, \mathbf{r}') = \lim_{\varepsilon \rightarrow 0} \sum_{m=1}^{\infty} \frac{\psi_m^*(\mathbf{r}') \psi_m(\mathbf{r})}{\lambda_m - (k + i\varepsilon)^2}$$

——从复变函数的角度，相当于把实轴上的奇点平移到上、下半平面(后面讨论)。

例1 二维 Helmholtz方程的Green 函数

$$\begin{cases} -(\nabla^2 + k^2)G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}') \\ G|_{\rho=0} < \infty, \quad G|_{\rho=a} = 0 \end{cases}$$

□ 本征函数展开法

$$\begin{cases} -\nabla^2 \psi_m = \lambda_m \psi_m \\ \psi_m|_{\rho=0} < \infty, \quad \psi_m|_{\rho=a} = 0 \end{cases}$$



$$\{\psi_{mn}, \lambda_{mn}\} = \left\{ \frac{\sqrt{2}}{a J'_m(\mu_{mn} a)} J_m\left(\mu_{mn} \frac{\rho}{a}\right) e^{im\varphi}, \left(\frac{\mu_{mn}}{a}\right)^2 \right\}$$

其中 μ_{mn} 为 $J_m(x) = 0$ 的第 n 个正根。

Green 函数为

$$G(\mathbf{r}, \mathbf{r}') = \sum_{n=1}^{\infty} \sum_{m=-\infty}^{\infty} \frac{2}{(\mu_{mn}/a)^2 - k^2} \times \frac{J_m(\mu_{mn}\rho'/a)J_m(\mu_{mn}\rho/a)}{a^2 [J'_m(\mu_{mn})]^2} e^{im(\varphi-\varphi')}$$

如果 $k=\mu_{MN}/a$, 广义Green函数为

$$G(\mathbf{r}, \mathbf{r}') = \frac{2J_M(\mu_{MN}\rho'/a)J_M(\mu_{MN}\rho/a)}{a^2 [J'_M(\mu_{MN})]^2} e^{iM(\varphi-\varphi')} + \sum_{n \neq N}^{\infty} \sum_{m \neq M}^{\infty} \frac{2e^{im(\varphi-\varphi')}}{(\mu_{mn}/a)^2 - k^2} \frac{J_m(\mu_{mn}\rho'/a)J_m(\mu_{mn}\rho/a)}{a^2 [J'_m(\mu_{mn})]^2}$$

□ 构造方法

$$G(\mathbf{r}, \mathbf{r}') = \sum_{m=-\infty}^{\infty} g_m(\rho) e^{im(\varphi-\varphi')}$$



$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial G}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 G}{\partial \varphi^2} + k^2 G = - \frac{\delta(\rho, \rho') \delta(\varphi, \varphi')}{\rho}$$



$$\sum_{m=-\infty}^{\infty} e^{im(\varphi-\varphi')} \left\{ \frac{1}{\rho} \frac{d}{d\rho} \left[\rho \frac{dg_m(\rho)}{d\rho} \right] + \left(k^2 - \frac{m^2}{\rho^2} \right) g_m(\rho) \right\} \\ = -\delta(\rho, \rho') \delta(\varphi, \varphi') / \rho$$



$$\frac{1}{\rho} \frac{d}{d\rho} \left[\rho \frac{dg_m(\rho)}{d\rho} \right] + \left(k^2 - \frac{m^2}{\rho^2} \right) g_m(\rho) = - \frac{\delta(\rho, \rho')}{2\pi\rho}$$

■ 齐次方程的通解

$$g_m(\rho) = A_m J_m(k\rho) + B_m N_m(k\rho)$$

■ 构造非齐次方程的解

$$g_m(\rho) = \begin{cases} A_m J_m(k\rho) + B_m N_m(k\rho), & (\rho < \rho' < a) \\ C_m J_m(k\rho) + D_m N_m(k\rho), & (\rho' < \rho < a) \end{cases}$$



$$g_m(\rho)|_{\rho=0} < \infty, \quad g_m(\rho)|_{\rho=a} = 0$$

$$g_m(\rho)|_{\rho=\rho'-0} = g_m(\rho)|_{\rho=\rho'+0}$$

$$\left. \frac{dg_m(\rho)}{d\rho} \right|_{\rho=\rho'+0} - \left. \frac{dg_m(\rho)}{d\rho} \right|_{\rho=\rho'-0} = -\frac{1}{2\pi\rho'}$$

利用关系 $k\rho'[N_m(k\rho')J'_m(k\rho') - J_m(k\rho')N'_m(k\rho')] = -1$

$$g_m(\rho) = \begin{cases} \frac{1}{2\pi} \frac{\Im(k\rho')}{J_m(ka)} J_m(k\rho), & (\rho < \rho' < a) \\ \frac{1}{2\pi} \frac{J_m(k\rho')}{J_m(ka)} \Im(k\rho), & (\rho' < \rho < a) \end{cases}$$

$$\Im(k\rho) \equiv [N_m(ka)J_m(k\rho) - J_m(ka)N_m(k\rho)]$$



$$G(\mathbf{r}, \mathbf{r}') = \sum_{m=-\infty}^{\infty} g_m(\rho) e^{im(\varphi - \varphi')}$$

注意：当波数 k 满足 $J_m(ka)=0$ 时，发生共振。本征函数展开法能够给出较为明显的结果。

13.3 无限空间的Green函数, 基本解

□ 无界空间的 Green 函数：称为方程的基本解

对有限空间，一般令

$$G = g + G_1; \quad L[g] = \delta(\mathbf{r}, \mathbf{r}') \Rightarrow L[G_1] = 0$$

其中： g 为方程的基本解，含有奇点，但是不满足边界条件； G_1 在区域内正则，无奇点，使 G 满足边界条件

$$\left(\alpha G_1 + \beta \frac{\partial G_1}{\partial n} \right) \Big|_B = - \left(\alpha g + \beta \frac{\partial g}{\partial n} \right) \Big|_B$$

g 容易求得，而 G_1 一般用级数法求得，因不包含奇点，级数有比较好的收敛性质

□ 三维Laplace 算子的基本解

$$-\nabla^2 g = \delta(\mathbf{r}, \mathbf{r}')$$

首先求无限空间的本征函数

$$-\nabla^2 \psi_k(\mathbf{r}) = k^2 \psi_k(\mathbf{r})$$

本征值构成连续谱 k^2 相应的本征函数为

$$\psi_k(\mathbf{r}) = \frac{1}{(2\pi)^{3/2}} e^{ik \cdot \mathbf{r}}$$

故 g 为(连续谱, 求和变化成积分)

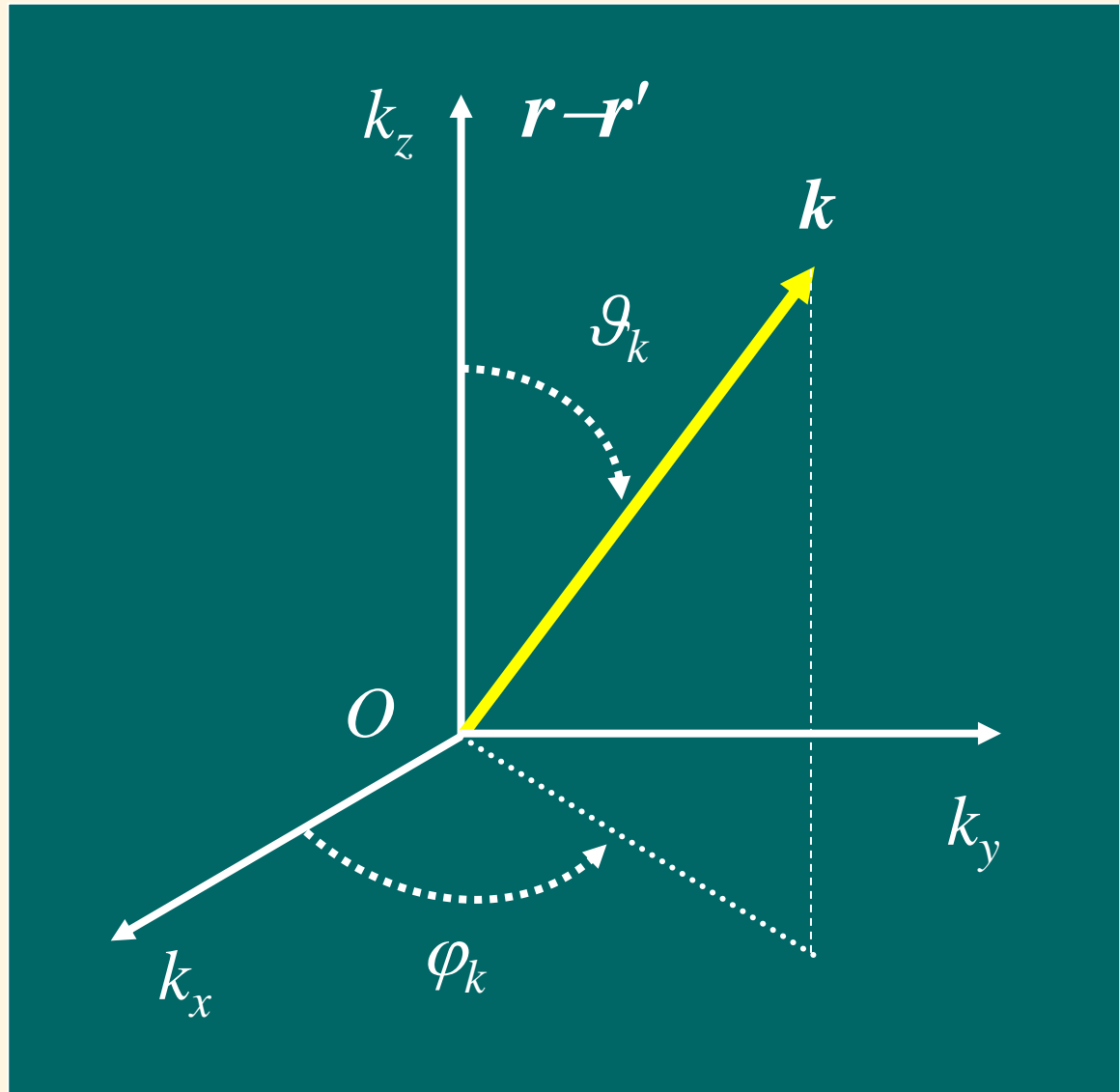
$$\begin{aligned} g(\mathbf{r}, \mathbf{r}') &= \frac{1}{(2\pi)^3} \sum_k \frac{e^{ik \cdot (\mathbf{r} - \mathbf{r}')}}{k^2} \\ &= \frac{1}{(2\pi)^3} \int_0^\pi \int_0^\infty \frac{e^{ik|\mathbf{r} - \mathbf{r}'| \cos \vartheta_k}}{k^2} k^2 2\pi \sin \vartheta_k d\vartheta_k dk \\ &= \frac{1}{2\pi^2} \frac{1}{|\mathbf{r} - \mathbf{r}'|} \int_0^\infty \frac{\sin k|\mathbf{r} - \mathbf{r}'|}{k} dk = \frac{1}{4\pi} \frac{1}{|\mathbf{r} - \mathbf{r}'|} \end{aligned}$$

三维 k 空间积分


$$\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}') = k |\mathbf{r} - \mathbf{r}'| \cos \vartheta_k$$

即Laplace算子的基本解为

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} \frac{1}{|\mathbf{r} - \mathbf{r}'|}$$



□Helmholtz 算子的基本解



$$-(\nabla^2 + \lambda)g = \delta(\mathbf{r}, \mathbf{r}')$$

用 Fourier 积分法求之： 令

$$g(\mathbf{r}, \mathbf{r}') = \int g(\mathbf{k}, \mathbf{r}') e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k}$$

代入方程

$$\int (k^2 - \lambda) g(\mathbf{k}, \mathbf{r}') e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k} = \delta(\mathbf{r}, \mathbf{r}')$$


$$g(\mathbf{k}, \mathbf{r}') = \frac{1}{(2\pi)^3 (k^2 - \lambda)} \int \delta(\mathbf{r}, \mathbf{r}') e^{-i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{r} = \frac{e^{-i\mathbf{k} \cdot \mathbf{r}'}}{(2\pi)^3 (k^2 - \lambda)}$$


$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{(2\pi)^3} \int \frac{e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')}}{k^2 - \lambda} d^3\mathbf{k}$$

① $\lambda=0$, Laplace算子情况, 已讨论;

② $\lambda < 0$, 令 $\lambda = -\kappa^2$

$$\begin{aligned} g(\mathbf{r}, \mathbf{r}') &= \frac{1}{(2\pi)^3} \int \frac{e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')}}{k^2 - \lambda} d^3k \\ &= \frac{1}{(2\pi)^3} \int_0^\pi \int_0^{2\pi} \int_0^\infty \frac{\exp(i k |\mathbf{r} - \mathbf{r}'| \cos \vartheta_k)}{k^2 + \kappa^2} k^2 \sin \vartheta_k dk d\vartheta_k d\varphi_k \\ &= -\frac{1}{(2\pi)^2} \int_0^\infty k^2 \left[\int_0^\pi \frac{\exp(i k |\mathbf{r} - \mathbf{r}'| \cos \vartheta_k)}{k^2 + \kappa^2} d \cos \vartheta_k \right] dk \\ &= \frac{1}{(2\pi)^2 i |\mathbf{r} - \mathbf{r}'|} \int_0^\infty k \left[\frac{\exp(i k |\mathbf{r} - \mathbf{r}'|) - \exp(-i k |\mathbf{r} - \mathbf{r}'|)}{k^2 + \kappa^2} \right] dk \\ &= \frac{1}{(2\pi)^2 2i |\mathbf{r} - \mathbf{r}'|} \int_{-\infty}^\infty k \left[\frac{\exp(i k |\mathbf{r} - \mathbf{r}'|) - \exp(-i k |\mathbf{r} - \mathbf{r}'|)}{k^2 + \kappa^2} \right] dk \end{aligned}$$

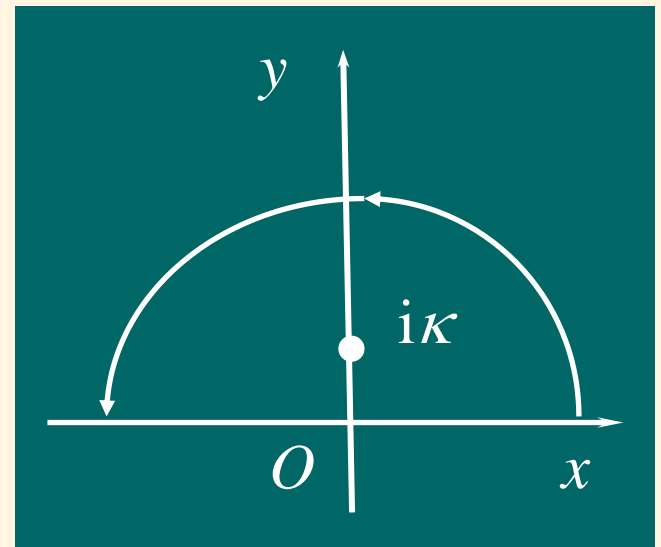
$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{(2\pi)^2 2i |\mathbf{r} - \mathbf{r}'|} (I_+ - I_-)$$

$$I_{\pm} \equiv \int_{-\infty}^{\infty} \frac{k \exp(\pm i k |\mathbf{r} - \mathbf{r}'|)}{k^2 + \kappa^2} dk$$

奇点 $k = \pm i\kappa$ 在虚轴上: ①对积分 I_+ 作上半平面围道, 仅包含 $k = +i\kappa$, 因此

$$\begin{aligned} I_+ &= 2\pi i \text{Res} \left\{ \frac{e^{ik|\mathbf{r}-\mathbf{r}'|} k}{(k^2 + \kappa^2)}, i\kappa \right\} \\ &= \pi i e^{-\kappa|\mathbf{r}-\mathbf{r}'|} \end{aligned}$$

②对积分 I_- 作下半平面围道, 仅包含 $k = -i\kappa$, 因此



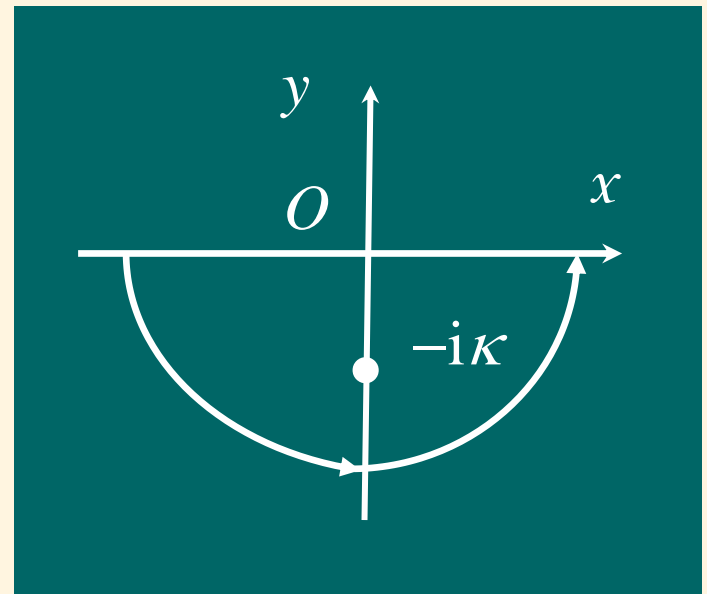
$$I_- = -2\pi i \text{Res} \left\{ \frac{e^{-ik|r-r'|} k}{(k^2 + \kappa^2)}, -i\kappa \right\} = -\pi i e^{-\kappa|r-r'|}$$

——下半平面围道积分反向，故加负号

因此，Green 函数为

$$\begin{aligned} g(\mathbf{r}, \mathbf{r}') &= \frac{(I_+ - I_-)}{(2\pi)^2 2i |\mathbf{r} - \mathbf{r}'|} \\ &= \frac{1}{4\pi |\mathbf{r} - \mathbf{r}'|} e^{-\kappa|\mathbf{r} - \mathbf{r}'|} \end{aligned}$$

——该 Green 函数描述 **短程相互作用势**，在量子力学的散射理论中有重要应用



③ $\lambda > 0$, 令 $\lambda = q^2$

角度部分积分后

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{2(2\pi)^2 \mathbf{i} |\mathbf{r} - \mathbf{r}'|} (I_+ - I_-)$$

$$I_{\pm} \equiv \int_{-\infty}^{\infty} \frac{k \exp(\pm \mathbf{i} k |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2} dk$$

奇点 $k_{1,2} = \pm q$ 在实轴上。为了避免奇点在实轴上，我们引进小的虚部：

$$I_{\pm} = \lim_{\varepsilon \rightarrow 0} \int_{-\infty}^{\infty} \frac{k \exp(\pm \mathbf{i} k |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q + \mathbf{i} \varepsilon)^2} dk$$

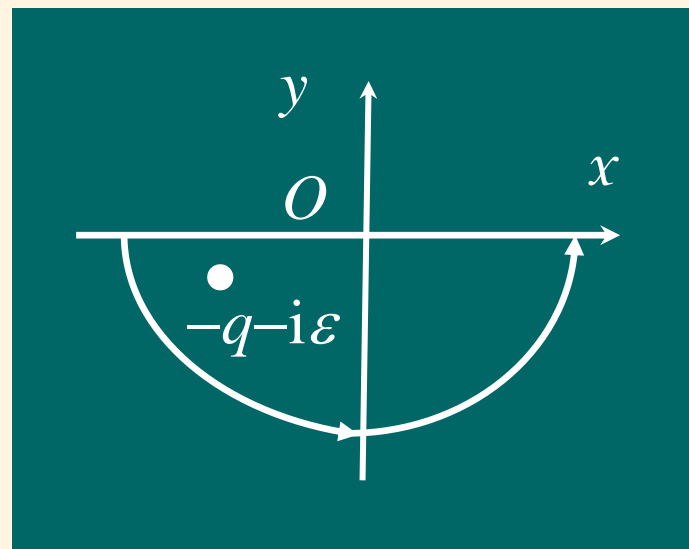
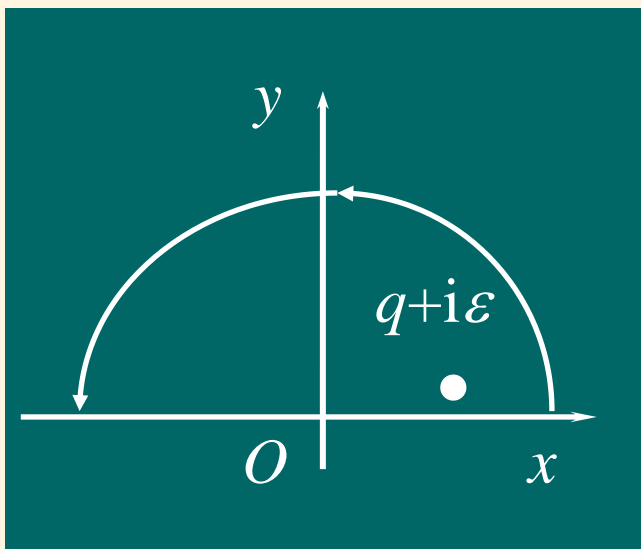
于是，二个奇点分别为 $k_1 = q + \mathbf{i} \varepsilon$ 和 $k_2 = -q - \mathbf{i} \varepsilon$

对积分 I_+ , 取上半平面的围道, 积分为

$$I_+ = 2\pi i \lim_{\varepsilon \rightarrow 0} \text{Res} \left[\frac{k \exp(+ik |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q + i\varepsilon)^2}, q + i\varepsilon \right] = \pi i \exp(iq |\mathbf{r} - \mathbf{r}'|)$$

对积分 I_- , 取下半平面的围道, 积分为

$$I_- = -2\pi i \lim_{\varepsilon \rightarrow 0} \text{Res} \left[\frac{k \exp(-ik |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q + i\varepsilon)^2}, -(q + i\varepsilon) \right] = -\pi i \exp(iq |\mathbf{r} - \mathbf{r}'|)$$



于是，Green函数为

$$g^+(\mathbf{r}, \mathbf{r}') \equiv \frac{(I_+ - I_-)}{2(2\pi)^2 \mathbf{i} |\mathbf{r} - \mathbf{r}'|} = \frac{\exp(\mathbf{i}q |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|}$$

□ 也可以这样引进小虚部

$$I_{\pm} = \lim_{\varepsilon \rightarrow 0} \int_{-\infty}^{\infty} \frac{k \exp(\pm \mathbf{i}k |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q - \mathbf{i}\varepsilon)^2} dk$$

于是，二个奇点分别为 $k_1 = q - \mathbf{i}\varepsilon$ 和 $k_2 = -q + \mathbf{i}\varepsilon$

对积分 I_+ , 取上半平面的围道, 积分为

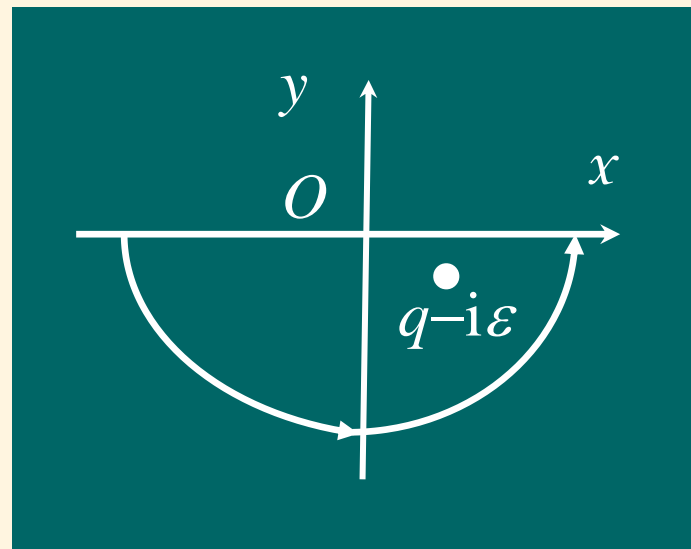
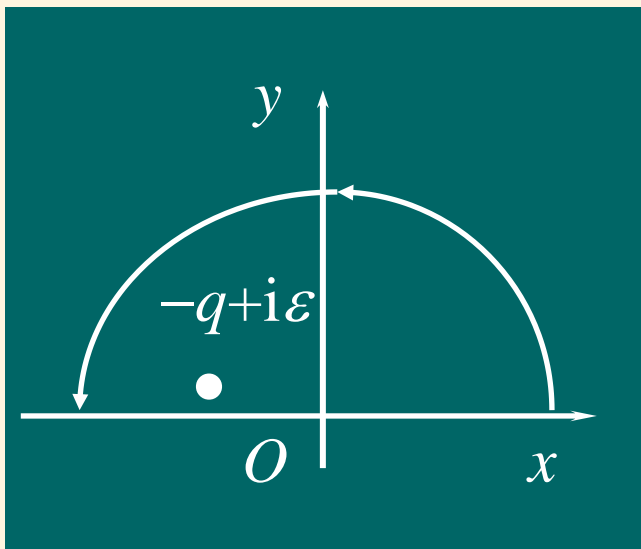
$$I_+ = 2\pi \mathbf{i} \lim_{\varepsilon \rightarrow 0} \text{Res} \left[\frac{k \exp(\mathbf{i}k |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q - \mathbf{i}\varepsilon)^2}, -q + \mathbf{i}\varepsilon \right] = \pi \mathbf{i} \exp(-\mathbf{i}q |\mathbf{r} - \mathbf{r}'|)$$

对积分 I_- , 取下半平面的围道, 积分为

$$I_- = -2\pi i \lim_{\varepsilon \rightarrow 0} \text{Res} \left[\frac{k \exp(-ik |\mathbf{r} - \mathbf{r}'|)}{k^2 - (q - i\varepsilon)^2}, (q - i\varepsilon) \right] = -\pi i \exp(-iq |\mathbf{r} - \mathbf{r}'|)$$

于是, Green函数为

$$g^-(\mathbf{r}, \mathbf{r}') \equiv \frac{(I_+ - I_-)}{2(2\pi)^2 i |\mathbf{r} - \mathbf{r}'|} = \frac{\exp(-iq |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|}$$



$$g^+(\mathbf{r}, \mathbf{r}') = \frac{\exp(+iq |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|}$$

——辐射Green函数，波由 \mathbf{r}' 点发出，以球面波的形式向外传播

$$g^-(\mathbf{r}, \mathbf{r}') = \frac{\exp(-iq |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|}$$

——接收Green函数，波由无限远处发出，向 \mathbf{r}' 点以球面波的形式汇聚

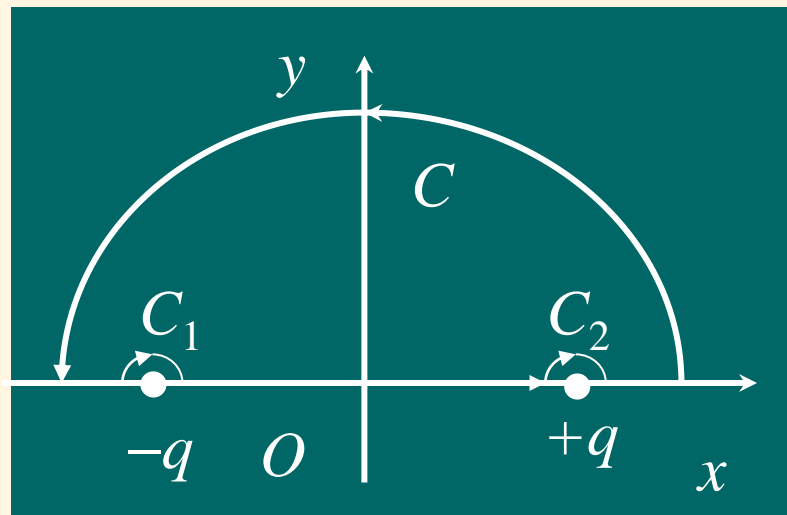


出现“ $+q$ ”和“ $-q$ ”是因为 Helmholtz 方程中 q 以 q^2 出现。

□ 如果不引进小虚部会怎么样?

$$I_{\pm} \equiv \int_{-\infty}^{\infty} \frac{k \exp(\pm i k |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2} dk$$

二个奇点 $k_{1,2} = \pm q$ 在实轴上。对积分 I_+ , 取上半平面的围道, 积分为



$$\begin{aligned} I_+ &= \pi i \text{Res} \left[\frac{k \exp(i k |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2}, q \right] + \pi i \text{Res} \left[\frac{k \exp(i k |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2}, -q \right] \\ &= \frac{\pi i}{2} [\exp(i q |\mathbf{r} - \mathbf{r}'|) + \exp(-i q |\mathbf{r} - \mathbf{r}'|)] \end{aligned}$$

对积分 I_- , 取~~上半平面~~的围道, 积分为

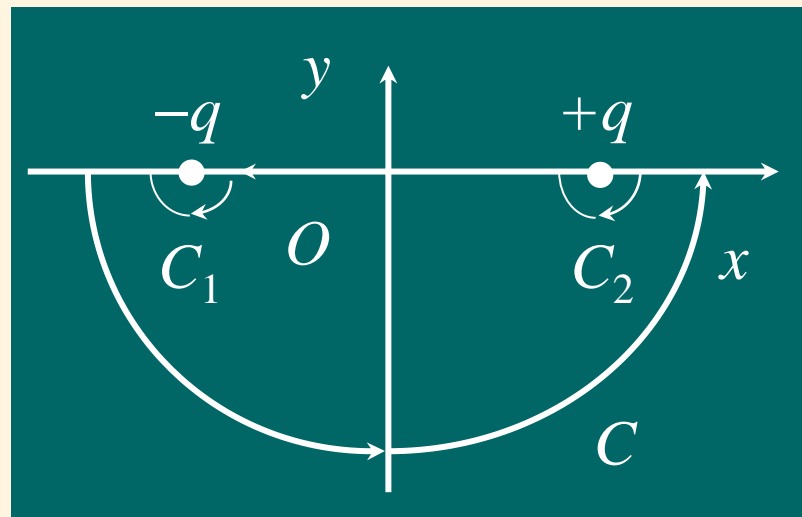
$$I_- = -\pi i \text{Res} \left[\frac{k \exp(ik |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2}, q \right] - \pi i \text{Res} \left[\frac{k \exp(ik |\mathbf{r} - \mathbf{r}'|)}{k^2 - q^2}, -q \right]$$

$$= -\frac{\pi i}{2} [\exp(iq |\mathbf{r} - \mathbf{r}'|) + \exp(-iq |\mathbf{r} - \mathbf{r}'|)]$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{e^{iq|\mathbf{r}-\mathbf{r}'|} + e^{-iq|\mathbf{r}-\mathbf{r}'|}}{8\pi |\mathbf{r} - \mathbf{r}'|}$$

$$= \frac{1}{2} [g^+(\mathbf{r}, \mathbf{r}') + g^-(\mathbf{r}, \mathbf{r}')]$$



——从数学的角度, 满足Green函数方程, 但不满足Sommerfeld辐射条件.

□ 一维Helmholtz方程

$$-\left(\frac{d^2}{dx^2} + q^2\right)g(x, x') = \delta(x, x')$$

$$g(x, x') = \int_{-\infty}^{\infty} g(k) e^{ikx} dk$$

$$\int_{-\infty}^{\infty} g(k) (-k^2 + q^2) e^{ikx} dk = -\delta(x, x')$$

$$g(k) = \frac{e^{-ikx'}}{2\pi(k^2 - q^2)}; g(x, x') = \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{e^{ik(x-x')}}{k^2 - q^2} dk$$

——奇点在实轴上：仿三维情况讨论

■ 首先考虑 $q^2 < 0$: $q = i\sigma$

(1) $x > x'$ (上半平面围道)

$$g(x, x') = \frac{1}{2\pi} 2\pi i \text{Res} \left[\frac{e^{ik(x-x')}}{k^2 + \sigma^2}, i\sigma \right] = \frac{1}{2\sigma} e^{-\sigma(x-x')}$$

(2) $x' > x$ (下半平面围道)

$$g(x, x') = -\frac{1}{2\pi} 2\pi i \text{Res} \left[\frac{e^{ik(x-x')}}{k^2 + \sigma^2}, -i\sigma \right] = \frac{1}{2\sigma} e^{-\sigma(x'-x)}$$



$$g(x, x') = \frac{1}{2\sigma} e^{-\sigma|x-x'|}$$

■ 其次考虑 $q^2 > 0$ 的情况

(1) $q \Rightarrow q + i\varepsilon; x > x'$ (上半平面围道)

$$\begin{aligned} g(x, x') &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{e^{ik(x-x')}}{k^2 - (q + i\varepsilon)^2} dk \\ &= \frac{1}{2\pi} 2i\pi \text{Res} \left[\frac{e^{ik(x-x')}}{k^2 - (q + i\varepsilon)^2}, q + i\varepsilon \right] = i \frac{e^{iq(x-x')}}{2q} \end{aligned}$$

(2) $q \Rightarrow q + i\varepsilon; x' > x$ (下半平面围道)

$$\begin{aligned} g(x, x') &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{e^{-ik(x'-x)}}{k^2 - (q + i\varepsilon)^2} dk \\ &= -\frac{1}{2\pi} 2i\pi \text{Res} \left[\frac{e^{-ik(x'-x)}}{k^2 - (q + i\varepsilon)^2}, -q - i\varepsilon \right] = i \frac{e^{iq(x'-x)}}{2q} \end{aligned}$$

所以，辐射Green函数为

$$g^+(x, x') = i \frac{e^{iq|x-x'|}}{2q}$$

(3) $q \Rightarrow q - i\varepsilon; x > x'$ (上半平面围道)

$$\begin{aligned} g(x, x') &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{e^{ik(x-x')}}{k^2 - (q - i\varepsilon)^2} dk \\ &= \frac{1}{2\pi} 2i\pi \text{Res} \left[\frac{e^{ik(x-x')}}{k^2 - (q - i\varepsilon)^2}, -q + i\varepsilon \right] = -i \frac{e^{-iq(x-x')}}{2q} \end{aligned}$$

(4) $q \Rightarrow q - i\varepsilon; x' > x$ (下半平面围道)

$$\begin{aligned} g(x, x') &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{e^{-ik(x'-x)}}{k^2 - (q - i\varepsilon)^2} dk \\ &= -\frac{1}{2\pi} 2i\pi \text{Res} \left[\frac{e^{-ik(x'-x)}}{k^2 - (q - i\varepsilon)^2}, q - i\varepsilon \right] = -i \frac{e^{-iq(x'-x)}}{2q} \end{aligned}$$

所以，接收Green函数为

$$g^-(x, x') = -i \frac{e^{-iq|x-x'|}}{2q}$$

□ 二维Helmholtz方程

$$-\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + q^2\right)g(x, y; x', y') = \delta(x, x')\delta(y, y')$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{(2\pi)^2} \int \frac{e^{ik \cdot (\mathbf{r} - \mathbf{r}')}}{k^2 - q^2} d^2k$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{(2\pi)^2} \int_0^\infty \frac{k}{k^2 - q^2} \left[\int_0^{2\pi} e^{ik|\mathbf{r} - \mathbf{r}'| \cos \varphi_k} d\varphi_k \right] dk$$

①辐射Green函数

$$J_0(k|\mathbf{r}-\mathbf{r}'|) = \frac{1}{2\pi} \int_0^{2\pi} e^{ik|\mathbf{r}-\mathbf{r}'|\cos\varphi} d\varphi$$

$$2J_0(x) = H_0^{(1)}(x) - H_0^{(1)}(xe^{i\pi})$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} \int_{-\infty}^{\infty} \frac{k}{k^2 - q^2} H_0^{(1)}(k|\mathbf{r}-\mathbf{r}'|) dk$$



$$H_0^{(1)}(x) \approx \frac{2i}{\pi} \ln \frac{x}{2}, (x \rightarrow 0)$$

$$H_0^{(1)}(x) \approx \sqrt{\frac{2}{\pi x}} \exp\left[i\left(x - \frac{\pi}{4}\right)\right], (x \rightarrow \infty)$$

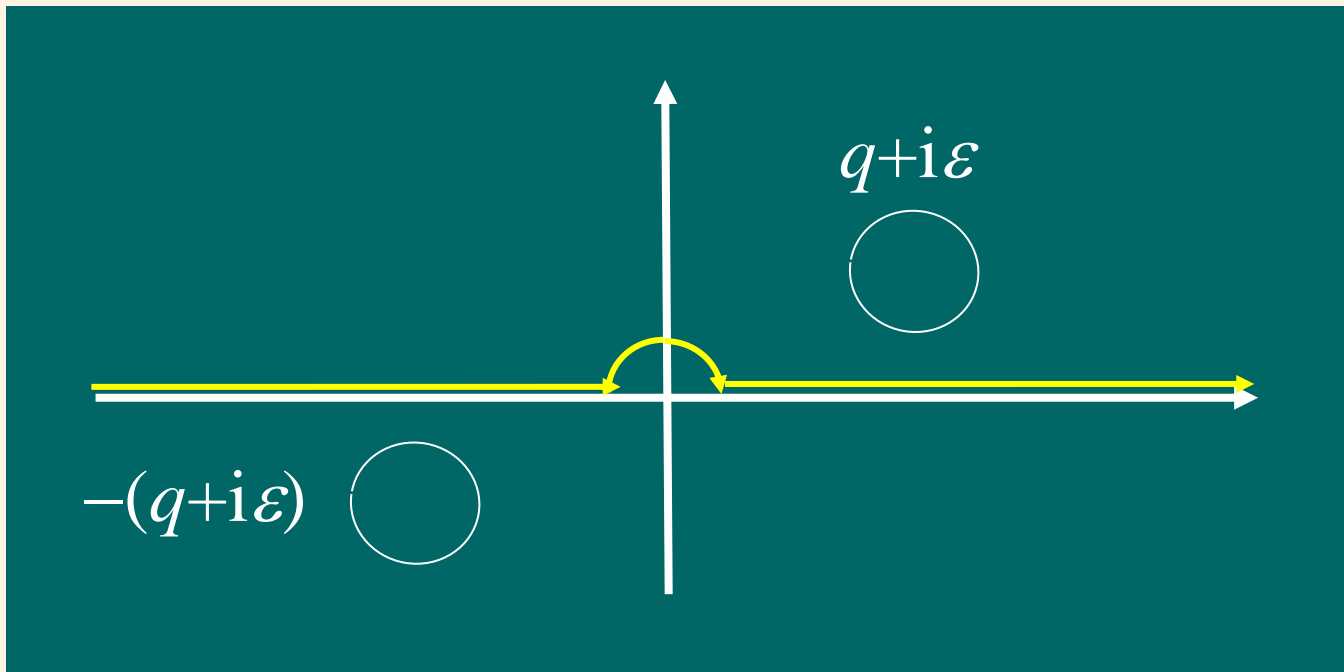
分析： $k=0$, 支点； $k_{1,2}=\pm q$, 实轴上的一阶极点

引进小的虚部: $k_{1,2}=\pm(q+i\varepsilon)$, 积分围道取上半平面, 用半径为 δ 的小半圆包围原点积分

■ 支点贡献: 在小圆上

支点贡献为零

$$kdk \sim \delta^2 \ln \frac{\delta}{2} d\varphi_\delta \rightarrow 0, (\delta \rightarrow 0)$$



■ 极点贡献

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} 2\pi i \text{Res} \left[\frac{k}{k^2 - q^2} H_0^{(1)}(k |\mathbf{r} - \mathbf{r}'|), q + i\varepsilon \right]$$

$$= \frac{i}{4} H_0^{(1)}(q |\mathbf{r} - \mathbf{r}'|)$$



$$g^+(\mathbf{r}, \mathbf{r}') = \frac{i}{4} H_0^{(1)}(q |\mathbf{r} - \mathbf{r}'|)$$

②接收Green函数

$$2J_0(x) = H_0^{(2)}(xe^{i\pi}) - H_0^{(2)}(x)$$

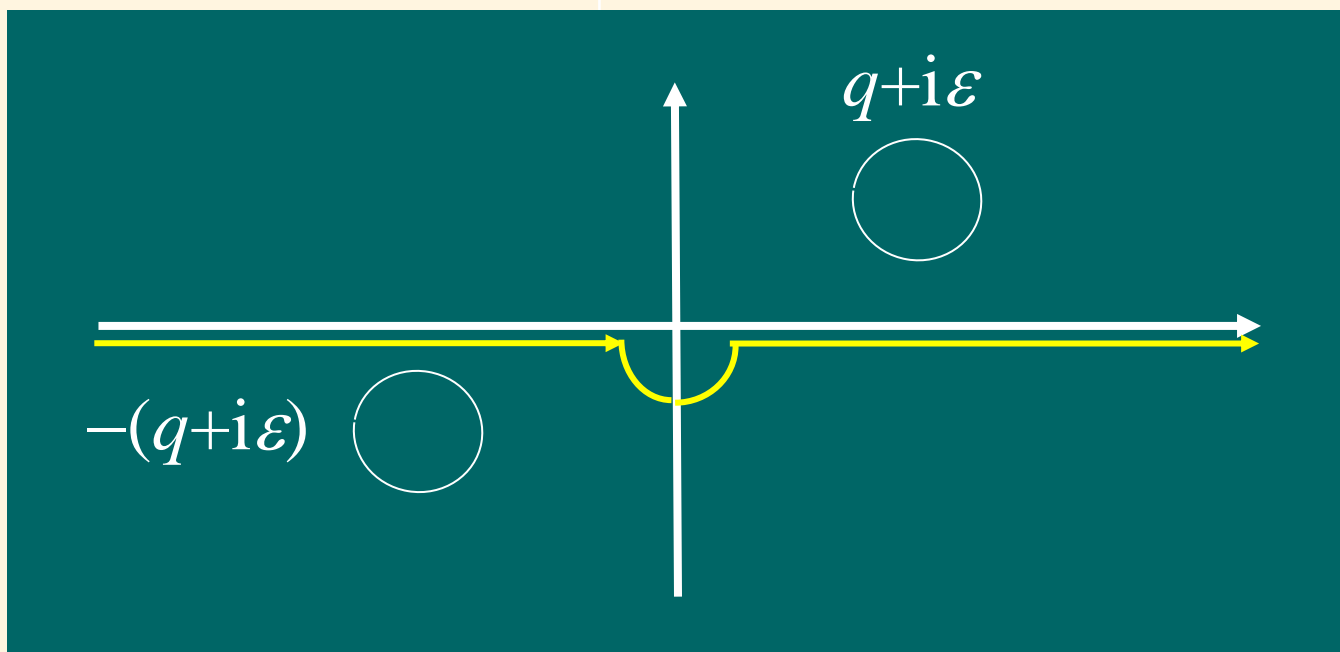


$$g^-(\mathbf{r}, \mathbf{r}') = -\frac{1}{4\pi} \int_{-\infty}^{\infty} \frac{k}{k^2 - q^2} H_0^{(2)}(k |\mathbf{r} - \mathbf{r}'|) dk$$

$$H_0^{(2)}(x) \approx \sqrt{\frac{2}{\pi x}} \exp \left[-i \left(x - \frac{\pi}{4} \right) \right], (x \rightarrow \infty)$$

根据以上展开，积分围道取下半平面

$$g^-(\mathbf{r}, \mathbf{r}') = \frac{i}{4} H_0^{(2)}(q |\mathbf{r} - \mathbf{r}'|)$$



③如果 $q^2 < 0$: $q = i\sigma$

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} \int_{-\infty}^{\infty} \frac{k}{k^2 + \sigma^2} H_0^{(1)}(k |\mathbf{r} - \mathbf{r}'|) dk$$

$k_{1,2} = \pm i\sigma$, 二个一阶极点位于虚轴上的, 取上半平面围道

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} 2\pi i \text{Res} \left[\frac{k}{k^2 + \sigma^2} H_0^{(1)}(k |\mathbf{r} - \mathbf{r}'|), i\sigma \right]$$

$$= \frac{i}{4} H_0^{(1)}(i\sigma |\mathbf{r} - \mathbf{r}'|) = \frac{1}{2\pi} K_0(\sigma |\mathbf{r} - \mathbf{r}'|)$$



$$K_0(x) = \frac{i\pi}{2} H_0^{(1)}(ix)$$

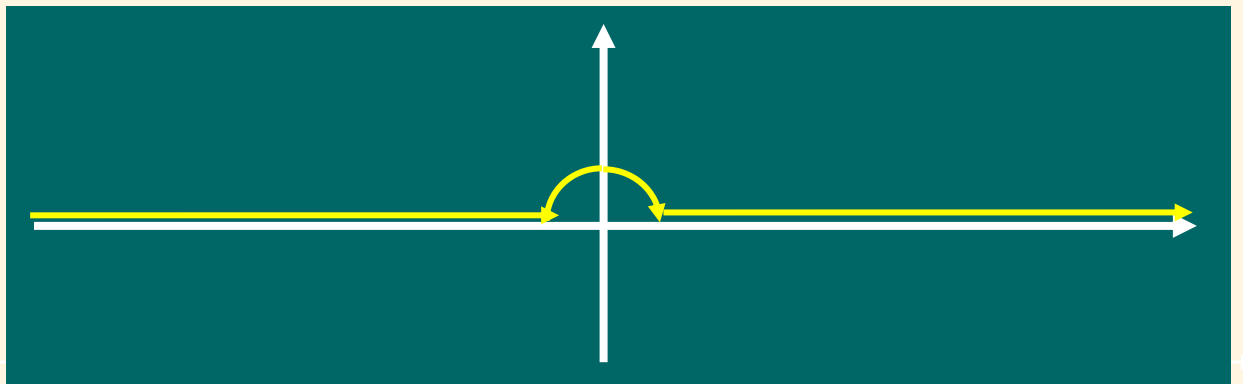
■二维Laplace方程的基本解

$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{(2\pi)^2} \int \frac{e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')}}{k^2} d^2\mathbf{k}$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} \int_{-\infty}^{\infty} \frac{1}{k} H_0^{(1)}(k |\mathbf{r} - \mathbf{r}'|) dk$$

积分围道取上半平面, 用半径为 ε 的小半圆包围原点



$$g(\mathbf{r}, \mathbf{r}') = -\frac{1}{4\pi} \int_{\varepsilon} \frac{1}{\varepsilon e^{i\phi}} H_0^{(1)}(\varepsilon e^{i\phi} |\mathbf{r} - \mathbf{r}'|) i \varepsilon e^{i\phi} d\phi$$

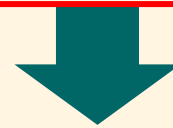
$$= -\frac{i}{4\pi} \int_{\pi}^0 H_0^{(1)}(\varepsilon e^{i\phi} |\mathbf{r} - \mathbf{r}'|) d\phi \Leftarrow H_0^{(1)}(x) \approx i \frac{2}{\pi} \ln x$$



$$g(\mathbf{r}, \mathbf{r}') = \frac{i}{4\pi} \int_0^{\pi} H_0^{(1)}(\varepsilon e^{i\phi} |\mathbf{r} - \mathbf{r}'|) d\phi$$

$$= -\frac{1}{2\pi^2} \int_0^{\pi} \ln(\varepsilon e^{i\phi}) d\phi + \frac{1}{2\pi} \ln \frac{1}{|\mathbf{r} - \mathbf{r}'|}$$

常数
(为什么)



$$g(\mathbf{r}, \mathbf{r}') = \frac{1}{2\pi} \ln \frac{1}{|\mathbf{r} - \mathbf{r}'|}$$

■ 镜像法求 Green 函数

有限空间

$$L[G(\mathbf{r}, \mathbf{r}')] = \delta(\mathbf{r}, \mathbf{r}'), (\mathbf{r}, \mathbf{r}' \in V)$$

$$B[G(\mathbf{r}, \mathbf{r}')] = 0, (\mathbf{r} \in B, \mathbf{r}' \in V + B)$$



$$G(\mathbf{r}, \mathbf{r}') = g(\mathbf{r}, \mathbf{r}') + G_1(\mathbf{r}, \mathbf{r}')$$



$$L[g(\mathbf{r}, \mathbf{r}')] = \delta(\mathbf{r}, \mathbf{r}')$$

$$L[G_1(\mathbf{r}, \mathbf{r}')] = 0; B[G_1(\mathbf{r}, \mathbf{r}')] = -B[g(\mathbf{r}, \mathbf{r}')] = 0$$

下面以具体例子，用镜像法来求 G_1

例1 上半空间Laplace方程第一、二边值问题的Green 函数

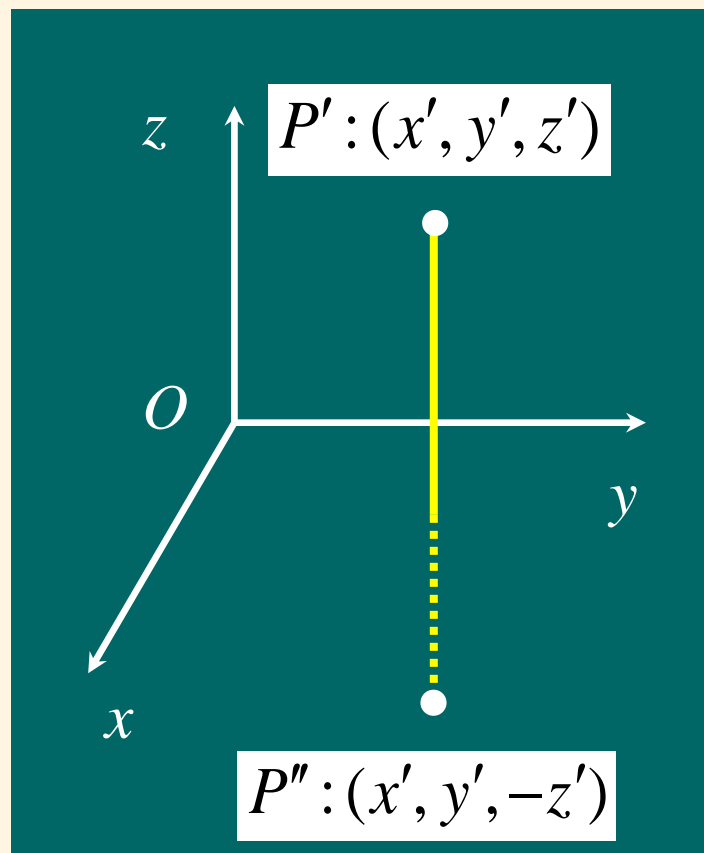
$$\begin{cases} -\nabla^2 G(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r} - \mathbf{r}'), & z > 0 \\ G|_{z=0} = 0 \end{cases}$$

解：(1)Laplace方程的基本解为

$$g = \frac{1}{4\pi |\mathbf{r} - \mathbf{r}'|}$$

(2)在边界 $z=0$ 上的值

$$g|_{z=0} = \frac{1}{4\pi \sqrt{|\underline{\rho} - \underline{\rho}'|^2 + z'^2}}$$



(3)为了满足边界条件，必须选择 G_1 使

$$G_1|_{z=0} = -g|_{z=0} = -\frac{1}{4\pi\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + z'^2}}$$

并且在上半空间满足 Laplace 方程。于是取下半空间的镜像点 $P'' : (x', y', -z')$ ，在镜像点放负点源产生的场

$$G_1 = -\frac{1}{4\pi\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z + z')^2}}$$

因此，Green 函数为

$$G = \frac{1}{4\pi} \left[\frac{1}{\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z - z')^2}} - \frac{1}{\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z + z')^2}} \right]$$

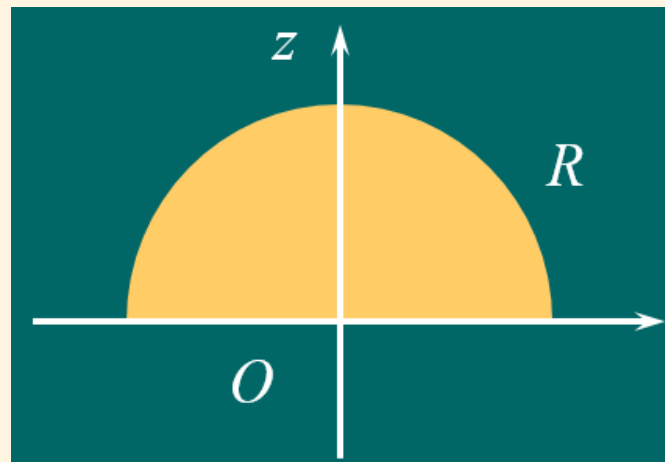
■ 第一类边值问题

$$\begin{cases} \nabla^2 u(\mathbf{r}) = f(\mathbf{r}), & z > 0 \\ u|_{z=0} = u_0(x, y) \end{cases} ; f(\mathbf{r}) = 0$$

对有限空间 V , 第一类边值问题的积分为

$$u(\mathbf{r}) = \int_V f(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') d\tau' - \iint_B \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS'$$

对上半平面问题, 上式如何处理? 作半径为 $R \rightarrow \infty$ 的大半球, 底面 D 在 xOy 平面上, 且覆盖所有 $u_0(x, y) \neq 0$ 的区域.



设 B :半球球面 S_R+D , V 为 S_R 与 D 包围的有限空间, 于是

$$\begin{aligned} u(\mathbf{r}) &= -\iint_D \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS' - \iint_{S_R} \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS' \\ &= -\iint_D u_0(x', y') \frac{\partial G}{\partial n'} \Big|_{z'=0} dx' dy' - \iint_{S_R} \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS' \end{aligned}$$

注意: 大球面上的 u 仍然是未知的, 但如果

$$I_R \equiv \lim_{R \rightarrow \infty} \iint_{S_R} \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS' \rightarrow 0$$



$$u(\mathbf{r}) = -\iint_D u_0(x', y') \frac{\partial G}{\partial n'} \Big|_{z'=0} dx' dy'$$

分析：在大球面上，如果 $\lim_{R \rightarrow \infty} u \rightarrow \lim_{R \rightarrow \infty} 1/R \rightarrow 0$

$$\iint_{S_R} G \frac{\partial u}{\partial n'} dS' \sim G \frac{\partial u}{\partial R} 4\pi R^2 \sim G \rightarrow 0$$

$$\iint_{S_R} u \frac{\partial G}{\partial n'} dS' \sim u \frac{\partial G}{\partial R} 4\pi R^2 \sim u \rightarrow 0$$

故只要至少

$$\lim_{R \rightarrow \infty} u \rightarrow \lim_{R \rightarrow \infty} 1/R \rightarrow 0 \quad \Rightarrow \quad I_R \rightarrow 0$$

于是

$$u(x, y, z) = - \iint_D u_0(x', y') \frac{\partial G}{\partial n'} \Big|_{z'=0} dx' dy'$$

注意到 $\mathbf{n}' = (0, 0, -1)$

$$\left. \frac{\partial G}{\partial n'} \right|_{z'=0} = \mathbf{n}' \cdot \nabla G \Big|_{z'=0} = - \left. \frac{\partial G}{\partial z'} \right|_{z'=0} = - \frac{z}{2\pi [|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + z^2]^{3/2}}$$



$$u(x, y, z) = \frac{z}{2\pi} \iint_D \frac{u_0(x', y') dx' dy'}{[(x - x')^2 + (y - y')^2 + z^2]^{3/2}}$$

注意： 上式在验证边界条件时，不能直接把 $z=0$ 代入，而应该利用Dirac Delta函数序列关系

$$\lim_{z \rightarrow 0} \frac{1}{2\pi} \frac{z}{[(x - x')^2 + (y - y')^2 + z^2]^{3/2}} = \delta(x - x') \delta(y - y')$$

$$\delta_z(x, y; x', y') \equiv \frac{1}{2\pi} \frac{z}{[(x-x')^2 + (y-y')^2 + z^2]^{3/2}}$$

(1)证明积分为1 用极坐标积分

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta_z(x, y, 0, 0) dx dy = \frac{z}{2\pi} \int_0^{\infty} \int_0^{2\pi} \frac{\rho d\rho d\varphi}{(\rho^2 + z^2)^{3/2}} = 1$$

(2)与连续函数的积分

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta_z(x, y; x', y') f(x, y) dx dy = f(x', y')$$

$$\begin{aligned} & \frac{z}{2\pi} \lim_{z \rightarrow 0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{f(x, y)}{[(x-x')^2 + (y-y')^2 + z^2]^{3/2}} dx dy \\ &= \frac{z}{2\pi} \lim_{z \rightarrow 0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{f(\alpha + x', \beta + y')}{(\alpha^2 + \beta^2 + z^2)^{3/2}} dx dy \end{aligned}$$

在极坐标下

$$\begin{aligned} & \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta_z(x, y; x', y') f(x, y) dx dy \\ &= \frac{z}{2\pi} \lim_{z \rightarrow 0} \int_0^{2\pi} \int_0^{\infty} \frac{f(\rho \cos \varphi + x', \rho \cos \varphi + y')}{(\rho^2 + z^2)^{3/2}} \rho d\rho d\varphi \\ &= \frac{z}{2\pi} \lim_{z \rightarrow 0} \int_0^{2\pi} \int_z^{\infty} \frac{f(\rho' \cos \varphi + x', \rho' \cos \varphi + y')}{\rho'^3} \rho' d\rho' d\varphi \end{aligned}$$



$$\rho^2 + z^2 = \rho'^2 \Rightarrow \rho \approx \rho', (z \rightarrow 0)$$

积分主要贡献是零点

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta_z(x, y; x', y') f(x, y) dx dy \approx f(x', y') \frac{z}{2\pi} \lim_{z \rightarrow 0} \int_0^{2\pi} \int_z^{\infty} \frac{1}{\rho'^3} \rho' d\rho' d\varphi$$



$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta_z(x, y; x', y') f(x, y) dx dy = f(x', y')$$

■ Fourier积分方法?

$$u(x, y, z) = \iint u(k_x, k_y, z) \exp[i(k_x x + k_y y)] dk_x dk_y$$



$$-(k_x^2 + k_y^2)u(k_x, k_y, z) + \frac{d^2 u(k_x, k_y, z)}{dz^2} = 0$$



$$u(k_x, k_y, z) = A(k_x, k_y) \exp\left(-\sqrt{k_x^2 + k_y^2} z\right)$$



角谱

传播子

$$u(x, y, z) = \iint A(k_x, k_y) \exp\left(-\sqrt{k_x^2 + k_y^2} z\right) \exp[i(k_x x + k_y y)] dk_x dk_y$$

边界条件

$$u(x, y, 0) = \iint A(k_x, k_y) \exp[i(k_x x + k_y y)] dk_x dk_y = u_0(x, y)$$

$$A(k_x, k_y) = \frac{1}{(2\pi)^2} \iint u_0(x', y') \exp[-i(k_x x' + k_y y')] dx' dy'$$



$$u(x, y, z) = \frac{1}{(2\pi)^2} \iint u_0(x', y') g(x - x'; y - y', z) dx' dy'$$



$$g(x - x', y - y', z) \equiv \iint e^{-\sqrt{k_x^2 + k_y^2} z} \exp\{i[k_x(x - x') + k_y(y - y')]\} dk_x dk_y$$

K-空间: $k_x = k \cos \phi_k; k_y = k \sin \phi_k$

实-空间: $x - x' = \rho \cos \varphi; y - y' = \rho \sin \varphi$



$$\begin{aligned} g(x - x', y - y', z) &\equiv \int_0^\infty e^{-kz} k dk \int_0^{2\pi} \exp[ik \rho \cos(\phi_k - \varphi)] d\phi_k \\ &= 2\pi \int_0^\infty e^{-kz} J_0(k\rho) k dk \end{aligned}$$

$$J_0(k\rho) = \frac{1}{2\pi} \int_0^{2\pi} \exp[ik\rho \cos(\phi_k - \varphi)] d\phi_k$$

$$\int_0^\infty k^{m+1} e^{-kz} J_\nu(k\rho) dk = (-1)^{m+1} \frac{d^{m+1}}{d\alpha^{m+1}} \left[\frac{\left(\sqrt{z^2 + \rho^2} - z \right)^\nu}{\sqrt{z^2 + \rho^2}} \right] (\rho > 0, \operatorname{Re} \nu > -m-2)$$



$$\int_0^\infty e^{-kz} J_0(k\rho) k dk = -\frac{d}{dz} \left[\frac{1}{\sqrt{\rho^2 + z^2}} \right] = \frac{z}{(\rho^2 + z^2)^{3/2}}$$



$$g(x - x'; y - y', z) = 2\pi \frac{z}{(\rho^2 + z^2)^{3/2}}$$



$$u(x, y, z) = \frac{z}{2\pi} \iint_D \frac{u_0(x', y')}{[(x - x')^2 + (y - y')^2 + z^2]^{3/2}} dx' dy'$$

■ 特殊情况：无限均匀（调和函数为常数）

$$\begin{cases} \nabla^2 u(\mathbf{r}) = 0, & z > 0 \\ u|_{z=0} = u_0 (\text{常数}) \end{cases}$$

$$\begin{aligned} u(x, y, z) &= \frac{zu_0}{2\pi} \iint_D \frac{1}{[(x-x')^2 + (y-y')^2 + z^2]^{3/2}} dx' dy' \\ &= \frac{1}{2} zu_0 \int_0^\infty \frac{d\rho'^2}{(\rho'^2 + z^2)^{3/2}} = -zu_0 \frac{1}{(\rho'^2 + z^2)^{1/2}} \bigg|_0^\infty = u_0 \end{aligned}$$

■ 直接从边界条件？

$$u(x, y, 0) = \iint A(k_x, k_y) \exp[i(k_x x + k_y y)] dk_x dk_y = u_0$$



$$A(k_x, k_y) = \frac{u_0}{(2\pi)^2} \iint \exp[-i(k_x x' + k_y y')] dx' dy' = u_0 \delta(k_x) \delta(k_y)$$



$$u(x, y, z) = \iint A(k_x, k_y) e^{-\sqrt{k_x^2 + k_y^2} z} \exp[i(k_x x + k_y y)] dk_x dk_y = u_0$$

■ 第二类边值问题：显然只要取

$$G = \frac{1}{4\pi} \left[\frac{1}{\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z - z')^2}} + \frac{1}{\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z + z')^2}} \right]$$

$$\begin{cases} \nabla^2 u(\mathbf{r}) = f(\mathbf{r}), & z > 0 \\ \left. \frac{\partial u}{\partial n} \right|_{z=0} = - \left. \frac{\partial u}{\partial z} \right|_{z=0} = b(x, y) & ; f(\mathbf{r}) = 0 \end{cases}$$



$$u(x, y, z) = \iint_D b(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') dS'$$

$$= \frac{1}{2\pi} \iint_D \frac{b(x', y') dx' dy'}{\sqrt{(x - x')^2 + (y - y')^2 + z^2}}$$

作业
验证
满足
边界
条件

■ Fourier积分方法?

$$u(x, y, z) = \iint u(k_x, k_y, z) \exp[i(k_x x + k_y y)] dk_x dk_y$$



$$u(x, y, z) = \iint A(k_x, k_y) \exp\left(-\sqrt{k_x^2 + k_y^2} z\right) \exp[i(k_x x + k_y y)] dk_x dk_y$$

边界条件

$$-\frac{\partial u}{\partial z} \bigg|_{z=0} = b(x, y)$$



$$\iint \sqrt{k_x^2 + k_y^2} A(k_x, k_y) \exp[i(k_x x + k_y y)] dk_x dk_y = b(x, y)$$



$$A(k_x, k_y) = \frac{1}{(2\pi)^2 \sqrt{k_x^2 + k_y^2}} \iint_D b(x', y') \exp[-i(k_x x' + k_y y')] dx' dy'$$

$$u(x, y, z) = \iint A(k_x, k_y) \exp\left(-\sqrt{k_x^2 + k_y^2} z\right) \exp[i(k_x x + k_y y)] dk_x dk_y$$



$$u(x, y, z) = \frac{1}{(2\pi)^2} \iint_D b(x', y') g(x - x', y - y', z) dx' dy'$$

$$g(x - x', y - y', z) \equiv \iint \frac{1}{\sqrt{k_x^2 + k_y^2}} e^{-\sqrt{k_x^2 + k_y^2} z} e^{i[k_x(x-x') + k_y(y-y')]} dk_x dk_y$$



$$g(x - x', y - y', z) = \int_0^\infty e^{-kz} J_0(k\rho) dk = \frac{2\pi}{\sqrt{z^2 + \rho^2}}$$



$$u(x, y, z) = \frac{1}{2\pi} \iint_D \frac{b(x', y') dx' dy'}{\sqrt{(x - x')^2 + (y - y')^2 + z^2}}$$

■ 特殊情况：无限金属板（匀强电场—容易求解）

$$\begin{aligned}
 u(x, y, z) &= \frac{1}{2\pi} \iint_D \frac{b(x', y') dx' dy'}{\sqrt{(x-x')^2 + (y-y')^2 + z^2}} \\
 &= \frac{1}{2\pi} b_0 \int_0^\infty \int_0^{2\pi} \frac{\rho' d\rho' d\varphi'}{\sqrt{\rho'^2 + z^2}} = b_0 \int_z^\infty d\eta \quad (\text{令: } \rho'^2 + z^2 = \eta^2) \\
 &= -b_0 z + \text{无限大常数}
 \end{aligned}$$

——求解基本失败

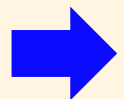
■ 直接从边界条件？

$$-\frac{\partial u}{\partial z} \Big|_{z=0} = b_0$$

$$\iint \sqrt{k_x^2 + k_y^2} A(k_x, k_y) \exp[i(k_x x + k_y y)] dk_x dk_y = b_0$$



$$A(k_x, k_y) = \frac{b_0 \delta(k_x) \delta(k_y)}{\sqrt{k_x^2 + k_y^2}}$$



$$u(x, y, z) \rightarrow \infty$$

——求解失败

例2 上半空间 Helmholtz方程第一、二 类边值问题的Green 函数。

$$g(\mathbf{r}, \mathbf{r}') = \frac{e^{iq|\mathbf{r}-\mathbf{r}'|}}{4\pi |\mathbf{r}-\mathbf{r}'|}; G_1(\mathbf{r}, \mathbf{r}'') = -\frac{e^{iq|\mathbf{r}-\mathbf{r}''|}}{4\pi |\mathbf{r}-\mathbf{r}''|}$$

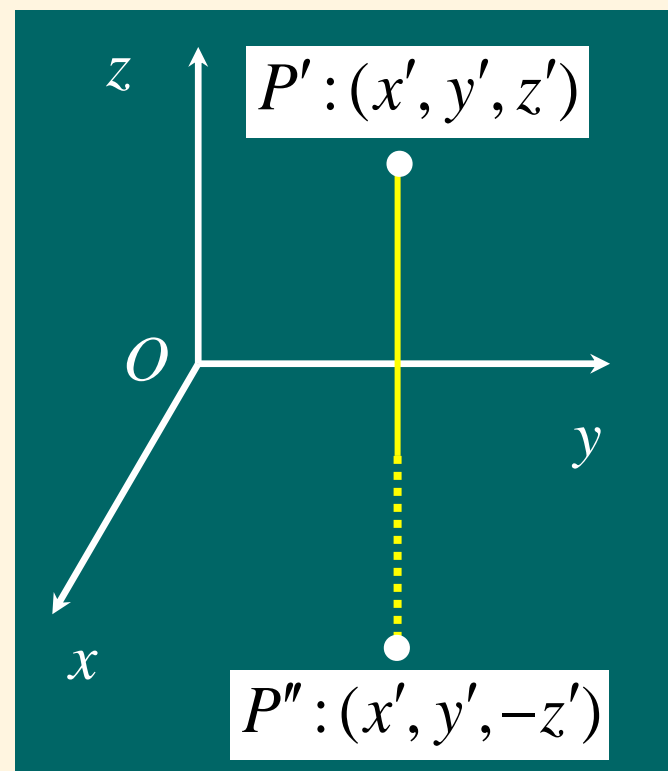
其中

$$|\mathbf{r}-\mathbf{r}'| = \sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2 + (z-z')^2}$$

$$|\mathbf{r}-\mathbf{r}''| = \sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2 + (z+z')^2}$$

上半空间 Helmholtz 方程第一、二 边值问题的Green函数分别为

$$G = g + G_1; \quad G = g - G_1$$



■ 第二类边值问题

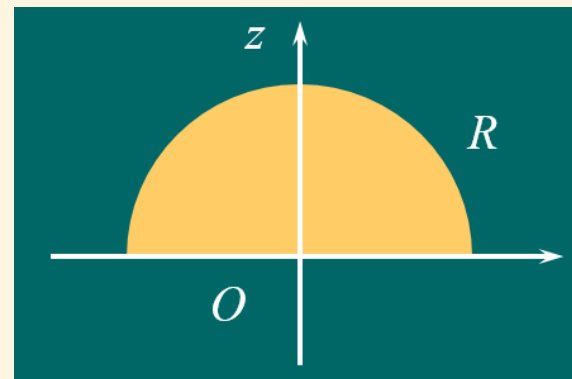
$$\begin{cases} (\nabla^2 + q^2)u(\mathbf{r}) = 0, & z > 0 \\ \left. \frac{\partial u}{\partial n} \right|_{z=0} = - \left. \frac{\partial u}{\partial z} \right|_{z=0} = b(x, y) \end{cases}$$

第二类边值问题的解(有限空间V)

$$u(\mathbf{r}) = \int_V f(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}' - \iint_B \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS'$$

取V为半径R的大球

$$\begin{aligned} u(\mathbf{r}) = & \iint_D G(\mathbf{r}; x', y', 0) b(x', y') dx' dy' \\ & - \iint_{S_R} \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS' \end{aligned}$$



$$\left. \frac{\partial u}{\partial z'} \right|_{z'=0} = b(x', y'); \quad \left. \frac{\partial G}{\partial z'} \right|_{z'=0} = 0; \quad \frac{\partial}{\partial n'} = -\frac{\partial}{\partial z'}$$



$$I_R \equiv \iint_{S_R} \left(u \frac{\partial G}{\partial n'} - G \frac{\partial u}{\partial n'} \right) dS'$$

$$= \lim_{R \rightarrow \infty} \iint \left(u \frac{\partial G}{\partial r'} - G \frac{\partial u}{\partial r'} \right) r'^2 d\Omega \rightarrow 0$$



$$\lim_{R \rightarrow \infty} \frac{\partial u}{\partial r'} \sim iqu; \quad \lim_{R \rightarrow \infty} \frac{\partial G}{\partial r'} \sim iqG$$

—— Sommerfeld
辐射条件



$$u(\mathbf{r}) = \iint G(\mathbf{r}; x', y', 0) b(x', y') dx' dy'$$

$$u(\mathbf{r}) = \frac{1}{2\pi} \iint_D \frac{b(x', y') \exp(iqR)}{R} dx' dy'$$

$$R \equiv [(x - x')^2 + (y - y')^2 + z^2]^{1/2}$$

□ 远场



二维Fourier变换



$$u(\mathbf{r}) \approx \frac{\exp(iq|\mathbf{r}|)}{2\pi|\mathbf{r}|} \iint_D b(x', y') \exp[-iq(xx' + yy')/r] dx' dy'$$

$$R \approx \sqrt{x^2 - 2xx' + y^2 - 2yy' + z^2} = r - (xx' + yy')/r$$

本例的 Green 函数在声学中声波经海面的反射有重要应用:①如果声源在海面上, 海平面的反射相当于第一类边界条件(硬边界); ②反之, 如果声源在水下, 海平面的反射相当于第二类边界条件(软边界).

■ 满足边界条件?

$$\begin{aligned}-\frac{\partial u(\mathbf{r})}{\partial z} &= -\frac{1}{2\pi} \iint_D b(x', y') \frac{\partial}{\partial R} \left[\frac{\exp(iqR)}{R} \right] \frac{\partial R}{\partial z} dx' dy' \\ &= \frac{z}{2\pi} \iint_D b(x', y') \left(\frac{1}{R^3} - iq \frac{1}{R^2} \right) \exp(iqR) dx' dy' \\ R &\equiv [(x-x')^2 + (y-y')^2 + z^2]^{1/2}\end{aligned}$$

极坐标下: $x' - x = \rho' \cos \varphi'$; $y' - y = \rho' \sin \varphi'$

$$\begin{aligned}-\frac{\partial u(\mathbf{r})}{\partial z} \Big|_{z=0} &= \lim_{z \rightarrow 0} \frac{z}{2\pi} \int_0^{2\pi} \int_0^\infty b(x + \rho' \cos \varphi', y + \rho' \sin \varphi') \\ &\quad \times \left[\frac{1}{(\rho'^2 + z^2)^{3/2}} - \frac{iq}{\rho'^2 + z^2} \right] \exp(iqR) \rho' d\rho' d\varphi'\end{aligned}$$

变量变换: $\rho'^2 + z^2 = \eta^2$

$$\begin{aligned} -\frac{\partial u(\mathbf{r})}{\partial z} \Big|_{z=0} &= \lim_{z \rightarrow 0} \frac{z}{2\pi} \int_0^{2\pi} \int_z^\infty b(x + \rho' \cos \varphi', y + \rho' \sin \varphi') \\ &\quad \times [(1 - iq\eta) \exp(iq\eta)] \frac{1}{\eta^2} d\eta d\varphi' \end{aligned}$$

当 $z \rightarrow 0$ 时: $\rho' \rightarrow 0; \eta \rightarrow 0$, 积分主要来自 $\eta \sim 0$

$$\begin{aligned} -\frac{\partial u(\mathbf{r})}{\partial z} \Big|_{z=0} &= \lim_{z \rightarrow 0} b(x + \overline{\rho' \cos \varphi'}, y + \overline{\rho' \sin \varphi'}) [(1 - iq\bar{\eta}) \exp(iq\bar{\eta})] \\ &\quad \times \frac{z}{2\pi} \int_0^{2\pi} \int_z^\infty \frac{1}{\eta^2} d\eta d\varphi' \end{aligned}$$



$$-\frac{\partial u(\mathbf{r})}{\partial z} \Big|_{z=0} = b(x, y) \lim_{z \rightarrow 0} z \int_z^\infty \frac{1}{\eta^2} d\eta = b(x, y)$$

■ Fourier积分方法?

传播子

$$u(x, y, z) = \iint A(k_x, k_y) \exp \left[i \sqrt{q^2 - (k_x^2 + k_y^2)} z \right] \exp[i(k_x x + k_y y)] dk_x dk_y$$

角谱

——倏逝波
的存在性

$$u(x, y, z) = \frac{1}{(2\pi)^2} \iint_D b(x', y') g(x - x', y - y', z) dx' dy'$$

$$g(x - x', y - y', z) \equiv i \iint \frac{e^{i \sqrt{q^2 - (k_x^2 + k_y^2)} z}}{\sqrt{q^2 - (k_x^2 + k_y^2)}} e^{i[k_x(x - x') + k_y(y - y')]} dk_x dk_y$$

$$g(x - x', y - y', z) = 2\pi i \int_0^\infty \frac{1}{\sqrt{q^2 - k^2}} e^{i \sqrt{q^2 - k^2} z} J_0(k \rho) k dk$$

球面波的柱面波展开公式

$$\frac{e^{iq\sqrt{\rho^2+z^2}}}{4\pi\sqrt{\rho^2+z^2}} = \frac{i}{4\pi} \int_0^\infty \frac{1}{\sqrt{q^2-k^2}} J_0(k\rho) e^{i\sqrt{q^2-k^2}z} k dk$$

——后面证明



$$g(x-x', y-y', z) = 2\pi \frac{e^{iq\sqrt{\rho^2+z^2}}}{\sqrt{\rho^2+z^2}}$$

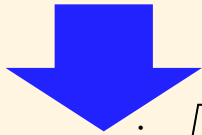


$$u(x, y, z) = \frac{1}{2\pi} \iint_D \frac{b(x', y') e^{iq\sqrt{(x-x')^2+(y-y')^2+z^2}}}{\sqrt{(x-x')^2+(y-y')^2+z^2}} dx' dy'$$

——瑞利积分

■ 特殊情况：无限大平板

$$\begin{cases} (\nabla^2 + q^2)u(\mathbf{r}) = 0, & z > 0 \\ \left. \frac{\partial u}{\partial n} \right|_{z=0} = - \left. \frac{\partial u}{\partial z} \right|_{z=0} = b_0 \end{cases}$$



$$u(x, y, z) = \frac{b_0}{2\pi} \iint_{\infty} \frac{e^{iq\sqrt{(x-x')^2 + (y-y')^2 + z^2}}}{\sqrt{(x-x')^2 + (y-y')^2 + z^2}} dx' dy'$$

$$= b_0 \int_0^{\infty} \frac{e^{iq\sqrt{\rho^2 + z^2}}}{\sqrt{\rho^2 + z^2}} \rho d\rho$$



$$\frac{e^{iq\sqrt{\rho^2 + z^2}}}{\sqrt{\rho^2 + z^2}} = i \int_0^{\infty} \frac{1}{\sqrt{q^2 - k^2}} J_0(k\rho) e^{i\sqrt{q^2 - k^2} z} k dk$$

$$u(x, y, z) = ib_0 \int_0^\infty \frac{1}{\sqrt{q^2 - k^2}} \left[\int_0^\infty J_0(k\rho) \rho d\rho \right] e^{i\sqrt{q^2 - k^2} z} k dk$$



$$\int_0^\infty J_0(k\rho) \rho d\rho = \frac{1}{k} \delta(k)$$



$$u(x, y, z) = i \frac{b_0}{q} e^{iqz} \text{ —— } z \text{ 方向传播的平面波}$$

■ 直接从边界条件方程出发

$$-\frac{\partial u}{\partial z} \Big|_{z=0} = - \iint i \sqrt{q^2 - (k_x^2 + k_y^2)} A(k_x, k_y) \exp[i(k_x x + k_y y)] dk_x dk_y = b_0$$



角谱

$$A(k_x, k_y) = \frac{ib_0}{(2\pi)^2 \sqrt{q^2 - (k_x^2 + k_y^2)}} \iint e^{-i(k_x x' + k_y y')} dx' dy' = \frac{ib_0}{q} \delta(k_x) \delta(k_y)$$

$$A(k_x, k_y) = \frac{ib_0}{q} \delta(k_x) \delta(k_y)$$

——只有z方向
传播的分量



$$\begin{aligned} u(x, y, z) &= \iint A(k_x, k_y) \exp\left[i\sqrt{q^2 - (k_x^2 + k_y^2)}z\right] \exp[i(k_x x + k_y y)] dk_x dk_y \\ &= \frac{i}{q} b_0 \iint \delta(k_x) \delta(k_y) \exp\left[i\sqrt{q^2 - (k_x^2 + k_y^2)}z\right] \exp[i(k_x x + k_y y)] dk_x dk_y \\ &= \frac{i}{q} b_0 \exp(iqz) \end{aligned}$$



$$u(x, y, z) = i \frac{b_0}{q} \exp(iqz)$$

——z方向传播的平面波

■球面波用平面波展开

直角坐标中满足非齐次波动方程

$$-\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} + k_0^2\right)u(x, y, z) = \delta(x)\delta(y)\delta(z)$$



$$u(\mathbf{r}, \omega) = \frac{1}{4\pi |\mathbf{r}|} \exp(ik_0 |\mathbf{r}|)$$

把球面波用平面波展开, 平面波展开形式

$$u(x, y, z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(k_x, k_y, z) e^{i(k_x x + k_y y)} dk_x dk_y$$

——物理本质：用无限多个平面逼近球面

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left\{ [k_0^2 - (k_x^2 + k_y^2)]g + \frac{d^2 g}{dz^2} \right\} e^{i(k_x x + k_y y)} dk_x dk_y$$

$$= -\frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{i(k_x x + k_y y)} dk_x dk_y \delta(z)$$



$$\frac{d^2 g}{dz^2} + \xi^2 g = -\frac{1}{(2\pi)^2} \delta(z); \quad \xi \equiv \sqrt{k_0^2 - (k_x^2 + k_y^2)}$$



$$g(k_x, k_y, z) = \begin{cases} A \exp(i\xi z), & (z > 0) \\ B \exp(-i\xi z), & (z < 0) \end{cases}$$

$$g(k_x, k_y, z) \Big|_{z=0-0} = g(k_x, k_y, z) \Big|_{z=0+0}$$

$$\frac{dg(k_x, k_y, z)}{dz} \Big|_{z=0+0} - \frac{dg(k_x, k_y, z)}{dz} \Big|_{z=0-0} = -\frac{1}{(2\pi)^2}$$



$$A = B = i / (8\pi^2 \xi)$$



$$g(k_x, k_y, z) = \frac{i}{8\pi^2 \xi} \exp(i\xi |z|)$$



$$u(x, y, z) = \frac{i}{8\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\xi} \exp[i(k_x x + k_y y + \xi |z|)] dk_x dk_y$$

$$\frac{\exp(ik_0 |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|} = \frac{i}{8\pi^2} \iint_{\xi} \frac{1}{\xi} \exp[i\mathbf{k}_{\rho} \cdot (\boldsymbol{\rho} - \boldsymbol{\rho}') + i\xi |z - z'|] d^2\mathbf{k}_{\rho}$$

$$\mathbf{k}_{\rho} = (k_x, k_y); \boldsymbol{\rho} = (x, y); \boldsymbol{\rho}' = (x', y')$$



——Weyl公式

$$\frac{\exp(ik_0 |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|} = \frac{i}{8\pi^2} \iint_{|k| < k_0} \exp\{i[\mathbf{k}_{\rho} \cdot (\boldsymbol{\rho} - \boldsymbol{\rho}') + \xi |z - z'|]\} d^2\mathbf{k}_{\rho}$$

$$+ \frac{i}{8\pi^2} \iint_{|k| > k_0} e^{-\mu |z - z'|} \exp[i\mathbf{k}_{\rho} \cdot (\boldsymbol{\rho} - \boldsymbol{\rho}')] d^2\mathbf{k}_{\rho}$$



$$\xi = \sqrt{k_0^2 - (k_x^2 + k_y^2)}; \mu \equiv \sqrt{(k_x^2 + k_y^2) - k_0^2}$$

——圆内——平面波；圆外——倏逝波

$$-\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} + k_0^2\right)u(x, y, z) = \delta(x)\delta(y)\delta(z)$$



$$u(x, y, z) = \int_{-\infty}^{\infty} g(k_x, k_y, k_z) e^{i(k_x x + k_y y + k_z z)} dk_x dk_y dk_z$$



$$\left[k_0^2 - (k_x^2 + k_y^2 + k_z^2)\right]g = -\frac{1}{(2\pi)^3}$$



$$\begin{aligned} u(x, y, z) &= -\frac{1}{(2\pi)^3} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{e^{i(k_x x + k_y y + k_z z)}}{k_0^2 - (k_x^2 + k_y^2 + k_z^2)} dk_x dk_y dk_z \\ &= -\frac{1}{(2\pi)^3} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(k_x, k_y) e^{i(k_x x + k_y y)} dk_x dk_y \end{aligned}$$

$$I(k_x, k_y) \equiv \int_{-\infty}^{\infty} \frac{e^{ik_z z}}{k_0^2 - (k_x^2 + k_y^2) - k_z^2} dk_z$$

仿照前面求一维Helmholtz方程Green的方法，可以得到

$$I(k_x, k_y) = - \int_{-\infty}^{\infty} \frac{e^{ik_z z}}{k_z^2 - \xi^2} dk_z = - \frac{i\pi}{\xi} e^{i\xi|z|}$$

$$\xi = \sqrt{k_0^2 - (k_x^2 + k_y^2)}$$



$$\frac{\exp(ik_0 |\mathbf{r}|)}{4\pi |\mathbf{r}|} = \frac{i}{8\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\xi} e^{i(k_x x + k_y y + \xi|z|)} dk_x dk_y$$

——上式一般用于z方向具有分层介质的散射问题

■ 球面波用柱函数展开

柱坐标中Green函数方程为

$$\left[\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2}{\partial \varphi^2} + \frac{\partial^2}{\partial z^2} \right] g + k_0^2 g = -\delta(\mathbf{r}, \mathbf{r}')$$

$$\delta(\mathbf{r}, \mathbf{r}') = \frac{1}{\rho} \delta(\rho - \rho') \delta(\varphi - \varphi') \delta(z - z')$$

①利用 $\Phi_m(\varphi) = \Phi_0 \exp(im\varphi)$ 的完备性，作展开

$$g(\mathbf{r}, \mathbf{r}') = \sum_{m=-\infty}^{\infty} g_m^1(\rho, z) \exp(im\varphi)$$



$$\sum_{m=-\infty}^{\infty} \left[\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) + \frac{\partial^2}{\partial z^2} + \left(k_0^2 - \frac{m^2}{\rho^2} \right) \right] g_m^1(\rho, z) \exp(im\varphi) = -\delta(\mathbf{r}, \mathbf{r}')$$

$$\left[\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) + \frac{\partial^2}{\partial z^2} + \left(k_0^2 - \frac{m^2}{\rho^2} \right) \right] g_m^1(\rho, z)$$

$$= -\frac{1}{2\pi\rho} \delta(\rho - \rho') \delta(z - z') \exp(-im\varphi')$$

②作Hankel变换

$$g_m^1(\rho, z) = \int_0^\infty g_m^2(\lambda, z) J_m(\lambda\rho) \lambda d\lambda$$

$$\left[\frac{d^2}{dz^2} + (k_0^2 - \lambda^2) \right] g_m^2(\lambda, z) = -\frac{1}{2\pi} \delta(z - z') J_m(\lambda\rho') \exp(-im\varphi')$$

$$g_m^2(\lambda, z) \big|_{z=z'-\varepsilon} = g_m^2(\lambda, z) \big|_{z=z'+\varepsilon}$$

$$\frac{dg_m^2(\lambda, z)}{dz} \bigg|_{z=z'+\varepsilon} - \frac{dg_m^2(\lambda, z)}{dz} \bigg|_{z=z'-\varepsilon} = -\frac{1}{2\pi} J_m(\lambda\rho') \exp(-im\varphi')$$

$$g_m^2(\lambda, z) = \begin{cases} A \exp[i\sigma(z - z')], & z > z' \\ B \exp[i\sigma(z' - z)], & z < z' \end{cases}$$

其中： $\sigma = \sqrt{k_0^2 - \lambda^2}, (k_0 > \lambda); \sigma = i\sqrt{\lambda^2 - k_0^2}, (k_0 < \lambda)$


$$g_m^2(\lambda, z) = -\frac{1}{4\pi i \sigma} J_m(\lambda \rho') \exp(-im\varphi') \exp[i\sigma |z - z'|]$$

$$\frac{e^{ik_0|\mathbf{r}-\mathbf{r}'|}}{4\pi |\mathbf{r}-\mathbf{r}'|} = \frac{i}{4\pi} \sum_{m=-\infty}^{\infty} \left[\int_0^{\infty} \frac{1}{\sigma} J_m(\lambda \rho') J_m(\lambda \rho) e^{i\sigma|z-z'|} \lambda d\lambda \right] \times e^{im(\varphi-\varphi')}$$

——物理本质：用无限多个柱面逼近球面

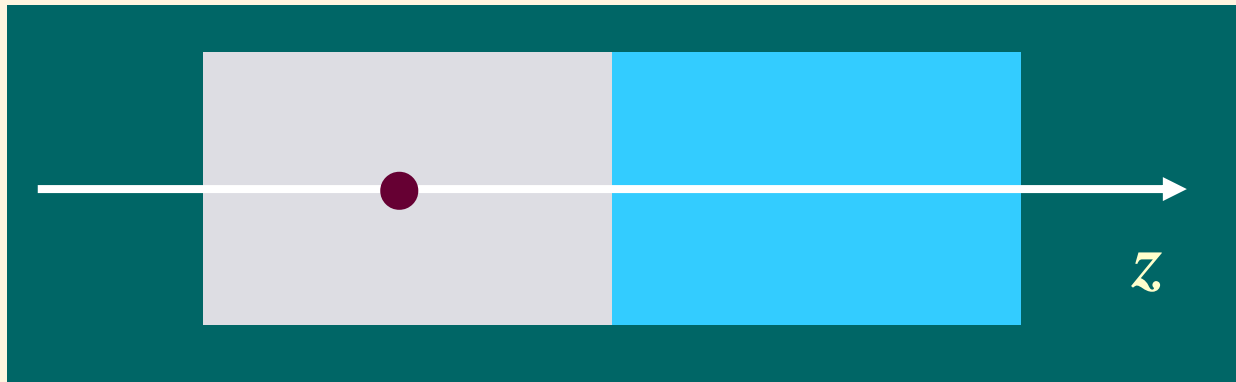
特殊情况：点源位于 z 轴上，即 $\rho'=0$

$$\frac{e^{ik_0 R}}{4\pi R} = \frac{i}{4\pi} \int_0^\infty \frac{1}{\sqrt{k_0^2 - \lambda^2}} J_0(\lambda \rho) e^{i\sqrt{k_0^2 - \lambda^2} |z - z'|} \lambda d\lambda$$



$$R \equiv \sqrt{\rho^2 + (z - z')^2}$$

——积分部分形成 z 方向的倏逝波



③作z方向的Fourier变换

$$g_m^1(\rho, z) = \int_{-\infty}^{\infty} g_m^3(\sigma, \rho) \exp(i\sigma z) d\sigma$$



$$\left[\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial g_m^3}{\partial \rho} \right) + \left(k_\rho^2 - \frac{m^2}{\rho^2} \right) g_m^3 \right] \leftarrow k_\rho \equiv \sqrt{k_0^2 - \sigma^2}$$

$$= -\frac{1}{(2\pi)^2 \rho} \delta(\rho - \rho') e^{-i(m\varphi' + \sigma z')}$$



$$g_m^3(\rho, \sigma) = \frac{i}{8\pi} \exp[-i(m\varphi' + \sigma z')]$$

$$\times \begin{cases} J_m(k_\rho \rho') H_m^{(1)}(k_\rho \rho) & (\rho' < \rho) \\ H_m^{(1)}(k_\rho \rho') J_m(k_\rho \rho) & (\rho < \rho') \end{cases}$$

$$\frac{e^{ik_0|\mathbf{r}-\mathbf{r}'|}}{4\pi|\mathbf{r}-\mathbf{r}'|} = \frac{i}{8\pi} \sum_{m=-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[i\sigma(z-z')] d\sigma e^{im(\varphi-\varphi')} \times \begin{cases} J_m(k_\rho \rho') H_m^{(1)}(k_\rho \rho) & (\rho' < \rho) \\ H_m^{(1)}(k_\rho \rho') J_m(k_\rho \rho) & (\rho < \rho') \end{cases}$$

圆柱体
对点源
的散射
问题.

特殊情况：点源位于z轴上，即 $\rho'=0$

$$\frac{e^{ik_0 R}}{4\pi R} = \frac{i}{8\pi} \int_{-\infty}^{\infty} H_0^{(1)}\left(\sqrt{k_0^2 - \sigma^2} \rho\right) \exp[i\sigma(z-z')] d\sigma$$



$$H_0^{(1)}(i\kappa_\rho \rho) \sim K_0(\kappa_\rho \rho)$$

——积分部分形成 ρ 方向的倏逝波


■ 球面波用球函数展开

■ 三维Laplace方程

$$-\nabla^2 g(\mathbf{r}, \mathbf{r}') = \frac{1}{r^2 \sin \vartheta} \delta(r - r') \delta(\vartheta - \vartheta') \delta(\varphi - \varphi')$$

■ 利用球谐函数的完备性，作展开

$$g(\mathbf{r}, \mathbf{r}') = \sum_{l=0}^{\infty} \sum_{m=-l}^l g_{lm}(r) Y_{lm}(\vartheta, \varphi)$$


$$\sum_{l=0}^{\infty} \sum_{m=-l}^l \left[\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) - \frac{l(l+1)}{r^2} \right] g_{lm}(r) Y_{lm}(\vartheta, \varphi) \\ = -\frac{1}{r^2 \sin \vartheta} \delta(r - r') \delta(\vartheta - \vartheta') \delta(\varphi - \varphi')$$

$$\left[\frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d}{dr} \right) - \frac{l(l+1)}{r^2} \right] g_{lm}(r) = -\frac{1}{r^2} \delta(r-r') Y_{lm}^*(\mathcal{G}', \varphi')$$



$$g_{lm}(r) \Big|_{r=r'-\varepsilon} = g_{lm}(r) \Big|_{r=r'+\varepsilon}$$

$$\frac{dg_{lm}(r)}{dr} \Big|_{r=r'+\varepsilon} - \frac{dg_{lm}(r)}{dr} \Big|_{r=r'-\varepsilon} = -\frac{1}{r^2} Y_{lm}^*(\mathcal{G}', \varphi')$$



$$g_{lm}(r, r') = \frac{Y_{lm}^*(\mathcal{G}', \varphi')}{2l+1} \begin{cases} \frac{1}{r'} \left(\frac{r}{r'} \right)^l, & r \leq r' \\ \frac{1}{r} \left(\frac{r'}{r} \right)^{l+1}, & r \geq r' \end{cases} \equiv g_l(r, r') Y_{lm}^*(\mathcal{G}', \varphi')$$

零点有限

无限为零

$$\frac{1}{4\pi |\mathbf{r} - \mathbf{r}'|} = \sum_{l=0}^{\infty} \sum_{m=-l}^l g_l(r, r') Y_{lm}(\vartheta, \varphi) Y_{lm}^*(\vartheta', \varphi')$$

■ 加法公式 取 $t = r / r' < 1$

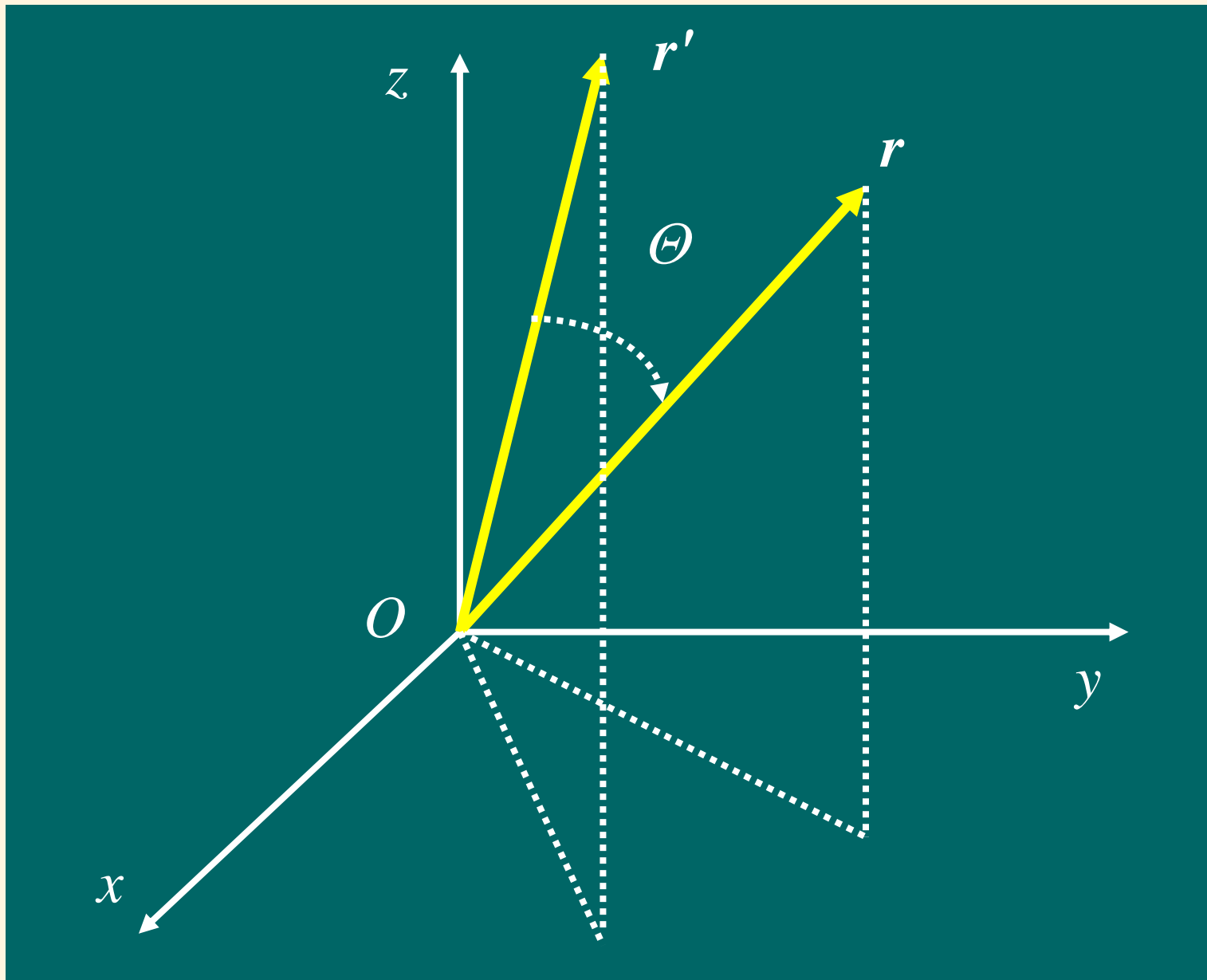
$$\frac{1}{4\pi |\mathbf{r} - \mathbf{r}'|} = \frac{1}{4\pi r' \sqrt{1 - 2t \cos \Theta + t^2}} = \frac{1}{4\pi r'} \sum_{l=0}^{\infty} t^l P_l(\cos \Theta)$$



$$\frac{1}{r'} \sum_{l=0}^{\infty} t^l P_l(\cos \Theta) = \frac{1}{r'} \sum_{l=0}^{\infty} \frac{4\pi}{2l+1} t^l \sum_{m=-l}^l Y_{lm}^*(\vartheta_1, \varphi_1) Y_{lm}(\vartheta_2, \varphi_2)$$



$$P_l(\cos \Theta) = \frac{4\pi}{2l+1} \sum_{m=-l}^l Y_{lm}^*(\vartheta_1, \varphi_1) Y_{lm}(\vartheta_2, \varphi_2)$$



■ 三维Helmholtz方程

$$\left[\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \vartheta} \frac{\partial}{\partial \vartheta} \left(\sin \vartheta \frac{\partial}{\partial \vartheta} \right) + \frac{1}{r^2 \sin^2 \vartheta} \frac{\partial^2}{\partial \varphi^2} \right] g + k_0^2 g$$
$$= -\frac{1}{r^2 \sin \vartheta} \delta(r - r') \delta(\vartheta - \vartheta') \delta(\varphi - \varphi')$$

■ 利用球谐函数的完备性，作展开

$$g(\mathbf{r}, \mathbf{r}') = \sum_{l=0}^{\infty} \sum_{m=-l}^l g_{lm}(r) Y_{lm}(\vartheta, \varphi)$$



$$\sum_{l=0}^{\infty} \sum_{m=-l}^l \left[\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + k_0^2 - \frac{l(l+1)}{r^2} \right] g_{lm}(r) Y_{lm}(\vartheta, \varphi)$$
$$= -\frac{1}{r^2 \sin \vartheta} \delta(r - r') \delta(\vartheta - \vartheta') \delta(\varphi - \varphi')$$

$$\left[\frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d}{dr} \right) + k_0^2 - \frac{l(l+1)}{r^2} \right] g_{lm}(r) = -\frac{1}{r^2} \delta(r-r') Y_{lm}^*(\vartheta', \varphi')$$



$$g_{lm}(r)|_{r=r'-\varepsilon} = g_{lm}(r)|_{r=r'+\varepsilon}$$

$$\frac{dg_{lm}(r)}{dr} \Big|_{r=r'+\varepsilon} - \frac{dg_{lm}(r)}{dr} \Big|_{r=r'-\varepsilon} = -\frac{1}{r^2} Y_{lm}^*(\vartheta', \varphi')$$



$$g_{lm}(r) = \begin{cases} A_l h_l^{(1)}(k_0 r), & (r > r') \\ B_l j_l(k_0 r), & (r < r') \end{cases}$$

向外辐射
形式的解



原点必须有限，驻波形式的解

$$A_l h_l^{(1)}(k_0 r') = B_l j_l(k_0 r'); \quad h_l'^{(1)}(k_0 r') = \frac{dh_l^{(1)}(k_0 r')}{d(k_0 r')}$$

$$A_l h_l'^{(1)}(k_0 r') - B_l j_l'(k_0 r') = -\frac{1}{k_0 r'^2} Y_{lm}^*(\mathcal{G}', \varphi')$$



$$A_l = \frac{j_l(k_0 r')}{k_0 r'^2 \left[j_l(k_0 r') h_l'^{(1)}(k_0 r') - h_l^{(1)}(k_0 r') j_l'(k_0 r') \right]} Y_{lm}^*(\mathcal{G}', \varphi')$$

$$B_l = \frac{h_l^{(1)}(k_0 r')}{k_0 r'^2 \left[j_l(k_0 r') h_l'^{(1)}(k_0 r') - h_l^{(1)}(k_0 r') j_l'(k_0 r') \right]} Y_{lm}^*(\mathcal{G}', \varphi')$$



$$(k_0 r')^2 \left[j_l(k_0 r') h_l'^{(1)}(k_0 r') - h_l^{(1)}(k_0 r') j_l'(k_0 r') \right] = -i$$



$$g_{lm}(r) = i k_0 \begin{cases} h_l^{(1)}(k_0 r) j_l(k_0 r') Y_{lm}^*(\mathcal{G}', \varphi'), & (r > r') \\ j_l(k_0 r) h_l^{(1)}(k_0 r') Y_{lm}^*(\mathcal{G}', \varphi'), & (r < r') \end{cases}$$

$$g(\mathbf{r}, \mathbf{r}') = ik_0 \sum_{l=0}^{\infty} \sum_{m=-l}^{+l} Y_{lm}(\vartheta, \varphi) Y_{lm}^*(\vartheta', \varphi') \begin{cases} h_l^{(1)}(k_0 r) j_l(k_0 r'), & (r > r') \\ j_l(k_0 r) h_l^{(1)}(k_0 r'), & (r < r') \end{cases}$$



$$\frac{\exp(ik_0 |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|} = ik_0 \sum_{l=0}^{\infty} \sum_{m=-l}^{+l} Y_{lm}(\vartheta, \varphi) Y_{lm}^*(\vartheta', \varphi') \begin{cases} h_l^{(1)}(k_0 r) j_l(k_0 r'), & r > r' \\ j_l(k_0 r) h_l^{(1)}(k_0 r'), & r < r' \end{cases}$$

——物理本质：用无限个球心在原点的球面逼近偏心球面

■ 特殊情况：点源位于原点

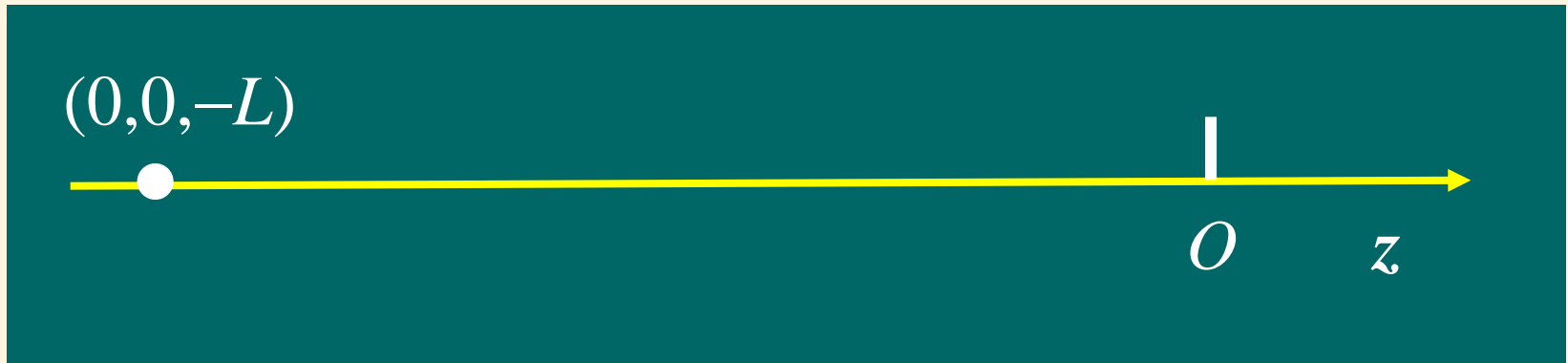
$$r' = 0 \Rightarrow j_0(k_0 r') = j_0(0) \neq 0 \Rightarrow l = 0 \Rightarrow m = 0; r > r' = 0$$



$$\frac{\exp(ik_0 |\mathbf{r}|)}{4\pi |\mathbf{r}|} = ik_0 Y_{00}(\vartheta, \varphi) Y_{00}^*(\vartheta', \varphi') h_0^{(1)}(k_0 r) = \frac{1}{4\pi r} e^{ik_0 r}$$

■ 平面波展开公式

$$\mathbf{r}' = (x', y', z') = (0, 0, -L) (L > 0); \quad r' \gg r; \quad \vartheta' = \pi$$



$$\frac{e^{ik_0|\mathbf{r}-\mathbf{r}'|}}{4\pi|\mathbf{r}-\mathbf{r}'|} = ik_0 \sum_{l=0}^{\infty} \sum_{m=-l}^l Y_{lm}(\vartheta, \varphi) Y_{lm}^*(\vartheta', \varphi') j_l(k_0 r) h_l^{(1)}(k_0 r')$$

$$(r \ll r')$$



$$\frac{e^{ik_0|\mathbf{r}-\mathbf{r}'|}}{4\pi|\mathbf{r}-\mathbf{r}'|} \approx \frac{\exp(ik_0 L)}{4\pi|\mathbf{r}'|} \exp(ik_0 z)$$

$$h_l^{(1)}(k_0 r') \sim -\frac{i}{k_0 r'} \exp\left[i\left(k_0 r' - \frac{l\pi}{2}\right)\right] = -\frac{i}{k_0 r'} \exp(ik_0 r')(-i)^l$$



$$\frac{\exp(ik_0 L)}{4\pi |\mathbf{r}'|} \exp(ik_0 z) \approx \frac{1}{4\pi r'} \exp(ik_0 r') \sum_{l=0}^{\infty} i^l (2l+1) j_l(k_0 r) P_l(\cos \vartheta)$$



$$\exp(ik_0 r \cos \vartheta) = \sum_{l=0}^{\infty} i^l (2l+1) j_l(k_0 r) P_l(\cos \vartheta)$$

——平面波用球面波展开，可以看作为用Legendre函数展开

$$\exp(ik_0 r \cos \vartheta) = \sum_{l=0}^{\infty} A_l(r) P_l(\cos \vartheta)$$

$$A_l(r) = \frac{2l+1}{2} \int_0^\pi \exp(ik_0 r \cos \vartheta) P_l(\cos \vartheta) \sin \vartheta d\vartheta$$

← 计算复杂

□波动方程的基本解

与纯空间的 Green 函数不同点：时间变量 t 与 t' 不能简单对调。如何定义含时 Green 函数？

■无限空间的初值问题

$$\left\{ \begin{array}{l} \frac{\partial^2 u}{\partial t^2} - c^2 \nabla^2 u = 0, \quad (t > 0) \\ u|_{t=0} = \psi_1(\mathbf{r}); \quad \left. \frac{\partial u}{\partial t} \right|_{t=0} = \psi_2(\mathbf{r}) \end{array} \right.$$

如何定义 Green 函数？

首先用 Fourier 方法求解，令

$$u(\mathbf{r}, t) = \int g(\mathbf{k}, t) e^{i\mathbf{k} \cdot \mathbf{r}} d^3 k$$

$$\frac{d^2 g(\mathbf{k}, t)}{dt^2} + c^2 k^2 g(\mathbf{k}, t) = 0, \left(k = \sqrt{k_x^2 + k_y^2 + k_z^2} \right)$$



$$g(\mathbf{k}, t) = A(k) \sin(ckt) + B(k) \cos(ckt)$$



$$u(\mathbf{r}, t) = \int [A(k) \sin(ckt) + B(k) \cos(ckt)] e^{i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{k}$$

初始条件

$$u(\mathbf{r}, 0) = \int B(k) e^{i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{k} = \psi_1(\mathbf{r})$$

$$u_t(\mathbf{r}, 0) = \int ckA(k) e^{i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{k} = \psi_2(\mathbf{r})$$



$$B(k) = \frac{1}{(2\pi)^3} \int \psi_1(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{r}$$

$$A(k) = \frac{1}{(2\pi)^3} \int \frac{1}{ck} \psi_2(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{r}$$

用Green函数表示的积分形式解

$$\begin{aligned} u(\mathbf{r}, t) &= \int [A(k) \sin(ckt) + B(k) \cos(ckt)] e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k} \\ &= \int \frac{\partial g(\mathbf{r}, \mathbf{r}', t)}{\partial t} \psi_1(\mathbf{r}') d^3\mathbf{r}' + \int g(\mathbf{r}, \mathbf{r}', t) \psi_2(\mathbf{r}') d^3\mathbf{r}' \end{aligned}$$



$$g(\mathbf{r}, \mathbf{r}', t) = \frac{1}{(2\pi)^3} \int \frac{1}{ck} \sin(ckt) e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} d^3\mathbf{k}$$

如果取初值

$$\psi_1(\mathbf{r}) = 0; \quad \psi_2(\mathbf{r}) = \delta(\mathbf{r}, \mathbf{r}'')$$



$$u(\mathbf{r}, t) = \int g(\mathbf{r}, \mathbf{r}', t) \delta(\mathbf{r}, \mathbf{r}'') d^3\mathbf{r}' = g(\mathbf{r}, \mathbf{r}'', t)$$

于是，无限空间初值问题的Green函数定义为

$$\begin{cases} \frac{\partial^2 g}{\partial t^2} - c^2 \nabla^2 g = 0, (t > 0) \\ g|_{t=0} = 0; \quad g_t|_{t=0} = \delta(\mathbf{r}, \mathbf{r}') \end{cases}$$

方程的解为

$$u(\mathbf{r}, t) = \int \frac{\partial g(\mathbf{r}, \mathbf{r}', t)}{\partial t} \psi_1(\mathbf{r}') d^3 \mathbf{r}' + \int g(\mathbf{r}, \mathbf{r}', t) \psi_2(\mathbf{r}') d^3 \mathbf{r}'$$



$$g(\mathbf{r}, \mathbf{r}', t) = \frac{1}{(2\pi)^3} \int \frac{1}{ck} \sin(ckt) e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} d^3 \mathbf{k}$$

因此

$$\begin{aligned} g(\mathbf{r}, \mathbf{r}', t) &= \frac{1}{(2\pi)^2 c} \int_0^\infty k \sin(ckt) \int_0^\pi e^{ik|\mathbf{r}-\mathbf{r}'|\cos\vartheta} \sin\vartheta d\vartheta dk \\ &= \frac{1}{4\pi^2 c} \frac{1}{|\mathbf{r}-\mathbf{r}'|} \int_{-\infty}^\infty \sin(ckt) \sin(k|\mathbf{r}-\mathbf{r}'|) dk \\ &= \frac{1}{4\pi c} \frac{1}{|\mathbf{r}-\mathbf{r}'|} [\delta(ct-|\mathbf{r}-\mathbf{r}'|) - \delta(ct+|\mathbf{r}-\mathbf{r}'|)] \end{aligned}$$



$$g(\mathbf{r}, \mathbf{r}', t) = \frac{1}{4\pi c} \frac{1}{|\mathbf{r}-\mathbf{r}'|} [\delta(ct-|\mathbf{r}-\mathbf{r}'|) - \delta(ct+|\mathbf{r}-\mathbf{r}'|)]$$



$$g(\mathbf{r}, \mathbf{r}', t) = \frac{\delta(|\mathbf{r}-\mathbf{r}'|-ct)}{4\pi c |\mathbf{r}-\mathbf{r}'|}$$

推迟势

■ 无限空间的非齐次问题

$$\begin{cases} \frac{\partial^2 u}{\partial t^2} - c^2 \nabla^2 u = f(\mathbf{r}, t) \\ u|_{t=0} = 0, \frac{\partial u}{\partial t} \Big|_{t=0} = 0 \end{cases}$$

如何定义Green函数?

首先也用Fourier方法求解, 令

$$u(\mathbf{r}, t) = \int g(\mathbf{k}, t) e^{i\mathbf{k} \cdot \mathbf{r}} d^3 k$$

$$\int \left[\frac{d^2 g(\mathbf{k}, t)}{dt^2} + c^2 k^2 g(\mathbf{k}, t) \right] e^{i\mathbf{k} \cdot \mathbf{r}} d^3 k = f(\mathbf{r}, t)$$

$$\frac{d^2 g(\mathbf{k}, t)}{dt^2} + c^2 k^2 g(\mathbf{k}, t) = \frac{1}{(2\pi)^3} \int f(\mathbf{r}, t) e^{-i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{r} \equiv F(\mathbf{k}, t)$$

零初始条件的特解

$$g(\mathbf{k}, t) = \frac{1}{ck} \int_0^t F(\mathbf{k}, \tau) \sin[ck(t - \tau)] d\tau$$



$$u(\mathbf{r}, t) = \int_0^t \int f(\mathbf{r}', \tau) g(\mathbf{r}, \mathbf{r}', t - \tau) d^3\mathbf{r}' d\tau$$

$$g(\mathbf{r}, \mathbf{r}', t - \tau) = \frac{\delta[|\mathbf{r} - \mathbf{r}'| - c(t - \tau)]}{4\pi c |\mathbf{r} - \mathbf{r}'|}$$



$$u(\mathbf{r}, t) = \int_0^\infty \int f(\mathbf{r}', \tau) \tilde{g}(\mathbf{r}, \mathbf{r}', t - \tau) d^3\mathbf{r}' d\tau$$



$$\tilde{g}(\mathbf{r}, \mathbf{r}', t - \tau) \equiv H(t - \tau) g(\mathbf{r}, \mathbf{r}', t - \tau)$$

如果非齐次项为

$$f(\mathbf{r}, t) = \delta(\mathbf{r} - \mathbf{r}'')\delta(t - t'')$$



$$\begin{aligned} u(\mathbf{r}, t) &= \int_0^\infty \int \delta(\mathbf{r}' - \mathbf{r}'')\delta(\tau - t'')\tilde{g}(\mathbf{r}, \mathbf{r}', t - \tau)d^3\mathbf{r}'d\tau \\ &= \tilde{g}(\mathbf{r}, \mathbf{r}'', t - t'') \end{aligned}$$

因此，可以定义Green函数

$$\begin{cases} \frac{\partial^2 \tilde{g}}{\partial t^2} - c^2 \nabla^2 \tilde{g} = \delta(\mathbf{r} - \mathbf{r}')\delta(t - t') \\ \tilde{g}|_{t=0} = 0; \tilde{g}_t|_{t=0} = 0 \end{cases}$$

■ 无限空间的非齐次、非零初值问题

$$\begin{cases} \frac{\partial^2 u}{\partial t^2} - c^2 \nabla^2 u = f(\mathbf{r}, t) \\ u|_{t=0} = \psi_1(\mathbf{r}), u_t|_{t=0} = \psi_2(\mathbf{r}) \end{cases}$$

二部分解叠加

$$u(\mathbf{r}, t) = \int \frac{\partial g(\mathbf{r}, \mathbf{r}', t)}{\partial t} \psi_1(\mathbf{r}') d^3 \mathbf{r}' + \int g(\mathbf{r}, \mathbf{r}', t) \psi_2(\mathbf{r}') d^3 \mathbf{r}' \\ + \int_0^\infty \int f(\mathbf{r}', \tau) \tilde{g}(\mathbf{r}, \mathbf{r}', t - \tau) d^3 \mathbf{r}' d\tau$$

二维波动方程

三维推迟势，明显的波前和波后

$$g(\mathbf{r}, \mathbf{r}', t) = \frac{\delta(|\mathbf{r} - \mathbf{r}'| - ct)}{4\pi c |\mathbf{r} - \mathbf{r}'|}$$

二维: 相当于在(x,y)存在线源产生的场, 可由三维Green函数通过降维方法得到

$$g_{2D}(\boldsymbol{\rho}, \boldsymbol{\rho}', t) = \int_{-\infty}^{\infty} g(\mathbf{r}, \mathbf{r}', t) dz' = \int_{-\infty}^{\infty} \frac{\delta(|\mathbf{r} - \mathbf{r}'| - ct)}{4\pi c |\mathbf{r} - \mathbf{r}'|} dz'$$



$$\begin{aligned} g_{2D}(\boldsymbol{\rho}, \boldsymbol{\rho}', t) &= \int_{-\infty}^{\infty} \frac{\delta\left(\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z' - z)^2} - ct\right)}{4\pi c \sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + (z' - z)^2}} d(z' - z) \\ &= \int_{-\infty}^{\infty} \frac{\delta\left(\sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + \eta^2} - ct\right)}{4\pi c \sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + \eta^2}} d\eta \end{aligned}$$

Dirac Delta 函数的零点分析:

$$g(\eta) \equiv \sqrt{|\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 + \eta^2} - ct = 0 \Rightarrow \eta^2 = (ct)^2 - |\boldsymbol{\rho} - \boldsymbol{\rho}'|^2$$

①当满足下式时，Dirac Delta函数无零点，积分
为零

$$(ct)^2 - |\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 < 0 \Rightarrow G_{2D}(\boldsymbol{\rho}, \boldsymbol{\rho}', t) = 0$$

②当 $(ct)^2 - |\boldsymbol{\rho} - \boldsymbol{\rho}'|^2 > 0$ 时，Dirac Delta函数存在
二个零点,表示关于 $z'=0$ 对称的二个点源产生的
波达到场点

$$\eta_{\pm} = \pm \sqrt{(ct)^2 - |\boldsymbol{\rho} - \boldsymbol{\rho}'|^2}$$

因此，Dirac Delta函数可表示为

$$\delta\left(\sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2+\eta^2}-ct\right)=\frac{1}{|g'(\eta_+)|}\delta(\eta-\eta_+)+\frac{1}{|g'(\eta_-)|}\delta(\eta-\eta_-)$$

$$=\frac{ct}{\sqrt{(ct)^2-|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2}}[\delta(\eta-\eta_+)+\delta(\eta-\eta_-)]$$



$$g_{2D}(\boldsymbol{\rho},\boldsymbol{\rho}',t)=\frac{ct}{\sqrt{(ct)^2-|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2}}\int_{-\infty}^{\infty}\frac{[\delta(\eta-\eta_+)+\delta(\eta-\eta_-)]}{4\pi c\sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2+\eta^2}}d\eta$$

$$=\frac{ct}{\sqrt{(ct)^2-|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2}}\left[\frac{1}{4\pi c\sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2+\eta_+^2}}+\frac{1}{4\pi c\sqrt{|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2+\eta_-^2}}\right]$$

$$=\frac{1}{2\pi c\sqrt{(ct)^2-|\boldsymbol{\rho}-\boldsymbol{\rho}'|^2}}$$

因此，二维波动方程的Green函数为

$$g_{2D}(\boldsymbol{\rho}, \boldsymbol{\rho}', t) = \begin{cases} \frac{1}{2\pi c \sqrt{(ct)^2 - |\boldsymbol{\rho} - \boldsymbol{\rho}'|^2}}, & ct > |\boldsymbol{\rho} - \boldsymbol{\rho}'| \\ 0, & ct < |\boldsymbol{\rho} - \boldsymbol{\rho}'| \end{cases}$$

□ 扩散方程的 Green 函数

■ 无限空间的初值问题

$$\begin{cases} \frac{\partial u}{\partial t} - c^2 \nabla^2 u = 0 \\ u|_{t=0} = \psi(\mathbf{r}) \end{cases} \quad \Rightarrow \quad \begin{cases} \frac{\partial g}{\partial t} - c^2 \nabla^2 g = 0 \\ g|_{t=0} = \delta(\mathbf{r}, \mathbf{r}') \end{cases}$$

于是，方程的解为

$$u(\mathbf{r}, t) = \int G(\mathbf{r}, \mathbf{r}', t) \psi(\mathbf{r}') d^3 \mathbf{r}'$$

用 Fourier 积分法求 Green 函数：令

$$g(\mathbf{r}, \mathbf{r}', t) = \int g(\mathbf{k}, \mathbf{r}', t) e^{i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{k}$$



$$\frac{dg}{dt} + c^2 k^2 g = 0; \quad g|_{t=0} = \frac{1}{(2\pi)^3} \int \delta(\mathbf{r}, \mathbf{r}') e^{-i\mathbf{k} \cdot \mathbf{r}} d^3 \mathbf{r} = \frac{e^{-i\mathbf{k} \cdot \mathbf{r}'}}{(2\pi)^3}$$

$$\underline{g} = \frac{e^{-i\mathbf{k} \cdot \mathbf{r}' - c^2 k^2 t}}{(2\pi)^3} \quad \Rightarrow \quad g(\mathbf{r}, \mathbf{r}', t) = \frac{1}{(2\pi)^3} \int e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}') - k^2 c^2 t} d^3 \mathbf{k} \\ = g_1 g_2 g_3$$

其中积分

$$g_1 = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i(x-x')k_x - k_x^2 c^2 t} dk_x = \frac{1}{\sqrt{4\pi c^2 t}} e^{-\frac{(x-x')^2}{4c^2 t}}$$

$$g_2 = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i(y-y')k_y - k_y^2 c^2 t} dk_y = \frac{1}{\sqrt{4\pi c^2 t}} e^{-\frac{(y-y')^2}{4c^2 t}}$$

$$g_3 = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i(z-z')k_z - k_z^2 c^2 t} dk_z = \frac{1}{\sqrt{4\pi c^2 t}} e^{-\frac{(z-z')^2}{4c^2 t}}$$

扩散方程的基本解

$$g(\mathbf{r}, \mathbf{r}', t) = \frac{1}{(4\pi c^2 t)^{n/2}} e^{-\frac{|\mathbf{r}-\mathbf{r}'|^2}{4c^2 t}}, (n = 3, 2, 1)$$

■ 无限空间的非齐次问题

$$\begin{cases} \frac{\partial u}{\partial t} - c^2 \nabla^2 u = f(\mathbf{r}, t) \\ u|_{t=0} = 0 \end{cases}$$

Green函数定义为

$$\begin{cases} \frac{\partial \tilde{g}}{\partial t} - c^2 \nabla^2 \tilde{g} = \delta(\mathbf{r}, \mathbf{r}') \delta(t, t') \\ \tilde{g}(\mathbf{r}, \mathbf{r}'; t, t')|_{t=0} = 0 \end{cases}$$



$$u(\mathbf{r}, t) = \int_0^\infty \int f(\mathbf{r}', t') \tilde{g}(\mathbf{r}, \mathbf{r}'; t, t') d^3 \mathbf{r}' dt'$$

用 Fourier 积分法求 Green 函数：令

$$\tilde{g}(\mathbf{r}, \mathbf{r}', t, t') = \int g(\mathbf{k}, \mathbf{r}', t, t') e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k}$$



$$\int \left(\frac{dg}{dt} + c^2 k^2 g \right) e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k} = \delta(\mathbf{r}, \mathbf{r}') \delta(t, t')$$

$$g(\mathbf{r}, \mathbf{r}', 0) = \int g(\mathbf{k}, \mathbf{r}', 0, t') e^{i\mathbf{k} \cdot \mathbf{r}} d^3\mathbf{k} = 0$$



$$\frac{dg}{dt} + c^2 k^2 g = \frac{1}{(2\pi)^3} e^{-i\mathbf{k} \cdot \mathbf{r}'} \delta(t, t')$$

常数变易法可求解

$$g(\mathbf{k}, \mathbf{r}', 0, t') = 0$$

$$g(\mathbf{k}, \mathbf{r}', t, t') = \frac{1}{(2\pi)^3} e^{-i\mathbf{k} \cdot \mathbf{r}'} \int_0^t \delta(\tau, t') \exp[-c^2 k^2 (t - \tau)] d\tau$$

$$= \frac{1}{(2\pi)^3} e^{-i\mathbf{k} \cdot \mathbf{r}'} \begin{cases} \exp[-c^2 k^2 (t - t')], & (t > t') \\ 0, & (t < t') \end{cases}$$



$$\tilde{g}(\mathbf{r}, \mathbf{r}', t, t') = \frac{1}{(2\pi)^3} H(t - t') \int \exp[-c^2 k^2 (t - t')] e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} d^3 \mathbf{k}$$

$$= \frac{1}{[4\pi c^2 (t - t')]^{3/2}} e^{-\frac{|\mathbf{r} - \mathbf{r}'|^2}{4c^2 t}} H(t - t')$$

$$\tilde{g}(\mathbf{r}, \mathbf{r}', t, t') = \frac{1}{[4\pi c^2 (t - t')]^{n/2}} e^{-\frac{|\mathbf{r} - \mathbf{r}'|^2}{4c^2 t}} H(t - t'), (n = 3, 2, 1)$$

因此，无限空间非齐次问题的解

$$\begin{aligned} u(\mathbf{r}, t) &= \int_0^\infty \int f(\mathbf{r}', t') \frac{H(t-t')}{[4\pi c^2(t-t')]^{3/2}} \exp\left[-\frac{|\mathbf{r}-\mathbf{r}'|^2}{4c^2(t-t')}\right] d^3\mathbf{r}' dt' \\ &= \int_0^t \int f(\mathbf{r}', t') g(\mathbf{r}, \mathbf{r}', t-t') d^3\mathbf{r}' dt' \end{aligned}$$

■ 无限空间的非齐次、初值问题

$$\frac{\partial u}{\partial t} - c^2 \nabla^2 u = f(\mathbf{r}, t), (t > 0); u|_{t=0} = \psi(\mathbf{r})$$



$$\begin{aligned} u(\mathbf{r}, t) &= \int g(\mathbf{r}, \mathbf{r}', t) \psi(\mathbf{r}') d^3\mathbf{r}' \\ &\quad + \int_0^t \int f(\mathbf{r}', t') g(\mathbf{r}, \mathbf{r}', t-t') d^3\mathbf{r}' dt' \end{aligned}$$

13.4 广义Green公式和积分解

一般形式的二阶线性偏微分算子为

$$L \equiv \sum_{\mu, \nu=1}^n a_{\mu\nu}(\mathbf{r}) \frac{\partial^2}{\partial x_\mu \partial x_\nu} + \sum_{\mu=1}^n b_\mu(\mathbf{r}) \frac{\partial}{\partial x_\mu} + c(\mathbf{r})$$

□ 共轭算子 L^+ 其定义为：使下列等式成立

$$(L\psi_i)^* \psi_j - \psi_i^* L^+ \psi_j = \sum_{\mu=1}^n \frac{\partial R_\mu(\psi_i^*, \psi_j)}{\partial x_\mu}$$

——注意：右边具有散度形式

$$L^+ \psi_j \equiv \sum_{\mu, \nu=1}^n \frac{\partial^2 (a_{\mu\nu}^* \psi_j)}{\partial x_\mu \partial x_\nu} - \sum_{\mu=1}^n \frac{\partial (b_\mu^* \psi_j)}{\partial x_\mu} + c^* \psi_j$$

$$R_{\mu} \equiv \sum_{\nu=1}^n \left[a_{\mu\nu}^* \psi_j \frac{\partial \psi_i^*}{\partial x_{\nu}} - \psi_i^* \frac{\partial (a_{\mu\nu}^* \psi_j)}{\partial x_{\nu}} \right] + b_{\mu}^* \psi_j \psi_i^*$$

□ 广义Green公式

$$\int_G [(L\psi_i)^* \psi_j - \psi_i^* L^+ \psi_j] d\tau = \iint_{\partial G} [(P\psi_i)^* \psi_j - \psi_i^* P^+ \psi_j] dS$$



$$P\psi_i \equiv \sum_{\mu,\nu=1}^n a_{\mu\nu} \frac{\partial \psi_i}{\partial x_{\nu}} \cos(n_{\nu}, x_{\nu}) + \beta \psi_i$$

$$P^+ \psi_j \equiv \sum_{\mu,\nu=1}^n a_{\mu\nu}^* \frac{\partial \psi_j}{\partial x_{\nu}} \cos(n_{\nu}, x_{\nu}) + (\beta^* - b) \psi_j$$

$$b \equiv \sum_{\mu=1}^n \left(b_{\mu}^* - \sum_{\nu=1}^n \frac{\partial a_{\mu\nu}^*}{\partial x_{\nu}} \right) \cos(n_{\nu}, x_{\nu})$$

□ 自共轭算子： $L = L^+$

注意：与Hermite对称的区别，与边界的关系

例 下列3个典型的微分算子与它们的共轭算子

①实系数三维S-L算子——自共轭算子

$$L = -\nabla \cdot [p(\mathbf{r})\nabla] + q(\mathbf{r}); L^+ = -\nabla \cdot (p\nabla \psi) + q\psi$$

②实系数三维波动算子——自共轭算子

$$\Pi = \frac{\partial^2}{\partial t^2} - \nabla \cdot [p(\mathbf{r})\nabla] + q(\mathbf{r}); \Pi^+ = \frac{\partial^2}{\partial t^2} - \nabla \cdot [p(\mathbf{r})\nabla] + q(\mathbf{r})$$

③实系数三维热扩散算子——非自共轭算子

$$\Pi = \frac{\partial}{\partial t} - \nabla \cdot [p(\mathbf{r})\nabla] + q(\mathbf{r}); \Pi^+ = -\frac{\partial}{\partial t} - \nabla \cdot [p(\mathbf{r})\nabla] + q(\mathbf{r})$$

□ 三维Laplace算子或者Helmholtz算子

$$\mathbf{L} = -\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) + k_0^2; \mathbf{L}^+ = -\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) + k_0^2$$



$$\mathbf{P}u \equiv \sum_{i=1}^3 \cos(n_i, x_i) \frac{\partial u}{\partial x_i} + \beta u = \frac{\partial u}{\partial n} + \beta u$$

$$\mathbf{P}^+v \equiv \sum_{i=1}^3 \cos(n_i, x_i) \frac{\partial v}{\partial x_i} + \beta v = \frac{\partial v}{\partial n} + \beta v$$

实数,如果有吸收,就不自共轭



$$\begin{aligned} \int_G (v\mathbf{L}u - u\mathbf{L}^+v) d\tau &= \iint_{\partial G} \left[v \left(\frac{\partial u}{\partial n} + \beta u \right) - u \left(\frac{\partial v}{\partial n} + \beta v \right) \right] dS \\ &= \iint_{\partial G} \left(v \frac{\partial u}{\partial n} - u \frac{\partial v}{\partial n} \right) dS \end{aligned}$$

□ 边值问题的积分解

$$L\psi = f(r), \quad r \in \Omega; \quad P\psi|_{\partial\Omega} = B(r), \quad r \in \partial\Omega$$

分别定义 L 和 L^+ 的Green函数

$$LG(r, r') = \delta(r - r'), \quad r, r' \in \Omega$$

$$PG(r, r')|_{\partial\Omega} = 0, \quad r' \in \Omega + \partial\Omega$$

$$L^+G^+(r, r') = \delta(r - r'), \quad r, r' \in \Omega$$

$$P^+G^+(r, r')|_{\partial\Omega} = 0, \quad r' \in \Omega + \partial\Omega$$



$$\int_{\Omega} [(L\psi)^* \psi^+ - \psi^* L^+ \psi^+] d\Omega = \iint_{\partial\Omega} [(P\psi)^* \psi^+ - \psi^* P^+ \psi^+] d\Sigma$$



$$\psi^+ = G^+(r, r')$$

$$\psi^*(\mathbf{r}') = \int_{\Omega} G^+(\mathbf{r}, \mathbf{r}') f^*(\mathbf{r}) d\Omega - \iint_{\partial\Omega} B^*(\mathbf{r}) G^+(\mathbf{r}, \mathbf{r}') d\Sigma$$



$$\psi(\mathbf{r}) = \int_{\Omega} [G^+(\mathbf{r}', \mathbf{r})]^* f(\mathbf{r}') d\Omega' - \iint_{\partial\Omega} B(\mathbf{r}') [G^+(\mathbf{r}', \mathbf{r})]^* d\Sigma'$$



$$G^+(\mathbf{r}', \mathbf{r}) = G^*(\mathbf{r}, \mathbf{r}')$$



$$\psi(\mathbf{r}) = \int_{\Omega} G(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') d\Omega' - \iint_{\partial\Omega} B(\mathbf{r}') G(\mathbf{r}, \mathbf{r}') d\Sigma'$$

——对含时间的波动方程或者热扩散方程,由于时间变量 t 的特殊性,问题较为复杂,下面把时间变量和空间变量分开处理.

■有限空间波动方程的混合问题

$$\frac{\partial^2 u}{\partial t^2} - c^2 \nabla^2 u = f(\mathbf{r}, t), \quad (t > 0, \mathbf{r} \in V)$$

$$u|_{t=0} = \psi_1(\mathbf{r}), u_t|_{t=0} = \psi_2(\mathbf{r}), (\mathbf{r} \in V + \partial V)$$

$$\left(\alpha u + \beta \frac{\partial u}{\partial n} \right) \Big|_{\partial V} = b(\mathbf{r}, t), \quad (t > 0, \mathbf{r} \in \partial V)$$

定义波动算子和共轭算子的Green函数分别满足

$$\frac{\partial^2 G(\mathbf{r}, \mathbf{r}', t)}{\partial t^2} - c^2 \nabla^2 G(\mathbf{r}, \mathbf{r}', t) = 0, \quad t > 0$$

$$G|_{t=0} = 0, \quad G_t|_{t=0} = \delta(\mathbf{r}, \mathbf{r}')$$

$$\left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_{\partial V} = 0, \quad \mathbf{r} \in \partial V$$

$$\frac{\partial^2 G^+(\mathbf{r}, \mathbf{r}', t)}{\partial t^2} - c^2 \nabla^2 G^+(\mathbf{r}, \mathbf{r}', t) = 0, \quad 0 < t < T$$

$$G^+|_{t=T} = 0; \quad G^+|_{t=T} = \delta(\mathbf{r}, \mathbf{r}')$$

$$\left(\alpha G^+ + \beta \frac{\partial G^+}{\partial n} \right) \bigg|_{\partial V} = 0, \quad \mathbf{r} \in \partial V$$

注意：①波动算子是自共轭算子；②对共轭算子，给定 T 时刻的初始条件，求 $t < T$ 的分布

对空间变量应用Green公式

$$\int_V (u^* \nabla^2 G^+ - G^+ \nabla^2 u^*) dV = \iint_B \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) dS$$

$$\int_V \frac{\partial}{\partial t} \left(u^* \frac{\partial G^+}{\partial t} - G^+ \frac{\partial u^*}{\partial t} \right) dV + \int_V G^+(\mathbf{r}, \mathbf{r}', t) f^*(\mathbf{r}, t) dV$$

$$= c^2 \iint_B \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) dS$$

上式两边对时间 t 积分，并且注意到

$$\int_0^T \int_V \frac{\partial}{\partial t} \left(u^* \frac{\partial G^+}{\partial t} - G^+ \frac{\partial u^*}{\partial t} \right) dV dt = \int_V \left(u^* \frac{\partial G^+}{\partial t} - G^+ \frac{\partial u^*}{\partial t} \right)_{t=0}^{t=T} dV$$

$$= \int_V u^* G_t^+ \big|_{t=T} dV - \int_V \left(\psi_1^* G_t^+ - G^+ \psi_2^* \right) \big|_{t=0} dV$$

$$= u^*(\mathbf{r}', T) - \int_V \left(\psi_1^* G_t^+ - G^+ \psi_2^* \right) \big|_{t=0} dV$$

$$u^*(\mathbf{r}', T) = \int_V \left(\psi_1^* G_t^+ - G^+ \psi_2^* \right) \Big|_{t=0} dV - \int_0^T \int_V G^+(\mathbf{r}, \mathbf{r}', t) f^*(\mathbf{r}, t) dV dt \\ + c^2 \int_0^T \iint_B \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) dS dt$$

右边面积分项

$$\left(\alpha G^+ + \beta \frac{\partial G^+}{\partial n} \right) \Big|_{\partial V} = 0 \quad \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) \Big|_{B_1} = -\frac{1}{\beta} G^+ b^*(\mathbf{r}, t) \\ \left(\alpha u^* + \beta \frac{\partial u^*}{\partial n} \right) \Big|_{\partial V} = b^*(\mathbf{r}, t) \quad \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) \Big|_{B_2} = \frac{1}{\alpha} \frac{\partial G^+}{\partial n} b^*(\mathbf{r}, t)$$

两边取复共轭并且交换变量

$$\mathbf{r}' \leftrightarrow \mathbf{r}$$

$$\begin{aligned}
u(\mathbf{r}, T) = & \int_V \left(\psi_1 [G_t^+]^* - [G^+]^* \psi_2 \right) |_{t=0} dV' \\
& - \int_0^T \int_V [G^+(\mathbf{r}', \mathbf{r}, \tau)]^* f(\mathbf{r}, \tau) dV' d\tau \\
& - c^2 \int_0^T \iint_{B_1} \frac{1}{\beta} [G^+]^* b(\mathbf{r}', \tau) dS' d\tau \\
& + c^2 \int_0^T \iint_{B_2} \frac{1}{\alpha} \frac{\partial [G^+]^*}{\partial n'} b(\mathbf{r}', \tau) dS' d\tau
\end{aligned}$$

Green函数的对称性

$$\begin{aligned}
G(\mathbf{r}, \mathbf{r}', t) & \equiv \sum_{m=0}^{\infty} \frac{1}{c\sqrt{\lambda_m}} \psi_m(\mathbf{r}) \psi_m^*(\mathbf{r}') \sin(c\sqrt{\lambda_m} t) \\
G^+(\mathbf{r}, \mathbf{r}', t) & \equiv \sum_{m=0}^{\infty} \frac{1}{c\sqrt{\lambda_m}} \psi_m(\mathbf{r}) \psi_m^*(\mathbf{r}') \sin[c\sqrt{\lambda_m} (t - T)]
\end{aligned}$$

$$[G^+(\mathbf{r}', \mathbf{r}, t)]^* = G(\mathbf{r}, \mathbf{r}', t - T) = -G(\mathbf{r}, \mathbf{r}', T - t)$$



$$\begin{aligned} u(\mathbf{r}, T) = & \frac{\partial}{\partial T} \int_V \psi_1(\mathbf{r}') G(\mathbf{r}, \mathbf{r}', T) dV' + \int_V G(\mathbf{r}, \mathbf{r}', T) \psi_2(\mathbf{r}') dV' \\ & + \int_0^T \int_V G(\mathbf{r}, \mathbf{r}', T - \tau) f(\mathbf{r}, \tau) dV' d\tau \\ & + c^2 \int_0^T \left[\iint_{B_1} \frac{1}{\beta} G(\mathbf{r}, \mathbf{r}', T - \tau) b(\mathbf{r}', \tau) dS' \right. \\ & \left. - \iint_{B_2} \frac{1}{\alpha} \frac{\partial G(\mathbf{r}, \mathbf{r}', T - \tau)}{\partial n'} b(\mathbf{r}', \tau) dS' \right] d\tau \end{aligned}$$

——由 T 的任意性，上式就是有限区域波动方程的积分解——与10章得到的结果一致。

■有限空间扩散方程的混合问题

$$\frac{\partial u}{\partial t} - c^2 \nabla^2 u = f(\mathbf{r}, t), \quad (t > 0, \mathbf{r} \in V)$$

$$u|_{t=0} = \psi(\mathbf{r})$$

$$\left(\alpha u + \beta \frac{\partial u}{\partial n} \right) \Big|_{\partial V} = b(\mathbf{r}, t), \quad (t > 0, \mathbf{r} \in \partial V)$$

定义扩散算子和共轭算子的Green函数分别满足

$$\frac{\partial G(\mathbf{r}, \mathbf{r}', t)}{\partial t} - c^2 \nabla^2 G(\mathbf{r}, \mathbf{r}', t) = 0, \quad t > 0$$

$$G|_{t=0} = \delta(\mathbf{r}, \mathbf{r}')$$

$$\left(\alpha G + \beta \frac{\partial G}{\partial n} \right) \Big|_{\partial V} = 0, \quad \mathbf{r} \in \partial V$$

$$-\frac{\partial G^+(\mathbf{r}, \mathbf{r}', t)}{\partial t} - c^2 \nabla^2 G^+(\mathbf{r}, \mathbf{r}', t) = 0, \quad 0 < t < T$$

$$G^+|_{t=T} = \delta(\mathbf{r}, \mathbf{r}')$$

$$\left(\alpha G^+ + \beta \frac{\partial G^+}{\partial n} \right) \bigg|_{\partial V} = 0, \quad \mathbf{r} \in \partial V$$

注意：①扩散算子不是自共轭算子；②对共轭算子，给定 T 时刻的初始条件，求 $t < T$ 的分布，解是稳定的

对空间变量应用Green公式

$$\int_V (u^* \nabla^2 G^+ - G^+ \nabla^2 u^*) dV = \iint_B \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) dS$$

$$\int_V \frac{\partial}{\partial t} (u^* G^+) dV = \int_V G^+ f^*(\mathbf{r}, t) dV - c^2 \iint_B \left(u^* \frac{\partial G^+}{\partial n} - G^+ \frac{\partial u^*}{\partial n} \right) dS$$

上式两边对时间 t 积分,并且注意到面积分与前类似

$$u^*(\mathbf{r}', T) = \int_V \psi^*(\mathbf{r}) G^+|_{t=0} dV + \int_0^T \int_V G^+ f^*(\mathbf{r}, t) dV dt \\ + c^2 \int_0^T \left[\iint_{B_1} \frac{1}{\beta} G^+ b^*(\mathbf{r}, t) dS - \iint_{B_2} \frac{1}{\alpha} \frac{\partial G^+}{\partial n} b^*(\mathbf{r}, t) dS \right] dt$$

两边取复共轭并且交换变量

$$\mathbf{r}' \leftrightarrow \mathbf{r}$$

$$u(\mathbf{r}, T) = \int_V \psi(\mathbf{r}') [G^+(\mathbf{r}', \mathbf{r}, t)]^*|_{t=0} dV' + \int_0^T \int_V [G^+(\mathbf{r}', \mathbf{r}, t)]^* f(\mathbf{r}', t) dV' dt$$

$$+ c^2 \int_0^T \left[\iint_{B_1} \frac{1}{\beta} [G^+(\mathbf{r}', \mathbf{r}, t)]^* b(\mathbf{r}', t) dS' - \iint_{B_2} \frac{1}{\alpha} \frac{\partial [G^+(\mathbf{r}', \mathbf{r}, t)]^*}{\partial n'} b(\mathbf{r}, t) dS' \right] dt$$

Green函数的对称性

$$G(\mathbf{r}, \mathbf{r}', t) = \sum_{m=1}^{\infty} \exp(-c^2 \lambda_m t) \psi_m(\mathbf{r}) \psi_m^*(\mathbf{r}')$$

$$G^+(\mathbf{r}, \mathbf{r}', t) = \sum_{m=1}^{\infty} \exp[c^2 \lambda_m (t - T)] \psi_m(\mathbf{r}) \psi_m^*(\mathbf{r}')$$



$$[G^+(\mathbf{r}', \mathbf{r}, t)]^* = G(\mathbf{r}, \mathbf{r}', T - t)$$

$$u(\mathbf{r}, T) = \int_V \psi(\mathbf{r}') G(\mathbf{r}, \mathbf{r}', T) dV' + \int_0^T \int_V G(\mathbf{r}, \mathbf{r}', T - \tau) f(\mathbf{r}', \tau) dV' d\tau$$

$$+ c^2 \int_0^T \left[\iint_{B_1} \frac{1}{\beta} G(\mathbf{r}, \mathbf{r}', T - \tau) b(\mathbf{r}', \tau) dS' \right. \\ \left. - \iint_{B_2} \frac{1}{\alpha} \frac{\partial G(\mathbf{r}, \mathbf{r}', T - \tau)}{\partial n'} b(\mathbf{r}, \tau) dS' \right] d\tau$$



$$u(\mathbf{r}, t) = \int_V \psi(\mathbf{r}') G(\mathbf{r}, \mathbf{r}', t) dV' + \int_0^t \int_V G(\mathbf{r}, \mathbf{r}', t - \tau) f(\mathbf{r}', \tau) dV' d\tau$$

$$+ c^2 \int_0^T \left[\iint_{B_1} \frac{1}{\beta} G(\mathbf{r}, \mathbf{r}', t - \tau) b(\mathbf{r}', \tau) dS' \right. \\ \left. - \iint_{B_2} \frac{1}{\alpha} \frac{\partial G(\mathbf{r}, \mathbf{r}', t - \tau)}{\partial n'} b(\mathbf{r}, \tau) dS' \right] d\tau$$

13.5 把微分方程化成积分方程

Green函数的目的：(1)解方程；(2)把微分方程转化为积分方程——更有意义.


□ 一般形式

$$L[u(\mathbf{r})] = \varepsilon L_1[u(\mathbf{r}), \mathbf{r}] \equiv f(\mathbf{r})$$

定义Green函数 (基本解)——已经求得

$$L[g(\mathbf{r}, \mathbf{r}')] = \delta(\mathbf{r}, \mathbf{r}')$$

$$L[u_0(\mathbf{r})] = 0$$


$$\begin{aligned} u(\mathbf{r}) &= L^{-1} f(\mathbf{r}) = u_0(\mathbf{r}) + \int f(\mathbf{r}') g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}' \\ &= u_0(\mathbf{r}) + \varepsilon \int L_1[u(\mathbf{r}'), \mathbf{r}'] g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}' \end{aligned}$$

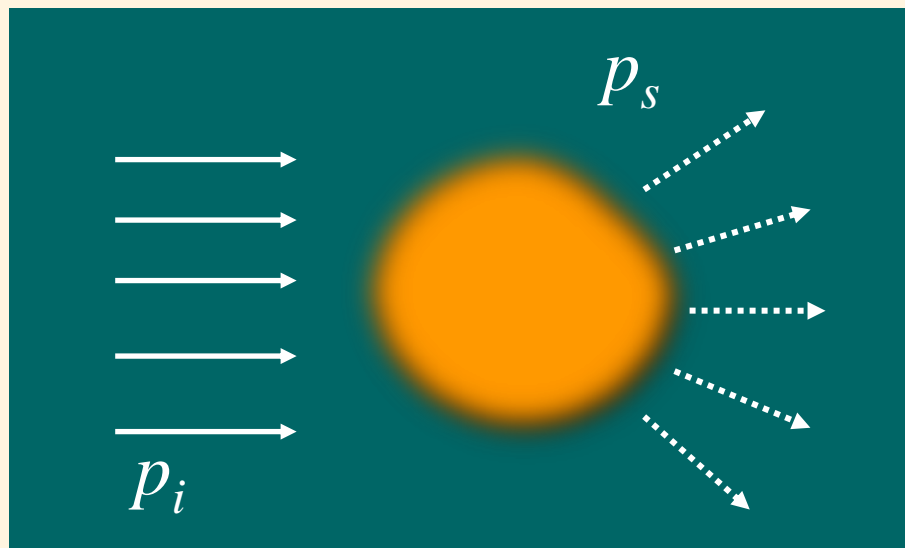


形式解

意义：①微分方程转化成积分方程，有利于数值计算；②当 ε 足够小，容易得到迭代公式。

■ 非均匀区的声散射

$$-(\nabla^2 + k_0^2)p(\mathbf{r}, \omega) = k_0^2 \gamma_\kappa(\mathbf{r}) p(\mathbf{r}, \omega)$$



利用无界空间的Green函数

$$g(\mathbf{r}, \mathbf{r}') = \frac{\exp(ik_0 |\mathbf{r} - \mathbf{r}'|)}{4\pi |\mathbf{r} - \mathbf{r}'|}$$



$$p(\mathbf{r}, \omega) = p_i(\mathbf{r}, \omega) + k_0^2 \int_V \gamma_\kappa(\mathbf{r}') p(\mathbf{r}', \omega) g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}'$$

——第二类Fredholm积分方程

Born近似

当散射比较“弱” 可以用迭代法求解方程

第一次近似(Born近似)

$$p(\mathbf{r}, \omega) \approx p_i(\mathbf{r}, \omega) + p_1(\mathbf{r}, \omega)$$

$$p_1(\mathbf{r}, \omega) \equiv k_0^2 \int_V \gamma_\kappa(\mathbf{r}') p_i(\mathbf{r}', \omega) g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}'$$

第二次近似

$$p(\mathbf{r}, \omega) \approx p_i(\mathbf{r}, \omega) + p_1(\mathbf{r}, \omega) + p_2(\mathbf{r}, \omega)$$

$$p_2(\mathbf{r}, \omega) \equiv k_0^2 \int_V \gamma_{\kappa}(\mathbf{r}') p_1(\mathbf{r}, \omega) g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}'$$

第N次近似

$$p(\mathbf{r}, \omega) = p_i(\mathbf{r}, \omega) + \sum_{j=1}^N p_j(\mathbf{r}, \omega)$$



$$p_N(\mathbf{r}, \omega) \equiv k_0^2 \int_V \gamma_{\kappa}(\mathbf{r}') p_{N-1}(\mathbf{r}', \omega) g(\mathbf{r}, \mathbf{r}') d^3 \mathbf{r}'$$

当 $N \rightarrow \infty$ 时，由 $(p_1, p_2, \dots, p_N, \dots)$ 形成的级数称为Born级数. Born级数的收敛性讨论在理论上讲非常困难. 充分条件：①低频($k_0 a \ll 1$); ②非均匀度较小($\|\gamma_{\kappa}(\mathbf{r})\| \ll 1$).

■ 量子力学散射

■ Lippman-Schwinger积分方程

把Schrödinger方程改写成形式

$$-(\nabla^2 + k^2)\psi = -\frac{2m}{\hbar^2}U(\mathbf{r})\psi$$

入射粒子
波数

$$k^2 = 2mE / \hbar^2$$

定义Green 函数

$$-(\nabla^2 + k^2)g(\mathbf{r}, \mathbf{r}') = \delta(\mathbf{r}, \mathbf{r}') \Rightarrow g(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi} \frac{e^{ik|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|}$$

Schrödinger方程化成积分方程

$$\psi(\mathbf{r}) = \psi_0(\mathbf{r}) - \frac{2m}{\hbar^2} \int g(\mathbf{r}, \mathbf{r}')U(\mathbf{r}')\psi(\mathbf{r}')d^3\mathbf{r}'$$

入射波

$$(\nabla^2 + k^2)\psi_0 = 0 \Rightarrow \psi_0(\mathbf{r}) = \psi_i(\mathbf{r}) = \psi_0 \exp(\mathbf{i}\mathbf{k} \cdot \mathbf{r})$$

Lippman-Schwinger积分方程

$$\begin{aligned}\psi(\mathbf{r}) &= \psi_0 \exp(\mathbf{i}\mathbf{k} \cdot \mathbf{r}) - \frac{2m}{\hbar^2} \int g(\mathbf{r}, \mathbf{r}') U(\mathbf{r}') \psi(\mathbf{r}') d^3\mathbf{r}' \\ &= \psi_0 \exp(\mathbf{i}\mathbf{k} \cdot \mathbf{r}) - \frac{m}{2\pi\hbar^2} \int \frac{e^{\mathbf{i}k|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} U(\mathbf{r}') \psi(\mathbf{r}') d^3\mathbf{r}'\end{aligned}$$

叠代求解

0级近似，即为入射波

$$\psi^{(0)}(\mathbf{r}) \approx \psi_0 \exp(\mathbf{i}\mathbf{k} \cdot \mathbf{r})$$

1级近似, Born近似

$$\psi^{(1)}(\mathbf{r}) \approx \psi_0 \exp(i\mathbf{k} \cdot \mathbf{r}) - \frac{m}{2\pi\hbar^2} \int \frac{\exp(i\mathbf{k} |\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|} U(\mathbf{r}') \psi^{(0)}(\mathbf{r}') d^3\mathbf{r}'$$

$$\psi^{(0)}(\mathbf{r}) = \psi_0 \exp(i\mathbf{k} \cdot \mathbf{r})$$

□远场特性

空间Fourier变换

$$\lim_{|\mathbf{r}| \rightarrow \infty} \psi_s(\mathbf{r}) = -\psi_0 \frac{2m}{\hbar^2} \frac{e^{ik|\mathbf{r}|}}{4\pi|\mathbf{r}|} \int e^{ik(\mathbf{e}_i - \mathbf{e}_r) \cdot \mathbf{r}'} U(\mathbf{r}') d^3\mathbf{r}'$$

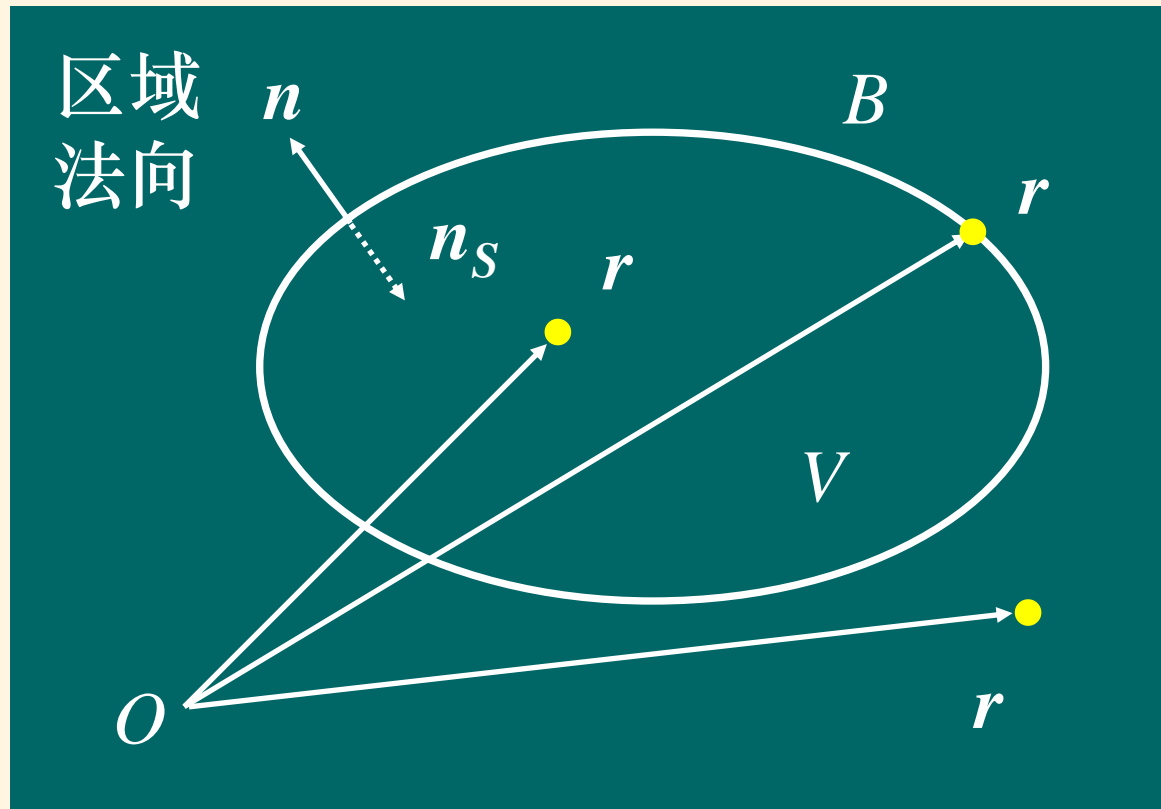


$$\mathbf{e}_r \equiv \mathbf{r}/|\mathbf{r}|; \mathbf{e}_i \equiv \mathbf{k}/|\mathbf{k}|$$

$$|\mathbf{r} - \mathbf{r}'| \approx |\mathbf{r}| \left(1 - \frac{\mathbf{r} \cdot \mathbf{r}'}{|\mathbf{r}|^2} \right); \quad \frac{1}{|\mathbf{r} - \mathbf{r}'|} \approx \frac{1}{|\mathbf{r}|}$$

■ Kirchhoff积分公式——边界元方法

场中的任意曲面 B ，包围的区域 V ，如果区域 V 内不存在源



$$\int_V (u \nabla'^2 g - g \nabla'^2 u) dV' = \iint_B \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS'$$



$$-(\nabla'^2 + k_0^2)g = \delta(\mathbf{r}', \mathbf{r}); \quad -(\nabla'^2 + k_0^2)u = f(\mathbf{r}')$$

① 点 \mathbf{r} 在区域 V 外: $\mathbf{r} \neq \mathbf{r}' \Rightarrow -(\nabla'^2 + k_0^2)g = 0$

$$\int_V g(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') dV' = \iint_B \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS'$$



$$f(\mathbf{r}') = 0$$



$$\iint_B \left(u \frac{\partial g}{\partial n'_s} - g \frac{\partial u}{\partial n'_s} \right) dS' = 0$$

②点 r 在区域 V 内：当 r' 在 V 上作体积分时，总有可能 $r'=r$ ，于是

$$-(\nabla'^2 + k_0^2)g = \delta(\mathbf{r}', \mathbf{r})$$



$$u(\mathbf{r}) = \int_V g(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') dV' + \iint_B \left(u \frac{\partial g}{\partial n'_s} - g \frac{\partial u}{\partial n'_s} \right) dS'$$



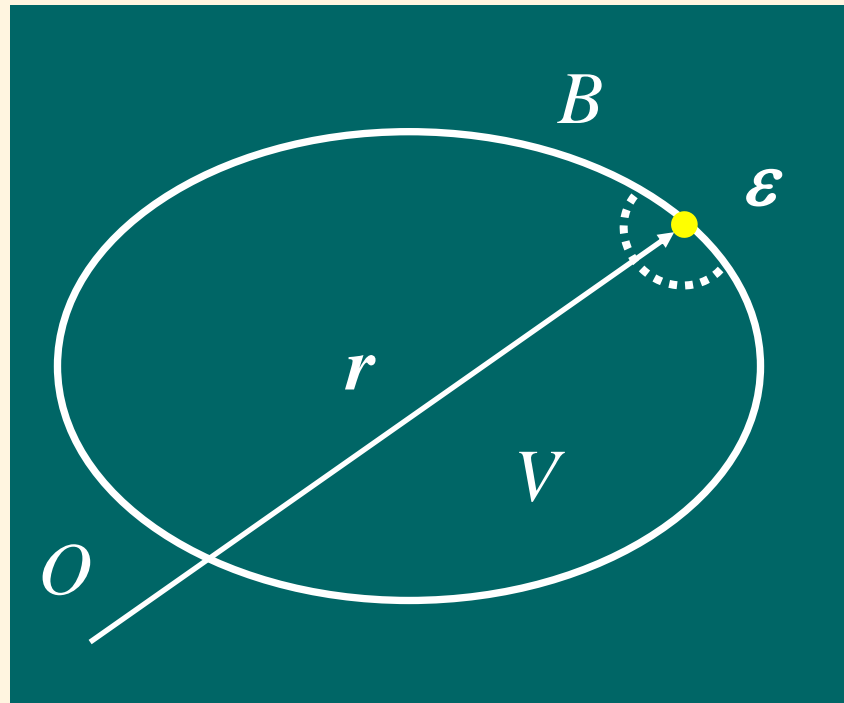
$$u(\mathbf{r}) = \iint_B \left(u \frac{\partial g}{\partial n'_s} - g \frac{\partial u}{\partial n'_s} \right) dS'$$

③点 r 恰好在区域 V 的边界 B ：当 r' 在 B 上作面积分时，总有可能 $r'=r$ ，于是上式是一个反常积分. 在 r 周围去掉半径为 ε 的半球，形成新的区域 $V-\varepsilon$ ，则 r 在新区域外，于是

$$\iint_{B-\varepsilon} \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS' = 0$$



$$P \iint_B \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS' + \lim_{\varepsilon \rightarrow 0} \iint_{\varepsilon} \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS' = 0$$



作运算

$$\begin{aligned}\frac{\partial g(|\mathbf{r} - \mathbf{r}'|)}{\partial n'_\varepsilon} &= -\mathbf{e}_R \cdot \nabla' g(R) = -\frac{dg(R)}{dR} (\mathbf{e}_R \cdot \nabla' R) \\ &= -\mathbf{e}_R \cdot \mathbf{e}_R \frac{ik_0 R - 1}{R} g(R) = -\frac{ik_0 \varepsilon - 1}{4\pi \varepsilon^2} \exp(i\varepsilon)\end{aligned}$$

$$R = |\mathbf{r} - \mathbf{r}'| = \varepsilon; \mathbf{e}_R = (\mathbf{r}' - \mathbf{r}) / R$$



$$\begin{aligned}&\lim_{\varepsilon \rightarrow 0} \iint_\varepsilon \left[u(\mathbf{r}') \frac{\partial g(|\mathbf{r} - \mathbf{r}'|)}{\partial n'_\varepsilon} - g(|\mathbf{r} - \mathbf{r}'|) \frac{\partial u(\mathbf{r}')}{\partial n'_\varepsilon} \right] dS' \\ &= \lim_{\varepsilon \rightarrow 0} \left[-u(\mathbf{r} + \boldsymbol{\varepsilon}) \frac{ik_0 \varepsilon - 1}{4\pi \varepsilon^2} \exp(i\varepsilon) - \frac{1}{4\pi \varepsilon} \exp(i\varepsilon) \frac{\partial u(\mathbf{r} + \boldsymbol{\varepsilon})}{\partial R} \right] 2\pi \varepsilon^2 \\ &= \frac{1}{2} u(\mathbf{r}) - \lim_{\varepsilon \rightarrow 0} \varepsilon \frac{\partial u(\mathbf{r})}{\partial R} = \frac{1}{2} u(\mathbf{r}), (\boldsymbol{\varepsilon} \equiv \mathbf{r}' - \mathbf{r})\end{aligned}$$

因此，点 r 恰好在区域 V 的边界 B 时

$$P \iint_B \left(u \frac{\partial g}{\partial n'_s} - g \frac{\partial u}{\partial n'_s} \right) dS' = \lim_{\varepsilon \rightarrow 0} \iint_{\varepsilon} \left(u \frac{\partial g}{\partial n'} - g \frac{\partial u}{\partial n'} \right) dS' = \frac{1}{2} u(\mathbf{r})$$

于是，合成为

$$\int_V g(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') dV' + P \iint_B \left(u \frac{\partial g}{\partial n'_s} - g \frac{\partial u}{\partial n'_s} \right) dS' = \begin{cases} u(\mathbf{r}), & (\mathbf{r} \in V) \\ \frac{1}{2} u(\mathbf{r}), & (\mathbf{r} \in B) \\ 0, & (\mathbf{r} \notin V + B) \end{cases}$$

注意： g 是自由空间的Green函数，即基本解，故上式仍然是关于 u 的积分方程。

$$g = \frac{1}{4\pi} \frac{\exp(ik_0 |\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|}; g = \frac{1}{4\pi} \frac{1}{|\mathbf{r} - \mathbf{r}'|}$$

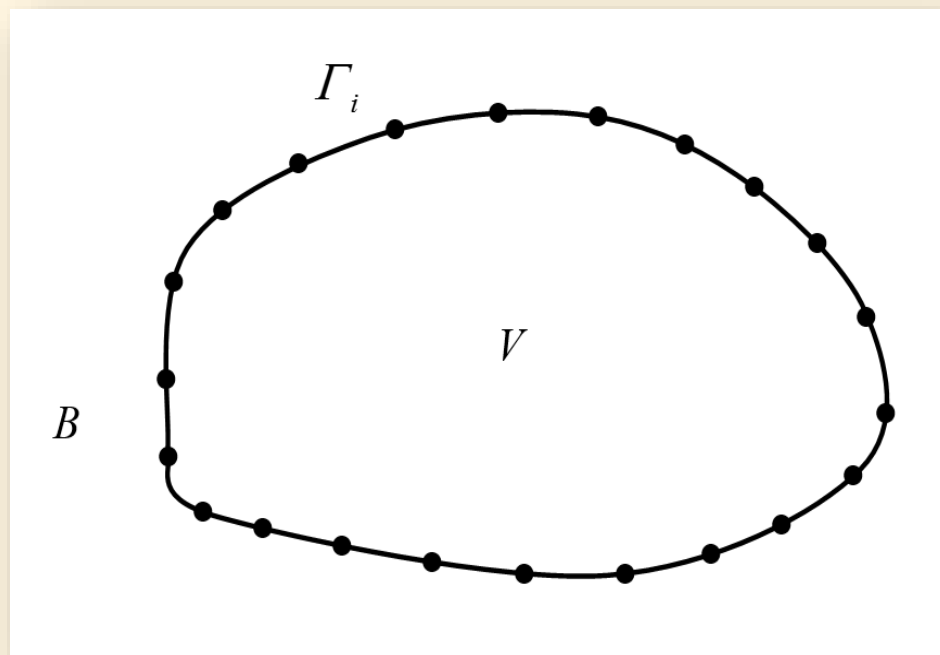
■ 边界元方法

①数值求解下列积分方程，得到边界上的 u 和法向导数

$$\int_V g(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') dV' + P \iint_B \left(u \frac{\partial g}{\partial n'_S} - g \frac{\partial u}{\partial n'_S} \right) dS' = \frac{1}{2} u(\mathbf{r}), \quad (\mathbf{r} \in B)$$

②然后计算体内场的分布

$$u(\mathbf{r}) = \int_V g(\mathbf{r}, \mathbf{r}') f(\mathbf{r}') dV' + P \iint_B \left(u \frac{\partial g}{\partial n'_S} - g \frac{\partial u}{\partial n'_S} \right) dS' \quad (\mathbf{r} \in V)$$



- 外区域问题(散射问题): V 以外的无限大空间 G (无限远处边界+ B 形成闭合曲面)

