## **Problem 5**

I. The one-dimensional Schrödinger equation describing the behavior of an electron is expressed as

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x,t)}{\partial x^2} + V(x)\psi(x,t) \ .$$

Here,  $\psi(x,t)$  is the electron wave function as a function of position x and time t, V(x) is the potential as a function of x, m is the electron mass,  $\hbar$  is Planck's constant h divided by  $2\pi$ , and h is the imaginary unit. Answer the following questions.

(1) The wave function of energy eigenstates is expressed as

$$\psi(x,t) = \Phi(x)e^{-i\omega t},$$

where  $\Phi(x)$  is a function of x and  $\omega$  is the angular frequency. Using this equation, derive the time-independent Schrödinger equation.

(2) Consider the energy eigenstates of an electron confined by the potential V(x) shown in Fig. 1, where V(x) = 0 for  $0 \le x \le L$  and  $V(x) = V_0$  for x < 0 and L < x. When the potential height  $V_0$  is infinite, the solution for  $\Phi(x)$  in the range  $0 \le x \le L$  is given by

$$\Phi(x) = C_1 e^{ikx} + C_2 e^{-ikx} .$$

Here k is the wavenumber, which is a positive real number.  $C_1$  and  $C_2$  are constants. Note that  $\Phi(x) = 0$  for x < 0 and L < x.

- (2-i) Express the eigenenergy E as a function of k.
- (2-ii) Find the value of k and the eigenenergy E.
- (2-iii) Find the eigenfunction of the electron corresponding to each eigenenergy obtained above.

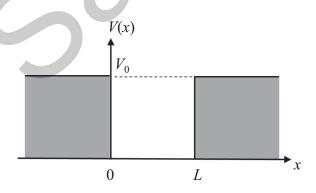


Fig. 1

(3) Consider the case where the potential height  $V_0$  is finite in Fig. 1. When  $V_0 > E$ , the solution for the electron wavefunction  $\Phi(x)$  confined by the potential barriers is given by

$$\Phi(x) = \begin{cases}
B_1 e^{k'x} & x < 0 \\
C_1 e^{ikx} + C_2 e^{-ikx} & 0 \le x \le L \\
B_2 e^{-k'x} & L < x
\end{cases}$$

where k' is a positive real number, and  $k' = \frac{\sqrt{2m(V_0 - E)}}{\hbar}$ .  $B_1$ ,  $B_2$ ,  $C_1$ , and  $C_2$  are constants.

- (3-i) Using k', describe the penetration (penetration length) of the electron wavefunction into the potential barriers.
- (3-ii) Give physical reasons why there is no penetration of the electron wavefunction into the potential barriers when  $V_0$  is infinite.
- (3-iii) What difference occurs in the eigenenergy value of the ground state when  $V_0$  is infinite and when  $V_0$  is finite? Also, how is the difference (between the eigenenergy values when  $V_0$  is infinite and when  $V_0$  is finite) in the excited states compared with the ground state? Here, it is not necessary to exactly calculate the electron wavefunctions and eigenenergy values when  $V_0$  is finite.
- (3-iv) In the electron system confined by the potential V(x) as shown in Fig. 1, when electrons exist only in the ground state, light irradiation can induce a transition from the ground state to the excited states (In a semiconductor quantum well structure, this is called "inter-subband transition"). How do the photon energy and wavelength of the inter-subband transition change when  $V_0$  is changed from infinite to finite? Here, it is not necessary to exactly calculate the electron wavefunctions and eigenenergy values when  $V_0$  is finite.

- II. Consider the conduction band and valence band of a three-dimensional intrinsic semiconductor crystal. The bottom energy of the conduction band is  $E_{\rm C}$ , the top energy of the valence band is  $E_{\rm V}$ , the Fermi level is  $E_{\rm F}$ , the effective mass of electrons is  $m_{\rm e}$ , the effective mass of holes is  $m_{\rm h}$ , the band gap is  $E_{\rm g}$ , the absolute temperature is T, Boltzmann's constant is  $k_{\rm B}$ , Planck's constant is h, and h is h divided by  $2\pi$ . In this semiconductor, the bandgap is about 1 eV, only one band each is considered for electrons and holes, respectively, carriers exist only near the  $\Gamma$  point (wavevector k=0), and the effective mass approximation can be applied. Answer the following questions.
- (1) Express the kinetic energy E of the electron in the conduction band as a function of the wavevector k.
- (2) The density of states g(E) of the electrons in the conduction band is expressed as

$$g(E) = \frac{1}{2\pi^2} \left(\frac{2m_e}{\hbar^2}\right)^{\frac{3}{2}} (E - E_C)^{\frac{1}{2}}.$$

Derive this equation.

(3) Obtain the electron density  $n_0$  in the conduction band of this semiconductor in thermal equilibrium near room temperature using  $E_C$ ,  $E_F$ ,  $m_e$ , T,  $k_B$ , and h. Assume that the Fermi-Dirac distribution can be approximated by the Boltzmann distribution. The following formula may be used in the derivation.

$$\int_0^\infty \sqrt{x} \exp\left(\frac{-x}{k_{\rm B}T}\right) dx = \frac{1}{2} \sqrt{\pi (k_{\rm B}T)^3} .$$

- (4) Find the Fermi level  $E_{\rm F}$  near room temperature.
- (5) If this semiconductor is Si (silicon), what impurities should be doped to make it N-type or P-type, respectively? Indicate one element for each type, and describe the reason for your choice. Also, how does the Fermi level  $E_{\rm F}$  change when the semiconductor is changed from intrinsic to N-type or P-type, respectively? Explain the above in about 5 lines. You may use diagrams if necessary.