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OpenGL Projection Matrix

Related Topics: OpenGL Transformation, OpenGL Matrix

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Updates: The MathML version is available <u>here.</u>

Overview

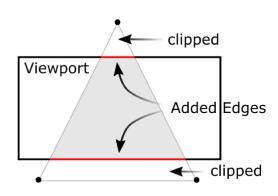
A computer monitor is a 2D surface. A 3D scene rendered by OpenGL must be projected onto the computer screen as a 2D image. GL_PROJECTION <u>matrix</u> is used for this projection <u>transformation</u>. First, it transforms all vertex data from the eye coordinates to the clip coordinates. Then, these clip coordinates are also transformed to the normalized device coordinates (NDC) by dividing with *w* component of the clip coordinates.

Therefore, we have to keep in mind that both clipping (frustum culling) and NDC transformations are integrated into **GL_PROJECTION** matrix. The following sections describe how to build the projection matrix from 6 parameters; *left*, *right*, *bottom*, *top*, *near* and *far* boundary values.

Note that the frustum culling (clipping) is performed in the clip coordinates, just before dividing by w_c . The clip coordinates, x_c , y_c and z_c are tested by comparing with w_c . If any clip coordinate is less than $-w_c$, or greater than w_c , then the vertex will be discarded.

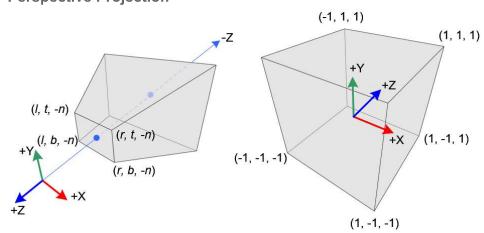
$$-w_c < x_c, y_c, z_c < w_c$$

Then, OpenGL will reconstruct the edges of the polygon where clipping occurs.



A triangle clipped by frustum

Perspective Projection



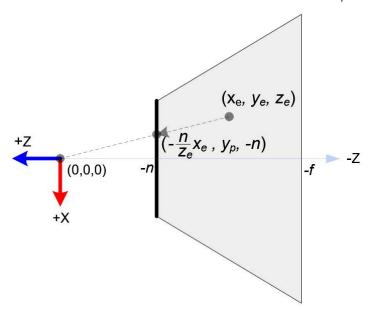
Perspective Frustum and Normalized Device Coordinates (NDC)

In perspective projection, a 3D point in a truncated pyramid frustum (eye coordinates) is mapped to a cube (NDC); the range of x-coordinate from [I, r] to [-1, 1], the y-coordinate from [b, t] to [-1, 1] and the z-coordinate from [-n, -f] to [-1, 1].

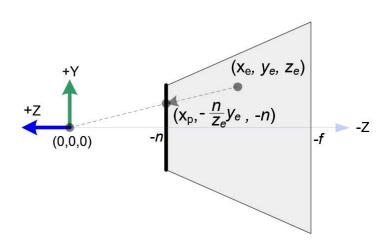
Note that the eye coordinates are defined in the right-handed coordinate system, but NDC uses the left-handed coordinate system. That is, the camera at the origin is looking along -Z axis in eye space, but it is looking along

+Z axis in NDC. Since **glFrustum()** accepts only positive values of *near* and *far* distances, we need to negate them during the construction of GL PROJECTION matrix.

In OpenGL, a 3D point in eye space is projected onto the *near* plane (projection plane). The following diagrams show how a point (x_e, y_e, z_e) in eye space is projected to (x_p, y_p, z_p) on the *near* plane.



Top View of Frustum



Side View of Frustum

From the top view of the frustum, the x-coordinate of eye space, x_e is mapped to x_p , which is calculated by using the ratio of similar triangles;

ratio of similar triangles;
$$\frac{x_p}{x_e} = \frac{-n}{z_e}$$

$$x_p = \frac{-n \cdot x_e}{z_e} = \frac{n \cdot x_e}{-z_e}$$

From the side view of the frustum, yp is also calculated in a similar way;

$$\frac{y_p}{y_e} = \frac{-n}{z_e}$$

$$y_p = \frac{-n \cdot y_e}{z_e} = \frac{n \cdot y_e}{-z_e}$$

Note that both x_p and y_p depend on z_e ; they are inversely propotional to $-z_e$. In other words, they are both divided by $-z_e$. It is a very first clue to construct GL_PROJECTION matrix. After the eye coordinates are transformed by multiplying GL_PROJECTION matrix, the clip coordinates are still a <u>homogeneous coordinates</u>. It finally becomes the normalized device coordinates (NDC) by divided by the w-component of the clip coordinates. (See more details on <u>OpenGL</u>

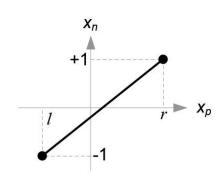
Transformation.)

$$egin{pmatrix} x_{clip} \ y_{clip} \ z_{clip} \ w_{clip} \end{pmatrix} = M_{projection} \cdot egin{pmatrix} x_{eye} \ y_{eye} \ z_{eye} \ w_{eye} \end{pmatrix}, egin{pmatrix} x_{ndc} \ y_{ndc} \ z_{ndc} \end{pmatrix} = egin{pmatrix} x_{clip}/w_{clip} \ y_{clip}/w_{clip} \ z_{clip}/w_{clip} \end{pmatrix}.$$

Therefore, we can set the w-component of the clip coordinates as $-z_e$. And, the 4th of GL_PROJECTION matrix becomes (0, 0, -1, 0).

$$\begin{pmatrix} x_c \\ y_c \\ z_c \\ w_c \end{pmatrix} = \begin{pmatrix} \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix}, \qquad \therefore w_c = -z_e$$

Next, we map x_p and y_p to x_n and y_n of NDC with linear relationship; [I, r] \Rightarrow [-1, 1] and [b, t] \Rightarrow [-1, 1].



Mapping from x_p to x_n

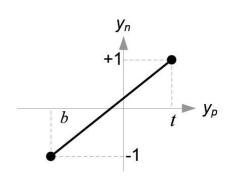
$$x_{n} = \frac{1 - (-1)}{r - l} \cdot x_{p} + \beta$$

$$1 = \frac{2r}{r - l} + \beta \qquad \text{(substitute } (r, 1) \text{ for } (x_{p}, x_{n}))$$

$$\beta = 1 - \frac{2r}{r - l} = \frac{r - l}{r - l} - \frac{2r}{r - l}$$

$$= \frac{r - l - 2r}{r - l} = \frac{-r - l}{r - l} = -\frac{r + l}{r - l}$$

$$\therefore x_{n} = \frac{2x_{p}}{r - l} - \frac{r + l}{r - l}$$



Mapping from yp to yn

$$y_n = \frac{1 - (-1)}{t - b} \cdot y_p + \beta$$

$$1 = \frac{2t}{t - b} + \beta \qquad \text{(substitute } (t, 1) \text{ for } (y_p, y_n))$$

$$\beta = 1 - \frac{2t}{t - b} = \frac{t - b}{t - b} - \frac{2t}{t - b}$$

$$= \frac{t - b - 2t}{t - b} = \frac{-t - b}{t - b} = -\frac{t + b}{t - b}$$

$$\therefore y_n = \frac{2y_p}{t - b} - \frac{t + b}{t - b}$$

Then, we substitute x_p and y_p into the above equations.

$$x_{n} = \frac{2x_{p}}{r - l} - \frac{r + l}{r - l} \qquad (x_{p} = \frac{nx_{e}}{-z_{e}})$$

$$= \frac{2 \cdot \frac{n \cdot x_{e}}{-z_{e}}}{r - l} - \frac{r + l}{r - l}$$

$$= \frac{2n \cdot x_{e}}{(r - l)(-z_{e})} - \frac{r + l}{r - l}$$

$$= \frac{\frac{2n}{r - l} \cdot x_{e}}{-z_{e}} - \frac{r + l}{r - l}$$

$$= \frac{\frac{2n}{r - l} \cdot x_{e}}{-z_{e}} + \frac{\frac{r + l}{r - l} \cdot z_{e}}{-z_{e}}$$

$$= \left(\frac{2n}{r - l} \cdot x_{e} + \frac{r + l}{r - l} \cdot z_{e}\right) / - z_{e}$$

$$y_n = \frac{2y_p}{t - b} - \frac{t + b}{t - b} \qquad (y_p = \frac{ny_e}{-z_e})$$

$$= \frac{2 \cdot \frac{n \cdot y_e}{-z_e}}{t - b} - \frac{t + b}{t - b}$$

$$= \frac{2n \cdot y_e}{(t - b)(-z_e)} - \frac{t + b}{t - b}$$

$$= \frac{\frac{2n}{t - b} \cdot y_e}{-z_e} - \frac{t + b}{t - b}$$

$$= \frac{\frac{2n}{t - b} \cdot y_e}{-z_e} + \frac{\frac{t + b}{t - b} \cdot z_e}{-z_e}$$

$$= \left(\frac{2n}{t - b} \cdot y_e + \frac{t + b}{t - b} \cdot z_e\right) / - z_e$$

Note that we make both terms of each equation divisible by $-z_e$ for perspective division (x_c/w_c , y_c/w_c). And we set w_c to $-z_e$ earlier, and the terms inside parentheses become x_c and y_c of the clip coordinates.

From these equations, we can find the 1st and 2nd rows of GL_PROJECTION matrix.

$$\begin{pmatrix} x_c \\ y_c \\ z_c \\ w_c \end{pmatrix} = \begin{pmatrix} \frac{2n}{r-l} & 0 & \frac{r+l}{r-l} & 0 \\ 0 & \frac{2n}{t-b} & \frac{t+b}{t-b} & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix}$$

Now, we only have the 3rd row of GL_PROJECTION matrix to solve. Finding z_n is a little different from others because z_e in eye space is always projected to -n on the near plane. But we need unique z value for the clipping and depth test. Plus, we should be able to unproject (inverse transform) it. Since we know z does not depend on x or y value, we borrow w-component to find the relationship between z_n and z_e . Therefore, we can specify the 3rd row of

$$\begin{pmatrix} z_c \\ y_c \\ z_c \\ w_c \end{pmatrix} = \begin{pmatrix} \frac{2n}{r-l} & 0 & \frac{r+l}{r-l} & 0 \\ 0 & \frac{2n}{t-b} & \frac{t+b}{t-b} & 0 \\ 0 & 0 & A & B \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix}, \qquad z_n = z_c/w_c = \frac{Az_e + Bw_e}{-z_e}$$

In eye space, we equals to 1. Therefore, the equation becomes;

$$z_n = \frac{Az_e + B}{-z_e}$$

To find the coefficients, A and B, we use the (z_e, z_n) relation; (-n, -1) and (-f, 1), and put them into the above equation.

$$\begin{cases} \frac{-An+B}{n} = -1\\ \frac{-Af+B}{f} = 1 \end{cases} \rightarrow \begin{cases} -An+B = -n\\ -Af+B = f \end{cases} \tag{2}$$

To solve the equations for A and B, rewrite eq.(1) for B;

$$B = An - n \tag{1'}$$

Substitute eq.(1') to B in eq.(2), then solve for A;

$$-Af + (An - n) = f \tag{2}$$

$$-(f-n)A = f+n$$

$$A = -\frac{f+n}{f-n}$$

Put A into eq.(1) to find B

$$\left(\frac{f+n}{f-n}\right)n+B=-n\tag{1}$$

$$B = -n - \left(\frac{f+n}{f-n}\right)n = -\left(1 + \frac{f+n}{f-n}\right)n = -\left(\frac{f-n+f+n}{f-n}\right)n$$
$$= -\frac{2fn}{f-n}$$

We found A and B. Therefore, the relation between z_e and z_n becomes;

$$z_n = \frac{-\frac{f+n}{f-n}z_e - \frac{2fn}{f-n}}{-z_e}$$
 (3)

Finally, we found all entries of GL_PROJECTION matrix. The complete projection matrix is;

$$\begin{pmatrix} \frac{2n}{r-l} & 0 & \frac{r+l}{r-l} & 0 \\ 0 & \frac{2n}{t-b} & \frac{t+b}{t-b} & 0 \\ 0 & 0 & \frac{-(f+n)}{f-n} & \frac{-2fn}{f-n} \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

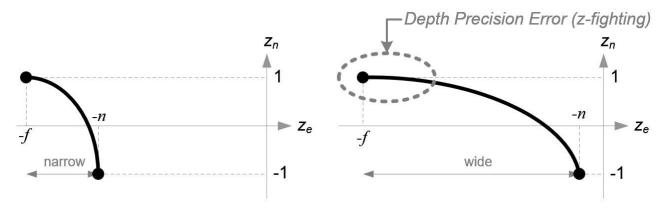
OpenGL Perspective Projection Matrix

This projection $\frac{\text{matrix}}{\text{matrix}}$ is for a general frustum. If the viewing volume is symmetric, which is r=-l and t=-b, then it can be simplified as;

$$\begin{cases} r+l=0 \\ r-l=2r \text{ (width)} \end{cases}, \quad \begin{cases} t+b=0 \\ t-b=2t \text{ (height)} \end{cases}$$

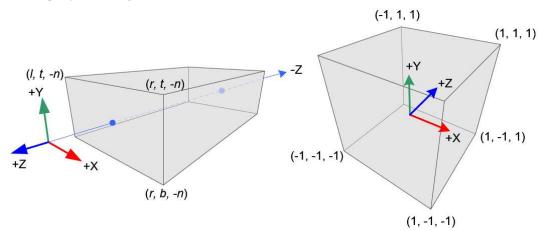
$$\begin{pmatrix} \frac{n}{r} & 0 & 0 & 0 \\ 0 & \frac{n}{t} & 0 & 0 \\ 0 & 0 & \frac{-(f+n)}{f-n} & \frac{-2fn}{f-n} \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

Before we move on, please take a look at the relation between z_e and z_n , eq.(3) once again. You notice it is a rational function and is non-linear relationship between z_e and z_n . It means there is very high precision at the *near* plane, but very little precision at the *far* plane. If the range [-n, -f] is getting larger, it causes a depth precision problem (z-fighting); a small change of z_e around the *far* plane does not affect on z_n value. The distance between n and n should be short as possible to minimize the depth buffer precision problem.



Comparison of Depth Buffer Precisions

Orthographic Projection



Orthographic Volume and Normalized Device Coordinates (NDC)

GL_PROJECTION using linear relationship.

the elements of hip. $x_n=\frac{1-(-1)}{r-l}\cdot x_e+\beta$ $1=\frac{2r}{r-l}+\beta \qquad (\text{substitute }(r,1) \text{ for }(x_e,x_n))$

Constructing

simpler than

GL PROJECTION

perspective mode.

components in eye space are linearly

mapped to NDC. We just need to scale a

rectangular volume to a cube, then move it to

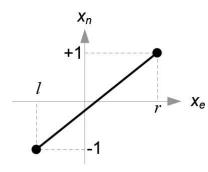
the origin. Let's find out

All x_e , y_e and z_e

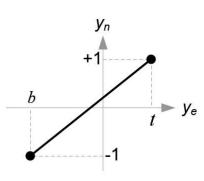
matrix for orthographic projection is much

$$\beta = 1 - \frac{2r}{r-l} = -\frac{r+l}{r-l}$$

$$\therefore x_n = \frac{2}{r-l} \cdot x_e - \frac{r+l}{r-l}$$



Mapping from x_e to x_n



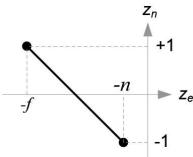
Mapping from ye to yn

$$y_n = \frac{1 - (-1)}{t - b} \cdot y_e + \beta$$

$$1 = \frac{2t}{t - b} + \beta \qquad \text{(substitute } (t, 1) \text{ for } (y_e, y_n))$$

$$\beta = 1 - \frac{2t}{t - b} = -\frac{t + b}{t - b}$$

$$\therefore y_n = \frac{2}{t - b} \cdot y_e - \frac{t + b}{t - b}$$



$$z_n = \frac{1 - (-1)}{-f - (-n)} \cdot z_e + \beta$$

$$1 = \frac{2f}{f - n} + \beta \qquad \text{(substitute } (-f, 1) \text{ for } (z_e, z_n))$$

$$\beta = 1 - \frac{2f}{f - n} = -\frac{f + n}{f - n}$$

$$\therefore z_n = \frac{-2}{f - n} \cdot z_e - \frac{f + n}{f - n}$$

Since w-component is not necessary for orthographic projection, the 4th row of GL PROJECTION matrix remains as (0, 0, 0, 1). Therefore, the complete GL_PROJECTION matrix for orthographic projection is;

$$\begin{pmatrix} \frac{2}{r-l} & 0 & 0 & -rac{r+l}{r-l} \\ 0 & rac{2}{t-b} & 0 & -rac{t+b}{t-b} \\ 0 & 0 & rac{-2}{f-n} & -rac{f+n}{f-n} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

OpenGL Orthographic Projection Matrix

It can be further simplified if the viewing volume is symmetrical,
$$r=-l$$
 and $t=-b$.
$$\begin{cases} r+l=0\\ r-l=2r \ (\mathrm{width}) \end{cases}, \begin{cases} t+b=0\\ t-b=2t \ (\mathrm{height}) \end{cases}$$

$$\begin{pmatrix} \frac{1}{r} & 0 & 0 & 0 \\ 0 & \frac{1}{t} & 0 & 0 \\ 0 & 0 & \frac{-2}{f-n} & -\frac{f+n}{f-n} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

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