

# Fundamentals of theory of computation 2

## 1st lecture

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## Brief syllabus

- ▶ Two models of mathematical logic. Propositional logic and first order logic.
- ▶ Asymptotical properties of functions.
- ▶ Turing machines (TM) as a model of algorithms
- ▶ Multitape TM, nondeterministic TM, counting TM
- ▶ Cardinality of infinite sets.
- ▶ Algorithmic language classes RE, R and their properties
- ▶ Undecidable problems, reduction of a language (problem) to another language
- ▶ Algorithmic vs. Chomsky language classes.
- ▶ Basic concepts of complexity theory, time complexity classes, NP-completeness, polynomial time reduction
- ▶ NP-complete problems (SAT, graph problems, ...)
- ▶ Offline TM, space complexity.

## Two models of mathematical logic

Basic concept: atomic **proposition** (or **statement**): either **true** or **false** independent of context. **true** and **false** are called **truth values**. Compound propositions consist of atomic propositions and linguistic connectors corresponding to Boolean operators.

### I. Propositional logic (propositional calculus, zeroth-order logic)

The formulas are built from atomic propositions (variables).

*Internal structure* of atomic propositions are not considered.

Formulas can be combined using Boolean operators (and, or, etc.).

Atomic propositions can be either true or false.

### II. First-order logic (predicate logic, predicate calculus)

Statements have inner structure. Allows the use of sentences that contain variables and symbols that can be interpreted as functions and relations. Variables range over a domain. Formulas can be combined using Boolean operators (and, or, etc.) and quantifiers.

First order logic has more expressive power but a less simple model.

## Propositional logic

### Formal syntax

#### Definition

Let  $\mathcal{P} = \{p, q, r, \dots\}$  be a (countably) infinite set, its elements are called **atoms** (also called: atomic propositions, variables, atomic variables).

We define an **alphabet** (the set of terminals) as follows:

- ▶ elements of  $\mathcal{P}$ ,
- ▶ Boolean operators:

negation	$\neg$	conjunction	$\wedge$
disjunction	$\vee$	implication	$\rightarrow$
( , )		(in the case of a string representation).	

Note: sometimes further Boolean operators are used as well, such as equivalence ( $\leftrightarrow$ ), exclusive or ( $\oplus$ ), nor ( $\downarrow$ ), nand ( $\uparrow$ ).

## Syntax of propositional logic

Tree representation of formulas

### Definition

A **formula** is one of the following rooted node-labelled binary trees

- ▶ a single node (root) labelled by an **atom**
- ▶ a node (root) labelled by  $\neg$  with a single child that is a formula
- ▶ a node (root) labelled by **one of the binary operators with two children** both of which are formulas

### Definition

A **(proper) subformula** is a (proper) subtree.

### Definition

**Principal operator** of a formula is the operator at the root of the tree.

(Formulas, that are atoms have no principal operator).

## Syntax of propositional logic

String representation of formulas

Input: a formula  $F$  given by its tree representation

Output: string representation of  $F$

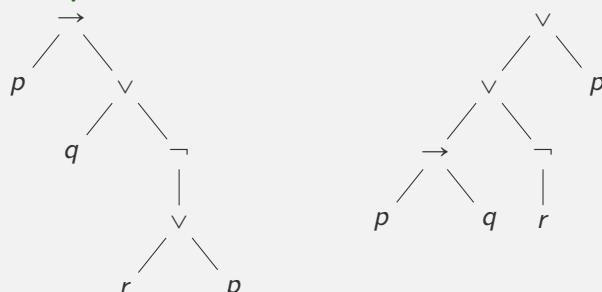
### Algorithm INORDER( $F$ )

- 1: **if**  $F$  is a leaf **then**
- 2:   **write** its label
- 3: **if** the root of  $F$  is labelled by  $\neg$  and its only child is the root of a subtree  $F_1$  **then**
- 4:   **write**  $\neg$
- 5:   INORDER( $F_1$ )
- 6: **else** let  $F_1$  and  $F_2$  be the left and right subtrees of  $F$
- 7:   **write** a left parenthesis '('
- 8:   INORDER( $F_1$ )
- 9:   **write** the label of the root of  $F$
- 10:   INORDER( $F_2$ )
- 11:   **write** a right parenthesis ')'

## Syntax of propositional logic

The need of parenthesis

### Example:



String representation:  
 $(p \rightarrow (q \vee \neg(r \vee p)))$

String representation:  
 $((p \rightarrow q) \vee \neg r) \vee p$

Without parenthesis there would be an ambiguity as both string representation were  $p \rightarrow q \vee \neg r \vee p$ .

## Syntax of propositional logic

Leaving parenthesis

Another way to resolve ambiguous formulas is to define precedence and associativity conventions.

Analogy: arithmetic expression, e.g.,  $3 + 8 \cdot 7$ .

**Order of precedence** from high to low:  $\neg, \wedge, \vee, \rightarrow$ .

Operators are assumed to associate to the right, that is,  $A \vee B \vee C$  means  $(A \vee (B \vee C))$ .

Parentheses are used only if they are needed to indicate an order different from that imposed by the rules for precedence and associativity.

**Examples** (minimum number of parenthesis):

$$\begin{array}{ccc} (p \rightarrow (q \vee \neg(r \vee p))) & \Rightarrow & p \rightarrow q \vee \neg(r \vee p) \\ (((p \rightarrow q) \vee \neg r) \vee p) & \Rightarrow & ((p \rightarrow q) \vee \neg r) \vee p \end{array}$$

## Semantics of propositional logic

### Interpretation

Analogy: arithmetic expressions. E.g.,  $y = a + 2 \cdot b$ . Assign values to  $a$  and  $b$  then evaluate  $y$ .

### Definition

Let  $A$  be a formula and let  $P_A$  be the set of atoms appearing in  $A$ . An **interpretation** for  $A$  is a total function  $I_A : P_A \rightarrow \{T, F\}$ , i.e., a function assigning truth values  $T$  or  $F$  to the atoms of  $P_A$ .

We can use the shorter notation  $I$  instead of  $I_A$  whenever the formula  $A$  is clear from the context. Example:

$A = p \rightarrow q \vee \neg(r \vee p)$ .  $P_A = \{p, q, r\}$ . One possibility is the following:  $I(p) = T$ ,  $I(q) = F$ ,  $I(r) = F$ . There are 8 possibilities for  $I$  in this case.

## Semantics of propositional logic

### Example for an evaluation

We shall use the short notation  $v$  instead of  $v_I$  or  $v_{I_A}$  whenever the formula  $A$  and the interpretation  $I$  is clear from the context.

### Example:

$$A = p \rightarrow q \vee \neg(r \vee p).$$

$$P_A = \{p, q, r\}.$$

$$I(p) = T, I(q) = F, I(r) = F.$$

$$v(r \vee p) = v(r) \vee v(p) = F \vee T = T.$$

$$v(\neg(r \vee p)) = \neg(v(r \vee p)) = \neg T = F.$$

$$v(q) = F, v(\neg(r \vee p)) = F, \text{ so } v(q \vee \neg(r \vee p)) = F$$

$$v(p) = T, v(q \vee \neg(r \vee p)) = F, \text{ so } v(A) = F.$$

## Semantics of propositional logic

### Evaluation of a formula

### Definition

Let  $I$  be an interpretation for a formula  $A$ .  $v_I(A)$ , the **truth value of  $A$  under  $I$**  is defined recursively as follows:

$v_I(A) = I(A)$	if $A$ is an atom
$v_I(\neg A) = T$	if $v_I(A) = F$
$v_I(\neg A) = F$	if $v_I(A) = T$
$v_I(A_1 \wedge A_2) = T$	if $v_I(A_1) = T$ and $v_I(A_2) = T$
$v_I(A_1 \wedge A_2) = F$	in the other three cases
$v_I(A_1 \vee A_2) = F$	if $v_I(A_1) = F$ and $v_I(A_2) = F$
$v_I(A_1 \vee A_2) = T$	in the other three cases
$v_I(A_1 \rightarrow A_2) = F$	if $v_I(A_1) = T$ and $v_I(A_2) = F$
$v_I(A_1 \rightarrow A_2) = T$	in the other three cases

## Semantics of propositional logic

### Truth table

We may be interested in the truth value for every possible interpretation of a formula.

Let  $A$  be a formula and suppose, that  $|P_A| = n$ .

Since each of the  $n$  atoms can be assigned  $T$  or  $F$  independently, there are  $2^n$  possible interpretations.

### Definition

A **truth table** for a formula  $A$  is a table with  $n + 1$  columns and  $2^n$  rows, where  $n = |P_A|$ . There is a column for each atom in  $P_A$ , plus a column for the formula  $A$  itself. Content of the first  $n$  columns specify an interpretation  $I$  mapping atoms of  $P_A$  to  $\{T, F\}$ . For an interpretation (row)  $I$ , the last column contains  $v_I(A)$ , the truth value of  $A$  in interpretation  $I$ .

## Semantics of propositional logic

Example for truth table

### Example:

Let us make the truth table for  $A = p \rightarrow q \vee \neg(r \vee p)$ .

$p$	$q$	$r$	$r \vee p$	$\neg(r \vee p)$	$q \vee \neg(r \vee p)$	$p \rightarrow q \vee \neg(r \vee p)$
T	T	T	T	F	T	T
T	T	F	T	F	T	T
T	F	T	T	F	F	F
T	F	F	T	F	F	F
F	T	T	T	F	T	T
F	T	F	F	T	T	T
F	F	T	T	F	T	T
F	F	F	F	T	T	T

## Semantics of propositional logic

Semantic properties of formulas

### Definition

Let  $A$  be a formula

- ▶  $A$  is called **satisfiable** iff  $v_I(A) = T$  holds for at least one interpretation  $I$ .
- ▶ An interpretation  $I$  evaluating  $A$  for  $T$  is called a **model** for  $A$ , denoted by  $I \models A$ .
- ▶  $A$  is called **valid**, denoted  $\models A$ , iff  $v_I(A) = T$  holds for all interpretations  $I$ .  
A valid propositional formula is also called a **tautology**.
- ▶  $A$  is called **unsatisfiable** iff it is not satisfiable, that is,  $v_I(A) = F$  hold for all interpretations  $I$ .

## Semantics of propositional logic

Semantic properties of formulas, examples

Let  $A_1, A_2$  be formulas.

### Definition

If  $v_I(A_1) = v_I(A_2)$  holds for all interpretations  $I$ , then we say that  $A_1$  is **logically equivalent** to  $A_2$ , denoted  $A_1 \equiv A_2$ .

### Examples:

- ▶ Let  $A = p \rightarrow q \vee \neg(r \vee p)$ .

Then  $A$  is satisfiable since interpretation  $FTF$  is a model for  $A$ . (See its truth table for the previous example.)

On the other hand  $A$  is not valid and not unsatisfiable.

- ▶ formula  $p \vee \neg p$  is valid, on the other hand  $p \wedge \neg p$  is unsatisfiable.
- ▶  $p \vee q$  and  $q \vee p$  are logically equivalent formulas.

## Laws of propositional logic

Let us extend the syntax of Boolean formulas to include two constant propositions  $\top$  and  $\perp$ . Their semantics are defined as follows.  $I(\top) = T$  and  $I(\perp) = F$  holds for all interpretations  $I$ .

- ▶  $A \vee \top \equiv \top$  and  $A \wedge \perp \equiv \perp$  (domination laws),
- ▶  $A \vee \perp \equiv A$  and  $A \wedge \top \equiv A$  (identity laws),
- ▶  $A \vee \neg A \equiv \top$  and  $A \wedge \neg A \equiv \perp$ ,
- ▶  $\neg\neg A \equiv A$  (double negation law),
- ▶  $A \vee A \equiv A$  and  $A \wedge A \equiv A$  (idempotent laws),
- ▶  $A \rightarrow B \equiv \neg A \vee B$ ,
- ▶  $A \rightarrow B \equiv \neg B \rightarrow \neg A$  (law of transposition),

## Laws of propositional logic

(cont'd)

- $A \vee B \equiv B \vee A$  and  $A \wedge B \equiv B \wedge A$  (commutative laws),
- $(A \vee B) \vee C \equiv A \vee (B \vee C)$  and  
 $(A \wedge B) \wedge C \equiv A \wedge (B \wedge C)$  (associative laws),
- $(A \vee B) \wedge C \equiv (A \wedge C) \vee (B \wedge C)$  and  
 $(A \wedge B) \vee C \equiv (A \vee C) \wedge (B \vee C)$  (distributive laws),
- $\neg(A \wedge B) \equiv \neg A \vee \neg B$  and  $\neg(A \vee B) \equiv \neg A \wedge \neg B$  (De Morgan laws),
- $(A \vee B) \wedge B \equiv B$  and  $(A \wedge B) \vee B \equiv B$  (absorption laws).

Definitions of other Boolean ops:

- $A \leftrightarrow B \equiv (A \rightarrow B) \wedge (B \rightarrow A)$ ,
- $A \oplus B \equiv (A \vee B) \wedge \neg(A \wedge B)$ ,
- $A \uparrow B \equiv \neg(A \wedge B)$ ,
- $A \downarrow B \equiv \neg(A \vee B)$ .

## Substitution

### Definition

Let  $A$  be a subformula of  $B$  and let  $A'$  be any formula.  $B\{A \leftarrow A'\}$ , the **substitution** of  $A$  for  $A'$  in  $B$ , is the formula obtained by replacing all occurrences of the subtree for  $A$  in  $B$  by  $A'$ .

### Example:

$$\begin{aligned} B &= (p \rightarrow q) \vee \neg(p \rightarrow q) \vee (\neg q \rightarrow \neg p). \\ A &= p \rightarrow q, \quad A' = \neg p \vee q \\ B\{A \leftarrow A'\} &= (\neg p \vee q) \vee \neg(\neg p \vee q) \vee (\neg q \rightarrow \neg p). \\ B\{p \leftarrow \neg r\} &= (\neg r \rightarrow q) \vee \neg(\neg r \rightarrow q) \vee (\neg q \rightarrow \neg \neg r), \end{aligned}$$

### Theorem

Let  $A$  be a subformula of  $B$  and let  $A'$  be a formula such that  $A \equiv A'$ . Then  $B \equiv B\{A \leftarrow A'\}$ .

## Substitution

### Proof:

Let  $B' = B\{A \leftarrow A'\}$  and  $I$  be an arbitrary interpretation. Since  $A \equiv A'$  we have  $v(A) = v(A')$ . We have to show that  $v(B) = v(B')$ .

The proof is by induction on the depth  $d$  of the highest occurrence of the subtree  $A$  in  $B$ .

If  $d = 0$ , there is only one occurrence of  $A$ , namely  $B$  itself.

Obviously,  $v(B) = v(A) = v(A') = v(B')$ .

If  $d \neq 0$ , then  $B$  is either  $\neg B_1$  or  $B_1 \text{ op } B_2$  for some formulas  $B_1$ ,  $B_2$  and binary operator  $\text{op}$ .

In  $B_1$ , the depth of  $A$  is less than  $d$ . By the inductive hypothesis,  $v(B_1) = v(B'_1) = v(B_1\{A \leftarrow A'\})$ , and  $v(B_2) = v(B'_2) = v(B_2\{A \leftarrow A'\})$  hold.

By the definition of  $v$ ,  $v(B)$  depends only on  $v(B_1)$  and  $v(B_2)$ , so  $v(B) = v(B')$  holds as well, proving the theorem.

## Substitution

We can prove validity/unsatisfiability of a formula or logical equivalence of two formulas by applying laws of propositional logic and the substitution theorem.

### Example:

$$\begin{aligned} A \rightarrow (B \rightarrow A) &\equiv \neg A \vee (\neg B \vee A) \equiv (\neg A \vee \neg B) \vee A \\ &\equiv (\neg B \vee \neg A) \vee A \equiv \neg B \vee (\neg A \vee A) \equiv \neg B \vee (A \vee \neg A) \\ &\equiv \neg B \vee \top \equiv \top. \end{aligned}$$

So  $A \rightarrow (B \rightarrow A)$  is a valid formula.

## Semantic properties of a set of formulas

### Satisfiability

#### Definition

A set of formulas  $U$  is called (simultaneously) **satisfiable** iff there exists an interpretation  $I$  such that  $v_I(A) = T$  holds for all  $A \in U$ . Such an interpretation  $I$  is called a **model** for  $U$  and denoted by  $I \models U$ .  $U$  is **unsatisfiable** iff it is not satisfiable. I.e., for every interpretation  $I$ , there exists a formula  $A \in U$  such that  $v_I(A) = F$ .

#### Examples:

$$U_1 = \{p, \neg p \vee q, q \wedge r\},$$

$$U_2 = \{p, \neg p \vee q, \neg p\}.$$

$$U_3 = \{p, \neg p \vee q, \neg q\}.$$

Which ones of these three are satisfiable?

$I \models U_1$  holds for the interpretation  $I(p) = I(q) = I(r) = T$ .

$p$  and  $\neg p$  can not be  $T$  simultaneously, so for all interpretations  $I$ :  $I \not\models U_2$ .

One can check that for all interpretations  $I$ :  $I \not\models U_3$ .

## Semantic properties of a set of formulas

### Logical consequence

#### Proposition

If  $U$  is satisfiable, then  $U'$  is satisfiable, too, for all  $U' \subseteq U$ .  
If  $U$  is unsatisfiable, then  $U''$  is unsatisfiable for all  $U \subseteq U''$ .

#### Definition

Let  $U$  be a set of formulas and  $A$  a formula.  $A$  is a **logical consequence** of  $U$ , denoted  $U \models A$ , iff every model of  $U$  is a model for  $A$ .

#### Example:

Let  $A = (p \vee r) \wedge (\neg q \vee \neg r)$ . Then  $A$  is a logical consequence of  $\{p, \neg q\}$ , denoted  $\{p, \neg q\} \models A$ , since  $A$  is true in all interpretations  $I$  such that  $I(p) = T$  and  $I(q) = F$ .

Note, that  $A$  is not valid, since it is not true in the interpretation  $I$  where  $I(p) = F, I(q) = T, I(r) = T$ .

## Conjunctive normal form (CNF)

#### Definition

A **literal** is either an atom or a negation of an atom.

#### Definition

A formula is in **conjunctive normal form (CNF)** iff it is a conjunction of disjunctions of literals.

#### Example:

$$(\neg p \vee q \vee r) \wedge (\neg q \vee r) \wedge (\neg r) \quad \text{CNF}$$

$$(\neg p \vee q \vee r) \wedge ((p \wedge \neg q) \vee r) \wedge (\neg r) \quad \text{not in CNF}$$

$$(\neg p \vee q \vee r) \wedge \neg(\neg q \vee r) \wedge (\neg r) \quad \text{not in CNF}$$

$$\neg p \vee q \vee r \quad \text{CNF}$$

## Conjunctive normal form (CNF)

#### Theorem

For every formula  $A$  in propositional logic there is a logically equivalent formula in CNF.

**Proof** Let  $P_A = \{p_1, \dots, p_n\}$  and let  $A^F = \{I \mid v_I(A) = F\}$  be the set of those interpretations evaluating  $A$  for false.

For every  $I \in A^F$

$$B_I = \bigvee_{x: I(x)=T} \neg x \vee \bigvee_{x: I(x)=F} x$$

is a disjunction of literals with the property  $B_I^F = \{I\}$ .

$$B = \bigwedge_{I \in A^F} B_I \text{ has the property}$$

$$B^F = \bigcup_{I \in A^F} B_I^F = \bigcup_{I \in A^F} \{I\} = A^F,$$

i.e.,  $B \equiv A$  and  $B$  is in CNF proving the theorem.

## Conjunctive normal form (CNF)

**Example:** Let  $A = (p \rightarrow q) \rightarrow r$ . Then the truth table for  $A$  is the following

p	q	r	A
T	T	T	T
T	T	F	F
T	F	T	T
T	F	F	T
F	T	T	T
F	T	F	F
F	F	T	T
F	F	F	F

According the previous proof

$$(\neg p \vee \neg q \vee r) \wedge (p \vee \neg q \vee r) \wedge (p \vee q \vee r)$$

is a CNF equivalent with  $A$ .

## Conjunctive normal form (CNF)

**Heuristic algorithm** (can be made formal):

**1st step:** Eliminate implications by the law  $A \rightarrow B \equiv \neg A \vee B$

**2nd step:** Use De Morgan's laws and double negation law to transform the formula into a formula with the property that negations occur only right before the atoms  
 $\neg(A \wedge B) \equiv \neg A \vee \neg B$ ,  $\neg(A \vee B) \equiv \neg A \wedge \neg B$  and  $\neg\neg A \equiv A$ ,

**3rd step:** Now, the formula is in the form of literals connected by  $\wedge$ 's and  $\vee$ 's. Use distributive laws,  
 $A \vee (B \wedge C) \equiv (A \vee B) \wedge (A \vee C)$  and  
 $(A \wedge B) \vee C \equiv (A \vee C) \wedge (B \vee C)$   
 to eliminate conjunctions within disjunctions or vice versa.

**Example:**

$$\begin{aligned} (\neg p \rightarrow \neg q) \rightarrow (p \rightarrow q) &\equiv \neg(\neg\neg p \vee \neg q) \vee (\neg p \vee q) \\ &\equiv (\neg\neg\neg p \wedge \neg\neg q) \vee (\neg p \vee q) \\ &\equiv (\neg p \wedge q) \vee (\neg p \vee q) \\ &\equiv (\neg p \vee \neg p \vee q) \wedge (q \vee \neg p \vee q). \end{aligned}$$

## Clausal form

Clausal form is another representation of a CNF.

- ▶ A *clause* is a set of literals. Example:  $\{\neg q, \neg p, q\}$ .
- ▶ A clause is considered to be an implicit disjunction of its literals. Example:  $\{\neg q, \neg p, q\}$  is  $\neg q \vee \neg p \vee q$ .
- ▶ A *unit clause* is a clause consisting of exactly one literal. Example:  $\{\neg q\}$ .
- ▶ The empty set of literals is the *empty clause*, denoted by  $\square$ .
- ▶ A formula in *clausal form* is a set of clauses. Example:  $\{\{p, r\}, \{\neg q, \neg p, q\}\}$ .
- ▶ A formula is considered to be an implicit conjunction of its clauses. Example:  $(p \vee r) \wedge (\neg q \vee \neg p \vee q)$  for the previous one.
- ▶ The formula that is the empty set of clauses is denoted by  $\emptyset$ .

## Removing trivial clauses

### Corollary

For every formula in propositional logic there is a logically equivalent formula in clausal form.

multiple occurrences of literals and clauses  $\Rightarrow$  single occurrence equivalence due to idempotent laws ( $A \vee A \equiv A$ ,  $A \wedge A \equiv A$ )

**Example:** CNF:

$$(p \vee r) \wedge (\neg q \vee \neg p \vee q) \wedge (p \vee \neg p \vee q \vee p \vee \neg p) \wedge (r \vee p)$$

Clausal form:  $\{\{p, r\}, \{\neg q, \neg p, q\}, \{p, \neg p, q\}\}$

A clause is called **trivial** if it contains a pair of clashing literals.

### Proposition

Let  $S$  be a set of clauses and let  $C \in S$  be a trivial clause. Then  $S - \{C\}$  is logically equivalent to  $S$ .

True, because of  $A \vee \top \equiv \top$  and  $A \wedge \top \equiv A$ . So we can delete trivial clauses.

## Empty clause and the empty set of clauses

### Proposition

$\square$  (empty clause) is unsatisfiable.  $\emptyset$  (the empty set of clauses) is valid.

**Proof:** A clause is satisfiable iff there is some interpretation under which at least one literal in the clause is true.

Let  $I$  be an arbitrary interpretation. Since there are no literals in  $\square$ , there are no literals whose value is true under  $I$ .

But  $I$  was an arbitrary interpretation, so is unsatisfiable.

A set of clauses is valid iff every clause in the set is true in every interpretation.

But there are no clauses in  $\emptyset$  that need to be true, so  $\emptyset$  is valid.

## A short notation for clausal form

An even shorter notation can be introduced to denote CNF's.

### Notation

Remove set delimiters { and } for clauses and denote negated literals by a bar over the atomic proposition.

Let  $CNF(A)$  and  $cf(A)$  denote a CNF and a clausal form for a formula  $A$ , respectively.

### Example:

$$A = (p \vee r) \wedge (q \rightarrow \neg p \vee q) \wedge (p \vee \neg p \vee q \vee p \vee \neg p) \wedge (r \vee p)$$

$$CNF(A) = (p \vee r) \wedge (\neg q \vee \neg p \vee q) \wedge (p \vee \neg p \vee q \vee p \vee \neg p) \wedge (r \vee p)$$

$cf(A) = \{\{p, r\}, \{\neg q, \neg p, q\}, \{p, \neg p, q\}\}$  which becomes

$cf(A) = \{pr, \bar{q}\bar{p}q, p\bar{p}q\}$  with the shorter notation.

## Logical consequence in propositional logic

### Theorem

Let  $U = \{A_1, \dots, A_n\}$  be a set of formulas and  $B$  be a formula in propositional logic. Then the following statements are equivalent.

- ▶  $\{A_1, \dots, A_n\} \vDash B$
- ▶  $\{A_1 \wedge \dots \wedge A_n\} \vDash B$
- ▶  $\models A_1 \wedge \dots \wedge A_n \rightarrow B$
- ▶  $\models \neg A_1 \vee \dots \vee \neg A_n \vee B$
- ▶  $A_1 \wedge \dots \wedge A_n \wedge \neg B$  unsatisfiable
- ▶  $\{A_1, \dots, A_n, \neg B\}$  unsatisfiable
- ▶  $\{CNF(A_1), \dots, CNF(A_n), CNF(\neg B)\}$  unsatisfiable
- ▶  $cf(A_1) \cup \dots \cup cf(A_n) \cup cf(\neg B)$  unsatisfiable

So, logical consequence in propositional logic can be decided by constructing a set of clauses and deciding whether it is unsatisfiable or not.

## Resolution rule

### Definition and example

If  $\ell$  is a literal, let  $\ell^c$  denote its complementary pair.

### Definition

Let  $C_1, C_2$  be clauses such that  $\ell \in C_1, \ell^c \in C_2$ . The clauses  $C_1, C_2$  are said to be **clashing clauses** and to **clash on the complementary pair of literals**  $\ell, \ell^c$ .  $C$ , the **resolvent** of  $C_1$  and  $C_2$ , is the clause:

$$\text{Res}(C_1, C_2) = (C_1 - \{\ell\}) \cup (C_2 - \{\ell^c\}).$$

$C_1$  and  $C_2$  are the parent clauses of  $C$ .

**Example:**  $C_1 = ab\bar{c}$  and  $C_2 = b\bar{c}\bar{e}$

They clash on the pair of complementary literals  $c, \bar{c}$ .

$$\text{Res}(C_1, C_2) = (ab\bar{c} - \{\bar{c}\}) \cup (b\bar{c}\bar{e} - \{c\}) = ab \cup b\bar{e} = ab\bar{e}.$$

Recall that a clause is a set so duplicated literals are removed when taking the union.

## Resolution

### Case of more than one pair of clashing clauses

Resolution is only performed if the pair of clauses clash on exactly one pair of complementary literals due to the following.

### Proposition

If two clauses clash on more than one literal, their resolvent is a trivial clause.

**Remark:** It is not strictly incorrect to perform resolution on such clauses, but since trivial clauses contribute nothing to the satisfiability or unsatisfiability of a set of clauses, we agree to delete them from any set of clauses and not to perform resolution on clauses with two clashing pairs of literals.

## Resolution procedure

Easy to check the following.

### Lemma

Let  $I$  be an interpretation. If  $I \models \{C_1, C_2\}$  then  $I \models \text{Res}(C_1, C_2)$ . If  $I \models \text{Res}(C_1, C_2)$ , then  $I$  can be extended to  $\hat{I}$ , such that  $\hat{I} \models \{C_1, C_2\}$ .

### Corollary

Let  $S$  be a set of clauses and let  $C_1, C_2 \in S$  be a pair of clashing clauses. Then  $S$  is satisfiable if and only if  $S \cup \{\text{Res}(C_1, C_2)\}$  is satisfiable.

If a set of clauses  $S$  contains  $\square$  then  $S$  is unsatisfiable.

## Resolution procedure

### Algorithm

Let  $\binom{S}{2}$  denote the set of 2-element subsets of  $S$ .

---

#### Algorithm RESOLUTION PROCEDURE( $S$ )

---

```
1: while there is an unmarked pair of  $\binom{S}{2}$  do
2:   choose an unmarked pair  $\{C_1, C_2\}$  of  $\binom{S}{2}$  and mark it
3:   if  $\{C_1, C_2\}$  is a clashing pair of clauses then
4:      $C \leftarrow \text{Res}(C_1, C_2)$ 
5:     if  $C = \square$  then
6:       return 'S is unsatisfiable'
7:     else
8:       if  $C$  is not the trivial clause then
9:          $S \leftarrow S \cup \{C\}$ 
10:    return 'S is satisfiable'
```

---

## Resolution procedure

### Example

Consider the set of clauses

$$S = \{(1) p, (2) \bar{p}q, (3) \bar{r}, (4) \bar{p}\bar{q}r\},$$

where the clauses have been numbered. Here is a resolution derivation of  $\square$  from  $S$ , where the justification for each line is the pair of the numbers of the parent clauses that have been resolved to give the resolvent clause:

(5)	$\bar{p}\bar{q}$	$\text{Res}((3),(4))$
(6)	$\bar{p}$	$\text{Res}((5),(2))$
(7)	$\square$	$\text{Res}((6),(1))$

## Resolution procedure

An algorithm for a decision problem (only 'yes' and 'no' outputs are available) is said to be **sound** if it never gives the answer 'yes' for 'no' instances. An algorithm is said to be **complete** if it produces the answer 'yes' for all 'yes' instances. So a sound and complete algorithm for a decision problem gives a 'yes' answer exactly for the 'yes' instances.

### Theorem

Resolution procedure for propositional logic is sound and complete and halt for every input.

Note, that by the lemma before the algorithm one can never get  $\square$  for a satisfiable set of clauses. Termination is guaranteed, since only a finite number of clauses can be made from a finite number of atoms. The rest of the proof is skipped.